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1 Sarcosine Oxidase Encapsulated Polyvinyl alcohol-Silica-AuNP Hybrid Films for Sarcosine Sensing  
2 Electrochemical Bioelectrode

3  
4 Umesh Lad<sup>a</sup>, Girish M. Kale<sup>a,\*</sup>, and Rayna Bryaskova<sup>b</sup>.

5  
6 <sup>a</sup> Institute for Materials Research, SPEME, University of Leeds, Leeds LS2 9JT, UK

7 <sup>b</sup> University of Chemical Technology and Metallurgy, Department of Polymer Engineering, 8 Kl. Ohridski, 1756  
8 Sofia, Bulgaria

9 Author for all correspondences. Email: [g.m.kale@leeds.ac.uk](mailto:g.m.kale@leeds.ac.uk); Tel: 0044-113-3432805

10

11 The amperometric response of sarcosine was measured in aqueous media containing ferrocene  
12 monocarboxylic acid using the redox enzyme sarcosine oxidase (SOD) immobilized in a composite material  
13 based on polyvinyl alcohol (PVA) and partially prehydrolyzed tetraethyl orthosilicate (pphTEOS) at the surface  
14 of “in-house” fabricated graphite electrodes. For comparison, separate electrodes consisting of silver and  
15 gold nanoparticles (Ag/AuNPs) embedded in the PVA-pphTEOS matrix was prepared employing a novel sol-  
16 gel process based on the in situ chemical reduction of Ag or Au ions using PVA both, as a reducing agent and  
17 stabilizer. The analytical performance of the enzyme electrodes was studied in terms of linear ranges,  
18 sensitivities, response times, limits of detection, reproducibility and stability.

19

20 Untreated or uncontrolled type II diabetes can lead to serious health complications such as diabetic  
21 nephropathy, cardiovascular disease, stroke and blindness. According to the National Health Service (U.K.),  
22 diabetic nephropathy is the most common cause of chronic kidney disease with half of all cases caused by  
23 diabetes and just over a quarter of cases due to high blood pressure. When kidney disease is caused by  
24 diabetes the problems advance more quickly and progress more rapidly than other causes such as high blood  
25 pressure or ageing of the kidneys. Diabetic nephropathy occurs from the damage of the nephrons in the  
26 kidneys from the build up of blood glucose, which in turn, affects the kidneys to filter out waste products and  
27 fluids. Creatinine is naturally produced by the body through the metabolism of creatine phosphate in muscle  
28 and is filtered from the bloodstream by the kidneys in relatively constant amounts every day. Plasma  
29 concentration of the waste substance creatinine is a reasonable indicator of renal function.

30 A review by Lad et al<sup>1</sup> shows that electrochemical biosensors for determining creatinine in blood  
31 serum is essential for the point of care treatment and management of the kidney malfunction condition.  
32 Most potentiometric sensors use gas-selective or pH-sensitive electrodes covered with an immobilized  
33 creatininie iminohydrolase or creatinine deiminase membrane that catalyses the biochemical reaction. The  
34 majority of amperometric biosensors rely on the three-enzyme method first described by Tsuchida and  
35 Yoda.<sup>2</sup> This involves the hydrolysis of creatinine using creatininase (CA), creatinase (CI) and sarcosine oxidase  
36 (SOD) in a three-stage enzyme-catalyzed reaction sequence. The last step of the sequence involves the flavin-  
37 containing enzyme SOD, which reacts as follows:

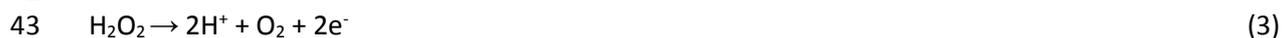
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45 In the above reactions, FAD<sup>+</sup> and FADH<sub>2</sub> are the oxidized and reduced forms of flavin adenine dinucleotide  
46 respectively. Oxidation and reduction of the FAD moiety can be achieved using O<sub>2</sub> as in the first-generation  
47 biosensor; an artificial redox mediator molecule as in the second-generation biosensor; or mediatorless  
48 approach as in the third-generation biosensor. The most popular approach based on the above scheme is to  
49 monitor the generation of H<sub>2</sub>O<sub>2</sub>. This mode of operation involves regeneration of O<sub>2</sub> from the electrochemical  
50 oxidation of H<sub>2</sub>O<sub>2</sub>, which then completes with the enzyme cycle (reaction 3). The major problem with these  
51 biosensors is their dependency on the concentration of dissolved oxygen. Alternatively, an electron mediator  
52 (Med) could be used in place of O<sub>2</sub> to regenerate the enzyme SOD(FAD<sup>+</sup>).<sup>3,4</sup> Third-generation biosensors have

53 the enzymes' active site in direct contact with the electrode to ensure direct electron transfer and commonly  
54 achieved with the use of nanoparticles. To date, many efforts have been made to investigate the direct  
55 electrochemistry of other redox enzymes however; to the best of our knowledge the direct electrochemistry  
56 of SOD has only recently been reported.<sup>5</sup> Zhou et al immobilized SOD on graphene, chitosan and silver  
57 nanoparticles modified glassy carbon to study the direct electrochemistry of the enzyme.

58 Recently our group presented the detailed characterization for the immobilization of glucose oxidase  
59 (GOD) within PVA-TEOS matrix at an "in-house" fabricated graphite electrode for the determination of  
60 glucose.<sup>6</sup> Enzyme electrodes were also prepared using NPs embedded in the PVA-TEOS matrix prepared.  
61 From our preliminary work we found that akin to GOD, SOD was chemically stable and was not susceptible  
62 to hydrolysis reaction upon addition to the PVA-TEOS mixture prior to immobilization. Therefore, in this  
63 communication we present a logical extension to the previously conducted research work<sup>6</sup> that involves the  
64 immobilization of SOD within PVA-TEOS matrix at the surface of an "in-house" fabricated graphite electrode  
65 for the determination of sarcosine. We also prepared Ag and AuNPs embedded in the PVA-TEOS matrix  
66 employing a sol-gel process based on the in situ reduction of Ag or Au ions using PVA as a reducing agent as  
67 well as a nanoparticle suspension stabilizer.

## 70 Experimental

71  
72 *Reagents and materials.* – Polyvinyl alcohol (PVA, 87-89% hydrolyzed, average MW = 13000-23000,  
73 Sigma-Aldrich), tetraethyl orthosilicate (TEOS, ≥99.0%, Aldrich), nitric acid (HNO<sub>3</sub>, 2.0 M, Riedel-de Haën),  
74 silver nitrate (AgNO<sub>3</sub>, AcrosOrganics), auric chloride (HAuCl<sub>4</sub>, 99.99%, Aldrich), graphite rod (99.99%,  
75 Goodfellows), sarcosine oxidase (SOD, from *Bacillus sp.*, 49 U mg<sup>-1</sup>, Sigma-Aldrich), sarcosine (Sigma-Aldrich),  
76 ferrocene monocarboxylic acid (FMCA, ≥97.0%, Fluka) and phosphate buffer solution (PBS, 1.0 M, pH 7.0,  
77 Sigma-Aldrich) were used in respective experiments as supplied by the supplier without any further  
78 purification. Deionized water (Milli-Q) was used in all experiments.

79 All glassware was cleaned in freshly prepared HNO<sub>3</sub>/HCl (1:3) solution, thoroughly rinsed with  
80 deionized water and dried before use.

81  
82 *Preparation of PVA-Ag/AuNPs and pphTEOS.* – In a typical synthesis, PVA solution (5%) was prepared  
83 by dissolving 5.0 g of PVA in 95 mL of water under magnetic stirring and heating to 80 °C for 30 min in order  
84 to obtain a colourless PVA solution.

85 To obtain AgNPs, AgNO<sub>3</sub> (500 mg dissolved in 0.5 mL water) was added to 25 mL PVA (5%), stirred  
86 and heated for 1 hr at 100 °C under reflux. The colour of the solution in the resulting sample deepens  
87 gradually from an achromatic colour in the beginning to a deep yellow-brownish equilibrium colour. To retain  
88 a stable Ag colloid structure consisting of AgNPs capped in PVA molecules, the samples were cooled to 20-  
89 25 °C temperature just after the reaction and before employing for further experimentation. For PVA-AuNPs  
90 composites, HAuCl<sub>4</sub> (10 mg dissolved in 0.5 mL water) was added to 25 mL PVA (5%), stirred and heated for  
91 1 hr at 100 °C under reflux. The pale yellow colour in the beginning slowly changes to purple before settling  
92 at a deep red colour. All composite solutions were stored in dark bottles at 4 °C.

93 A homogenous standard of pphTEOS was prepared under magnetic stirring of 1 mL TEOS, 1mL water  
94 and 0.1 mL of HNO<sub>3</sub> in a small vial at room temperature for 60 min. Next, an aliquot of pphTEOS (0.3 mL) and  
95 5% PVA (1 mL) solutions were mixed thoroughly under magnetic stirring. The solution mixture was freshly  
96 prepared prior to the fabrication of every enzyme electrode. Precalibrated micropipettes were used for  
97 accurately measuring the reagent solutions during the synthesis process.

98  
99 *Preparation of the graphite electrode and immobilization of sarcosine oxidase.* – The "in-house"  
100 fabricated graphite rod electrode surfaces (area = 0.196 cm<sup>2</sup>) were treated on (1) p660 then (2) p1200 grade  
101 of emery paper, and brought to an almost mirror like finish by polishing on (3) 80 g m<sup>-2</sup> paper. The graphite  
102 electrodes were reused after polishing through steps (1)–(3) as described. Prior to any modifications, the  
103 electrodes were cycled between -0.5 and +1.2 V (vs. Ag/AgCl) at 50 mV s<sup>-1</sup> in 0.1 M PBS (pH 7.0) until a stable  
104 profile was obtained.

105 A 20  $\mu\text{L}$  aliquot solution of PVA-pphTEOS (volume ratio 1:0.3 respectively) and a 5  $\mu\text{L}$  aliquot of SOD  
106 ( $10 \text{ U } \mu\text{L}^{-1}$ ) was mixed in an ultrasonic bath for 1 min to accelerate the dispersion of the enzyme in the  
107 pphTEOS and PVA mixture. Subsequently, a 5  $\mu\text{L}$  sample of this mixture was spread over the surface of the  
108 graphite electrode followed by drying in air for 24 hr. The resulting electrodes were denoted as PVA-  
109 pphTEOS-SOD. Alternatively, Ag or AuNPs electrodes (denoted as PVA-Ag/AuNPs-pphTEOS-SOD) were  
110 fabricated using a similar method except that it included PVA containing Ag or AuNPs respectively. TEM  
111 images of the NPs are shown in Figure 1. All the enzyme electrodes were stored at 4 °C when not in use.

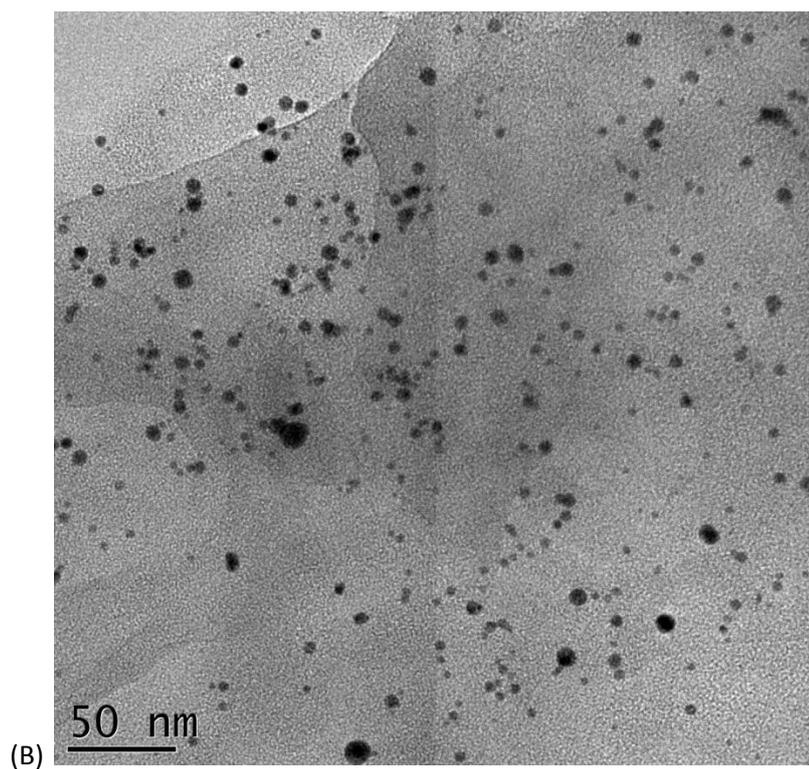
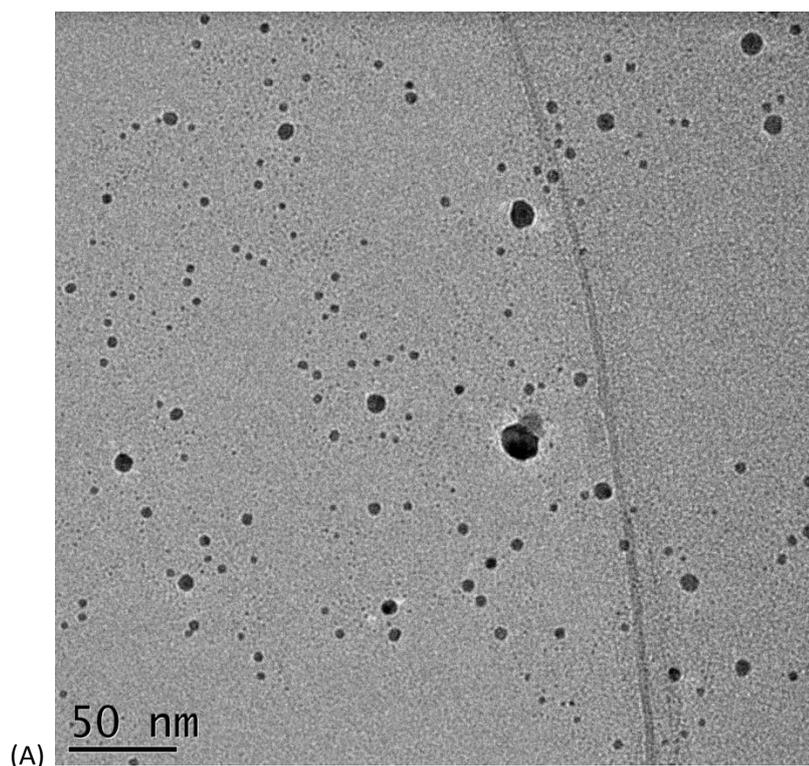


Figure 1A and Figure 1B

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114 Figure 1. TEM images of (A) PVA-Ag-pphTEOS and (B) PVA-Au-pphTEOS films.  
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116 *Apparatus and electrochemical measurements.* – All electrochemical experiments were performed  
117 with the computer controlled SI1287 Electrochemical Interface (Solartron, U.K.) at 25 °C with a conventional  
118 50 mL three-electrode system comprising of a platinum wire auxiliary (Model XM110, Radiometer  
119 Analytical), Ag/AgCl (3.0 M KCl) reference (Model REF321, Radiometer Analytical) and “in-house” fabricated

120 graphite rod as the working or sensing electrodes. The amperometric and cyclic voltammetric detection of  
121 sarcosine were performed in nitrogen purged solutions of PBS (0.2 M) in the presence or absence of FMCA  
122 (0.8 mM). A magnetic stirrer and stirrer bar provided the convective transport during the *current-time (I-t)*  
123 amperometric studies.

124

125

## 126 **Results and Discussion**

127

128 *Electrochemical characterization of the PVA-pphTEOS-SOD composite films: mechanism of sarcosine*  
129 *determination and influence of buffer pH.* – Figure 2 (curve *a*) shows the cyclic voltammogram of the PVA-  
130 pphTEOS-SOD graphite electrode in 0.2 M PBS (pH 7.0) where no visible reaction is taking place. However, in  
131 the presence of 0.8 mM FMCA, a pair of reversible cyclic voltammetric peaks appeared (curve *b*). These peaks  
132 are assigned to one electron redox reaction of FMCA<sup>+</sup>/FMCA couple. Upon introducing sarcosine to the buffer  
133 solution containing FMCA, well-defined catalytic reaction waves are observed (curves *c-e*).

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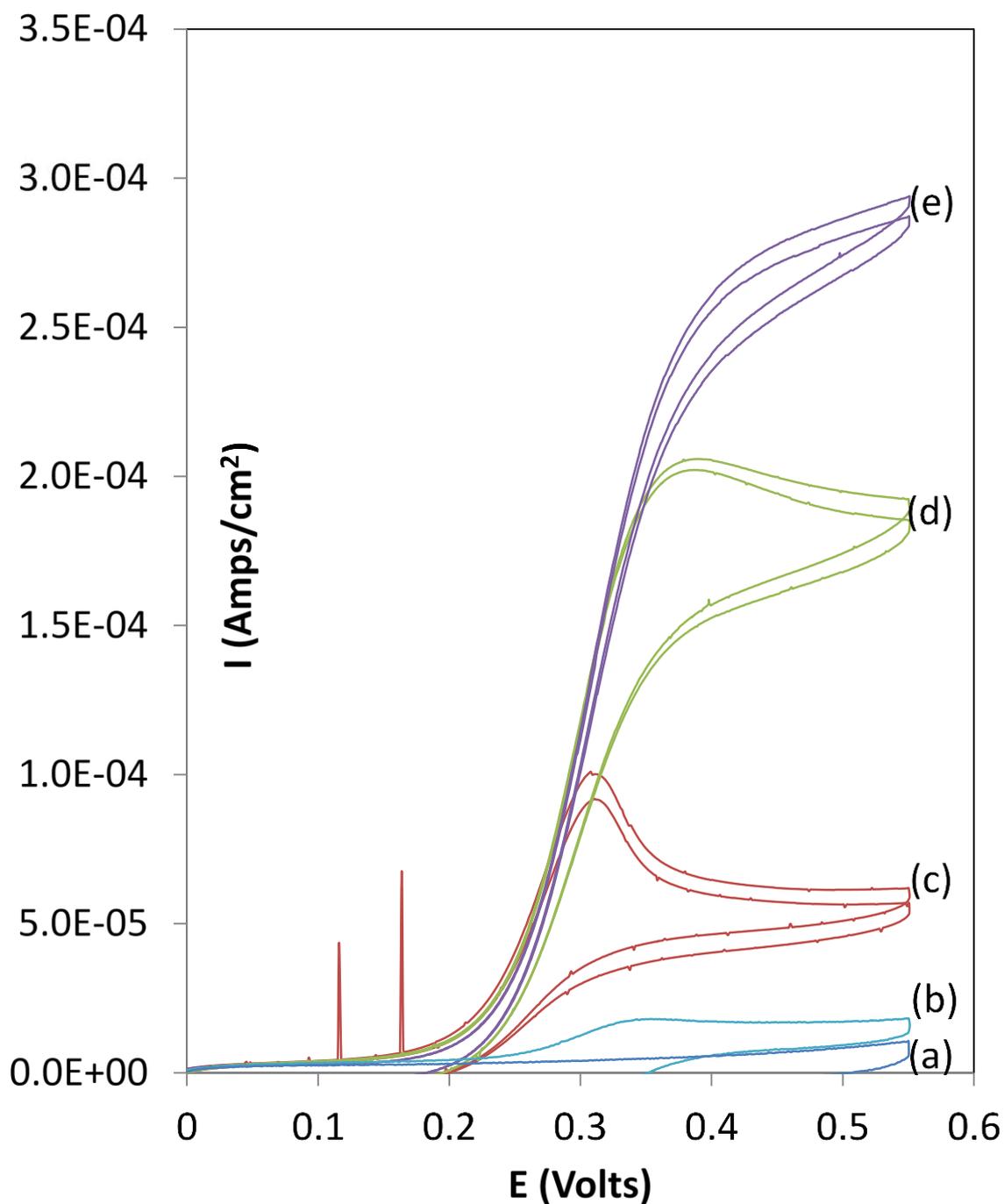


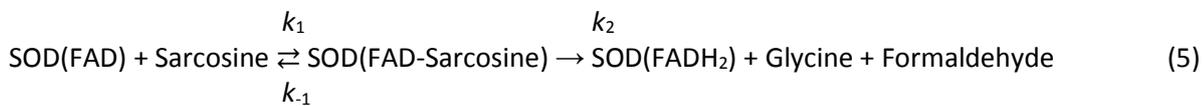
Figure 2

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Figure 2. Cyclic voltammograms of the PVA-pphTEOS-SOD graphite electrode in PBS (0.2 M, pH 8.0) (a) in the absence (b) and presence of FMCA (0.8 mM). Curves *c*, *d* and *e* are electrocatalytic responses to the oxidation of sarcosine in PBS (0.2 M, pH 8.0) containing 2.5 (*c*), 10.0 (*d*) and 20.0 mM (*e*) sarcosine, respectively, in the presence of FMCA (0.8 mM). Scan rate of 5 mV s<sup>-1</sup>.

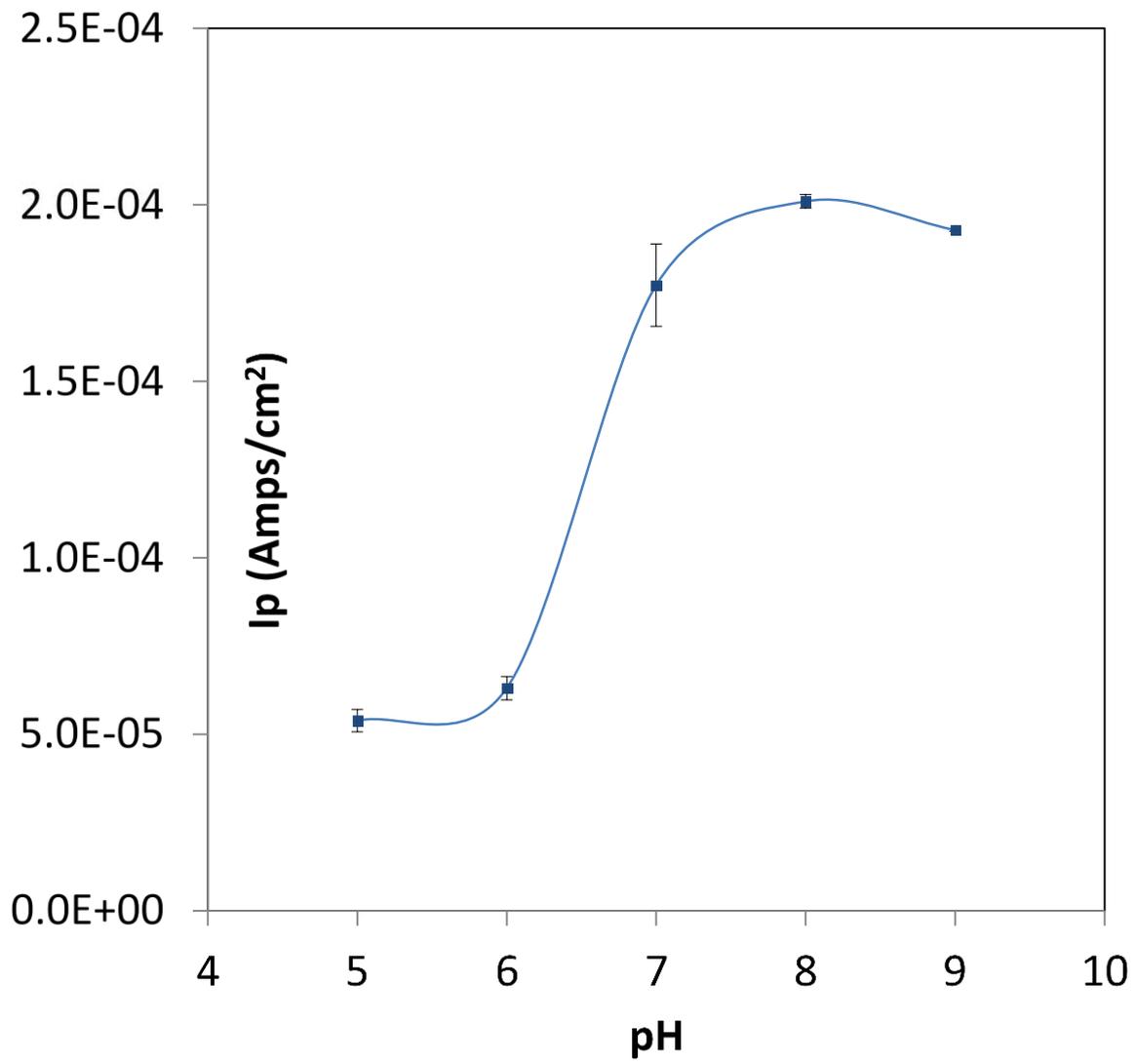
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The electrocatalytic peak current increases by increasing the concentration of sarcosine in buffer solution. These results indicate that the immobilized SOD in PVA-pphTEOS retained its electrocatalytic activity for the oxidation of sarcosine. The reaction at the working or sensing electrode can be described by the following mechanism.



where SOD(FAD and FADH<sub>2</sub>) represent the oxidized and reduced forms of sarcosine oxidase, and FMCA/FMCA<sup>+</sup> are the reduced and oxidized forms of ferrocene monocarboxylic acid mediator.

Buffer solutions at various pH values were tested to investigate the effect of varying electrolyte pH varied on the response of the PVA-pphTEOS-SOD electrode between 5.0 and 9.0. Figure 3 shows that the maximum response was obtained at pH 8.0. Therefore pH 8.0 was selected as the optimum pH and all measurements were performed at this pH. This value is in good agreement with most of the data reported in the literature<sup>7-11</sup> suggesting that the host material of PVA-pphTEOS composite film did not alter the structure of SOD thereby providing an effective sensing environment similar to that of native SOD.



163  
164 Figure 3. The effect of buffer pH on current response of the PVA-pphTEOS-SOD electrode.  
165

166 *Performance characteristics of the enzyme electrodes.* – The amperometric *I-t* responses of the PVA-  
167 pphTEOS-SOD and PVA-Ag/Au-pphTEOS-SOD electrodes under optimized experimental conditions to  
168 successive additions of 0.5 mM sarcosine is shown in Figure 4A. It is clear that relatively rapid and sensitive  
169 responses to sarcosine could be achieved for all electrodes apart from those containing AgNPs similar to what  
170 we previously reported for immobilized GOD.<sup>6</sup> Calibration curves for the electrodes over the sarcosine  
171 concentration range of 0-9 mM are presented in Figure 4B. The average linear range for the electrode of PVA-  
172 pphTEOS-SOD was from 1.5 to 6 mM. The respective equation of calibration is  $I_p = 3.3345 \times 10^{-5} C_{\text{sarcosine}} +$   
173  $4.6578 \times 10^{-6}$  with a correlation coefficient of 0.992. The slopes in each case represent the current sensitivity  
174 to sarcosine in Amps  $\text{mM}^{-1} \text{cm}^{-2}$ . The limit of detection (LOD) for the enzyme electrode was estimated to be  
175 0.53 mM at a signal-to-noise ratio (*S/N*) of 3.

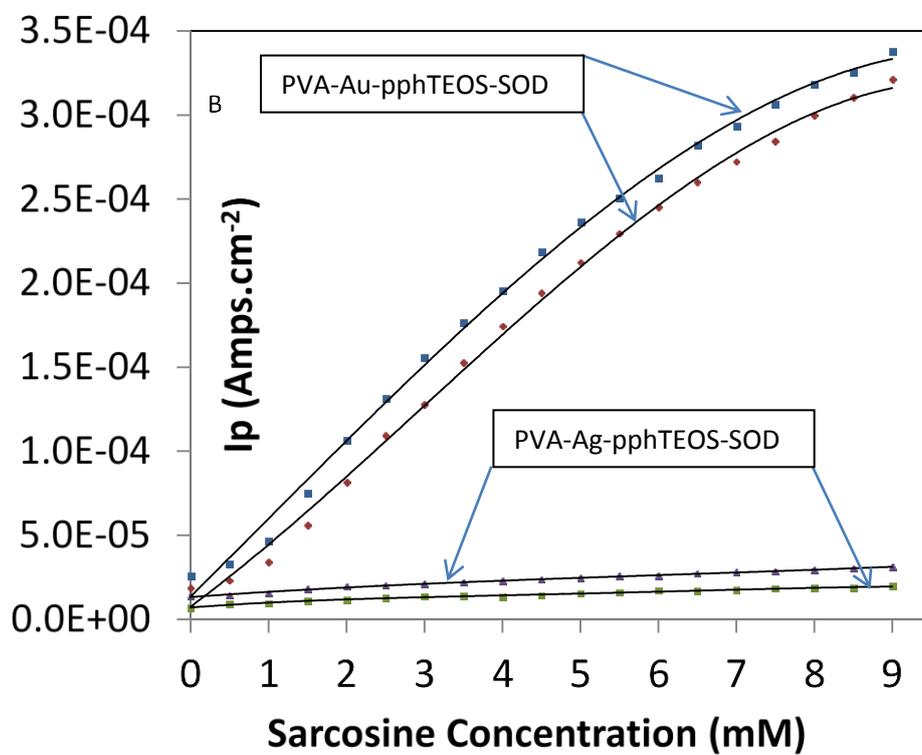
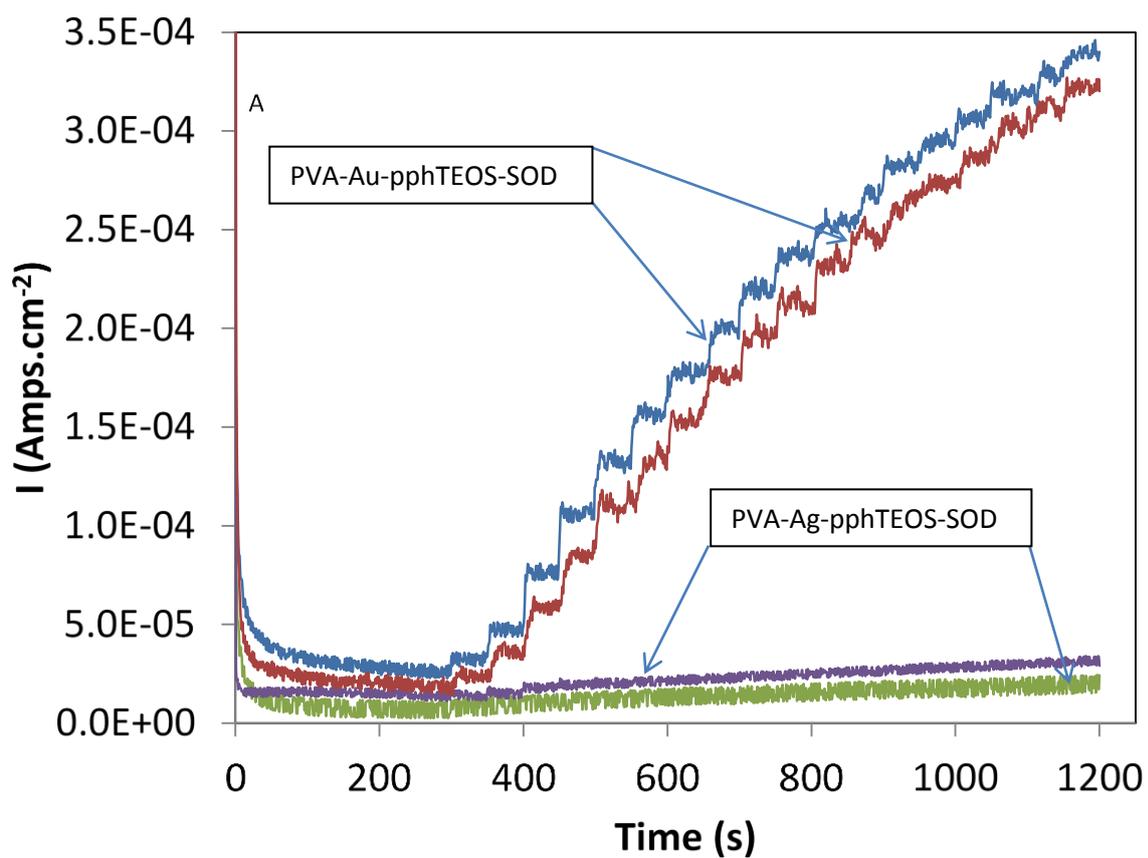


Figure 4A and Figure 4B

177 Figure 4. *Current-time* curves obtained at the PVA-Ag-pphTEOS-SOD (A, lower plots), PVA-Au-pphTEOS-SOD  
 178 (A, upper plots) on graphite electrodes for successive additions of 0.5 mM sarcosine. Conditions: 0.2 M pH  
 179 8.0 PBS in the presence of 0.8 mM FMCA; applied potential, 0.55 V (vs. Ag/AgCl). Plot B show the calibration  
 180 curves of the enzyme electrode as a function of sarcosine concentrations.

181  
 182 The PVA-pphTEOS-SOD-graphite electrode reached 95% of the steady-state current within an  
 183 average of 17 s as calculated from each step in the linear region. The slightly high response time can be  
 184 partially attributed to the loss in enzyme loading due to the precipitation during the preparation of the  
 185 enzyme-PVA-pphTEOS layer on graphite electrode surface as well as diffusional constraints imposed on the  
 186 substrate on approach to the active sites of enzyme.  
 187

**Table I. Summary of the performance characteristics at the amperometric enzyme electrodes.**

<b>Parameters</b>	<b>PVA-pphTEOS-SOD</b>	<b>PVA-Au-pphTEOS-SOD</b>
<b>Linear Range (mM)</b>	1.5-6	0.5-7.5
<b>Sensitivity (Amps cm<sup>-2</sup> mM<sup>-1</sup>)</b>	3.3345 x 10 <sup>-5</sup>	4.0131 x 10 <sup>-5</sup>
<b>R<sup>2</sup></b>	0.992	0.984
<b>I<sub>max</sub> (μA)</b>	46.6	66.0
<b>Response Time (T<sub>95%</sub>, 0.5 → 1 mM, s)</b>	17	8
<b>K<sub>m</sub><sup>app</sup> (mM)</b>	22.3	16.5
<b>LOD (S/N=3, mM)</b>	0.53	0.5
<b>Reproducibility (RSD)</b>	0.84%	-

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 191 Figure 4A shows the amperometric *I-t* responses of the PVA-Ag-pphTEOS-SOD and PVA-Au-pphTEOS-  
 192 SOD electrodes, where the latter electrode displayed nearly linear, reproducible and highly sensitive  
 193 response to changes in sarcosine concentration from 0.5-7.5 mM. The respective equation of calibration for  
 194 PVA-Au-pphTEOS-SOD is  $I_p = 4.0131 \times 10^{-5} C_{\text{sarcosine}} + 2.4294 \times 10^{-5}$  with correlation coefficient of 0.984, which  
 195 suggests that in the presence of AuNPs the sensitivity is improved by 20%. The LOD for the enzyme electrode  
 196 was estimated to be 0.5 mM at a signal to noise ratio (*S/N*) of 3, only slightly lower than electrodes without  
 197 the AuNPs.

198 The PVA-Au-pphTEOS-SOD graphite electrodes reached 95% of the steady-state current within an  
 199 average of 8 s, as calculated from each step in the linear region shown in Figure 4A. The presence of AuNPs  
 200 greatly improved the response time, as well as the activity of SOD. The micro-environment and stability of  
 201 the enzyme was found to be clearly improved in the presence of the NPs.

202 The PVA-Ag/Au-pphTEOS-SOD graphite electrodes were also tested under cyclic voltammetric  
 203 conditions in PBS over the apparent direct electron transfer potential range for SOD. Although the sarcosine  
 204 detection characteristics were improved with the presence of AuNPs, no response was seen to signify direct

205 electron transfer from SOD as others have reported for other FAD containing enzymes.<sup>12-18</sup> As previously  
206 reported, the improvement in response can be a result from the large active surface areas and the excellent  
207 electron transfer ability of the AuNPs, but not great enough to penetrate the enzymes' protein shell and  
208 develop direct connectivity. The presence of AgNPs showed an extremely degenerative response to  
209 sarcosine. This was suspected to be caused by the heavy metal Ag<sup>+</sup> ions unreacted in the silver colloid.<sup>19</sup>

210 The apparent Michaelis-Menten constant ( $K_m^{app}$ ) can be calculated from the electrochemical version  
211 of the Linweaver-Burk equation:

$$212 \quad 1/I_{ss} = 1/I_{max} + K_m^{app} / I_{max} \times C \quad (7)$$

213 where  $I_{ss}$  is the steady-state current after the addition of substrate,  $I_{max}$  is the maximum current under  
214 saturated substrate conditions,  $C$  is the concentration of substrate. The  $K_m^{app}$  value for the PVA-pphTEOS-SOD  
215 and PVA-Au-pphTEOS-SOD electrodes was found to be 22.3 mM and 16.5 mM respectively, which is relatively  
216 high compared to that of the native enzyme.<sup>7, 8</sup> In addition, this is also high compared to other research  
217 reports in this area.<sup>5, 10, 11</sup> This can be attributed to the highly robust nature of the interlinked PVA and  
218 pphTEOS matrix, which imposes diffusional constraints on the substrate to the enzyme active site. In addition,  
219 the slight precipitation occurring in the enzyme mixture suggests that the enzyme could have been  
220 moderately denatured resulting in lower activity and low affinity towards sarcosine. However, in the  
221 presence of AuNPs, the value of  $K_m^{app}$  is much lower signifying an improvement in the microenvironment of  
222 the enzyme and conductivity within the PVA-pphTEOS matrix.

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226 *Reproducibility and Stability of the Enzyme Electrode.* – Several calibration curves were plotted  
227 using independently prepared PVA-pphTEOS-SOD electrodes. The reproducibility (RSD) of their sensitivities  
228 was found to be 0.84%. This indicated that the electrodes were extremely reproducible in terms of multiple  
229 electrode preparations and testing from time to time during the laboratory measurements.

230 The immobilization of SOD by the entrapment in a PVA-pphTEOS composite film was quite stable.  
231 When the PVA-pphTEOS-SOD graphite electrode was scanned continuously in a solution of sarcosine in the  
232 presence of FMCA mediator, the voltammetric response decreased slowly with a slight peak at around the  
233 20th cycle where the matrix was assumed to have swollen leading to higher accessibility of the substrate  
234 before slow leaching of SOD. The peak current remained around 82% of the initial response after 50 cycles.  
235 This clearly suggested that the sensing electrode remains highly active for prolonged periods in a multiple  
236 test campaign. Table I shows a summary of the key characteristics of the PVA-pphTEOS-SOD and PVA-Au-  
237 pphTEOS-SOD graphite electrode derived from the data presented in Figure 4A and 4B.

## 238 239 **Conclusion**

240  
241 In this study, SOD was successfully immobilized in PVA and pphTEOS matrix, in the absence and in  
242 the presence of Ag or AuNPs, on a graphite electrode and the performance characteristics of the resulting  
243 enzyme electrodes investigated. The PVA-pphTEOS-SOD enzyme electrode presented a good linear range,  
244 sensitivity and LOD for the detection of sarcosine. In addition, it also showed excellent reproducibility. Where  
245 AgNPs created a detrimental effect on the determination of sarcosine, AuNPs however, led to significant  
246 improvements in the response times and  $K_m^{app}$  value. The linear range was also extended and sensitivity  
247 increased. Incorporation of AuNPs within the PVA-pphTEOS created a more favourable environment for SOD  
248 thereby increasing its affinity towards sarcosine and activity. The successful immobilization of SOD acts as a  
249 model to what could potentially be a creatinine based biosensor as most of the fundamental chemistry of  
250 the three enzyme system happens at the redox site of SOD which will be demonstrated in our future research  
251 publication.

## 252 253 **Acknowledgements**

254  
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