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1 **The physico-mechanical and structural characteristics of blend film**  
2 **of poly (vinyl alcohol) with biodegradable polymers as affected by**  
3 **disorder-to-order conformational transition**

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9 Abstract

10 The binary blend films of poly (vinyl alcohol) (PVA) were prepared with selected biodegradable  
11 polymers (Na-alginate, gelatin, chitosan,  $\kappa$ -carrageenan and carboxymethyl cellulose) at the point  
12 of their chain entanglement. The water resistance, water vapor permeability and mechanical assays  
13 were considered as a benchmark to select the superior blend film. The highest water resistant and  
14 water barrier property belonged to the blend that contains  $\kappa$ -carrageenan. Introducing the  
15 biodegradable polymers into PVA led to an increase in tensile strength, among which  $\kappa$ -  
16 carrageenan being further effective than the others. Accordingly, the influence of conformational  
17 change of  $\kappa$ -carrageenan chain from disorder-to-order mode on PVA matrix was monitored by  
18 hydrophobicity assay, FT-IR, FE-SEM and XRD. The conformational change of  $\kappa$ -carrageenan  
19 increased PVA hydrophobicity. The emergence of new characteristic bands regarding  $\kappa$ -  
20 carrageenan found in the fingerprint region of PVA at above  $\kappa$ -carrageenan coil-overlap  
21 concentration. FE-SEM exhibited that PVA surface became uniform along with the disappearance  
22 of cracks after  $\kappa$ -carrageenan inclusion. The pronounced  $\kappa$ -carrageenan peak appeared in  
23 diffraction pattern of PVA after the conformational transition of  $\kappa$ -carrageenan chain.

24 **Keywords:** Blend film, Coil-overlap concentration, Tensile strength, Surface hydrophobicity, X-  
25 ray diffraction, FE-SEM.

26

## 27 **1. Introduction**

28 There is a growing trend within the food industry toward the development of the innovative  
29 packaging based on the application of biodegradable polymers, rather than the use of the more  
30 traditional non-degradable ones (Mikkonen et al., 2007). A main reason for this tendency is  
31 reducing the environmental impacts of the non-degradable polymers (Hoagland & Parris, 1996).

32 Poly (vinyl alcohol) (PVA) is a well-known biodegradable thermoplastic polymer with a wide  
33 range of applications in the food and pharmaceutical industries (Lei, Jie, Jun, & Ruiyun, 1994).

34 PVA has a semi-crystalline structure with high hydrophilic character due to the ability of forming  
35 hydrogen bonding with water molecule (Skeist & Miron, 1990). PVA, such as other biodegradable  
36 polymers, is essentially unsuitable for most packaging applications and therefore, needs to be  
37 modified to increase its usefulness and value through using many different physical and chemical  
38 methods. Blending method is a desirable physical approach for synthesizing a polymeric film when  
39 polymers, in terms of the thermodynamic, are compatible. The performance of PVA film through  
40 blending can be modified in relation to resistance against water (Guohua et al., 2006), increasing  
41 the mechanical strength and improvement of water barrier property (Limpan, Prodpran, Benjakul,  
42 & Prasarpran, 2010).

43 The biodegradable polymers from the plant and animal sources, including Na-alginate, gelatin,  
44 chitosan,  $\kappa$ -carrageenan and carboxymethyl cellulose, are broadly used as the film matrix, which  
45 can also be introduced into the other polymers to make blend films. Na-alginate, chitosan and  
46 gelatin are commonly used for modification of the films based on konjac glucomannan (Xiao, Gao,

47 & Zhang, 2000), cellulose (Naidu, Sairam, Raju, & Aminabhavi, 2005) and soy protein isolate  
48 (Denavi et al., 2009). Carboxymethyl cellulose and  $\kappa$ -carrageenan are also incorporated into the  
49 various polymers with the aim of improving the physico-mechanical properties of the resulting  
50 blend films (Zhang, Zhang, Lu, & Liang, 2013; Paula et al., 2015).

51 The functional properties of a polymeric film, such as the physical, thermo-mechanical and  
52 structural properties are influenced by the conformation mode of the polymer chains, whether by  
53 if they have a disordered structure, then their chain interactions relate closely to the degree of  
54 space-occupancy by the chain coils. On the other hands, if they exist as ordered molecules, then  
55 they are capable of stable association into compact networks (Chronakis & Kasapis, 1995;  
56 Lazaridou, Biliaderis, & Kontogiorgos, 2003). Moreover, the role of the structural conformation  
57 of a polymer chain is of almost prominent in the kinetics of water solubility, their water vapor  
58 permeability and their tensile strength of films formed by such polymers (Kyotani & Kanetsuna,  
59 1972; Lazaridou, Biliaderis, & Kontogiorgos, 2003).

60 The coil-overlap concentration ( $c^*$ ) represents the point where the individual polymer chains begin  
61 to come into contact with each other and can be considered as a border concentration between the  
62 dilute and the semi-dilute regime. During conformation change above  $c^*$ , overlapping of the chains  
63 occurs and separate chains become entangled with one another. Due to the coil overlap and  
64 entanglement of the strands in this region, there are fairly strong interactions between polymer  
65 strands under the semi-dilute condition ( $c > c^*$ ). It leads to the formation of macrostructure  
66 assemblages and subsequently increasing the rigidity of the polymer. In contrast, below the coil  
67 overlap ( $c < c^*$ ), the biopolymers have a brittle structure with a weak tensile strength (Doi &  
68 Edwards, 1988).

69 Although there are many articles in the literature concerning the fabrication, development and also  
70 more fundamentally revealing the properties of the biodegradable films, there are rather limited  
71 published studies that provide the effect of conformational transition of polymer chains on the  
72 physico-mechanical and structural properties of film.

73 The first aim of the current work is to designate the superior binary blend from PVA with several  
74 biodegradable polymers at the point of their coil-overlap concentration by using conventional  
75 physical and mechanical assays. The second is to test the behavior of the superior PVA blend film  
76 under the effect of conformational changes of biopolymer chains from dilute to semi-dilute  
77 regimes by some of the most structural experimental. Our initial hypothesis is based on the fact  
78 that the disorder-to-order transition of the biodegradable polymer chains can change the physico-  
79 mechanical and structural properties of the PVA-based film in a profound manner.

80

## 81 **2. Materials and methods**

### 82 2.1. Materials

83 The commercial Na-alginate (50–60 kDa), gelatin (43 kDa with bloom 93) and  $\kappa$ -carrageenan (25-  
84 30 kDa) were purchased from Sigma-Aldrich (St. Louis, MO, USA). The carboxymethyl cellulose  
85 (90 kDa with the degree of substitution  $\sim 0.51$ ) and low molecular weight chitosan (45 kDa and  
86  $>75\%$  deacetylated) obtained from Merck Co (Merck, Germany). PVA with average molecular  
87 weight of 72000 g/mol ( $\sim 1600$  polymerization) and moisture content of 11.2% was kindly  
88 provided from Sigma-Aldrich (St. Louis, MO, USA).

89

### 90 2.2. Coil-overlap point, intrinsic viscosity and coil-overlap parameter

91 The point of coil-overlap concentration was considered for incorporation of the selected  
 92 biodegradable polymers into the PVA matrix. Coil-overlap concentration ( $c^*$ ), intrinsic viscosity  
 93 ( $\eta$ ) and coil overlap parameters  $c[\eta]$  were measured by an Ostwald viscometer with nominal  
 94 constant  $0.011 \text{ mm.s}^{-2}$  (Witeg Co., Germany) that's equipped with a thermostatic water bath under  
 95 precise temperature control. Various amounts of the biodegradable polymers (0.05-0.45 g/dL),  
 96 excluding chitosan, were dissolved in deionized water to cover the span of concentrations from  
 97 dilute to the semi-dilute regions. Chitosan although insoluble in water at pH=7, is nonetheless  
 98 soluble in acetic acid solution. The chitosan was dissolved in 1% (v/v) of acetic aqueous solution  
 99 and stirred at  $60^\circ\text{C}$  for 30 min to obtain a homogeneous solution. Starting with, exactly 2 ml of  
 100 each solution, the system was manually diluted after generating at least three efflux time readings  
 101 at each concentration. Then, the relative viscosity ( $\eta_{\text{rel}}$ ), reduced viscosity ( $\eta_{\text{red}}$ ) and the inherent  
 102 viscosity ( $\eta_{\text{inh}}$ ) were calculated by using Eqs. (1), (2) and (3), respectively as follows:

$$103 \quad \eta_{\text{rel}} = \frac{\eta}{\eta_0} = \frac{t}{t_0} \cdot \frac{\rho}{\rho_0} \quad (1)$$

$$104 \quad \eta_{\text{red}} = \frac{(\eta_{\text{rel}} - 1)}{c} \quad (2)$$

$$105 \quad \eta_{\text{inh}} = \frac{\ln(\eta_{\text{rel}})}{c} \quad (3)$$

106 where,  $t/t_0$  and  $\rho/\rho_0$  are the ratio of efflux time of solution to solvent and the ratio of the density of  
 107 the solution to the solvent, respectively.  $(\eta_{\text{rel}} - 1)$  is specific viscosity ( $\eta_{\text{sp}}$ ) and concentration of the  
 108 biopolymers is represented as C.

109 The intrinsic viscosity is obtained from the extrapolation of  $\ln(\eta_{\text{rel}})/c$  and  $\eta_{\text{sp}}/c$  to infinite dilution  
 110 according to the Huggins's equation (4) and Kraemer's equation (5) as follows ([Huggins, 1942;](#)  
 111 [Kraemer, 1938](#)):

$$112 \quad \frac{\eta_{\text{sp}}}{c} = [\eta] + K_1[\eta]^2C \quad (4)$$

113 
$$\frac{\ln(\eta_{rel})}{c} = [\eta] + K_2[\eta]^2C \quad (5)$$

114 Here,  $K_1$  and  $K_2$  are the Huggins's and the Kraemer's constants, respectively (Morris, Cutler, Ross-  
115 Murphy, Rees, & Price, 1981).

116

### 117 2.3. Preparation of blend films

118 PVA as a film matrix was prepared by the casting method. The PVA powder was dissolved in  
119 deionized water (4 g/dL) at 75°C for 2 h. Separately, the specific concentration of each  
120 biodegradable polymers, in the accordance with its  $c^*$ , dissolved in deionized water (in the case of  
121 chitosan, 1% (v/v) of acetic acid) and heated at 75°C under constant stirring. The each  
122 biodegradable polymer solutions were incorporated into the PVA solution to obtain binary blend  
123 solutions and keep stirring for 2 h at 75°C.

124 After the assessment, 20 ml of each solution was poured into the glass plate and transferred into  
125 the oven set at 48°C and a RH of 40%. The films were peeled off the glass plates after 18 h, and  
126 conditioned for 36 h at 45% RH in the ambient temperature for further experiments.

127

### 128 2.4. The blends comparison

129 The tensile strength and elongation at break from the mechanical assay, water vapor permeability  
130 and water resistance from the physical ones were performed for selecting the superior blend of  
131 PVA with biodegradable polymers. Then, the behavior of the eminent blend film was designated  
132 for further physical and structural experiments.

133

#### 134 2.4.1. Water solubility (WS)

135 The WS value of the film or total soluble matter was expressed as the weight percentage of the  
136 film solubilized after 24 h immersion in distilled water. The films were cut in 5×5 cm and dried at  
137 105°C for 24 h. Then, they were placed in a Meyer flask (containing 250 ml distilled water) and  
138 vigorously shaken for 24 h. The film specimens were dried again at 105°C for 24 h. WS values  
139 were determined according to Eq. (6):

$$140 \quad WS = \frac{S-S_0}{S_0} \quad (6)$$

141 where, S is the insoluble dry matter and S<sub>0</sub> is the initial dry matter (initial sample weight × dry  
142 matter).

143

#### 144 2.4.2. Water barrier properties

145 The water vapor transmission rate (WVTR) of the films was determined by using the E398-03  
146 method with slight modifications (ASTM, 2003b). The dried specimens (5.5 cm) were attached to  
147 a poly (methyl methacrylate) permeation cell with an inner diameter of 50 mm containing dry  
148 silica gel and the permeation cell were placed in a special chamber. Relative humidity was  
149 controlled to be 32% using MgCl<sub>2</sub> at 40°C on one side of the film. The weight of each cup was  
150 regularly recorded every 2 h during on 18 h. The weight gain versus time was plotted and WVTR  
151 obtained from its slope by linear regression. The WVP (g/m.s.Pa) was calculated by the following  
152 equation:

$$153 \quad WVP = \frac{WVTR \times L}{\Delta P} \quad (7)$$

154 where, L is the film thickness (mm) and ΔP (Pa) is the partial pressure difference between two  
155 sides of the film.

156

#### 157 2.4.3. Mechanical properties



158 Tensile strength (TS) and elongation at break (EB) were obtained by using a texture analyzer (TA,  
159 TA-XT plus, UK) according to the ASTM D882-02 (ASTM, 2002). The rectangular strips of the  
160 films (8×1 cm) were preconditioned at 25°C with a RH of 50% for 24 h. Then, they were subjected  
161 to the tensile shearing in the grip of the TA. The Initial distance of grip separation was set at 6 cm  
162 and the speed was set at 20 mm/min. TS value was determined from the maximum force recorded  
163 prior to breakage divided by the cross section of the rectangular specimens. Elongation at break  
164 value was obtained from change in the initial length of 6 cm. All the data were analyzed by  
165 Exponent Lite Software (version 6.1.4).

166

## 167 **2.5. Structural properties**

### 168 2.5.1. Infrared spectroscopy

169 Infrared spectroscopy (Thermo Nicolet Avatar, 370 FT-IR instrument) was used to study the  
170 surface structure of the film. The samples placed in the KBr pellets for scanning spectral region at  
171 wave number ranges of 400 and 4000  $\text{cm}^{-1}$ , and 50 scans were recorded with 1  $\text{cm}^{-1}$  resolution of  
172 the sample.

173

### 174 2.5.2. Surface hydrophobicity

175 Contact angle (CA) at a point of the three phase contact line between a solid phase (S), a liquid  
176 (L) and its vapor (V) is related to the three interfacial energies between these phases are described  
177 by Young-Dupre equation:

$$178 \gamma_{LV} \times \cos\theta = \gamma_{SV} - \gamma_{SL} \quad (8)$$

179 where  $\gamma_{LV}$ ,  $\gamma_{SV}$  and  $\gamma_{SL}$  are the interfacial tensions of the liquid–vapor, solid–vapor and solid–  
180 liquid, respectively (Young, 1805; Dupre, 1869).

181 The film was transferred into a flat surface and 5  $\mu\text{l}$  drops of the tested liquids (Milli-Q water,  
182 glycerol, methlethylene iodide and toluene) and film forming solution with a Hamilton syringe  
183 (100  $\mu\text{l}$ , Hamilton, Switzerland) placed on the film surface. The contact angle was measured with  
184 a contact anglemeter (OCA 20, Dataphysics, Germany) at natural light after 30s. The images were  
185 analyzed by Dino Lite Pro software.

186

### 187 2.5.3. Surface tension and critical surface tension determination

188 The surface tension of the blend film solutions ( $\gamma_L$ ) was obtained through the sessile drop method  
189 and Laplace–Young estimation (Song & Springer, 1996). The estimation of the critical surface  
190 tension ( $\gamma_C$ ) of the PVA film and PVA blends was determined through extrapolation from the  
191 Zisman plot (Zisman, 1964). Zisman plots were obtained by plotting the cosine of the contact  
192 angles ( $\cos \theta$ ) of a series of the four different liquids (two of which are polar) include Milli-Q  
193 water, glycerol, methlethylene iodide and toluene, as well as the film forming solution on the film  
194 surface against the surface tension of the same liquids. The extents of  $\gamma_C$  of the films are the mean  
195 of the extrapolation of  $\cos \theta$  at the intercept for the liquids that forms a straight line. Extrapolation  
196 of this line to the point where  $\cos(\theta) = 1$ , yields the  $\gamma_C$  value, which is almost equal to the liquid  
197 surface tension ( $\gamma_L$ ) at this point.

198

### 199 2.5.4. Surface free energy and wettability

200 The Owens–Wendt method (Owens & Wendt, 1969) was employed for obtaining the surface free  
201 energy and wettability based on the linear relationship between the contact angle and the surface  
202 tension of the film whose polar ( $\gamma_S^P$ ) and dispersive ( $\gamma_S^D$ ) interfacial energy components according  
203 to Eqs. (9) and (10):

204  $\gamma_S = \gamma_S^D + \gamma_S^P$  (9)

205  $\gamma_L(1 + \cos \theta) = 2 \times [(\gamma_S^D \gamma_L^D)^{0.5} + [(\gamma_S^P \gamma_L^P)^{0.5}]$  (10)

206 where,  $\gamma_L^D$  and  $\gamma_L^P$  are dispersive and polar surface tension of the specific liquid components.

207 There is a two unknowns in this equation i.e.  $\gamma_S^D$  and  $\gamma_S^P$ , so determination of the surface free

208 energy is inadequate to obtain these two components. It is however possible to attain the polar and

209 dispersive component of the surface tension from the intercept and slope of the curve. Rearranging

210 Eq. (10) yields:

211  $\frac{(1+\cos\theta)}{2} \times \frac{\gamma_L}{\sqrt{\gamma_L^D}} = \sqrt{\gamma_S^P} \times \sqrt{\frac{\gamma_L^P}{\gamma_L^D}} + \sqrt{\gamma_S^D}$  (11)

212 It has been proposed that the interfacial tension of liquid–vapor is the sum of contributions from

213 different intermolecular forces, according to existing attractive forces at a specific interface

214 (Dupre, 1869). In the case of a pure liquid, when polar and dispersive interactions are known, and

215 when the contact angle between the pure liquid and a solid is determined, the interaction can then

216 be defined using the adhesion coefficient ( $W_A$ ), as given using Dupre equation (Dupre, 1869):

217  $W_A = W_A^D + \gamma_A^P \Rightarrow 2 \times [(\gamma_S^D \gamma_L^D)^{0.5} + [(\gamma_S^P \gamma_L^P)^{0.5}] = \gamma_L(1 + \cos \theta)$  (12)

218 and the cohesion coefficient ( $W_C$ ), given by:

219  $W_C = 2\gamma_{LV}$  (13)

220 Then, the extent of spreading coefficient ( $W_S$ ) for a liquid upon a solid is the sum of adhesion and

221 cohesion coefficients obtained by:

222  $W_S = W_A - W_C = \gamma_{SV} - \gamma_{LV} - \gamma_{LS}$  (14)

223 This is the work required to separate the liquid and solid from being in contact at a liquid-solid

224 interface. It is noteworthy that the equilibrium spreading coefficient can only be positive or zero

225 for a fully wetting case, or can be negative or equal to zero for a partially wetting liquid.

226

#### 227 2.5.5. Morphological behavior by FE-SEM

228 The surface and cross section morphologies of the blend films were evaluated using a field-  
229 emission scanning electron microscope (S-4700, Hitachi, Japan). In the case of surface  
230 morphology, the specimens were coated with a thin layer of gold as in preparing any non-  
231 conducting material and 20.00 kX magnification objectives used. In the case of cross sectional  
232 assay, the film samples were first immersed in liquid nitrogen to freeze and then rapidly broken.  
233 Next, the specimens were attached on aluminum stubs by a double-sided tape, and coated with a  
234 thin layer of gold at 20 mA for 2 min (JEOL JFC-1600, Auto Fine Coater, Tokyo, Japan) and 3.00  
235 kX magnification objectives were used. All tests were performed with an accelerating voltage of  
236 5 kV.

237

#### 238 2.5.6. Crystallinity of the blend film

239 Crystalline property of the films was investigated by XRD (PHILIPS X-PERT PRO, Netherland)  
240 with 40 kV energy, 30 mA current and Co K $\alpha$  irradiation ( $\lambda= 1.54056 \text{ \AA}$ ). The samples were  
241 irradiated in the angle range of 2-90 $^\circ$  and scanned at a speed of 0.018 $^\circ$ /min at room temperature.

242

#### 243 2.6. Statistical analysis

244 After conducting the measurements, an analysis of data on CRD was carried out using the analysis  
245 of variance (ANOVA), followed by Duncan's test procedure in SPSS software (Version 19, SPSS  
246 Inc., Chicago, IL). A value of  $p < 0.05$  was considered to be statistically significant. The results of  
247 all the experiments were analyzed in triplicate and the mean and standard deviation of the data  
248 were reported.

249

### 250 3. Results and discussion

#### 251 3.1. Rheological characteristics

252 In order to reveal the appropriate concentration of each polymer aimed at incorporation into the  
253 PVA matrix, first we need to determine the chain entanglement of these polymers. The coil-overlap  
254 concentration was determined by plotting the zero shear specific viscosity ( $\eta_{sp}$ )<sub>0</sub> as a function of  
255 biodegradable polymer concentration (Fig.1a). There is a master curve, which encompasses all the  
256 polymers used in this work, although the data fit is inferior for the lower concentrations. It can be  
257 found from Fig. 1a that there are two regions in the curve, which can be characterized by the two  
258 different slopes in each curve. The first slope behavior has a linear trend, where the individual  
259 chains are extant as the separated coils, related to the line with a slope of ~ 1.4. Another slope  
260 curve has been introduced as the overall hydrodynamic volume of the individual separate chains  
261 more than the volume of the solution. The slope of the curves changed sharply at a particular  
262 concentration, where a much more rapid increase found in the specific viscosity. This point was  
263 considered as the polymer coil-overlap concentration, and for Na-alginate, gelatin,  $\kappa$ -carrageenan  
264 (KC), chitosan and carboxymethyl cellulose (CMC) determined around 0.125, 0.175, 0.275, 0.325  
265 and 0.375 g/dL, respectively.

266 It is indicated that the change of macromolecules conformation upon the coil overlap cause to the  
267 formation of the entanglements (Morris et al., 1981). Therefore, an aggregation in the chain causes  
268 by an entanglement involves reptation motion leads to a change in the chain conformational  
269 interaction (Doi & Edwards, 1988). At  $c < c^*$ , individual coils are separated and have little mutual  
270 interference, which flow behavior is Newtonian. Above  $c^*$  ( $c > c^*$ ), the chains become highly  
271 entangled that can move by reptating through the network and the macrostructure entanglement of  
272 those polymer formed due to the chain-chain interaction. When polymer concentration is the equal

273 or higher than its coil-overlap concentration, the chains are forced to interpenetrate and form an  
274 entangled system, which expected rigidity and tightly increased. This is more prominent in the  
275 some features of a polymeric film such as mechanical properties, where rigidity can increase the  
276 tensile strength of the film.

277 The intrinsic viscosity is a relationship between volume associated with a given mass of the  
278 polymer and coil overlap. According to the Huggins and Kraemer's equations, the intrinsic  
279 viscosity is obtained from the mean value of the extrapolation of  $(\eta_{rel}-1)/C$  and/or  $\ln(\eta_{rel})/C$  to  
280 zero concentration. As can be seen, the higher value of the intrinsic viscosity belongs to Na-  
281 alginate with a value of 30.59 dL/g, and the lower one pertains to the gelatin about 2.57 dL/g  
282 (Table 1). The intrinsic viscosity values for KC and gelatin were to some extent higher than that  
283 of other findings by some authors (Vreeman, Snoeren, & Payens, 1980; Brown, Foster, Norton, &  
284 Underdown, 1995).

285 The zero shear specific viscosity  $(\eta_{sp})_0$  versus the concentration of a polymer varies depends on  
286 the molecular weight and its conformation due to the corresponding changes in the coil overlap  
287 (Doi & Edwards, 1988). The logarithmic curve of the coil-overlap parameter, as shown by  $c[\eta]$ ,  
288 against  $(\eta_{sp})_0$  exhibits two distinct districts (Fig. 1b). It indicates an extent of the overall volume  
289 occupied by all coils within a biopolymer (Kyotani & Kanetsuna, 1972). It can be found from Fig.  
290 1b, the point of critical coil-overlap parameter ( $c^*[\eta]$ ) of Na-alginate, KC and chitosan is obtained  
291 around  $\sim 4$  (see Table 1), which is similar to the onset of coil-overlap parameter for the random  
292 coil polysaccharides that reported in previous studied (Morris et al., 1981). Moreover, the attained  
293 zero specific viscosity for the chitosan and KC at  $c^*[\eta]$  was somewhat lower than the report of  
294 Morris and co-workers (1981). These authors reported that  $(\eta_{sp})_0$  of the random-coil  
295 polysaccharides have a value of  $\sim 10$  at the intersection point. In the some cases, the rigid

296 biopolymers can be more efficiently packed ([Smidsrød & Haug, 1971](#)). Hence, the lower shear  
297 specific viscosity value regarding chitosan and KC at point of  $c^*[\eta]$  may be attributable to the  
298 more rigid conformation of the biopolymers structure.

299

## 300 3.2. Physical properties of the blend films

### 301 3.2.1. Water solubility (WS)

302 The resistance against water or water solubility can be determined by measuring the total soluble  
303 fraction of the film ([Rhim, 2012](#)). As PVA is highly soluble in water, any improvement in the  
304 withstanding against water is extremely essential. As it can be seen in Table 2, the water solubility  
305 of PVA decreased as a function of the incorporation treatment with a considerable decrease  
306 regarding PVA/KC blend. Overall, the WS value of the PVA from 48.9% decreased to 44.0% after  
307 introducing of gelatin, and when Na-alginate and CMC are incorporated further decrease observed  
308 to around 40.5%. Comparison between the neat PVA and PVA/chitosan films exhibited that WS  
309 much more reduced to 29.4%. The development of hydrogen bonds by chitosan introducing may  
310 be inaccessible the hydrophilic groups of PVA from water. Although, some authors believe that  
311 increasing the number of the amino groups on the blend film leads to a decrease in WS ([Wu,  
312 Zhong, Li, Shoemaker, & Xia, 2013](#)). There is a greater decrease in WS of the PVA/KC compared  
313 the other blends, which allows the WS value decreased to 25.1%. The entangled KC chains can be  
314 formed more hydrogen interactions with PVA strands, in which the polar groups of PVA less  
315 exposure to water molecules ([Shahbazi, Rajabzadeh, Ettelaie, & Rafe, 2016](#)).

316

### 317 3.2.2. Water barrier properties

318 Water vapor permeability (WVP) test is a common method to evaluate the potential of vapor  
319 penetration into the packaging, which is considered as an important parameter to design the

320 packaging film (Binsi, Ravishankar, & Srinivasa Gopal, 2013). Table 2 shows the changes in WVP  
321 of the PVA film with respect to incorporation of the various biodegradable polymers. In our  
322 permeability study, a considerable increase in the barrier property was observed in the films that  
323 containing Na-alginate, KC and chitosan. Conversely, the incorporation of gelatin had a  
324 detrimental effect on the barrier property of PVA film, in contrast to that of the intact film. It is  
325 may be due to increasing the free-volume of the blend matrix results from the bulkier anionic side  
326 groups of the gelatin (Tong, Xiao, & Lim, 2008). It is worth noting that WVP value regarding  
327 PVA/CMC blend was almost similar to the neat PVA film. An improvement in the barrier property  
328 noticed after chitosan incorporation, where WVP from an initial value of  $7.42 \times 10^{-7}$  g/m.s.Pa  
329 reduced to  $1.03 \times 10^{-7}$  g/m.s.Pa. In the case of PVA/Na-alginate blend, the WVP value fell  
330 dramatically down to  $0.11 \times 10^{-7}$  g/m.s.Pa. The Na-alginate chains at the entanglement point can  
331 have more strong interaction with PVA strands. This provides a denser structure in the film matrix,  
332 where H<sub>2</sub>O molecules hardly pass through the film matrix. Paula et al., (2015) reported that  
333 incorporation of Na-alginate into KC matrix leads to a notable decrease in WVP by 90%. The  
334 WVP results also represent a prominent resistance to the vapor transition in the PVA/KC film. The  
335 development of relatively tough structure is a result of linkage between hydrophilic groups of KC  
336 and PVA, which block the paths of water transport due to a reduction in the free volume of the  
337 film matrix (Xu, Kim, Hanna, & Nag, 2005).

338

### 339 3.2.3. Mechanical properties

340 The intermolecular and intramolecular interactions between biopolymer chains are the main  
341 factors affecting the film mechanical properties. These interactions relate to the arrangement and



342 orientation of the chains in the biopolymer matrix, which often can be improved by the synthesis  
343 of blend films (Cuq, 2002).

344 The influence of the various biodegradable polymers on the tensile strength (TS) of PVA film is  
345 summarized in Table 2. As can be seen, the TS value of the PVA film was almost unchanged after  
346 incorporation of CMC (about 33 MPa). On the other hands, the extent of TS decreased when  
347 gelatin incorporated into the PVA matrix. The decline in the TS of a blend system can be ascribed  
348 to increased stress in the continuous phase (PVA) owing to the lack of the stress transfer across  
349 the blend matrix interface. Table 2 showed that the TS value of PVA film increased after  
350 introducing the Na-alginate, KC and chitosan, among them the lowest value belonged to  
351 PVA/chitosan film. Increase in the TS value is more pronounced, particularly concerning the  
352 PVA/KC film. The TS value of the neat PVA film was obtained 33.4 MPa, but increased to 71.2  
353 MPa regarding PVA/KC film. The more improvement in stiffness of the blend film may be  
354 attributed to the formation of hydrogen bonds between biopolymer molecules. This makes the  
355 structure of the blend film to be much denser than the neat one (Cheftel, Cuq, & Lorient, 1985).

356 The biopolymers dependency of elongation at break (EB) for the blend film is also presented in  
357 Table 2. There is no significant difference in the EB after incorporation of CMC ( $p < 0.05$ ). The  
358 lowest EB belonged to the films containing Na-alginate and KC. It is observed that the EB value  
359 of the neat film from 28.4% decreased to 21.2 and 17.4% after blending with Na-alginate and KC,  
360 respectively. To explain this phenomenon the authors believe that the polar groups of biopolymers  
361 can form hydrogen bonds, which results in some degree of resistance against stretching (Xiao,  
362 Lim, & Tong, 2012).

363

364 3.3. Structural evaluation of PVA/KC blend

365 The obtained results already verified that the PVA/KC was as a superior blend in term of physico-  
366 mechanical properties. The following six sections are dedicated to describe in-depth some of the  
367 most structural properties of PVA film after incorporation with KC at the lower and upper the coil-  
368 overlap point of KC chains, in particular FT-IR spectroscopy, contact angle and wettability assays,  
369 surface free energy, microstructural evaluation by FE-SEM and X-ray diffraction.

370

### 371 3.3.1. FT-IR spectroscopy

372 FT-IR spectra of neat PVA, pure KC and their blends are given in Fig. 2. In the IR spectrum of  
373 KC, several noticeable characteristic peaks, ranging from 4000 to 400  $\text{cm}^{-1}$ , are observed. The  
374 characteristic peak of KC shows a maximum at 1225  $\text{cm}^{-1}$ . This can be attributed to the S–O  
375 asymmetric vibrational mode. Similarly, a band detected in 1040–1070  $\text{cm}^{-1}$  region is due to C–O  
376 and C–OH vibrations (Matsuhiro & Rivas, 1993). Furthermore, a well-defined band at 845–850  
377  $\text{cm}^{-1}$  corresponds to  $\alpha$  (1–3)-D-galactose C–O–S vibration.

378 The incorporation of KC up to 0.2 g/dL into PVA did not initiate any shifts of the peaks for the  
379 blends or caused the appearance of any new bands. In principle, then, this shows that there are no  
380 significant interactions between PVA and KC chains, at this level of KC. The intensity of the  
381 typical peak of PVA films containing 0.3 and 0.4 g/dL KC did decay in the first region (3700-2850  
382  $\text{cm}^{-1}$ ). This is also somewhat true in the second area (1800-1500  $\text{cm}^{-1}$ ). For example, the intensity  
383 of C=O band at around 1740  $\text{cm}^{-1}$  observed to decrease after KC incorporation due to consumption  
384 of further free carbonyl groups. In the fingerprint region, the IR spectrum of PVA drastically  
385 changed with KC introducing at the level of the coil-overlap concentration. The appearance of  
386 several new characteristic bands regarding KC on the PVA spectrum observed at 1225  $\text{cm}^{-1}$   
387 (assigns to S–O vibrational mode), 1070  $\text{cm}^{-1}$  and 850  $\text{cm}^{-1}$  (regards to C–O–S vibrational mode),

388 which is a result of KC incorporating at the levels of 0.3 and 0.4 g/dL. This proves the presence of  
389 the higher degree of substitution of  $\alpha$  (1–3)-D-galactose bond of KC in the blend structure.

390

### 391 3.3.2. Contact angle

392 The wetting property is determinative of the ability of a liquid to maintain contact with a surface  
393 of a solid, which corresponds to the intermolecular interactions between biopolymers in a film.  
394 Commonly, contact angle (CA) is used to evaluate the change in the surface hydrophobicity of  
395 blend film.

396 Table 3 shows the CA values of the four solvents (water, glycerol, methylene iodide and  
397 toluene), as well as the film forming solution drops on the PVA film surface, which the highest  
398 value belongs to water ( $\theta=56.8^\circ$ ). Water has a much stronger interaction with PVA film than with  
399 the others, confirming that the PVA-based film has mostly a hydrophilic nature. The opposite is  
400 observed with toluene, which is a very apolar solvent, thus has the lowest value of CA ( $\theta=18.2^\circ$ ).

401 The influence of various KC contents on the water contact angle of the PVA film can be seen in  
402 Table 4. The CA value of the PVA films consist 0.1 and 0.2 g/dL of KC is similar to the neat PVA  
403 film ( $\theta \approx 56-58^\circ$ ). An increase in the water contact angle of the PVA film by a value of  $11.3^\circ$   
404 observed, when KC at the point of its entanglement incorporated into the film matrix. Among the  
405 PVA films that have undergone the incorporation treatment, the film contains 0.4 g/dL KC was  
406 accompanied by the highest CA value ( $\theta=76.5^\circ$ ). This improvement essentially results from the  
407 formation of hydrogen bonds in the blend, leading to further rigidity of the film matrix.

408 The effect of KC on the CA of the biodegradable film has rarely been addressed by researchers. In  
409 one study conducted by Rhim (2012), the blend film based on agar/KC with various ratios prepared

410 and the results showed that the ratio of 75/25 agar to KC had a greater value of CA. This author  
411 also found that increase in KC amount did not affect the surface hydrophobicity of the blend.

412

### 413 3.3.3. Surface tension and critical surface tension

414 This experiment was intended to evaluate the compatibility of PVA/KC film forming solutions  
415 when KC in a range close to  $c^*$  incorporated into the PVA matrix. Most importantly, to confirm  
416 the sufficient bonding, spreading and wetting, the film forming blend solution should have a liquid  
417 surface tension ( $\gamma_L$ ) higher than the critical surface tension ( $\gamma_C$ ) of the PVA-based film forming  
418 solution.

419 Fig. 3 shows a Zisman plot with  $\cos \theta$  plotted against surface tension for the tested liquids, as well  
420 as the film forming solutions of PVA and PVA/KC blends. The high hydrophilicity of water and  
421 glycerol can lead to a strong interaction between these two liquids with PVA polymer. After  
422 incorporating KC at the levels of 0.3 and 0.4 g/dL, the value of  $\gamma_C$  decreased notably (that indicated  
423 by  $\gamma_{C2}$ ). The formation of entangled chains in KC molecule demonstrates this difference. The  
424 interaction of KC with PVA consumes more hydroxyl groups of PVA, leading to a decrease of the  
425 surface tension. By comparing the critical surface tension between the blends containing KC at the  
426 levels of 0.3 and 0.4 g/dL, it is found that PVA containing 0.4 g/dL KC had a lower  $\gamma_C$  value than  
427 0.3 g/dL sample. In general, the result of Fig. 3 revealed that the all film forming solutions had a  
428  $\gamma_C$  value in the range of 26.1–29.19 mN/m, which is very comparable to those for the common  
429 polymers (Han, Zhang, & Buffo, 2005). According to the Zisman plot, liquids with  $\gamma_L \leq \gamma_C$  will  
430 fully spread on the film surface, thus wetting the surface. Therefore, it can be inferred that the  
431 liquids with  $\gamma_L \leq 26.1$  mN/m can wet the film effectively. Since the incorporation of KC at the point  
432 of  $c^*$  decreased the film surface tension, the wettability of the PVA-based film decreases. It must

433 be borne in the mind that  $\gamma_C$  is the critical surface energy of wetting and not equal to the actual  
434 surface energy of the films, which actually is higher than  $\gamma_C$ , particularly when considering the  
435 polar character of PVA.

436 Optimization of the wettability coefficient ( $W_S$ ) requires optimization of adhesion work ( $W_A$ ) and  
437 cohesion work ( $W_C$ ). Cohesion force causes the shrinkage and adhesion ones drive the spreading  
438 of the liquid. The wettability results are presented in Table 3. The higher  $W_C$  found in the case of  
439 the film forming solution droplet of the neat PVA and the lower one relates to PVA containing 0.4  
440 g/dL KC. Higher cohesive value of a film forming solution results in the contraction of the droplet  
441 and hence preventing its spreading. So, it is expected that the PVA film forming solution consists  
442 0.4 g/dL KC, with the lowest  $W_C$ , more wet the film surface than the other film forming solutions.  
443 But since this sample clearly had a lowest adhesion work, so  $W_A$  value acted as a dominant factor  
444 for preventing from spreading. The  $W_S$  value of neat PVA film forming solution decreased notably  
445 with the inclusion of KC at the levels of 0.3 and 0.4 g/dL from -2.5 down to -2.8 and -3.2,  
446 respectively.

447

#### 448 3.3.4. Surface free energy

449 The values of the surface free energy and dispersive and polar components of the neat and blend  
450 PVA films are shown in Fig. 4 and data summarized in Table 4. For the pure PVA film, the surface  
451 free energy and the polar component were found to be higher in comparison to the blend films.  
452 This demonstrates the ability of PVA to take part in polar interactions with polar liquids. The  
453 existence of more hydrophilic functional groups in the PVA film led to an increase of the interfacial  
454 free energy with water, raising the polar phase. The KC at a level of 0.1 and 0.2 g/dL had no  
455 prominent effect on the surface free energy relative to that of the neat PVA. In contrast, the films

456 containing 0.3 and 0.4 g/dL KC showed a lower interfacial free energy and reduced polar  
457 component compared to the neat PVA. These results confirm that the incorporation of KC at the  
458 entangled point, contribute to the increase in the film hydrophobicity. Conversely, KC chains  
459 which are presented as the disordered form, below its entanglement concentration, did not  
460 noticeably change the interfacial energy of the pure PVA film.

461

### 462 3.3.5. Microstructural evaluation

463 FE-SEM photographs of the neat PVA film and PVA/KC blends are shown in Fig. 5. The neat  
464 PVA film has a non-uniform and rough structure with many apparent micro-cracks and gaps on its  
465 surface (Fig. 5a). This can be further supported with a result of the lack of a suitable plasticizer in  
466 the neat PVA film. The plasticizers with decrease the intramolecular force between chains leads  
467 to the further mobility of the chain strands. This leads to an increase in the flexibility and elasticity  
468 of the film matrix.

469 The micrographs clearly displays that the surface morphology of PVA film become uniform with  
470 an even morphology after blending with KC (Figs. 5c-d). Although, the PVA film containing 0.1  
471 g/dL KC retains uneven with some cracks on its surface (Fig.5b). The micrograph in Fig.5c shows  
472 the blend containing 0.2 g/dL of KC. This blend has a fairly smooth and flat morphology without  
473 any fracture in the film matrix. It is clear that the cracks have all but disappeared and the surface  
474 has become more uniform with the addition of 0.3 g/dL KC to the PVA matrix (Fig. 5d). The most  
475 prominent effect of KC introducing at the level of 0.4 g/dL into the PVA was the loss of film  
476 integrity and turns it into a rough structure (Fig. 5e). This can be explained by the development of  
477 some aggregates of KC chains with further addition of KC, up to 0.4 g/dL when incorporated into  
478 the PVA matrix.

479 Fig. 6 illustrates the cross-sectional micrographs of the PVA film and its blends with KC. Uneven  
480 fracture cross sections are apparent in the neat PVA film and PVA containing 0.1 g/dL KC (Figs.  
481 6a and 6b). On the other hand, the cross-sectional microstructure of PVA containing 0.2 g/dL KC  
482 showed that some degree of roughness, but have a fairly uniform homogeneous texture (Fig 6c).  
483 In the cross section micrograph of PVA containing 0.3 g/dL KC, the blend showed a more  
484 homogeneous texture without any prominent phase separation, suggesting a good level of  
485 miscibility between PVA and KC (Fig. 6d). When KC was incorporated at the level of 0.4 g/dL, a  
486 rough structure in the blend film observed (Fig. 6e).

487

#### 488 3.3.6. XRD assay

489 The XRD pattern of the PVA film and its blends are shown in Fig. 7. Pure PVA showed a sharp  
490 characteristic diffraction peak at an angle of  $2\theta=19.6^\circ$ , which is also observed for the other blends.  
491 As can be seen in Fig. 7, the diffraction pattern of KC has a pronounced peak at  $2\theta=6.2^\circ$ , along  
492 with another broad hump in the range of  $2\theta=9.4-27.7^\circ$ , which indicated an amorphous or somewhat  
493 semi-crystalline form of KC structure. The crystallinity of KC mostly depends on the oriented  
494 packing of the chain helices in this biopolymer (Anderson, Campbell, Harding, Rees, & Samuel,  
495 1969). The PVA pronounced peak after blending with 0.1 and 0.2 g/dL KC, was found not to  
496 change or emergence new peak. This is attributed to the facts that at these concentrations, KC  
497 chains have a coil-like conformation and has not as yet overlapped with each other. As already  
498 mentioned, in the dilute region, KC chains exist as a spatially separate individual coil and the  
499 molecular conformation has an amorphous state. The phase transition from amorphous to semi-  
500 crystalline state occurs at a concentration above the level of critical coil-overlap point. So, KC at

501 the levels of 0.1 and 0.2 g/dL still retains its amorphous nature and, therefore, has no effect on the  
502 peaks arising from PVA in the spectra.

503 The deeper change was happened in the XRD pattern of PVA, when KC incorporated at the point  
504 of  $c^*$ . With the addition of KC at the levels of 0.3 and 0.4 g/dL, a significant peak appeared at  
505  $2\theta=6.2^\circ$  on PVA peak, corresponding to the characteristic peak of KC. The interaction and binding  
506 between biopolymer strands, i.e. PVA and KC, gives rise to a certain degree of crystallinity in the  
507 blend structure, as characterized by the distinctive peak at two theta of  $6.2^\circ$ .

508 In the case of d-spacing of PVA layers ( $d_{001}$ ), the KC at the lower point of its coil-overlap could  
509 not be changed d-spacing of the PVA layers, but at the levels of 0.3 and 0.4 g/dL, the extent of  $d_{001}$   
510 value was decreased notably. The peak that is located at  $2\theta=19.6^\circ$  regarding the neat PVA film  
511 and those containing 0.1 and 0.2 g/dL KC, have comparable  $d_{001}$  spacing value ( $5.1\text{\AA}$ ). This result  
512 indicates that the crystalline structure of the PVA blend films was not significantly affected by KC  
513 at the disorder state. In contrast the  $d_{001}$  value of the sample contains 0.3 g/dL KC from an initial  
514 value of  $5.1\text{\AA}$  fell down to  $4.6\text{\AA}$ . A further decrease in  $d_{001}$  observed in the sample contains 0.4  
515 g/dL KC, which in this, d-spacing considerably decreased to  $4.3\text{\AA}$  at the angle of  $2\theta=19.6^\circ$ . This  
516 is an indication that the intermolecular interaction between PVA and KC happens in the crystalline  
517 region of PVA.

518

#### 519 **4. Conclusion**

520 Binary blend films of poly (vinyl alcohol) were developed using selected biodegradable polymers  
521 based on Na-alginate, gelatin, chitosan,  $\kappa$ -carrageenan and carboxymethyl cellulose. Preliminary  
522 results showed that the binary blend films exhibited relatively good water barrier property and  
523 resistance against water, as well as somewhat good mechanical properties, except poly (vinyl



524 alcohol/gelatin film. Among the biodegradable polymers that were introduced into poly (vinyl  
525 alcohol) matrix,  $\kappa$ -carrageenan was highly suitable to form a binary blend film due to low water  
526 solubility and water vapor permeability values, as well as high tensile strength parameter.  
527 Therefore, the effects of disorder-to-order transition chains of  $\kappa$ -carrageenan on poly (vinyl  
528 alcohol) matrix were performed by structural studies. Hydrophobicity of poly (vinyl alcohol) film  
529 increased with  $\kappa$ -carrageenan incorporating, especially at the point of its chain coil-overlap or  
530 higher. It can be concluded that the characteristic peak regarding  $\kappa$ -carrageenan was appeared in  
531 the fingerprint region of poly (vinyl alcohol) at the above point of chain entanglement of  $\kappa$ -  
532 carrageenan. The coil-overlap effect of  $\kappa$ -carrageenan was reflected in the surface morphology of  
533 poly (vinyl alcohol), where micro-cracks were eliminated. At the point of  $\kappa$ -carrageenan entangled  
534 chains or higher, the new pronounced peak appeared on poly (vinyl alcohol) pattern as shown by  
535 X-ray diffraction.

536

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