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CO₂ over the past 5 million years: continuous simulation and new $\delta^{11}\text{B}$ -based proxy data

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Abstract

During the past five million years, benthic $\delta^{18}\text{O}$ records indicate a large range of climates, from warmer than today during the Pliocene Warm Period to considerably colder during glacials. Antarctic ice cores have revealed Pleistocene glacial-interglacial CO₂ variability of 60-100 ppm, while sea level fluctuations of typically 125 m are documented by proxy data. However, in the pre-ice core period, CO₂ and sea level proxy data are scarce and there is disagreement between different proxies and different records of the same proxy. This hampers comprehensive understanding of the long-term relations between CO₂, sea level and climate. Here, we drive a coupled climate-ice sheet model over the past five million years, inversely forced by a stacked benthic $\delta^{18}\text{O}$ record. We obtain continuous simulations of benthic $\delta^{18}\text{O}$, sea level and

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CO₂ that are mutually consistent. Our model shows CO₂ concentrations of 300 to 470 ppm during the Early Pliocene. Furthermore, we simulate strong CO₂ variability during the Pliocene and Early Pleistocene. These features are broadly supported by existing and new $\delta^{11}\text{B}$ -based proxy CO₂ data, but less by alkenone-based records. The simulated concentrations and variations therein are larger than expected from global mean temperature changes. Our findings thus suggest a smaller Earth System Sensitivity than previously thought. This is explained by a more restricted role of land ice variability in the Pliocene. The largest uncertainty in our simulation arises from the mass balance formulation of East Antarctica, which governs the variability in sea level, but only modestly affects the modeled CO₂ concentrations.

Keywords:

Carbon dioxide, global climate, global ice volume, sea level, Plio-Pleistocene, proxy data

1 **1. Introduction**

2 The long-term interactions between CO₂, temperature and sea level are
3 a topical issue in climate science. Recently, there have been a number of at-
4 tempts to quantify these interactions by studying data from paleo archives.
5 For instance, CO₂ data of Antarctic ice cores and sea level reconstructions
6 from Red Sea sedimentary archives show a close linear correlation over the
7 past 516 ka (Foster and Rohling, 2013). However, an analysis of sea level
8 and temperature records spanning the Cenozoic has indicated a non-linear
9 relation between these variables (Gasson et al., 2012). In the pre-ice core
10 period, CO₂ and sea level data remain scarce. Moreover, uncertainties in

11 CO₂ reconstructions are large and there is inter-proxy as well as intra-proxy
12 disagreement (Masson-Delmotte et al., 2013; Beerling and Royer, 2011). This
13 limits either the scope or the skill of such reconciling studies.

14 Benthic foraminiferal $\delta^{18}\text{O}$ records currently provide a more continuous
15 and abundant data source on multi-million year timescales (Lisiecki and
16 Raymo, 2005; Zachos et al., 2008). A complicating factor, however, is the
17 interpretation of benthic $\delta^{18}\text{O}$, because it comprises both an ice volume and
18 a deep-sea temperature component. To untangle their relative contributions,
19 two different approaches have been applied so far, namely (1) the use of inde-
20 pendent deep-sea temperature proxies such as Mg/Ca of foraminiferal tests,
21 and (2) ice-sheet modeling.

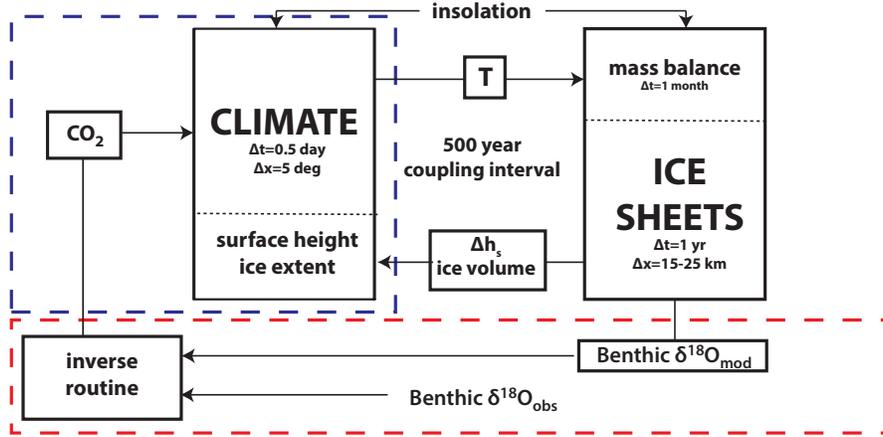
22 In this study, we expand on the model-based approach to deconvolute the
23 $\delta^{18}\text{O}$ signal into temperature and sea level, including the simulation of CO₂.
24 We introduce an inverse routine to iteratively calculate CO₂ concentrations
25 over the past five million years from benthic $\delta^{18}\text{O}$. The CO₂ is used to drive a
26 recently developed coupled ice sheet-climate model (Bintanja, 1997; De Boer
27 et al., 2010; Stap et al., 2014), which contains a scheme to calculate benthic
28 $\delta^{18}\text{O}$. In earlier work, this coupled model has been shown to be capable of
29 reproducing glacial-interglacial cycles of ice volume and temperature over the
30 past 800 kyr in forward mode, using CO₂ from ice cores as input (EPICA
31 community members, 2004; Stap et al., 2014). We now force the model in-
32 versely by a stacked benthic $\delta^{18}\text{O}$ record (Lisiecki and Raymo, 2005), which
33 enables us to study ice sheet-climate interactions in a broader range of cli-
34 mates. This new integrated approach improves upon earlier studies using an
35 inverse benthic $\delta^{18}\text{O}$ routine (Bintanja and Van de Wal, 2008; De Boer et al.,

36 2010, 2014) by including a climate model in the coupled set-up. It therefore
37 facilitates a better representation of the deep-ocean temperature, as well as
38 the simulation of seasonally-varying meridional-temperature profiles, rather
39 than annual mean and globally uniform temperature perturbations with re-
40 spect to pre-industrial climate. Moreover, in these earlier studies informa-
41 tion on CO₂ was lacking. Taking a hybrid model-data approach, Van de Wal
42 et al. (2011) obtained a continuous CO₂ reconstruction from a log-linear fit
43 between modeled temperature and proxy CO₂ data. Here, however, CO₂ is
44 incorporated in the model as a prognostic variable. Therefore, the simulated
45 CO₂ is mutually consistent with eustatic sea level (ice volume equivalent),
46 and with monthly mean atmospheric and oceanic temperatures, as deduced
47 from benthic $\delta^{18}\text{O}$. This improves our understanding of the role of CO₂ in
48 climate variability.

49 We interpret our simulated CO₂ by studying the long-term relation be-
50 tween CO₂ and global surface air temperature in our model, known as Earth
51 System Sensitivity (ESS), which is affected by the interaction between ice
52 sheets and climate. In addition, we test the sensitivity of our CO₂ simula-
53 tion to the modeled strength of the meridional ocean overturning, as well as
54 to the formulation of the mass balance of East Antarctica and the relation
55 between deep-sea temperature and $\delta^{18}\text{O}$. Finally, we compare our simulated
56 CO₂ to existing CO₂ proxy data (Hönisch et al., 2009; Seki et al., 2010; Bar-
57 toli et al., 2011; Martínez-Botí et al., 2015a; Pagani et al., 2010; Zhang et al.,
58 2013; Badger et al., 2013), complemented by a new $\delta^{11}\text{B}$ -based record.

59 **2. Model and methods**

60 *2.1. Coupled ice-sheet-climate model and benthic $\delta^{18}\text{O}$ calculation*



61
62 **Figure 1: Schematic overview of the coupled model.** *Novelties in the*
63 *set-up with respect to Stap et al. (2014) are marked by a red dashed line, and*
64 *to De Boer et al. (2010) by a blue dashed line.*

65
66 We use a recently developed coupled climate-ice sheet model (Stap et al.,
67 2014). In this coupled set-up, the climate component is represented by a zon-
68 ally averaged energy balance climate model, developed by Bintanja (1997)
69 based on the model of North (1975). This climate model is tested for sensi-
70 tivity to some important parameters in Bintanja (1997). It calculates surface
71 temperature in zonal belts of 5° latitudinal and one layer vertical resolution,
72 forced by 1000-yr resolution insolation (Laskar et al., 2004). It uses a ra-
73 diative transfer scheme and parameterises energy transfer from the equator
74 towards the poles as a diffusive process. Surface albedo is determined by the
75 subdivision of the land surface into (potentially snow covered) grass, forest

76 and land ice. A zonally averaged ocean component of 5° resolution with 6
77 vertical layers, including a 1.25° thermodynamical sea-ice routine, is used to
78 simulate the large-scale meridional ocean overturning. The ocean overturn-
79 ing strength is variable depending on the temperature difference between the
80 polar and equatorial waters (Stap et al., 2014). The sensitivity of the coupled
81 model to this formulation will be tested in Section 3.2.2.

82 The climate model is forced by CO_2 yielded by the inverse routine (Sec-
83 tion 2.2). As discussed in Stap et al. (2014), the radiative forcing of CO_2 is
84 enhanced by a factor 1.3 to account for the influence of other greenhouse gases
85 (CH_4 and NO_2). The climate model is first run for 500 model years. There-
86 after, a one-dimensional ice sheet model is run for the same 500 years. This
87 ice sheet model, described in detail in De Boer et al. (2010), obtains ice veloc-
88 ities from the commonly used Shallow Ice Approximation (SIA). It calculates
89 ice volume and surface height change of the five hypothetical axisymmetrical
90 continents where the major ice sheets grow (North America (NaIS), Eura-
91 sia (EuIS), Greenland (GrIS), East-Antarctica (EAIS) and West-Antarctica
92 (WAIS)), including the height-mass-balance feedback. The continents are
93 located at different latitudes and initially they are cone-shaped. Their differ-
94 ent centre heights and slopes determine the maximum size and sensitivity to
95 temperature of the ice sheets. The mass balance routine is forced by monthly
96 temperatures (T) from the latitude in the climate model where the ice sheets
97 are located (Stap et al., 2014). Precipitation P is obtained based on the
98 Clausius-Clapeyron equation:

$$P = P_0 e^{0.04T - R/R_c}, \quad (1)$$

99 where R is the radius of the ice sheet. P_0 and R_c are the present-day pre-

100 cipitation and critical radius respectively. An insolation-temperature melt
 101 equation is used to calculate ablation on the different ice sheets:

$$M = [10T + 0.513(1 - \alpha)Q + C_{abl}]/100. \quad (2)$$

102 Here, α is surface albedo, and Q local radiation obtained from Laskar et al.
 103 (2004) (Stap et al., 2014). Ice-sheet dependent tuning factors C_{abl} determine
 104 the threshold for which ablation starts. In Section 3.3.1 we will test the sen-
 105 sitivity of the model to the C_{abl} value of East Antarctica. All free parameter
 106 values (centre height, slope, P_0 , R_c and C_{abl}) for the ice sheets are listed in
 107 Table 1. In Stap et al. (2014), the tuning targets are discussed.

108 **Table 1:** *Model parameters for the ISM: centre height H_{cnt} , slope of the*
 109 *initial bed s , reference precipitation P_0 , critical radius R_c , ablation*
 110 *parameter C_{abl} , isotopic sensitivity β_T and isotopic lapse rate β_Z .*
 111 *Starred values indicate parabolic profiles, values given in m^{-1}*

Parameter	Unit	EuIS	NaIS	GrIS	EAIS	WAIS
H_{cnt}	m	1,400	1,400	800	1,450	400
s	-	-0.0000165*	-0.0000115*	-0.0014	-0.0010	-0.0011
P_0	m yr ⁻¹	0.88	1.15	1.34	0.71	1.37
R_c	km	1,500	1,800	750	2,000	700
C_{abl}	-	-51	-41	-48	-30	-5
β_T	‰ K ⁻¹	0.35	0.35	0.35	0.6	0.8
β_Z	‰ km ⁻¹	-6.2	-6.2	-6.2	-11.2	-11.2

113 After the ice-sheet model has run 500 model years, the climate model
 114 receives the ice volume and surface height change (Δh_s) information. This
 115 is translated into ice extent, affecting the surface albedo, and surface height

116 at the latitudes where the ice sheets are assumed to be located (Stap et al.,
 117 2014). With these new boundary conditions implemented, the climate model
 118 runs the next 500 years (Fig. 1). Applying a shorter coupling time interval
 119 does not lead to significantly different temperature and sea level output (Stap
 120 et al., 2014).

121 The ice sheet model includes a parameterisation of benthic $\delta^{18}\text{O}$ values
 122 (De Boer et al., 2010) using the following equation:

$$\delta^{18}\text{O} = [\delta^{18}\text{O}_b]_{PD} - \frac{\overline{\delta^{18}\text{O}_i V_i}}{V_o} + \left[\frac{\overline{\delta^{18}\text{O}_i V_i}}{V_o} \right]_{PD} + \gamma \Delta T_o. \quad (3)$$

123 The first term on the right hand side is the observed present-day value of
 124 benthic $\delta^{18}\text{O}$. The influence of the ice sheets on the signal is represented by
 125 the second and third term. Here, V_o and V_i are volume of the ocean and land
 126 ice respectively. The following formulation of the isotopic content of the ice
 127 sheets is adopted (Cuffey, 2000):

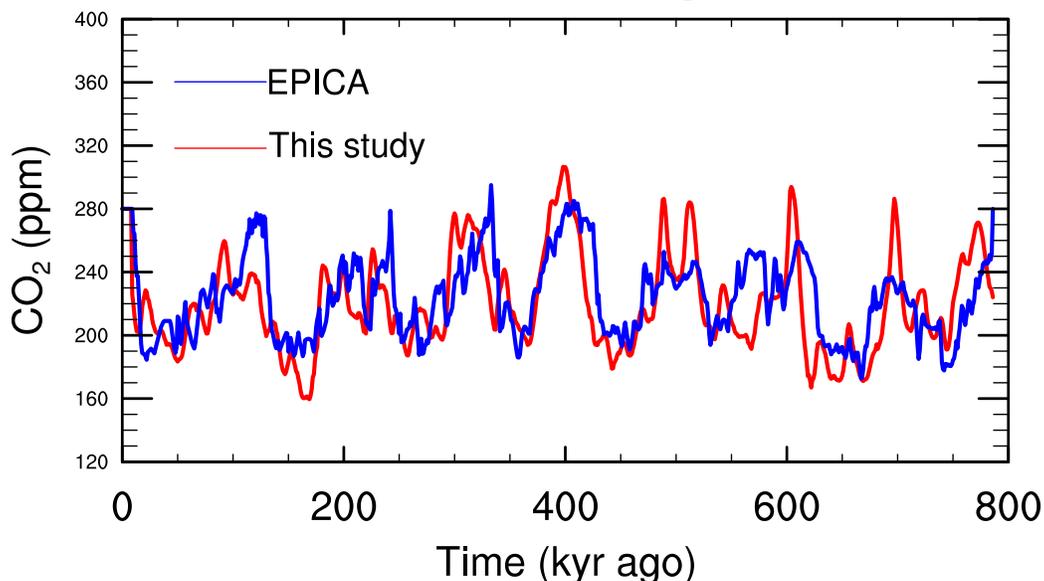
$$\delta^{18}\text{O}_i = \delta^{18}\text{O}_{PD} + \beta_T \Delta T + \beta_Z \Delta Z. \quad (4)$$

128 Here, β_T and β_Z are ice-sheet dependent parameters, that determine the in-
 129 fluence of annual mean temperature change (ΔT) and surface height change
 130 (ΔZ); their values are the same as used by De Boer et al. (2010) (Table 1).
 131 Present-day isotopic contents match the modeled values of an earlier study by
 132 Lhomme et al. (2005). The final term on the right hand side of Eq. 2 quanti-
 133 fies the influence of deep-sea temperature change with respect to present day
 134 (ΔT_o). Gain factor γ is set to 0.28 ‰K^{-1} , taken from a paleotemperature
 135 equation (Duplessy et al., 2002). We assess the sensitivity of the model to
 136 this value in Section 3.3.3. The deep-sea temperature perturbation is deter-
 137 mined from the climate model as the 40-80° N mean of the second vertical

138 ocean layer, representative of the mid-latitude North Atlantic deep ocean.

139 The energy balance and 1-D ice-sheet models used in our study are less
140 comprehensive than current intermediate complexity (EMICs) and general
141 circulation models (GCMs), and 3-D ice sheet models. However, they have
142 the advantage of allowing for several five-million-year integrations of the cou-
143 pled ice sheet-climate system, while capturing the relevant large-scale phys-
144 ical processes, notably the interaction between ice sheets and climate (Stap
145 et al., 2014).

146 *2.2. Inverse benthic $\delta^{18}\text{O}$ routine to calculate CO_2 concentration*



147

148 **Figure 2: Data-model comparison of CO_2 over the past 800 kyr.**

149 *Modeled CO_2 over the past 800 kyr (red), compared to the EPICA ice-core*
150 *record (EPICA community members, 2004) interpolated to 1000-yr temporal*
151 *resolution (blue).*

152

153 We use an inverse forward modeling approach to calculate CO₂ from ben-
 154 thic δ¹⁸O data. This is achieved by a two-step iterative routine. Each 1000-
 155 year cycle starts with an update of the insolation input. At this first iteration
 156 step, a new CO₂ concentration is obtained from the difference between the
 157 modeled benthic δ¹⁸O value and the observed value 500 years later:

$$CO_2 = \overline{CO_2} * \exp[c * \{\delta^{18}O(t) - \delta^{18}O_{obs}(t + 0.5kyr)\}]. \quad (5)$$

158 The coupled model is run for 500 model years. Thereafter, as a second it-
 159 eration step Eq. 5 is applied again. The model is then run for another 500
 160 years, using the updated CO₂, but still forced by the same insolation. While
 161 in principle this yields 500 year-resolution CO₂, only the results after the
 162 second iteration step are recorded and displayed in this paper. The temporal
 163 resolution of the simulated CO₂ is therefore 1000 years. This is the desired
 164 resolution, as the physics in our model are not detailed enough to capture
 165 sub-millennial climate variations (Stap et al., 2014). We justify excluding
 166 the intermediate CO₂ values, by running the model again in forward mode,
 167 forced by the 1000-yr resolution simulated CO₂ record; this does not signifi-
 168 cantly alter the resulting climate and ice volume records.

169 In Eq. 5, $\overline{CO_2}$ is the mean CO₂ concentration of the preceding 15 kyr,
 170 which reflects the long-term timescale of the carbon cycle. Together with
 171 parameter c , which is set to 0.45‰⁻¹, it determines the strength of the re-
 172 sponse of CO₂ to changes in δ¹⁸O. While c is kept constant, it is important
 173 to stress that a variable relation between δ¹⁸O and CO₂ is ensured by the
 174 carbon-cycle timescale, and most importantly by the second iteration step
 175 in the inverse routine. Both the carbon-cycle timescale and c are used to
 176 tune the modeled CO₂ over the past 800 kyr to match the EPICA ice-core

177 record (EPICA community members, 2004) (Fig. 2). When 20-kyr running
178 averages of both the simulation and this data are considered, the agreement
179 is very good (Root mean square error (RMSE)=18 ppm, coefficient of deter-
180 mination $r^2=0.73$). However, also on the original 1000-yr resolution, model
181 and data show reasonable agreement (RMSE=26 ppm, $r^2=0.59$); the model
182 bias is then -3.9 ppm. For the observed $\delta^{18}\text{O}$, we use the stacked record of
183 Lisiecki and Raymo (2005)[LR04], linearly interpolated with a 5-kyr running
184 average to 100-year resolution and smoothed over six data points. The value
185 chosen for c results in the best fit of our modeled $\delta^{18}\text{O}$ to LR04, with a RMSE
186 of 0.16 ($r^2=0.95$). Also when only considering the Pliocene (5 to 2.6 Myr
187 ago), a different value for c does not lead to a better agreement with LR04.

188

189 2.3. Boron isotope data

190 Most atmospheric CO_2 proxies suffer from large uncertainties, but the
191 foraminiferal boron isotope based estimates are promising, since they show
192 a good agreement with ice-core data during the Pleistocene (Hönisch et al.,
193 2009). The $\delta^{11}\text{B}$ of surface dwelling planktic foraminifera is a function of
194 seawater pH, which is in turn related to the CO_2 concentration in the mixed
195 layer. We provide some new Plio-Pleistocene foraminiferal (*G.sacculifer*)
196 $\delta^{11}\text{B}$ data (Extended Data Table). Our new dataset has a relatively low
197 temporal resolution (on average 250 kyr), but covers a long period from 6.35
198 until 0.54 Myrs ago and thereby a wide range of CO_2 from 152^{+10}_{-9} to 507^{+46}_{-41}
199 ppm.

200 *2.3.1. Sample locations*

201 Ocean Drilling Program (ODP) Site 1264 (28.53°S; 2.85°E, 2505 m water
202 depth) is located on the central Walvis Ridge in the eastern sector of the
203 South Atlantic subtropical Gyre. ODP Site 1264 is part of a depth tran-
204 sect along the shallow sloping northern flank of Walvis Ridge (Shipboard
205 Scientific Party, 2004), which forms a prominent topographic feature within
206 the Southeast Atlantic Ocean, separating the Angola Basin to the north and
207 the Cape Basin to the south. Preservation of planktic foraminifera in the
208 Plio-Pleistocene sections of Site 1264 is generally good. The age model (Bell
209 et al., 2014) is based on tuning the benthic oxygen isotope record to the LR04
210 stack (Lisiecki and Raymo, 2005) (Suppl. Data Fig. 1). Today, the surface
211 ocean CO₂ is in equilibrium with the atmosphere.

212 *2.3.2. Analytical methodology*

213 Roughly 50-90 *G.sacculifer* tests (~20 mg per individual sample) were
214 hand-picked from the 250-355 mm size fraction. In contrast to previous
215 studies (Hönisch et al., 2009; Bartoli et al., 2011), a smaller size fraction had
216 to be used since the sediments generally lacked sufficient numbers of large *G.*
217 *sacculifers*. It has been suggested that smaller specimen of *G. sacculifer* are
218 susceptible to carbonate dissolution. However, the picked average size, nor-
219 malized with the weight of the shells of the samples shows no large changes
220 over the record, indicating that dissolution is not biasing the record (Suppl.
221 Data Fig. 2). The tests were crushed between glass plates and cleaned
222 following the protocol of Barker et al. (2003). Cleaned samples were subse-
223 quently dissolved in 2N HCL to yield sample solutions with approximately 1
224 ng of B/ml. Five to eight aliquots of 1 ml solution with 1 ml of boron-free

225 seawater were loaded onto rhenium filaments. Analysis was performed on a
 226 Thermal Ionization Mass Spectrometer (Thermo Scientific TRITON) at La-
 227 mont Doherty Earth Observatory. Ionization temperature was between 980
 228 and 1020 °C. Samples that showed isotopic fraction exceeding 1‰ over the
 229 acquisition time (~30 minutes) were excluded. The data are standardized
 230 against the SRM NIST 951 boric acid standard. All reported $\delta^{11}\text{B}$ values
 231 are based on at least three measurements. Standard errors reported are two
 232 internal errors of an in-house consistency standard or two internal errors of
 233 repeat analyses of individual sample solutions, if that was larger than the
 234 external reproducibility. Two standard errors (2 s.e.) range between 0.28
 235 and 0.7‰ and average 0.33‰ (Extended Data Table).

236 *2.3.3. Determination of pH from $\delta^{11}\text{B}$ of *G.sacculifer**

237 Boron isotope ratios in planktic foraminifera tests are a function of sea-
 238 water pH. The relative abundance and isotopic composition of the two main
 239 dissolved boron species in seawater (borate and boric acid) changes with pH.
 240 Since marine carbonates preferentially incorporate the species borate, the
 241 boron isotope composition of the carbonate also changes with seawater pH.
 242 With a second parameter of the carbonate system (e.g. total alkalinity or
 243 carbonate ion concentration), atmospheric $\text{pCO}_2^{\text{atm}}$ can be inferred from the
 244 pH values.

245 Ocean pH can be calculated from the $\delta^{11}\text{B}$ of the borate as follows:

$$246 \quad \text{pH} = \text{p}K_B - \log \left[-(\delta^{11}B_{sw} - \delta^{11}B_{\text{Borate}}) / (\delta^{11}B_{sw} - \delta^{11}B_{\text{Borate}}(^{11,10}K_B - 1)) \right],$$

(6)

246 where pK_B is the equilibrium constant for the boric acid/borate system for
 247 a given temperature and salinity, $\delta^{11}B_{sw}$ is the isotopic composition of sea-
 248 water (39.61‰; Foster et al. (2010)), $\delta^{11}B_{Borate}$ is the isotopic composition of
 249 the borate ion and K_B is the isotopic fractionation between the two aqueous
 250 species of boron in seawater (1.0272 ± 0.0006) (Klochko et al., 2006).

251 *G. ruber* Mg/Ca based SSTs for Site 1264 show no apparent trend over
 252 the past 5 Myr (Dekens et al., 2012). The reconstructed SSTs for the area
 253 in our climate model show a slight cooling trend over the Plio-Pleistocene
 254 (around 0.3°C cooling per 1 Myr). We apply these temperatures estimates
 255 and a constant salinity of 36 psu in our calculations. We note that these
 256 variables have a minor affect on the calculated pH and pCO_2 (~ 30 ppm for
 257 a $\pm 3^\circ C$ change; $\pm \sim 10$ ppm for a $\pm 3\%$ salinity change).

258 We account for small long-term changes in the boron isotopic composition
 259 of seawater ($\delta^{11}B_{sw}$) by using a linear extrapolation between modern $\delta^{11}B$
 260 (39.61‰, Foster et al. (2010)) and the $\delta^{11}B_{sw}$ determined by Foster et al.
 261 (2010) for the middle Miocene (12.72 Myr ago, $\delta^{11}B_{sw} = 37.8\%$). This ap-
 262 proach is consistent with Martínez-Botí et al. (2015a).

263 In order to calculate pH using the equation above, the $\delta^{11}B$ value of the
 264 foraminifera has to be corrected for size fraction effect (-2.25‰, Hönisch
 265 and Hemming (2004)), and further corrected for a species-specific differ-
 266 ence between the $\delta^{11}B_{Borate}$ in ambient seawater and the $\delta^{11}B_{Calcite}$ of the
 267 foraminiferal tests. We use an empirical equation for *G. sacculifer* of Martínez-
 268 Botí et al. (2015b):

$$\delta^{11}B_{Borate} = (\delta^{11}B_{Calcite} - 3.6)/0.834. \quad (7)$$

269 The empirical calibration of Martínez-Botí et al. (2015b) is based on $\delta^{11}\text{B}$
270 datasets, combining results from MC-ICP-MS with N-TIMS data that were
271 corrected for an analytical offset of 3.32‰. This offset between the two tech-
272 niques can however not generally be applied. It has been demonstrated that
273 the instrumental offset is matrix dependent (Foster et al., 2013) and can even
274 vary for different foraminifera species (Hönisch et al., 2009). Here, we apply
275 a correction offset of 0.9‰, which is the average offset for foraminifera sam-
276 ples between measurements on the LDEO N-TIMS and the BIG MC-ICP-MS
277 (Foster et al., 2013). The uncertainty in instrument specific offsets and the
278 impact of matrix effects are certainly a major issue in the boron isotope
279 analysis of marine carbonates that needs further investigation. However, it
280 has also been demonstrated that relative differences in $\delta^{11}\text{B}$ in a sample set
281 of a given matrix can be reconstructed regardless of the applied measure-
282 ment technique (Foster et al., 2013). Using the corrections above we derive
283 reasonable pH estimates from the Site 1264 samples for the well-constrained
284 Pleistocene part. The uncertainty in pH is dominated by the uncertainty in
285 the $\delta^{11}\text{B}$ measurement and is on the order of ± 0.04 pH units.

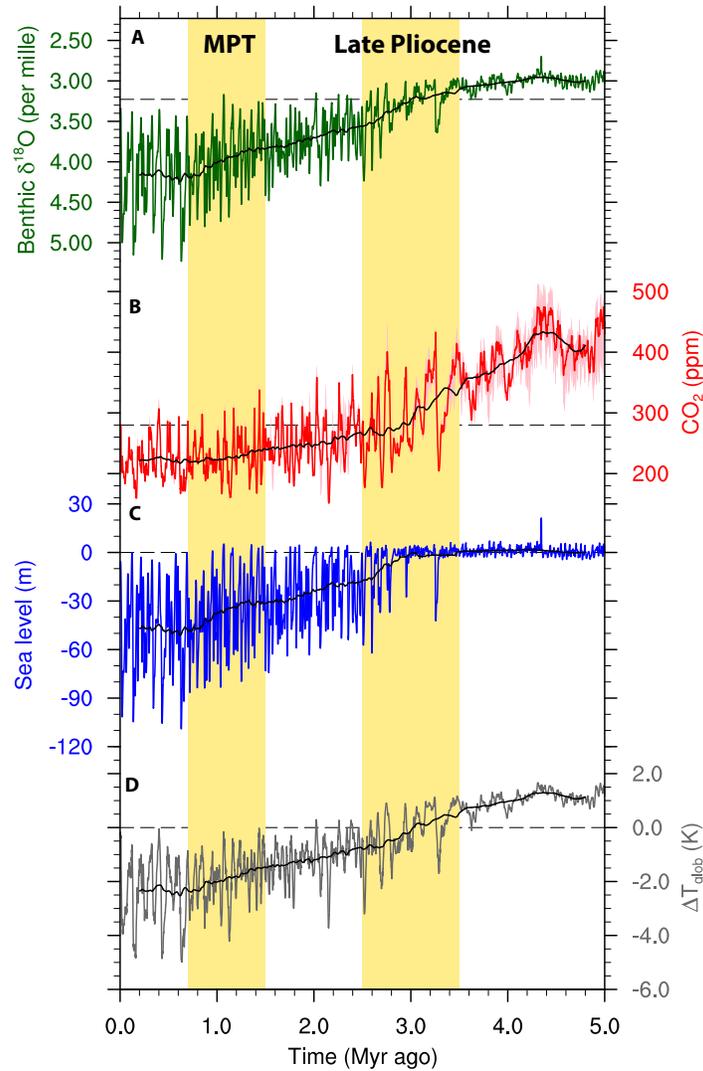
286 *2.3.4. Determination of $\text{pCO}_2^{\text{atm}}$ from $\delta^{11}\text{B}$ -derived pH*

287 To estimate atmospheric pCO_2 , a second parameter of the carbonate sys-
288 tem is needed. Seki et al. (2010) have compared two different approaches.
289 They reconstructed pCO_2 from modeled $[\text{CO}_3^{2-}]$ (Tyrrell and Zeebe, 2004) as
290 well as assuming constant total alkalinity varying with only up to $\pm 5\%$. The
291 comparison between these approaches demonstrates that estimated pCO_2 is
292 relatively insensitive to the second carbonate system parameter and is largely
293 dependent on the recorded pH change as determined by $\delta^{11}\text{B}$ values. For our

294 calculation we assume a constant total alkalinity of 2300 mmol/kg sea water.
295 The uncertainty in the pCO₂ estimates is largely dominated by the ana-
296 lytical uncertainty in $\delta^{11}\text{B}$. Taking into account additional uncertainties in
297 estimated salinity, sea surface temperature and carbonate ion concentration
298 we estimate the uncertainty in the reconstructed pCO₂ on the order of ± 70
299 ppm in line with earlier studies (Bartoli et al., 2011).

300 **3. Results and Discussion**

301 *3.1. Five-million-year simulation*



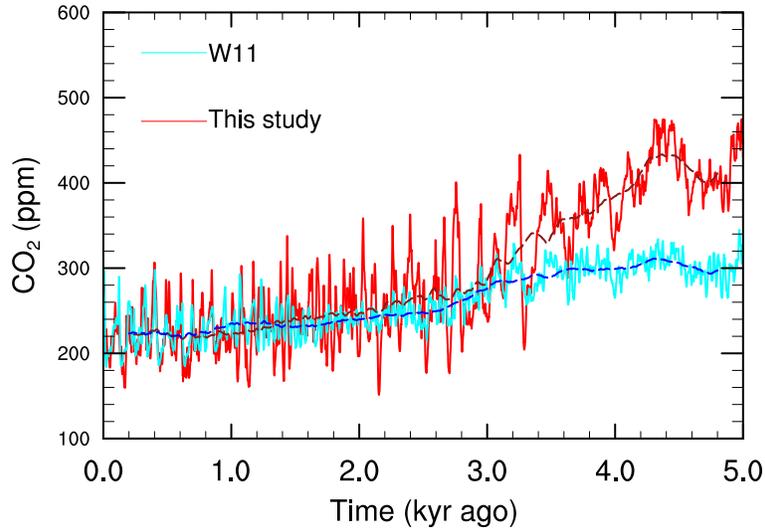
302

303 **Figure 3: Five-million-year time series of benthic $\delta^{18}\text{O}$, CO_2 , sea**
304 **level and global temperature. (a) Simulated benthic $\delta^{18}\text{O}$ (green), (b)**

305 *simulated CO₂ (red), with error margins based on simulations with increased*
306 *Antarctic ablation (ABL) and fixed pre-industrial ocean overturning strength*
307 *(OT) described in Sect. 3.2, (c) simulated sea level in meters above present*
308 *day (blue), (d) simulated global mean temperature anomaly with respect to*
309 *PI (T_{glob} ; grey). Black lines represent 400-kyr running averages. Highlighted*
310 *in yellow are the Late Pliocene period 3.5 to 2.5 Myr ago and the Mid-*
311 *Pleistocene Transition (MPT; 1.5 to 0.7 Myr ago) discussed in the main text.*

312

313 Our simulated global mean temperatures during glacials are typically 4
314 to 5 K below the pre-industrial average (PI), which is consistent with a data
315 reconstruction of the Last Glacial Maximum (Annan and Hargreaves, 2013).
316 In addition, modeled sea-level variability over the past five glacial cycles of
317 80 to 125 m is in broad agreement with data records (e.g. Grant et al., 2014;
318 Austermann et al., 2013). The modeled CO₂, sea level and global mean
319 temperature records all show decreasing long-term trends over the past 5
320 Myr, while benthic $\delta^{18}\text{O}$ values gradually increase (Fig. 3). During the early
321 Pliocene (5 to 3.3 Myr ago), global mean temperature is up to 1.7 K higher
322 than PI, slightly lower than the 1.8 to 3.6 K range calculated by the PlioMIP
323 GCM ensemble (Haywood et al., 2013).



324

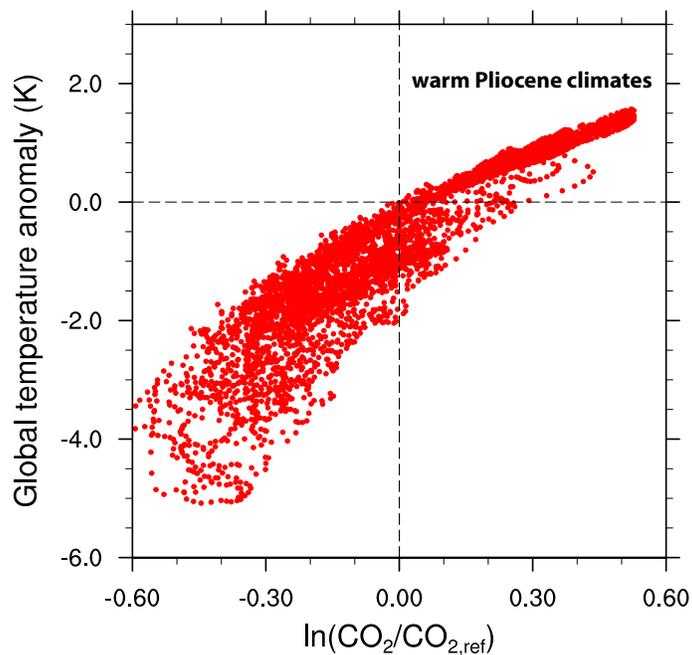
325 **Figure 4: Simulated CO₂ concentrations.** *The red line shows our sim-*
 326 *ulated CO₂ record over the past five million years. To compare, the hybrid*
 327 *model-data reconstruction of Van de Wal et al. (2011) is shown (cyan line).*
 328 *Dashed lines represent 400-kyr running averages.*

329

330 Our simulation shows CO₂ concentrations of 300 up to 470 ppm during this
 331 period (Fig. 4, red line). These levels are considerably higher than found
 332 in an earlier reconstruction by Van de Wal et al. (2011) (Fig. 4, [W11]
 333 cyan line). In addition, our Pliocene CO₂ exhibits much larger shorter-term
 334 variability than this hybrid model-data reconstruction. From the beginning
 335 of the Pleistocene (2.5 Myr ago) onwards, the long-term averages of both
 336 records nearly coincide. They show a similarly weakly declining trend over
 337 the Mid-Pleistocene Transition (1.5 to 0.7 Myr ago), when power in the
 338 $\delta^{18}\text{O}$ spectrum shifts from 41 kyr to 100 kyr (Lisiecki and Raymo, 2005; Za-
 339 chos et al., 2008; Bintanja and Van de Wal, 2008). Conversely, the higher

340 variability in our simulation continues longer, lasting until the end of the
341 Mid-Pleistocene Transition (0.8 Myr ago). Most prominently, our simulation
342 shows more fiercely falling CO₂ levels during the M2 δ¹⁸O excursion 3.3 Myr
343 ago (415 to 200 ppm) and during the onset of periodic northern hemispheric
344 glaciation 2.7 Myr ago (400 to 180 ppm).

345



346

347 **Figure 5: Relation between global temperature anomalies and CO₂.**
348 *The relation between logarithmic CO₂ and global temperature perturbations*
349 *with respect to their pre-industrial (PI) values (280 ppm and 287.7 K respec-*
350 *tively) is clearly non-linear in our model.*

351

352 The reconstruction by Van de Wal et al. (2011) used the northern hemi-
353 spheric temperature record of De Boer et al. (2010), which was obtained using

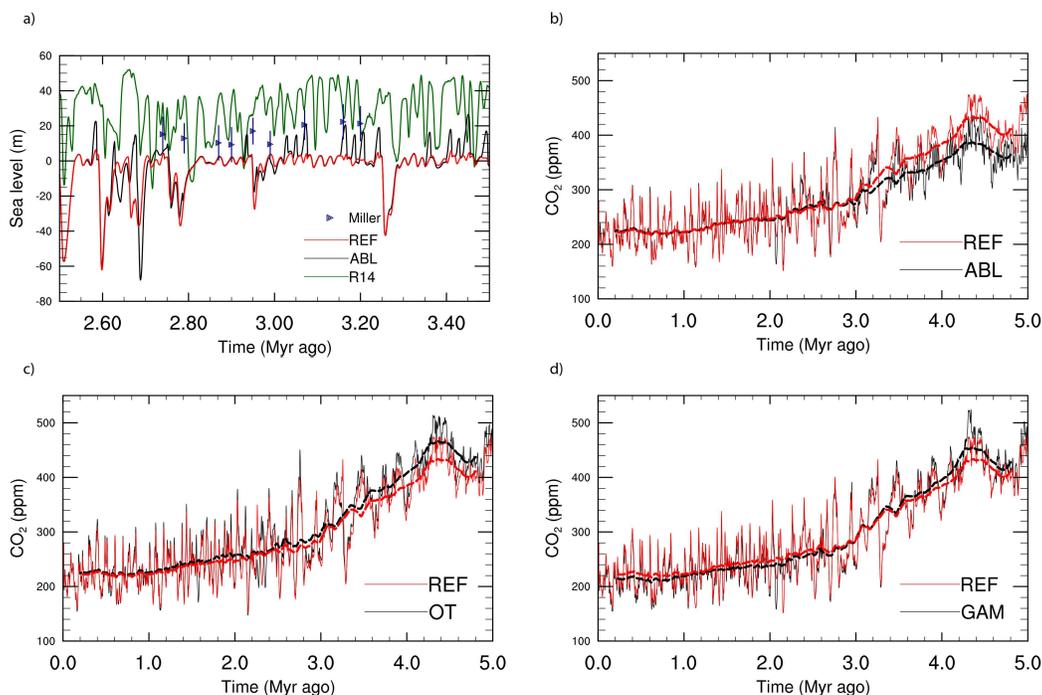
354 an inverse routine forcing the ice sheet model in stand-alone form without
355 climate model. They inferred a constant log-linear relation between this
356 record and several CO₂ proxy data records. We now include a climate model
357 in the set-up and derive CO₂ as a prognostic variable (Fig. 1, dashed blue
358 line). Therefore, Earth System Sensitivity (ESS) is not a priori fixed in our
359 model (Fig. 5). Instead, it is primarily influenced by ice sheet-climate in-
360 teractions, which we capture in our coupled set-up. During the Pliocene,
361 our simulated CO₂ levels are very variable and show a clear decreasing trend
362 over time (Fig. 3). Meanwhile, ice-volume equivalent sea level is far less
363 variable; its long-term average remains virtually constant, slightly above its
364 present-day value. During this time, in our model the climate is not cold
365 enough for large scale glaciation of the Northern Hemisphere. At the same
366 time, the Antarctic ice sheet has already reached its carrying capacity, the
367 ice sheet size that the continent can maximally sustain (De Boer et al., 2010;
368 Foster and Rohling, 2013). The CO₂ concentrations thus vary between the
369 thresholds for initiation of northern and southern hemispheric glaciation.
370 Through the albedo-temperature feedback, ice volume variability amplifies
371 temperature perturbations, particularly in polar regions (Stap et al., 2014;
372 Masson-Delmotte et al., 2013). Therefore, a reduction of ice volume vari-
373 ability during the Pliocene requires larger changes in CO₂ levels to obtain
374 the same temperature fluctuations (Fig. 5). This implies that ESS is lower
375 during the Pliocene than during the Pleistocene and Holocene in our model,
376 whereas the constant relation between CO₂ and temperature in the record of
377 Van de Wal et al. (2011) connotes the same ESS during these periods. The
378 reduced ESS leads to higher simulated Pliocene CO₂ levels and larger CO₂

379 variability compared to Van de Wal et al. (2011), as well as compared to
380 Hansen et al. (2013) who used a conceptual $\delta^{18}\text{O}$ -based climate model and
381 did not take ice-sheet physics explicitly into account. A similar result as ours
382 was obtained by Lunt et al. (2010), who found a reduction of ESS in warmer-
383 than-PI climates (400 ppm CO_2) compared to colder-than-PI climates.

384 We note that in our model the radiative forcing of CO_2 is enhanced by
385 a factor 1.3 to account for non- CO_2 greenhouse gasses (GHGs). This factor
386 gives accurate results for the ice-core period (Stap et al., 2014), but an in-
387 crease or decrease in the relative contribution of non- CO_2 is indeterminable
388 in our model. Such a shift would need a compensating opposite change in
389 CO_2 .

390

391 3.2. Sensitivity analysis



392

393 **Figure 6: Simulated sea level and CO₂ concentrations.** (a) Mod-
 394 eled sea level over the Late Pliocene period 3.5 to 2.5 Myr ago and (b-d)
 395 modeled CO₂ over the past five million years. In red, our reference simu-
 396 lation; in black, simulations with increased Antarctic ablation (ABL), fixed
 397 pre-industrial ocean overturning strength (OT), and a smaller influence of
 398 deep-sea temperature on benthic $\delta^{18}\text{O}$ (GAM). The green line in panel (a)
 399 shows the sea level reconstruction of Rohling et al. (2014)[R14], interpolated
 400 to 100-year resolution. The blue triangles (Miller) in panel (a) represent a
 401 multi-method proxy data reconstruction of peak sea level (Miller et al., 2012),
 402 with error bars as indicated by that study. The thick dashed lines in panel
 403 (b-d) represent 400-kyr running averages.

405 *3.2.1. Influence of stability East Antarctica*

406 In our reference experiment, we simulate a very stable Pliocene Antarctic
407 ice sheet, leading to small variability in sea level. This is in agreement
408 with earlier modelling studies (Huybrechts, 1993; Pollard and DeConto, 2009;
409 De Boer et al., 2014) as well as some data studies (Denton et al., 1993). How-
410 ever, there are also other data suggesting that sea level was more variable
411 during this time (Masson-Delmotte et al., 2013; Miller et al., 2012; Rohling
412 et al., 2014; Cook et al., 2013). In a sensitivity experiment (ABL), we lower
413 (in absolute sense) the ablation threshold parameter C_{abl} (Eq. 2) for the East
414 Antarctic ice sheet from -30 to -5 during the entire run. This altered value
415 leads to ablation starting at lower temperatures and hence to a decreased
416 glaciation threshold in our model. The initial tuning target of Antarctic
417 glaciation starting at around 750 ppm CO₂ (Stap et al., 2014) is thus com-
418 promised. However, this glaciation threshold is debated and it is suggested
419 to be model-dependent (Hansen et al., 2013; Gasson et al., 2014).

420 In the ABL run, there is still very little surface melt on East Antarctica
421 during the past 2.7 Myr. Therefore, modeled sea level remains approximately
422 the same as in our reference simulation. Conversely, during the Pliocene sea
423 level now varies between 5 m below and 30 m above present; it reaches up
424 to +20 m during the Late Pliocene (Fig. 6a, black line). This corresponds
425 better to a recent multi-method proxy data reconstruction of peak sea-level
426 height (Miller et al., 2012) than our reference-run sea level (Fig. 6a, red line).
427 However, continuous high sea level, such as reconstructed by Rohling et al.
428 (2014) (Fig. 6a, [R14], green line), cannot be reconciled with the $\delta^{18}\text{O}$ input

429 by our model.

430 As a consequence of the increased amount of ice volume variability during
431 this time leading to a strengthening of the albedo-temperature feedback, we
432 expect to find lower Pliocene CO₂ levels. Indeed, Pliocene CO₂ levels are
433 reduced with respect to our reference (Fig. 6b). The difference is at most 70
434 ppm, but the average decrease over the period 5 to 2.7 Myr ago is only 28.5
435 ppm. The effect is relatively limited because, in our model, the grassland
436 vegetation that replaces the retreated ice remains snow-covered throughout
437 most of the year. The surface albedo reduction, which is the dominant effect
438 of land ice on climate (Stap et al., 2014), is therefore small on the Antarc-
439 tic continent. Hence, even if sea level variability is increased during the
440 Pliocene, CO₂ concentrations remain significantly higher than reconstructed
441 by Van de Wal et al. (2011). Alternatively, if EAIS variations are driven
442 by marine-based instabilities as suggested by Pollard et al. (2015), the effect
443 may be different, as this would not leave ice-free land when the EAIS retreats
444 but rather open or sea-ice-covered ocean. Our one-dimensional SIA-based ice
445 sheet model cannot reproduce such effects.

446 *3.2.2. Influence of ocean overturning strength*

447 In our reference run, the strength of the meridional ocean overturning
448 is determined by the difference in temperature between polar and equato-
449 rial waters (Stap et al., 2014). To test the influence of this formulation,
450 we conduct a separate run of the model where we keep overturning fixed at
451 pre-industrial strength (run OT). In a similar way, Stap et al. (2014) inferred
452 only little influence of the overturning strength on simulated temperature and
453 ice volume during the past 800 thousand years. During the Pleistocene and

454 Holocene (2.5 Myrs ago to PD), the effect of fixing the strength on modeled
455 CO₂ is indeed also limited (Fig. 6c). The simulated CO₂ in OT is on average
456 4.3 ppm higher than in the reference run. During the Pliocene (5 to 2.5 Myrs
457 ago), this difference increases to 19 ppm. In run OT, overturning strength
458 no longer increases when the climate warms, as it does in the reference ex-
459 periment. The consequent weaker downwelling leads to cooler deep-ocean
460 temperatures. As compensation, higher CO₂ is simulated. The maximum
461 difference between the long-term (400 kyr) running averages of both simula-
462 tions is 33 ppm, and occurs during the early Pliocene. We conclude that the
463 effect of increased ocean overturning strength on simulated CO₂ becomes
464 important during climates significantly warmer than pre-industrial in our
465 model. Moreover, the M2 $\delta^{18}\text{O}$ excursion 3.3 Myr ago is not fully captured
466 in run OT (not shown), marking the importance of variable meridional ocean
467 overturning during this event.

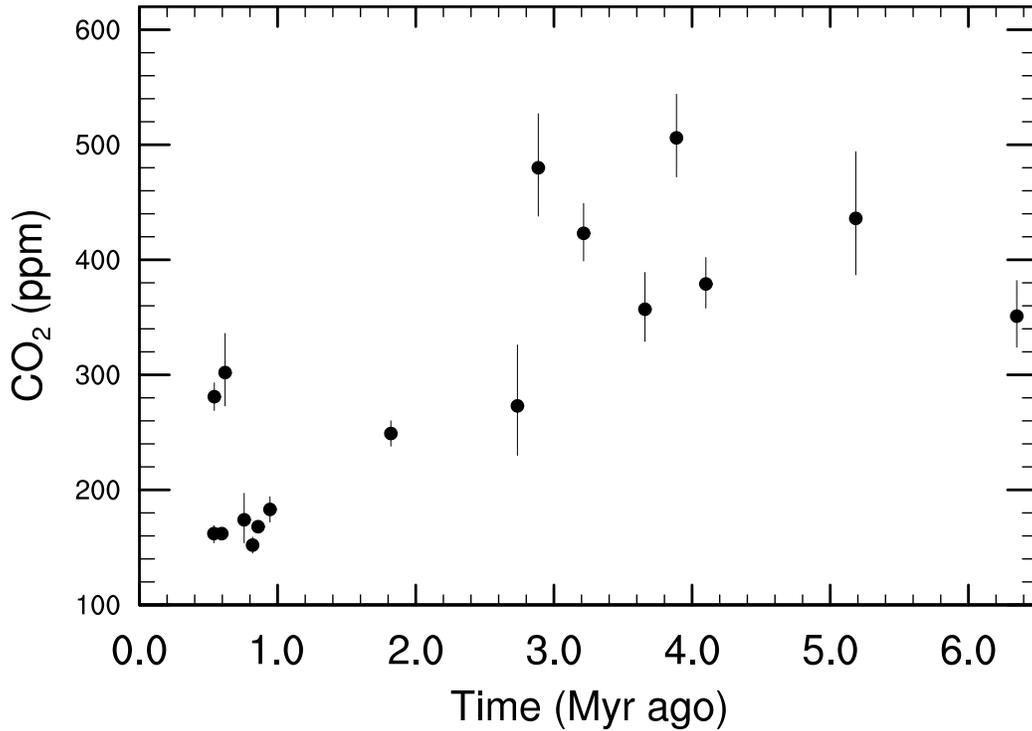
468 Although ocean circulation is allowed to change, our model is forced only
469 by insolation and CO₂. Independent changes in ocean circulation, for in-
470 stance resulting from tectonic movement, are not incorporated. Further-
471 more, we do not take into account any vegetation changes. However, Foster
472 and Rohling (2013) found that these processes only play a secondary role in
473 long-term climate change over our simulated period.

474 *3.2.3. Influence of relation between deep-sea temperature and $\delta^{18}\text{O}$*

475 The parameter γ (Eq. 3), relating deep-sea-temperature to benthic $\delta^{18}\text{O}$
476 may be debated. Therefore, it is a factor of model uncertainty. In our ref-
477 erence run it is taken from a paleotemperature equation (Duplessy et al.,
478 2002): 0.28‰K^{-1} . However, Marchitto et al. (2014) suggested a lower value

479 of 0.22‰K^{-1} . We implement this lower value for γ in run GAM. In this run,
 480 larger changes in CO_2 with respect to PI have to compensate the decreased
 481 effect of deep-sea temperature on $\delta^{18}\text{O}$ (Fig. 6d). Indeed, the CO_2 we simu-
 482 late during the Pliocene is generally higher than our reference experiment (on
 483 average 8.4 ppm), and lower during the Pleistocene and Holocene (7.4 ppm).
 484 The long-term averages differ maximally 23.4 ppm. The model uncertainty
 485 imposed by the precise calculation of benthic $\delta^{18}\text{O}$ is therefore modest.

486 *3.3. Comparison with existing and new proxy data*

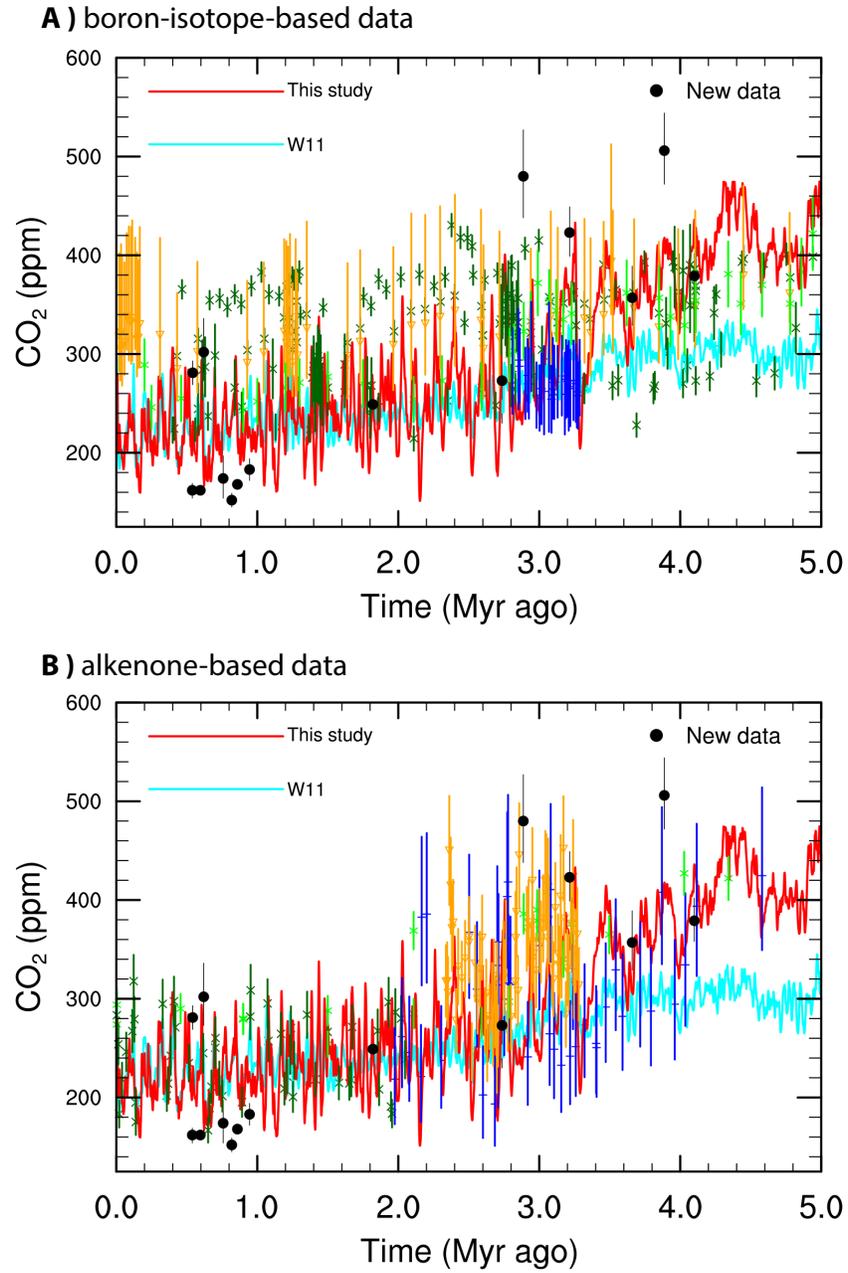


487
 488 **Figure 7: New CO₂ data.** *New proxy-CO₂ data based on foraminiferal*
 489 *$\delta^{11}\text{B}$, derived from Integrated Ocean Drilling Program (IODP) Site 1264 on*
 490 *the Walvis Ridge in the South Atlantic subtropical gyre.*

491

492 New foraminiferal boron isotope based CO₂ data is derived from Inte-
493 grated Ocean Drilling Program (IODP) Site 1264 on the Walvis Ridge in
494 the South Atlantic subtropical gyre (Sect. 2.3). This data is shown in Fig.
495 7. We compare our model results to a compilation of CO₂ records obtained
496 from alkenones (Fig. 8a), and from foraminiferal $\delta^{11}\text{B}$ including this new
497 data (Fig. 8b).

498



499

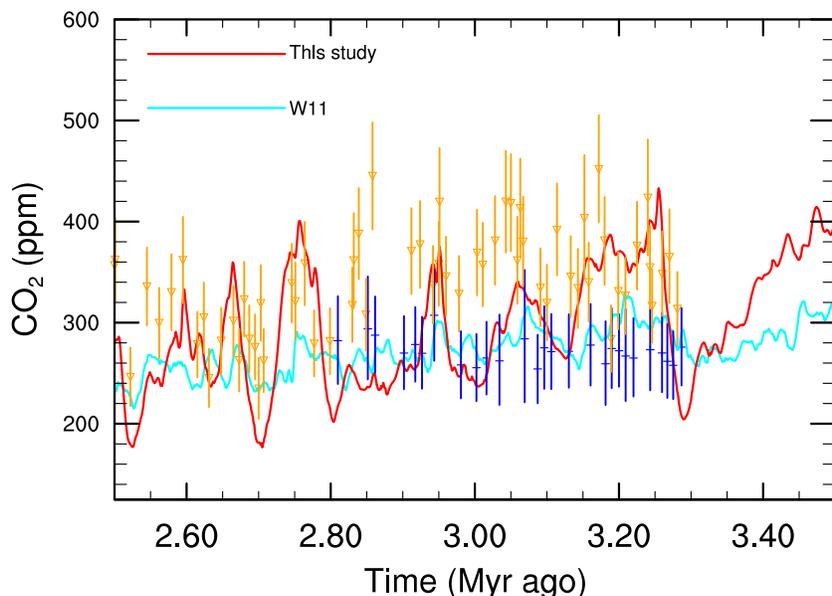
500 **Figure 8: CO₂ model-data comparison.** *The red line shows our sim-*
 501 *ulated CO₂ record. The cyan line shows the hybrid model-data reconstruc-*

502 *tion of Van de Wal et al. (2011) [W11]. The black dots indicate our new*
503 *$\delta^{11}\text{B}$ -based data, with error bars based on the standard deviation of repeated*
504 *measurements. (a) Comparison with alkenone-based CO_2 data. Symbols in-*
505 *dicating alkenone-based proxy CO_2 data (Zhang et al. (2013), orange triangles;*
506 *Seki et al. (2010), lightgreen asterisks; Badger et al. (2013), blue plusses;*
507 *Pagani et al. (2010), darkgreen crosses), with different error bars as indi-*
508 *cated by these studies. (b) Comparison with boron-isotope-based CO_2 data.*
509 *Symbols indicate previously published $\delta^{11}\text{B}$ -based proxy CO_2 data (Martínez-*
510 *Botí et al. (2015a), orange triangles; Seki et al. (2010), lightgreen asterisks;*
511 *Bartoli et al. (2011), blue plusses; Hönisch et al. (2009), darkgreen crosses),*
512 *with different error bars as indicated by these studies.*

513

514 Over the past 2 million years, the model results, as well as the new data,
515 are largely consistent with Hönisch et al. (2009) and Seki et al. (2010). Al-
516 though the RMSE of our simulation with respect to Hönisch et al. (2009)
517 (43.6 ppm) is larger than the RMSE of Van de Wal et al. (2011) (26.4 ppm),
518 the increased variability in our simulation during this time, demonstrated
519 by standard deviation (SD) of 33.8 ppm to 20.2 ppm in Van de Wal et al.
520 (2011), agrees better with Hönisch et al. (2009) (SD=38.9 ppm). However,
521 the simulation varies with a larger frequency than is reconstructed by the
522 data. Therefore, model-data comparison would benefit from a more exten-
523 sive data record. The high CO_2 levels in the alkenone-based records of Zhang
524 et al. (2013) and Pagani et al. (2010) are not supported by our model.

525



526

527 **Figure 9: CO₂ model-data comparison.** *Zoom-in on the Late Pliocene*
 528 *period 3.5 to 2.5 Myr ago, showing the data records with the highest res-*
 529 *olution: Martínez-Botí et al. (2015a) (orange triangles), and Badger et al.*
 530 *(2013), blue plusses. The red line shows our simulated CO₂ record. The cyan*
 531 *line shows the hybrid model-data reconstruction of Van de Wal et al. (2011)*
 532 *[W11].*

533

534 In the Late Pliocene period (3.5 to 2.5 Myr ago), our modeled CO₂ vari-
 535 ability agrees more with the record of Martínez-Botí et al. (2015a), than
 536 with the stable CO₂ concentrations shown by Badger et al. (2013) (Fig. 9).
 537 However, the RMSE (76.2 ppm) and model bias (-46.1 ppm) with respect
 538 to Martínez-Botí et al. (2015a) are quite high, albeit smaller than those of
 539 Van de Wal et al. (2011) (RMSE=87.1 ppm, bias=-73.1 ppm). The large
 540 simulated drop in CO₂ around 2.75 Myr ago is supported by the records of

541 Martínez-Botí et al. (2015a) and Bartoli et al. (2011). Conversely, we do not
542 model high CO₂ values around 2.9 Myr ago, where the boron-isotope based
543 records, as well as Pagani et al. (2010), agree upon. This most likely signifies
544 a discrepancy between the benthic $\delta^{18}\text{O}$ record and the proxy CO₂ data.

545 Proxy CO₂ data are particularly scarce before 3.5 million years ago.
546 Therefore, it is difficult to evaluate the reduced CO₂ variability in our simula-
547 tion with respect to the Late Pliocene and Pleistocene. However, our higher
548 CO₂ values than Van de Wal et al. (2011) seem to agree more favorably
549 with the boron-isotope based records, including the new data, than with the
550 alkenone-based record of Pagani et al. (2010).

551 **4. Summary and conclusion**

552 We have presented a continuous simulation of CO₂ over the past five mil-
553 lion years. It is obtained using a coupled ice-sheet climate model, forced in-
554 versely by a stacked benthic $\delta^{18}\text{O}$ record (Lisiecki and Raymo, 2005). There-
555 fore, the simulated CO₂ is in mutual agreement with modeled benthic $\delta^{18}\text{O}$,
556 global sea level and temperature. As such, the records capture our under-
557 standing of the interaction between CO₂, sea level and the climate.

558 Our results clearly show that the relation between CO₂ and global tem-
559 perature that holds over the ice-core period cannot be extended into the
560 Pliocene. During this time, a weakening of the albedo-temperature feedback
561 with the absence of large Northern Hemisphere ice sheets reduces Earth Sys-
562 tem Sensitivity (ESS). Our results show more variable and generally higher
563 CO₂ values during the Pliocene than an earlier study that hypothesised con-
564 stant ESS (Van de Wal et al., 2011).

565 The model results are modestly affected by the ocean overturning strength,
566 as well as by the amplitude of the deep-sea temperature effect on benthic
567 $\delta^{18}\text{O}$. Compared to the reference run, decreased strength of the overturn-
568 ing, as well as a weaker influence of deep-sea temperature, lead to smaller
569 changes in benthic $\delta^{18}\text{O}$ at the same CO_2 concentrations. Hence, the simu-
570 lated changes in CO_2 are larger.

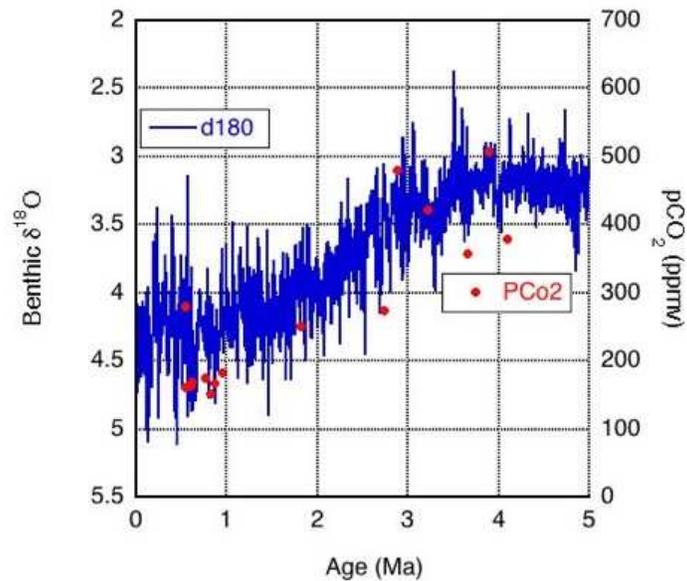
571 In our reference simulation, the East Antarctic ice sheet (EAIS) is very
572 stable during the Pliocene. When the ablation on the EAIS is increased, it
573 is more dynamic, and consequently the Pliocene sea level is more variable.
574 Peak sea level is then in better agreement with the multi-proxy synthesis of
575 Miller et al. (2012). The increased sea level variability affects the simulated
576 CO_2 , but only to a relatively minor extent. This is explained by the ice-free
577 land remaining snow-covered throughout most of the year, resulting in rela-
578 tively small changes of the surface albedo.

579 Our simulated CO_2 is in broad agreement with existing and new $\delta^{11}\text{B}$ -
580 based proxy- CO_2 data. Although RMSE and model bias remain large, these
581 records are generally more in line with the modeled variability during the Late
582 Pliocene and Early Pleistocene than alkenone-based CO_2 records. They also
583 agree more with the higher CO_2 simulated during the Early Pliocene. This
584 means that the CO_2 concentrations obtained from the $\delta^{11}\text{B}$ proxy are more
585 easily reconcilable with the benthic $\delta^{18}\text{O}$ record.

586 For higher-than-PI levels of CO_2 , the reconstruction of Van de Wal et al.
587 (2011) is predominantly determined by seemingly low CO_2 values (400-500
588 ppm) documented by proxy data during the Middle Miocene. We attain
589 these values already during the Pliocene, when benthic $\delta^{18}\text{O}$ is higher. Ben-

590 thic $\delta^{18}\text{O}$ is approximately equally low during the Middle Miocene as during
591 the Late Eocene, when much larger CO_2 concentrations are reconstructed by
592 the same proxies. In future research, we will extent our simulation further
593 back in time and investigate this apparent conundrum.

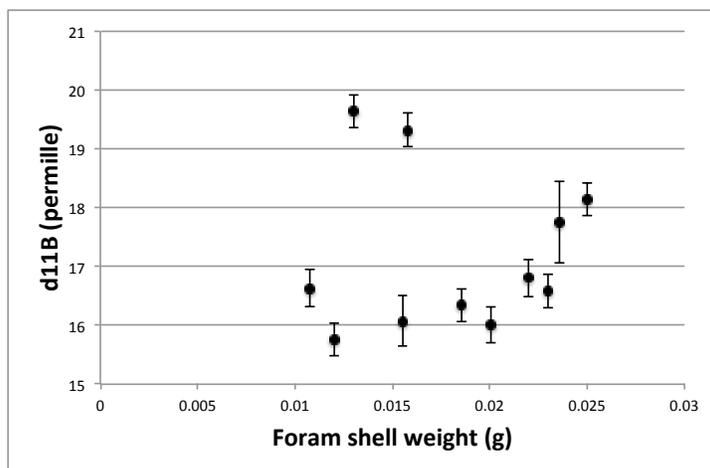
594 **Supplementary Data Figures**



595

596 **Supplementary Data Figure 1.** *Planktic foraminiferal boron isotope*
597 *based pCO_2 reconstructions from IODP Site 1264 (red dots) and the benthic*
598 *foraminiferal oxygen isotope record of Site 1264 (grey line, Bell et al. (2014)).*

599



600

601 **Supplementary Data Figure 2.** *Planktic foraminiferal $\delta^{11}\text{B}$ with ana-*
 602 *lytical error bars versus foraminiferal shell weight measured after cleaning.*
 603 *No correlation between shell weight and $\delta^{11}\text{B}$ values suggests that the picked*
 604 *foraminifer shells used for $\delta^{11}\text{B}$ analysis are unlikely to be biased by dissolu-*
 605 *tion.*

606

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 615 Hyams and Nina Ruprecht for technical and analytical support of the boron

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