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# RINGS OF FROBENIUS OPERATORS

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ABSTRACT. Let  $R$  be a local ring of prime characteristic. We study the ring of Frobenius operators  $\mathcal{F}(E)$ , where  $E$  is the injective hull of the residue field of  $R$ . In particular, we examine the finite generation of  $\mathcal{F}(E)$  over its degree zero component  $\mathcal{F}^0(E)$ , and show that  $\mathcal{F}(E)$  need not be finitely generated when  $R$  is a determinantal ring; nonetheless, we obtain concrete descriptions of  $\mathcal{F}(E)$  in good generality that we use, for example, to prove the discreteness of  $F$ -jumping numbers for arbitrary ideals in determinantal rings.

## 1. INTRODUCTION

Lyubeznik and Smith [LS] initiated the systematic study of rings of Frobenius operators and their applications to tight closure theory. Our focus here is on the Frobenius operators on the injective hull of  $R/\mathfrak{m}$ , when  $(R, \mathfrak{m})$  is a complete local ring of prime characteristic.

**Definition 1.1.** Let  $R$  be a ring of prime characteristic  $p$ , with Frobenius endomorphism  $F$ . Following [LS, Section 3], we set  $R\{F^e\}$  to be the ring extension of  $R$  obtained by adjoining a noncommutative variable  $\chi$  subject to the relations  $\chi r = r^{p^e} \chi$  for all  $r \in R$ .

Let  $M$  be an  $R$ -module. Extending the  $R$ -module structure on  $M$  to an  $R\{F^e\}$ -module structure is equivalent to specifying an additive map  $\varphi: M \rightarrow M$  that satisfies

$$\varphi(rm) = r^{p^e} \varphi(m) \quad \text{for each } r \in R \text{ and } m \in M.$$

Define  $\mathcal{F}^e(M)$  to be the set of  $R\{F^e\}$ -module structures on  $M$ ; this is an Abelian group with a left  $R$ -module structure, where  $r \in R$  acts on  $\varphi \in \mathcal{F}^e(M)$  to give the composition  $r \circ \varphi$ . Given elements  $\varphi \in \mathcal{F}^e(M)$  and  $\varphi' \in \mathcal{F}^{e'}(M)$ , the compositions  $\varphi \circ \varphi'$  and  $\varphi' \circ \varphi$  are elements of the module  $\mathcal{F}^{e+e'}(M)$ . Thus,

$$\mathcal{F}(M) = \mathcal{F}^0(M) \oplus \mathcal{F}^1(M) \oplus \mathcal{F}^2(M) \oplus \dots$$

has a ring structure; this is the *ring of Frobenius operators* on  $M$ .

Note that  $\mathcal{F}(M)$  is an  $\mathbb{N}$ -graded ring; it is typically not commutative. The degree 0 component  $\mathcal{F}^0(M) = \text{End}_R(M)$  is a subring, with a natural  $R$ -algebra structure. Lyubeznik and Smith [LS, Section 3] ask whether  $\mathcal{F}(M)$  is a finitely generated ring extension of  $\mathcal{F}^0(M)$ . From the point of view of tight closure theory, the main cases of interest are where  $(R, \mathfrak{m})$  is a complete local ring, and the module  $M$  is the local cohomology module  $H_{\mathfrak{m}}^{\dim R}(R)$  or the injective hull of the residue field,  $E_R(R/\mathfrak{m})$ , abbreviated  $E$  in the following discussion. In the former case, the algebra  $\mathcal{F}(M)$  is finitely generated under mild hypotheses, see Example 1.2.2; an investigation of the latter case is our main focus here.

It follows from Example 1.2.2 that for a Gorenstein complete local ring  $(R, \mathfrak{m})$ , the ring  $\mathcal{F}(E)$  is a finitely generated extension of  $\mathcal{F}^0(E) \cong R$ . This need not be true when  $R$

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is not Gorenstein: Katzman [Ka] constructed the first such examples. In Section 3 we study the finite generation of  $\mathcal{F}(E)$ , and provide descriptions of  $\mathcal{F}(E)$  even when it is not finitely generated: this is in terms of graded subgroup of the anticanonical cover of  $R$ , with a Frobenius-twisted multiplication structure, see Theorem 3.3.

Section 4 studies the case of  $\mathbb{Q}$ -Gorenstein rings. We show that  $\mathcal{F}(E)$  is finitely generated (though not necessarily principally generated) if  $R$  is  $\mathbb{Q}$ -Gorenstein with index relatively prime to the characteristic, Proposition 4.1; the dual statement for the Cartier algebra was previously obtained by Schwede in [Sc, Remark 4.5]. We also construct a  $\mathbb{Q}$ -Gorenstein ring for which the ring  $\mathcal{F}(E)$  is *not* finitely generated over  $\mathcal{F}^0(E)$ ; in fact, we conjecture that this is always the case for a  $\mathbb{Q}$ -Gorenstein ring whose index is a multiple of the characteristic, see Conjecture 4.2.

In Section 5 we show that  $\mathcal{F}(E)$  need not be finitely generated for determinantal rings, specifically for the ring  $\mathbb{F}[X]/I$ , where  $X$  is a  $2 \times 3$  matrix of variables, and  $I$  is the ideal generated by its size 2 minors; this proves a conjecture of Katzman, [Ka, Conjecture 3.1]. The relevant calculations also extend a result of Fedder, [Fe, Proposition 4.7].

One of the applications of our study of  $\mathcal{F}(E)$  is the discreteness of  $F$ -jumping numbers; in Section 6 we use the description of  $\mathcal{F}(E)$ , combined with the notion of gauge boundedness, due to Blickle [Bl2], to obtain positive results on the discreteness of  $F$ -jumping numbers for new classes of rings including determinantal rings, see Theorem 6.4. In the last section, we obtain results on the linear growth of Castelnuovo-Mumford regularity for rings with finite Frobenius representation type; this is also with an eye towards the discreteness of  $F$ -jumping numbers.

To set the stage, we summarize some previous results on the rings  $\mathcal{F}(M)$ .

**Example 1.2.** Let  $R$  be a ring of prime characteristic.

- (1) For each  $e \geq 0$ , the left  $R$ -module  $\mathcal{F}^e(R)$  is free of rank one, spanned by  $F^e$ ; this is [LS, Example 3.6]. Hence,  $\mathcal{F}(R) \cong R\{F\}$ .
- (2) Let  $(R, \mathfrak{m})$  be a local ring of dimension  $d$ . The Frobenius endomorphism  $F$  of  $R$  induces, by functoriality, an additive map

$$F : H_{\mathfrak{m}}^d(R) \longrightarrow H_{\mathfrak{m}}^d(R),$$

which is the natural *Frobenius action* on  $H_{\mathfrak{m}}^d(R)$ . If the ring  $R$  is complete and  $S_2$ , then  $\mathcal{F}^e(H_{\mathfrak{m}}^d(R))$  is a free left  $R$ -module of rank one, spanned by  $F^e$ ; for a proof of this, see [LS, Example 3.7]. It follows that

$$\mathcal{F}(H_{\mathfrak{m}}^d(R)) \cong R\{F\}.$$

In particular,  $\mathcal{F}(H_{\mathfrak{m}}^d(R))$  is a finitely generated ring extension of  $\mathcal{F}^0(H_{\mathfrak{m}}^d(R))$ .

- (3) Consider the local ring  $R = \mathbb{F}[[x, y, z]]/(xy, yz)$  where  $\mathbb{F}$  is a field, and set  $E$  to be the injective hull of the residue field of  $R$ . Katzman [Ka] proved that  $\mathcal{F}(E)$  is not a finitely generated ring extension of  $\mathcal{F}^0(E)$ .
- (4) Let  $(R, \mathfrak{m})$  be the completion of a Stanley-Reisner ring at its homogeneous maximal ideal, and let  $E$  be the injective hull of  $R/\mathfrak{m}$ . In [ABZ] Álvarez, Boix, and Zarzuela obtain necessary and sufficient conditions for the finite generation of  $\mathcal{F}(E)$ . Their work yields, in particular, Cohen-Macaulay examples where  $\mathcal{F}(E)$  is not finitely generated over  $\mathcal{F}^0(E)$ . By [ABZ, Theorem 3.5],  $\mathcal{F}(E)$  is either 1-generated or infinitely generated as a ring extension of  $\mathcal{F}^0(E)$  in the Stanley-Reisner case.

**Remark 1.3.** Let  $R^{(e)}$  denote the  $R$ -bimodule that agrees with  $R$  as a left  $R$ -module, and where the right module structure is given by

$$x \cdot r = r^{p^e} x \quad \text{for all } r \in R \text{ and } x \in R^{(e)}.$$

For each  $R$ -module  $M$ , one then has a natural isomorphism

$$\mathcal{F}^e(M) \cong \text{Hom}_R(R^{(e)} \otimes_R M, M)$$

where  $\varphi \in \mathcal{F}^e(M)$  corresponds to  $x \otimes m \mapsto x\varphi(m)$  and  $\psi \in \text{Hom}_R(R^{(e)} \otimes_R M, M)$  corresponds to  $m \mapsto \psi(1 \otimes m)$ ; see [LS, Remark 3.2].

**Remark 1.4.** Let  $R$  be a Noetherian ring of prime characteristic. If  $M$  is a Noetherian  $R$ -module, or if  $R$  is complete local and  $M$  is an Artinian  $R$ -module, then each graded component  $\mathcal{F}^e(M)$  of  $\mathcal{F}(M)$  is a finitely generated left  $R$ -module, and hence also a finitely generated left  $\mathcal{F}^0(M)$ -module; this is [LS, Proposition 3.3].

**Remark 1.5.** Let  $R$  be a complete local ring of prime characteristic  $p$ ; set  $E$  to be the injective hull of the residue field of  $R$ . Let  $A$  be a complete regular local ring with  $R = A/I$ . By [B11, Proposition 3.36], one then has an isomorphism of  $R$ -modules

$$\mathcal{F}^e(E) \cong \frac{I^{[p^e]} :_A I}{I^{[p^e]}}.$$

## 2. TWISTED MULTIPLICATION

Let  $R$  be a complete local ring of prime characteristic; let  $E$  denote the injective hull of the residue field of  $R$ . In Theorem 3.3 we prove that  $\mathcal{F}(E)$  is isomorphic to a subgroup of the anticanonical cover of  $R$ , with a twisted multiplication structure; in this section, we describe this twisted construction in broad generality:

**Definition 2.1.** Given an  $\mathbb{N}$ -graded commutative ring  $\mathcal{R}$  of prime characteristic  $p$ , we define a new ring  $\mathcal{T}(\mathcal{R})$  as follows: Consider the Abelian group

$$\mathcal{T}(\mathcal{R}) = \bigoplus_{e \geq 0} \mathcal{R}_{p^e - 1},$$

and define a multiplication  $\ast$  on  $\mathcal{T}(\mathcal{R})$  by

$$a \ast b = ab^{p^e} \quad \text{for } a \in \mathcal{T}(\mathcal{R})_e \text{ and } b \in \mathcal{T}(\mathcal{R})_{e'}.$$

It is a straightforward verification that  $\ast$  is an associative binary operation; the prime characteristic assumption is used in verifying that  $+$  and  $\ast$  are distributive. Moreover, for elements  $a \in \mathcal{T}(\mathcal{R})_e$  and  $b \in \mathcal{T}(\mathcal{R})_{e'}$  one has

$$ab^{p^e} \in \mathcal{R}_{p^e - 1 + p^e(p^{e'} - 1)} = \mathcal{R}_{p^{e+e'} - 1},$$

and hence

$$\mathcal{T}(\mathcal{R})_e \ast \mathcal{T}(\mathcal{R})_{e'} \subseteq \mathcal{T}(\mathcal{R})_{e+e'}.$$

Thus,  $\mathcal{T}(\mathcal{R})$  is an  $\mathbb{N}$ -graded ring; we abbreviate its degree  $e$  component  $\mathcal{T}(\mathcal{R})_e$  as  $\mathcal{T}_e$ . The ring  $\mathcal{T}(\mathcal{R})$  is typically not commutative, and need not be a finitely generated extension ring of  $\mathcal{T}_0$  even when  $\mathcal{R}$  is Noetherian:

**Example 2.2.** We examine  $\mathcal{T}(\mathcal{R})$  when  $\mathcal{R}$  is a standard graded polynomial ring over a field  $\mathbb{F}$ . We show that  $\mathcal{T}(\mathcal{R})$  is a finitely generated ring extension of  $\mathcal{T}_0 = \mathbb{F}$  if  $\dim \mathcal{R} \leq 2$ , and that  $\mathcal{T}(\mathcal{R})$  is not finitely generated if  $\dim \mathcal{R} \geq 3$ .

- (1) If  $\mathcal{R}$  is a polynomial ring of dimension 1, then  $\mathcal{T}(\mathcal{R})$  is commutative and finitely generated over  $\mathbb{F}$ : take  $\mathcal{R} = \mathbb{F}[x]$ , in which case  $\mathcal{T}_e = \mathbb{F} \cdot x^{p^e-1}$  and

$$x^{p^e-1} * x^{p^{e'}-1} = x^{p^{e+e'}-1} = x^{p^{e'}-1} * x^{p^e-1}.$$

Thus,  $\mathcal{T}(\mathcal{R})$  is a polynomial ring in one variable.

- (2) When  $\mathcal{R}$  is a polynomial ring of dimension 2, we verify that  $\mathcal{T}(\mathcal{R})$  is a noncommutative finitely generated ring extension of  $\mathbb{F}$ . Let  $\mathcal{R} = \mathbb{F}[x, y]$ . Then

$$x^{p-1} * y^{p-1} = x^{p-1} y^{p^2-p} \quad \text{whereas} \quad y^{p-1} * x^{p-1} = x^{p^2-p} y^{p-1},$$

so  $\mathcal{T}(\mathcal{R})$  is not commutative. For finite generation, it suffices to show that

$$\mathcal{T}_{e+1} = \mathcal{T}_1 * \mathcal{T}_e \quad \text{for each } e \geq 1.$$

Set  $q = p^e$  and consider the elements

$$x^i y^{p-1-i} \in \mathcal{T}_1, \quad 0 \leq i \leq p-1 \quad \text{and} \quad x^j y^{q-1-j} \in \mathcal{T}_e, \quad 0 \leq j \leq q-1.$$

Then  $\mathcal{T}_1 * \mathcal{T}_e$  contains the elements

$$(x^i y^{p-1-i}) * (x^j y^{q-1-j}) = x^{i+pj} y^{pq-pj-i-1},$$

for  $0 \leq i \leq p-1$  and  $0 \leq j \leq q-1$ , and these are readily seen to span  $\mathcal{T}_{e+1}$ . Hence, the degree  $p-1$  monomials in  $x$  and  $y$  generate  $\mathcal{T}(\mathcal{R})$  as a ring extension of  $\mathbb{F}$ .

- (3) For a polynomial ring  $\mathcal{R}$  of dimension 3 or higher, the ring  $\mathcal{T}(\mathcal{R})$  is noncommutative and not finitely generated over  $\mathbb{F}$ . The noncommutativity is immediate from (2); we give an argument that  $\mathcal{T}(\mathcal{R})$  is not finitely generated for  $\mathcal{R} = \mathbb{F}[x, y, z]$ , and this carries over to polynomial rings  $\mathcal{R}$  of higher dimension.

Set  $q = p^e$  where  $e \geq 2$ . We claim that the element

$$xy^{q/p-1} z^{q-q/p-1} \in \mathcal{T}_e$$

does not belong to  $\mathcal{T}_{e_1} * \mathcal{T}_{e_2}$  for integers  $e_i < e$  with  $e_1 + e_2 = e$ . Indeed,  $\mathcal{T}_{e_1} * \mathcal{T}_{e_2}$  is spanned by the monomials

$$(x^i y^j z^{q_1-i-j-1}) * (x^k y^l z^{q_2-k-l-1}) = x^{i+q_1k} y^{j+q_1l} z^{q-i-j-q_1k-q_1l-1}$$

where  $q_i = p^{e_i}$  and

$$\begin{aligned} 0 \leq i \leq q_1 - 1, & & 0 \leq j \leq q_1 - 1 - i, \\ 0 \leq k \leq q_2 - 1, & & 0 \leq l \leq q_2 - 1 - k, \end{aligned}$$

so it suffices to verify that the equations

$$i + q_1 k = 1 \quad \text{and} \quad j + q_1 l = q/p - 1$$

have no solution for integers  $i, j, k, l$  in the intervals displayed above. The first of the equations gives  $i = 1$ , which then implies that  $0 \leq j \leq q_1 - 2$ . Since  $q_1$  divides  $q/p$ , the second equation gives  $j \equiv -1 \pmod{q_1}$ . But this has no solution with  $0 \leq j \leq q_1 - 2$ .

### 3. THE RING STRUCTURE OF $\mathcal{F}(E)$

We describe the ring of Frobenius operators  $\mathcal{F}(E)$  in terms of the symbolic Rees algebra  $\mathcal{R}$  and the twisted multiplication structure  $\mathcal{T}(\mathcal{R})$  of the previous section. First, a notational point:  $\omega^{[p^e]}$  below denotes the iterated Frobenius power of an ideal  $\omega$ , and  $\omega^{(n)}$  its symbolic power, which coincides with reflexive power for divisorial ideals  $\omega$ . We realize that the notation  $\omega^{[n]}$  is sometimes used for the reflexive power, hence this note of caution. We start with the following observation:

**Lemma 3.1.** *Let  $(R, \mathfrak{m})$  be a normal local ring of characteristic  $p > 0$ . Let  $\omega$  be a divisorial ideal of  $R$ , i.e., an ideal of pure height one. Then for each integer  $e \geq 1$ , the map*

$$H_{\mathfrak{m}}^{\dim R}(\omega^{[p^e]}) \longrightarrow H_{\mathfrak{m}}^{\dim R}(\omega^{(p^e)})$$

*induced by the inclusion  $\omega^{[p^e]} \subseteq \omega^{(p^e)}$ , is an isomorphism.*

*Proof.* Set  $d = \dim R$ . Since  $R$  is normal and  $\omega$  has pure height one,  $\omega R_{\mathfrak{p}}$  is principal for each prime ideal  $\mathfrak{p}$  of height one; hence  $(\omega^{(p^e)}/\omega^{[p^e]})R_{\mathfrak{p}} = 0$ . It follows that

$$\dim(\omega^{(p^e)}/\omega^{[p^e]}) \leq d - 2,$$

which gives the vanishing of the outer terms of the exact sequence

$$H_{\mathfrak{m}}^{d-1}(\omega^{(p^e)}/\omega^{[p^e]}) \longrightarrow H_{\mathfrak{m}}^d(\omega^{[p^e]}) \longrightarrow H_{\mathfrak{m}}^d(\omega^{(p^e)}) \longrightarrow H_{\mathfrak{m}}^d(\omega^{(p^e)}/\omega^{[p^e]}),$$

and thus the desired isomorphism.  $\square$

**Definition 3.2.** Let  $R$  be a normal ring that is either complete local, or  $\mathbb{N}$ -graded and finitely generated over  $R_0$ . Let  $\omega$  denote the canonical module of  $R$ . The symbolic Rees algebra

$$\mathcal{R} = \bigoplus_{n \geq 0} \omega^{(-n)}$$

is the anticanonical cover of  $R$ ; it has a natural  $\mathbb{N}$ -grading where  $\mathcal{R}_n = \omega^{(-n)}$ .

**Theorem 3.3.** *Let  $(R, \mathfrak{m})$  be a normal complete local ring of characteristic  $p > 0$ . Set  $d$  to be the dimension of  $R$ . Let  $\omega$  denote the canonical module of  $R$ , and identify  $E$ , the injective hull of the  $R/\mathfrak{m}$ , with  $H_{\mathfrak{m}}^d(\omega)$ .*

(1) *Then  $\mathcal{F}(E)$ , the ring of Frobenius operators on  $E$ , may be identified with*

$$\bigoplus_{e \geq 0} \omega^{(1-p^e)} F^e,$$

*where  $F^e$  denotes the map  $H_{\mathfrak{m}}^d(\omega) \longrightarrow H_{\mathfrak{m}}^d(\omega^{(p^e)})$  induced by  $\omega \longrightarrow \omega^{[p^e]}$ .*

(2) *Let  $\mathcal{R}$  be the anticanonical cover of  $R$ . Then one has an isomorphism of graded rings*

$$\mathcal{F}(E) \cong \mathcal{T}(\mathcal{R}),$$

*where  $\mathcal{T}(\mathcal{R})$  is as in Definition 2.1.*

*Proof.* By Remark 1.3, we have

$$\mathcal{F}^e(H_{\mathfrak{m}}^d(\omega)) \cong \mathrm{Hom}_R(R^{(e)} \otimes_R H_{\mathfrak{m}}^d(\omega), H_{\mathfrak{m}}^d(\omega)).$$

Moreover,

$$R^{(e)} \otimes_R H_{\mathfrak{m}}^d(\omega) \cong H_{\mathfrak{m}}^d(\omega^{[p^e]}) \cong H_{\mathfrak{m}}^d(\omega^{(p^e)}),$$

where the first isomorphism is by [ILL<sup>+</sup>, Exercise 9.7], and the second by Lemma 3.1. By similar arguments

$$\begin{aligned} \mathrm{Hom}_R(H_{\mathfrak{m}}^d(\omega^{(p^e)}), H_{\mathfrak{m}}^d(\omega)) &\cong \mathrm{Hom}_R(H_{\mathfrak{m}}^d(\omega \otimes_R \omega^{(p^e-1)}), H_{\mathfrak{m}}^d(\omega)) \\ &\cong \mathrm{Hom}_R(\omega^{(p^e-1)} \otimes_R H_{\mathfrak{m}}^d(\omega), H_{\mathfrak{m}}^d(\omega)) \\ &\cong \mathrm{Hom}_R(\omega^{(p^e-1)}, \mathrm{Hom}_R(H_{\mathfrak{m}}^d(\omega), H_{\mathfrak{m}}^d(\omega))), \end{aligned}$$

with the last isomorphism using the adjointness of Hom and tensor. Since  $R$  is complete, the module above is isomorphic to

$$\mathrm{Hom}_R(\omega^{(p^e-1)}, R) \cong \omega^{(1-p^e)}.$$

Suppose  $\varphi \in \mathcal{F}^e(M)$  and  $\varphi' \in \mathcal{F}^{e'}(M)$  correspond respectively to  $aF^e$  and  $a'F^{e'}$ , for elements  $a \in \omega^{(1-p^e)}$  and  $a' \in \omega^{(1-p^{e'})}$ . Then  $\varphi \circ \varphi'$  corresponds to  $aF^e \circ bF^{e'} = ab^{p^e}F^{e+e'}$ , which agrees with the ring structure of  $\mathcal{T}(\mathcal{R})$  since  $a * b = ab^{p^e}$ .  $\square$

**Remark 3.4.** Let  $R$  be a normal complete local ring of prime characteristic  $p$ ; let  $A$  be a complete regular local ring with  $R = A/I$ . Using Remark 1.5 and Theorem 3.3, it is now a straightforward verification that  $\mathcal{F}(E)$  is isomorphic, as a graded ring, to

$$\bigoplus_{e \geq 0} \frac{I^{[p^e]} :_A I}{I^{[p^e]}},$$

where the multiplication on this latter ring is the twisted multiplication  $*$ . An example of the isomorphism is worked out in Proposition 5.1.

#### 4. $\mathbb{Q}$ -GORENSTEIN RINGS

We analyze the finite generation of  $\mathcal{F}(E)$  when  $R$  is  $\mathbb{Q}$ -Gorenstein. The following result follows from the corresponding statement for Cartier algebras, [Sc, Remark 4.5], but we include it here for the sake of completeness:

**Proposition 4.1.** *Let  $(R, \mathfrak{m})$  be a normal  $\mathbb{Q}$ -Gorenstein local ring of prime characteristic. Let  $\omega$  denote the canonical module of  $R$ . If the order of  $\omega$  is relatively prime to the characteristic of  $R$ , then  $\mathcal{F}(E)$  is a finitely generated ring extension of  $\mathcal{F}^0(E)$ .*

*Proof.* Since  $\mathcal{F}^0(E)$  is isomorphic to the  $\mathfrak{m}$ -adic completion of  $R$ , the proposition reduces to the case where the ring  $R$  is assumed to be complete.

Let  $m$  be the order of  $\omega$ , and  $p$  the characteristic of  $R$ . Then  $p \bmod m$  is an element of the group  $(\mathbb{Z}/m\mathbb{Z})^\times$ , and hence there exists an integer  $e_0$  with  $p^{e_0} \equiv 1 \pmod{m}$ . We claim that  $\mathcal{F}(E)$  is generated over  $\mathcal{F}^0(E)$  by  $[\mathcal{F}(E)]_{\leq e_0}$ .

We use the identification  $\mathcal{F}(E) = \mathcal{T}(\mathcal{R})$  from Theorem 3.3. Since  $\omega^{(m)}$  is a cyclic module, one has

$$\omega^{(n+km)} = \omega^{(n)} \omega^{(km)} \quad \text{for all integers } k, n.$$

Thus, for each  $e > e_0$ , one has

$$\begin{aligned} \mathcal{T}_{e-e_0} * \mathcal{T}_{e_0} &= \omega^{(1-p^{e-e_0})} * \omega^{(1-p^{e_0})} \\ &= \omega^{(1-p^{e-e_0})} \cdot (\omega^{(1-p^{e_0})})^{[p^{e-e_0}]} \\ &= \omega^{(1-p^{e-e_0})} \cdot \omega^{(p^{e-e_0}(1-p^{e_0}))} \\ &= \omega^{(1-p^{e-e_0}+p^{e-e_0}-p^e)} \\ &= \omega^{(1-p^e)} \\ &= \mathcal{T}_e, \end{aligned}$$

which proves the claim.  $\square$

We conjecture that Proposition 4.1 has a converse in the following sense:

**Conjecture 4.2.** *Let  $(R, \mathfrak{m})$  be a normal  $\mathbb{Q}$ -Gorenstein ring of prime characteristic, such that the order of the canonical module in the divisor class group is a multiple of the characteristic of  $R$ . Then  $\mathcal{F}(E)$  is not a finitely generated ring extension of  $\mathcal{F}^0(E)$ .*

**Veronese subrings.** Let  $\mathbb{F}$  be a field of characteristic  $p > 0$ , and  $A = \mathbb{F}[x_1, \dots, x_d]$  a polynomial ring. Given a positive integer  $n$ , we denote the  $n$ -th Veronese subring of  $A$  by

$$A_{(n)} = \bigoplus_{k \geq 0} A_{nk};$$

this differs from the standard notation, e.g., [GW], since we reserve superscripts  $(\ )^{(n)}$  for symbolic powers. The cyclic module  $x_1 \cdots x_d A$  is the graded canonical module for the polynomial ring  $A$ . By [GW, Corollary 3.1.3], the Veronese submodule

$$(x_1 \cdots x_d A)_{(n)} = \bigoplus_{k \geq 0} [x_1 \cdots x_d A]_{nk}$$

is the graded canonical module for subring  $A_{(n)}$ . Let  $\mathfrak{m}$  denote the homogeneous maximal ideal of  $A_{(n)}$ . The injective hull of  $A_{(n)}/\mathfrak{m}$  in the category of graded  $A_{(n)}$ -modules is

$$\begin{aligned} H_{\mathfrak{m}}^d \left( (x_1 \cdots x_d A)_{(n)} \right) &= \left[ H_{\mathfrak{m}}^d \left( (x_1 \cdots x_d A) \right) \right]_{(n)} \\ &= \left[ \frac{A_{x_1 \cdots x_d}}{\sum_i x_i \cdots x_d A_{x_1 \cdots \widehat{x}_i \cdots x_d}} \right]_{(n)}, \end{aligned}$$

see [GW, Theorem 3.1.1]. By [GW, Theorem 1.2.5], this is also the injective hull in the category of all  $A_{(n)}$ -modules.

Let  $R$  be the  $\mathfrak{m}$ -adic completion of  $A_{(n)}$ . As it is  $\mathfrak{m}$ -torsion, the module displayed above is also an  $R$ -module; it is the injective hull of  $R/\mathfrak{m}R$  in the category of  $R$ -modules.

**Proposition 4.3.** *Let  $\mathbb{F}$  be a field of characteristic  $p > 0$ , and let  $A = \mathbb{F}[x_1, \dots, x_d]$  be a polynomial ring of dimension  $d$ . Let  $n$  be a positive integer, and  $R$  be the completion of the  $n$ -th Veronese subring of  $A$  at its homogeneous maximal ideal. Set  $E = M/N$  where*

$$M = R_{x_1^{n_1} \cdots x_d^{n_d}}$$

and  $N$  is the  $R$ -submodule spanned by elements  $x_1^{i_1} \cdots x_d^{i_d} \in M$  with  $i_k \geq 1$  for some  $k$ ; the module  $E$  is the injective hull of the residue field of  $R$ .

Then  $\mathcal{F}^e(E)$  is the left  $R$ -module generated by the elements

$$\frac{1}{x_1^{\alpha_1} \cdots x_d^{\alpha_d}} F^e,$$

where  $F$  is the  $p$ -th power map,  $\alpha_k \leq p^e - 1$  for each  $k$ , and  $\sum \alpha_k \equiv 0 \pmod{n}$ .

**Remark 4.4.** We use  $F$  for the Frobenius endomorphism of the ring  $M$ . The condition  $\sum \alpha_k \equiv 0 \pmod{n}$ , or equivalently  $x_1^{\alpha_1} \cdots x_d^{\alpha_d} \in M$ , implies that

$$\frac{1}{x_1^{\alpha_1} \cdots x_d^{\alpha_d}} F^e \in \mathcal{F}^e(M).$$

When  $\alpha_k \leq p^e - 1$  for each  $k$ , the map displayed above stabilizes  $N$  and thus induces an element of  $\mathcal{F}^e(M/N)$ ; we reuse  $F$  for the  $p$ -th power map on  $M/N$ .

*Proof of Proposition 4.3.* In view of the above remark, it remains to establish that the given elements are indeed generators for  $\mathcal{F}^e(E)$ . The canonical module of  $R$  is

$$\omega_R = (x_1 \cdots x_d A)_{(n)} R$$

and, indeed,  $H_{\mathfrak{m}}^d(\omega_R) = E$ . Thus, Theorem 3.3 implies that

$$\mathcal{F}^e(E) = \omega_R^{(1-q)} F^e,$$



where  $q = p^e$ . But  $\omega_R^{(1-q)}$  is the completion of the  $A_{(n)}$ -module

$$\left[ \frac{1}{x_1^{q-1} \cdots x_d^{q-1}} A \right]_{(n)} = \left( \frac{1}{x_1^{\alpha_1} \cdots x_d^{\alpha_d}} \mid \alpha_k \leq q-1 \text{ for each } k, \sum \alpha_k \equiv 0 \pmod{n} \right) A_{(n)},$$

which completes the proof.  $\square$

**Example 4.5.** Consider  $d = 2$  and  $n = 3$  in Proposition 4.3, i.e.,

$$R = \mathbb{F}[[x^3, x^2y, xy^2, y^3]].$$

Then  $\omega = (x^2y, xy^2)R$  has order 3 in the divisor class group of  $R$ ; indeed,

$$\omega^{(2)} = (x^4y^2, x^3y^3, x^2y^4)R \quad \text{and} \quad \omega^{(3)} = (x^3y^3)R.$$

(1) If  $p \equiv 1 \pmod{3}$ , then  $\omega^{(1-q)} = (xy)^{1-q}R$  is cyclic for each  $q = p^e$ , and

$$\mathcal{F}^e(E) = \frac{1}{(xy)^{q-1}} F^e.$$

Since

$$\frac{1}{(xy)^{p-1}} F \circ \frac{1}{(xy)^{q-1}} F^e = \frac{1}{(xy)^{pq-1}} F^{e+1},$$

it follows that

$$\mathcal{F}(E) = R \left\{ \frac{1}{(xy)^{p-1}} F \right\}.$$

(2) If  $p \equiv 2 \pmod{3}$  and  $q = p^e$ , then  $\omega^{(1-q)} = (xy)^{1-q}R$  for  $e$  even, and

$$\omega^{(1-q)} = \left( \frac{1}{x^{q-3}y^{q-1}}, \frac{1}{x^{q-2}y^{q-2}}, \frac{1}{x^{q-1}y^{q-3}} \right) R$$

for  $e$  odd. The proof of Proposition 4.1 shows that  $\mathcal{F}(E)$  is generated by its elements of degree  $\leq 2$ , and hence

$$\mathcal{F}(E) = R \left\{ \frac{1}{x^{p-3}y^{p-1}} F, \frac{1}{x^{p-2}y^{p-2}} F, \frac{1}{x^{p-1}y^{p-3}} F, \frac{1}{x^{p^2-1}y^{p^2-1}} F^2 \right\}.$$

In the case  $p = 2$ , the above reads

$$\mathcal{F}(E) = R \left\{ \frac{x}{y} F, F, \frac{y}{x} F, \frac{1}{x^3y^3} F^2 \right\}.$$

(3) When  $p = 3$ , one has

$$\omega^{(1-q)} = \frac{1}{x^q y^q} (x^2y, xy^2)R = \left( \frac{1}{x^{q-2}y^{q-1}}, \frac{1}{x^{q-1}y^{q-2}} \right) R$$

for each  $q = p^e$ . In this case,

$$\mathcal{F}(E) = R \left\{ \frac{1}{xy^2} F, \frac{1}{x^2y} F, \frac{1}{x^7y^8} F^2, \frac{1}{x^8y^7} F^2, \frac{1}{x^{25}y^{26}} F^3, \frac{1}{x^{26}y^{25}} F^3, \dots \right\},$$

and  $\mathcal{F}(E)$  is not a finitely generated extension ring of  $\mathcal{F}^0(E) = R$ ; indeed,

$$\begin{aligned} \omega^{(1-q)} \ast \omega^{(1-q')} &= \frac{1}{x^q y^q} (x^2 y, xy^2) R \ast \frac{1}{x^{q'} y^{q'}} (x^2 y, xy^2) R \\ &= \frac{1}{x^{qq'+q} y^{qq'+q}} (x^2 y, xy^2) \cdot (x^{2q} y^q, x^q y^{2q}) R \\ &= \frac{1}{x^{qq'} y^{qq'}} (x^{q+2} y, x^{q+1} y^2, x^2 y^{q+1}, xy^{q+2}) R \\ &= \frac{1}{x^{qq'} y^{qq'}} (x^2 y, xy^2) \cdot (x^q, y^q) R \\ &= (x^q, y^q) \omega^{(1-qq')} \end{aligned}$$

for  $q = p^e$  and  $q' = p^{e'}$ , where  $e$  and  $e'$  are positive integers.

## 5. A DETERMINANTAL RING

Let  $R$  be the determinantal ring  $\mathbb{F}[X]/I$ , where  $X$  is a  $2 \times 3$  matrix of variables over a field of characteristic  $p > 0$ , and  $I$  is the ideal generated by the size 2 minors of  $X$ . Set  $\mathfrak{m}$  to be the homogeneous maximal ideal of  $R$ . We show that the algebra of Frobenius operators  $\mathcal{F}(E)$  is not finitely generated over  $\mathcal{F}^0(E) = \widehat{R}$ ; this proves Conjecture 3.1 of [Ka]. We also extend Fedder's calculation of the ideals  $I^{[p]} : I$  to the ideals  $I^{[q]} : I$  for all  $q = p^e$ .

The ring  $R$  is isomorphic to the affine semigroup ring

$$\mathbb{F} \left[ \begin{array}{ccc} sx, & sy, & sz, \\ tx, & ty, & tz \end{array} \right] \subseteq \mathbb{F}[s, t, x, y, z].$$

Using this identification,  $R$  is the Segre product  $A \# B$  of the polynomial rings  $A = \mathbb{F}[s, t]$  and  $B = \mathbb{F}[x, y, z]$ . By [GW, Theorem 4.3.1], the canonical module of  $R$  is the Segre product of the graded canonical modules  $stA$  and  $xyzB$  of the respective polynomial rings, i.e.,

$$\omega_R = stA \# xyzB = (s^2 txyz, s^2 xyz)R.$$

Let  $e$  be a nonnegative integer, and  $q = p^e$ . Then

$$\omega_R^{(1-q)} = \frac{1}{(st)^{q-1}} A \# \frac{1}{(xyz)^{q-1}} B$$

is the  $R$  module spanned by the elements

$$\frac{1}{(st)^{q-1} x^k y^l z^m}$$

with  $k + l + m = 2q - 2$  and  $k, l, m \leq q - 1$ .

View  $E$  as  $M/N$  where  $M = R_{s^2 txyz}$ , and  $N$  is the  $R$ -submodule spanned by the elements  $s^i t^j x^k y^l z^m$  in  $M$  that have at least one positive exponent. Then  $\mathcal{F}^e(E)$  is the left  $\widehat{R}$ -module generated by

$$\frac{1}{(st)^{q-1} x^k y^l z^m} F^e,$$

where  $F$  is the  $p$ -th power map,  $k + l + m = 2q - 2$ , and  $k, l, m \leq q - 1$ . Using this description, it is an elementary—though somewhat tedious—verification that  $\mathcal{F}(E)$  is not finitely generated over  $\mathcal{F}^0(E)$ ; alternatively, note that the symbolic powers of the height one prime

ideals  $(sx, sy, sz)\widehat{R}$  and  $(sx, tx)\widehat{R}$  agree with the ordinary powers by [BV, Corollary 7.10]. Thus, the anticanonical cover of  $\widehat{R}$  is the ring  $\mathcal{R}$  with

$$\mathcal{R}_n = \frac{1}{(s^2txyz)^n} (sx, sy, sz)^n \widehat{R},$$

and so

$$\mathcal{T}_e = \frac{1}{(s^2txyz)^{q-1}} (sx, sy, sz)^{q-1} \widehat{R}.$$

Thus,

$$\begin{aligned} \mathcal{T}_{e_1} * \mathcal{T}_{e_2} &= \frac{1}{(s^2txyz)^{q_1-1}} (sx, sy, sz)^{q_1-1} * \frac{1}{(s^2txyz)^{q_2-1}} (sx, sy, sz)^{q_2-1} \\ &= \frac{1}{(s^2txyz)^{q_1q_2-1}} (sx, sy, sz)^{q_1-1} \cdot ((sx, sy, sz)^{q_2-1})^{[q_1]} \\ &= \frac{1}{(s^2txyz)^{q_1q_2-1}} (sx, sy, sz)^{q_1-1} \cdot \left( (sx)^{q_1}, (sy)^{q_1}, (sz)^{q_1} \right)^{q_2-1} \end{aligned}$$

where  $q_i = p^{e_i}$ . We claim that

$$\mathcal{T}_e \neq \sum_{e_1=1}^{e-1} \mathcal{T}_{e_1} * \mathcal{T}_{e-e_1}.$$

For this, it suffices to show that

$$\frac{1}{(s^2txyz)^{q-1}} sx(sy)^{q/p-1} (sz)^{q-q/p-1}$$

does not belong to  $\mathcal{T}_{e_1} * \mathcal{T}_{e_2}$  for integers  $e_i < e$  with  $e_1 + e_2 = e$ . By the description of  $\mathcal{T}_{e_1} * \mathcal{T}_{e_2}$  above, this is tantamount to proving that

$$sx(sy)^{q/p-1} (sz)^{q-q/p-1} \notin (sx, sy, sz)^{q_1-1} \cdot \left( (sx)^{q_1}, (sy)^{q_1}, (sz)^{q_1} \right)^{q_2-1},$$

but this is essentially Example 2.2.3.

**Fedder's computation.** Let  $A$  be the power series ring  $\mathbb{F}[[u, v, w, x, y, z]]$  for  $\mathbb{F}$  a field of characteristic  $p > 0$ , and let  $I$  be the ideal generated by the size 2 minors of the matrix

$$\begin{pmatrix} u & v & w \\ x & y & z \end{pmatrix},$$

In [Fe, Proposition 4.7], Fedder shows that

$$I^{[p]} : I = I^{2p-2} + I^{[p]}.$$

We extend this next by calculating the ideals  $I^{[q]} : I$  for each prime power  $q = p^e$ .

**Proposition 5.1.** *Let  $A$  be the power series ring  $\mathbb{F}[[u, v, w, x, y, z]]$  where  $K$  a field of characteristic  $p > 0$ . Let  $I$  be the ideal of  $A$  generated by  $\Delta_1 = vz - wy$ ,  $\Delta_2 = wx - uz$ , and  $\Delta_3 = uy - vx$ .*

(1) *For  $q = p^e$  and nonnegative integers  $s, t$  with  $s + t \leq q - 1$ , one has*

$$y^s z^t (\Delta_2 \Delta_3)^{q-1} \in I^{[q]} + x^{s+t} A.$$

(2) For  $q, s, t$  as above, let  $f_{s,t}$  be an element of  $A$  with

$$y^s z^t (\Delta_2 \Delta_3)^{q-1} \equiv x^{s+t} f_{s,t} \pmod{I^{[q]}}.$$

Then  $f_{s,t}$  is well-defined modulo  $I^{[q]}$ . Moreover,  $f_{s,t} \in I^{[q]} :_A I$ , and

$$I^{[q]} :_A I = I^{[q]} + (f_{s,t} \mid s+t \leq q-1)A.$$

For  $q = p$ , the above recovers Fedder's computation that  $I^{[p]} : I = I^{2p-2} + I^{[p]}$ , though for  $q > p$ , the ideal  $I^{[p]} : I$  is strictly bigger than  $I^{2p-2} + I^{[p]}$ .

*Proof.* (1) Note that the element

$$y^s z^t (\Delta_2 \Delta_3)^{q-1} = y^s z^t (wx - uz)^{q-1} (uy - vx)^{q-1}$$

belongs to the ideals

$$(x, u)^{2q-2} \subseteq (x^{q-1}, u^q) \subseteq (x^{s+t}, u^q),$$

and also to

$$y^s z^t (x, z)^{q-1} (x, y)^{q-1} \subseteq y^s z^t (x^t, z^{q-t}) (x^s, y^{q-s}) \subseteq (x^{s+t}, z^q, y^q).$$

Hence,

$$\begin{aligned} y^s z^t (\Delta_2 \Delta_3)^{q-1} &\in (x^{s+t}, u^q)A \cap (x^{s+t}, z^q, y^q)A \\ &= (x^{s+t}, u^q z^q, u^q y^q)A \\ &\subseteq (x^{s+t}, \Delta_1^q, \Delta_2^q, \Delta_3^q)A. \end{aligned}$$

(2) The ideals  $I$  and  $I^{[q]}$  have the same associated primes, [ILL<sup>+</sup>, Corollary 21.11]. As  $I$  is prime, it is the only prime associated to  $I^{[q]}$ . Hence  $x^{s+t}$  is a nonzerodivisor modulo  $I^{[q]}$ , and it follows that  $f_{s,t} \pmod{I^{[q]}}$  is well-defined.

We next claim that

$$I^{2q-1} \subseteq I^{[q]}.$$

By the earlier observation on associated primes, it suffices to verify this in the local ring  $R_I$ . But  $R_I$  is a regular local ring of dimension 2, so  $IR_I$  is generated by two elements, and the claim follows from the pigeonhole principle. The claim implies that

$$x^{s+t} f_{s,t} I \in I^{[q]},$$

and using, again, that  $x^{s+t}$  is a nonzerodivisor modulo  $I^{[q]}$ , we see that  $f_{s,t} I \subseteq I^{[q]}$ , in other words, that  $f_{s,t} \in I^{[q]} :_A I$  as desired.

By Theorem 3.3 and Remark 3.4, one has the  $R$ -module isomorphisms

$$\omega_R^{(1-q)} \cong \mathcal{F}^e(E) \cong \frac{I^{[q]} :_A I}{I^{[q]}}.$$

Choosing  $\omega_R^{(-1)} = (x, y, z)R$ , we claim that the map

$$\begin{aligned} (x, y, z)^{q-1} R &\longrightarrow \frac{I^{[q]} :_A I}{I^{[q]}} \\ x^{q-1-s-t} y^s z^t &\longmapsto f_{s,t} \end{aligned}$$

is an isomorphism. Since the modules in question are reflexive  $R$ -modules of rank one, it suffices to verify that the map is an isomorphism in codimension 1. Upon inverting  $x$ , the above map induces

$$\begin{aligned} R_x &\longrightarrow \frac{I^{[q]}A_x :_{A_x} IA_x}{I^{[q]}A_x} \\ x^{q-1} &\longmapsto (\Delta_2 \Delta_3)^{q-1} \end{aligned}$$

which is readily seen to be an isomorphism since  $IA_x = (\Delta_2, \Delta_3)A_x$ .  $\square$

## 6. CARTIER ALGEBRAS AND GAUGE BOUNDEDNESS

For a ring  $R$  of prime characteristic  $p > 0$ , one can interpret  $\mathcal{F}^e(E)$  in a dual way as a collection of  $p^{-e}$ -linear operators on  $R$ . This point of view was studied by Blickle [B12] and Schwede [Sc].

**Definition 6.1.** Let  $R$  be a ring of prime characteristic  $p > 0$ . For each  $e \geq 0$ , set  $\mathcal{C}_e^R$  to be set of additive maps  $\varphi : R \rightarrow R$  satisfying

$$\varphi(r^{p^e} x) = r\varphi(x) \quad \text{for } r, x \in R.$$

The *total Cartier algebra* is the direct sum

$$\mathcal{C}^R = \bigoplus_{e \geq 0} \mathcal{C}_e^R.$$

For  $\varphi \in \mathcal{C}_e^R$  and  $\varphi' \in \mathcal{C}_{e'}^R$ , the compositions  $\varphi \circ \varphi'$  and  $\varphi' \circ \varphi$  are elements of  $\mathcal{C}_{e+e'}^R$ . This gives  $\mathcal{C}^R$  the structure of an  $\mathbb{N}$ -graded ring; it is typically not a commutative ring. As pointed out in [ABZ, 2.2.1], if  $(R, \mathfrak{m})$  is an  $F$ -finite complete local ring, then the ring of Frobenius operators  $\mathcal{F}(E)$  is isomorphic to  $\mathcal{C}^R$ .

Each  $\mathcal{C}_e^R$  has a left and a right  $R$ -module structure: for  $\varphi \in \mathcal{C}_e^R$  and  $r \in R$ , we define  $r \cdot \varphi$  to be the map  $x \mapsto r\varphi(x)$ , and  $\varphi \cdot r$  to be the map  $x \mapsto \varphi(rx)$ .

**Definition 6.2.** Blickle [B12] introduced a notion of boundedness for Cartier algebras: Let  $R = A/I$  for a polynomial ring  $A = \mathbb{F}[x_1, \dots, x_d]$  over an  $F$ -finite field  $\mathbb{F}$ . Set  $R_n$  to be the finite dimensional  $\mathbb{F}$ -vector subspace of  $R$  spanned by the images of the monomials

$$x_1^{\lambda_1} \cdots x_d^{\lambda_d} \quad \text{for } 0 \leq \lambda_j \leq n.$$

Following [An] and [B12], we define a map  $\delta : R \rightarrow \mathbb{Z}$  by  $\delta(r) = n$  if  $r \in R_n \setminus R_{n-1}$ ; the map  $\delta$  is a *gauge*. If  $I = 0$ , then  $\delta(r) \leq \deg(r)$  for each  $r \in R$ . We recall some properties from [An, Proposition 1] and [B12, Lemma 4.2]:

$$\begin{aligned} \delta(r + r') &\leq \max\{\delta(r), \delta(r')\}, \\ \delta(r \cdot r') &\leq \delta(r) + \delta(r'). \end{aligned}$$

The ring  $\mathcal{C}^R$  is *gauge bounded* if there exists a constant  $K$ , and elements  $\varphi_{e,i}$  in  $\mathcal{C}_e^R$  for each  $e \geq 1$  generating  $\mathcal{C}_e^R$  as a left  $R$ -module, such that

$$\delta(\varphi_{e,i}(x)) \leq \frac{\delta(x)}{p^e} + K \quad \text{for each } e \text{ and } i.$$

**Remark 6.3.** We record two key facts that will be used in our proof of Theorem 6.4:

- (1) If there exists a constant  $C$  such that  $I^{[p^e]} :_A I$  is generated by elements of degree at most  $Cp^e$  for each  $e \geq 1$ , then  $\mathcal{C}^R$  is gauge bounded; this is [KZ, Lemma 2.2].

- (2) If  $\mathcal{C}^R$  is gauge bounded, then for each ideal  $\mathfrak{a}$  of  $R$ , the  $F$ -jumping numbers of  $\tau(R, \mathfrak{a}')$  are a subset of the real numbers with no limit points; in particular, they form a discrete set. This is [B12, Theorem 4.18].

We now prove the main result of the section:

**Theorem 6.4.** *Let  $R$  be a normal  $\mathbb{N}$ -graded that is finitely generated over an  $F$ -finite field  $R_0$ . (The ring  $R$  need not be standard graded.)*

*Suppose that the anticanonical cover of  $R$  is finitely generated as an  $R$ -algebra. Then  $\mathcal{C}^R$  is gauge bounded. Hence, for each ideal  $\mathfrak{a}$  of  $R$ , the set of  $F$ -jumping numbers of  $\tau(R, \mathfrak{a}')$  is a subset of the real numbers with no limit points.*

*Proof.* Let  $A$  be a polynomial ring, with a possibly non-standard  $\mathbb{N}$ -grading, such that  $R = A/I$ . It suffices to obtain a constant  $C$  such that the ideals  $I^{[p^e]} :_A I$  are generated by elements of degree at most  $Cp^e$  for each  $e \geq 1$ .

There exists a ring isomorphism  $\bigoplus_{e \geq 0} \omega^{(1-p^e)} \cong \bigoplus_{e \geq 0} (I^{[p^e]} :_A I) / I^{[p^e]}$  by Remark 3.4 that respects the  $e$ -th graded components. After replacing  $\omega$  by an isomorphic  $R$ -module with a possible graded shift, we may assume that the isomorphism above induces degree preserving  $R$ -module isomorphisms  $\omega^{(1-p^e)} \cong (I^{[p^e]} :_A I) / I^{[p^e]}$  for each  $e \geq 0$ . While  $\omega$  is no longer canonically graded, we still have the finite generation of the anticanonical cover  $\bigoplus_{n \geq 0} \omega^{(-n)}$ . It suffices to check that there exists a constant  $C$  such that  $\omega^{(1-p^e)}$  is generated, as an  $R$ -module, by elements of degree at most  $Cp^e$ .

Choose finitely many homogeneous  $R$ -algebra generators  $z_1, \dots, z_k$  for  $\bigoplus_{n \geq 0} \omega^{(-n)}$ , say with  $z_i \in \omega^{(-j_i)}$ . Set  $C$  to be the maximum of  $\deg z_1, \dots, \deg z_k$ . Then the monomials

$$\mathbf{z}^\lambda = z_1^{\lambda_1} z_2^{\lambda_2} \cdots z_k^{\lambda_k} \quad \text{with } \sum \lambda_i j_i = p^e - 1$$

generate the  $R$ -module  $\omega^{(1-p^e)}$ , and it is readily seen that

$$\deg \mathbf{z}^\lambda = \sum \lambda_i \deg z_i \leq C \sum \lambda_i \leq C(p^e - 1).$$

By [KZ, Lemma 2.2], it follows that  $\mathcal{C}^R$  is gauge bounded; the assertion now follows from [B12, Theorem 4.18].  $\square$

**Corollary 6.5.** *Let  $R$  be the determinantal ring  $\mathbb{F}[X]/I$ , where  $X$  is a matrix of indeterminates over an  $F$ -finite field  $\mathbb{F}$  of prime characteristic, and  $I$  is the ideal generated by the minors of  $X$  of an arbitrary but fixed size. Then, for each ideal  $\mathfrak{a}$  of  $R$ , the set of  $F$ -jumping numbers of  $\tau(R, \mathfrak{a}')$  is a subset of the real numbers with no limit points.*

*Proof.* Since  $R$  is a determinantal ring, the symbolic powers of the ideal  $\omega^{(-1)}$  agree with the ordinary powers by [BV, Corollary 7.10]. Hence the anticanonical cover of  $R$  is finitely generated, and the result follows from Theorem 6.4.  $\square$

**Remark 6.6.** It would be natural to remove the hypothesis that  $R$  is graded in Theorem 6.4. However, we do not know how to do this: when  $R$  is not graded, it is unclear if one can choose gauges that are compatible with the ring isomorphism

$$\bigoplus_{e \geq 0} \omega^{(1-p^e)} \cong \bigoplus_{e \geq 0} (I^{[p^e]} :_A I) / I^{[p^e]}.$$

## 7. LINEAR GROWTH OF CASTELNUOVO-MUMFORD REGULARITY FOR RINGS OF FINITE FROBENIUS REPRESENTATION TYPE

Let  $A$  be a standard graded polynomial ring over a field  $\mathbb{F}$ , with homogeneous maximal ideal  $\mathfrak{m}$ . We recall the definition of the Castelnuovo-Mumford regularity of a graded module following [Ei, Chapter 4]:

**Definition 7.1.** Let  $M = \bigoplus_{d \in \mathbb{Q}} M_d$  be a graded  $A$ -module. If  $M$  is Artinian, we set

$$\operatorname{reg} M = \max\{d \mid M_d \neq 0\};$$

for an arbitrary graded module we define

$$\operatorname{reg} M = \max_{k \geq 0} \{\operatorname{reg} H_{\mathfrak{m}}^k(M) + k\}.$$

**Definition 7.2.** Let  $I$  and  $J$  be homogeneous ideals of  $A$ . We say that the regularity of  $A/(I + J^{[p^e]})$  has *linear growth* with respect to  $p^e$ , if there is a constant  $C$ , such that

$$\operatorname{reg} A/(I + J^{[p^e]}) \leq Cp^e \quad \text{for each } e \geq 0.$$

It follows from [KZ, Corollary 2.4] that if  $\operatorname{reg} A/(I + J^{[p^e]})$  has linear growth for each homogeneous ideal  $J$ , then  $\mathcal{C}^{A/I}$  is gauge-bounded.

**Remark 7.3.** Let  $R = A/I$  for a homogeneous ideal  $I$ . We define a grading on the bimodule  $R^{(e)}$  introduced in Remark 1.3: when an element  $r$  of  $R$  is viewed as an element of  $R^{(e)}$ , we denote it by  $r^{(e)}$ . For a homogeneous element  $r \in R$ , we set

$$\operatorname{deg}' r^{(e)} = \frac{1}{p^e} \operatorname{deg} r.$$

For each ideal  $J$  of  $R$ , one has an isomorphism

$$R^{(e)} \otimes_R R/J \xrightarrow{\cong} R/J^{[p^e]}$$

under which  $r^{(e)} \otimes \bar{s} \mapsto \overline{rs^{p^e}}$ . To make this isomorphism degree-preserving for a homogeneous ideal  $J$ , we define a grading on  $R/J^{[p^e]}$  as follows:

$$\operatorname{deg}' \bar{r} = \frac{1}{p^e} \operatorname{deg} \bar{r} \quad \text{for a homogeneous element } r \text{ of } R.$$

The notion of finite Frobenius representation type was introduced by Smith and Van den Bergh [SV]; we recall the definition in the graded context:

**Definition 7.4.** Let  $R$  be an  $\mathbb{N}$ -graded Noetherian ring of prime characteristic  $p$ . Then  $R$  has *finite graded Frobenius-representation type* by finitely generated  $\mathbb{Q}$ -graded  $R$ -modules  $M_1, \dots, M_s$ , if for every  $e \in \mathbb{N}$ , the  $\mathbb{Q}$ -graded  $R$ -module  $R^{(e)}$  is isomorphic to a finite direct sum of the modules  $M_i$  with possible graded shifts, i.e., if there exist rational numbers  $\alpha_{ij}^{(e)}$ , such that there exists a  $\mathbb{Q}$ -graded isomorphism

$$R^{(e)} \cong \bigoplus_{i,j} M_i(\alpha_{ij}^{(e)}).$$

**Remark 7.5.** Suppose  $R$  has finite graded Frobenius-representation type. With the notation as above, there exists a constant  $C$  such that

$$\alpha_{ij}^{(e)} \leq C \quad \text{for all } e, i, j;$$

see the proof of [TT, Theorem 2.9].

We now prove the main result of this section; compare with [TT, Theorem 4.8].

**Theorem 7.6.** *Let  $A$  be a standard graded polynomial ring over an  $F$ -finite field of characteristic  $p > 0$ . Let  $I$  be a homogeneous ideal of  $A$ .*

*Suppose  $R = A/I$  has finite graded  $F$ -representation type. Then  $\text{reg} A/(I + J^{[p^e]})$  has linear growth for each homogeneous ideal  $J$ . In particular,  $\mathcal{C}^R$  is gauge bounded, and for each ideal  $\mathfrak{a}$  of  $R$ , the set of  $F$ -jumping numbers of  $\tau(R, \mathfrak{a}')$  is a subset of the real numbers with no limit points.*

*Proof.* We use  $J$  for the ideal of  $A$ , and also for its image in  $R$ . Let  $d'(H_m^k(R/J^{[p^e]}))$  denote the largest degree of a nonzero element of  $H_m^k(R/J^{[p^e]})$  under the  $\text{deg}'$ -grading, i.e.,

$$d'(H_m^k(R/J^{[p^e]})) = \frac{1}{p^e} \text{reg} H_m^k(R/J^{[p^e]}).$$

Since we have degree-preserving isomorphisms  $R^{(e)} \otimes_R R/J \cong R/J^{[p^e]}$ , and

$$R^{(e)} \cong \bigoplus_{i,j} M_i(\alpha_{ij}^{(e)}),$$

it follows that

$$\begin{aligned} H_m^k(R/J^{[p^e]}) &\cong H_m^k(R^{(e)} \otimes_R R/J) \\ &\cong \bigoplus_{i,j} H_m^k(M_i(\alpha_{ij}^{(e)}) \otimes_R R/J) \\ &\cong \bigoplus_{i,j} H_m^k(M_i/JM_i)(\alpha_{ij}^{(e)}). \end{aligned}$$

The numbers  $\alpha_{ij}^{(e)}$  are bounded by Remark 7.5; thus,

$$d'(H_m^k(R/J^{[p^e]})) \leq \max_i \{d'(H_m^k(M_i/JM_i)) + C\}.$$

Since there are only finitely many modules  $M_i$  and finitely many homological indices  $k$ , it follows that  $d'(H_m^k(R/J^{[p^e]})) \leq C'$ , where  $C'$  is a constant independent of  $e$  and  $k$ . Hence

$$\text{reg} H_m^k(R/J^{[p^e]}) \leq C' p^e \quad \text{for all } e, k,$$

and so

$$\text{reg} A/(I + J^{[p^e]}) = \max_k \{\text{reg} H_m^k(R/J^{[p^e]}) + k\} \leq C' p^e + \dim A.$$

This proves that  $\text{reg} A/J^{[p^e]}$  has linear growth; [KZ, Corollary 2.4] implies that  $\mathcal{C}^R$  is gauge bounded, and the discreteness assertion follows from [Bl2, Theorem 4.18].  $\square$

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