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# An integrated optimisation approach to airport ground operations to foster sustainability in the aviation sector

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## Abstract

With increasing air traffic, rising fuel costs and tighter environmental targets, efficient airport ground operations are one of the key aspects towards sustainable air transportation. This complex system includes elements such as ground movement, runway scheduling and ground services. Previously, these problems were treated in isolation since information, such as landing time, pushback time and aircraft ground position, are held by different stakeholders with sometimes conflicting interests and, normally, are not shared. However, as these problems are interconnected, solutions as a result of isolated optimisation may achieve the objective of one problem but fail in the objective of the other one, missing the global optimum eventually. Potentially more energy and economic costs are thus required. In order to apply a more systematic and holistic view, this paper introduces a multi-objective integrated optimisation problem incorporating the newly proposed Active Routing concept. Built with systematic perspectives, this new model combines several elements: scheduling and routing of aircraft, 4-Dimensional Trajectory (4DT) optimisation, runway scheduling and airport bus scheduling. A holistic economic optimisation framework is also included to support the decision maker to select the economically optimal solution from a Pareto front of technically optimal solution. To solve this problem, a multi-objective genetic algorithm is adopted and tested on real data from an international hub airport. Preliminary results show that the proposed approach is able to provide a systematic framework so that airport efficiency, environmental assessment and economic analysis could all be explicitly optimised.

*Keywords:* Airport operations, Environmental impact, Ground movement, multi-objective optimisation

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## 1. Introduction

Global air traffic is continuing to grow steadily and the 3.1 billion airline passengers carried in 2013 are forecasted to double to about six billion by 2030 [1]. By that time, many airports will reach their maximum capacity resulting in a great pressure to fully utilise the available resources and the need for efficient ground operations. Furthermore, the global effort to meet ambitious environmental targets such as reaching an emission-free airport ground movement in Europe by 2050 [2], together with rising fuel costs, push the airlines to cut fuel consumption as much as possible. Advances in research in the last decades have seen improvement in the fuel efficiency and mitigation of environmental impact for new aircraft due to innovative design [3] or the application of alternative fuels [4, 5, 6]. However, in addition to technological developments in maximising energy utilisation, there is a considerable potential to achieve the same objective by optimising operational procedures at airports, which is still untapped fully.

Previously, different information (e.g. landing time, pushback time or aircraft ground position) were possessed by different stakeholders with limited sharing. However, with the abovementioned challenges imposed on airports, this approach cannot be sustained in the future. This was recognized by Eurocontrol with the introduction of the Airport Collaborative Decision Making (A-CDM) concept [7]. The core idea of A-CDM is the cooperation and real-time data sharing between airport operators, aircraft operators, ground handlers and air traffic control in order to reduce delays, improve the predictability of events and optimise the utilisation of resources. In line with A-CDM concept, optimisation of different airport ground problems such as ground movement, runway scheduling, gate assignment and scheduling of ground services need to be treated in a more integrated and coordinated manner instead of current isolated practices, to fully appreciate the same positive effects given by A-CDM.

Previous research on airport ground operations mostly focused on individual sub problems. A number of papers have been published on runway scheduling problem. The objective is often expressed as a minimisation of delay, the number of changes compared to First-come-first-served (FCFS) sequence, makespan or their combination. A wide range of exact and heuristic methods employed to solve this problem include dynamic programming [8], hybrid tabu search [9, 10], genetic algorithm [11] and heuristics [12]. A detailed review of recent research on runway scheduling problem can be found in [13].

Previous papers on ground movement problem mostly focused on minimisation of the total taxi time or other time related objectives [14]. Minimisation of the total taxi time is the main goal of the genetic algorithm proposed by Pesic et al. [15], mixed integer linear programming formulation used in [16, 17] or a graph-based approach utilised in [18] or [19]. Deviations from the scheduled time of departure or arrival are penalized in [20, 21]. A combination of time related objectives is minimised in [22].

Recently, a few researchers started to consider also fuel consumption as a objective for the ground movement problem. Papers focused on the stand holding problem [23, 24, 25] take the fuel consumption into account indirectly, maximising the time an aircraft spends at the stand, with their engines off, rather than taxiing. Multi-objective optimisation has been employed by Ravizza et al. [26] to simultaneously minimise taxi time as well as fuel consumption. Their approach combines a routing and scheduling algorithm [18] with the Population Adaptive based Immune Algorithm (PAIA) [27] in search of the trade-off between the total taxi time and fuel consumption expressed as a fuel consumption index. The following work [28] introduced a fast heuristic procedure for speed profile optimisation to speed up the search. Results in [26, 27, 28] indicated that the fastest schedule normally leads to higher fuel burn due to heavy acceleration required to achieve short taxi time.

Only a few papers considered ground movement and runway scheduling as an integrated and interconnected problem. Deau et al. [29, 30] proposed a two-stage approach in which a branch and bound algorithm was used, in the first stage, to find the best runway sequence regarding the deviation from assigned slots and then, in the second stage, a genetic algorithm was applied to find a solution for the ground movement problem minimising the difference from the target times resulting from the runway sequence found in the first stage. A mixed integer linear programming formulation by Clare and Richards [31] minimises a weighted sum of taxi time and distance related objectives with respect to runway scheduling constraints. Frankovich and Bertsimas [32] introduced an integer programming formulation for selecting a runway configuration, assigning flights to runways and determining their sequence, and after solving these and fixing them, deter-

52 mining the gate-holding duration of departures and routing of flights on the airport surface with the aim of  
53 minimising delays.

54 Research on the optimisation of ground services includes scheduling of airport buses [33], optimisation of  
55 luggage handling process [34], or scheduling of other services [35] such as fuelling [36], catering [37], cleaning,  
56 water and sanitation processes. As pointed out in [38, 33, 35], optimisation of ground services shares similar  
57 characteristics. As a result, and due to the fact that the particular airport under investigation in this study  
58 does not have gates, only stands, in this paper we focus only on the scheduling of airport buses which is an  
59 example of ground service optimisation problems. Although gate assignment has a direct impact on ground  
60 movement through the location of gates/stands assigned to flights [39], its planning is normally carried out  
61 at a tactical level usually for the whole day. Since ground movement, runway scheduling and scheduling of  
62 ground services requires operational planning, gate assignment is not main focus of this work. It is worth  
63 pointing out that these optimisation problems are closely interrelated with each other, for example, a runway  
64 sequence determines times at which aircraft have to start/finish their taxi and subsequently the schedule of  
65 ground services needed at the gates.

66 Recently, this kind of optimisation problems has been introduced in [40] as multi-component optimisa-  
67 tion problems, which are common in transportation research [41, 42, 9]. As shown in [40, 43], optimisation  
68 of multi-component problems in an isolated manner may not find globally optimal solutions, since solution  
69 for one problem can fail in the objective of the other one and thus miss the global optimum. Furthermore,  
70 these problems are not only difficult to solve in their own right, but even more so when combined, due to the  
71 interdependence among them. The proposed approach in this paper follows the same line of research. Legiti-  
72 mately, this type of problems with different stakeholders and objective functions can be tackled more easily  
73 with a multi-objective optimisation approach [43], in which each objective can be addressed appropriately.  
74 Furthermore, as the result of multi-objective optimisation is a set of solutions, under unprecedented events,  
75 the decision maker will have more readily available alternatives as backup plans without sacrificing too much  
76 cost or other resources. Finally, improving predictability of events by implementing A-CDM concept both  
77 in operation and optimisation means that previously conservative planning can now be reviewed in order to  
78 further improve the airport capacity, decrease excessive waiting time, avoid fuel-intensive speed profiles or  
79 requirement of extra resources.

80 In the light of the above discussions, in this paper, we propose to use a multi-objective genetic algorithm  
81 framework, namely the Non-dominated Sorting Genetic Algorithm-II (NSGA-II) [44], which considers several  
82 elements: ground movement problem, runway scheduling and scheduling of airport buses in a more holistic  
83 manner. This integrated multi-objective approach incorporating the optimal 4-Dimensional Trajectory  
84 (4DT) [27] enables the investigation of the trade-off between different objectives and, assuming the A-CDM  
85 system is in place, facilitates more precise control of the taxiing aircraft in order to take full advantage of  
86 optimised scheduling. Furthermore, a holistic economic optimisation framework is introduced in this paper  
87 to support the decision maker in selecting the most cost-effective solution from a Pareto front of optimal  
88 solutions. The main contributions of this paper can be summarized as follows:

- 89 • The proposed integrated multi-objective approach optimises ground movement problem, runway schedul-  
90 ing and scheduling of airport buses simultaneously with respect to different objectives, in particular  
91 fuel consumption, which was not considered in previous studies. It is worth mentioning, that runway  
92 scheduling and ground movement problem are in itself multi-objective problems.
- 93 • By using the proposed integrated multi-objective approach, a comprehensive comparative study is  
94 conducted by choosing the representative different solution approaches found in the literature with  
95 respect to different objectives.
- 96 • The introduced economic optimisation framework represents a general framework in selection of a  
97 sustainable solution to the airport problems in view of an economic perspective.

98 The rest of the paper is organised as follows. Section 2 provides details about individual components  
99 of the integrated model, including runway scheduling, ground movement problem and scheduling of airport  
100 buses. The integrated solution method and the proposed evolutionary algorithm framework is described in



101 Section 3. A set of computational experiments are carried out using data instances from Doha International  
 102 Airport in Section 4; results of the isolated approach and the proposed method are also compared in this  
 103 section. Finally, conclusions are drawn in Section 5.

## 104 2. Problem description

105 In this paper, the integrated optimisation problem of airport ground operations consists of three sub-  
 106 problems: 1) runway scheduling, 2) ground movement problem, 3) bus scheduling problem. The individual  
 107 sub-problems are described in the next sections using nomenclature given in Table 1.

108

### 109 2.1. Runway scheduling

110 The runway scheduling problem generally consists of the Aircraft Landing Problem (ALP) and the  
 111 Aircraft Take-off Problem (ATP) with the aim to find the optimal sequence and scheduled landing time or  
 112 take-off time of aircraft at the given runways with respect to the given objective functions and constraints.  
 113 In this paper, we consider only ATP, as from the practical point of view, it is easier to control taking-off  
 114 aircraft still on the ground rather than airborne arriving aircraft.

115 The minimum time interval between aircraft landing or taking-off constrains the throughput of the run-  
 116 way. The enforced separations between aircraft are due to wake vortices and in-flight separation constraints.  
 117 Landing or taking-off aircraft create wake vortices which have to dissipate before another aircraft can safely  
 118 use the runway. The strength of wake vortices and thus separation depends on the type and weight of  
 119 aircraft. A larger separation is required whenever a light aircraft follows a larger and heavier aircraft, as it  
 120 creates stronger wake vortices. Furthermore, if aircraft use standard instrument departure routes (SIDs),  
 121 additional separation is needed to ensure correct in-flight separation [13]. The use of SIDs is not considered  
 122 in this paper as the Doha international airport under consideration does not have SIDs established. However,  
 123 the SIDs related separation can be easily taken into account as described later in this section.

124 The formal definitions for runway scheduling presented in this paper are as follows. Let  $M = (A \cup D)$   
 125 be the set of total  $|M| = m$  arriving aircraft  $A$  and departing aircraft  $D$ . The wake vortex separations  
 126 are estimated using minimum separation distance, runway occupancy time and average velocities for ap-  
 127 proach/climb as described in [45] and are given in Table 2. Then, we define  $V(v_i, v_j)$  to be the function  
 128 to return the wake vortex separations from Table 2 for weight categories  $v_i$  and  $v_j$  of leading aircraft  
 129  $i$  and trailing aircraft  $j$ . The wake vortex separations used in this paper satisfy the triangle inequality  
 130  $V(v_i, v_j) + V(v_j, v_e) \geq V(v_i, v_e)$  for aircraft taking off in the order of  $i, j, e$ . In case of established SIDs, the  
 131 related separations can be considered by a similar function and a table as  $V(v_i, v_j)$  and Table 2 for aircraft  
 132 departing on the same SID.

133 Let  $r_i$  be the actual landing time for aircraft  $i \in A$  and take-off time for aircraft  $i \in D$ . For arriving  
 134 aircraft,  $r_i$  is given, while for departing aircraft it can be calculated as follows. Let  $d_i$  denote the time the  
 135 departing aircraft  $i \in D$  arrived at the runway holding point, then it can take-off immediately, i.e.  $d_i = r_i$   
 136 if there is enough time elapsed from landing/take-off time  $r_{i-1}$  of the previous aircraft  $i - 1$  to comply with  
 137 separation given by  $V(v_i, v_{i-1})$ , otherwise, the departing aircraft  $i$  has to wait at the runway holding point  
 138 until it is safe to take-off:

$$r_i = \begin{cases} d_i & \text{if } d_i - r_{i-1} \geq V(v_i, v_{i-1}), \\ d_i + w_i & \text{otherwise.} \end{cases}$$

139 We denote the waiting time  $w_i$  of the departing aircraft  $i \in D$ :

$$w_i = \begin{cases} 0 & \text{if } d_i - r_{i-1} \geq V(v_i, v_{i-1}), \\ V(v_i, v_{i-1}) - (d_i - r_{i-1}) & \text{otherwise.} \end{cases}$$

140

Table 1: Nomenclature.

	Description		
$g_1$	The total time objective	$\tau(origin, destination)$	Travelling time of the bus between <i>origin</i> and <i>destination</i>
$g_2$	The fuel consumption objective	$N$	Set of active bus trips
$g_3$	The bus scheduling cost objective	$n$	The number of bus trips
$M$	Set of all aircraft	$G = (O, P)$	Vehicle scheduling network
$m$	The number of all aircraft	$O$	Set of nodes on the vehicle scheduling network
$A$	Set of arriving aircraft	$P$	Set of arcs on the vehicle scheduling network
$D$	Set of departing aircraft	$c$	Cost of arc
$V(v_i, v_j)$	Function to calculate the wake vortex separations for weight categories $v_i$ and $v_j$ of leading aircraft $i$ and following aircraft $j$	$b$	Variable determining if arc is covered by a bus
$v$	Weight category	$k, l$	Bus trip index
$i, j, e$	Aircraft index	$pop_{max}$	Maximum number of generations
$r$	Actual landing time for arriving aircraft/take-off time for departing aircraft	$pop_{number}$	The current generation index
$d$	Arrival time of the departing aircraft at the runway holding point	$c^a$	The total strategic cost
$w$	Waiting time at the runway	$c^{fuel}$	Fuel cost
$t^{rwy}$	The total runway delay	$C^{total}$	The total cost
$f^{rwy}$	The total runway fuel	$R$	Rated output of aircraft
$\phi_{v_i}, \phi_{v_i}^{idle}$	Calculated and idle fuel flow for weight category $v_i$ , respectively	$h$	Weight
$\delta$	Safety time distance between taxiing aircraft	$a, a_{max}$	acceleration and maximum acceleration of aircraft respectively
$y$	Integer representing the speed profile	$\eta$	Thrust level
$q$	The shortest taxi route	$Thr$	Thrust
$s$	Stand	$weight$	Weight of aircraft
$T(q_i, y_i)$	Travel time of aircraft $i$ taxiing on route $q_i$ for given speed profile $y_i$	$FR$	Rolling resistance
$x$	The pushback time	$p_{arr}$	Arrival time of buses after arriving aircraft came to a stand
$z$	The arrival time to the stand	$p_{emb}$	Embarking/disembarking time of passengers
$F(q_i, y_i, v_i)$	The amount of fuel burned for aircraft $i$ of weight category $v_i$ during taxiing on the route $q_i$ following the speed profile $y_i$	$p_{dep}$	Departure time of buses before the pushback time of departing aircraft
$t^{taxi}$	The total taxi time	$p_{headway}$	Headway between buses
$f^{taxi}$	The total fuel burned during ground movement	$sp$	Average speed of buses

Table 2: Separations in seconds between departing (D) and arriving (A) flights for weight classes: Heavy (H), Large (L), Small (S) [45].

		Trailing ( $v_j$ )					
		A-H	A-L	A-S	D-H	D-L	D-S
Leading ( $v_i$ )	A-H	96	157	207	60	60	60
	A-L	60	69	123	60	60	60
	A-S	60	69	82	60	60	60
	D-H	60	60	60	96	120	120
	D-L	60	60	60	60	60	60
	D-S	60	60	60	60	60	60

141 The objective of the runway scheduling is to minimise the total runway delay  $t^{rwy}$  and the total runway  
142 fuel  $f^{rwy}$  burned by aircraft while waiting to take-off which depends on the delay  $w_i$  and idle fuel flow  $\phi_{v_i}^{idle}$   
143 specified for the weight category  $v_i$ :

$$t^{rwy} = \sum_{i=1}^D w_i \quad (1)$$

$$f^{rwy} = \sum_{i=1}^D w_i \cdot \phi_{v_i}^{idle} \quad (2)$$

145 The idle fuel flow  $\phi_{v_i}^{idle}$  corresponds to fuel flow from the International Civil Aviation Organization (ICAO)  
146 engine database for 5 % of full power thrust of the representative aircraft, as explained in Section 2.2.

## 147 2.2. Ground movement

148 The aim of the ground movement problem is to route aircraft from source to destination locations, i.e.  
149 from runway to gate/stand and vice versa in a time and fuel efficient manner, respecting routes of other  
150 aircraft while preventing conflicts between them.

151 In this paper, we follow a concept introduced in [26, 27], which in the light of previous research can  
152 be called Active Routing (AR) in acknowledge of the fact that the optimised 4DTs for ground movement,  
153 consisting of three spatial dimensions and time as the fourth dimension, are seamlessly embedded within  
154 the optimisation of routes and schedules. AR consists of two parts: firstly, the routing and scheduling  
155 problem aims to find a set of optimal routes and schedules for arriving or departing aircraft, and the 4DT  
156 optimisation problem focuses on finding a set of unimpeded multi-objective optimal speed profiles for the  
157 routes from the first part. In this paper, we use the shortest path algorithm as a route optimisation method.  
158 However, any routing method, such as the  $k$ -QPPTW [26], could be used instead.

159 Speed profiles are used in this paper to represent 4DTs, since not all dimensions are required as aircraft's  
160 movement are bounded by taxiways. In this case, it is sufficient to completely define their position in time  
161 with routes and speed profiles. In order to further reduce the complexity of the speed profile optimisation  
162 problem, the route of an aircraft is further divided into larger segments, each containing several edges as  
163 shown in Fig. 1. For example, several consecutive straight edges typically form one straight segment. The  
164 turning segment consists of consecutive edges between which have an angle of at least 30 degrees. The  
165 maximum speed on straight segments is restricted to maximum 30 knots ( $15.43 \text{ m}\cdot\text{s}^{-1}$ ) and turning speed  
166 is set to a constant speed of 10 knots ( $5.14 \text{ m}\cdot\text{s}^{-1}$ ), similarly as in [27, 26, 28]. Subsequently, each straight  
167 segment is divided into four parts, corresponding to four different aircraft taxiing phases (acceleration,  
168 travelling at constant speed, braking and rapid braking with maximum deceleration) with a typical taxiing  
169 behaviour as can be seen in Fig. 2). Furthermore, the maximum acceleration and deceleration rate  $a_{max}$  is  
170 set to  $0.98 \text{ m}\cdot\text{s}^{-2}$  for passenger comfort [46]. The resulting piece-wise linear speed profile can be described  
171 using four free variables (acceleration, length of acceleration phase, length of constant speed phase, length  
172 of rapid deceleration phase) which define a unique speed profile over a segment. By searching for values of

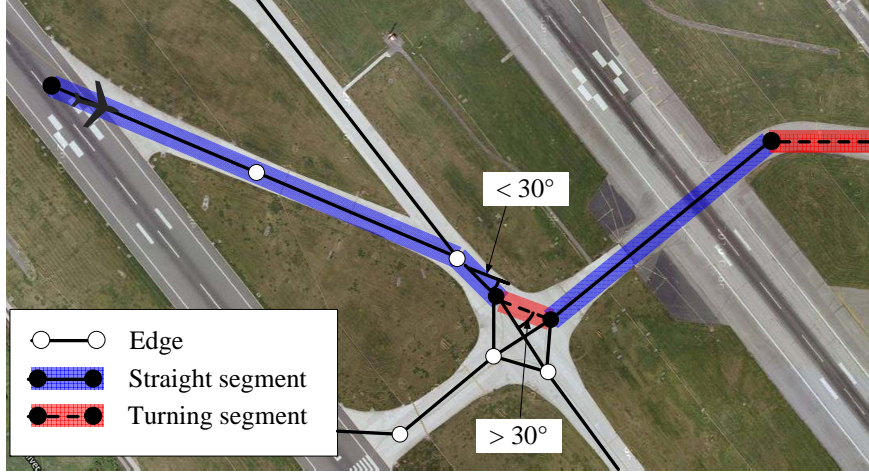


Figure 1: An example of one route of an aircraft on taxiways, represented by segments consisting of edges.

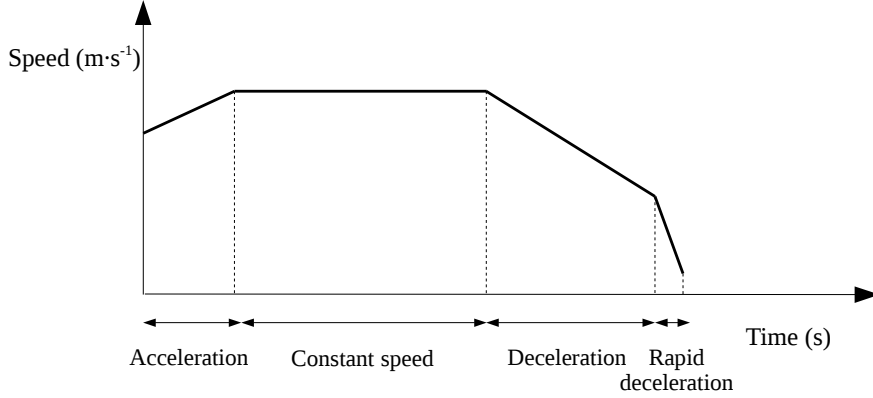


Figure 2: An illustration of a speed profile on a straight segment, divided into four phases.

173 these four variables, one can explore different speed profiles with different taxi time and fuel consumption.  
 174 The heuristic described in [28] is employed to find optimised speed profiles.

175 Fuel consumption corresponding to a speed profile is calculated as follows. As mentioned above, four  
 176 phases are defined for a straight segment: acceleration, constant speed, braking and rapid braking. Firstly,  
 177 thrust levels for each phase are established, which for the phase of braking and rapid braking the thrust  
 178 levels are assumed to be 5% of full rated power whereas for turning the thrust level is set to 7% [47]. For  
 179 other phases, the thrust levels are estimated as a ratio of calculated thrust  $Thr$  and maximum power output  
 180  $R$  of the engine:

$$\eta = \frac{Thr}{R} \quad (3)$$

181 Thrust  $Thr$  is a sum of acceleration force, calculated as product of aircraft's weight  $weight$  and acceleration  
 182  $a$ , and rolling resistance force  $FR$ :

$$Thr = weight \cdot a + FR \quad (4)$$

183 The fuel flow  $\phi_{v_i}$  corresponding to the thrust level  $\eta$  is obtained by linear interpolation/extrapolation using  
 184 reported fuel flows from ICAO database at 7% and 30% similarly as in [47]. Finally, the fuel consumption  
 185 for the segment is calculated by multiplication of fuel flow for the specific phase and the time spent in this  
 186 state. For details of this approach, interested readers are referred to [46].

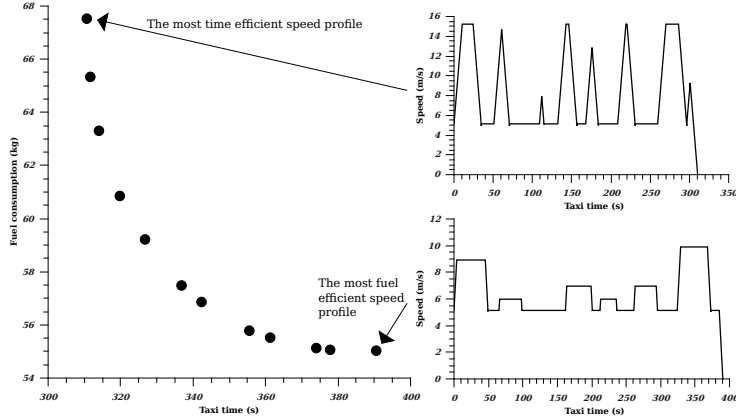


Figure 3: A typical Pareto front of optimal speed profiles for the shortest route between the gate and the runway with speed profiles shown (left), the most time and fuel efficient speed profiles corresponding to solutions indicated by arrows (right).

187 As the shortest path between gate and runway can be generated before on-line optimisation, the optimised  
 188 speed profiles for each route  $q_i$  and weight category  $v_i$  can be pre-computed as well, stored in a database (look-  
 189 up table) as a Pareto front and then retrieved during the on-line optimisation in order to save computational  
 190 time. As can be seen in the example of the Pareto front for the shortest route in Fig. 3, only non-dominated  
 191 optimised speed profiles are saved in the database.

192 Given the route of the aircraft and time needed to travel from origin to destination depending on the  
 193 chosen speed profile, some delay may be added in order to prevent conflicts between taxiing aircraft. A  
 194 conflict is prevented by maintaining a safe time distance  $\delta = 12$  s between aircraft (which corresponds to  
 195 approximately 62 m at taxiing speed 10 knots, similarly as in [15]).

196 Let  $y_i$  be an integer representing the speed profile of aircraft  $i$  from the Pareto front of efficient speed  
 197 profiles retrieved from the database for the shortest route  $q_i$  from the runway to the stand  $s_i$  for arriving  
 198 aircraft  $i \in A$ , or vice versa for departing aircraft  $i \in D$ . We define a function  $T(q_i, y_i)$  which returns travel  
 199 time of aircraft  $i$  taxiing on route  $q_i$  for given speed profile  $y_i$ , including delay to prevent taxiing conflicts.  
 200 Function  $F(q_i, y_i, v_i)$  is defined to return the amount of fuel burned for aircraft  $i$  of weight category  $v_i$  during  
 201 taxiing on the route  $q_i$  following the speed profile  $y_i$ .

202 Then, the objective of the ground movement problem is to minimise the total taxi time  $t^{taxi}$  and the  
 203 total fuel  $f^{taxi}$  burned during ground movement:

$$t^{taxi} = \sum_{i=1}^M T(y_i) \quad (5)$$

$$f^{taxi} = \sum_{i=1}^M F(y_i) \quad (6)$$

### 205 2.3. Bus scheduling

206 The third objective is related to the airport bus scheduling problem. In addition to gates, an airport  
 207 usually has a number of remote stands which are located on the apron. For each aircraft parked at the stand,  
 208 one or several buses are needed to transfer passengers from the aircraft to the terminal or vice versa. This  
 209 creates a scheduling problem which is similar to the vehicle scheduling problem (VSP) encountered in public  
 210 transportation. The airport bus scheduling problem is relevant to almost all airports with remote stands  
 211 as passengers usually cannot just walk to/from the terminal. The difficulty in solving the problem grows  
 212 for busier airports and therefore more relevant to bigger airports. Nevertheless, as mentioned in Section 1,

213 scheduling of different ground services bears similarities, and therefore airport bus scheduling problem can  
 214 be regarded as an example of ground service optimisation problems.

215 The VSP considered in this paper is formulated as the Single-depot vehicle scheduling problem (SDVSP)  
 216 which is a special case of VSP with a single depot and single type of vehicles. The goal of the VSP in general  
 217 is to find a set of feasible vehicle schedules which assign trips to vehicles such that:

- 218 • every active trip is covered by exactly one vehicle,
- 219 • each vehicle performs a feasible sequence of compatible trips, or none at all, and
- 220 • the overall cost of the schedules is minimised.

221 A bus journey which is used to carry passengers is named active trip, otherwise it is called a deadheading  
 222 trip. Two trips are said to be compatible if they can be carried out consecutively by the same vehicle. Each  
 223 aircraft  $i$  parked at stand  $s_i$  gives rise to active trips between the stand  $s_i$  and the terminal (or in the  
 224 opposite direction) as follows. Similarly, as for runway scheduling, aircraft are categorized into weight  
 225 categories according their weight. It is assumed that small category aircraft needs one bus to transfer all  
 226 its passengers, large category aircraft two and heavy category requires three buses. This assumption is  
 227 based on the fact, that airports usually use a specialised bus from Cobus which has a capacity of about 100  
 228 passengers. The way the trips are generated is in general prescribed by airport management and is different  
 229 for arriving and departing flights [33]. Usually, if multiple buses are needed to serve an aircraft, trips do not  
 230 start at the same time, but rather they are shifted, as passengers first embark/disembark the first bus, then  
 231 the second, etc. Let  $x_i$  be the pushback time from the stand  $s_i$  for departing aircraft  $i \in D$  and  $z_i$  the arrival  
 232 time to the stand  $s_i$  for arriving aircraft  $i \in A$ . In this paper, the following time requirements are assumed:  
 233 for arriving flights  $i \in A$ , the first and the second bus (if any) are required to be present at the stand  $s_i$  no  
 234 later than  $p_{arr} = 1$  minute after the arrival time  $z_i$  at the stand  $s_i$  of aircraft  $i$  due to the fact that usually  
 235 two stairs are used at the same time to disembark passengers from the aircraft. The third bus has to arrive  
 236  $p_{arr} + p_{headway} = 4$  minutes after  $z_i$ , where the headway  $p_{headway} = 3$  minutes. For departing flights  $i \in D$ ,  
 237 the first bus must finish disembarking passengers  $p_{dep} = 2$  minutes before the pushback time  $x_i$ , the second  
 238 bus has to leave  $p_{dep} + p_{headway} = 5$  minutes, and the third bus  $p_{arr} + 2 \times p_{headway} = 8$  minutes before  
 239  $x_i$ . The time needed for the passengers to embark/disembark the bus  $p_{emb}$  is set to 10 minutes. By adding  
 240 travelling time of the bus specified by the function  $\tau(origin, destination)$  and embarking/disembarking time  
 241  $p_{emb}$  to  $z_i$  for arriving aircraft or  $x_i$  for departing aircraft, respectively, we can determine the time the bus  
 242 is at the terminal. The travelling time of the bus  $\tau(origin, destination)$  is calculated using the distance  
 243 and an average speed  $sp = 50 \text{ km}\cdot\text{h}^{-1}$ . This way, a set of active trips  $N = \{1, 2, \dots, n\}$  can be defined  
 244 by considering all flights  $i \in M$ . In addition to these trips, each bus which is used during the day has to  
 245 perform a pull-out trip from the depot at the beginning of the day and a pull-in trip at the end of the day.

246 The SDVSP can be defined using the formulation presented in [48] as follows. Let  $G = (O, P)$  be the  
 247 vehicle scheduling network with set of nodes  $O$  representing start and end locations of each trip and set of  
 248 arcs  $P$  corresponding to trips. Each arc has a cost  $c_{kl}$  associated with it which corresponds to time needed  
 249 for the bus to get from origin to destination. To represent costs for using a vehicle, arcs from or to a depot  
 250 have a large fixed cost penalty set to 10,000 minutes. We introduce a decision variable  $b_{kl}$  which is equal to  
 251 1 if a vehicle covers trip  $k$  directly after trip  $l$ , and  $b_{kl} = 0$  otherwise. The goal of SDVSP is to minimise the  
 252 objective function:

$$\min g_3 = \sum_{(k,l) \in P} c_{kl} b_{kl} \quad (7)$$

Subject to:

$$\sum_{l:(k,l) \in P} b_{kl} = 1 \quad \forall k \in N \quad (8)$$

$$\sum_{k:(k,l) \in P} b_{kl} = 1 \quad \forall l \in N \quad (9)$$

$$b_{kl} \in \{0, 1\} \quad \forall (k, l) \in P \quad (10)$$

253 The constraints 8 and 9 ensure that each trip is assigned to exactly one predecessor and one successor.  
 254 Finally, the bus scheduling costs are determined by transforming the abovementioned mathematical model  
 255 to a linear assignment problem and solving it by the algorithm of [49] with the generated trips as an input.

#### 256 2.4. Integrated optimisation problem

257 The abovementioned optimisation sub-problems are combined into the integrated optimisation problem  
 258 with the objective functions:

- 259 •  $g_1$ : the total time,
- 260 •  $g_2$ : fuel consumption,
- 261 •  $g_3$ : bus scheduling cost.

262 As can be seen in Equation 11,  $g_1$  corresponds to the sum of total taxi time  $t^{taxi}$  and runway delay  
 263  $t^{rwy}$ . The second objective  $g_2$ , as can be seen in Equation 12, relates to the fuel consumption during ground  
 264 movement  $f^{taxi}$  and waiting for the take-off  $f^{rwy}$ . The third objective  $g_3$  is equal to the bus scheduling  
 265 costs as defined in Equation 7.

$$g_1 = t^{taxi} + t^{rwy} \quad (11)$$

$$g_2 = f^{taxi} + f^{rwy} \quad (12)$$

267 The three sub-problems are interconnected by decision variables, namely the pushback time for departing  
 268 aircraft  $x_i$  and the speed profile  $y_i$  for all aircraft, from which all other input parameters can be derived  
 269 as explained above and illustrated in Fig 4. The decision variable  $x_i$  is an integer value in the range from  
 270 -300 to 300, representing seconds before/after the baseline time given as input flight schedule. The range of  
 271 values for decision variable  $y_i$  is from 1 to 12, due to the specifications of the pre-computed database which  
 272 stores 12 different Pareto optimal speed profiles. The value of 1 represents the most time-efficient (fastest)  
 273 speed profile whereas 12 corresponds to the most fuel efficient one.

### 274 3. A multi-objective integrated framework incorporating economic optimisation

#### 275 3.1. Integrated solution method

276 In order to optimise the objective functions of the integrated optimisation problem stated in Section 2,  
 277 a multi-objective evolutionary framework is proposed in this section.

278 The solution framework for the integrated optimisation problem is based on the implementation of Fast  
 279 Non-dominated Sorting Genetic Algorithm (NSGA-II) [44] which is a well-known evolutionary algorithm  
 280 adapted for multi-objective optimization. In evolutionary algorithms, solutions to a problem are represented  
 281 as individuals in a population. Each individual consists of a set of genes, where each gene corresponds to  
 282 a decision variable. As stated in Section 2.4, the decision variables are the pushback time  $x_i$  for departing  
 283 aircraft  $i \in D$  and the speed profile  $y_i$  for all aircraft  $i \in M$ .

284 The basic structure of our algorithm is outlined in Algorithm 1. Firstly, the initial population is generated  
 285 by creating individuals with random values of the genes. The initial population is evaluated in lines 2–4. In  
 286 the next step, individuals with the high fitness values, i.e. with low objective function values, are selected for  
 287 reproduction. New individuals are created by applying a 2-point crossover to two parent individuals. Next,

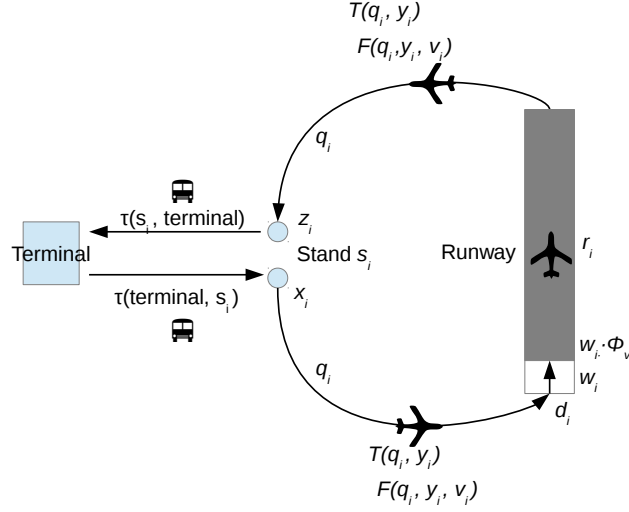


Figure 4: Schematic diagram of airport ground operations showing the relationship between parameters of the optimisation framework.

288 for each individual a mutation is performed according to the mutation rate. During the mutation, the value  
 289 of one gene is randomly changed. The individual is then evaluated and objectives  $g_1, g_2, g_3$  are calculated.  
 290 The loop in lines 3–15 is repeated until the maximum number of generations  $pop_{\max}$  is reached. The result  
 291 of the evolutionary algorithm is then a set of individuals which form the Pareto front of optimal solutions.

---

**Algorithm 1:** Evolutionary algorithm for airport ground operations optimisation.

---

```

1 generate initial population;
2 for each individual in population do
3   | calculate  $g_1, g_2, g_3$ ;
4 end
5  $pop_{number} := 1$ ;
6 while  $pop_{number} < pop_{\max}$  do
7   | select good individuals for reproduction;
8   | apply 2-point crossover;
9   | for each individual in population do
10    | perform mutation;
11    | calculate  $g_1, g_2, g_3$ ;
12  end
13  replace population;
14   $pop_{number} := pop_{number} + 1$ ;
15 end

```

**Result:** Pareto front of optimal solutions

---

293  
 294 The procedure to calculate  $g_1, g_2, g_3$  in lines 3 and 11 is further explained in detail in Algorithm 2. Firstly,  
 295 aircraft are considered sequentially according to their initial sequence specified by input flight schedule. For  
 296 each arriving aircraft  $i \in A$  a shortest route  $q_i$  is found between the runway and designated stand  $s_i$  or in the  
 297 opposite direction for departing aircraft  $i \in D$ . The generated route  $q_i$  and weight category  $v_i$  of aircraft  $i$  is  
 298 used to read the optimal speed profiles from the database. The speed profile specified by  $y_i$  which is selected  
 299 in line 8 is used to schedule the aircraft  $i$  along the route  $q_i$  after all taxiing conflicts has been resolved in  
 300 line 9. Then, the total taxi time  $t^{taxi}$  and the total fuel  $f^{taxi}$  is computed as stated in Section 2.2. Next, for  
 301 each departing aircraft  $i \in D$ , the runway holding point arrival time  $d_i$  is determined, given the scheduling  
 302 of taxiing aircraft assigned during ground movement phase in lines 1–12. Subsequently, the delay  $w_i$  is



303 calculated in line 15 in order to get the total runway delay  $t^{rwy}$  and the total fuel  $f^{rwy}$  in line 17. Given the  
304 pushback time  $x_i$  from the stand  $s_i$  for departing aircraft  $i \in D$  and arrival time  $z_i$  to the stand  $s_i$  for arriving  
305 aircraft  $i \in A$ , a set of bus trip is generated in line 19 as explained in Section 2.3. By solving the corre-  
306 sponding VSP, the third objective  $g_3$  can be calculated. Finally, objectives  $g_1, g_2, g_3$  are returned in line 21.

---

**Algorithm 2:** Evaluation procedure.

---

```

/* Ground movement */
1 for aircraft  $i \in M$  do
2   if  $i \in A$  then
3     | generate the shortest route  $q_i$  between runway and  $s_i$ ;
4   else
5     | generate the shortest route  $q_i$  between  $s_i$  and runway;
6   end
7   retrieve optimal speed profiles for route  $q_i$  and weight category  $v_i$ ;
8   select speed profile  $y_i$ ;
9   resolve taxiing conflicts;
10  schedule aircraft  $i$  using route  $q_i$  and speed profile  $y_i$ ;
11 end
307 calculate  $t^{taxi}, f^{taxi}$ ;
/* Runway scheduling */
13 for aircraft  $i \in D$  do
14   | determine  $d_i$ ;
15   | calculate  $w_i$ ;
16 end
17 calculate  $t^{rwy}, f^{rwy}$ ;
/* Bus scheduling */
18 for aircraft  $i \in M$  do
19   | generate bus trips taking  $z_i, x_i, s_i$  as an input;
20 end
21 Solve VSP to determine  $g_3$ ;
Result:  $g_1, g_2, g_3$ 

```

---

308 In the case of a real decision support system, the decision maker is responsible for choosing one solution  
309 found by the multi-objective integrated framework. The solutions on the Pareto front are optimal in the  
310 Pareto sense, meaning that any one of the solutions is not the best in all objectives comparing to the other  
311 one. As a result, other information is required for the decision makers in order to decide the best schedule.  
312 The next section proposes an economic framework for this purpose.  
313

### 314 3.2. Economical optimisation

315 The conceptual framework presented in this section paves the way to a holistic (technical / environmen-  
316 tal / economic) optimisation of the airport ground operations performance by managing how the aircraft  
317 schedules are planned. As stated in Section 2.4, the integrated optimisation problem minimises 3 objectives,  
318 namely  $g_1, g_2, g_3$ . From the economic point of view, the first two objectives  $g_1, g_2$  are related to cost relevant  
319 for airline company operating the aircraft. The third objective  $g_3$  is relevant for the airport operating the  
320 buses and it is airport dependent since large airports are generally more technically efficient and have less  
321 operational wastage than small airports [50]. The proposed economic model focuses on the cost for the  
322 airline company and therefore economic optimisation of aircraft schedules is performed from their point of  
323 view. The third objective  $g_3$  is considered later in Section 4.3.

324 To be consistent with Eurocontrol [51], the following categories of *aircraft strategic costs* (i.e. variable  
325 or marginal costs) are relevant for aircraft ground operations:

- 326 • Fuel, as previously modelled and discussed in Section 2.2.

- Non-fuel aircraft cost, including:
    - Maintenance: they include costs of delay incurred by aircraft and related to factors such as the mechanical attrition of aircraft waiting at gates or taxiing.
    - Fleet: these costs refer to the full cost of fleet financing, such as depreciation or rentals of aircraft, etc.
    - Crew cost: is the variable cost of the crew personnel, i.e. pilot and flight attendant salaries.
- Those non-fuel aircraft costs are specific for aircraft, and an example for an Airbus A320 aircraft according to Eurocontrol [51] is given in Table 3.

Table 3: Non-fuel aircraft costs according to [51] for base scenario for Airbus A320 aircraft.

	€·h <sup>-1</sup>	€·s <sup>-1</sup>
Maintenance	720	0.200
Fleet	610	0.169
Crew	360	0.100
Total $c^a$	1690	0.469

The fuel cost  $c^{fuel}$  of 0.71 €·kg<sup>-1</sup> (as on 17.1.2014 [52]) is used in the economic optimisation model. The key idea of the proposed economic optimisation model is to sum the aircraft cost for each schedule to calculate its total aircraft strategic cost  $C^{total}$  as given in Equation 13:

$$C^{total} = c^a \cdot g_1 + c^{fuel} \cdot g_2 \quad (13)$$

Knowing the cost for each schedule, it will be possible to determine the optimal schedule/group of schedules for aircraft. This is intended not to replace the human decision maker in selecting the best solution rather than provide extra information from the economic perspective.

Fig. 5 provides a qualitative idea of this approach. For solutions on the Pareto front, shorter the total time, higher the fuel cost as fast taxiing involves heavy acceleration. Similarly, lower total time results in lower aircraft cost due to their time dependency. On the other hand, too long total time causes excessive fuel burn. For each solution, by summing up the non-fuel aircraft cost and fuel cost it is possible to obtain a parabolic-like total aircraft strategic cost function with a minimum. The minimum represents the optimal or most cost effective solution among those on the Pareto front with consideration of the consumed fuel.

The next section presents results obtained by applying the proposed multi-objective framework incorporating the economic optimisation model to a real-world instance.

## 4. Computational results and discussion

### 4.1. Experimental setup

The algorithm was tested on a dataset of real arrival and departure flights on Doha International Airport (DOH) which was the largest airport in Qatar and a hub airport for Qatar Airways until the new Hamad International airport was completed in late April 2014. DOH airport has 55 stands and no gates. The considered data was recorded on 16th March 2014 and divided into two instances representing medium and high traffic conditions. The instance *medium* includes 96 flights between 17:00 and 21:00 UTC from which 50 are arrivals and 46 departures. The instance *high* consists of 84 flights between 21:00 and 23:00 UTC from which there are 27 arrivals and 57 departures. The data provided specified landing/pushback times and gates/runway exits for each flight.

The aircraft have been divided into 3 groups according their wake vortex separation requirements. For each category, a representative aircraft is designated and its specifications are used during the fuel consumption calculation. The specifications are summarized in Table 4.

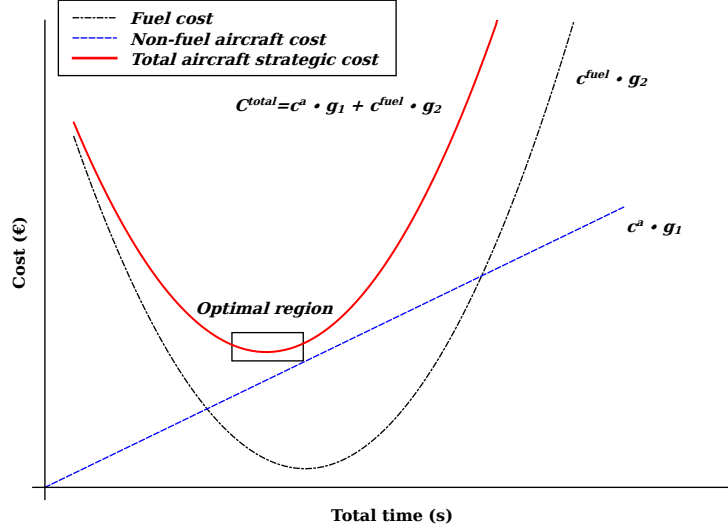


Figure 5: The total aircraft strategic cost is a sum of non-fuel aircraft cost and fuel cost.

Table 4: Specifications of the representative aircraft.

	Learjet 35A	Airbus A320	Airbus A333
Take-off weight $weight$	8300 kg	78000 kg	230000 kg
Engines	TFE731-2-2B	CMF56-5-A1	CF6-80E1A2
Number of engines	2	2	2
Rated output $R$	$2 \times 15.6$ kN	$2 \times 111.2$ kN	$2 \times 287$ kN
Rolling resistance $FR$	1221 N	11.48 kN	33.84 kN
Fuel flow at 7% $R$	$0.024$ kg·s <sup>-1</sup>	$0.101$ kg·s <sup>-1</sup>	$0.228$ kg·s <sup>-1</sup>
Fuel flow at 30% $R$	$0.067$ kg·s <sup>-1</sup>	$0.291$ kg·s <sup>-1</sup>	$0.724$ kg·s <sup>-1</sup>

363

364 The computational experiments were performed on a computer with an Intel i3-2120 processor and 3.16  
 365 GB of RAM, running Linux. The evolutionary algorithm is implemented using the Inspyred package for  
 366 Python [53]. Based on initial experiments, the maximum number of generations  $pop_{max}$  was set to 150 and  
 367 each population contained 200 individuals.

#### 368 4.2. Sensitivity analysis of bus scheduling

369 Before the actual computational experiments, a sensitivity analysis of bus scheduling was performed to  
 370 investigate the effect of different time parameters set in Section 2.3. Namely, arrival time of the buses after  
 371 arriving aircraft came to a stand  $p_{arr}$ , embarking/disembarking time of passengers  $p_{emb}$ , headway between  
 372 buses  $p_{headway}$ , departure time of buses before the pushback time of departing aircraft  $p_{dep}$  and average  
 373 speed of buses  $sp$ . The parameters were varied, one at a time, from the established base case, as set in  
 374 Section 2.3, over a reasonable range. Then, Algorithm 1 with objective  $g_3$  was run to find a solution with  
 375 minimum bus scheduling cost under current parameters. The impact of parameters on overall bus scheduling  
 376 cost is shown in Fig. 7. As can be seen, embarking/disembarking time of passengers  $p_{emb}$  and average  
 377 speed of buses  $sp$  have the most influence on bus scheduling cost with approximately linear relationship for  $p_{emb}$   
 378 and inversely proportional relationship for  $sp$ . In contrast, arrival and departure time of buses  $p_{arr}, p_{dep}$   
 379 or headway  $p_{headway}$  had only little effect on bus scheduling cost. In conclusion, setting the appropriate  
 380 bus scheduling parameters accurately, namely embarking/disembarking time of passengers  $p_{emb}$  and average

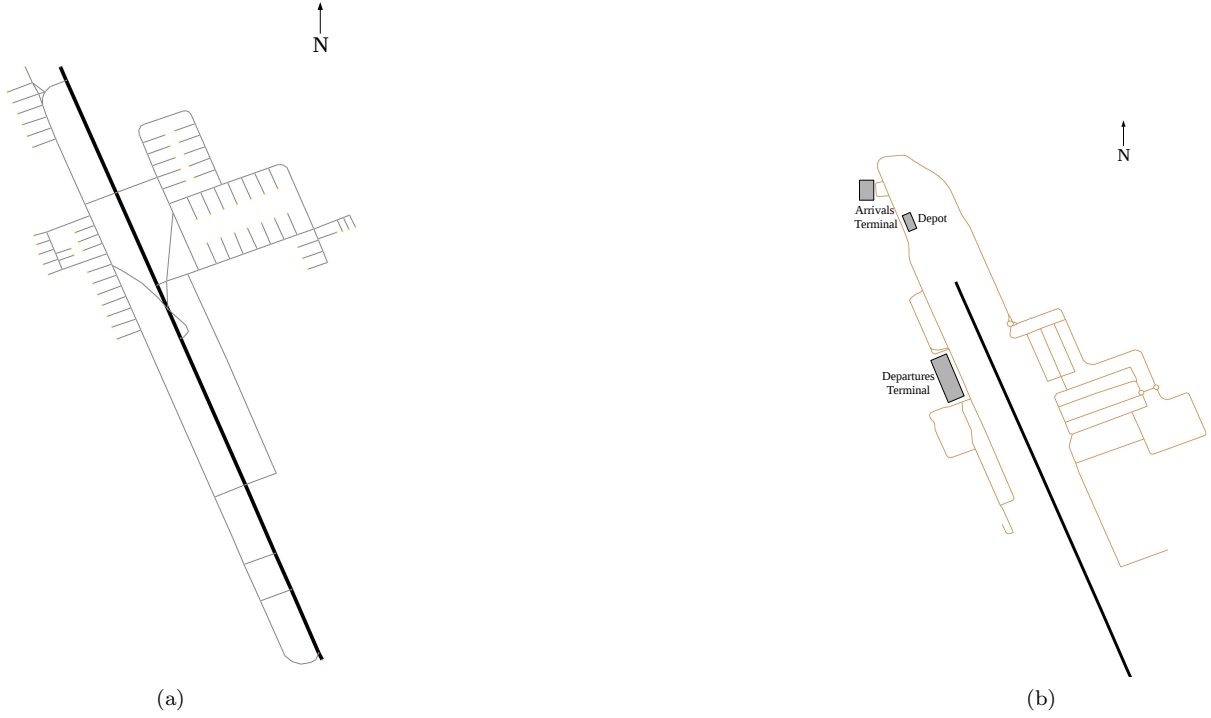


Figure 6: Layout of DOH airport with taxiways (a) and service road network (b) used by buses.

381 speed of buses  $sp$ , is an important task for airport management. However, as their effect is approximately  
 382 proportional, they do not affect the generalisation of results obtained by computational experiments carried  
 out in next sections.

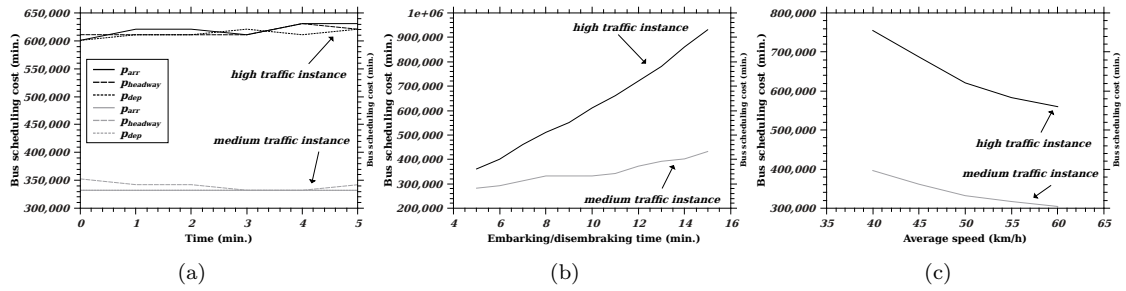


Figure 7: Sensitivity analysis of bus scheduling: Minimum bus scheduling cost obtained with varying  
 parameters (a) arrival time ( $p_{arr}$ ), departure time ( $p_{dep}$ ) and headway ( $p_{headway}$ ) of buses, (b) embark-  
 ing/disembarking time of passengers ( $p_{emb}$ ), and (c) average speed of buses ( $sp$ ).

383

#### 384 4.3. Comparison of different approaches

385 As reviewed in Section 1, previous research usually treated the ground movement problem in isolation  
 386 from other surface operations as well as focused only on one objective. Therefore, we start our results by  
 387 comparison of our integrated approach with previous approaches and present the benefit of the proposed  
 388 method. Section 2.4 stated that three objectives  $g_1, g_2, g_3$  related to total time, fuel consumption and bus  
 389 scheduling are considered in this paper. In order to simulate different approaches previously proposed in

390 research literature, we introduce weights  $h_1, h_2, h_3, h_4$  with possible values  $\{0, 1\}$  in Equation 14, which  
391 enable us to "switch off" various part of the objective function. Using different combinations of weights  
392 as shown in Table 5, the following algorithms have been devised according to the previously proposed  
393 approaches as reviewed in Section 1. It is worth mentioning that the implemented approaches in Table 5 are  
394 all based on the optimised speed profiles to calculate their respective fuel consumption. Therefore, results  
395 presented in the following sections have been improved in terms of fuel compared to their counterparts in  
396 the original literature. Algorithms A and B correspond to approach in which only ground movement or  
397 runway scheduling is being optimised, taking into account only the total taxi time or total runway delay,  
398 respectively. Algorithm C represents the integrated ground movement and runway scheduling optimisation  
399 with only time objective  $g_1$  minimised. Ground movement optimisation with total taxi time and taxi fuel  
400 minimisation is considered in Algorithm D. Integrated runway scheduling and ground movement with time  
401 and fuel objective is minimised in Algorithm E. Finally, Algorithm I corresponds to approach proposed in  
402 this paper, with fully integrated runway scheduling, ground movement and bus scheduling optimisation and  
403  $g_1, g_2, g_3$  objectives.

$$\begin{aligned}
g_1 &= h_1 \cdot t^{taxi} + h_2 \cdot t^{rwy} \\
g_2 &= h_3 \cdot (f^{taxi} + f^{rwy}) \\
g_3 &= h_4 \cdot g_3
\end{aligned} \tag{14}$$

Table 5: Alternative algorithms devised by considering objective functions with different weights corresponding to research approaches reviewed in Section 1.

Weight Alg.	$h_1$ $t^{taxi}$	$h_2$ $t^{rwy}$	$h_3$ $g_2$	$h_4$ $g_3$	Description	References
A	1	0	0	0	Only taxi time	[15, 16, 17, 18, 19, 20, 21, 22]
B	0	1	0	0	Only runway delay	[8, 9, 10, 11, 12]
C	1	1	0	0	Integrated runway scheduling and ground movement, only time objective	[29, 30, 31, 32]
D	1	0	1	0	Ground movement with fuel	[23, 24, 25, 26, 27, 28]
E	1	1	1	0	Integrated runway scheduling and ground movement, time and fuel objective	This paper
I	1	1	1	1	Integrated runway scheduling, ground movement and bus scheduling	This paper

404  
405 Note, that although some algorithms do not consider ground movement, the solutions generated by these  
406 algorithms use the optimised speed profiles as explained in Section 2.2.

#### 407 4.3.1. Visual comparison

408 Algorithm 1 was run with objective functions as given in Equation 14 with weights set according to  
409 Table 5. The Pareto front for each Algorithm A–I was constructed by considering 30 repeated runs of the  
410 algorithm and leaving only non-dominated solutions. The fronts are depicted in Fig. 8. In order to provide  
411 a better overview of the results, the fronts are projected to 2-objective space in Fig. 9 and 10, considering

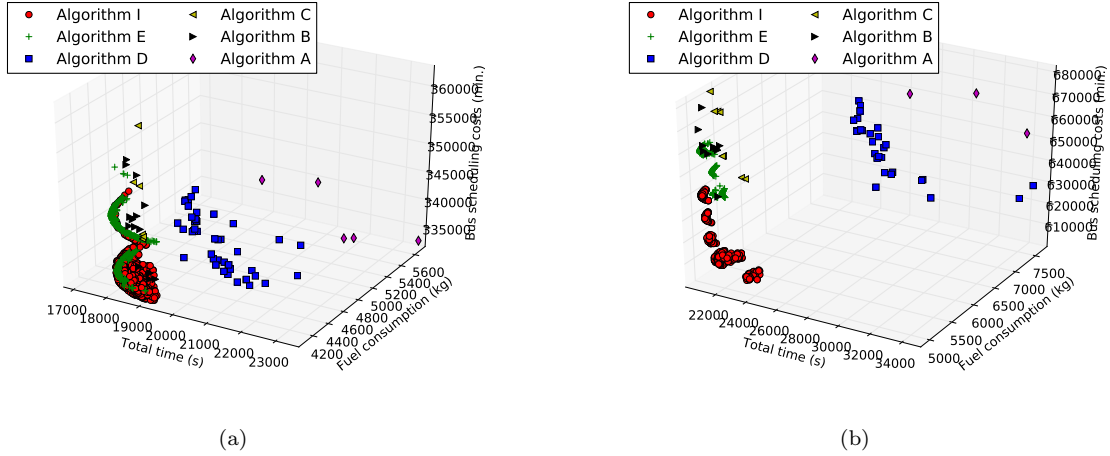


Figure 8: Pareto fronts obtained by different algorithms for (a) medium traffic instance and (b) and high traffic instance.

412  $g_1, g_2, g_1, g_3$  and  $g_2, g_3$ , respectively. By visually examining the Pareto fronts, it can be observed that the  
 413 proposed algorithms performed similarly for both data instances.

414 With regard to the total time objective  $g_1$ , it can be observed, that Algorithm A and D resulted in  
 415 solutions with a very high value of  $g_1$ . Minimisation of objective  $g_1$  was the main aim of Algorithm C. As a  
 416 result, it obtained the best values of the total time  $g_1$ .

417 For the fuel consumption (objective  $g_2$ ), Algorithm A,C and D obtained higher values than other algo-  
 418 rithms. The algorithm that performs the best in terms of  $g_2$  cannot be determined by visual observation.

419 Considering the bus scheduling cost objective  $g_3$ , it can be seen, that for the *medium* traffic instance  
 420 Algorithms A–I performed similarly. In contrast, for the *high* traffic instance, Algorithm I obtained the  
 421 minimum values of  $g_3$ .

422 From the visual observation, it can be concluded that Algorithm I and E resulted in the best trade-off  
 423 curves compared to other algorithms. Note, that in case of *high* traffic instance the evolutionary algorithm  
 424 produced the front with worse spread for Algorithm I compared to Algorithm E as can be seen in Fig. 10  
 425 due to increased complexity of the instance. Otherwise, Algorithm I covered most of the Pareto optimal  
 426 solutions generated by Algorithm E.

427 Finally, it can be observed that inclusion of all three objectives  $g_1, g_2, g_3$  increased the number of non-  
 428 dominated solution found by Algorithm I. This result can be beneficial in case of unprecedented events,  
 429 when the decision maker needs to have more readily available alternatives as backup plans. More solutions  
 430 then enable the decision maker to select another schedule without sacrificing too much of objective  $g_1, g_2$   
 431 or  $g_3$ . In order to illustrate the effect of unprecedented situation, the following example is presented.  
 432 Lets assume, that the decision maker selected a solution for the *high* traffic instance with  $[g_1, g_2, g_3] =$   
 433  $[21411, 5030, 620914]$  in which departing aircraft #127 has a scheduled pushback time 21:56:47. Then, if  
 434 a delay of 3 minutes occurs, schedule has to be modified. There is another solution in the Pareto front  
 435 with  $[g_1, g_2, g_3] = [21441, 5025, 620906]$  in which aircraft preceding #127 have the same values of decision  
 436 variables as in the original solution, but #127 has pushback time 22:00:58. The schedule can be modified by  
 437 implementing the rest of the new solution, resulting in  $[g_1, g_2, g_3] = [21445, 5025, 620906]$ . As can be seen,  
 438 the new solution is similar in terms of  $g_1, g_2, g_3$  to the originally selected solution. Note, that the presence  
 439 of an alternative solution is not guaranteed by the algorithm and the ability to provide robust solutions will  
 440 be investigated in future work.

441 As intuitive results shown above, it seems that the introduced multi-objective optimisation framework so

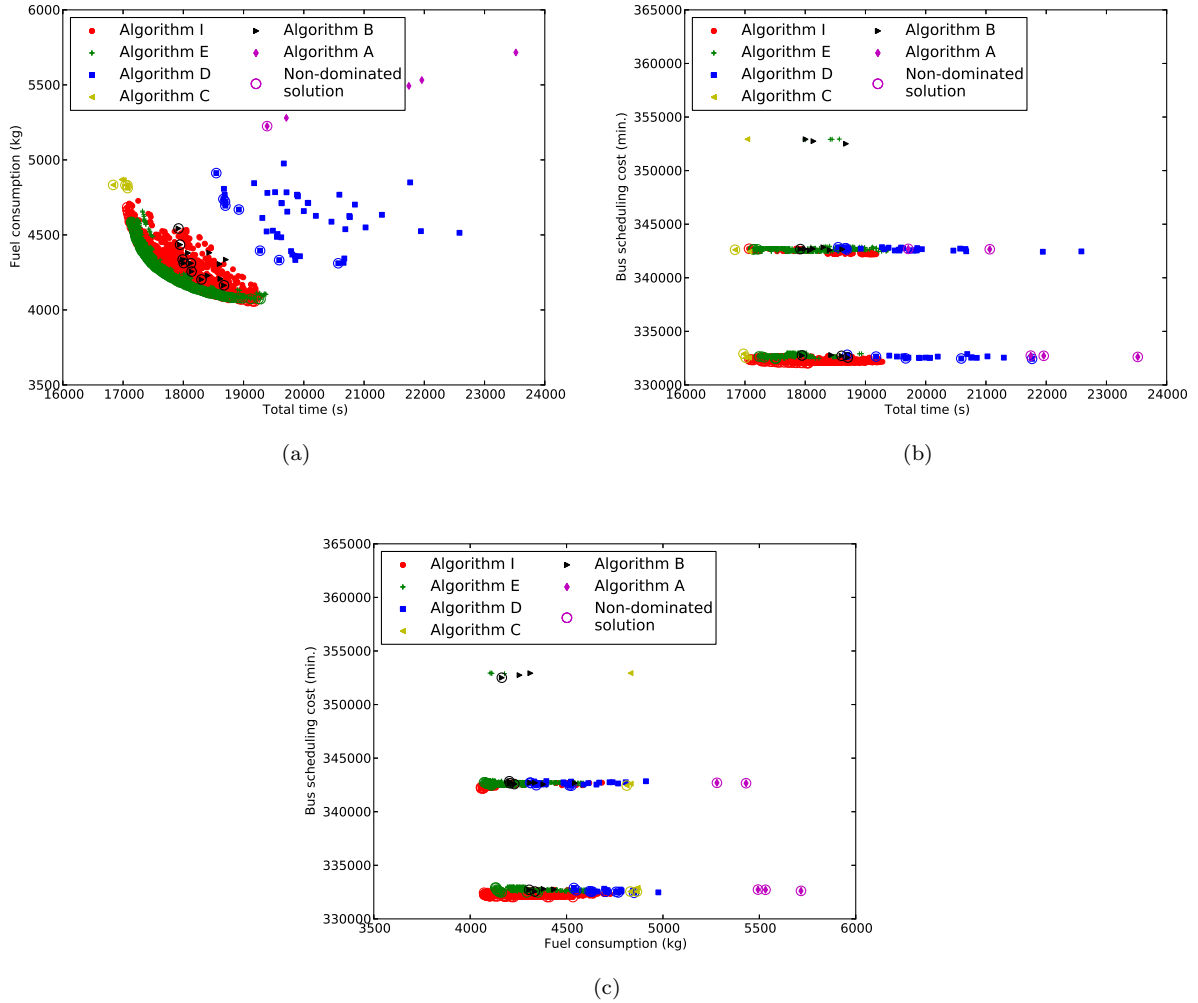


Figure 9: Pareto fronts obtained by different algorithms for medium traffic instance projected to (a)  $g_1, g_2$ , (b)  $g_1, g_3$  and (c)  $g_2, g_3$ .

442 far opens a door for decision makers to make a more reasonable planning, and also provides more evidence  
 443 and information to back such decisions. However, it is still the case that without additional information,  
 444 the decision process is still very subjective based as multiple Pareto optimal solutions are available. This  
 445 situation will be even more severe due to visualisation difficulty as the number of the investigated objectives  
 446 increases. Furthermore, in terms of the performances of different algorithms, without a single measure, it  
 447 is hard to be convinced which algorithm performs the best. Therefore, in the next section, the proposed  
 448 economic optimisation in Section 3.2 is applied once the Pareto solutions from the multi-objective framework  
 449 are obtained.

#### 4.3.2. Economic results

450 This section gives an account of the economic results. By applying the economic optimisation model as  
 451 explained in Section 3.2, it is possible to determine the monetary value of the total time  $g_1$  and the fuel  
 452 burned  $g_2$  and obtain a single optimal solution (or, more broadly speaking an optimal region of the Pareto  
 453 front) from the economic point of view. For this purpose, the costs stated in Table 3 for Airbus A320 and  
 454

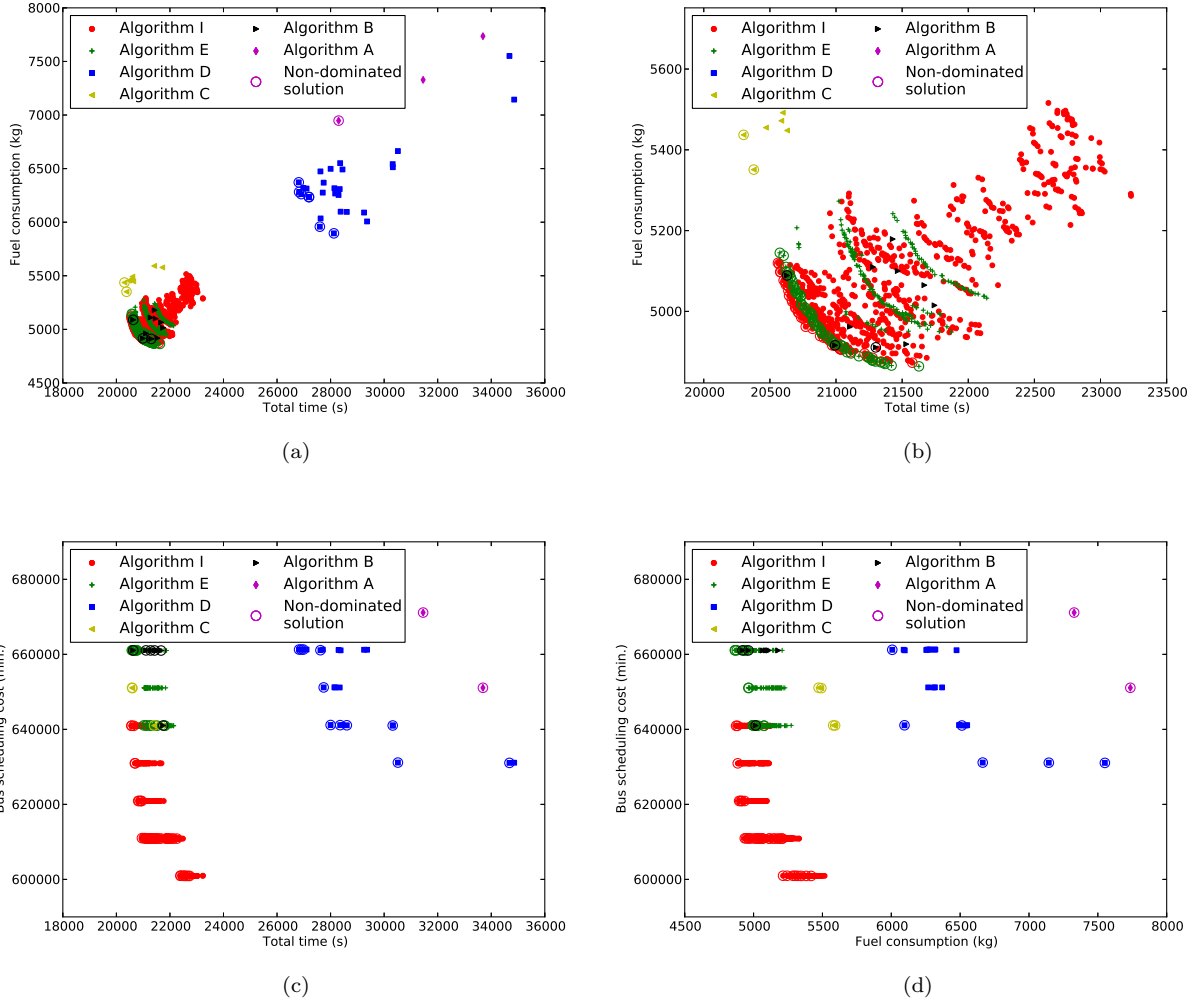


Figure 10: Pareto fronts obtained by different algorithms for high traffic instance projected to (a)  $g_1, g_2$  in a global view, (b)  $g_1, g_2$  in a zoomed view, (c)  $g_1, g_3$  and (d)  $g_2, g_3$ .

455 the fuel price are used as an example. Firstly, the value of the corresponding objective is multiplied by the  
 456 total non-fuel aircraft cost and fuel price according to Equation 13. Fig. 11 and 12 depict the Pareto front  
 457 after the modification. Then, the solution with the minimum costs can be selected for each algorithm. In  
 458 order to consider statistical inference, the solution with minimum costs was selected from the Pareto front  
 459 of each of 30 runs of the algorithm. Table 6 summarises the average values of optimal solutions of different  
 460 algorithms for each data instance.

461

462 The comparison of algorithm performance supports the observations described in Section 4.3.1. The  
 463 best values of the total time objective  $g_1$  are obtained by Algorithm C in both data instances by adding up  
 464 low values of both total taxi time  $t^{taxi}$  and runway delay  $t^{rwy}$ . However, the best values of the total time  
 465  $g_1$ , are only possible at the expense of fuel intensive speed profiles, causing a high value of  $g_2$ . Algorithm  
 466 A resulted in the worst solutions related to the total time (objective  $g_1$ ). Since Algorithm A minimised  
 467 only the total taxi time  $t^{taxi}$ , it did not consider the runway delay. The additional waiting at the runway



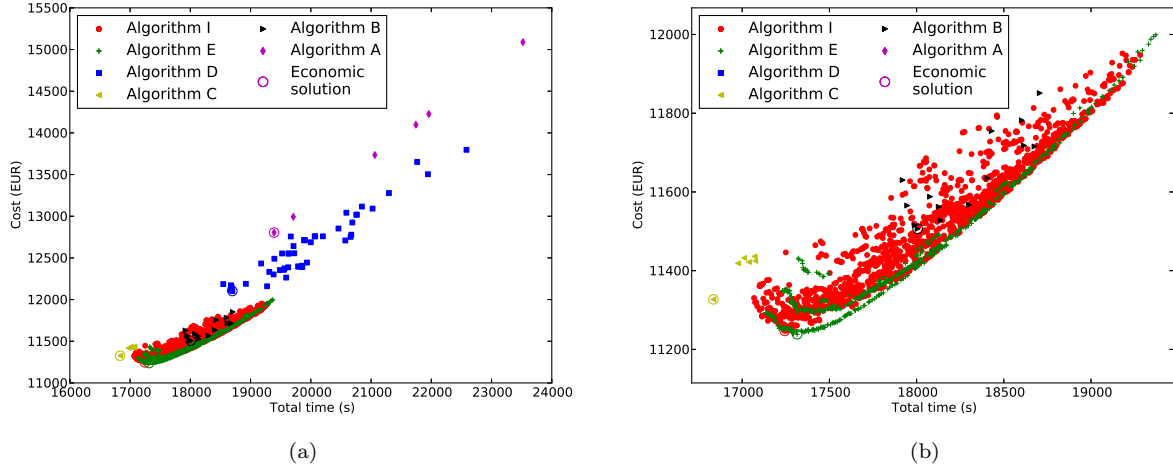


Figure 11: Economic analysis of Pareto fronts for medium traffic instance: (a) a global view, (b) a zoomed in view. Circles indicate the economic solution for the given algorithm.

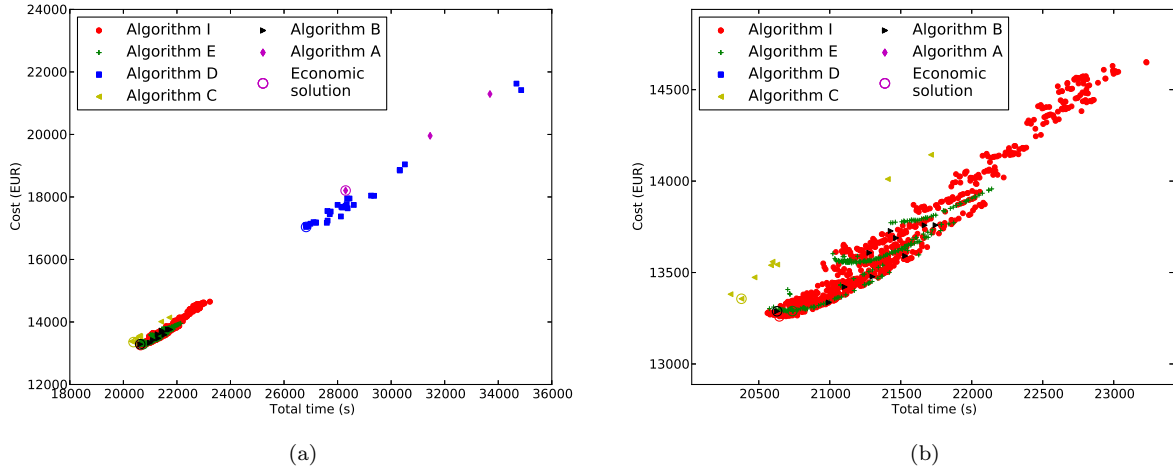


Figure 12: Economic analysis of Pareto fronts for high traffic instance: (a) a global view, (b) a zoomed in view. Circles indicate the economic solution for the given algorithm.

468 (runway delay  $t^{rwy}$ ) then increased the total time  $g_1$ . Compared to the solution with the minimum cost,  
 469 Algorithm A required 25% and 46% more fuel for *medium* and *high* traffic instances, respectively. For  
 470 the fuel consumption objective  $g_2$ , the best solutions selected by economic optimisation are those obtained  
 471 by Algorithm B for the both traffic instances. In general, algorithms that included runway scheduling, or  
 472 runway scheduling and fuel consumption, i.e. Algorithm B, E and I, found solutions with the best values of  
 473  $g_2$ . In the case of Algorithm B, the low value of  $f^{rwy}$  is caused by optimised  $t^{rwy}$  and the low value of  $f^{taxi}$   
 474 is a result of high total taxi time  $t^{taxi}$ . As explained in Section 2.2, there is a trade-off between  $t^{taxi}$  and  
 475  $f^{taxi}$ , which means that the value of one cannot be decreased without increasing the value of another. All  
 476 other algorithms (Algorithm A,C,D) which do not considered runway scheduling, have their  $g_2$  increased by  
 477 additional fuel burn at the runway (Algorithm A,D) or very short taxi times requiring fuel intensive speed

Table 6: The average values of optimal solutions obtained by economic optimisation for each instance with the overall best value highlighted in bold face.

Algorithm	$t^{taxi}$ (s)	$f^{taxi}$ (kg)	$t^{rwy}$ (s)	$f^{rwy}$ (kg)	$g_1$ (s)	$g_2$ (kg)	$g_3$ (min.)	$C^{total}$ (€)
<i>medium</i> traffic instance								
A	16138	4768	5612	748	21750	5516	346460	14117
B	17584	4208	825	124	18409	<b>4332</b>	343728	11709
C	16180	4717	1031	152	<b>17211</b>	4869	341745	11529
D	16528	4316	3221	445	19749	4762	344084	12643
E	16639	4284	982	145	17621	4429	344407	<b>11408</b>
I	16677	4329	907	133	17584	4463	<b>336402</b>	11415
<i>high</i> traffic instance								
A	15884	4935	16737	2610	32621	7545	680548	20656
B	17259	4388	4296	729	21554	<b>5116</b>	665068	13742
C	16211	4733	4798	810	<b>21008</b>	5543	670756	13789
D	16537	4425	12050	1914	28586	6339	673511	17908
E	16856	4392	4562	761	21419	5153	665751	<b>13704</b>
I	16957	4400	4659	773	21616	5174	<b>630981</b>	13811

profiles (Algorithm C). The best solutions in terms of the third objective  $g_3$  are those obtained by Algorithm I, which included bus scheduling costs in its objective function.

The overall results for *medium* and *high* traffic instances, as given in Table 6, show that  $t^{rwy}$  and subsequently  $f^{rwy}$  have much higher values in the *high* traffic instance compared to the *medium* traffic instance. This increase is due to high traffic level, causing a congestion on the runway. Similarly, higher bus scheduling costs  $g_3$  are the result of high number of flights arriving/departing within a short time period.

In terms of costs  $C^{total}$ , Algorithm E found solutions with minimum average cost for both instances. The statistical significance of differences was further analysed by performing Student's t-test with significance level 0.05. The results of Algorithm E are not significantly better than results of Algorithm I for both instances. Algorithm E is significantly better than Algorithms A–D for the *medium* traffic instance and Algorithms A,C,D for *high* traffic instance.

Regarding the computational time, Algorithms A–E run about 8 to 10 minutes on average for the *medium* and *high* traffic instances, whereas the running time of Algorithm I was 3 times longer.

As explained in Section 3.2, the selection of a single solution from the Pareto front made by the economic optimisation is affected by costs  $c^a$  and  $c^{fuel}$ . If costs  $c^a$  and  $c^{fuel}$  put more focus on the fuel objective  $g_2$ , the selected solutions will tend to have lower fuel consumption. However, only algorithms that are multi-objective, particularly Algorithms E and I, are able to produce a diverse set of trade-off solutions. Therefore, although Algorithm B obtained the lowest value of  $g_2$  for the *medium* traffic instance, the difference in total cost  $C^{total}$  between Algorithm B and Algorithms E,I failed to prove significant for the *high* traffic instance, which implies, with different costs  $c^a$  and  $c^{fuel}$ , it may not be able to provide solution with lower fuel consumption (and subsequently  $C^{total}$ ) than solutions generated by other multi-objective algorithms. For example, with  $c^a = 0.1 \text{ €}\cdot\text{s}^{-1}$  and  $c^{fuel} = 1 \text{ €}\cdot\text{kg}^{-1}$ , the economic optimisation selects solutions given in Table 7. In this case, analysis using Student's t-test with the same significance level 0.05 revealed that the best algorithm in terms of costs (Algorithms E and I) is significantly better than other algorithms. More importantly, results of Algorithm B in terms of fuel consumption (objective  $g_2$ ) are significantly worse than those of Algorithm E and I.

504

The analysis of results from the economic point of view showed a similar pattern (supporting the reliability of the algorithms involved), and several results can be generalised. The most relevant are as follows:

- There is a real economic merit in selecting the best schedules considering the aircraft costs.

507

Table 7: The average values of optimal solutions obtained by economic optimisation with  $c^a = 0.1 \text{ €}\cdot\text{s}^{-1}$  and  $c^{fuel} = 1 \text{ €}\cdot\text{kg}^{-1}$  for each instance with the overall best solution highlighted in bold face.

Algorithm	$g_1$ (s)	$g_2$ (kg)	$g_3$ (min.)	$C^{total}$ (€)
<i>medium</i> traffic instance				
A	21750	5516	346460	7691
B	18409	4332	343728	6172
C	<b>17211</b>	4869	341745	6590
D	20862	4486	345412	6572
E	18765	4131	346747	<b>6007</b>
I	18867	<b>4120</b>	<b>339047</b>	<b>6007</b>
<i>high</i> traffic instance				
A	32621	7545	680548	10807
B	21554	5116	665068	7272
C	<b>21008</b>	5543	670756	7644
D	29151	6202	676516	9117
E	21970	<b>5008</b>	664748	<b>7205</b>
I	22150	5042	<b>633313</b>	7257

- 508 • The algorithms allowing to reach the minimum total aircraft cost are those with an holistic perspec-  
509 tive considering both ground movement and runway scheduling minimising time and fuel objectives  
510 simultaneously.
- 511 • The inclusion of bus scheduling has not a significant impact on the performance in terms of economic  
512 optimisation regarding aircraft cost. From an airline only perspective, Algorithm E and I are equivalent  
513 without significant differences in costs.
- 514 • The difference between the most cost efficient solution for Algorithm E and I in Table 6 is up to around  
515 5% in bus scheduling cost. This is a saving relevant from the airport operator’s point of view.
- 516 • Economical optimisation can be used for different scenarios (high or low non-fuel and fuel cost) as  
517 shown in Table 6 and 7. As fuel price is taken into account, it always takes environmental benefit into  
518 consideration.

519 In conclusion, Algorithm I can be considered as the best one since it allows an optimisation from both the  
520 perspectives of the airline and the airport operator.

## 521 5. Conclusions and Future development

522 This paper introduced an integrated optimisation approach to airport ground operations. A multi-  
523 objective genetic algorithm which considers several elements: ground movement problem, runway scheduling  
524 and scheduling of airport buses was proposed to find aircraft schedules in a more holistic manner through  
525 actively incorporating multi-objective 4DTs and economic optimisation. The integrated systems approach  
526 facilitates more detailed investigation of the trade-off between different objectives and furthermore, the  
527 most cost-effective solution, taking into account fuel saving, from a Pareto front of optimal solutions can  
528 be obtained through the economic optimisation framework which assigns a monetary value to the given  
529 schedule. The computational experiments conducted on real-world data from a major Asian airport showed  
530 that the proposed approach is able to provide a systematic optimisation framework suitable for decision  
531 support at the airport. Such decision support serves to achieve the aim of A-CDM concept to optimise  
532 airport-related decisions in collaboration with both airline and airport operator, considering their preferences  
533 and constraints utilising shared information. A detailed comparison of algorithms with different objective  
534 functions, corresponding to previously applied approaches reviewed in Section 1 and categorized in Table 5,

535 showed that the integrated approach results in solutions with the lowest costs. Furthermore, the integrated  
536 approach obtained better results in terms of fuel consumption (19% and 31% less fuel burned for the  
537 economical solution compared to the worst solution). Bear in mind, algorithms mentioned in this paper  
538 used optimised 4DTs already. Therefore, the proposed approach not only saves fuel by using 4DTs, but also  
539 a further reduction is achieved by integrated multi-objective optimisation framework. Only the approach  
540 which considered bus scheduling (Algorithm I) could find a schedule with low bus scheduling cost, particularly  
541 in the high traffic period. To conclude, previously proposed approaches (represented by Algorithms A,B,D)  
542 that considered ground movement problem, runway scheduling and ground services scheduling as isolated  
543 problems, or optimised only time objective (Algorithm C), performed worse than the integrated approaches  
544 (Algorithms E and I). The result indicates that there are strong interdependencies between airport ground  
545 operations and respective time, fuel, and cost related objectives which were not fully taken into account in  
546 previously proposed approaches. As the proposed optimisation approach which actively promotes integrated  
547 and coordinated airport ground operations is better in terms of performance, economic and environmental  
548 criteria, it can contribute to sustainability of air transportation. Furthermore, only the integrated approach  
549 can take into account objectives of the airline and the airport at the same time.

550 The generality of the proposed integrated optimisation approach is supported by the fact that it is not  
551 dependent on the layout of a particular airport, nor cost values, making it an approach applicable to other  
552 airports as well. However, the most benefit yielded by its application can be obtained on large and busy  
553 airports, where runway needs to be used as efficiently as possible, with high traffic on airport surface and  
554 high requirement of ground services for the aircraft, such as Doha International airport used as a case study  
555 in this paper. Furthermore, airports that face strict environmental regulations, putting more focus on fuel  
556 consumption, may find the proposed approach beneficial.

557 Nevertheless, a number of limitations of the proposed approach need to be mentioned and further adressed  
558 in the future research. First, uncertainty inherent in airport operations has to be considered in order to  
559 make the approach realistic. Delayed arrivals due to weather conditions, aircraft taxiing slower/faster than  
560 prescribed by 4DTs or baggage being loaded late into the hold are only some examples of uncertainty that  
561 can compromise otherwise optimal planning. Furthermore, ever changing situation at an airport calls for a  
562 real-time scheduling which together with uncertainty could be tackled by dynamic and robust optimisation  
563 techniques in the future. Other limitations open for further investigation include the application of a more  
564 sophisticated routing algorithm for ground movement such as  $k$ -QPPTW [26], or more precise setting of bus  
565 scheduling related parameters such as embarking/disembarking time based on real number of passengers in  
566 each flight.

567 Finally, a number of further developments are possible from the economic point of view. Along with  
568 the aircraft cost there are other costs that are possible to include, namely airport cost and externalities.  
569 Every minute during which the airport infrastructure is used in an inefficient way is a cost for the airport,  
570 particularly during the peak traffic period. Since different periods during the day have different demand  
571 (peak vs. off-peak), airport cost may change over the day. Moreover this cost depends on the airport:  
572 some airports are very busy, other underused. Therefore, time objective can be priced to reflect cost for the  
573 airport as well.

574 Furthermore, further works should be devoted to the quantification and inclusion of the externalities.  
575 Externalities are costs or benefits that affect a party who did not choose to incur those costs or benefits.  
576 In the case considered, the key externalities are air emissions (in particular CO<sub>2</sub> and NO<sub>x</sub>) and noise. It is  
577 possible to assign to them a monetary value and include them in the analysis.

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