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1 **Title**

2 International Society for Quality of Life Research commentary on the draft European
3 Medicines Agency reflection paper on the use of patient-reported outcome (PRO) measures in
4 oncology studies.

5

6 **Authorship**

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44

45 **Abstract**

46

47 In 2014, the European Medicines Agency (EMA) released for comment a draft reflection
48 paper on the use of patient-reported outcome (PRO) measures in oncology studies. A twelve-
49 member International Society for Quality of Life Research (ISOQOL) taskforce was
50 convened to coordinate the ISOQOL response. Twenty-one ISOQOL members provided
51 detailed comments and suggestions on the paper; 81% from academia, and 19% from
52 industry. Taskforce members consolidated and further refined these comments and shared the
53 recommendations with the wider ISOQOL membership. A final response was submitted to
54 the EMA in November 2014.

55

56 The impending publication of the EMA reflection paper presents a valuable opportunity for
57 ISOQOL to comment on the current direction of EMA PRO guidance and strategy. The
58 paper, although focused on cancer, could serve as a model for using PROs in other conditions,
59 as it provides a useful update surrounding some of the design issues common to all trial
60 research including PRO endpoints. However, we believe there are a number of additional
61 areas in need of greater consideration. The purpose of this commentary is therefore to
62 highlight the strengths of this timely and potentially useful document, but also to outline areas
63 that may warrant further discussion.

64

65

66 **Keywords**

67 International Society for Quality of Life Research, European Medicines Agency, Patient-
68 Reported Outcomes, PROs, Health-Related Quality of Life, HRQL, Oncology

69

70 The European Medicines Agency (EMA) has released for comment a reflection paper on the
71 use of patient-reported outcome (PRO) measures in oncology studies [1]. This updates their
72 2005 publication [2]. The purpose of the proposed reflection appears two-fold: to ‘spur an
73 open discussion on the value of PRO data in the development of medicinal products’ in
74 oncology; and to present recommendations surrounding optimal PRO trial design - both with
75 a focus on the regulatory perspective.

76

77 The EMA invited public comments on the draft reflection paper in June 2014. An
78 International Society for Quality of Life Research (ISOQOL) taskforce (authors listed on this
79 commentary) was convened to coordinate the ISOQOL response. Twenty-one ISOQOL
80 members provided detailed comments and suggestions on the EMA Reflection Paper; 81%
81 from academia, and 19% from industry. Taskforce members consolidated and further refined
82 these comments and shared the recommendations with the ISOQOL members through its
83 member listserv. A final response was submitted to the EMA in November 2014 [placeholder
84 for ISOQOL EMA response web-page reference].

85

86 The impending publication of the EMA reflection paper presents a valuable opportunity to
87 comment on the current direction of EMA PRO guidance and strategy. The purpose of this
88 commentary is therefore to highlight the strengths of this timely and potentially useful
89 document, but also to outline areas that may warrant further discussion.

90

91 Signs of encouragement

92

93 We note the EMA’s use of terminology has shifted from health-related quality of life (HRQL)
94 to the umbrella term patient-reported outcomes (PROs). This change reflects the broader
95 context for the capture of patient experiences and perspectives as, in addition to HRQL, they
96 may also include such domains as symptom burden, functional impact, treatment
97 concordance, treatment satisfaction and global health status.

98

99 Within the document, the EMA extols the virtues of rigorous PRO trial design. In particular
100 they highlight the importance of: a strong rationale, supporting both PRO collection itself and
101 the timing of assessment; comprehensive training of trial staff and patients involved in PRO
102 measurement; implementation of methods to maximize compliance; and the formulation of a
103 detailed, PRO-specific, statistical analysis plan addressing special issues such as multiplicity
104 and missing data. This approach is welcome: both experience and empirical research suggests
105 a failure to incorporate these design features during trial planning may result in PRO data that
106 are uninformative or inappropriate for evaluating the harms and benefits of the intervention
107 under study.[3; 4] The EMA recommendations also align with those presented in other
108 contemporary PRO guidance documents, including those produced by the Center for Medical
109 Technology Policy [5] and the U.S. Food and Drug Administration (FDA) [6]. The apparent
110 harmonization of EMA and FDA guidance is encouraging, and it is hoped that further
111 alignment in the coming years may allow sponsors to adopt a unified PRO claim strategy
112 across the two agencies. Harmonization on PRO guidance would also benefit from the
113 involvement of perspectives from researchers in industry and academic institutions and from
114 patient groups. As a good model, the U.S. National Cancer Institute convened a Clinical
115 Trials Planning Meeting in 2011 that included researchers, regulators, and patient
116 representatives to recommend a core set of symptoms to measure in adult cancer clinical trials
117 [7]. The core set will promote consistent assessment of patient-centered and clinically-
118 relevant symptoms to capture in oncology research.

119

120 Areas requiring greater focus

121

122 Although the EMA paper rightly highlights the importance of PRO trial design, a greater
123 consideration of the issues surrounding PRO reporting is required. Poor reporting of PRO
124 data – which limits their use to inform clinical care, guidelines and health policy – has been
125 identified as a particular problem in trials research [8; 9]. Therefore, we believe the EMA

126 should also outline the importance of transparent and high quality reporting of PRO endpoints
127 in the final version of their reflection, and formally lend its support to the use of the 2013
128 CONSORT-PRO extension [9] to address this issue. ISOQOL, through its ‘Best Practices for
129 PROs in Randomized Clinical Trials’ taskforce [10], is currently undertaking work to tackle
130 both poor PRO trial design and reporting: including the development of a protocol checklist
131 which will facilitate optimal design of PRO endpoints in trials, and of user-centered tools for
132 implementing the CONSORT PRO extension. Greater collaboration between the EMA and
133 ISOQOL is encouraged to facilitate future improvements in PRO trial design, implementation
134 and reporting.

135

136 In their draft reflection, the EMA question the value of longitudinal PRO data; stating they
137 have ‘...rarely been informative from a licensing perspective... a main reason being the
138 absence of demonstrated difference between the study arms’ [1]. We understand that lack of
139 difference in PROs between study arms might be seen as a challenge. However, we also
140 emphasize that if the PRO data: (i) are of high quality; (ii) arise from a robustly designed and
141 adequately powered PRO substudy, with a clear and comprehensive trial protocol; and (iii)
142 the results are appropriately reported in later publications; the information derived – even if it
143 is a “no PRO difference” result – may effectively inform clinical decision-making when
144 considered with other clinical endpoints evaluating overall treatment impact. There are
145 pivotal trials, for example in brain cancer patients, where only marginal differences in PROs
146 between treatment arms have been found; yet these have contributed to a better understanding
147 of the ‘value’ of the new treatment under investigation [11]. We urge the EMA to recognize
148 that the lack of difference in PROs between treatment arms should not be seen, per se, as a
149 factor limiting the use of PRO data in informing licensing decisions. Further, a finding of no
150 HRQL difference does not imply a lack of difference between treatment arms in relevant and
151 more specific PRO domains, such as symptoms.

152

153 We also encourage the EMA to provide transparent data surrounding historical PRO labeling
154 claims, alongside more detailed information regarding the final decision. Ideally it would be
155 useful to know how many products had PROs in the labels, but also how many had requested
156 PROs, and the reasons why PRO labels were not approved. This information would be of
157 major interest to readers, as it would shed light on the current value of PRO data in
158 interpreting treatment effectiveness. Presentation of case studies, outlining successful PRO
159 labeling claims, would also be of great benefit to the research community and would help
160 guide future improvements in PRO trial design.

161

162 Whilst we recognize that this is a reflection paper, it may also be a useful medium to consider
163 **contemporary** challenges in oncology PRO trial design. For example, while it is quite
164 straightforward to link PRO assessment to specific clinical events in case of a conventional
165 chemotherapy-based trial (e.g. administering questionnaires in conjunction with the clinical
166 visit), **newer therapies pose challenges that investigators need to consider when developing a**
167 **protocol. For instance, issues around ‘timing’ and adherence become more challenging in**
168 **trials investigating modern targeted therapies such as tyrosine-kinase inhibitors (TKIs); as**
169 **these treatments are usually taken by patients on a daily basis (and in most cases for a**
170 **prolonged period of months or years).** We take for granted that the patient has received the
171 recommended dose of chemotherapy or radiotherapy, as the patient has to attend their hospital
172 and receive treatment in the clinic. However, anti-cancer-targeted therapies are typically
173 administered orally, not requiring a hospital visit. It has been shown that adherence with
174 targeted agents (e.g. leukemia patients) is not optimal and might undermine maximum benefit
175 of therapy [12]. Patient-reported measures may be used to capture both the extent of
176 medication adherence and reasons for non-adherence, which may include such issues as
177 treatment toxicity, costs, or forgetting the medication. Thus, EMA consideration of the
178 challenges and opportunities associated with PRO evaluation in targeted therapies would be
179 helpful.

180

181 Finally, the last decade has seen increasing interest in the contribution of patients as active
182 partners in health research. Growing evidence reflects the beneficial impact of patient
183 engagement in enhancing the quality, relevance and validity of such research [13; 14]; and in
184 particular within patient-centered outcomes research (PCOR) [15; 16]. For example, recent
185 PCOR has sought to identify outcomes that really matter to patients [17] and improve the
186 relevance and validity of PRO measures [18], with the aim of enhancing the acceptability of
187 PRO-based assessment and improving compliance. The EMA reflection raises issues
188 associated with ‘respondent burden’ and PRO selection, but fails to outline that these can be
189 usefully explored and addressed with appropriate, active patient engagement. Of note, for
190 many patients, completion of a relevant and appropriate measure may indeed be empowering;
191 respondent burden may be more readily associated with completion of irrelevant and
192 inappropriate measures [3]. We suggest the EMA consider the value of involving patient
193 stakeholders in the co-production of PRO trial components, with particular emphasis on:
194 informing the selection of appropriate patient-centered endpoints; identifying relevant,
195 acceptable and relatively un-burdensome measures of those endpoints; enhancing compliance
196 with PRO assessment; and aiding interpretation of PRO findings and dissemination of the
197 results.

198

199 Summary

200

201 The EMA draft reflection paper, although focused on cancer, could serve as a model for using
202 PROs in other conditions: the paper provides a useful update surrounding some of the design
203 issues common to all trial research including PRO endpoints. However, there are a number of
204 additional areas in need of greater consideration, including: the importance of the CONSORT
205 PRO Extension in driving up standards of reporting; the value of ‘negative’ PRO findings; the
206 need for comprehensive information surrounding historical labeling decisions; and the role of
207 patients in the PRO trial design and implementation. Importantly, there is also an opportunity

208 for the EMA to outline how they might look to tackle future opportunities and barriers in the
209 field of PROs research and how to make best use of PRO data.

210

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212 [Word Count 1,608]

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