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1 **A numerical study of non-Newtonian transient**
2 **elastohydrodynamic lubrication of metal-on-metal hip**
3 **prostheses**

4
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10
11 **Keywords:**
12 Non-Newtonian, shear-thinning, transient, EHL, metal-on-metal hip prosthesis

13
14 **Abstract**
15 This paper presents a comprehensive numerical study of transient non-Newtonian
16 elastohydrodynamic lubrication of metal-on-metal hip prosthesis subjected to two
17 different gait cycles. The shear-thinning property of the synovial fluid was found to
18 have a significant effect on the lubricating film, in terms of both the magnitude and
19 location of the minimum film thickness, and more generally the film thickness
20 distribution. A range of clearances between the acetabular cup and femoral head
21 were investigated and the shear-thinning effect was more pronounced in the hip
22 replacements with smaller clearances.

23
24 **1 INTRODUCTION**

25
26 **1.1 Historical background**
27 Total joint replacement (THR) has been hailed as the major development in
28 orthopaedic surgery in the past century. In the 1950's two material pairs were
29 investigated; metal-on-metal (MoM) [1] and metal-on-polymer [2]. In the latter case
30 the polymeric acetabular cup was initially made from polytetrafluoroethylene
31 (ptfe/teflon), a bearing material with the lowest known coefficient of friction, but it

1 soon emerged that its wear resistance was inadequate and so an alternative polymer,
2 ultra high molecular weight polyethylene (UHMWPE) was adopted. The Charnley
3 total hip replacement dominated the next half century or so and is still the first
4 choice for many surgeons. In due course interest arose in alternative material
5 combinations including;

- 6 • Ceramic heads in UHMWPE cups
- 7 • Ceramic heads in ceramic cups
- 8 • Metal heads in metal cups

9

10 It has been recognized that severe wear and aseptic loosening caused by
11 polyethylene wear particles **were** the main reasons for the failure of
12 metal-on-UHMWPE implants [3]. To avoid polyethylene wear particles MoM material
13 combinations have attracted more attention **in the mid 1980's** due to its high wear
14 resistance. The long-term survival **in some patients** encouraged its usage particularly
15 in younger and more active patients. However in recent years, concerns have arisen
16 **regarding high wear of some implant designs [4, 5], and, in general** toxicities of metal
17 wear particles and metal ions that may transport outside the joint capsule and cause
18 adverse tissue reactions both locally and remotely [6]. Despite the potential
19 biocompatibility issues associated with metal debris, some MoM hip implants have
20 exhibited encouraging tribological and clinical performance.

21

22 It is interesting to note that there has been a move away from hard-on-soft material
23 pairs to hard-on-hard combinations, even though nature did not promote the latter
24 solution. The use of soft-on-soft material pairs, reflecting the cartilage-on-cartilage
25 situation in natural joints is also attracting interest, while at the other end of the
26 scale hard, wear resisting coatings are being developed [7].

27

28 If hard-on-hard material pairs are used it is essential to minimize asperity interactions
29 and wear. The components are manufactured with high accuracy and the smallest
30 realistic roughness. For metal-on-metal combinations, the femoral head diameters

1 range from about (28-62) mm, the composite surface roughness (R_a) values for both
2 heads and cups are often in the range (5-20) nm, while diametrical clearances range
3 from about (50-300) μm . When implanted, surface scratches may result in local
4 higher roughness compared with the starting values. There are conflicting reports on
5 the influence of, "running-in" upon the surface roughness in MoM hip joints.

6

7 The transmission of load during the varied activities of daily life needs to be achieved
8 with minimum aggressive interaction between femoral heads and acetabular cups.
9 Such interactions can influence both traditional and well recognized wear
10 mechanisms (abrasion; adhesion and fatigue) and it is now recognized that
11 tribo-corrosion can contribute significantly to material loss [8]. In order to minimize
12 wear and tribo-corrosion it is necessary to support as much load as possible by
13 fluid-film (elastohydrodynamic) lubrication and to minimize boundary or mixed
14 lubrication action.

15

16 The aim of the current study is to provide a more accurate lubrication model, by
17 addressing the shear-thinning properties of the synovial fluid.

18

19 The variation of loads and entraining velocities within one cycle; the developing
20 profiles of the bearing surfaces; the environmental operating conditions and the
21 rheological characteristics of the lubricant (synovial fluid) all need to be modelled
22 and it is the role of the latter which is a major feature of the present paper.

23

24 **1.2 Background to elastohydrodynamic lubrication analysis of hip replacements**

25 Analytical and numerical solutions to the elastohydrodynamic lubrication problem for
26 engineering components emerged in the second half of the 20th Century. The
27 principal findings were that, for engineering lubricants and steady state conditions,
28 the minimum film thickness was very little affected by load, and that the magnitude
29 of the separation between smooth solids was largely determined by the lubricant

1 viscosity and entraining velocity. Simple expressions for minimum film thickness were
2 developed for both line and point contacts and these have been widely used by
3 designers of highly stressed machine components such as gears, rolling element
4 bearings. The magnitudes of the calculated minimum film thicknesses were
5 significantly greater than those derived from Reynolds equation for rigid solids, often
6 by one or two orders of magnitude.

7

8 Elastohydrodynamic action plays a major role in the fluid-film lubrication of natural
9 synovial joints and their man-made replacements. The importance of squeeze film
10 action in damping out the otherwise rapid cyclic changes in film pressures and film
11 thickness was demonstrated by Jin and Dowson [9] and Dowson et al. [10] from both
12 theoretical simulations and experimental measurements. It has long been recognized
13 that synovial fluid is a highly non-Newtonian fluid, but successful incorporation of the
14 spectacular effect of shear rate upon viscosity in numerical solutions to the hip joint
15 replacement problem has been delayed while viscometers have been developed to
16 measure lubricant viscosity for shear rates over six or seven orders of magnitude. **The
17 possible role of other constituents of synovial fluid, such as proteins [10, 11] is not
18 considered in the present paper. However, the effect of shear upon lubricant viscosity
19 over the full range of shear rates encountered in total replacement hip joints has
20 been assessed for the first time.**

21

22 **1.3 Literature review of numerical non-Newtonian EHL study of hip joints**

23 In many numerical simulations of artificial hip replacement lubrication, the
24 shear-thinning effect of the joint's synovial fluid has been neglected [12-20], i.e., the
25 fluid was assumed to be Newtonian, with a viscosity similar to water. The primary
26 reason given for this assumption is that the shear-thinning effect was assumed to be
27 negligible when the shear rate was in a high range of between 10^5 and 10^7 s^{-1} ,
28 governed by the range hip joints typically experience during walking cycles [21].
29 There are limited numerical studies that investigate the rheology of joint synovial

1 fluid. The most significant of these are described below. Wang et al. [22] developed a
2 shear thinning EHL model of metal-on-metal hip implants under steady state
3 conditions, with the rheological parameters obtained from experimental data
4 presented by Yao et al. [21], and little difference in pressure and film thickness was
5 found between the solutions of Newtonian and non-Newtonian models. In their
6 study [22] only a relatively small range of shear rates were investigated. Tichy and
7 Bou-Said [23] studied the non-Newtonian viscoelastic properties of the synovial fluid
8 in pure-squeezing of hip joint replacements in gait cycles. Their rheological model
9 was developed based on the Phan-Thien and Tanner (PTT) model which is often used
10 to describe polymer solutions [24]. Meziane et al. [25] further developed the PTT
11 viscoelastic model to simulate a complete hydrodynamic lubrication of hip implants
12 subject to a walking cycle. Both of the studies [23, 25] have found that the
13 non-Newtonian property of the joint synovial fluid has significant effect on the
14 lubrication, particularly when the squeeze film effect is present, as it is in the
15 transient walking cycle.

16

17 This paper addresses the above differences in the non-Newtonian effects, by
18 presenting a comprehensive numerical analysis of the transient EHL of
19 metal-on-metal hip implants subject to different walking cycles. These are described
20 by a simplified walking pattern and a more complex physiological walking pattern,
21 with the shear-thinning properties of the synovial fluid addressed. In the results, the
22 elastohydrodynamic pressure and film thickness are predicted, with particular
23 attention paid to the magnitude and location of the minimum film thickness in a
24 walking cycle. These results are compared with the corresponding Newtonian results
25 to investigate the shear thinning effect, for a range of the design clearances between
26 the femoral head and the acetabula cup.

27

28

29 **2 Materials and Numerical Method**

1 A total hip replacement made from cobalt chromium alloy with a femoral head
2 diameter of 36 mm and, diametrical clearances of (50 -150) μm between the head
3 and the cup, was investigated in the analysis. The cup was assumed to be firmly fixed
4 to the pelvic bone through an equivalent layer representing bone and/or fixation
5 cement. The material and geometrical parameters are presented in Table 1. An
6 illustration of the hip implant and associated three-dimensional loading and motions
7 is shown in Fig. 1. Two loading and motion patterns of walking cycles were
8 considered in this study, a Leeds ProSim hip simulator [26] and 3 dimensional
9 physiological walking pattern described by Bergmann et al. [27], as shown in Fig. 2.
10 Cup inclination angles of both 0 and 45 degrees were considered in the analysis for
11 the hip simulator walking cycles, and the inclination angle of 45 degrees was
12 considered in the physiological walking cycle.

13

14 **2.1 Viscosity Model of Synovial Fluid**

15 Numerous measurements have revealed high values of synovial fluid viscosity,
16 typically ranging from about (10^4 - 10^5) mPas, at very low shear rates. Furthermore,
17 Cooke et al [28] drew attention to the considerable variation from one subject to
18 another, and even within one subject, depending upon the severity of arthritic
19 disease. Joint disease reduced the effect of shear rate upon viscosity, with normal
20 joint fluid exhibiting the greatest non-Newtonian effects, followed by fluid from
21 osteo- and rheumatoid arthritic joints. This has prompted some investigators to
22 suggest that determination of the magnitude of non-Newtonian characteristics of
23 synovial fluid may be used as an indication of the severity of joint disease.

24

25 In hip joint replacements mean shear rates ($\approx u/h$) are typically in the range (10^6 - 10^7)
26 $1/\text{s}$ and under these circumstances the viscosity attains a near constant value which
27 differs little from that of water. The values adopted for this very high shear rate
28 viscosity generally range from about (1-5) times that of water (0.692 mPas at 37°C).

29 In this study the viscosity of synovial fluid at any point in the elastohydrodynamic

1 lubricating film was based upon a relationship of the form proposed by Cross [29].

$$2 \quad \eta = \eta_{\infty} + \frac{\eta_0 - \eta_{\infty}}{1 + \alpha(\dot{\gamma})^{\beta}} \quad (1)$$

3 Cross proposed a value of (2/3) for (β) and with values of viscosity being measured at
4 very low and very high shear rates, the value of (α) could be calculated at
5 intermediate shear rates. In the present exercise the limiting shear rate values of
6 viscosity adopted were ($\eta_0=40,000$ mPas) and ($\eta_{\infty}=0.9$ mPas). Recorded values of
7 viscosity for synovial fluid from eight different sources suggested that a fair
8 representation of viscosity over the very large range of shear rates encountered in
9 joint replacements was given with $\alpha = 9.54$ and $\beta = 0.73$. The latter value is similar to,
10 but slightly higher than the value 0.67 adopted by Cross.

11

12 The pressure variation across the lubricating film thickness was neglected due to the
13 very thin films considered. An average shear rate ($\dot{\gamma}$) was adopted and calculated as
14 the ratio of relative surface velocity to film thickness. Although the shear rate varies
15 across the film, the main purpose of this initial paper was to explore the influence of
16 viscosity variation throughout a complete loading cycle. The variation of shear rate
17 across the film in Poiseuille flow modified the Couette shear rate in positive and
18 negative directions but it is the absolute value of the shear rate that affects the
19 viscosity. The resulting non-linear effect did not, however, appear to play a significant
20 role when applied over the complete domain, as demonstrated by Wang et al. [22].

$$21 \quad \dot{\gamma} = \frac{v}{h} \quad (2)$$

22 with the velocity (v) given by:

$$23 \quad v = \sqrt{v_{\theta}^2 + v_{\varphi}^2} \quad (3)$$

24

$$25 \quad \begin{cases} v_{\theta} = -R_c \omega_x \sin \varphi + R_c \omega_y \cos \varphi \\ v_{\varphi} = -R_c \omega_x \cos \varphi \cos \theta - R_c \omega_y \sin \varphi \cos \theta + R_c \omega_z \sin \theta \end{cases} \quad (4)$$

26

27 In the current study, the viscosity at the infinite shear rate of 0.9 mPas was used to
28 obtain the corresponding Newtonian results for comparison.

1

2 **2.2 Elastohydrodynamic Lubrication Formulation**

3 The Reynolds equation was used to describe the lubricated flow formulated in
4 spherical coordinates [13]:

5

$$\begin{aligned}
& \frac{\partial}{\partial \varphi} \left(\frac{h^3}{\eta} \frac{\partial p}{\partial \varphi} \right) + \sin \theta \frac{\partial}{\partial \theta} \left(\frac{h^3}{\eta} \sin \theta \frac{\partial p}{\partial \theta} \right) \\
& = 6R_c^2 \sin \theta \left[\begin{aligned} & -\omega_x \left(\sin \varphi \sin \theta \frac{\partial h}{\partial \theta} + \cos \varphi \cos \theta \frac{\partial h}{\partial \varphi} \right) \\ & + \omega_y \left(\cos \varphi \sin \theta \frac{\partial h}{\partial \theta} - \sin \varphi \cos \theta \frac{\partial h}{\partial \varphi} \right) \\ & + \omega_z \sin \theta \frac{\partial h}{\partial \varphi} \end{aligned} \right] \\
& + 12R_c^2 \sin^2 \theta \frac{\partial h}{\partial t}
\end{aligned} \tag{5}$$

7 where, φ and θ are spherical coordinates as shown in Fig. 3; ω_x, y, z represent the
8 angular velocities of FE, IER and AA motions respectively, as defined in Fig. 1.

9 Considering the angle of cup inclination (β_0), the inlet and outlet boundaries of the
10 lubrication domain were defined as:

$$\begin{cases} \theta_{in} = 0, \theta_{out} = \pi \\ \varphi_{in} = \beta_0, \varphi_{out} = \beta_0 + \pi \end{cases} \tag{6}$$

12 The hydrodynamic pressure (p) was assumed to be zero at both the inlet and the
13 outlet boundaries. The cavitation boundary condition was achieved by setting the
14 obtained negative pressure to zero during the relaxation process in the entire
15 calculation domain.

16

17 The film thickness (h) including both rigid and elastic deformation (δ) between the

1 two bearing surfaces, was calculated as:

$$2 \quad h(\varphi, \theta) = c/2 - e_x \sin \theta \cos \varphi - e_y \sin \theta \sin \varphi - e_z \cos \theta + \delta(\varphi, \theta) \quad (7)$$

$$3 \quad \delta(\varphi, \theta) = \int_{\varphi} \int_{\theta} K(\varphi - \varphi', \theta - \theta', \theta_m) p(\varphi', \theta') d\theta d\varphi \quad (8)$$

4 An equivalent spherical discrete convolution (ESDC) technique [30] and the
 5 multi-level multi-integration (MLMI) were adopted to obtain the surface elastic
 6 deformation. K denotes the displacement influence coefficient of the elastic surfaces
 7 and θ_m denotes a fixed mean latitude [30]. The external 3D loading components $w_{x,y,z}$
 8 were balanced by the hydrodynamic pressure integrated with respect to the
 9 corresponding axes:

$$10 \quad w_{x,y,z} = R_C^2 \int_{\varphi} \int_{\theta} p_{x,y,z} d\theta d\varphi \quad (9)$$

11 where the pressure components in three Cartesian coordinate directions are
 12 expressed as:

$$13 \quad \begin{cases} p_x = p \sin^2 \theta \cos \varphi \\ p_y = p \sin^2 \theta \sin \varphi \\ p_z = p \sin \theta \cos \theta \end{cases} \quad (10)$$

14

15 The governing equations were made dimensionless in order to improve numerical
 16 stability and facilitate convergence. The equations were subsequently transformed
 17 into discrete forms using the finite difference schemes. Gauss-Seidel relaxation was
 18 employed for pressure iteration in the Reynolds equation, and the multi-grid
 19 techniques were employed. The details of these numerical procedures to solve the
 20 equations can be found in [13].

21

22 **3 Results**

23 The numerical simulation started from an initial steady-state solution as at the first
 24 time step in the walking cycle, after three walking cycles the EHL solutions converged
 25 to a periodic solution. All the results presented in this paper were obtained for
 26 periodic walking cycles. The magnitude of the minimum film thickness and its

1 location in the walking cycle were compared between the Newtonian and
2 non-Newtonian fluids, for a range of diametrical clearances between 50 μm and 150
3 μm . Results for the two loading patterns considered, i.e., hip simulator and
4 physiological conditions respectively, are shown in Fig. 4 (a) and (b).

5

6 For the case of a diametrical clearance of 100 μm , more results are shown in Figs. 5-8.
7 The variations of the minimum and central film thickness in a walking cycle are
8 presented in Fig. 5. Fig. 5 a) and b) shows the results for the hip simulator with the
9 cup inclination angle of 45 degrees and zero respectively; Fig. 5 c) shows the results
10 for the physiological load pattern. It is found that the cup inclination angle does not
11 affect much the predicted film thickness as long as the main loading area is far away
12 from the rim of the acetabular cup. For example, for the diametrical clearance of 100
13 μm the minimum and maximum values of the minimum film thicknesses in the hip
14 simulator cycle for the two solutions vary by only 3.7% and 1.6% for the Newtonian
15 solutions, 4.5% and 0.8% for the non-Newtonian solutions. The film thickness
16 contours at two time steps (0.2 s and 0.64 s) occurring during the stance phase and
17 swing phase respectively for the hip simulator pattern are plotted in Fig. 6 (At 0.64 s
18 the reversal rotation resulted in zero velocity). The film thickness contours at 0.55 s
19 and 1.1 s, occurring during the loading and swing phases respectively in the
20 physiological walking pattern are plotted in Fig. 7. The Newtonian and
21 non-Newtonian film thickness profiles on a cross-section at two different time steps
22 (same as Fig. 6) in a walking cycle are compared in Fig. 8. The non-Newtonian
23 viscosity contours at specific time steps are shown in Fig. 9, along with the minimum
24 viscosity through the two different gait cycles in Fig. 10.

25

26 **4 Discussion**

27 The effect of shear thinning on the overall performance of an artificial hip joint is
28 illustrated in Fig. 4. Figs. (4a) and (4b) show how the minimum film thickness varies
29 with the diametrical clearance of the joint. It can be seen from these results that the

1 minimum film thickness predicted for the shear thinning fluid properties is greater
2 than that of the constant viscosity fluid in all cases. This is not wholly unexpected as
3 the Newtonian fluid case has the same viscosity as the high shear rate limit of the
4 non-Newtonian case, resulting in the fluid viscosity always being greater or equal to
5 the viscosity of the Newtonian case. What is perhaps of greater interest is the
6 transient location of the minimum film thickness in the gait cycle. Indeed, as will be
7 seen later (Fig. 5), the minimum film thickness variation in the gait cycle is
8 significantly different for the two rheological cases examined. Unlike the actual value
9 of the minimum film thickness which has a near constant difference between the two
10 rheologies (Figs. (4a) and (4b)), the location of the minimum film thickness
11 throughout the entire gait cycle does not show such a consistent trend with the
12 minimum film thickness occurring at different times during the gait cycle.

13

14 For the case when a more realistic gait cycle is examined, i.e. one where the motion
15 is not constrained in a single plane, the location in the gait cycle of the minimum film
16 thickness calculated for both the rheological models are reasonably similar to each
17 other (note the difference in ordinate axis scaling between Figs. 4(a) and 4(b). The
18 smaller difference between the locations of this minimum film thickness can be
19 attributed to a more rapidly changing minimum film thickness variation with time for
20 the simulator than for the more realistic physiological gait cycle. This can be seen in
21 Fig. 5, where the variation in film thickness for the two cycles is shown. For the
22 non-Newtonian fluid results there is an increase in the minimum film thickness when
23 compared to the Newtonian results.

24

25 The reason for the smaller shift in the transient location of the overall minimum film
26 thickness with diametric clearance though the gait cycle can be attributed to the
27 more distinct single minimum film thickness in the gait cycle for the physiological
28 cycle. Conversely for the joint simulator cycle there are a number of local minima and
29 maxima which only require a small change in the film thickness distribution through

1 the cycle to occur for a different local minima to become the global minima. This
2 result highlights the importance of careful representation of the rheological model if
3 predictions of wear and/or tribo-corrosion are to be made from the predicted film
4 thickness distribution.

5

6 The difference in the central film thickness between the non-Newtonian and
7 Newtonian cases alludes to the differences in the film thicknesses outside the region
8 where the minimum film thickness exists. It is interesting to observe that at the
9 beginning of both gait cycles the central film thickness is significantly larger for the
10 non-Newtonian than for the Newtonian rheological models. The film thickness
11 contours in Figs. 6 and 7 result from the essential differences between the two
12 rheological models considered. It can clearly be observed that, while the minimum
13 film thicknesses may not be significantly different, the larger film thickness away
14 from the region of minimum film thickness region for the non-Newtonian cases are
15 considerably greater than for the Newtonian case. This can be further observed in Fig.
16 8, which shows a narrower region of low film thicknesses for the non-Newtonian
17 than for the Newtonian cases. Fig. 9 shows how the viscosity of the fluid rises
18 significantly outside the minimum film thickness region.

19

20 The importance of the gait cycle is also highlighted in Fig. 10, which shows
21 dramatically how a significantly higher viscosity occurs in the simplified simulator
22 model, where there is an abrupt reversal of motion, compared to the model in which
23 there is always relative motion between the femoral head and the acetabular cup. It
24 should also be noted that, despite the more constant minimum viscosity for the
25 physiological gait cycle data it still varies from 1.06 to 1.65 mPas.

26

27 The numerical solutions demonstrate the limitations of a rheological model in which
28 the lubricant viscosity is assumed to be constant and equal to the very high shear
29 rate value for synovial fluid. More complete representations of relative motions

1 about two axes yield relatively low but finite shear rates during motion reversal. The
2 bearing thus enjoys much longer periods of exposure to low shear rates and hence
3 very much greater viscosities. Much higher film thicknesses are therefore established
4 prior to exposure to the peak loadings. Powerful squeeze-film action significantly
5 maintains higher film thicknesses than could be maintained by an isoviscous
6 lubricant having viscosities little greater than water.

7

8 For acetabular cup and femoral heads with identical arithmetic average surface
9 roughness values of 10 nm, the composite root mean square roughness R_a is 14.1 nm.
10 The corresponding maximum and minimum lambda (λ) ratios for both simulator and
11 physiological cycles are shown in Table 2.

$$12 \quad \lambda = h_{min}/R_a \quad (11)$$

13 During the past half century or so engineers have found that the lambda ratio (λ) is a
14 simple and very useful parameter for the assessment of lubrication modes and
15 durability of highly stressed lubricated machine components. In general, $\lambda \leq 1$
16 suggests boundary lubrication while $\lambda = 1-2$ mixed lubrication and $\lambda \geq 3$ or 4 fluid film
17 lubrication. An examination of the lambda ratios in Table 2 suggests that mixed or
18 boundary lubrication is likely to be encountered in the stance phase for both
19 operating cycles, with a good chance of benefitting from elastohydrodynamic action
20 if the lubricant exhibits non-Newtonian characteristics. In the swing phase the
21 indications are that fluid film lubrication can be expected throughout the swing
22 phases of either cycle whether or not the lubricant exhibits non-Newtonian behavior.
23 In the stance phase mixed lubrication is predicted for the physiological cycle.

24

25 These guidelines do not ensure complete separation, which calls for much greater
26 lambda ratios. If lambda ratios are sufficiently large 'running in' normally occurs and
27 this empirical guideline has resulted in major advantages in the operation of many
28 lubricated machine elements. The lambda ratios quoted in the present paper simply
29 contribute to the growing bank of information which may eventually prove to be as

1 valuable to manufactures of metal-on-metal hip joint replacements as lambda ratios
2 have been to the development of safe guidelines for many other, lubricated contacts.

3

4 **5 Conclusions**

5 The principal aim of this study was to explore the role of rheology, represented by a
6 marked reduction of synovial fluid viscosity with increasing shear rate, in
7 metal-on-metal hip replacements. Two loading and motion cycles, representative of
8 typical joint simulator operating conditions and physiological cycle have been
9 investigated. The findings are;

10

- 11 1. At low shear rates, the non-Newtonian characteristics of synovial fluid
12 increase the calculated film thicknesses substantially. The very high lubricant
13 viscosity at low shear rates is thus responsible for the enhanced values of film
14 thickness.
- 15 2. Powerful squeeze-film action maintains higher film thicknesses for the shear
16 dependent viscosity throughout the complete cycles of operation for both
17 operating cycles.
- 18 3. The predicted minimum film thickness increase substantially as the clearance
19 decreases, for both Newtonian and non-Newtonian representations of
20 viscosity.
- 21 4. The findings demonstrate the importance of clearance and non-Newtonian
22 lubricant rheology in tribological studies of theoretical lubricating film
23 behaviour.
- 24 5. The lambda ratios suggest that fluid-film lubrication is likely in the swing
25 phase of both operating cycles, with the possibility of some mixed or
26 boundary lubrication in the stance phases, particularly for the physiological
27 walking cycle.

28

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2 Project, Grant Agreement No. GA-310477.

3

4 **Nomenclature**

c	Diametrical clearance between cup and head (m)	x, y, z	Cartesian coordinates
dyn	Switch factor to choose between steady state and transient conditions	α	Parameter in Eq. (1)
$e_{x, y, z}$	Eccentricity component (m)	β_0	Angle of cup inclination (rad)
h	Film thickness (m)	β	Power of shear rate in Eq. (1)
K	Displacement influence coefficient (m^3/N)	$\dot{\gamma}$	Shear rate (s^{-1})
p	Pressure (Pa)	δ	Surface elastic deformation (m)
R_c	Cup inside radius (m)	ϕ, θ	Spherical coordinates (rad)
t	Time (s)	η	Viscosity of synovial fluid (Pas)
v_θ, v_ϕ	Spherical velocity component (m/s)	η_0	Viscosity at zero shear rate (Pas)
v	Relative surface velocity (m/s)	η_∞	Viscosity at infinite shear rate (Pas)
w	Applied load (N)	$\omega_{x, y, z}$	Angular velocity component (rad/s)

5

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Tables and Figures

Table 1 Geometrical and material parameters of a MOM total hip replacement

Table 2 Lambda ratios calculated for the joint simulator and physiological operating cycles (diametric clearance = 100 μm)

Fig. 1. An anatomical illustration of MOM hip joint under 3D loading and rotation (flexion/extension, ω_x ; internal/external rotation, ω_y ; adduction/abduction, ω_z).

Fig. 2. Spherical coordinates for the EHL analysis of the described hip implant.

Fig. 3. a) Load and angular velocity of ProSim hip simulator gait pattern
b) 3-dimensional load of physiological gait pattern, and c) 3-dimensional angular velocity of physiological gait pattern.

Fig. 4. The magnitudes (top) and locations (bottom) of the minimum film thickness against hip joint clearance: (a) hip simulator pattern and (b) physiological pattern.

Fig. 5. Variations of the minimum and central film thickness in a walking cycle as a loop ($cd = 100 \mu\text{m}$): a) and b) for the hip simulator cycle with cup inclination angle of 45 degrees and zero respectively; c) for the physiological walking pattern. The numbers and round dots indicate the maximum or minimum magnitudes and their locations. The arrows show the direction of a walking cycle.

Fig. 6. Film thickness contours at two time steps in a walking cycle of hip simulator pattern ($cd = 100 \mu\text{m}$, horizontal for ϕ direction, vertical for θ direction; unit: degree).

Fig. 7. Film thickness contours at two time steps in a walking cycle of physiological pattern ($cd = 100 \mu\text{m}$, horizontal for ϕ direction, vertical for θ direction).

Fig. 8. Film thickness profile on a cross-section at two time steps in a walking cycle ($cd = 100 \mu\text{m}$): a) hip simulator pattern and b) physiological pattern.

Fig. 9. Non-Newtonian viscosity contours at certain time steps in a walking cycle ($cd = 100 \mu\text{m}$): a) hip simulator pattern at 0.2 s; b) physiological pattern at 0.55 s; and c) physiological pattern at 1.1 s.

Fig. 10. Variations of the minimum viscosity in a walking cycle.

Table 1 Geometrical and material parameters of a MOM total hip replacement

Diametrical clearance, cd	50-150 μm
Head radius, R_H	18 mm
Cup wall thickness	9.5 mm
Equivalent support thickness	2 mm
Elastic modulus of metal	210 GPa
Elastic modulus of equivalent support layer	2.27 GPa
Poisson's ratio of metal	0.3
Poisson's ratio of equivalent support layer	0.23
Viscosity of synovial fluid at zero shear rate	40 Pas
Viscosity of synovial fluid at infinite shear rate	0.9 mPas

Table 2 Lambda ratios calculated for the joint simulator and physiological operating cycles
(diametric clearance = 100 μm)

	Newtonian Fluid			Non-Newtonian Fluid	
	$h_{min}(\text{nm})$	Lambda Ratio		$h_{min}(\text{nm})$	Lambda Ratio
<u>Simulator (cup 45 degree)</u>					
Cyclic maximum	47.7	3.4		59.6	4.2
Cyclic minimum	20.6	1.5		23.4	1.7
<u>Physiological</u>					
Cyclic maximum	37.3	2.6		48.4	3.4
Cyclic minimum	11.9	0.84		16.1	1.1

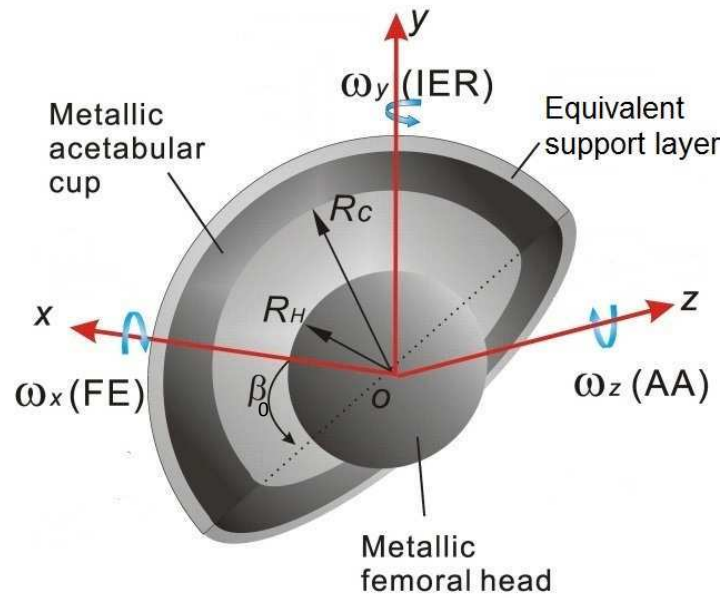


Fig. 1. An anatomical illustration of MOM hip joint under 3D loading and rotation (flexion/extension, ω_x ; internal/external rotation, ω_y ; adduction/abduction, ω_z).

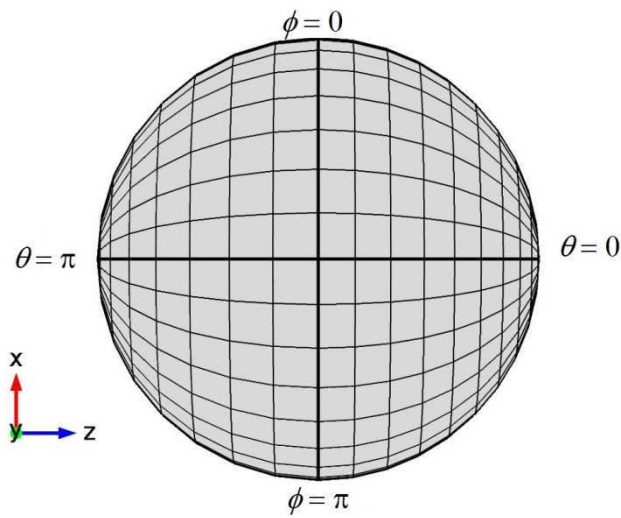


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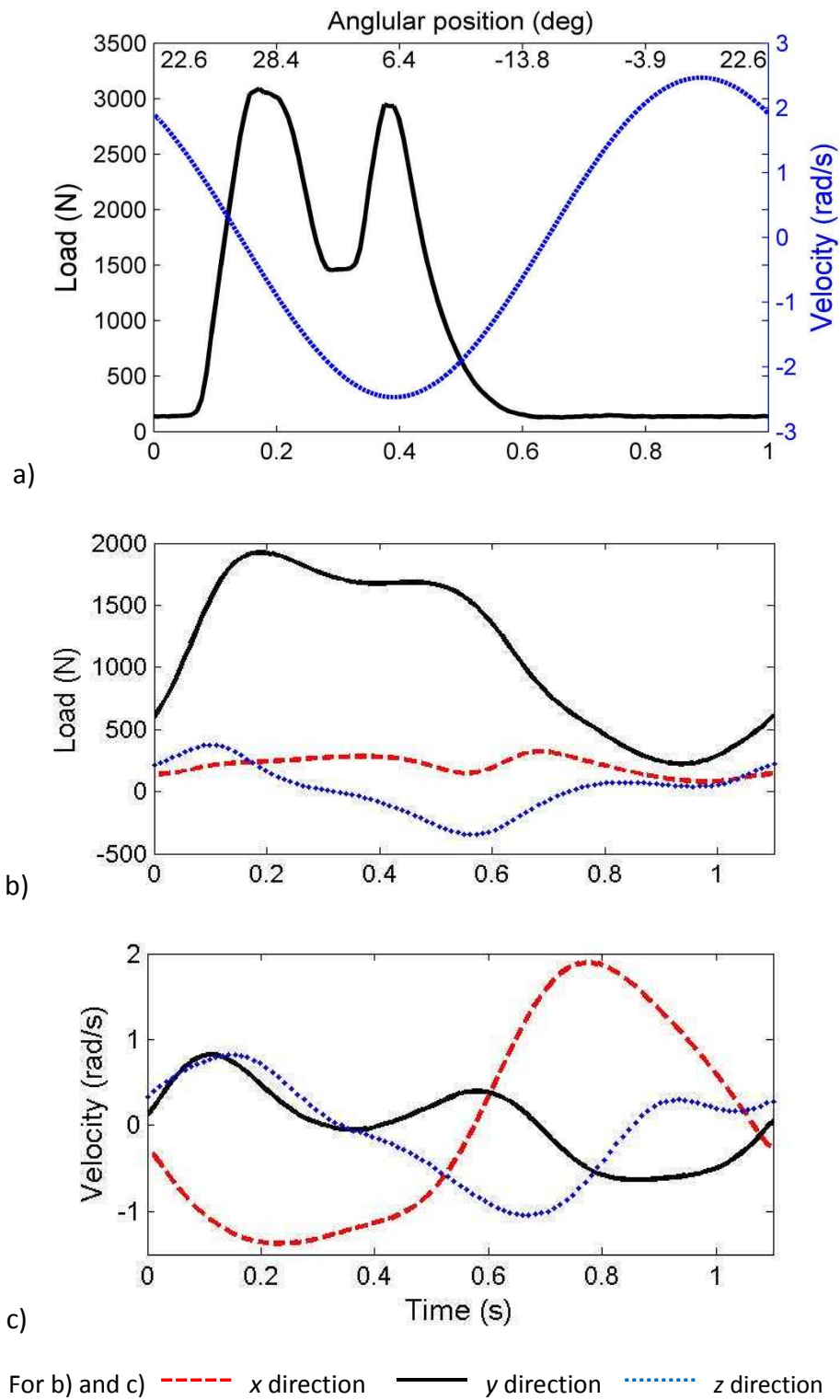


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 b) 3-dimensional load of physiological gait pattern, and c) 3-dimensional angular velocity of physiological gait pattern.

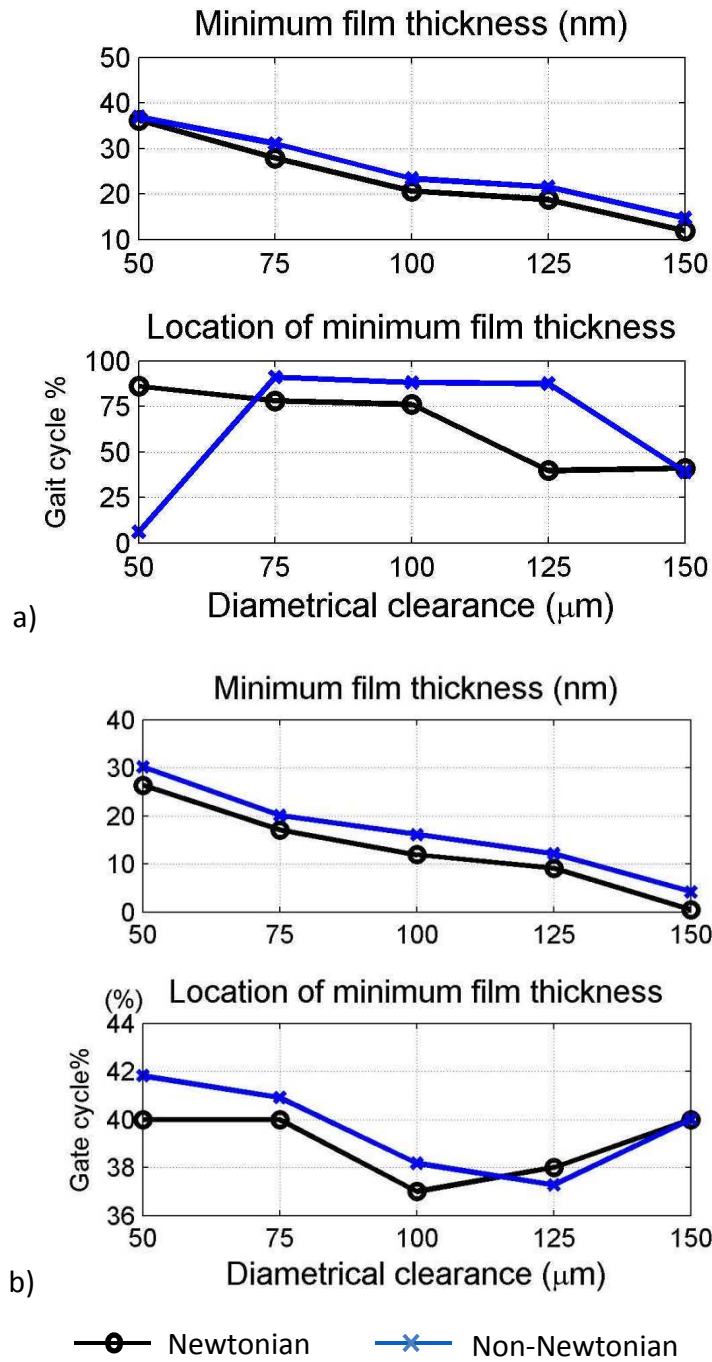
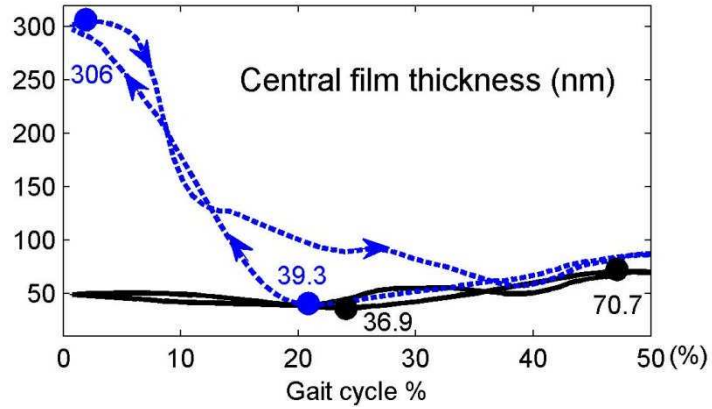
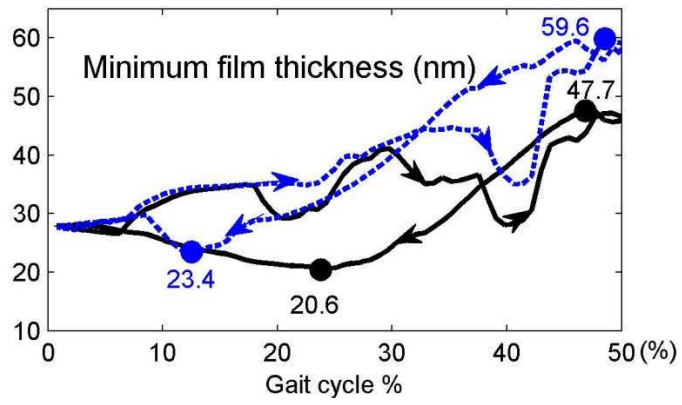
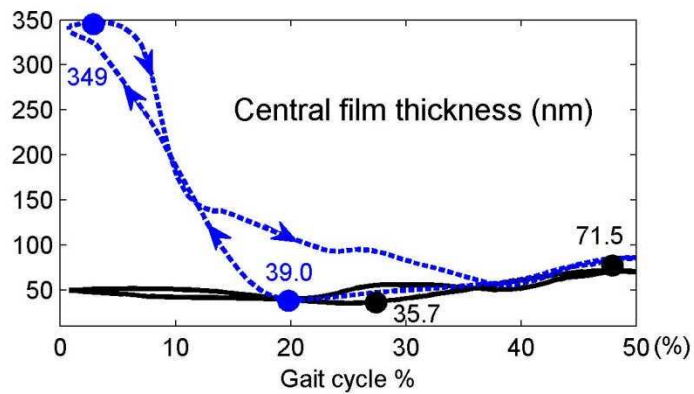
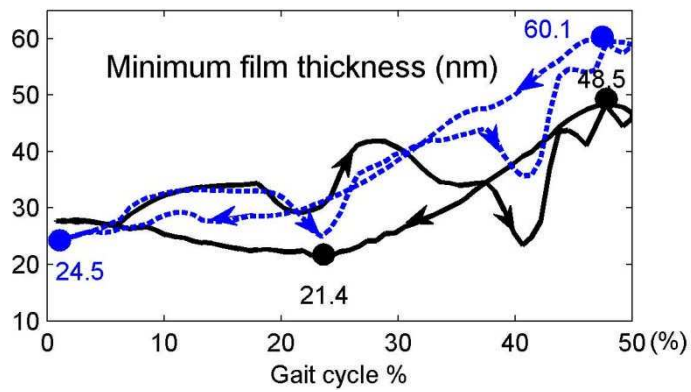


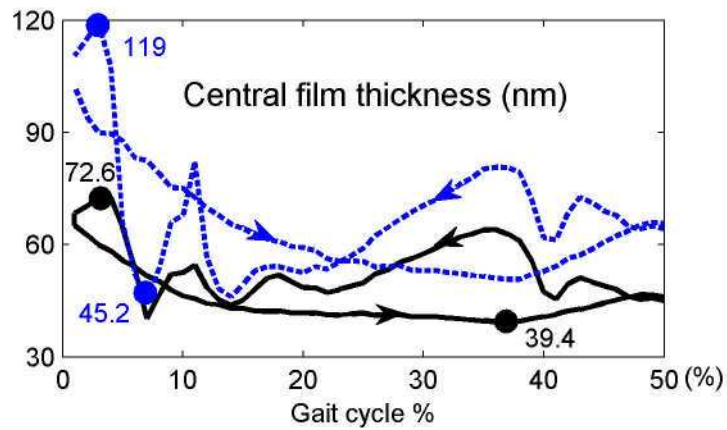
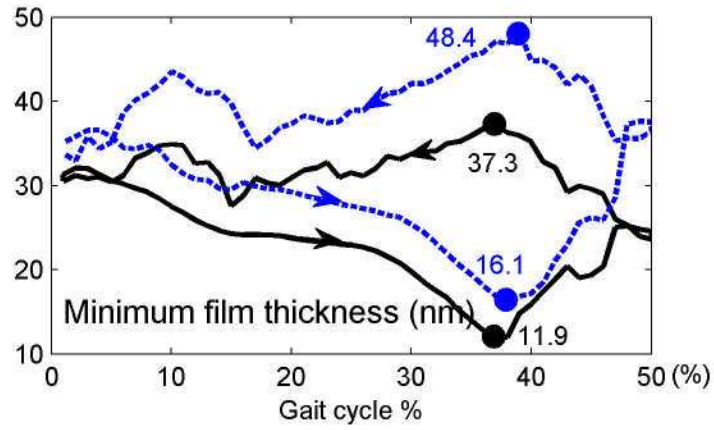
Fig. 4. The magnitudes (top) and locations (bottom) of the minimum film thickness against hip joint clearance: (a) hip simulator pattern and (b) physiological pattern.



a) hip simulator pattern (cup inclination angle of 45 degrees)



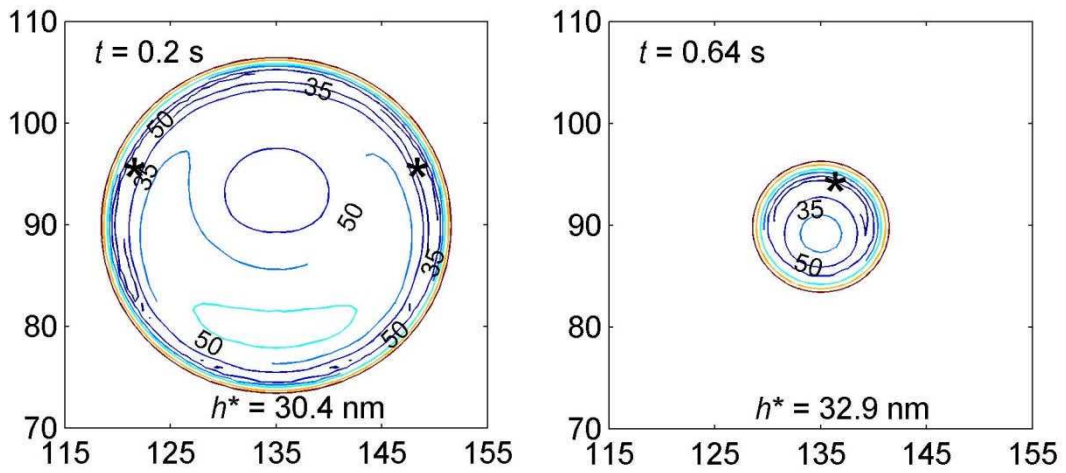
b) hip simulator pattern (cup inclination angle of zero)



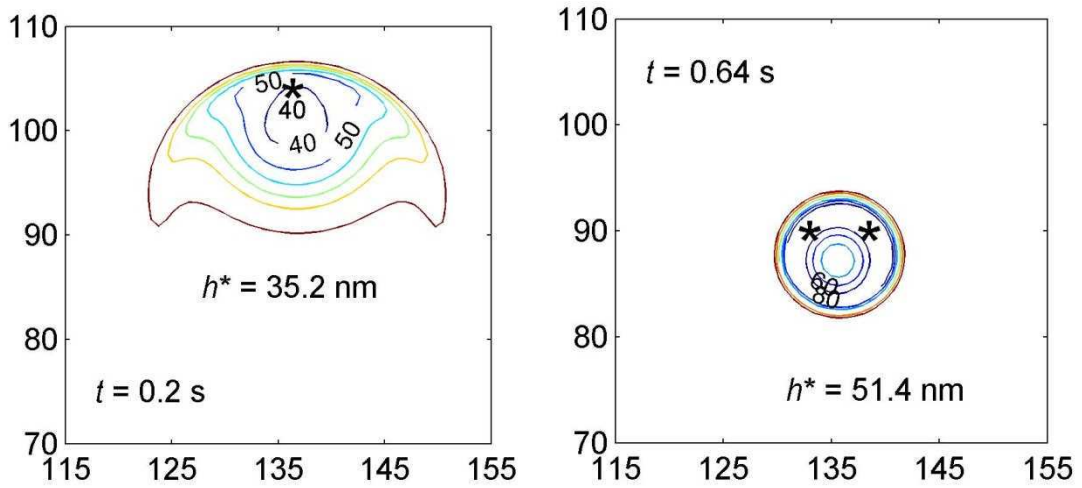
c) Physiological walking pattern

— Newtonian Non-Newtonian

Fig. 5. Variations of the minimum and central film thickness in a walking cycle as a loop ($cd = 100 \mu\text{m}$): a) and b) for the hip simulator cycle with cup inclination angle of 45 degrees and zero respectively; c) for the physiological walking pattern with cup inclination angle of 45 degrees. The numbers and round dots indicate the maximum or minimum magnitudes and their locations. The arrows show the direction of a walking cycle.

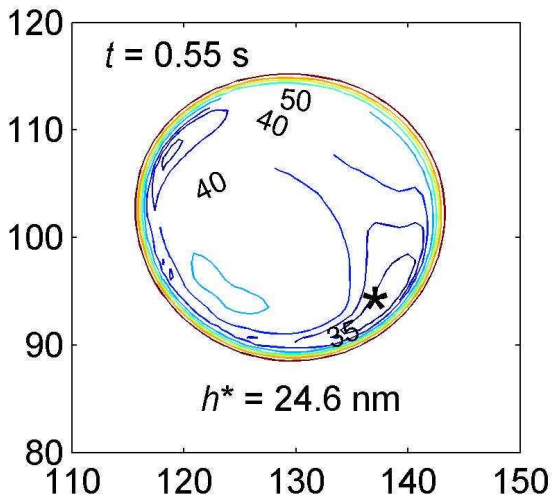


a) Newtonian

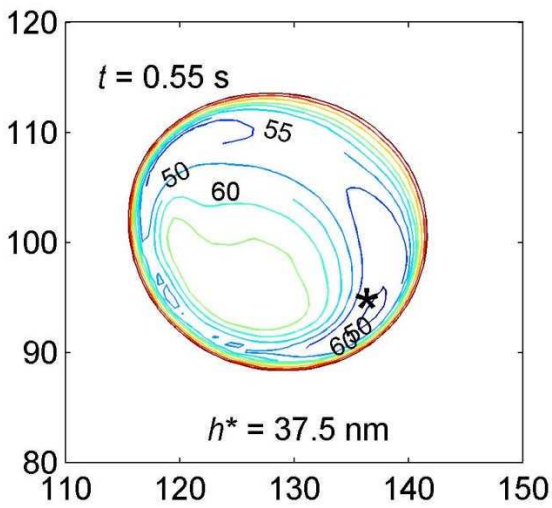
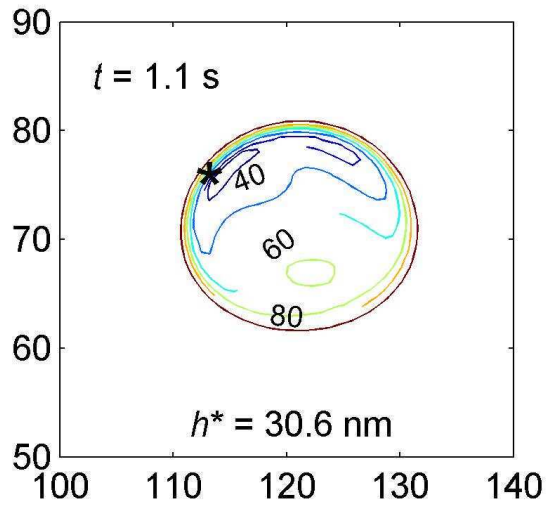


b) non-Newtonian

Fig. 6. Film thickness contours at two time steps in a walking cycle of hip simulator pattern ($cd = 100 \mu\text{m}$, horizontal for ϕ direction, vertical for θ direction; unit: degree).



a) Newtonian



b) non-Newtonian

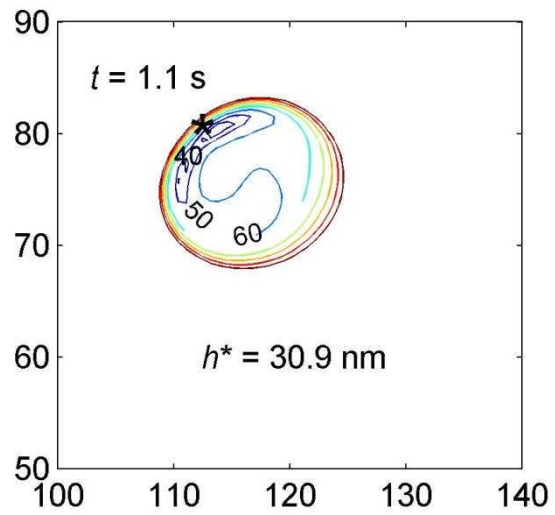


Fig. 7. Film thickness contours at two time steps in a walking cycle of physiological pattern ($cd = 100 \mu\text{m}$, horizontal for ϕ direction, vertical for θ direction).

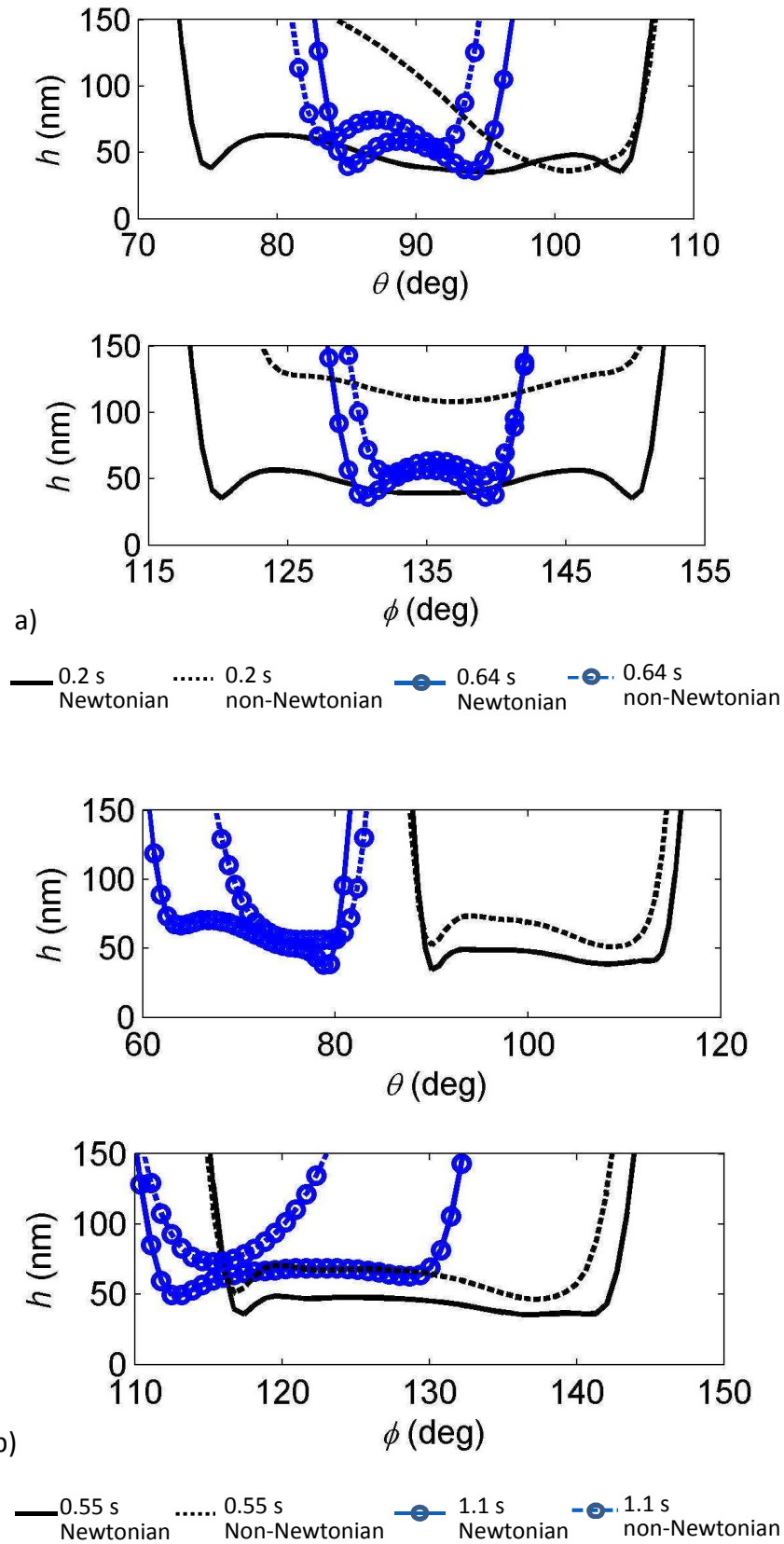


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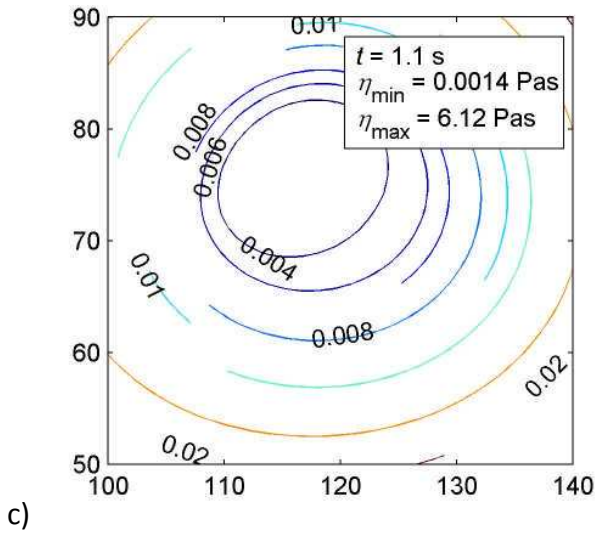
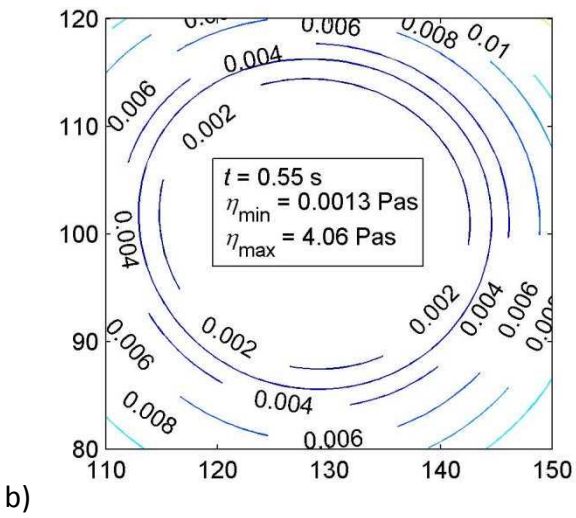
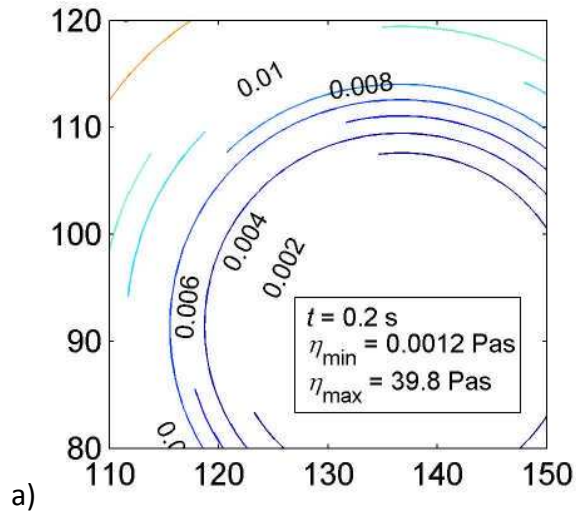


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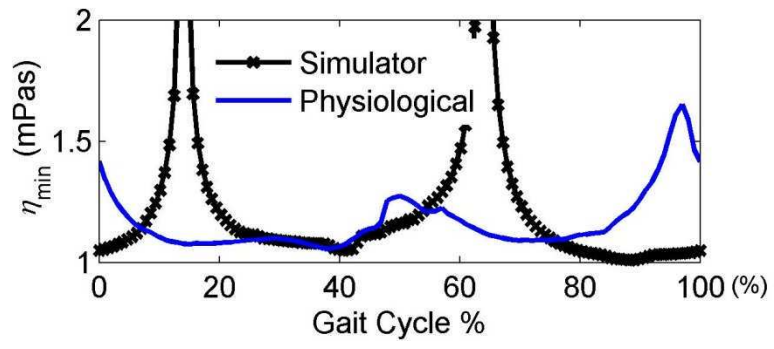


Fig. 10. Variations of the minimum viscosity in a walking cycle ($cd = 100 \mu\text{m}$).