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1 **The non-analogue nature of Pliocene**
2 **temperature gradients**

3
4 Daniel J. Hill¹

5
6 ¹School of Earth & Environment, University of Leeds, Leeds, LS2 9JT, UK.

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8
9 Corresponding author. Tel.: +44 113 3439085.

10 E-mail address: eadjh@leeds.ac.uk (D.J. Hill).

11

12 **Abstract**

13

14 **The strong warming of the North Atlantic and high latitudes in the Pliocene (5.3 – 2.6**
15 **million years ago) continually fails to be simulated in climate model simulations. Being**
16 **the last period of Earth history with higher global temperatures and carbon dioxide**
17 **levels similar to today, it is an important target period for palaeoclimate models. One of**
18 **the key features of the Pliocene climate is the reduced meridional gradients, particularly**
19 **in the high latitudes of the Northern Hemisphere. Here we show that previously**
20 **unconsidered palaeogeographic changes (river routing, ocean bathymetry and**
21 **additional landmass in the modern Barents Sea), in the North Atlantic region can**
22 **produce significant temperature responses at high latitudes. Along with orbital forcing,**
23 **this can significantly decrease equator to pole temperature gradients in the Atlantic**
24 **Ocean. These additional forcings show that the large Arctic warming and significantly**
25 **reduced temperature gradients in the Pliocene are not analogous to future warming and**
26 **that careful consideration of all the possible climatic forcings are required to accurately**
27 **simulate Pliocene climate.**

28

29 **Keywords:** Pliocene, paleogeography, paleoclimate, modelling, gradients, sea surface
30 temperature

31 **1. Introduction**

32 1.1 Palaeoclimates as future climate analogues?

33 Past climates provide the opportunity to test both our understanding of the Earth system and
34 the models used to simulate climate changes. Warm periods, with atmospheric carbon dioxide
35 levels above pre-industrial levels, have previously been used as an analogue to future
36 warming (Zachos et al., 2008; Haywood et al., 2009). However, it has been shown that
37 geological and palaeogeographic changes can cause significant changes to the sensitivity of
38 the climate. Haywood et al. (2011a) suggested that the last major change in Earth history to
39 significantly bias climate away from its modern sensitivity to CO₂ changes was the closure of
40 the Isthmus of Panama, which occurred during the early Pliocene (Coates et al., 1992). This
41 assertion has been supported by the palaeoenvironmental reconstructions of the mid-Pliocene,
42 created by the PRISM (Pliocene Research, Interpretation and Synoptic Mapping) group of the
43 US Geological Survey. These reconstructions, which form the basis of the climate model
44 boundary conditions used in the Pliocene Model Intercomparison Project (PlioMIP), include
45 few non-analogue changes (those that would not be expected under future climate change).
46 Those that are included are the infill of the Hudson Bay, small changes in Rocky Mountain
47 and East African orography and some minor tectonic plate rotations. As such, the Pliocene
48 has been used to estimate the long term sensitivity of the climate to increased atmospheric
49 carbon dioxide (Lunt et al., 2010; Pagani et al., 2010; Haywood et al., 2013a). However, there
50 are many changes that have occurred since the Pliocene that have not been incorporated into
51 climate model simulations and hence have been implicitly assumed to have no significant
52 impact on climate and climate sensitivity.

53

54 1.2 The Pliocene North Atlantic

55 In this study we focus on changes in the North Atlantic region, as this is the most extensively
56 studied region in the Pliocene and provides the greatest evidence for warming and a reduction
57 in temperature gradients (Dowsett et al., 1992; Ballantyne et al., 2010; Dowsett et al., 2010).
58 There is evidence for warming and change in temperature gradients in the North Pacific
59 (Fedorov et al., 2013), but the available data is focussed in upwelling regions (California
60 margin and Kuroshio Current). Although these areas warm strongly, it is difficult to
61 characterise the temperature gradients with so little data and the strong clustering of sites in
62 upwelling regions. By contrast, in the North Atlantic there are more sites in a multitude of
63 different oceanographic settings, all showing a significant reduction in the meridional
64 temperature gradient (Dowsett et al., 1992; Dowsett et al., 2010). Despite having the most
65 data and the highest quality data (Dowsett et al., 2013), the North Atlantic also shows the
66 greatest discrepancies between climate model simulations and data. The range of models
67 incorporated into the Pliocene Model Intercomparison Project (PlioMIP) fail to produce the
68 strength of warming seen in the reconstructions, particularly at the highest latitudes (Dowsett
69 et al., 2012; Haywood et al., 2013a), although further work is required to quantify the exact
70 magnitude and causes of the mismatches (Haywood et al., 2013b).

71

72 1.3 Pliocene North Atlantic palaeogeography

73 There are a number of regional palaeogeographic changes that could have a significant
74 impact on either the simulated warming or on the strength of AMOC and its northward ocean
75 heat transport. Some are already incorporated into the PRISM3 reconstruction and PlioMIP
76 boundary conditions, e.g. Greenland Ice Sheet retreat, northward Arctic treeline migration,
77 etc. Many more potential factors are poorly constrained or completely unknown, e.g. Arctic
78 palaeobathymetry, iceberg freshwater forcing, etc. In this study a number of
79 palaeogeographic changes are selected based on proximity to the North Atlantic and Nordic

80 Seas, the fact that they have not been incorporated into previous standard Pliocene model
81 boundary conditions, that there are published reconstructions detailing their state in the
82 Pliocene and on their non-analogue nature. This final criterion is important as the Pliocene
83 has been used to estimate the long term sensitivity of the Earth system to changes in carbon
84 dioxide forcing, commonly referred to as Earth System Sensitivity or ESS (Pagani et al.,
85 2010; Lunt et al., 2010). Such calculations may be skewed by incorporating non-analogue
86 palaeoclimate changes into these estimates, which would not be reflected in future climate
87 change (Lunt et al., 2010). These criteria lead this study to focus on the impact of changes in
88 the rivers of North America and Europe, a landmass in what is now the Barents Sea, and the
89 depth of the Greenland – Scotland ridge (Fig. 1) and additionally the orbital impact on the
90 results.

91

92 North American river routing has been altered across much of the continent by the
93 Pleistocene glaciations and especially the emplacement of glacial moraines. The Mississippi
94 River has captured the Ohio and Missouri rivers, areas that previously flowed northwards
95 (Prather, 2000). The MacKenzie River has greatly expanded, capturing large areas south of
96 its Pliocene drainage basin, which previously flowed into the Hudson Bay region (Duk-
97 Rodkin and Hughes, 1994). Similarly the St. Lawrence River had only a relatively small
98 coastal drainage basin during the Pliocene (Duk-Rodkin and Hughes, 1994). Small changes in
99 the Rio Grande flow (Mack et al., 2006) have been included for completeness.

100

101 In Europe, the formation of the Baltic Sea inundated land that in the Pliocene formed the
102 main trunk of the Eridanos River, capturing the flow of its tributaries that previously flowed
103 into the North Sea (Overeem et al., 2002). The modern Barents Sea is an important control on

104 poleward ocean circulation (Moat et al., 2014), but was the location for a large marine ice
105 sheet during the Last Glacial Maximum. Sedimentation records suggest that glaciation
106 reached the edge of the continental shelf by 2.4 million years ago (Knies et al., 2009).
107 Backstripping of these Pleistocene sediment packages shows that prior to glacial erosion the
108 Barents Sea was an extensive, if low lying, landmass (Butt et al., 2002).

109

110 The Greenland – Scotland ridge, a major feature of AMOC and barrier to northward heat
111 transport, sits on top of the active Icelandic mantle plume (Wright and Miller, 1996). The
112 upward force of the plume causes the crust on and around Iceland to bulge outwards (Sleep,
113 1990). As the intensity of the Icelandic plume varies, so does the bulging of the crust and
114 hence the depth of the Greenland – Scotland ridge. Evidence of surface elevation change over
115 the late Cenozoic, suggests that Iceland was around 300m lower in the mid-Pliocene (Wright
116 and Miller, 1996). Climate model simulations using a 1000m lower Greenland – Scotland
117 ridge suggest that there is a large climate signal associated with variations in the height of the
118 ridge (Robinson et al., 2011).

119

120 **2. Methodology**

121 2.1 Model boundary conditions.

122 All the new climate simulations presented here are based on the PlioMIP experimental design
123 for coupled ocean-atmosphere models (Haywood et al., 2011b), which uses the PRISM3
124 palaeoenvironmental reconstructions of the mid-Pliocene (3.264 – 3.025 Ma; Dowsett et al.,
125 2010) and incorporates an atmospheric CO₂ concentration of 405 parts per million (ppmv).
126 This interval is typified by heavier than modern benthic oxygen isotopes (Lisiecki and Raymo,
127 2005), suggesting less global ice volume and warmer temperatures. It is bounded by

128 significant isotope excursions, the M2 and G20 glacial periods (Dowsett et al., 2012),
129 enabling easy identification in global marine records. As all of these simulations utilise a
130 coupled ocean-atmosphere General Circulation Model (GCM) the PRISM3 fields used are
131 topography (Sohl et al., 2009), ice sheets (Hill et al., 2007; 2010) and vegetation (Salzmann et
132 al., 2008). The topography and vegetation fields were modified in simulations with an
133 aerially exposed Barents Sea, based on the reconstructions of Butt et al. (2002) and regional
134 climate factors, to reflect these changes. Apart from simulations where changes were
135 specified both ocean bathymetry and river routing are kept at modern, as specified in the
136 PlioMIP Experiment 2 design (Haywood et al., 2011b).

137

138 The HadCM3 river routing scheme specifies the oceanic outlet for each terrestrial grid box,
139 where the simulated freshwater runoff is put into the ocean. For the North American river
140 basin changes the grid boxes representing the Ohio and Missouri Rivers, the upper
141 MacKenzie and St. Lawrence Rivers and the rivers that flow to the modern Hudson Bay are
142 all altered to flow out into the Labrador Sea at the Hudson Strait. These alterations to North
143 American rivers would represent similar to $30,000 \text{ m}^3\text{s}^{-1}$ additional riverine inputs to the
144 Labrador Sea, rerouted from the Atlantic Ocean, Gulf of Mexico and Arctic Ocean, under a
145 modern climatic regime. For the European river changes all the rivers that currently flow into
146 the Baltic Sea where rerouted to flow out into the southern North Sea, representing roughly
147 $7000 \text{ m}^3\text{s}^{-1}$ of modern freshwater input. In order to change the Greenland-Scotland ridge the
148 depth of the sill below sea level was reduced from the modern standard of 666m to 996m at
149 the all of the shallowest points, except those between Scotland and the Faeroe Islands
150 (Andersen et al., 2000).

151

152 Creating land over the Barents Sea involves significant changes to the model boundary
153 conditions. The land-sea mask and topography are based on the reconstruction of Butt et al.
154 (2002), taking the islands of Svalbard, Franz Josef Land and Novaya Zemlya as furthest
155 extent of the Pliocene landmass. The vegetation on the new landmass was extrapolated from
156 the PRISM3 reconstruction (Salzmann et al., 2008), taking into account the meridional and
157 zonal temperature gradients. The meridional gradients are primarily constrained by the
158 reconstruction of Svalbard vegetation, while there is a significant zonal gradient due to the
159 distance from the warm waters of the Nordic Seas.

160

161 2.2 HadCM3 climate model.

162 All the climate simulations used in this study were performed with the coupled ocean-
163 atmosphere HadCM3 GCM (Gordon et al., 2000), a component of the UK Met Office Unified
164 Model. The atmosphere has a resolution of 3.75° in longitude and 2.5° in latitude, with 19
165 levels in the vertical. The ocean has a resolution of 1.25° by 1.25° , with 20 vertical levels.
166 The ocean model uses the Gent and McWilliams (1990) mixing scheme, coupled to a
167 thermodynamic sea ice model with parameterized ice drift and sea ice leads (Cattle and
168 Crossley, 1995). Modern climate simulations have been shown to simulate SST in good
169 agreement with observation, without requiring flux corrections (Gregory and Mitchell, 1997).
170 HadCM3 has been used extensively for simulating Pliocene climate (Haywood and Valdes,
171 2004; Lunt et al., 2008a; Bragg et al., 2012) and, despite problematic regions, has been
172 shown over a number of dataset iterations to perform generally very well against Pliocene
173 temperature data (Haywood and Valdes, 2004; Dowsett et al., 2011; Dowsett et al., 2012;
174 Haywood et al., 2013a; Salzmann et al., 2013).

175

176 2.3 Modelling strategy.

177 The standard mid-Pliocene simulation presented here (Fig. 2) is a 500 year continuation of
178 the original HadCM3 PRISM2 simulation, under altered PRISM3 boundary conditions
179 (Bragg et al., 2012), following the PlioMIP Experiment 2 protocol (Haywood et al., 2011b).
180 The other Pliocene simulations branch off this standard at the beginning of the continuation
181 and also run for a further 500 years. In each of four sensitivity experiments a single
182 palaeogeographic factor is changed North American rivers, European rivers, Greenland-
183 Scotland ridge and Barents Sea. Two simulations are run with all four factors changed, one
184 with just these changes and one with additional orbital forcing. The chosen orbit, with
185 parameters from 3.037 Ma, represents a time point when Northern Hemisphere summer
186 insolation at 65°N was at a maximum (Dolan et al., 2011). In all the other simulations orbits
187 are kept at the present day standard configuration.

188

189 **3. Results**

190 3.1 Impact of individual palaeogeographic changes on simulated sea surface temperature
191 and Atlantic Meridional Overturning Circulation.

192 Each of these factors produces a unique pattern of warming and changes in ocean circulation.
193 The impact of rerouting North American rivers on SSTs is relatively small (Fig. 3a). The
194 largest changes, which only amount to between 1 and 2 °C, are the response to the freshwater
195 injection into the Labrador Sea, at the mouth of the present Hudson Strait. In the Labrador
196 Sea itself SST warm, as the increased riverine input leads to a reduction in local sea ice (cf.
197 Nghiem et al., 2014). In the North Atlantic, where the freshwater is transported, the warm
198 currents of the North Atlantic Drift are weakened, reducing overturning (Fig. 4a) and

199 therefore cooling SST by nearly 2 °C. Outside of these regions there are few significant
200 changes (Fig. 3a).

201

202 The introduction of the Eridanos River freshens the Norwegian Current, the northernmost
203 extension of the Atlantic thermohaline circulation and invigorates the northward heat
204 transport in the Nordic Seas (Fig. 4b). This freshening and increased export acts to cool the
205 Norwegian Current itself, but the SSTs warm in the Barents Sea (and the waters being
206 transported into the Fram Strait) by more than 5 °C (Fig. 3b). These changes also have a far-
207 field effect in the North Atlantic, as freshening the Norwegian current affects the salinity
208 balance over the Greenland-Scotland ridge.

209

210 In a previous sensitivity study, lowering of the Greenland-Scotland ridge has been shown to
211 cause large warming in the Nordic Seas, as the barrier to northward heat transport is reduced.
212 Lowering the ridge by ~1000m increased simulated high latitude SSTs within HadCM3 by up
213 to 5 °C under the previous iteration of boundary conditions from PRISM (Robinson et al.,
214 2001). However, the large magnitude of this implemented change represents a particularly
215 extreme scenario of Icelandic crustal movements. Evidence suggests a more modest lowering
216 of around 300m during the Pliocene (Wright and Miller, 1996), which we incorporate into the
217 altered PlioMIP HadCM3 boundary conditions (Fig. 1). Although the pattern of warming is
218 similar to previous studies, the magnitude is much reduced (Fig. 3c), approximately in line
219 with expectations should a linear relationship between ridge depth and high latitude warming
220 be assumed. The AMOC shows a strong increase in northward heat transport on both sides of
221 the ridge (Fig. 4c), showing just how significant a restriction the Greenland-Scotland ridge is
222 to Atlantic overturning.

223

224 Introducing a land mass in the Barents Sea blocks off one of the two currents that extend the
225 Norwegian Current from northernmost Norway into the Arctic. Thus, the relatively warm and
226 saline waters of the Norwegian Current are all deflected northwards towards the Fram Strait.
227 This increase in regional heat transport, combined with a significant sea ice feedback (Table
228 3) leads to a large warming in the region between the Fram Strait and Norway and spilling
229 over into the European sector of the Arctic Ocean (Fig. 3d). Despite this high latitude
230 warming the AMOC is significantly reduced in this simulation (Fig. 4d). This reflects the fact
231 that the warming is due to a change in the geometry of the Nordic Seas and probably also the
232 reduced thermohaline forcing due to strong high latitude warming. This clearly shows that
233 changes in SST and AMOC need not necessarily be positively correlated when other factors
234 are also changing (Zhang et al., 2013 cf. Raymo et al., 1996).

235

236 3.2 Overall impact on simulated Pliocene climate.

237 In the North Atlantic, small shifts in the North Atlantic Drift current cause relatively large
238 temperature signals, but these largely cancel out when all of the factors are incorporated (Fig.
239 5a). Significant impacts on AMOC (up to 5Sv) are produced by changes in North American
240 rivers, introducing significant volumes of freshwater into the Labrador Sea, which is exported
241 directly into the key latitudes for AMOC. Despite strong competing impacts from the
242 Greenland-Scotland ridge, overturning is significantly weaker when all the palaeogeographic
243 changes are incorporated. This causes the North Atlantic to cool compared to PlioMIP
244 simulations, although this cooling is small when all the factors are included (Fig. 5a).

245

246 Large portions of the Nordic Seas (Iceland Sea, Greenland Sea and the Norwegian Sea) are
247 warmed by a number of palaeogeographic factors (Baltic Rivers, Barents Sea and Greenland-
248 Scotland ridge) and the overall warming seems to be largely cumulative (Fig. 6). Local
249 impacts from the introduction of the Eridanos River mean that coastal regions of the
250 Norwegian Sea are cooler than the standard Pliocene simulations (Fig. 5a). For Baltic rivers
251 and Greenland-Scotland ridge changes the increased temperatures in the Nordic Seas are due
252 to increased overall overturning north of the Greenland-Scotland ridge. This is especially
253 strong when the depth of the ridge is increased, driving warmer waters further into the Nordic
254 Seas (Fig. 5a). The warming is further enhanced by the introduction of land over the Barents
255 Sea, which prevents the North Atlantic sourced waters from spreading eastwards and
256 concentrates the warming around Svalbard and through the Fram Strait into the Arctic (Fig.
257 5a).

258

259 3.3 Impact of palaeogeographic changes on Atlantic temperature gradients.

260 The combined effect of all these palaeogeographic changes is to introduce a strong warming
261 to the Nordic Seas (Fig. 5a), where the original PlioMIP simulation showed little change or a
262 slight cooling (Fig. 2). This has the effect to completely alter the gradient of Pliocene
263 warming (Fig. 6), to the point where the latitude of maximum warming is no longer in the
264 North Atlantic, but in the Nordic Seas, 25° latitude northwards (Table 1). Although all the
265 individual simulations showed some change, this dramatic change is not seen in any of the
266 simulations where the individual palaeogeographic changes were implemented. This shows
267 the importance of incorporating all of the changes in model boundary conditions when
268 modelling past climates. However, the pattern is far from uniform, even in the Nordic Seas.
269 The Norwegian Current is actually cooler in the simulations with altered palaeogeography
270 compared to both the standard PlioMIP and pre-industrial simulations. The largest cooling in

271 the simulation with altered palaeogeography is in the waters around Iceland (Fig. 5a), which
272 is associated with greater flow over the Iceland-Scotland ridge and a significant northward
273 shift in North Atlantic Deep Water production.

274

275 The SSTs in the North Atlantic are reduced by these palaeogeographic changes (Fig. 5a), not
276 helping previously documented data-model mismatches (Dowsett et al., 2012), but the effect
277 is an order of magnitude less than the Nordic Sea warming (and the data-model mismatches).
278 The individual sensitivity simulations presented here show how previously unconsidered
279 forcings can have a significant impact on the SSTs (Fig. 3) and ocean circulation (Fig. 4) of
280 the North Atlantic and also how these different forcings can interact to enhance or reduce the
281 magnitude of change. The reconstructed strong warming in the North Atlantic occurs in one
282 of the regions of strongest variability in both the observed modern climate (Hurrell, 1995) and
283 Pliocene palaeoceanographic records (Lawrence et al., 2009). There may be further
284 palaeogeographic changes that could have a large impact on the North Atlantic, perhaps via
285 significant changes in global thermohaline circulation. For example changes in the Bering
286 Strait (Hopkins, 1967; Marincovich and Gladenkov, 2001), Isthmus of Panama (Lunt et al.,
287 2008b) or the Canadian Archipelago (Rybczynski et al., 2013). Despite the different
288 responses in different regions, the overall effect of these changes in palaeogeography is a
289 warming, particularly strong in the Nordic Seas.

290

291 3.4 Additional warming from orbital forcing

292 There are times during the Pliocene when orbital forcing was very similar to present day,
293 atmospheric carbon dioxide was similar to modern and the climate was warmer (Haywood et
294 al., 2013b). However, there are also intervals of significant increases in incoming solar

295 radiation in both the Northern and Southern Hemisphere (Dolan et al., 2011; Prescott et al.,
296 2014). This additional forcing is, in some way, incorporated into Pliocene temperature
297 records, but the magnitude of this effect has yet to be resolved (Haywood et al., 2013b). In
298 order to test how much additional orbital forcing could decrease the North Atlantic
299 temperature gradient, a further simulation was run with the maximum mid-Pliocene incoming
300 summer solar radiation (Dolan et al., 2011) on top of changes in palaeogeography. This
301 additional forcing increases SSTs throughout the Northern Hemisphere, with particularly
302 strong overall warming of up to 10°C in the high latitudes (Figure 5b). This is seen
303 particularly in the simulation of summer sea ice in the Pliocene, which all but completely
304 disappears when orbital forcing is added to the palaeogeographic changes (Table 3).
305 Although the strong orbital forcing during periods of the Pliocene acts to further enhance the
306 reduction in North Atlantic temperature gradients, the basic structure of the warming, which
307 peaks in the high latitude Nordic Seas, does not change (Figure 7).

308

309 3.5 Comparison to Pliocene temperature records

310 Due to polar amplification the high latitude sites are generally the drivers of reconstructed
311 reductions in polar amplification. In the marine realm, high latitude sites are rare and often
312 the measurements made in this region are of lowest confidence (Dowsett et al., 2012). Even if
313 we restrict ourselves to the higher confidence sites in the PRISM3 SST reconstruction, the
314 addition of the non-analogue palaeogeographic and orbital forcing clearly improves the data-
315 model comparison, both in magnitude of warming and the profile of warming (Fig. 7). The
316 problems of overestimating modelled warming in the tropics are made marginally worse, but
317 are more than compensated for by improvements in the higher latitudes.

318

319 Although high Arctic terrestrial records are rare and often poorly dated, the Pliocene
320 sediments at Beaver Pond on Ellesmere Island have been extensively studied and multi-proxy
321 analysis means it has well constrained temperature estimates (Ballantyne et al., 2010). The
322 strong warming shown in these temperature reconstructions are not reproduced by Pliocene
323 climate models and can only be reconciled when the most conservative and uncertain
324 reconstruction techniques are considered (Salzmann et al., 2013). The standard PlioMIP
325 simulation underestimates surface air temperature warming by 4-10 °C at the Beaver Pond
326 site. Including the additional palaeogeographic forcing does not improve the data-model
327 comparison, although the incorporated changes were chosen for their potential impact on the
328 North Atlantic and there could be local changes in the Canadian Arctic that we have not
329 considered here (Rybczynski et al., 2013). However, including the orbital forcing reduces the
330 mismatch by at least 2°C. It is possible that these temperature reconstructions are biased
331 towards the summer months, as the chemical proxies are the result of summer biased
332 biological productivity (Ballantyne et al., 2010). The additional summer orbital warming
333 increases the estimates of Pliocene warming at Beaver Pond to the levels suggested by the
334 proxy reconstructions (Table 2).

335

336 **4. Discussion**

337 4.1 Pliocene temperature gradients

338 The large reduction in meridional temperature gradients has been suggested as one of the key
339 factors in Pliocene warming (Dowsett et al., 1992; 2010; Fedorov et al., 2013) and is also a
340 major concern for future climate change (Simon et al., 2005; Anisimov et al., 2007). Pliocene
341 climate models have been shown to poorly reproduce evidence for large high latitude
342 warming and reduced temperature gradients (Ballantyne et al., 2012; Dowsett et al., 2013;

343 Salzmann et al., 2013). However, the simulations presented here show that previously
344 unconsidered non-analogue palaeogeographic changes can drive significant changes in the
345 meridional temperature gradient in the Pliocene North Atlantic (Fig. 7). There remain
346 significant data-model mismatches, although this study suggests that a more thorough
347 treatment of the palaeogeographic uncertainties, as well as planned improvements in the
348 treatment of palaeoclimatic variability (Haywood et al., 2013b), could resolve these
349 discrepancies.

350

351 The meridional gradients in the North Pacific are less well constrained, although strong
352 warming occurs in the records from the California margin and the Kuroshio Current (Dekens
353 et al., 2007; Dowsett et al., 2012; Fedorov et al., 2013). Any data-model mismatch in these
354 areas is going to be complicated by the fact that they are major upwelling zones, a process not
355 well simulated with the current range of models used for Pliocene climate studies. However,
356 the models do a reasonable job of simulating mid-Pliocene warming in these regions
357 (Haywood et al., 2013a). The records suggest that the early Pliocene is slightly warmer than
358 the simulated mid-Pliocene (Fedorov et al., 2013), although this is probably in a period with
359 an open Isthmus of Panama (Coates et al., 1992). There are, however, further
360 palaeogeographic changes that could have occurred in the Pliocene North Pacific. The history
361 of changes in the geography of the Indonesian Throughflow (ITF) over the last 3 million
362 years is not well constrained. We know that there have not been large movements in the
363 relative position of the tectonic plates, but the ITF flows through narrow channels between
364 Asia, Australia and the Indonesian Archipelego. Relatively small changes in the depth of
365 these channels or the configuration of the islands could have large impacts on the Pliocene
366 climate (Karas et al., 2009). There is some geological evidence for changes in water depth
367 and the islands of the Indonesian archipelago (van Marle, 1991; Roosmawati & Harris, 2009),

368 suggesting potential impacts on Pliocene climate. More proximal to the North Pacific are
369 changes in the North American Pacific watershed (Mack et al., 2006), the heights of the
370 Rocky Mountains (Thompson and Fleming, 1996; Moucha et al., 2008), the marginal seas of
371 the Asian Pacific (Jolivet et al., 1994) and possibly the closure of the Bering Strait (Hopkins,
372 1967).

373

374 4.2 Palaeogeography in palaeoclimate models

375 Previous research into the impact of palaeogeography on past climates has focussed on the
376 role of ocean gateways on climate (Zhang et al., 2011; Lefebvre et al., 2012). While this has a
377 large potential for shifting global circulation patterns and impacting global heat transports, it
378 is far from the only important climate model boundary condition that can significantly alter
379 past climates. This is especially true of climatically sensitive regions, such as the North
380 Atlantic, where this study has shown boundary condition changes can have significant
381 impacts. While altering key gateways within modelling studies has great value in
382 understanding the impacts of changes in the Earth system, comparing to palaeoenvironmental
383 data without reference to the potential uncertainties due to underrepresented
384 palaeogeographic change, leaves any mismatch with multiple possible explanations.

385

386 4.3 The Pliocene as a future climate analogue

387 The Pliocene remains the best palaeoclimate for understanding the workings of the Earth
388 system at ~400 parts per million concentrations of carbon dioxide. In no other past climate
389 was the Earth in as similar a condition to today, with carbon dioxide significantly raised from
390 pre-industrial levels. However, if the Pliocene is to provide us with lessons for the future of
391 the Earth, then a more thorough understanding of the climatic impact of non-analogue

392 changes in the Earth system is required. If this can be properly quantified then there is the
393 potential that the Pliocene could provide a good example of the climatic changes and impacts
394 that would be expected under sustained present-day levels of atmospheric carbon dioxide.

395

396 Lunt et al. (2010) provides the sort of framework that is required for any study that wishes to
397 use palaeoclimates as a future climate analogue. In this study, the modelled impact of
398 orographic changes on the climate of the Pliocene was removed from the calculations of
399 Earth System Sensitivity. Although the changes implemented in that study were less than
400 those that would be required to do a complete analysis of non-analogue changes, it provides a
401 simple framework to incorporate these changes into our understanding of the Pliocene in the
402 context of future climate change. This study suggests that there are further non-analogue
403 components to Pliocene warming, which need to be removed from considerations of Earth
404 System Sensitivity.

405

406 **5. Conclusion**

407

408 Palaeogeographic changes since the Pliocene significantly alter the modelled temperature
409 gradients in the North Atlantic, suggesting a role for them in producing the much warmer
410 than modern high latitude temperatures. None of these additional forcings will be a factor in
411 the future, calling into question the use of the Pliocene as a climate change analogue.
412 However, if we are to look for potential climates to understand the working of climate at
413 modern concentrations of atmospheric CO₂, then the mid-Pliocene remains the best
414 palaeoclimate. Lunt et al. (2010) provides a framework for incorporating these changes
415 within the context of using the Pliocene to understand the potential future response to

416 anthropogenic CO₂ increases. These results also show the importance of incorporating all the
417 palaeogeographic changes into palaeoclimate models, particularly when investigating
418 regional climate or doing data-model comparisons.

419

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602

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613

614 **Author Information**

615 Climate model results are archived at the University of Leeds and are available upon
616 request. The author declares no competing financial interests. Correspondence and
617 requests for materials should be addressed to D.J.H. (eardjh@leeds.ac.uk).

618

619 **Tables**

620

621 **Table 1**

622 Changes implemented in the various simulations used in this study and their impact on
623 Pliocene warming along the transect plotted in Figure 1. Stated palaeogeographic changes are
624 from standard PlioMIP simulation, except for that simulation, which is compared to a
625 standard pre-industrial simulation.

Simulation	Palaeogeographic Change	Peak SST warming along transect in North Atlantic, Nordic Seas (°C)	Latitude of peak warming along transect (°N)
PlioMIP	CO₂, vegetation, ice sheets, orography	4.57, 0.59	58.1
North American rivers	Rerouting of Mackenzie, St. Lawrence and Mississippi rivers	3.95, 0.42	55.6
Baltic rivers	Reinstatement of Eridanos River	3.19, 2.03	58.9
Greenland - Scotland ridge	Lowering of ridge (~300m)	3.65, 1.89	58.1
Barents Sea	Above sea level	3.18, 2.89	58.1
Altered Palaeogeography	All the above	4.05, 4.70	83.1
Altered Palaeogeography + orbital forcing	All the above	5.06, 6.34	78.1

626

627

628

629

630 **Table 2**

631 Modelled Pliocene surface air temperature warming for the high latitude terrestrial site at
 632 Beaver Pond, located at 78N, 82W. The proxy record suggests Pliocene warming of +19
 633 ± 1.9 °C, although this may reflect summer warming due to proxy biases (Ballantyne et al.,
 634 2010).

Reconstruction	Annual Mean Pliocene warming at Beaver Pond (°C)	July Mean Pliocene warming at Beaver Pond (°C)
PlioMIP	+11.1	+13.3
Altered Palaeogeography	+10.7	+13.0
Altered Palaeogeography + orbital forcing	+13.2	+19.3

635

636 **Table 3**

637 Modelled Pliocene Arctic September sea ice area

Simulation	September Arctic sea ice area (million km²)
Pre-Industrial	7.84
PlioMIP	1.95
North American rivers	2.08
Baltic rivers	2.06
Greenland - Scotland ridge	1.58
Barents Sea	1.74
Altered Palaeogeography	1.82
Altered Palaeogeography + orbital forcing	0.13

639

640 **Figure Captions**

641

642 **Fig. 1.** Novel Pliocene palaeogeographic changes, as incorporated into the HadCM3
643 simulations. Panel A shows changes in North American rivers flowing out through the
644 Hudson Bay river basins (Pliocene in solid cyan line, pre-industrial in dashed cyan line)
645 and the Baltic river basins (dark blue), whose outflow has been diverted to the southern
646 North Sea. Sea surface temperatures shown in the oceans are from the standard
647 PlioMIP HadCM3 simulation. Panel B shows changes in the Greenland-Scotland ridge
648 implemented in the model and Panel C show the area raised above sea level in the
649 Barents Sea simulations. Dashed black line through the North Atlantic and Nordic Seas
650 in Panel A is the transect used for plotting sea surface temperature gradients in Figures
651 6 and 7.

652

653 **Fig. 2.** (a) Sea surface temperature and (b) AMOC changes between the standard Pliocene
654 and pre-industrial HadCM3 simulations. These simulations are based on the PlioMIP
655 alternate experimental design (Haywood et al., 2011b; Bragg et al., 2012).

656

657 **Fig. 3.** North Atlantic sea surface temperature warming. Fields shown are relative to the
658 PlioMIP standard simulation. Each of the simulations incorporates a single
659 palaeogeographic change, (a) North American rivers, (b) Baltic rivers, (c) Greenland-
660 Scotland ridge and (d) Barents Sea. Stippling indicates areas where changes are not
661 significant to a 95% confidence level according to the Student's t-test.

662

663 **Fig. 4.** Changes in Atlantic Meridional Overturning Circulation in response to individual
664 changes in palaeogeographic boundary conditions. Fields shown are relative to the
665 PlioMIP standard simulation. Each of the simulations incorporates a single
666 palaeogeographic change, (a) North American rivers, (b) Baltic rivers, (c) Greenland-
667 Scotland ridge and (d) Barents Sea.

668

669 **Fig. 5.** Sea surface temperature and AMOC results of simulations with all four
670 palaeogeographic changes. (a) Under modern orbital forcing and (b) including Northern
671 Hemisphere maximum orbital forcing, both relative to the PlioMIP standard simulation.
672 Stippling indicates insignificant temperature changes according to the Student's t-test.

673

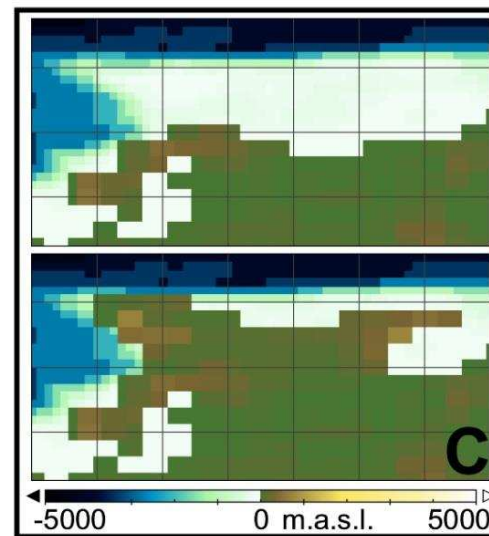
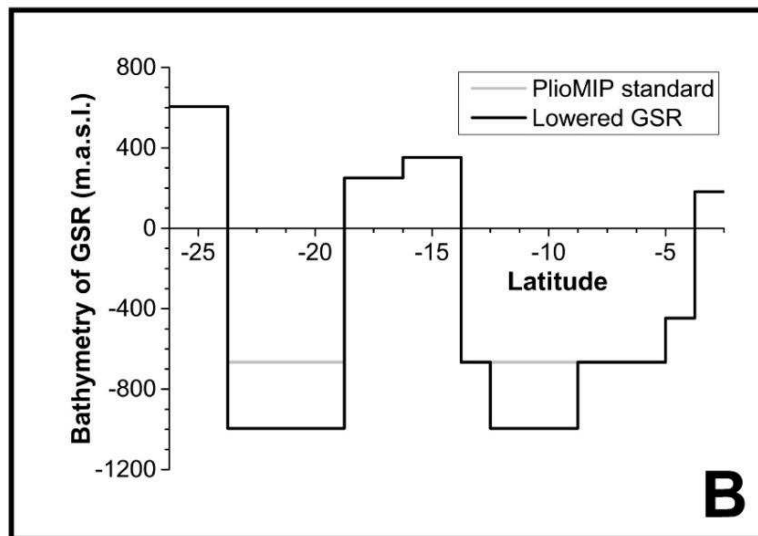
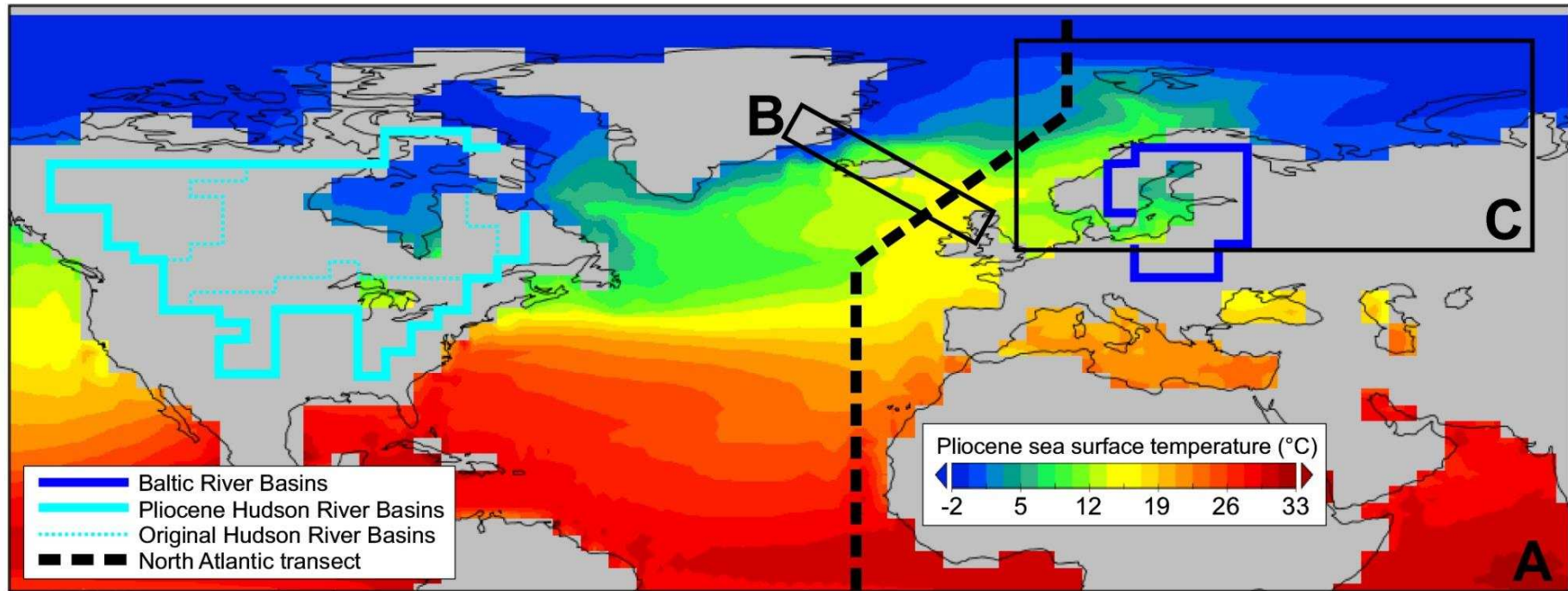
674 **Fig. 6.** Pliocene North Atlantic mean annual sea surface temperature gradient. Values shown
675 are relative to a standard pre-industrial simulation, along a 5° wide transect centred on
676 the line shown in Figure 1. Simulations with a single palaeogeographic change are
677 shown in grey, whilst the PlioMIP standard simulation and the simulation with all
678 palaeogeographic changes are shown in black and red respectively.

679

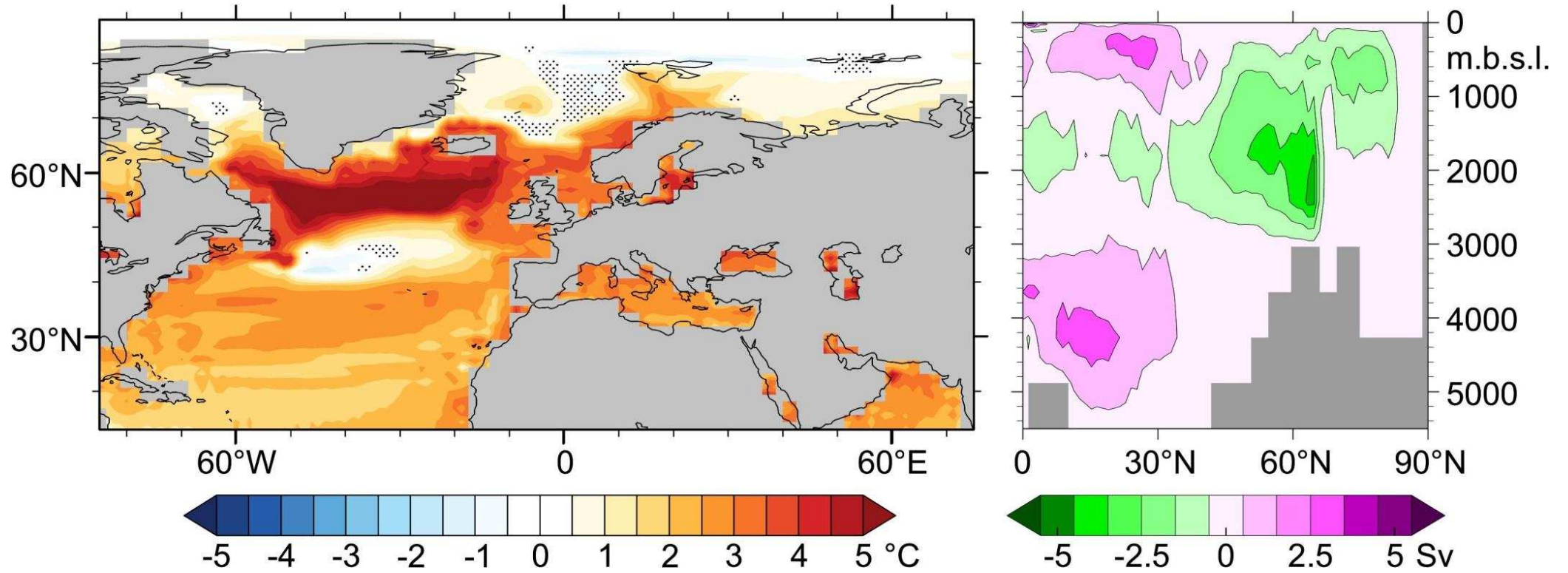
680 **Fig. 7.** Pliocene North Atlantic mean annual sea surface temperature warming transects, as
681 shown in Fig. 6. Dashed orange line is the August warming in the simulation with
682 altered palaeogeographical and orbital forcings. Grey line is the Pliocene warming
683 suggested by the PRISM3 sites in close proximity to the transect (Dowsett et al., 2010),
684 with the dashed portion showing where the gradient is defined by lower confidence
685 (and potentially summer biased; Robinson, 2009) sites (Dowsett et al., 2012). Although
686 the PRISM3 data is not directly comparable to the model simulations, as they represent

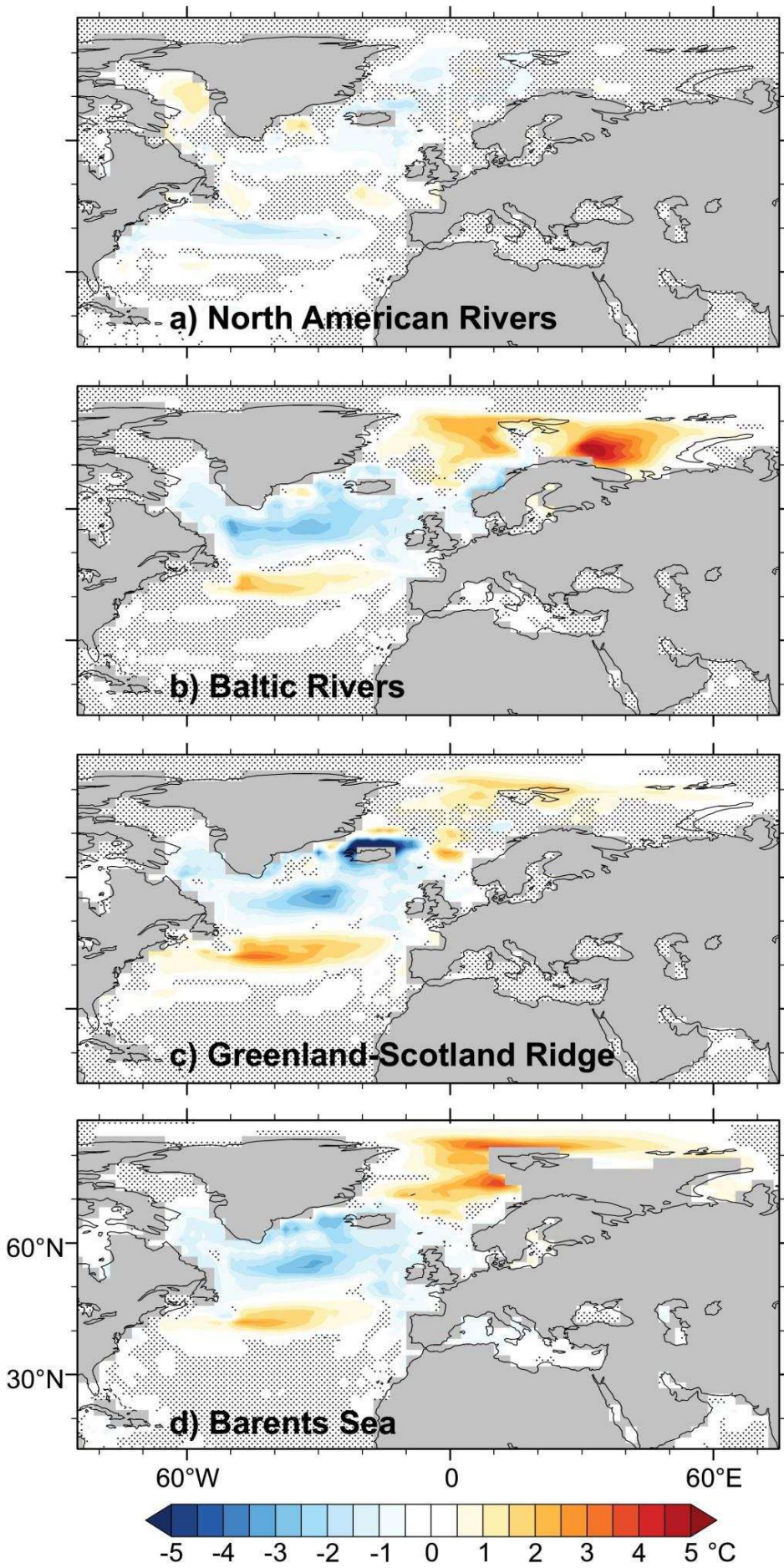
687 very different reconstruction techniques (Haywood et al., 2013b), the change in the
688 modelled profile shows that the additional palaeogeographic changes and orbital
689 forcing produces a Pliocene temperature gradient much closer to the SST
690 reconstructions.

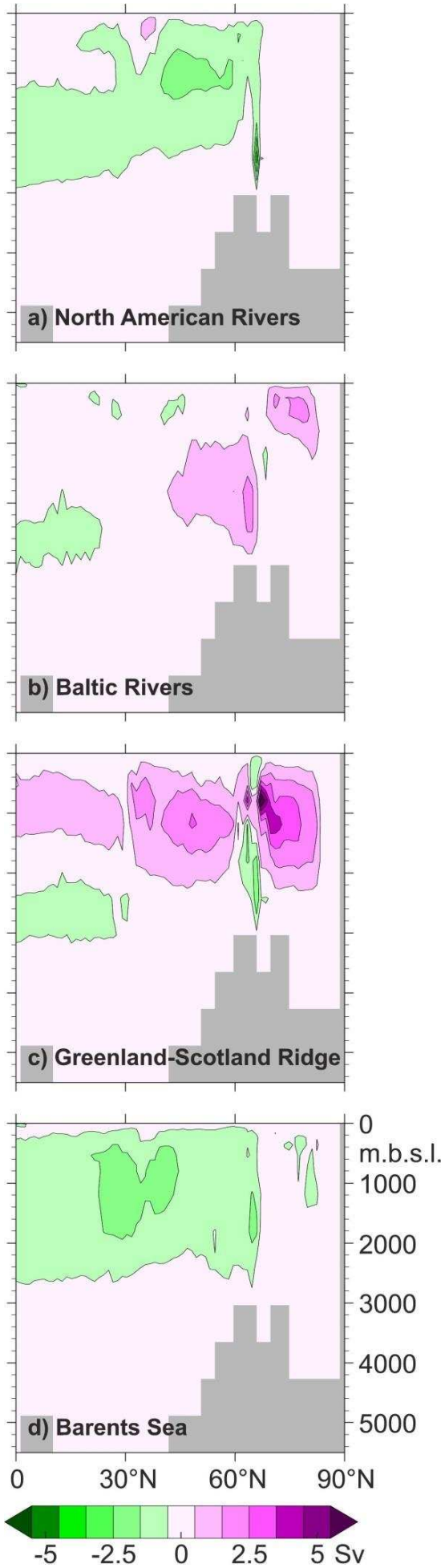
691 Figure 1.

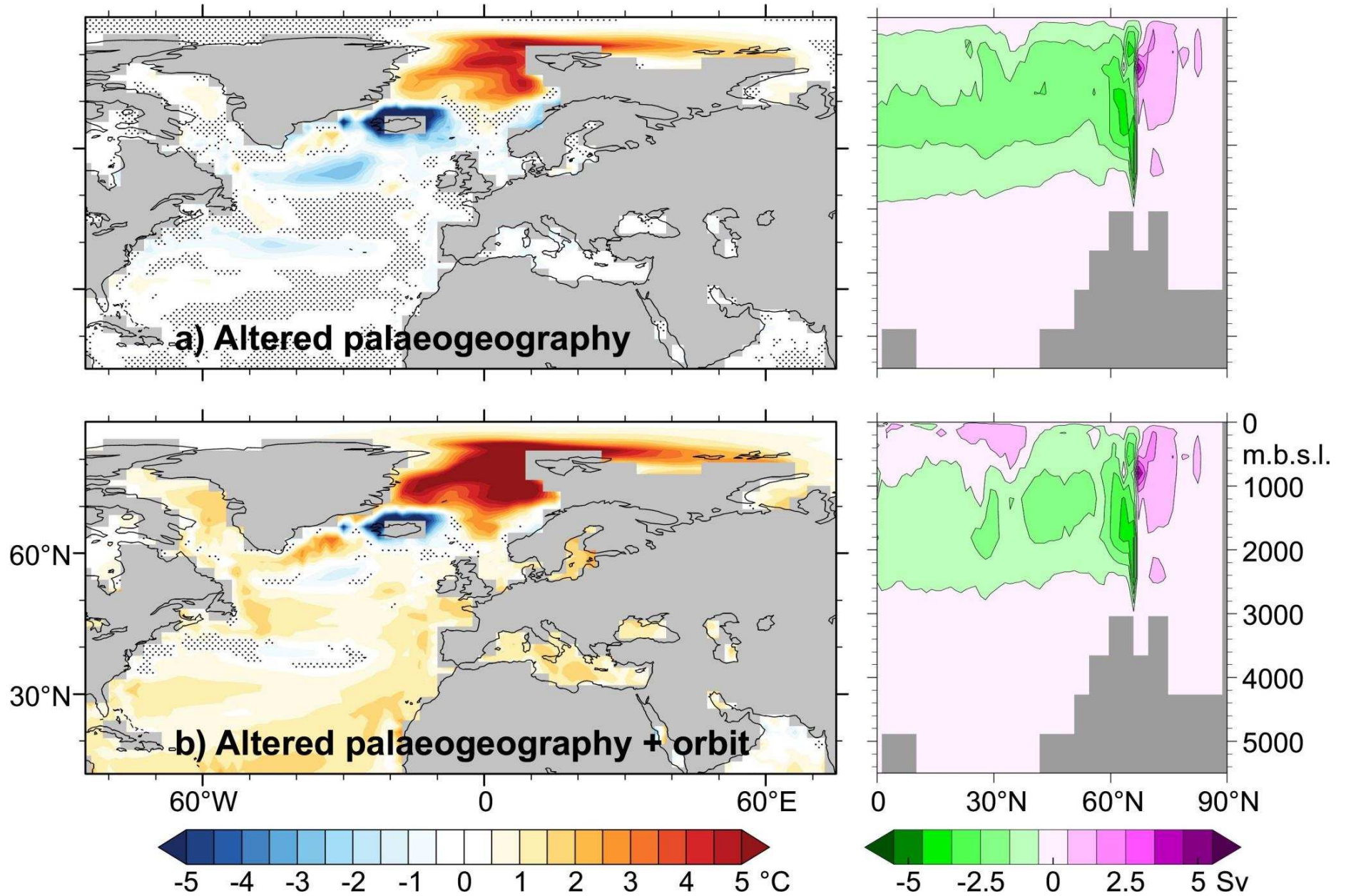


692 Figure 2.

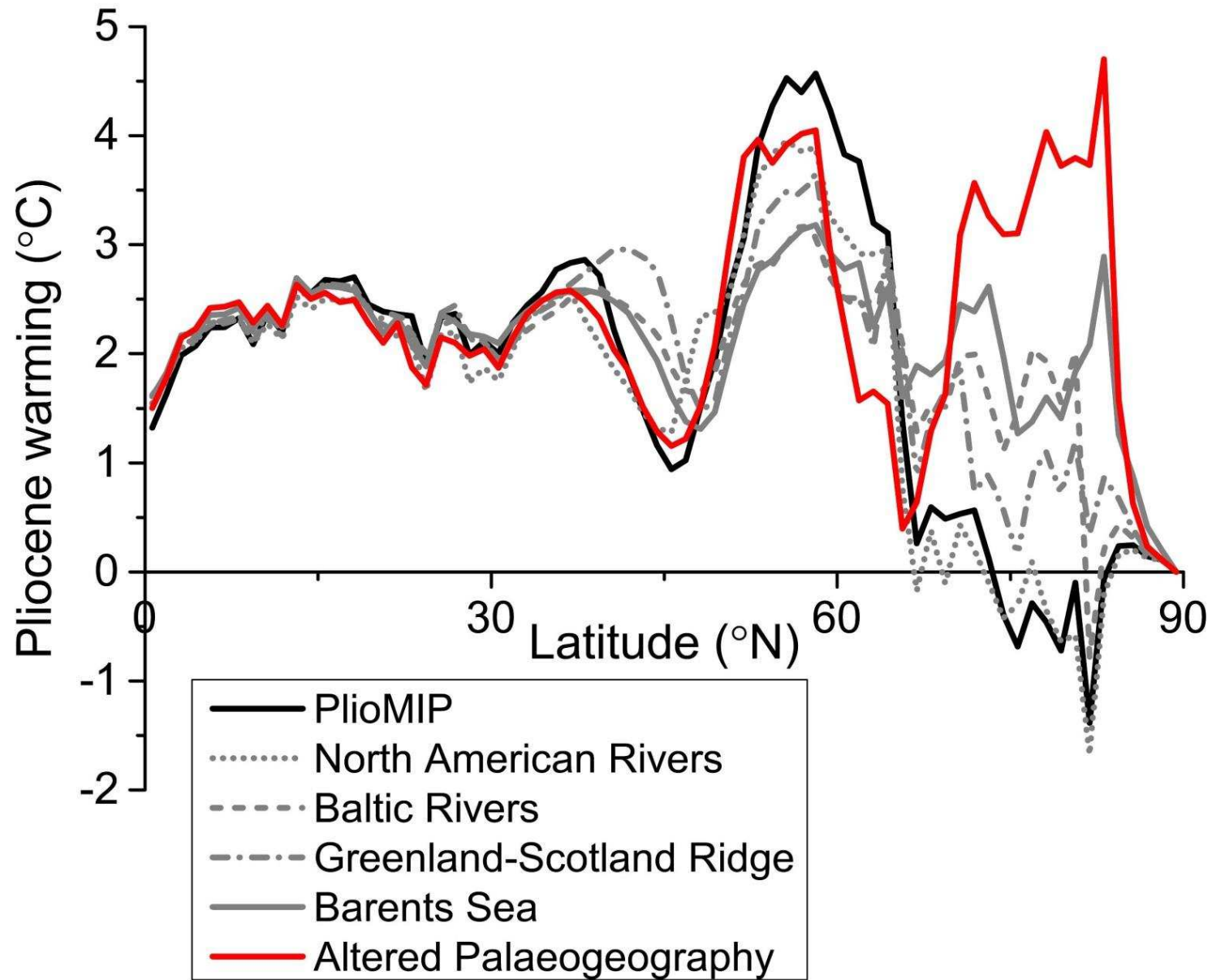








696 Figure 6.



697 Figure 7.

