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The ability of synovitis to predict structural damage in rheumatoid arthritis: a comparative study between clinical examination and ultrasound

Maxime Dougados,¹ Valérie Devauchelle-Pensec,² Jean François Ferlet,³ sandrine Jousse-Joulin,² Maria-Antonietta D'Agostino,⁴ Marina Backhaus,⁵ Jacques Bentin,⁶ Gérard Chalès,⁷ Isabelle Chary-Valckenaere,⁸ Philip Conaghan,⁹ Richard J Wakefield,¹⁰ Frédéric Etcheparre,¹¹ Philippe Gaudin,¹² Walter Grassi,¹³ Désirée van der Heijde,¹⁴ Xavier Mariette,¹⁵ Esperanza Naredo,¹⁶ Marcin Szkudlarek¹⁷

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Correspondence to Maxime Dougados, Hôpital Cochin, Rheumatology, Clinique de Rhumatologie B, Groupe Hospitalier Cochin, Paris 75014, France; m.doug@cch.aphp.fr

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ABSTRACT

Objectives To evaluate synovitis (clinical vs ultrasound (US)) to predict structural progression in rheumatoid arthritis (RA).

Methods Patients with RA.

Study design Prospective, 2-year follow-up.

Data collected Synovitis (32 joints (2 wrists, 10 metacarpophalangeal, 10 proximal interphalangeal, 10 metatarsophalangeal)) at baseline and after 4 months of therapy by clinical, US grey scale (GS-US) and power doppler (PD-US); x-rays at baseline and at year 2.

Analysis Measures of association (OR) were tested between structural deterioration and the presence of baseline synovitis, or its persistence, after 4 months of therapy using generalised estimating equation analysis.

Results Structural deterioration was observed in 9% of the 1888 evaluated joints in 59 patients. Baseline synovitis increased the risk of structural progression: OR=2.01 (1.36–2.98) $p<0.001$ versus 1.61 (1.06–2.45) $p=0.026$ versus 1.75 (1.18–2.58) $p=0.005$ for the clinical versus US-GS versus US-PD evaluation, respectively. In the joints with normal baseline examination (clinical or US), an increased probability for structural progression in the presence of synovitis for the other modality was also observed (OR=2.16 (1.16–4.02) $p=0.015$ and 3.50 (1.77–6.95) $p<0.001$ for US-GS and US-PD and 2.79 (1.35–5.76) $p=0.002$) for clinical examination. Persistent (vs disappearance) synovitis after 4 months of therapy was also predictive of subsequent structural progression.

Conclusions This study confirms the validity of synovitis for predicting subsequent structural deterioration irrespective of the modality of examination of joints, but also suggests that both clinical and ultrasonographic examinations may be relevant to optimally evaluate the risk of subsequent structural deterioration.

INTRODUCTION

In rheumatoid arthritis (RA), structural damage is associated with irreversible pain and functional impairments.^{1–3} The presence of synovitis (mainly the number of swollen joints at physical examination) has been recognised as one of the most important predisposing factor of subsequent structural damage.^{4–6}

The current objective of RA treatment is to improve patient symptoms such as pain, functional

impairment and fatigue, and to prevent subsequent damage. The intensity of patients' symptoms is correlated with the level of inflammation, but at a lower magnitude than objective signs of inflammation, such as the number of swollen joints. Such a switch in the main aim of the current indication of drugs in RA (ie, prevention vs improvement) should result/has resulted in changes when initiating/intensifying disease modifying antirheumatic drug therapy in daily practice.^{7,8} In particular, specific attention should be given to the presence of synovitis.

Synovitis is usually evaluated via a physical examination.⁹ During the last decade, ultrasound (US) evaluation of synovitis has demonstrated its superiority versus physical examination in terms of face validity and reliability.^{10–13} Because the main interest of the clinician in evaluating synovitis is to understand the level of risk of the patient with respect to subsequent structural deterioration, specific attention has to be given to the capacity for US evaluation of synovitis to predict subsequent progression of structural damage.

Several longitudinal studies have demonstrated a link between the presence of synovitis detected by US at a certain point in time and subsequent structural deterioration. However, most of these studies have considered these two variables (eg, synovitis and structural damage) at the patient level, evaluating the correlation between the number of synovitic joints at a certain time-point and the subsequent structural deterioration using a radiological scoring system including multiple and often different joints, such as those included in the modified Sharp Score,^{14–18} and only a few of them have evaluated this correlation at the level of the joints.^{19,20}

Most of these studies have not compared the magnitude of this link or its predictive validity with regard to the particular modality of evaluation of joints (eg, clinical examination vs US modality). Moreover, most of these studies have not evaluated whether the disappearance of synovitis after treatment in the short term prevents subsequent structural deterioration.

This context prompted us to conduct a 2-year longitudinal study aimed at evaluating the capacity of synovitis to predict subsequent radiological damage with respect to the modality of examination of joints in patients with RA.



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PATIENTS-METHODS

Study design

The study comprised two phases: the first was a prospective, multicentre, international 4-month-duration follow-up of patients with RA requiring a tumour necrosis factor (TNF)-blocker according to the opinion of their treating rheumatologist. The results of this study aimed at evaluating psychometric properties (including reliability, external validity, sensitivity to change and discriminant capacity) of different synovitis scoring systems has been previously reported.²¹ The second part of the study consisted in a radiological evaluation (hands and feet) 2 years after the inclusion of the patients in the study.

This study was approved by the appropriate ethical committees. All patients gave their written informed consent before enrolling for the study.

Patients

Adult patients fulfilling the 1987 American College of Rheumatology criteria for RA²² were eligible for the study if, in the opinion of the investigators, he/she required a TNF-blocker therapy. The minimum level of disease activity was defined by the number of swollen joints at physical examination ≥ 6 .

Centres

To be eligible, the centres had to fulfil the following criteria: (1) a sonographer (either a radiologist or a rheumatologist) with experience (at least 70 different examinations) in evaluating synovitis; (2) a clinician (either a rheumatologist or a research nurse) with experience in clinical metrology in RA; (3) independent examination of synovitis (eg, for a single patient, the sonographer could not have access to the results of the clinical examination and vice versa); (4) monitoring of the patient during the 4-month period of study by the same investigators (eg, a single sonographer and a single clinician for each individual patient over the 4-month period of the study).

Collected data

Thirty-two joints were systematically evaluated (clinical and ultrasonographic evaluation before (baseline) and after 4 months of TNF-blockers therapy, radiological evaluation at baseline, and after 2 years of follow-up).

The evaluated joints included the metacarpophalangeal (MCP) ($\times 10$), the proximal interphalangeal (PIP) ($\times 10$), the wrists ($\times 2$) and the metatarsophalangeal (MTP) ($\times 10$) joints. For each joint, the clinical scoring system was a semiquantitative variable (eg, 0=definitely no synovitis, 1=doubtful, 2=yes moderate, 3=yes obvious and important).

The US evaluation was performed in a darkened room. Systematic multiplanar grey-scale (GS) and power doppler (PD) examinations were carried out with commercially available real-time scanners (eg, ESAOTE Technos MPX, ESAOTE MyLab, TOSHIBA APLIO, PHILIPS HD11, BK Mini Focus) using multifrequency linear transducers (7–12 MHz). Ultrasonography scanning techniques, GS and PD machine settings, and definitions of abnormality were standardised among investigators prior to the study during a 1.5-day meeting.²³ It has to be noted that the fingers were evaluated only at the dorsal side. The US scanning scoring method has been described previously.^{24–29} Synovitis was defined according to the Outcome Measures in Rheumatology Clinical Trials published definitions.^{26 27 30 31} Both a GS and a PD examination were recorded for each joint.

GS synovitis scoring was evaluated using a 4-grade scale from 0 to 3 with the following subjective definitions for each category:

- ▶ grade 0 = absence of synovial thickening;
- ▶ grade 1 = mild synovial thickening;
- ▶ grade 2 = moderate synovial thickening;
- ▶ grade 3 = marked synovial thickening.

PD synovitis scoring was evaluated also using a 4-grade scale from 0 to 3 with the following definition for each category:

- ▶ grade 0 = absence of signal, no intra-articular flow;
- ▶ grade 1 = mild, one or two vessels signal (including one confluent vessel) for small joints and two to three signals for large joints (including two confluent vessels);
- ▶ grade 2 = moderate confluent vessels ($>$ grade 1) and less than 50% of normal area;
- ▶ grade 3 = marked vessels' signals in more than half the synovial area.

The radiological evaluation (plain x-rays of hands, wrists and feet) was performed by a single experienced reader (VD),^{32–36} aware of the chronologies of the films but unaware of the clinical and US findings.

For each of the 32 joints, and for each-time point (eg, baseline and 24 months), the scoring system for both erosion and joint space narrowing (JSN) was a semiquantitative variable (scored 0=absent, 1=doubtful, 2=obvious but moderate, 3=obvious and important). Moreover, there was also an evaluation concerning the global assessment using a binary variable for each joint and for both erosion and joint space narrowing (scored 0=no change, 1=worsening).

Statistical analysis

The statistical analysis was performed on patients with a complete dataset (clinical and US evaluation at baseline, and after 4 months of follow-up and radiological evaluation at baseline, and after 2 years of follow-up).

The first step of the statistical analysis consisted in the tabulation of the main baseline characteristics of the patients and the evaluated joints using mean and SD for the continuous, and percentages for dichotomous variables.

The probability of structural deterioration with regard to the specific joint region was estimated by calculating the percentage of joints with radiological progression, defined by either the occurrence or worsening in either erosion or joint space narrowing score after the 2-year follow-up period in each of the following four anatomical regions (wrists, MCP, PIP, MTP) without performing any statistical test.

The probability of structural deterioration with regard to the presence of synovitis at baseline was estimated by comparing the percentage of joints with radiological progression defined by either occurrence or worsening in either erosion or JSN in the joints with or without synovitis at baseline for the three different baseline synovitis evaluations (clinical, US-GS and US-PD).

The risk of progression with respect to the presence of synovitis at baseline was estimated by calculating the OR with its 95% CI. These measures of association were tested using generalised estimating equation analysis adjusting for within-patient correlation, and also other factors (age, gender, disease duration, baseline tender joint count, swollen joint count, ESR joint localisation (eg, PIP, MCP, wrists, MTP) and baseline structural damage). Such probability of structural deterioration with regard to the presence of synovitis at baseline either at physical examination, US-GS or US-PD evaluation was initially calculated in the whole population.

The second step of the statistical analysis was focused on the subgroup of joints considered normal by the physicians (one analysis) or the US (another analysis) at baseline, calculating the predictive validity of US evaluation in the group of joints with normal baseline physical examination (one analysis) and also calculating the predictive validity of clinical evaluation in the group of joints with normal US (GS and PD) baseline examination.

The third step tried to check whether a positive short-term treatment effect on synovitis permits to prevent a subsequent structural deterioration. For this purpose, we considered as 'baseline abnormal joint', joints with a clinical or US score either >0 or >1 (eg, considering as 'normal' the joints with a 'doubtful' clinical examination or only with 'mild synovial thickening' at US-GS examination, or only 'mild' US-PF findings). Thereafter, the joints were split into two categories with regard to the normalisation of the examination after 4 months of anti-TNF therapies, calculating the probability of observing radiological progression after 2 years with respect to this treatment effect.

RESULTS

Patients and study course

Of the 77 recruited patients in the first part of the study, one had no baseline US examination and was therefore excluded from this study. Seventeen additional patients did not return for the 2-year follow-up visit resulting in 59 patients with a complete dataset.

The main characteristics of the patients (data provided are either % or mean±SD) (age: 56±12 years old, gender: 81% female, body mass index: 25±5 kg/m²) of their disease (disease duration: 10±8 years, rheumatoid factor positivity: 73%, history of surgery for RA (eg, articular prosthesis, arthrodesis or resection) 24%) and of their treatment (median number of previous disease modifying antirheumatic drugs: 3.0, history of TNF blockers: 32%) were similar to the whole population of 77 screened patients (data not shown). The disease activity evaluated by the disease activity score in 28 joints was quite high at entry (5.1±1.3) and dropped to 3.4±1.3 after 4 months of anti-TNF therapy (etanercept, n=34; adalimumab, n=23, infliximab, n=2). The same improvement was observed in terms

Table 1 Baseline characteristics of the 1888 evaluated joints of the 59 studied patients with rheumatoid arthritis

Characteristics	Joints				
	Wrists	MCP	PIP	MTP	Total
Number	118	590	590	590	1888
Clinical synovitis					
0 = absent	19.7*	49.0	56.8	77.1	58.4
1 = doubtful	23.1	13.3	11.7	12.2	13.1
2 = present, moderate	38.5	23.1	21.0	8.0	18.7
3 = present, important	18.8	14.6	10.5	2.7	9.9
US grey-scale synovitis†					
Grade 0	17.9	49.5	62.5	44.3	50.0
Grade 1	35.0	15.1	11.4	26.2	18.6
Grade 2	27.4	13.6	10.8	15.3	14.1
Grade 3	19.7	21.8	15.3	14.2	17.3
US Power Dopplert					
Grade 0	40.2	71.6	82.5	78.0	75.1
Grade 1	17.9	9.2	8.5	9.3	9.5
Grade 2	32.5	13.9	4.9	9.3	10.8
Grade 3	9.4	5.3	4.1	3.4	4.6

*numbers provided are the percentage of joints in a specific category.

†see Methods section for detailed description of the ultrasonographic grading systems. MCP, metacarpophalangeal; MTP, metatarsophalangeal; PIP, proximal interphalangeal; US, ultrasound.

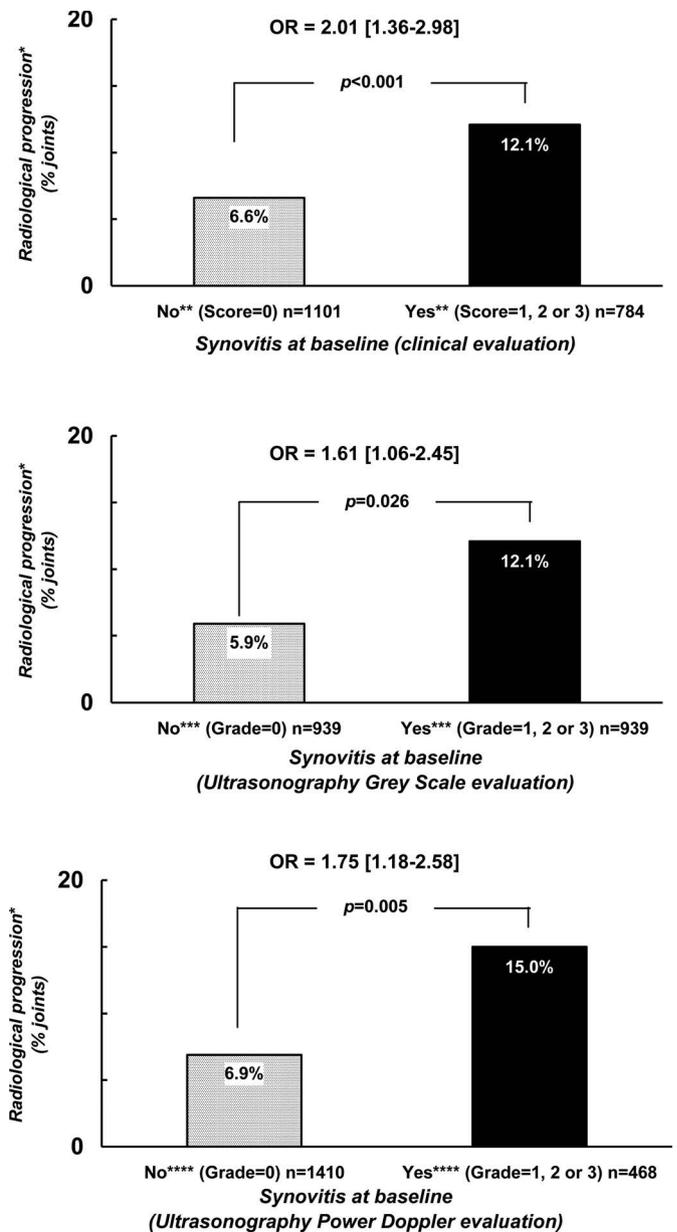


Figure 1 Probability of radiological progression after a 2-year follow-up period with regard to the presence of baseline synovitis defined by either clinical or ultrasonographic examination. *Radiological progression = occurrence or worsening of erosion or joint space narrowing. **Score of 'clinical' synovitis (0 = no synovitis; 1 = doubtful synovitis; 2 = obvious and moderate synovitis; 3 = obvious and important synovitis). ***Score of ultrasonography grey-scale evaluation: 0 = absence of synovial thickening; 1 = mild synovial thickening; 2 = moderate synovial thickening; 3 = marked synovial thickening. ****Score of ultrasonographic Power Doppler evaluation: 0 = absence of signal, no intra-articular flow; 1 = mild, one or two vessels' signals (including one confluent vessel) for small joints and two to three signals for large joints (including two confluent vessels); 2 = moderate confluent vessels (>grade 1) and less than 50% of normal area; 3 = marked vessels' signals in more than half the synovial area.

of functional disability with a health assessment questionnaire score decreasing from 1.4±0.7 to 1.0±0.7 between baseline and the 4-month follow-up visit, respectively.

Table 1 summarises the main characteristics of the 1888 evaluated joints (eg, 32 joints in 59 patients) confirming a higher sensitivity of US in detecting synovitis, particularly in the feet.

Clinical and epidemiological research

The probability of observing radiological progression defined by the occurrence of the worsening in either erosion or joint space narrowing was quite low (eg, 9% of the 1888 evaluated joints). Such probability was higher for the wrists (16.2%) and MTP joints (11%) than for the other joints (7.0% and 7.5% for the MCP and PIP, respectively).

Correlations between baseline synovitis evaluations and radiological structural deterioration after 2 years of follow-up.

The online supplementary tables 1, 2 and 3 provide the data observed from the different analyses with respect to the definition of radiological structural deterioration (considering either the changes in radiological erosion, radiological JSN) and also with respect to the individual synovitis scoring systems at baseline.

The data observed when defining radiological progression by the occurrence or the worsening in either erosion or JSN, and when defining the presence of synovitis at baseline by a score of at least 1 on clinical or US scales are summarised in figure 1. Such results were still observed when excluding MTP 1 and 2 of the analyses (data not shown).

Regardless of what technique was used to assess synovitis, the presence of synovitis was predictive of subsequent structural deterioration. However, the observed OR with the 95% CI did not demonstrate a statistically significant difference in the magnitude of this link between the different methods of synovitis evaluation.

With respect to the subgroup analyses: the first subgroup of interest was defined by the 1101 joints the investigators considered as normal at physical examination (23 wrists, 288 MCP, 335 PIP and 455 MTP). Structural deterioration defined by either the occurrence or the worsening in either erosion or joint space narrowing was observed in 6.6% of the joints, but more frequently in the presence of any synovitis (grade >0) detected by US, either by GS (10.5%) or by PD (15.6%) (figure 2A) with OR

of 2.16 (1.16–4.02), $p=0.015$ and of 3.50 (1.77–6.95), $p<0.001$, respectively.

The second subgroup of interest was defined by the 938 joints that US considered as normal according to both GS and PD techniques (21 wrists, 290 MCP, 369 PIP, 258 MTP). Structural deterioration defined by either the occurrence or the worsening in either erosion or JSN was observed in 5.9% of the joints, but more frequently in the presence of any synovitis (grade >0) detected by physical examination (9.9%) with an OR of 2.79 (1.35–5.76), $p=0.005$ (figure 2B).

Finally, we also evaluated the probability of observing radiological progression after 2 years of follow-up with respect to the persistence of synovitis after 4 months of therapy. The two analyses conducted (the one conducted in the joints defined as abnormal by a score above 0 and the one by a score above 1), showed a trend or statistical significance suggesting more frequent structural progression in case of persistent synovitis. In the analysis considering an abnormal baseline joint as a joint with a score of at least 1 (784, 939 and 468 joints at clinical, US-GS and US-PD evaluations, respectively), radiological progression was observed more frequently in the case of persistent synovitis (16.6% vs 8.9% for clinical evaluation (OR=1.26 (0.79–2.02), $p=0.336$; 17.1% vs 5.9% for US-GS evaluation (OR=2.41 (1.24–4.67), $p=0.010$) and 20.7% versus 11.9% for US-PD evaluation (OR=1.63 (0.75–3.57), $p=0.218$ in joints with, versus without persistent synovitis, respectively). These findings were even more pronounced when considering abnormal baseline joints by a score of at least two (figure 3) (538, 589 and 289 joints at clinical, US-GS and US-PD evaluations, respectively). Radiological progression was observed more frequently in case of persistent synovitis after 4 months (15.7% vs 7.5% for clinical evaluation (OR=1.70 (0.93–3.12), $p=0.086$); 19.3% versus 6.5% for US-GS evaluation (OR=3.14 (1.50–6.55), $p=0.002$) and 21.1% vs 8.0%

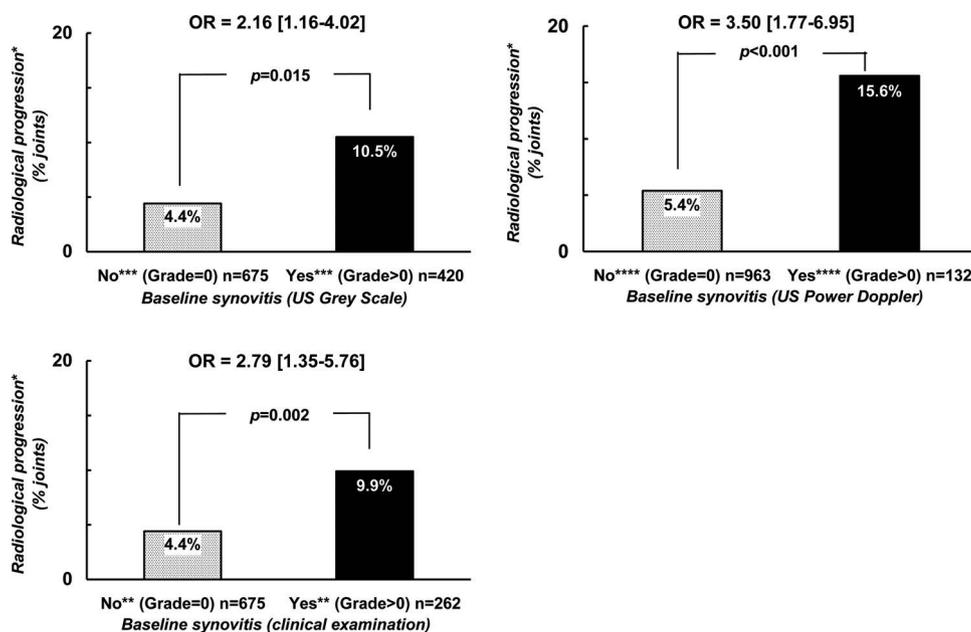


Figure 2 Probability of radiological progression after a 2-year follow-up period with regard to the presence of synovitis at baseline and the modality of joint examination in two different groups of joints. *Radiological progression = occurrence or worsening of erosion or joint space narrowing.

**Score of 'clinical' synovitis (0 = no synovitis; 1 = doubtful synovitis; 2 = obvious and moderate synovitis; 3 = obvious and important synovitis.

Score of ultrasonography grey-scale evaluation: 0 = absence of synovial thickening; 1 = mild synovial thickening; 2 = moderate synovial thickening; 3 = marked synovial thickening. *Score of ultrasonographic Power Doppler evaluation: 0 = absence of signal, no intra-articular flow; 1 = mild, one or two vessels' signals (including one confluent vessel) for small joints and two to three signals for large joints (including two confluent vessels); 2 = moderate confluent vessels (>grade 1) and less than 50% of normal area; 3 = marked vessels' signals in more than half the synovial area.

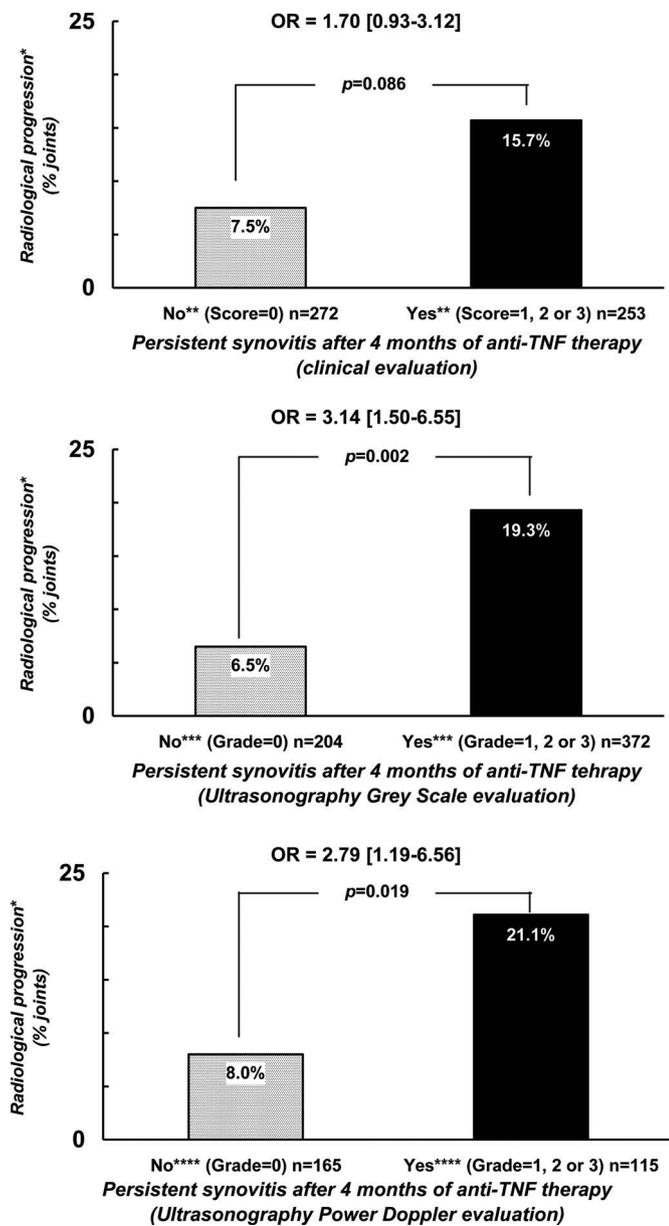


Figure 3 Probability of radiological progression after a 2-year follow-up period with regard to the capacity of a treatment to normalise the joint examination in terms of synovitis. *Radiological progression = occurrence or worsening of erosion or joint space narrowing. **Score of 'clinical' synovitis (0 = no synovitis; 1 = doubtful synovitis; 2 = obvious and moderate synovitis; 3 = obvious and important synovitis. ***Score of ultrasonography grey-scale evaluation: 0 = absence of synovial thickening; 1 = mild synovial thickening; 2 = moderate synovial thickening; 3 = marked synovial thickening. ****Score of ultrasonographic Power Doppler evaluation: 0 = absence of signal, no intra-articular flow; 1 = mild, one or two vessels' signals (including one confluent vessel) for small joints and two to three signals for large joints (including two confluent vessels); 2 = moderate confluent vessels (>grade 1) and less than 50% of normal area; 3 = marked vessels' signals in more than half the synovial area.

for US-PD evaluation (OR=2.79 (1.19–6.56), p=0.019) in joints with, versus without persistent synovitis, respectively.

DISCUSSION

This study confirms the ability of synovitis to predict subsequent structural deterioration in patients with RA. The data observed

in the whole set of joints suggest that such predictive validity is of similar magnitude whatever the modality of the joint examination (ie, whether clinical or US evaluation). The data observed in the subgroups of joints (with normal clinical or US baseline examination) suggest that both clinical and US evaluations are relevant to optimally evaluate the risk of subsequent structural deterioration. Finally, these data emphasise the importance of persistent synovitis since the probability of a 2-year structural progression was higher in cases of persistent synovitis after 4 months of anti-TNF therapy.

All the investigators involved in the collection of synovitis outcome measures were experienced in their particular areas. Moreover, all the investigators participated at a 1-day training session on assessment of clinical and US synovitis outcome measures.³⁷ The information given above can be seen as the strength of this study but may also reduce the generalisation to other sets of investigators.

The findings observed in our study are in accordance with previous studies showing a link between the presence of synovitis at a single point in time, and a subsequent structural deterioration. Most of the studies evaluating the predictive validity of synovitis detected by US have considered the two variables (synovitis and radiological changes) at the patient level by considering, for example, the total number of joints with synovitis and a summed radiological scoring system.^{14–16 18} We have focused our study by evaluating the risk of structural progression at the level of the joints. Both approaches are important. For example, in a prospective longitudinal evaluation of patients with RA in remission or in low disease activity state for at least 2 months, subclinical activity observed by PD signals on US were able to predict subsequent disease relapse.¹⁶

Our study was focused on the evaluation of the relationship between US and physical examination of joints in their detection of synovitis. It is well known that synovitis detected by US is probably more valid in terms of face validity, and in terms of sensitivity rather than physical examination.^{10–12} In this study, we confirmed the higher sensitivity for US examination in detecting synovitis, in particular in the front region of the feet (table 1). However, the predictive validity of an outcome measure is probably one of the most clinically relevant issues when considering potential surrogate markers. Our study confirms the predictive validity of synovitis in terms of subsequent structural deterioration, but does not demonstrate the superiority of US in comparison with physical examination. However, our study suggests that both the clinical and the US examinations are relevant to optimally evaluate the risk of subsequent structural deterioration. Such findings centre around the analyses of the subgroups of joints with either subclinical synovitis (synovitis detected only at US examination) or clinically detected non-US synovitis (synovitis detected only at clinical examination). In this study, we have evaluated the clinical and therapeutic parameters during the first 4 months of the study, but we were not in a position to collect such information during the remaining 20 months of the study. Such lack of information might limit the interpretation of the observed data. Another potential limitation of this study is its relatively small sample size. The findings concerning non-US synovitis might be explained by the particular design of our study with experienced clinicians or research nurses to identify patients with synovitis who have participated at the training session prior to the meeting. If this explanation is the correct one, such findings reinforce the interest of a better training to any health professionals (including the rheumatologists) in this area.^{38–40} Moreover, clinical examination might have considered periarticular phenomena such as tenosynovitis in their

joint count which have not been evaluated by US examination, and which might help predict long-term structural progression.

However, such findings (the non-superiority of US vs clinical examination) might also be explained by the difficulty of US examination justifying the current efforts to improve the training of ultrasonographers in this area.^{41–43} It might also reflect the particular US protocol employed in this study. In particular, the use of different US machines with relevant differences in terms of GS and PD performances, and also the limitation of the evaluation of the fingers at the dorsal side⁴⁴ might explain the non-superiority of US examination. Moreover, the wrist (which includes 17 different joints) has been evaluated in this study as a single joint.

In this study, we have also confirmed that a positive treatment effect (the disappearance of synovitis in the short term) is of benefit for the joint in terms of reducing subsequent structural deterioration. Our study confirms the one reported by Fukae *et al*,¹⁷ in which the researchers have split the finger joints into two categories regarding the presence or absence of improvement in PD-US vascularity of at least 70% after 8 weeks of either adalimumab or tocilizumab therapy. They showed that the risk of subsequent radiological progression was lower in the 'responder' joints. The confirmation of such findings in our study re-emphasises the importance of synovitis detection in daily practice, and also the definition of absence of synovitis as one of the major targets of our therapy.^{7 8}

Further studies are required in other sets of patients, and by other clinicians and ultrasonographers, in order to confirm our findings, in particular with respect to both the clinical and US definitions of synovitis.

¹Department of Rheumatology, Hôpital Cochin, Paris, France

²Department of Rheumatologie, CHU Brest, Brest, France

³RCTs, Lyon, France

⁴Rheumatology Department, APHP, Ambroise-Paré Hospital, Versailles-Saint Quentin en Yvelines University, Boulogne-Billancourt, France

⁵Department of Rheumatology and Clinical Immunology, Universital Hospital Charit, Berlin, Germany

⁶Department of Medicine, CHU Brugmann, Bruxelles, Belgium

⁷Department of Rheumatology, Hôpital Sud, CHU Rennes, Rennes, France

⁸Department of Rheumatology, Vandoeuvre les Nancy, Meurthe et Moselle, France

⁹Section of Musculoskeletal Disease, University of Leeds, Leeds, UK

¹⁰Section of Musculoskeletal Disease, Leeds Institute of Musculoskeletal Disease LIMM, University of Leeds, Leeds, UK

¹¹AP-HP, La Pitié-Salpêtrière Hospital, Rheumatology Department, Paris-VI University, Paris, France

¹²Department of Rheumatology, University Hospital, Grenoble, Hôpital Sud, Echirolles, France

¹³Cattedra di Reumatologia, Università Politecnica delle Marche, Ancona, Italy

¹⁴Medical Center, Leiden University, Leiden, The Netherlands

¹⁵Department of Rheumatology, Le Kremlin Bicêtre, Paris, France

¹⁶Department of Rheumatology, Severo Ochoa Hospital, Madrid, Spain

¹⁷Department of Rheumatology, Hvidovre University Hospital, Hvidovre, Denmark

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Competing interests

Patient Consent Obtained.

Provenance and peer review Not commissioned; externally peer reviewed.

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The ability of synovitis to predict structural damage in rheumatoid arthritis: a comparative study between clinical examination and ultrasound

Maxime Dougados, Valérie Devauchelle-Pensec, Jean François Ferlet, Sandrine Jousse-Joulin, Maria-Antonietta D'Agostino, Marina Backhaus, Jacques Bentin, Gérard Chalès, Isabelle Chary-Valckenaere, Philip Conaghan, Richard J Wakefield, Frédéric Etcheparre, Philippe Gaudin, Walter Grassi, Désirée van der Heijde, Xavier Mariette, Esperanza Naredo and Marcin Szkudlarek

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Correction

Dougados M, Devauchelle-Pensec V, Ferlet J, *et al.* The ability of synovitis to predict structural damage in rheumatoid arthritis: a comparative study between clinical examination and ultrasound. *Ann Rheum Dis* 2013;**72**:665–71. The author 'Frederic Etcheparre' should be 'Fabien Etcheparre.'

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