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Tsavdaridis, KD [orcid.org/0000-0001-8349-3979](http://orcid.org/0000-0001-8349-3979) (2014) Strengthening techniques: code-deficient steel buildings. In: Beer, M, Kougoumtzoglou, IA and Au, IS-K, (eds.) Encyclopedia of Earthquake Engineering. Springer Berlin Heidelberg . ISBN 978-3-642-36197-5

[https://doi.org/10.1007/978-3-642-36197-5\\_207-1](https://doi.org/10.1007/978-3-642-36197-5_207-1)

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# Strengthening techniques: code-deficient steel buildings

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**Published to:** Structural Engineering - Retrofitting and Strengthening, Encyclopedia of Earthquake Engineering, edited by M. Beer, E. Pateli, I. Kougiumtzoglou and I. Siu-Kui Au, Springer Verlag, 2013

## Contents

Synonyms .....	2
1. Introduction .....	2
2. Code-deficient buildings .....	3
3. Code-efficient buildings resistant to earthquake .....	4
4. Design concept for EC8.....	5
5. Introduction to strengthening techniques .....	5
5.1 Preliminary investigation.....	5
5.2 Assessing existing conditions and strengthening methods.....	6
5.2.1 Introduction .....	6
5.2.2 Determining load capacity of existing buildings .....	7
5.2.3 Increasing capacity of connections .....	8
5.2.4 Increasing flexural strength floor framing members.....	8
5.2.5 Increasing axial load capacity of columns .....	8
5.2.6 Dealing with weldability issues .....	8
5.2.7 Connecting new frame to existing frame .....	9
6. Detailed description of retrofitting and strengthening techniques.....	9
6.1 Introduction .....	9
6.2 Steel connections - fuses .....	9
6.2.1 Beam-to-column connections - Developing ductile behavior (fuse-concept) .....	9
6.2.2 Ductile behavior – Fuses in bracing members .....	13
6.2.3 Pin-Fuse joints .....	15
6.2.4 Replaceable links .....	15
6.3 Steel connections - stiffeners.....	17
6.3.1 Introduction .....	17
6.3.2 SidePlate™ connections .....	17
6.3.3 Stiffeners at connections .....	18
6.4 Steel frames – modifications .....	19
6.4.1 Frame modification at beam’s mid-span (fuse-concept).....	19
6.4.2 Cabling – Self-centering systems .....	20
6.5 Structural system – adding structural elements (bracings – walls – blocks).....	21
6.5.1 Introduction .....	21
6.5.2 Bearing walls .....	22
6.5.3 Steel plate shear walls.....	22
6.5.4 Braced frames .....	23
6.5.5 Non-buckling braces.....	24
6.6 Strengthening members .....	24
6.7 Materials .....	25
6.8 Energy dissipation and active/passive structural control systems .....	26

7. Summary .....	27
8. Cross References .....	27
9. References .....	28

## **Synonyms**

seismic retrofitting, repair, steel buildings, connections, fuses, braced frames, composite materials, strengthening techniques, cyclic behavior, energy dissipation, deformation capacity.

## **1. Introduction**

The design of steel buildings is often governed by lateral wind loads and not seismic loads. Also, statistics indicate that the number of fatalities during earthquakes due to failure of all types of steel buildings is significantly less compared to other types of buildings. Consequently, much effort has been invested to seismically retrofit buildings having unreinforced masonry walls and reinforced concrete frames. However, recently steel buildings have received significant attention, while this interest is mainly stems from the realization following the 1994 Northridge earthquake, that the welded beam-to-column connections in moment resisting frames were likely to fail in a brittle manner, prior the development of significant inelastic response; therefore negating the design intent and possibility causing safety hazards.

Recent research has expanded the variety and versatility of the tools available in the structural engineer's toolbox to meet the seismic performance objectives. This chapter provides an overview of how this research is expanding the available options for the seismic strengthening of steel buildings, by reporting on some selected research projects.

Structural strengthening and proving seismic resistance for steel building, but also masonry and reinforced concrete, may be done by first considering the direction of the weak links in the structures. For instance for a heavy building with large dead load, this would be the major factor that contributes to the increase of lateral seismic load. Therefore, it is reasonable to first consider reducing the overall existing dead load and then provide the necessary strengthening technique for the lateral load resisting system of the structure.

The use of structural steel in buildings' retrofitting can be often considered economical and efficient because:

- Steel buildings are particularly effective under performance based design;
- Steel members exhibit ductile behavior beyond elastic limit, hence dissipate considerable amount of energy before damages occur;
- Steel members have higher strength-to-weight and stiffness-to-weight ratios, hence the buildings attract less base shear under an earthquake;
- A better quality control practiced in the production of the material as well as the fabrication and erection of them, while ensuring results close to the theoretical predictions; and
- Steel can be generally used to retrofit all types of structures without increasing the dead weight dramatically, making the works less intrusive and time consuming.

## **2. Code-deficient buildings**

All buildings can carry their own weight. They can usually carry a bit of snow and a few other floor loads vertically; so even badly built buildings and structures can resist some up-and-down loads. However, buildings and structures are not necessarily resistant to lateral loads, unless this has been taken into account carefully during the structural engineering design and construction phase with some earthquake proof measures taken into consideration. It is the side-to-side load which causes the worst damage. Poorly designed buildings often collapse on the first shake. The side-to-side load can be even worse if the shocks come in waves, as taller buildings can vibrate like a huge tuning fork, while each new sway is bigger than the last one, until failure. Usually, significant weight is added in time to such code-deficient steel buildings (i.e. walls, partitions to make more and smaller rooms, etc.), or even due to extreme reinforcing techniques. The more weight there is, and the higher this weight is located in the building, the stronger the building and its foundations must be to withstand the earthquake actions. Many buildings have not been strengthened when such extra weight was added. These buildings are then more vulnerable to even a weak aftershock, perhaps from a different direction, or at a different frequency, which can cause collapse. Moreover, in a lot multi-storey steel buildings the ground floor has increased headroom with taller slender columns as well as with more large openings and fewer walls. So, these columns, which carry the largest loads from both the self-weight and the cumulative sideways actions from the seismic event, are vulnerable and they are often the first to fail. It only takes

one to fail for the worst disaster; therefore it is deemed necessary to cautiously strengthen steel buildings with the most appropriate method.

The potential deficiencies are different for different types of steel buildings (i.e. Steel Moment Frames; Steel Braced Frames; Steel Frames with Concrete Shear Walls; Steel Frames with Infill Masonry Shear Walls). The indicators such as the global strength and stiffness, the configuration, the load path, the component detailing, the diaphragm and the foundation design demonstrate the performance under seismic actions and the margins for improvement in specific ways, hence they should be studied carefully before any decision is taken.

Retrofitting of existing code-deficient steel buildings, accounts for a major portion of the total cost of hazard mitigation. Therefore, it is important to identify correctly the structures that need and can accept strengthening, while the overall cost should be also monitored. If appropriate, seismic retrofitting should be performed through several methods such as increasing the load, deformation and energy dissipation capacity of the structure [FEMA 356, 2000].

### **3. Code-efficient buildings resistant to earthquake**

To be earthquake proof, the buildings and their foundations need to be built to be resistant to sideways loads. The lighter the building is, the less the loads are. In steel, especially in high-rise buildings, the sideways resistance is mainly comes from diagonal bracing which must be placed equally in both directions. Where possible, the diagonal bracing should be strong enough to accept tension as well as compression loads; the bolted or welded connections should resist more tension that the ultimate tension value of the brace, or much more than the design load. If the sideways load is to be resisted with moment resisting framing then great care has to be taken to ensure that the joints are stronger than the beams, and that the beams will fail before the columns. Also in such a case, special care should provided to the foundation-to-first floor level, avoiding soft-storey effects while the columns should be much stronger than at higher levels. The foundations could be enhanced by having a grillage of steel beams at the foundation level able to resist the high column moments and keep the foundations in place. The main beams should be fixed to the outer columns with full capacity joints; which almost means hunched connections, and care should be taken to consider the shear within the column at these connections.

When the steel beams are able to yield and bend at their highest stressed points, without losing resistance, while the connections and the columns remain full strength, then the resonant frequency of the whole frame changes, while the energy is absorbed and evenly dissipated across the framing. The vibration occurred from the shock waves is tend to be damped out. This phenomenon is called “plastic hinging” and is easily demonstrated in steel beams. In extreme earthquake sway, the beams should always be able to form hinges somewhere, while the columns should behave elastically. In this way the frame can deflect and the plastic hinges can absorb energy while the resonant frequency of the structure is altered without major loss of strength and inevitable

collapse. All floors should be connected to the framing in a robust and resilient way and should be as light as possible. They should possibly span around each column and be fixed to every supporting beam using enough shear connectors (i.e. studs). An effective way of reducing the vulnerability of large buildings is to isolate them from the floors using bearings or dampers; however this is an expensive process and it is not applied to low to medium rise buildings which have not been classified as important, due to the content they carry and the occupancy usage.

Nothing can be though guaranteed to behave as such, even in code-based designs; hence most of the steel buildings and especially those under-designed with older seismic codes, can be considered as code-deficient buildings in certain circumstances.

#### **4. Design concept for EC8**

Eurocode 8 (EC8) follows three general design concepts based on the ductility requirements and capacity design considerations of steel buildings. The concept of the low-dissipative structural behavior of DCL structures, the concept of dissipative structural behavior of DCM and DCH structures satisfying the ductility and capacity design requirement, and the dissipative structural behavior with steel dissipative controlled zones. In the latter case, when composite action may be considered from Eurocode 4 (EC4) in presence of the steel and concrete (slab) interaction, specific measures have been stipulated to prevent the contribution of concrete under seismic conditions, hence apply general rules for steel frames.

#### **5. Introduction to strengthening techniques**

##### ***5.1 Preliminary investigation***

It is becoming preferable, both environmentally and economically, to upgrade building structures rather than to demolish them and rebuild them. Engineers assessing structures for increased or special loadings are finding that new methods of analysis using, for instance, computer models are revealing shortcomings under service and ultimate conditions. Under such circumstances, a method has to be found to bring the structure up to the required standard. There is a range of techniques which can be used on structures, but, one must take into consideration that disruption to normal stage must be minimal whilst work is in progress.

Evaluation and subsequent strengthening of existing structures require a realistic and pragmatic design approach. However, some of the solutions proposed by researchers do not lie within this category and there will be eliminated in the current study. Also, effective communication between the owner, structural engineer, architect, risk analyst, insurance provider and other stakeholders is paramount to a successful finished solution. In general, in the case where additional load carrying capacity is required of an existing building, engineers have the option to either ***reinforcing*** the existing framing or ***adding*** new framing to replace or supplement the existing.

Where a decision is made to strengthen some parts of an existing facility or a specific structural system or element, the design approach is influenced by a series of factors:

- Information about relevant existing conditions which is often limited;
- When the structure to be strengthened is commonly hidden or obstructed by existing architectural or building services systems that are difficult or costly to remove;
- When structural renovation work is typically constrained by the need for continuity of building operations;
- That the level of ductility of the existing construction may limit its strength; and
- The susceptibility to local buckling of outstanding flanges as well as the lack of connection ductility.

Often, the non-structural costs will likely exceed the structural costs, therefore the true costs of a retrofit project is primarily dependent on the number of locations of work than the amount of work done in each location, and thus this influences the structural design and analysis decisions.

The general approach to strengthen existing structures includes the following aspects:

- Risk assessment and structural vulnerability assessment;
- Preliminary analysis;
- Consideration of alternatives (structural and non-structural); and
- Detailed design and the impact of connections.

The common goal is to:

- Protect specific structural elements;
- Provide redundancy to structural systems; and
- Strengthen a specific part of the structure.

## **5.2 Assessing existing conditions and strengthening methods**

### **5.2.1 Introduction**

A site visit should also be performed to inspect the building; especially for structures more than 30 years old. Some key things to look for when assessing the existing condition of a steel building are: damage to framing; noticeable corrosion; signs that modifications to the structure that may have been performed without engineering review; unusual deflections in floor framing; cracks in supported slabs; signs of foundation settlement; signs for new rooftop equipment; heavy hung piping loads; folding partitions; rigging or other suspended loads that may have been added without proper structural engineering review [Schwinger, online]. A valuable resource available to structural engineers working with existing building structures is the *AISC Steel Design Guide 15 – AISC Rehabilitation and Retrofit Guide* [Brockenbrough, 2002]. Other publications for further reference are [ASCE 41-06, 2006; FEMA 274, 1997; FEMA 547, 2006].

The strengthening methods can be categorized as follows:

1. Passive against Active methods; and
2. Strengthening techniques:

- a. Reinforcing beams by welding (enlarge section with plates);
- b. Reinforcing connections;
  - Framing
  - Seated angles
  - Partial-depth end-plate
  - Replace with high strength fasteners
  - Add welds at the perimeter of the connection and/or to properly cleaning existing welds
  - Converting single to double-shear connections adding angles or plates
  - Add web stiffener plate
  - Add steel cover plates
  - Enhance column splices
  - Enhance braced frame connection
- c. Shortening span (provided that there are no fitting issues);
  - Add beams
  - Add columns, girders
  - Add diagonal braces
  - Add walls with openings
  - Add steel braced frame
  - Add concrete, masonry or steel plate walls
  - Enhance strength and ductility of braced frames
- d. Introducing composite action;
  - Steel (partially or fully) encased with concrete
  - Shear connectors
- e. Post-tensioning (or external pre-stressing) of beams and connections (considering eccentricities of brackets on member capacity - some need protection from corrosion, fire, and vandalism);
- f. Openings in existing beams (using thermal cutting - plasma arc cutting is faster than oxy-fuel, while avoiding cuts at areas subjected to high shear);
  - Place reinforcement (eg. stiffeners) before cutting holes
- g. Replacement of members (may be economical);
- h. Strengthening columns; and
- i. Convert gravity frame to moment resisting frame.

### ***5.2.2 Determining load capacity of existing buildings***

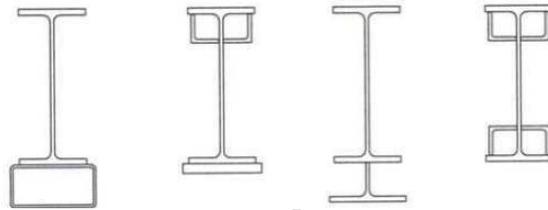
Knowing the yielding strength of the steel used in the framing is essential for computing the load capacity, therefore testing should be performed to ascertain and verify the actual yield strength. One technique is to test the steel to determine its actual yield strength, in hope of finding it to be a higher value than the one was used in the original design. Another technique applied in existing structures in to analyze the framing using the Load and Resistance Factor Design (LRFD) method [AISC, 1999]; LRFD useable strength is approximately 1.5 times greater than the older Allowable Stress Design (ASD) service level strength.

### 5.2.3 Increasing capacity of connections

The technique by which existing shear and moment connections can be strengthened is limited only by the imagination of the engineer. Various techniques are going to be presented thereafter, based on well established but also recent research outcomes obtained from numerous computational analyses and experimental campaigns. It is worth to be aforementioned that the capacities of existing connections must be determined when existing framing is modified or additional capacity is sought.

### 5.2.4 Increasing flexural strength floor framing members

There are two options for reinforcing existing flooring systems to support additional loads: (a) **add** new framing to supplement the existing framing and (b) **reinforce** the existing beams, girders and connections. The easiest solution is usually that of reinforcing the existing structural elements, provided that the floor slab has sufficient capacity to carry the loads. The most efficient way is to weld rectangular High Strength Steels (HSS) to the flanges as shown in **Figure 1**.



**Figure 1: Examples of strengthened beams**

### 5.2.5 Increasing axial load capacity of columns

The buckling limit state and its variable slenderness should be determined in order to evaluate the axial load capacity of columns. Column strengthening serves both to reduce slenderness by increasing the radius of gyration of the section as well as to reduce stress. Column buckling is a mid-height phenomenon, therefore increasing column stiffness between the supports, not at the supports, is required to increase column capacity. Both methods shown in **Figure 2** are effective, however the one on the left better increases the weak axis stiffness of an H-shaped section.



**Figure 2: Examples of strengthened columns**

### 5.2.6 Dealing with weldability issues

Weldability is verified by mechanical and chemical testing. The former measures ductility and the latter determines the “carbon equivalent” value.

### *5.2.7 Connecting new frame to existing frame*

Similarly to the connections, there are numerous ways that new framing can be connected to existing framing. Welding the new steel members to the existing members is a straight forward approach which requires less precision as compared to the bolting process, while drilling new holes through existing steel and bolting in the field. Various details for connecting new framing to an existing one can be found by Schwinger, (online).

## **6. Detailed description of retrofitting and strengthening techniques**

### **6.1 Introduction**

The performance of steel frames can be synopsised in three very different behaviors:

1. Formation of plastic hinges;
2. Local and global instabilities; and
3. Fracture and structural discontinuity.

These three behaviors and/or combinations of them are likely to occur and govern the capacity of a connection or member with result on the structural continuity and integrity of the system. Overall, it is known from seismic studies that frame capacity is related to two different aspects of frame behavior:

1. The **member** response; as controlled by plastic rotational strength and deformation characteristics (including local and global buckling), and
2. The **connection** response; as controlled by bolt fracture, premature brittle weld failure and panel zone failure.

Determining the capacity of columns is difficult as in many situations code-deficient buildings are not designed for large lateral loading.

### **6.2 Steel connections - fuses**

#### *6.2.1 Beam-to-column connections - Developing ductile behavior (fuse-concept)*

In parallel with the FEMA/SAC steel research program, the National Institute of Standards and Technology (NIST) and the AISC initiated a research project to upgrade existing Special Moment Frames (SMF) and investigate the effectiveness of two rehabilitation schemes. Modifications to pre-Northridge moment connections to achieve improved seismic performance focused on reducing or eliminating some of the contribution factors to the brittle fractures. Brittle fractures originated in the beam flange groove welds and often propagated to rupture beam flanges or columns. A cooperative effort by NISC, AISC, the University of California at San Diego, the University of Texas at Austin and Lehigh University, examined three techniques for retrofit of existing code-deficient steel moment connections trying to force plastic hinging of the beam away from the column face, namely: (a) the Reduced Beam Section (RBS) concept to weaken a portion of the beam near the column so that plastic hinging would occur at the designated location, (b) the addition of a welded haunch to strengthen the steel beam near the welded connection, and (c) the use of bolted brackets to reinforce the connection (**Figure 3**). More RBS patterns have been developed by Plumier in 1990, and appeared in different configurations, as it is shown in **Figure 4**.

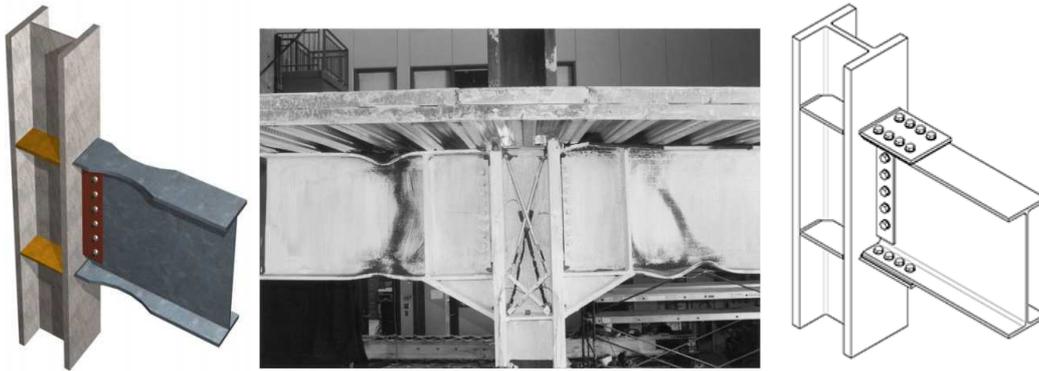


Figure 3: (a) Reduced Beam Section (RBS) connection [Crawford, 2002], (b) Welded haunch connection [Uang et al, 2000], (c) bolted brackets

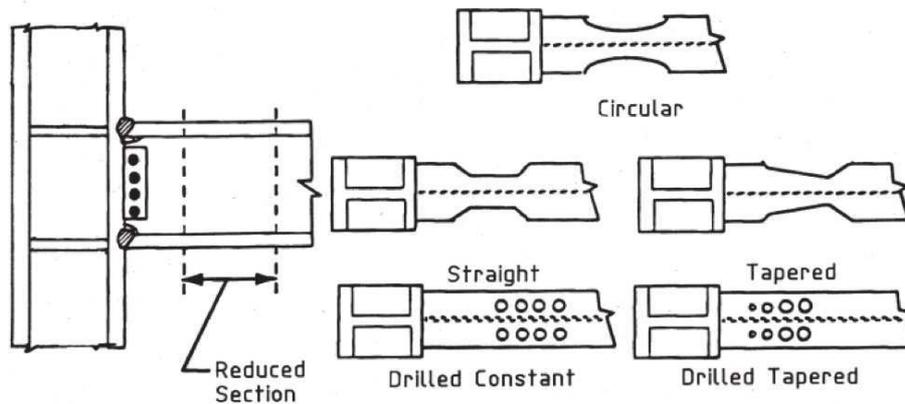


Figure 4: Various RBS patterns [Plumier, 2000]

Further analytical research on the same connection complement this work, as design model and guidelines have been recommended. A target plastic rotation capacity of 0.02 radian was selected. In 2004, Engelhardt recommended [Bruneau, 2004] the following as potential positive solutions:

- *“The use of a bottom flange RBS combined with the replacement of top and bottom beam flange groove welds with high toughness weld metal provided plastic rotations on the order of 0.02 to 0.025 radian. The presence of a composite slab had little effect on the performance of this retrofit technique.”*
- *“The addition of a welded bottom haunch, with the existing low toughness beam flange groove welds left in-place, resulted in significantly improved connection performance, which was dramatically influenced by the absence or presence of a composite concrete floor slab. In the former case, the specimens developed plastic rotations of 0.015 to 0.025 radian, whereas in the latter case developed plastic hinges in excess of 0.03 radian.”*
- *“The use of bolted brackets at the top and bottom flanges provided plastic rotations in excess of 0.03 radian.”*

Design recommendations have been provided for fully restrained, radius cut RBS connections. A step-by-step procedure is provided, with commentary for various design considerations. A similar procedure is included in FEMA 351 (2000) and AISC 358 (2005), which also provides design guidelines for other prequalified post-Northridge connections such as the Bolted Flange Plate (BFP) moment connections, the Bolted

Unstiffened (BUEEP) and Stiffened Extended End-plate (BSEEP) moment connections, and the so called CONXTECH CONX and KAISER Bolted Bracket (KBB) moment connections.

It is worth to note that conventional beam theory cannot provide a reliable prediction for neither of the above structural systems. Uang et al. (2000) and Yu et al. (2000) proposed a simplified model that considers the interaction of forces and deformation compatibility between the beam and the haunches.

Exhaustive research works have been conducted on RBS connections varying the geometric characteristics of both the beam as well as the connection assembly itself. More recently, RBS moment resisting connections have been also investigated by researchers in Europe using European HEA-profile sections [Pachoumis et al. 2008], since so far they have been only investigated by the US design construction practices. Result is the readjustment of the geometrical characteristics of the RBS in order to apply to the European profiles. Limitation in using RBS is the shear connection between the top steel flange and the metal decking of the Steel-Concrete Composite (SCC) slab due to the significant width reduction.

More recently, the same concept has been applied to steel frames as a strengthening-weakening technique, while introducing a circular opening (**Figure 5**) in the beam's web instead, at a certain distance from the beam-to-column connection, as an effective method to improve the aseismic behavior of MRFs [Qingshan et al., 2009]. The accurate position and size of the circular opening has been investigated through numerical modeling as well as experimental works, while the plastic hinge positions is effectively controlled. Similar studies have demonstrated the effect of various nonstandard web opening shapes (**Figure 6**), in enhancing the ductility but also the strength of the connections [Tsavdaridis et al., 2014]. Step-by-step procedures have been proposed to determine the most suitable geometries to achieve adequate connection strength, ductility, stiffness and rotational capacities. Such techniques prove suitable in cases where large plastic rotations are required (i.e. larger than 0.03 radian). Tsavdaridis et al. (2014) have further proposed novel elliptically-based web opening shapes, which can also be used for perforated beams (eg. cellular and castellated beams) adding numerous advantages from the manufacturing process to their life-span while they can develop rotational capacities up to 0.05 radian with insignificant strength degradation (**Figure 7**).



Figure 5: Failure mode of connection with circular web opening [Qingshan et al., 2008]

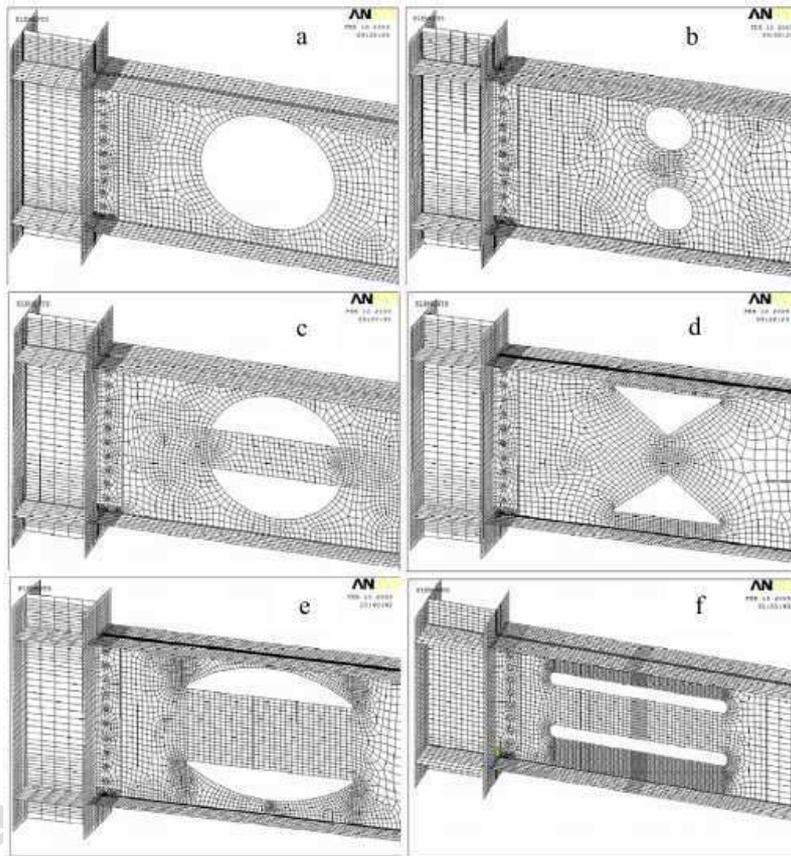
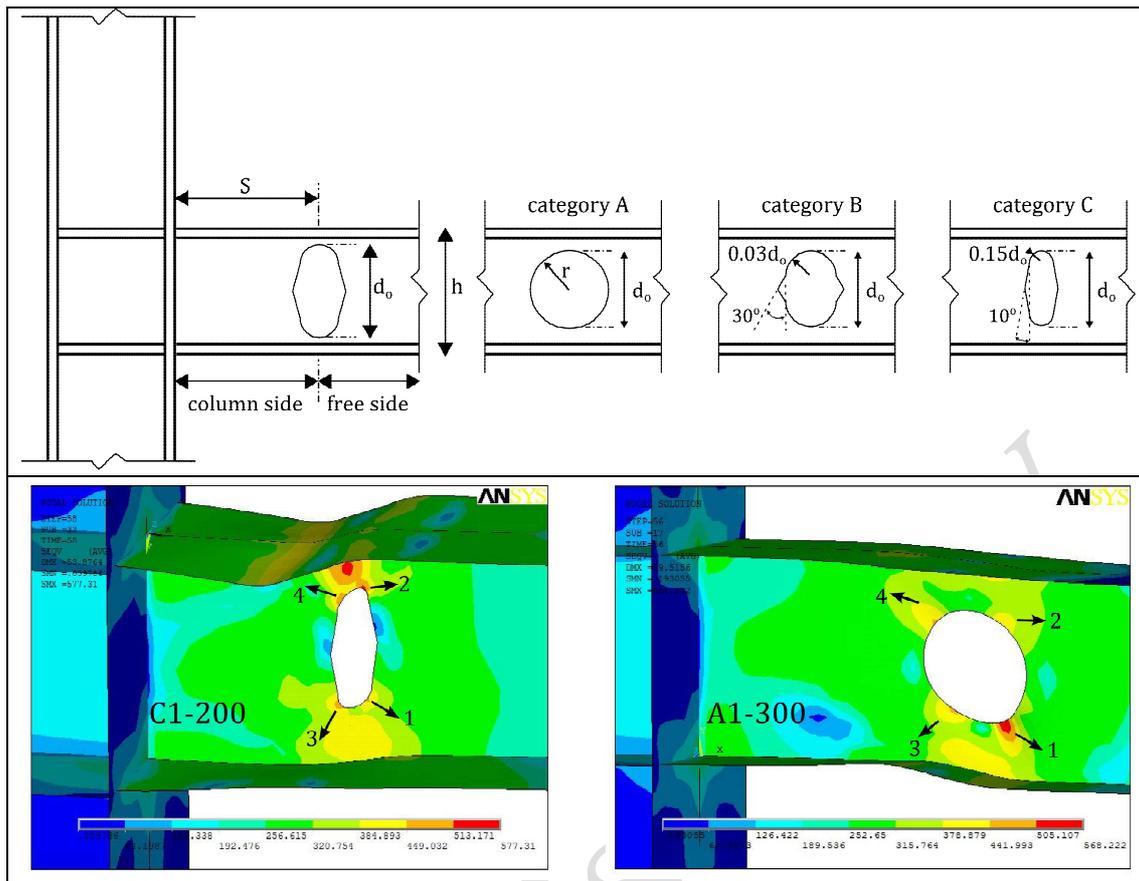


Figure 6: Types of perforated beam webs [Hedayat and Celikag, 2009]



**Figure 7: Geometric parameters of novel perforated beam-to-column moment connections and Von Mises plastic stresses [Tsavdaridis et al., 2014]**

The so called Reduced Web Section (RWS) connections have been studied on multi-storey MRF steel buildings experimentally as well as computationally with cyclic (quasi-static), pseudo-dynamic (PSD) and dynamic analyses, and evaluated in detail after the 1994 Northridge and 1995 Kobe earthquake cases. The results show that the ultimate displacement of the modified buildings increases a lot due to the web openings and thus the building ductility is improved greatly. Moreover, brittle weld fractures can be avoided and the maximum plastic zone moved to the weakened areas. RWS connections can easily be applied to the beams of new as well as existing code-deficient buildings. It is worth to mention that different geometric characteristics and limitations of beams and columns should be used for different RWS connection types. There is a need to bring the attention and propose more experiment physical testing to validate and establish RWS connections in the current European and American practices.

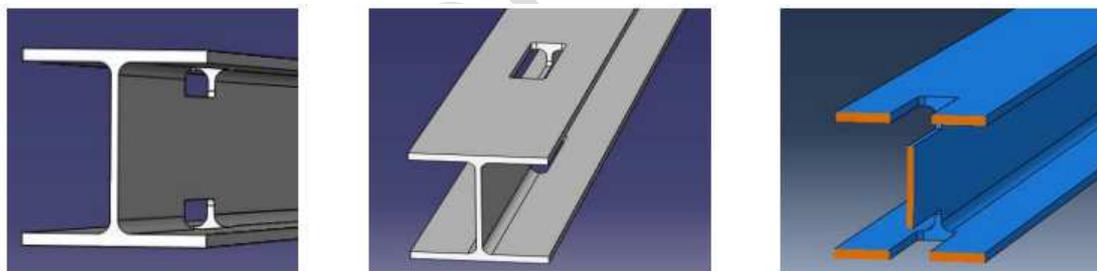
Welded haunches and bolted brackets are used to move the plastic hinges away from the column, but also strengthen the existing connection and seek to maintain the original flexural capacity of the beam.

### **6.2.2 Ductile behavior – Fuses in bracing members**

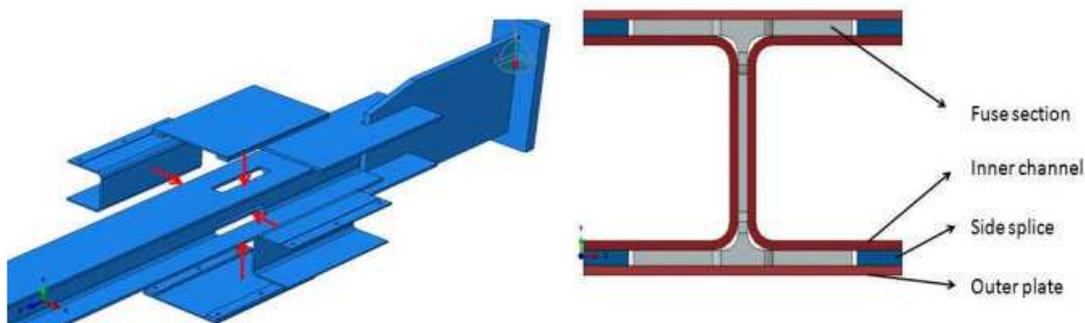
The concept of adding ductile fuses in bracing members of steel concentrically braced frames (CBF) resisting seismic loads is well linked to the RBS technique. Current code provisions require that steel CBFs are designed to exhibit ductile energy dissipation. Limits on brace overall slenderness ratio must be satisfied to achieve ductile inelastic

behavior. It is apparent that implementation of this design approach may result in significant increases in design loads for brace connections, beams and columns [Egloff et al., 2012].

In order to reduce seismic design loads ductile fuses in bracing members have been recently proposed, as they control their axial resistances. Such behavior can be achieved by locally reducing the brace cross-section area or by introducing ductile components that yield in both tension and compression. In the former case, the reduced section might need to be confined to prevent local buckling, or it can be resized to yield in tension while remaining elastic in compression, which means that the effect of fatigue loading will be minimized. In the latter case, the overall buckling is eliminated and hence the strength degradation is limited due to symmetrical hysteretic behavior. This type of fuse technique can be applied in open and closed profile sections of the bracing members, while it has been noticed that the former ones perform better exhibiting higher ductility. A special fuse (**Figure 8**) for controlling the tension resistance of open bracing members has been proposed by Vincent in 2008. A part of the flange to web intersection is removed to limit the impact on the brace flexural stiffness and buckling resistance. In 2012, Egloff et al. introduced a new local buckling restraining system (LBRS) which includes two cold-formed channels that support the web and the flanges. Moreover, external cover plates can be bolted to the channels in order to prevent local buckling of the brace flanges. Splice plates can also be used to provide lateral support to the flanges in the fuse (**Figure 9**). This LBRS can slip longitudinally with respect to the brace so that it does not attract any axial forces. Further improvements and the design procedure for the fuse and the fuse LBRS are available in the literature.



**Figure 8: Ductile fuse for H-shape bracing members (Vincent, 2008)**



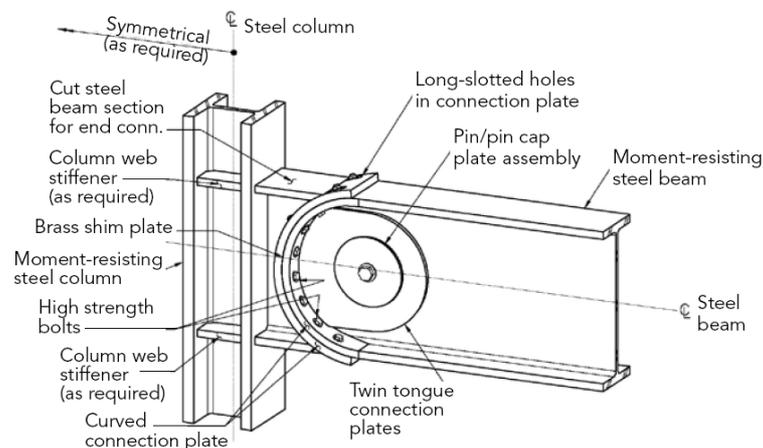
**Figure 9: Proposed fuse local buckling restraining mechanism [Egloff et al., 2012]**

Cast Connex, a high strength steel connector for round hollow structural section brace members in CBF, has also developed a yielding fuse connector for CBF, called the

Scorpion Yielding Brace System (SCBF), that relies on flexural yielding of finger-like plates what are specially designed to dissipate energy locally and can be used in both architecturally exposed and non-exposed braced bays.

### 6.2.3 Pin-Fuse joints

A new type of connection, which just begun its prequalification process in 2011, is the Pin-Fuse connection (**Figure 10**) which incorporates a curved plated end connection using slip-critical bolts and a steel pin adjacent to the beam-to-column joint. The bolts are designed to slip within slotted holes allowing the pin joint to rotate dissipating the energy through frictional resistance. This joint acts as the fuse for the system, while the rest of the steel frame can be designed to remain elastic. Following an earthquake, and avoiding damages, the frame can be adjusted to its initial position, reducing the potential for permanent residual displacements while both the connection and the frame maintain their structural integrity and reduce the need for costly structural repairs. The simplicity of the pin-fuse connection offers the ability to accommodate braces and dampers.



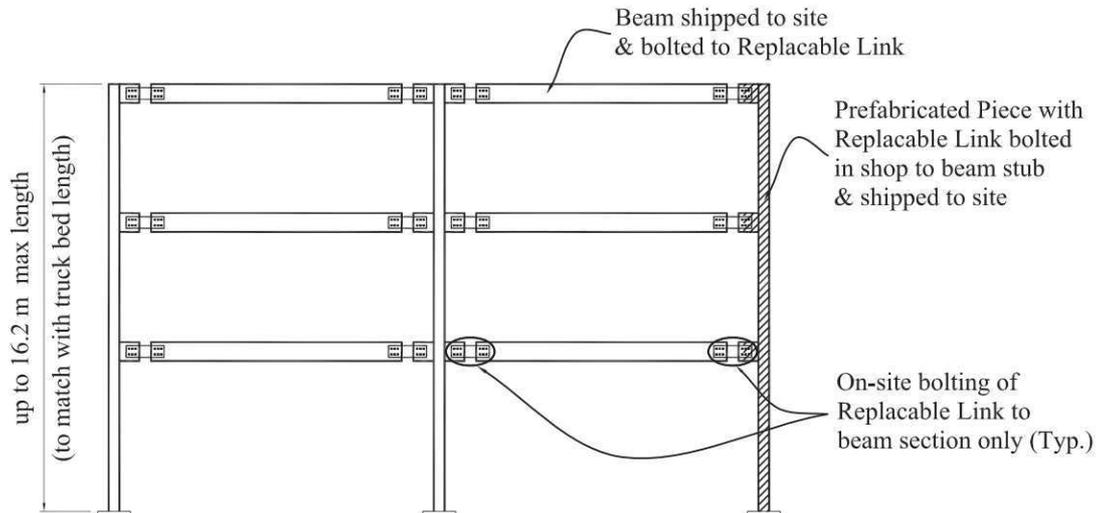
**Figure 10: Structural details of Pin-Fuse connection [Cordova and Hamburger, 2011]**

### 6.2.4 Replaceable links

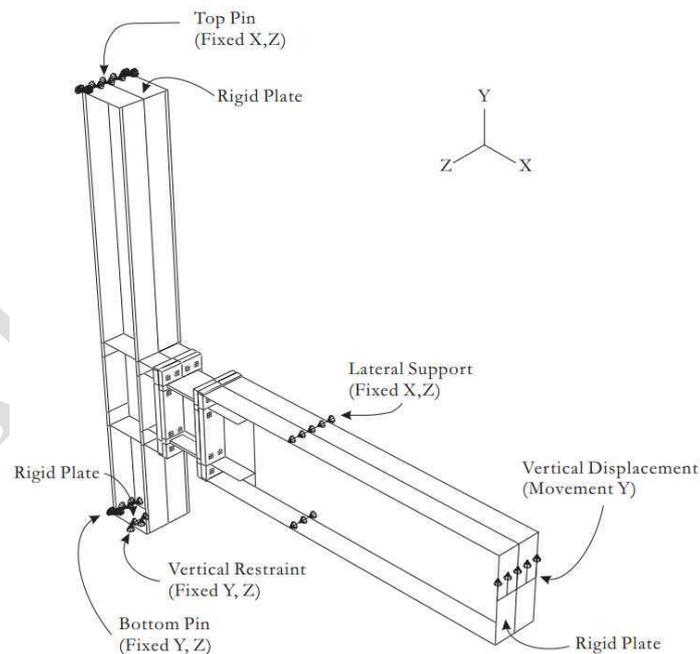
Using RBS and RWS type connections have been proved very efficient for certain applications, however there are some drawbacks. As the yielding fuse is a part of the beam, strength design and drift design of the structure are interlinked. For instance, due to increased drift requirements, the capacity of the yielding fuse may be also increased, which then leads to higher demands on the other parts of the structure including columns, floor slabs, connections, and foundations and often resulting in oversized buildings with increased overall cost. Further, significant damage can result in the beam from repeated inelastic deformation and localized buckling during a design level earthquake. As this cumulative inelastic action of the building cannot be precisely anticipated, it is not trivial to assess the extent of damage on site and the residual capability of the structure to adequately provide the required level of safety for any subsequent loading. In such a case, repair of the beam is not a straight forward procedure and it can be disruptive and costly.

The replaceable link concept (**Figure 11**) effectively eliminates the aforementioned concerns while instead of reducing the beam section size; dismountable dissipative

elements (**Figure 12**) which can be removed and replaced with smaller flexural capacities are used at the locations of the expected inelastic actions. Consequently, the other structural elements in the frame will remain elastic during an earthquake. This efficient method of repair for MRFs, allows for quick inspection and replacement of damaged links while it minimizes the disruption time. Further, the welding of critical elements of beam-to-column can be done in the shop while improving construction quality and significantly reducing the initial erection time [Shen, 2009].



**Figure 11: Proposed connections with replaceable link [Shen, 2009]**



**Figure 12: End plate model boundary conditions [Shen, 2009]**

In particular, two types of replaceable links have been proposed by Shen (2009): (i) H-section with end plates, and (ii) back-to-back channels eccentrically bolted to the beam web. The former one is prepared in the shop using complete joint penetration welds. The end plate (flush or extended) is then bolted to the column flange using pre-stressed

high strength bolts. The latter type of these double channel built sections intended to act as truss girders in special truss moment frames, and they have been connected using welded reinforcing gusset plates. In certain circumstances lateral bracing is deemed necessary in the region adjacent to the plastic hinge to achieve large (i.e. 0.06 radian) plastic rotation of the hinge. However, sometimes large over-strength has been observed in these build-up channel sections.

The end plate links can exhibit 0.04 radian contributing to 90% of the total storey drift and demonstrate higher energy dissipating capacity than the double channel links, while some strength degradation occurs due to ductile local flange and web buckling. On the other hand, higher storey drift can be reached by the double channel links before experiencing strength degradation at 0.06 radian. The degradation has been also caused due to ductile tearing of the flanges and the webs. Overall, double channel links type has been considered to be preferable as it provides a more gradual transmission of forces at the connections via friction. For more stability, further modifications can take place enhancing the connection of the channel webs and the connection of the beam segments.

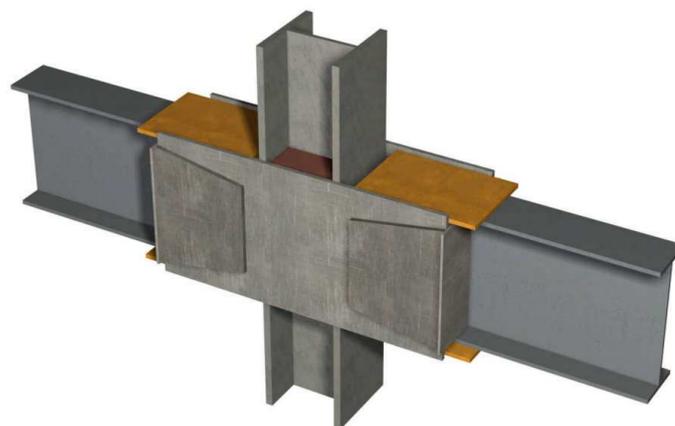
### 6.3 Steel connections - stiffeners

#### 6.3.1 Introduction

Forcing the plastic deformation to the beam end away from the connection is a common practice in seismic moment resisting frames, but in contrast to the fuse concept, this can be also achieved by increasing the relative stiffness of the column and the connection with respect to the beam. Eventually, this is an alternative in effectively controlling the position and intensity of the plastic hinge in the connection zone when such modifications are allowed.

#### 6.3.2 SidePlate™ connections

A well promising retrofitting method for upgrading an existing traditional moment resisting connection is shown in **Figure 13**. This concept uses the so called SidePlate™ retrofit system where the physical separation between the face of the column flange and the end of the beam, for mitigating the stress concentration, is achieved using parallel full-depth side plates which act as discrete continuity elements to sandwich connecting the beam(s) and the column [Crawford, 2002]. Similar design concept can be used for steel and concrete-filled hollow section columns (**Figure 14**).



**Figure 13: SidePlate™ retrofit connection [Crawford, 2002]**



**Figure 14: Strengthening retrofit concept: concrete filled hollow section of column [Crawford, 2002]**

Whole steel frame is eventually stiffened and the zone panel deformation is eliminated using this type of connections due to the increased stiffness of the side plates that ultimately providing the three panel zones. This connection system uses all fillet-welded fabrication which predominately carries all shear actions as well as moments through the combination of vertical shear plates and fillet welds. The side plates should be designed with sufficient strength and stiffness to force all significant plastic behavior of the connection system into the beam.

The same system can be used for upgrade construction of deficient buildings. The difference is that an initial hole is required in each side of the plates to permit welding access, while the holes are closed with the same cut off plate following the completion of the welding process. All new welds are again fillet welds loaded in shear along their length, whereas if any existing Complete Joint Penetration (CJP) welds are removed by air arcing to eliminate the reliance on through thickness properties and tri-axial stress concentrations. More information can be found from FEMA 351 (2000).

### **6.3.3 Stiffeners at connections**

In addition to the prequalified connections for SMFs and intermediate moment frames (IMF) presented in AISC (1999) and the SidePlate™ system, research has been focused on the effect of stiffeners on the strain patterns of welded connection zone.

For example, the effect of both internal and external stiffeners on the behavior of I-beam to hollow-column section connections (**Figure 15**) has been initially thoroughly investigated by Chen and Lin (1990). It has been observed that the connections with triangular stiffeners have the lowest rigidity, in contrast to those with side-stiffeners which present significantly higher moment rotation capacities, stiffness and ductility. Moreover, the performance of the retrofitted connections with side-stiffeners has been investigated [Ghobadi et al., 2008] and design guidelines proposed. The benefits of using side-stiffeners have been also introduced on concrete filled tubular (CFT) columns

connected to I-beams, while stable hysteresis and adequate ductility is provided. Overall, it has been concluded that connections with both column stiffeners and top flange stiffeners have the highest value of energy dissipation, while the beam top flange stiffener is the most effective one, especially when it is incorporated with the column stiffeners of hollow section.

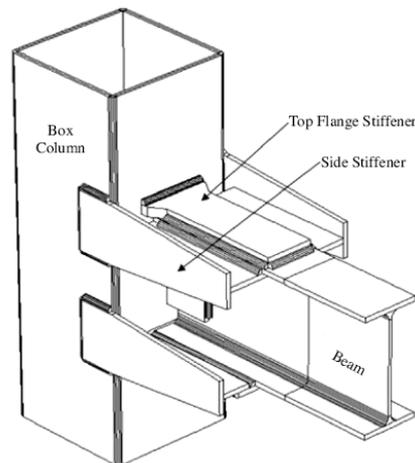


Figure 15: Typical I-beam to box-column connection [Kiamanesh et al., 2010]

#### 6.4 Steel frames – modifications

##### 6.4.1 Frame modification at beam's mid-span (fuse-concept)

A retrofitting method which can be used for new construction as well as a strengthening technique for existing moment resisting frames has been developed by Leelataviwat et al. (1998). This technique replaces certain beams and introducing a ductile fuse element in shear at their mid-span instead of modifying the beam-to-column connections (Figure 16). A braced rectangular opening is created in the web of each girder at the mid-span, to move the plastic deformation away from the critical connection regions, while ensuring the development of a ductile mechanism.

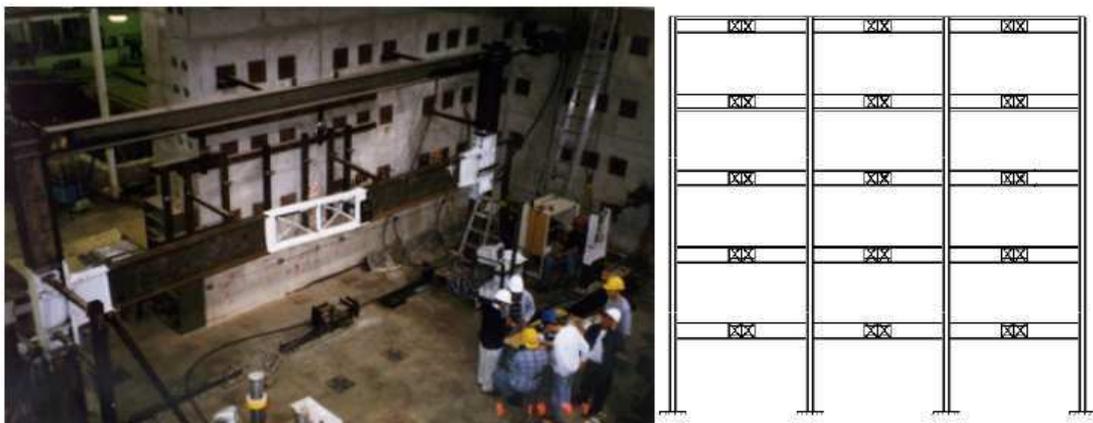


Figure 16: Frame modified with mid-span truss opening [Leelataviwat et al., 1998]

#### 6.4.2 Cabling – Self-centering systems

The use of the cables is another promising technique, which can be applied to both slabs and connections. Placement of cables with connections to girders or cables with connections to beams is very important especially for high-rise buildings. Self-centering braces have been designed and built using prestressed aramid fiber strands in conjunction with friction pads or memory alloys. Energy dissipation is implemented using yielding seat angles, friction dampers, or energy dissipating bars confined in tubes. Researchers have investigated self-centering column bases that use post-tensioned (PT) bars or spring loaded wedges. Tendons can span over multiple floors, while elastomeric spring dampers and fuse bars can be used to provide energy dissipation.

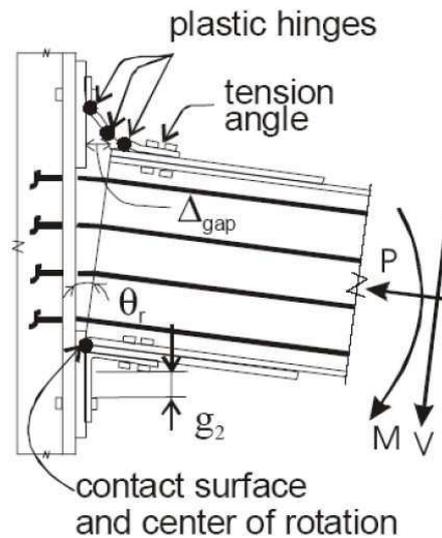
Self-centering structural systems (**Figure 17**) have been proposed, for the seismic retrofit of special moment resisting frames, by Christopoulos et al. (2002). This is a Post-Tensioned Energy Dissipating (PTED) steel frame design, where high strength bars or tendons provide the post-tension at each floor. Confining steel sleeves have been often used to prevent the energy-dissipating bars from buckling during cyclic inelastic loading. It has been concluded that these economical innovative systems:

- *“Incorporate the nonlinear characteristics of yielding structures and, thereby, limit the induced seismic forces and provide additional damping characteristics.”*
- *“Encompass self-centering properties allowing the structural system to return to its original position after an earthquake.”*
- *“Reduce or eliminate cumulative damage to the main structural elements.”*



**Figure 17: PTED System [Christopoulos et al., 2002]**

Later, Garlock et al. (2004) has proposed a similar structural system with high strength steel strands PT, after bolted replaceable top-and-seat angles have been installed (**Figure 18**). Here, the vertical shear is supported by both the angles as well as the friction between the beam and the column, and it is expected to continue to perform if failure of one or more strands occurs. It is proved that this system can achieve greater strength and ductility.



**Figure 18: Post-Tension moment connection with top and bottom seat angles [Garlock et al., 2004]**

Recently, researchers have designed and experimentally evaluated a new self-centering PT connection using yielding web hourglass shape pins (WHPs) as seismic energy dissipaters. WHPs do not interfere with the composite slab and can be very easily replaced without the need for welding and bolting, and therefore, can significantly decrease downtime in the aftermath of a strong earthquake. Repeated experiments described in detail and proved the reparability of the PT connection with WHPs.

## **6.5 Structural system – adding structural elements (bracings – walls – blocks)**

### **6.5.1 Introduction**

Conventional retrofitting methods include addition of new structural elements to the system and enlarging the existing members. Bracings as well as reinforced concrete (pre- and post-cast infill) shear walls (**Figure 19**) are the most popular and efficient strengthening techniques as they provide lower overall cost and they are easy to use. Braces are more effective due to their much higher ductility, but the shear walls is indeed the most commonly applied method, as they also reduce the demand on the other structural members resisting large lateral loads, hence increasing their safety. The actual capacity of bearing walls has been often underestimated, or even ignored. However, it can be a major contributor and it can provide the required capacity, without the need of more complex strengthening techniques.



**Figure 19: Additional RC shear wall [adopted by MIT.edu website]**

### **6.5.2 Bearing walls**

Walls must go equally in both directions, and they must be strong enough to add stiffness to the steel framing system while they are tied in to any framing in order to take load in their weakest direction. Also, they must not fall apart and must remain in place after the worst shock waves, so as to retain strength for the aftershocks.

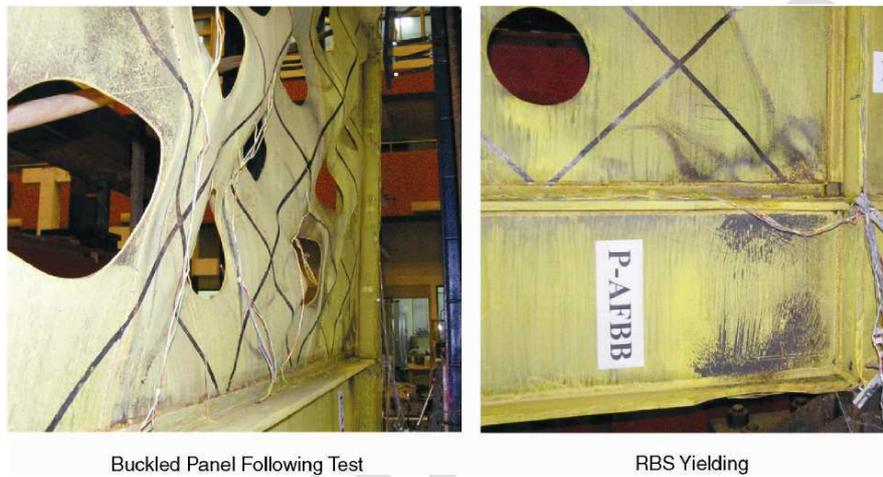
In particular, three approaches have been identified [Crawford, 2002] for enhancing the resilience of a building's bearing walls under the progressive collapse and seismic scenarios. These are the following: (i) back-up wall – build second wall or gravity carrying frame to support existing wall, (ii) strong wall – employ fabric retrofit to control the breach area, and (iii) ductile wall – polyurethane spray to prevent punching shear failure. Moreover, openings can be accommodated in such walls, especially when multi-story buildings, while further enhancements are needed.

### **6.5.3 Steel plate shear walls**

In addition to concrete and reinforced concrete (RC) walls with SCC beams, research has been initially conducted by Thorburn et al. (1983) and others later, on Steel Plate Shear Wall (SPSW) design (**Figure 20a**) and retrofitting methods. SPSWs can be used as the primary lateral force resisting system in steel buildings allowing the occurrence of shear buckling. Following buckling, diagonal tension field is developed to transfer the lateral load in the panel, while the forces in beams and columns are reduced. Furthermore, the use of low yield strength steel panels and RBS connections as well as light-gauge cold-formed steel plates has been examined as potential applications by Berman and Bruneau (2004). The former one demonstrates an earlier onset of energy dissipation by the panel, while perforated panel specimens (**Figure 20b**) can be used to control the stiffness and over-strength issues using hot-rolled plates. This option is also useful in a retrofit situation, providing access for utilities to penetrate the pre-designed system.



**Figure 20a: SPSW specimen with cut-out corners (right)**



**Figure 20b: Buckled panel and RBS yielding of SRW specimen  
[Vian and Bruneau, 2004]**

#### **6.5.4 Braced frames**

Braced frames can be constructed of single diagonal, x- and k-braces, chevron and split braces, lattice or knee braces, and they can be used in interior cores - so connections could be easily made with wall panels, as well as in the exterior. Composite braced frames are also becoming popular where concrete bracings are supporting steel frames.

A simplified design procedure for suspended zipper frames, initially proposed by Khatib et al., 1988, has been introduced by Leon and Yang (2003), and consisted from inverted V-braces adding zipper columns which connect the intersection point of the braces above the first floor. The zipper columns tie all brace-to-beam intersection points together and force compression braces in a braced bay to buckle simultaneously, hence better distribute the dissipated energy over the height of the building [Bruneau, 2004]. A suspension system has been proposed later, ensuring that the top story braces are designed to remain elastic, whereas all other compression braces are designed to buckle, while the suspended zipper struts are designed to yield in tension. Therefore, adequate ductility is provided, with superior seismic performance.

Engaging the fuse, the replaceable links and the braced frame retrofitting concept, Eatherton et al. (2008) proposed a control rocking system which virtually eliminates residual drifts and concentrates the majority of structural damage in replaceable fuse elements. The system is consisted of three components: (a) a stiff steel braced frame which remains elastic, but it is not tied to the foundation and hence allowed to rock, (b) vertical post-tensioning strands the top of the frame down to the foundation and brings the frame back to the center, and (c) the replaceable fuses that absorb the energy as the frame rocks.

### 6.5.5 Non-buckling braces

Conventional braces tend to buckle under the compression cycle of the seismic load, hence dissipating little energy under compression. This causes pinching of the hysteresis look and failure of the braces within a few cycles, due to the formation of plastic hinge close to mid-length of the member. The use of non-buckling braces (also known as buckling restrained braces or un-bounded braces) bypasses this problem [Chakrabarti, 2007]. In this type of bracing system, the requirements of adequate strength to resist compression as well as rigidity to avoid buckling, have been addressed separately to a core and a sleeve (Figure 21).

The last decade, Buckling Restrained Braced (BRB) frames have received much attention in the United States, as they demonstrate stable hysteretic behavior and excellent low-cycle fatigue life characteristics. However, buckling and cracking of gusset plates is expected in certain cases, similarly to all types of braced frames.

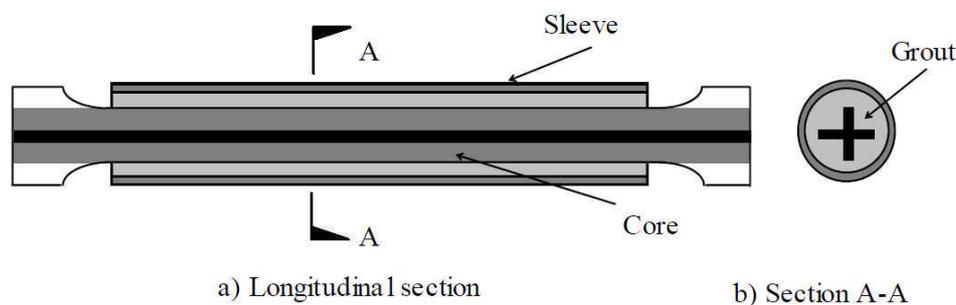
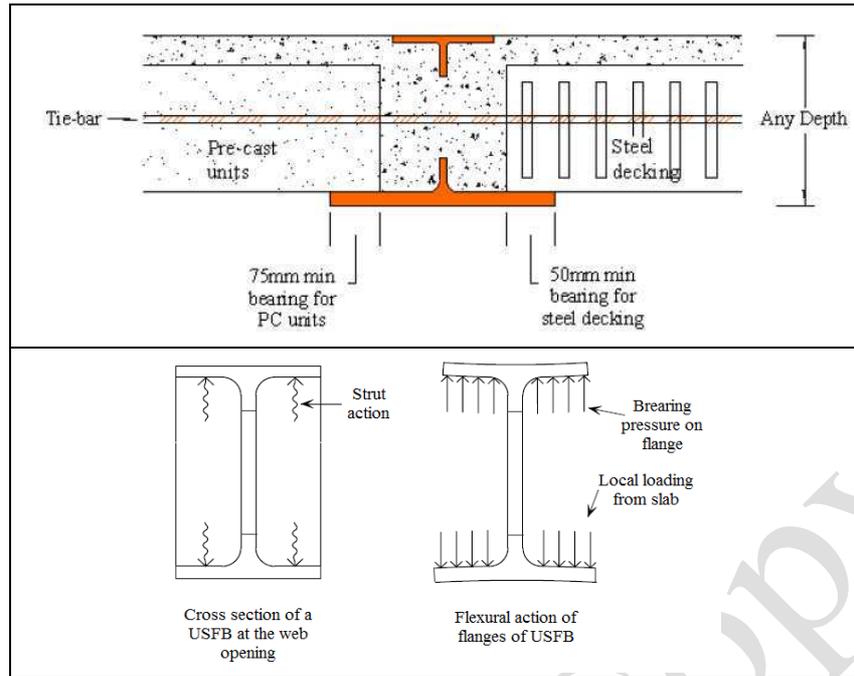


Figure 21: A non-buckling brace [Chakrabarti, 2007]

### 6.6 Strengthening members

Strengthening members by adding plates or encasing/filling them with concrete provides an effective technique to add strength, and it can be applied for a particular group of members, such as on the ground floor. Thus, concrete encasement of columns (Figure 14 and 19), and floor beams (Figure 22) have been proposed [Tsavdaridis et al., 2013], and they constitute a form of strengthening technique for new and existing steel buildings.



**Figure 22: Schematic representation of the USFB system and the internal actions [Tsavdaridis et al., 2013]**

### 6.7 Materials

Innovative ways have been explored for the strengthening and rehabilitation of deficient steel buildings, due to the demand to increase the specified load and the deterioration as a result of corrosion. In particular, externally bonded Fiber Reinforced Polymer (FRP) composites can be applied to various structural members such as columns, beams, slabs and walls in order to improve their structural performance in terms of stiffness, load carrying and deformation capacity and ductility, while simultaneously providing environmental durability. Generally, FRPs have been widely used mostly in applications that allow complete wrapping of the member, while attention deemed necessary to avoid brittle shear and de-bonding failures, especially prone when used on steel. In such cases the actual member can entirely waste the strengthening application, or the composite material might harm the member itself by decreasing its ductility [Buyukozturk et al., 1999]. It has been proposed that for buildings with large seismic deficiencies, a combination of conventional and FRP strengthening techniques may prove to be an effective retrofitting solution.

The pre-formed high strength carbon fiber plates currently being used for concrete structures are typically 4mm thick. To strengthen steel beams, they would need to be at least 20mm thick, in order to achieve a significant increase in bending moment for the steel or SCC beam. Consequently, new high modulus Carbon Fiber Reinforced Polymer (CFRP) materials are likely to provide solutions for steel structures' deterioration issue [Schnerch et al., 2006]. Time should be allowed for the surface preparation, application of the adhesive and curing time (usually between 4 to 8 hrs). Specific surface preparation and detailing is critical to ensure adequate bond interaction between steel and FRP materials, both in the short and long term, and capable of sustaining the high interfacial stresses necessary to appreciate the full strength of these materials [Lenwari et al., 2006].

### **6.8 Energy dissipation and active/passive structural control systems**

A quite different retrofitting method, which can be quite cost efficient, is the installation of complementary energy dissipation devices in structures as a means of passive, semi-active or active structural control systems. These are not described thoroughly here, as it is beyond the scope of the present work. The main objective of structural control is to minimize structural vibrations improving safety and serviceability limits under wind and earthquake actions. Up to date, the majority of passive energy dissipation devices have been found very effective in controlling the seismic response of steel frame. Further advanced techniques seem very promising such as the introduction of an “inerters” [Smith, 2002; Marian and Giaralis, 2014] and its combined use with the already well operated tuned-mass-dampers, with scope to reduce the size of the mass required to control and dissipate the energy of high-rise buildings. **Figure 23** shows the basic principles of various control systems commonly used on building structures.

There is vast research conducted on energy dissipation devices during the past 20 years, while their use becomes more direct and apparent with the upsurge of technology. However, a diverse background of researchers is required, integrating a number of disciplines, some of which are not within the domain of traditional Civil Engineering. In particular, the control theory is elaborated with computer science, data processing, sensing technology and materials science using the knowledge and principles of earthquake (and wind) engineering, structural dynamics as well as stochastic processes. It is essential to mention though, that the effectiveness of such dissipation devices is predominantly dependent of the deformation capacity of the structure. Consequently, the application of such devices to code-deficient buildings with inadequate seismic detailing or post-earthquake damages, should be carefully considered, and perhaps it should be combined with an appropriate strengthening technique with deformation enhancement measures as proposed above.

Ongoing research on special strengthening techniques involving the use of simple and robust active control systems, while emphasizing on the performance of various controllers [Demetriou et al., 2014] as well as new conceptual methods introducing the ability of structures to adapt under dynamic loads [Slotboom et al., 2014], are posing great expectations.

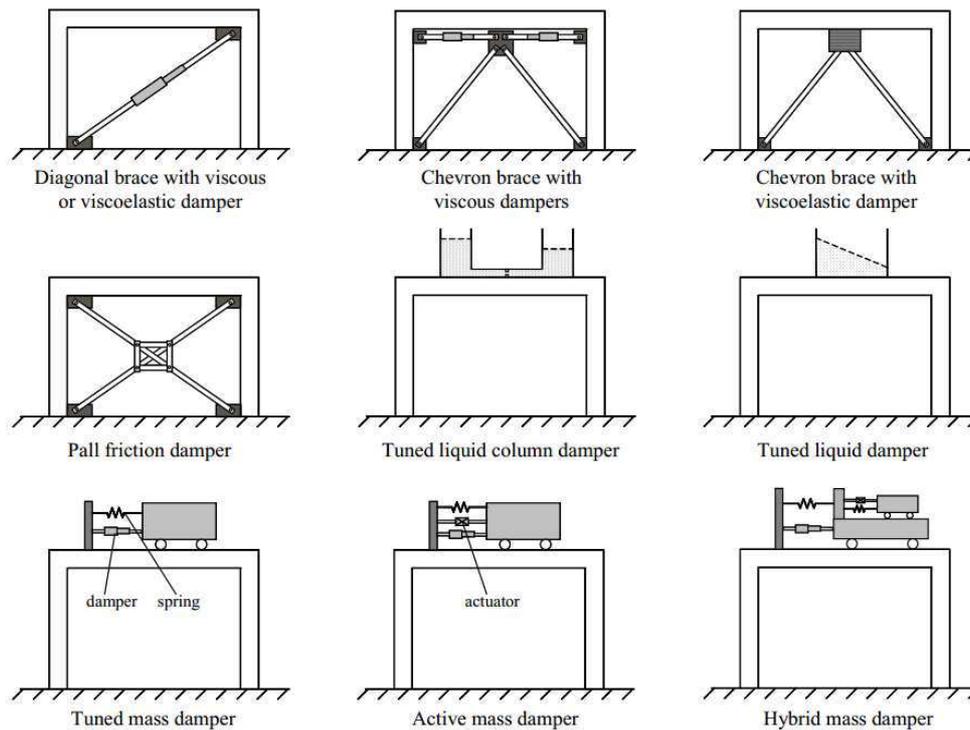


Figure 23: Supplemental energy dissipation devices [adopted from MIT.edu website]

## 7. Summary

It is worth to mention that there are factors which inhibiting retrofit design when it comes to strengthening existing buildings. Many times important issues are misaddressed due to the complexity of strengthening design concepts, lack of technology understanding or even ignoring it, and uncertainties about the design of the building involved. Therefore, existing buildings should be best approached with a risk-based retrofit scheme in order to concentrate the works where they are actually needed most. In this way, more safe, effective and cost-efficient steel buildings will stay operated in the future. This inherently requires more skilled designers and engineers, better design tools, and more truly innovative 'smart' strengthening techniques to be developed for the seismic strengthening of code-deficient steel buildings.

## 8. Cross References

1. Assessment of existing structures using inelastic static analysis
2. Buckling restraint braces and their implementation into structural design of steel buildings
3. Code based design – Self centering systems
4. Design of passive control systems to control inelastic structures aiming seismic resilience
5. Performance-based earthquake engineering
6. Retrofitting & strengthening: An overview
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8. Seismic vulnerability assessment: steel structures

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