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Walther, T., Hopkinson, M., Daneu, N. et al. (4 more authors) (2013) How to best measure atomic sergregation to grain boundaries by analytical transmission electron microscopy. *Journal of Materials Science*, 49 (11). pp. 3898-3908. ISSN 0022-2461

10.1007/s10853-013-7932-2

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2 **How to best measure atomic segregation to grain boundaries**
3 **by analytical transmission electron microscopy**

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6 Received: 9 July 2013 / Accepted: 28 November 2013
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8 **Abstract** This study provides an overview of the recent
9 experiments employing methods that analyse, systemati-
10 cally, series of analytical spectra acquired either in nano-
11 beam mode in a transmission electron microscope or using
12 elemental mapping in a scanning transmission electron
13 microscope. A general framework is presented that
14 describes how best to analyse series of such spectra to
15 quantify the areal density of atoms contained within a very
16 thin layer of a matrix material, as, for example, appropriate
17 to measure grain boundary segregation. We show that a
18 systematic quantification of spectra as a function of area
19 size illuminated by the electron beam eliminates the large
20 systematic errors inherent in simpler approaches based on
21 spatial difference methods, integration of compositional
22 profiles acquired with highly focused nanoprobe or simple
23 repeats of such measurements. Our method has been suc-
24 cessfully applied to study dopant segregation to inversion

domain boundaries in ZnO, to quantify the thicknesses of 25
sub-nm thin layers during epitaxial growth by molecular 26
beam epitaxy of (In)GaAs and to prove the absence of 27
gettering of dopants at $\Sigma = 3\{111\}$ grain boundaries in Si, 28
with a precision <1 atom/nm² in all these cases. 29
30

Introduction 31

The measurement of elemental segregation to grain 32
boundaries is key to understanding the brittle behaviour of 33
metallic alloys [1] and the anisotropic grain growth in 34
oxide ceramics [2]. This has traditionally been performed 35
by methods that either crack the material in ultrahigh 36
vacuum conditions followed by chemically sensitive sur- 37
face analysis using Auger electron spectroscopy [3], X-ray 38
photoelectron or secondary ion mass spectroscopy [4], or 39
by methods that prepare a specific grain boundary from 40
within the bulk, which can then be investigated by posi- 41
tion-sensitive field-ion microscopy [5] or analytical elec- 42
tron microscopy. 43

For analysis using an electron microscope, traditionally, 44
profiles across the boundary have been recorded or dif- 45
ference measurements on and off the grain boundary have 46
been compared using scanning transmission electron 47
microscopy (STEM), employing either energy-dispersive 48
X-ray spectroscopy (EDXS) [6] or electron energy-loss 49
spectroscopy (EELS) [7]. Quantification is, in all these 50
cases, limited by a number of factors: 51

- the statistical spread due to limited counting statistics 52
from analysing volumes that only contain a small 53
number of dopant atoms, leading to statistical error bars 54
of single measurements of typically 0.2–0.6 atoms/nm² 55
[8], 56

A1 Paper presented at IIB 2013 meeting, Athena Pallas Village, Greece,
A2 25 June 2013.

A3 **Electronic supplementary material** The online version of this
A4 article (doi:10.1007/s10853-013-7932-2) contains supplementary
A5 material, which is available to authorized users.

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- 57 • the knowledge of the sample geometry (specimen
58 thickness and density, and depth of the grain boundary
59 within the sample) and the amount of electron beam
60 spreading associated with this, neglect of which leads to
61 a systematic bias that usually introduces a significant
62 underestimate of the occupancy of a grain boundary by
63 solute atoms, and
64 • sensitivity of the specimen to electron beam radiation
65 damage, where an attempt to work with a strongly
66 focused electron beam to improve count rates and/or
67 reduce the time of analysis and thereby spatial drift
68 during the experiment can lead to loss of material by
69 knock-on damage, which, if the sputtering thresholds
70 for different atomic species are significantly different,
71 will again introduce systematic errors and so essentially
72 links the two problems mentioned above.

73 While the limits for detection of impurity segregation to
74 boundaries can be low, e.g. ~ 0.1 monolayers (ML) of
75 nitrogen at platelets in diamond [9] or 0.1–1.9 ML of gallium
76 at grain boundaries in aluminium [10], both reproducibility
77 and precision reported are typically worse than the sensi-
78 tivity, e.g. ~ 0.2 ML for bismuth at a tilt grain boundary in
79 copper [11] or ‘up to 1 ML of antimony distributed uni-
80 formly on the faulted zone’ (in zinc oxide) [12]. The preci-
81 sion of 0.1 ML quoted for position-sensitive atom probe
82 field-ion microscopy in [13] corresponds to 2 Ag atoms/nm²
83 at an interface between MgO and Cu; in all cases, however,
84 the precision was estimated from statistics rather than com-
85 pared with known results from a standard specimen.

86 The recent availability of aberration-corrected electron
87 microscopes has led to a number of publications with stunning
88 images of grain boundary structures at quasi-atomic spatial
89 resolution, mostly recorded in the annular dark-field scanning
90 TEM mode [14], sometimes along two perpendicular zone
91 axes [15]. Also, chemical maps showing atomic-scale details
92 by either EELS [16] or EDXS hyperspectral imaging with
93 multiple solid-state drift (SSD) detectors [17] have recently
94 been presented. While these developments present a break-
95 through in atomic structure determination and will be invalua-
96 ble for developing and testing structural models for grain
97 boundaries, there are a rather large number of physical reasons
98 why chemical quantification of such images and maps has not
99 become easier with improved resolution, but rather more dif-
100 ficult, and to date no such X-ray distribution maps of grain
101 boundary structures have been reliably quantified in terms of
102 atomic numbers per atomic column as far as the authors are
103 aware. The study of grain boundary segregation in a γ/γ' Ni-
104 base superalloy by Watanabe et al. [18] came very close,
105 measuring Zr segregation at ~ 1 -nm scale resolution; however,
106 the numerical results from the ζ -factor method (2–3 atoms/
107 nm²) and the scaling of the excess map from principal com-
108 ponent analysis (0–0.8 atoms/nm²) unfortunately did not agree.

- 109 • Spherical aberration-corrected STEM with atomic res-
110 olution necessitates very thin crystals wherein, by
111 definition, each atomic column consists of only a small
112 number of unit cells (e.g. 20 nm of Si(001) would have
113 37 Si atoms in each column) so that even the detection
114 of single atomic exchanges would amount to changes of
115 a few at.% (~ 3 at.% in the above example), and
116 measuring the segregation at the % level would
117 necessitate integration over a rather large number of
118 atomic columns whereby the benefit of in-plane atomic
119 resolution would be somewhat lost;
120 • the higher noise expected from single atomic exchange
121 statistics in thin samples as explained above can be
122 partially compensated by an improvement of the
123 electron (and, hence, also X-ray) statistics if higher
124 electron probe currents are used; however, these will
125 exacerbate the problem of beam damage by knock-on
126 damage and hole drilling, as exemplified in Fig. 10 in
127 this study;
128 • the need for zone axis orientations for atomic
129 resolution imaging and spectroscopy makes any ana-
130 lysis highly susceptible to channelling effects [19],
131 and unless the crystal thickness and local orientation
132 are precisely measured and the degree of channelling
133 is modelled by extensive simulations of the probe
134 propagation [20, 21], channelling will make the X-ray
135 production yield depth dependent in a non-linear way
136 and make reliable quantification highly difficult to
137 achieve; and, lastly,
138 • while atomic resolution imaging and mapping depend
139 on the full width at half maximum (FWHM) of the
140 probe, probe tails mainly due to chromatic aberration
141 and residual aberrations mean that for focused probes
142 the diameters that contain 50 % (d_{50}) or even 90 %
143 (d_{90}) of the total probe intensity will be much larger
144 than the FWHM values and without monochromation
145 can easily be >1 nm [22]. As a result, majority of the
146 signal in an X-ray map that may show an individual
147 atomic column does not actually stem from that specific
148 atomic column alone, but is an integral that extends
149 over several neighbour columns as well, and disentangle-
150 ment to separate the non-column-specific back-
151 ground may only be achievable by extended
152 multivariate statistical analysis, as recently attempted
153 for a periodic sample in [23].

154 Similarly, SSD detectors are very useful for standard
155 scanning electron microscopes as they are capable of very
156 high throughputs without saturation [24]; however, the
157 benefit for aberration-corrected STEM with EDXS is again
158 mainly limited to producing atomic-scale maps, if suffi-
159 cient probe current is available [25, 26], which are difficult
160 to quantify for two reasons:

- 161 • the silicon material in SSD detectors is of standard
162 silicon wafer thickness and hence much thinner than in
163 traditional Si:Li or high-purity Ge solid-state detectors,
164 implying that very hard X-rays can be transmitted
165 without detection and the detection efficiency, while
166 improved for soft X-rays if operated window-less [25,
167 26] will be decreased for hard X-rays; and from
168 discussions with manufacturers, it seems unclear
169 whether the new detector efficiencies have already
170 been measured as reliably as for the previous types of
171 detectors, which has taken them decades;
- 172 • the benefit of larger detector areas of SSDs compared to
173 standard detectors implies larger collection angles only
174 if these detectors are placed closer to the sample (which
175 in aberration-corrected microscopes with larger pole-
176 piece gaps poses no significant problem); however, in
177 this case, the detectors will integrate over a larger
178 continuous range of take-off angles each of which will
179 need a correspondingly different absorption correction.
180 Only if X-ray absorption is generally so low that it can be
181 neglected all together will the approximation of one
182 discrete take-off angle rather than a range of those
183 remain sufficiently accurate. It may be expected that this
184 could become a major headache for manufacturers and/
185 or operators if they want to quantify X-ray spectra taken
186 under conditions where take-off angles cover a very
187 large angular range that cannot be accurately measured.

188 We have shown that a systematic analysis of a series of
189 spectra instead, taken either from around the grain
190 boundary with varying diameters of the electron beam (in
191 convergent beam nanoprobe mode) [27] or as a function of
192 the width of the raster scan around the grain boundary (in
193 scan mode) [28], based on a linear least-squares fit of the
194 ratio of matrix/solute atoms as a function of beam radius or
195 scan width, yields several advantages over the above-
196 mentioned methods, namely improved reproducibility of
197 the results, higher accuracy and the fact that the accuracy
198 expected can be directly estimated from the regression
199 coefficients, which is regarded useful for cases where no
200 standards is available for comparison.

201 We have so far done simulations for various conditions
202 [10–13, 27–30] and originally applied the technique using
203 X-ray spectra to measure segregation of antimony (Sb)
204 [31], tin (Sn) [32] and iron (Fe) [33, 34] ions to inversion
205 domain boundaries in doped zinc oxide (ZnO). Then, we
206 have used it to measure the thickness of epitaxial layers
207 only a few monolayers thin of InAs/InP, where the method
208 proved useful to detect an occasionally leaking gas valve
209 [35], and InAs/GaAs [36], where we investigated the onset
210 of islanding (Stranski–Krastanow growth) in strained layer
211 epitaxy. In principle, such ultrathin epitaxial layers can be
212 regarded as artificially created grain boundaries that are

213 sandwiched between the surrounding layers without a
214 change of crystallographic structure and the chemical
215 width of which can be calculated precisely using our
216 approach.

217 It should be noted that our approach measures the
218 integrated signal from atoms or ions segregated to a planar
219 fault very precisely and as such provides a numerical value
220 and an error bar, but it does not have any spatial resolution
221 *per se* and as such will need highly resolved images (and
222 maybe also maps) to develop or test structural models. It
223 can be applied to series of EEL or EDX spectra without
224 complicated multivariate statistical or principal component
225 analysis [28].

226 Here, some of these experiments will be briefly
227 reviewed (doped ZnO studied in nanobeam TEM mode and
228 InGaAs quantum wells investigated by X-ray mapping in
229 STEM), before a new application of the technique to doped
230 grain boundaries in silicon will be presented where the
231 method has been used successfully to rule out segregation
232 of As, and to a less certain degree also of Ga, to a typical
233 $\Sigma = 3\{111\}$ grain boundary in Si.

234 Simulations

235 Simulations of electron beam broadening in a thin foil
236 specimen under kinematic approximations for various
237 image conditions [27, 28] have shown that the apparent
238 concentration of a particular element present in a planar
239 fault or a very thin epitaxial layer imaged edge-on as
240 measured by an electron beam centred above that fault or
241 layer is systematically lower than the value expected from
242 geometrical consideration of the extension of the fault or
243 layer within the area sampled by the incident electron
244 beam. Figure 1 shows typical results from such simulations
245 as appropriate for imaging silicon (Si) with 100 keV
246 electrons. For the smallest beam size considered, of 1 nm
247 radius, a fault 0.2 nm wide and covered by 50 % of solute
248 atoms would cover 6.37 % of the incident area, and this
249 ideal value for a very thin specimen (foil thickness $t \rightarrow 0$)
250 has been used as maximum scale value on the y-axis of that
251 figure. For comparison, beam broadening in a specimen of
252 finite thickness t would be expected to decrease this value
253 for an incident 2-nm-diameter beam to 6.12 % for
254 $t = 5$ nm, 5.85 % for $t = 10$ nm, 5.15 % for $t = 25$ nm,
255 3.50 % for $t = 50$ nm, 2.25 % for $t = 100$ nm and 1.32 %
256 for $t = 200$ nm, i.e. for $t > 50$ nm, the apparent concen-
257 trations will be less than halved; and even for comparably
258 thin foils of 10–20 nm thickness, the relative underestimate
259 will be 8–19 %. As a consequence, without taking beam
260 broadening into account by explicit modelling, any chem-
261 ical measurements will yield a significant underestimate of
262 the true solute concentrations.

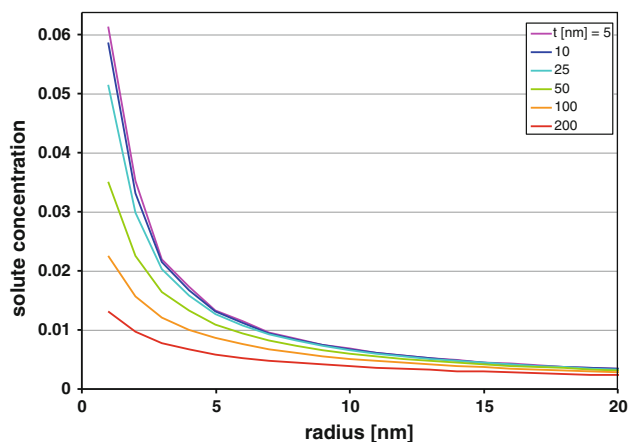


Fig. 1 Simulation of the apparent solute concentration for a planar fault 0.2 nm wide and covered to 50 % by a solute element, for pure Si imaged at 100 kV, X-ray detection from the complete hemisphere above the fault, beam diameters from 2 to 40 nm and specimen thicknesses t as indicated

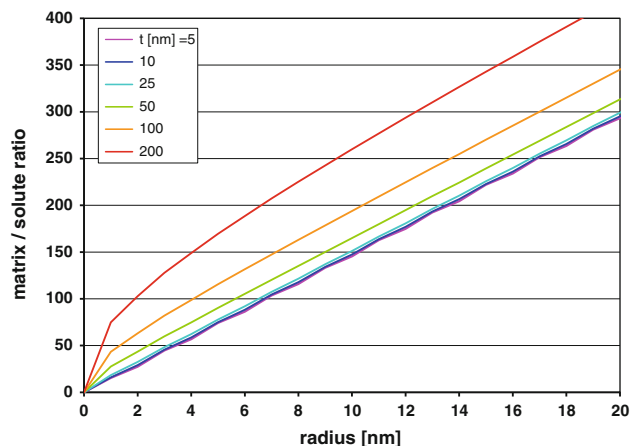


Fig. 2 Simulations as for Fig. 1, but now plotting the inverse, i.e. the matrix/solute ratio as a function of incident beam size

263 Taking beam broadening into account properly in
 264 practice will need an experimental measurement of the
 265 specimen thickness, which is difficult to achieve reliably
 266 and with sufficient accuracy (thickness fringes are too
 267 coarse, convergent beam methods work well only for very
 268 large thicknesses and neglect amorphous surface layers,
 269 and electron energy-loss spectroscopy relies on the
 270 knowledge of either the mean free path or optical proper-
 271 ties, both of which will to a certain extent depend on the
 272 chemistry which is to be measured and thus only approx-
 273 imately known a priori). If we plot the inverse ratio, as in
 274 Fig. 2, of the matrix/solute ratio, we can see that these
 275 curves tend to be nearly linear functions of the beam size,
 276 which can be understood from the corresponding volumina
 277 covered by the fault (a sheet of thickness d , width $2r$ and
 278 length t) and the matrix (without beam broadening: a cone
 279 of diameter $\sim 2r$ and height t , from which the fault volume
 280 needs to be subtracted). Using such a simple geometric
 281 approach [27], the intensity ratio of X-ray counts from
 282 matrix to solute atoms, corrected for the k -factors of the
 283 corresponding lines, has been shown to be given by the
 284 following expression, which is thickness independent:

$$R = [(1-x)(\pi r^2 - 2rd)] / [(2rd + \pi r^2 x)] \approx \pi r / (2d) - 1$$

286 where x is the solid solubility, r is the electron beam radius
 287 and d is the effective chemical width of the fault or layer
 288 investigated. In the case of a square of width
 289 $w = 2r$ scanned in STEM with the fault centred in the
 290 middle, the factor π needs to be substituted by the factor 4,
 291 yielding an approximate slope of w/d instead of $\pi/(2d)$ [28].

292 Beam broadening will occur and increase as function of
 293 t , thereby introducing non-linearities in the above rela-
 294 tionship. Our approach now is based upon measuring

several spectra with different beam radii [27] or from scan
 regions of different widths [28] and using linear regression
 to determine the slope and its error, which yields the
 inverse of the effective chemical width of the fault and an
 error estimate thereof. More details can be found in ref-
 erences [27] and [28].

It should be pointed out that the finite solid solubilities
 of solute atoms within the matrix will lead to noticeable
 non-linearities for $x \gg 20$ ppm, as shown in Fig. 3, and
 the solid solubility itself can be determined reliably either
 from measurements on such a fault if $x > 1000$ ppm =
 1 %, as has been done for a thin ilmenite layer with excess
 iron on (301) twins in rutile mineral [37], or from mea-
 surements off the fault. If it is uncertain whether solid
 solubility will be a potential problem for a given material
 system, then one can either try to measure the solubility far
 away from the grain boundary or, if there could be other
 grain boundaries that are not oriented edge-on and hence

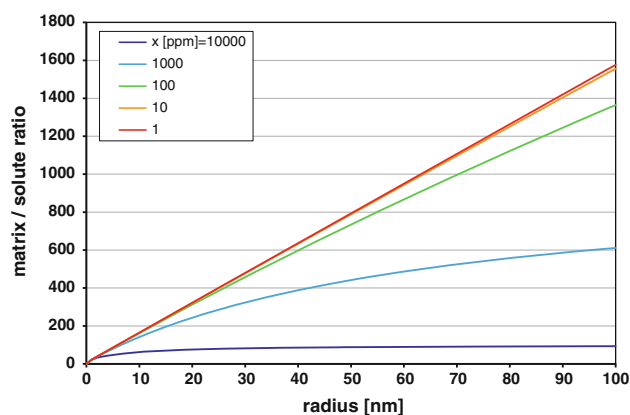


Fig. 3 Simulations as for Fig. 2, but now with a finite solubility, x , of the solute atoms in the matrix. Slight deviations from linearity can be noticed for $x > 20$ ppm [34]

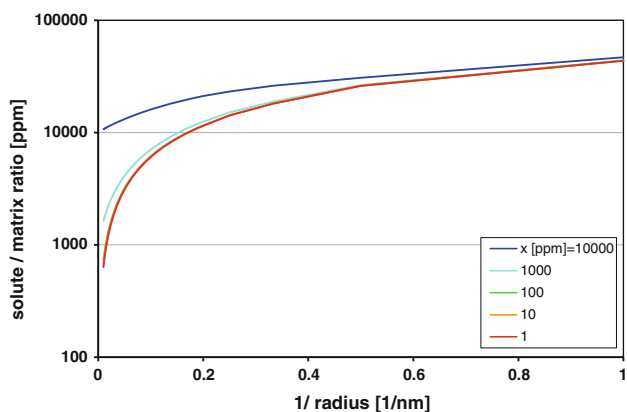


Fig. 4 Simulations as for Fig. 3, but now plotting the measured solute/matrix ratio as function of the inverse beam radius, for different solid solubilities

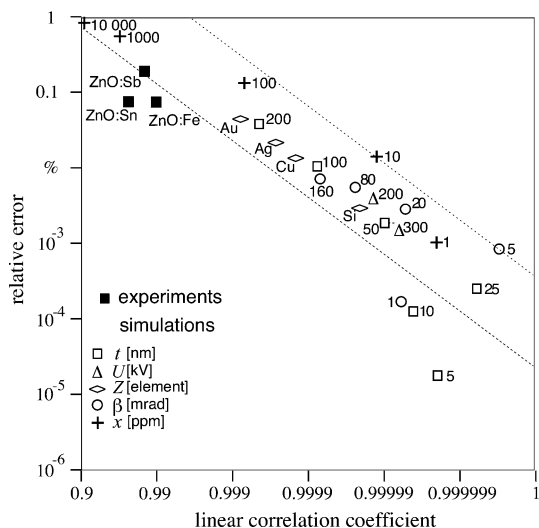


Fig. 5 Comparison of experimental results for three differently doped ZnO samples to numerical simulations (from [34]), showing that precision and linear correlation coefficients are related to each other within a rather narrow corridor marked by dotted lines. The simulations for very thin crystals have large error bars because the number of solute atoms within the volume analysed was very small, which introduced sampling artefacts, and the experimental data include additional detector noise from the background subtraction and peak-fitting routines

313 not easily visible, plot from the same set of measurements
 314 on the one grain boundary under analysis the solute/matrix
 315 ratio as function of the inverse beam size. Such a plot is
 316 shown in Fig. 4 for the same data as before. The solubility
 317 limit can be extracted from extrapolation of a polynomial
 318 fit to the curves to the vertical axis, as the limit $1/r \rightarrow 0$
 319 implies $r \rightarrow \infty$, i.e. a situation similar to a bulk study with
 320 a defocused electron beam.

321 Figure 5 plots, for all sets of simulations undertaken for
 322 the above model with $\frac{1}{2}$ monolayer of segregated dopants,
 323 the relative error, i.e. the deviation of the apparent

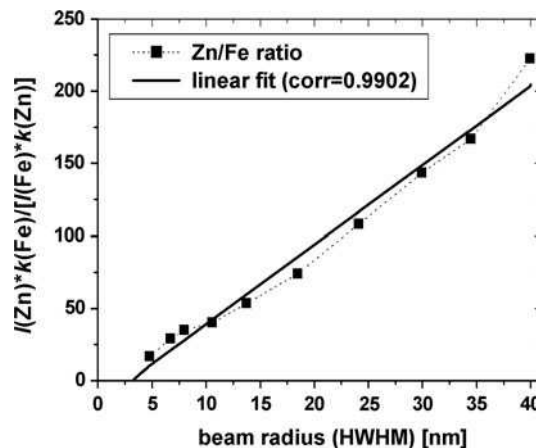


Fig. 6 Result from nanobeam measurements on an inversion domain boundary in Fe₂O₃-doped ZnO (from [38]). The resulting slope converted to 1.02 ± 0.08 of a complete (0002) plane occupied by Fe³⁺ ions

chemical width of the fault from the nominal input value, $\Delta d/d$, as function of the linear correlation coefficient from the linear least-squares fits as described before. The default parameters are $Z = 14$ (Si), $U = 100$ kV, $t = 100$ nm, $x = 0$, $\beta = \pi$, and those single parameters that have been changed have been individually marked. It is clear that almost all data points, with the exception of some referring to very thin silicon, lie within a narrow corridor with a negative slope. This implies that the relative error decreases (and hence, the accuracy improves) as the plots become more linear. In particular, by measuring the linear regression coefficient for any set of measurements, an independent estimate of the expected precision is yielded: for $R^2 = 0.9999$, we would expect a relative deviation of the measured from the correct result by only a few %.

$R^2 = 0.99... 0.999$ has been achieved in practice, as shown in Fig. 6 for the case of an Fe-doped inversion domain boundary in ZnO, which implies relative errors of a few %, in agreement with further experiments [29–34]. The reasons for the enlarged relative errors are additional statistical variations when performing experiments where individual X-rays are to be counted.

Experimental

Material growth

The ZnO:Fe₂O₃ sample was produced by sintering dry-pressed pellets of polycrystalline ZnO and α -Fe₂O₃ powders in sealed platinum ampoules at a temperature of 1350 °C for one day.

The InAs/GaAs sample #Vn858 was grown at the National Centre for III/V Technologies of the University of

354 Sheffield by molecular beam epitaxy at nominally 505 °C
 355 on n⁺-doped GaAs(001) after deposition of buffer layers of
 356 1 μm GaAs at 580 °C, 7 μm Al_{0.35}Ga_{0.65}As at 630 °C and
 357 another 2 μm GaAs at 580 °C. The InAs quantum wells of
 358 nominal thicknesses of 1.6, 1.8 and 2.0 bilayers (mono-
 359 layers of the group-III sub-lattice) were separated by
 360 nominal 70 nm GaAs so that we could analyse them sep-
 361 arately even with larger electron beam sizes.

362 For the latest study, a bulk single crystal of Si, co-doped
 363 with Ga and As atoms at concentrations expected from stock
 364 weights to be of the order of 10⁻¹⁹ cm⁻³, was grown by the
 365 Czochralski method from a melt of As-doped Si and 6 N-
 366 grade Ga charged in a silica crucible, with the pulling direc-
 367 tion of [001] and a growth rate of 8 mm/h. A thermal shock
 368 was applied during growth by rapidly dropping of the growth
 369 temperature, which intentionally introduced a single
 370 Σ = 3{111} twin grain boundary that expanded throughout
 371 the entire crystal without polycrystallisation. The crystal was
 372 then annealed at 900 °C for 100 h so that the dopant atoms
 373 could interact with the grain boundary. A small foil with a
 374 (1 $\bar{1}$ 0) surface in which the grain boundary expanded per-
 375 pendicular to the surface was cut from the crystal. The loca-
 376 tion of the grain boundary was determined by chemical
 377 etching with a mixture of 3HNO₃:1HF at a temperature of
 378 300 K, by which the grain boundary was observed as a groove
 379 on the surface. Both foil surfaces were mechanochemically
 380 polished until the foil was a few μm in thickness. This was
 381 then glued onto a molybdenum washer for further handling.

382 Sample preparation

383 Cross-sectional specimens with electron transparent edges
 384 were produced by standard methods of mechanical grinding,
 385 polishing and argon ion beam milling under angles of typ-
 386 ically 7°–10° until perforation. A final polishing step was
 387 performed for a few minutes at ion energies of 1–2 keV.

388 Electron microscopy

389 The electron microscopy studies on ZnO were performed
 390 using a Philips CM300UT at 300 kV with a Noran high-pu-
 391 rity Ge detector for X-rays installed at the University of
 392 Bonn, and a JEOL 2010F at 200 kV equipped with an
 393 ultrahigh resolution pole piece and an Oxford Instruments
 394 Si:Li detector installed at the Josef Stefan Institute in
 395 Ljubljana. All experiments on GaAs, InP and Si were
 396 performed with a similar JEOL instrument at the Univer-
 397 sity of Sheffield. All X-ray detectors had ultrathin polymer
 398 windows to shield the cooled semiconductor detectors from
 399 the microscope column. For elemental ratios, the measured
 400 X-ray counts were corrected by the *k*-factors of the corre-
 401 sponding X-ray lines.

Results and discussion

Inversion domain boundaries in ZnO doped with Fe, Sn
 or Sb

Experiments from three differently doped ZnO samples
 [29, 31–34] showed that fractional occupancies of mono-
 layers [in this case, an (0002) plane with octahedral sites in
 the wurtzite structure] by the solute atoms could be reliably
 measured, with error bars of 0.4–0.8 atoms/nm², in
 agreement with expectations from simulations [27]. These
 measurements have been successfully employed to develop
 a universal model for the formation of such inversion
 domain boundaries in wurtzite crystal lattices based on the
 condition of overall charge neutrality which is fulfilled
 when octahedral interstitial sites that are surrounded by six
 O²⁻ anions each are filled with cations that are on average
 trivalent, i.e. the inversion domain boundary consists of a
 single basal plane which must contain corresponding
 fractions of Zn²⁺ and ions of different valences (Fe³⁺,
 Sn⁴⁺, Sb⁵⁺) to yield an average charge of 3+. Figure 6
 shows a typical experimental dataset for ZnO:Fe₂O₃ and its
 linear regression analysis as explained in the section on
 simulations.

Wetting layer thicknesses in InGaAs/GaAs(001)
 strained layer epitaxy

It is well established that InAs/GaAs(001) grows in the
 Stranski–Krastanow growth mode where the initially flat
 InAs layer, due to strain, starts islanding if growth con-
 tinues beyond ~1.8 monolayers (ML) of InAs [39, 40].
 This is fundamental for all applications of self-assembled
 strained quantum dots. The InAs layers grown here were
 grown by molecular beam epitaxy on GaAs(001) sub-
 strates at 505 °C to nominal thicknesses of 1.6, 1.8 and
 2.0 ML, as confirmed by in situ reflection high-energy
 electron diffraction oscillations, with 70 nm GaAs spacer
 layers. From X-ray distribution maps acquired with
 ~2 nm/pixel sampling, as shown in Fig. 7, we have
 extracted the integral counts from the K- and L-lines for
 each map section around each InAs layer and plotted the
 As/In ratio, corrected for *k*-factors, as a function of the
 window length, *L*, perpendicular to each layer (up to a
 total width of ~110 nm, above which signals from the
 adjacent layers started to contribute). The resulting plots,
 shown in Fig. 8, have been subjected to linear regression
 analysis, and the slopes and their errors extracted and
 converted to full equivalent (002) monolayers covered by
 InAs [36]. From the weighted averages of the values
 extracted for the last flat quantum well (QW2) and the
 first layer showing quantum dots (QW3), we have cal-
 culated the onset of the Stranski–Krastanow transition to

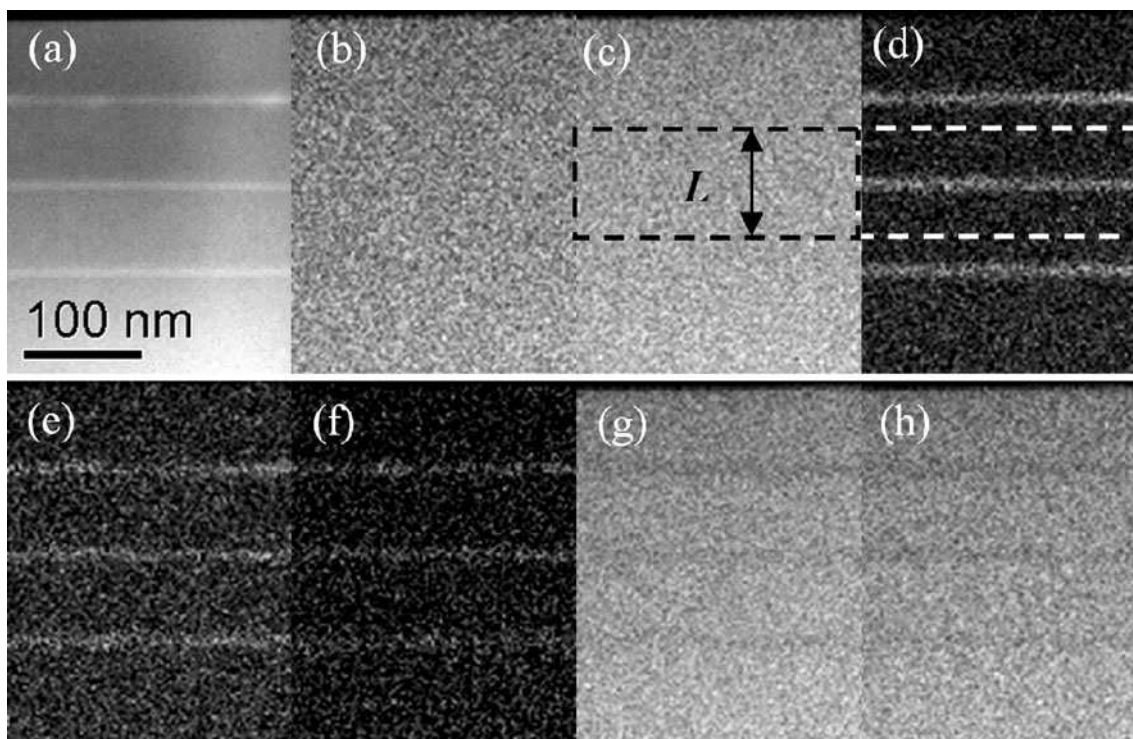


Fig. 7 a ADF image and X-ray elemental maps of b As_L (30–205 counts), c As_K (29–240 counts), d $In_{L\alpha}$ (1–33 counts), e $In_{L\beta}$ (0–29 counts), f $In_{K\alpha}$ (0–20 counts), g Ga_L (50–336 counts) and (h) Ga_K (32–246 counts) [36]

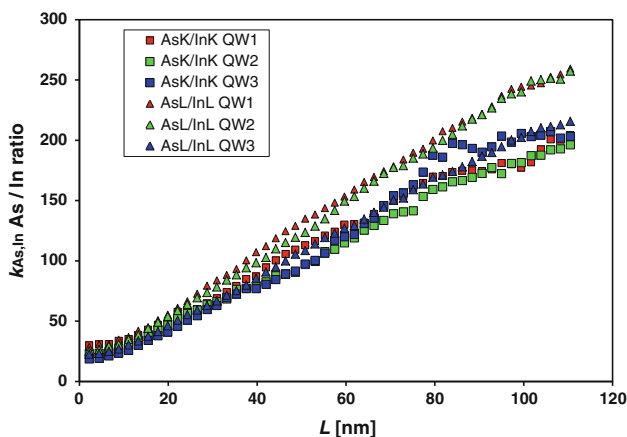


Fig. 8 Plot of k -factor-corrected As/In ratio vs window length, L , for each of the three quantum wells. The slope is again inversely related to the effective width of the In-containing layers [28, 36] which can thus be calculated. Squares are experimental data from K-lines and triangles from L-lines

451 occur around 1.67 ± 0.14 monolayers of InAs, which is
 452 in better agreement with references [39, 40] than corre-
 453 sponding values calculated from integration of composi-
 454 tional profiles using either the relative decrease of the Ga
 455 signal or the relative increase of the In/(In + Ga) signal
 456 [36].

$\Gamma = 3\{111\}$ Si grain boundary doped with Ga and As

457

The most recent application of our technique has been to
 $\Gamma = 3\{111\}$ grain boundaries in Si co-doped with Ga and
 As. A single grain boundary was first located and imaged
 close to a $\langle 110 \rangle$ zone axis. A typical lattice image at
 197 kV using the charge-coupled device (CCD) camera
 behind a Gatan Imaging Filter (GIF 2000) of the JEOL
 2010F at the University of Sheffield, recorded at a nominal
 primary magnification of $50k\times$, is shown edge-on in
 Fig. 9. The slight asymmetry of the image pattern on either
 side of the grain boundary is due to a very small twist
 component, as confirmed by comparing the precise orienta-
 tions of the diffraction patterns of the grains from either
 side of the grain boundary. We found a difference in ori-
 entation of $1.3 \pm 0.2^\circ$, which explains the dark contrast
 in the lower grain near the left side of the image in Fig. 9.
 When imaged in scan mode (STEM) with a 9.5 mrad beam
 convergence (not shown here), bright field imaging
 revealed the grains with noticeably different contrast,
 which we attribute to the different crystal orientations,
 while in high-angle annular dark-field imaging, the grain
 boundary was sometimes bright, sometimes dark and often
 invisible, indicating that segregation, if present at all, could
 not be very strong as Z-contrast could not be observed even
 for inner collection angles above 55 mrad. If we imaged a

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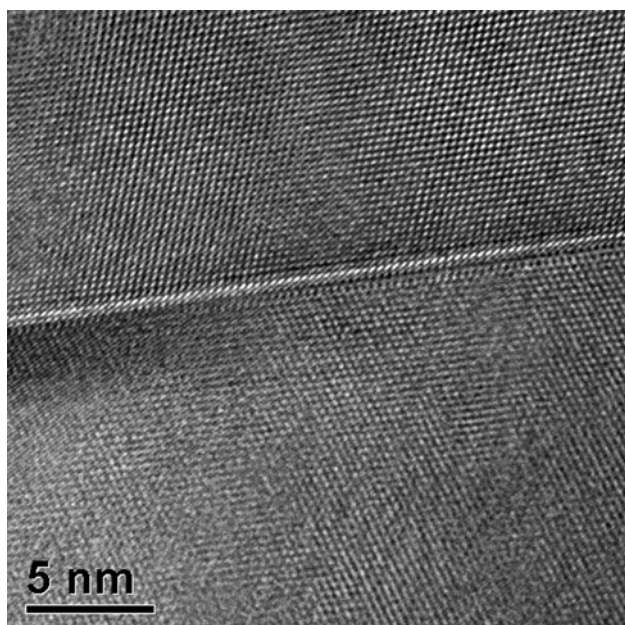


Fig. 9 $\langle 110 \rangle$ lattice image of a very thin part of the specimen recorded at $\times 50$ k primary magnification in a JEOL 2010F with Gatan Imaging Filter (GIF 2000), which provides an additional built-in magnification factor of $\times \sim 19$. The $\Gamma = 3\{111\}$ grain boundary has a small twist component that leads to the asymmetry of the image patterns in both grains

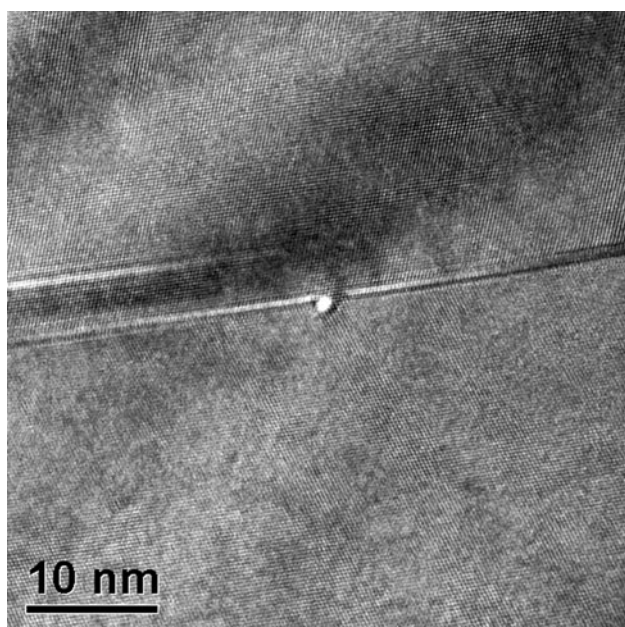


Fig. 10 $\langle 110 \rangle$ lattice image of a thicker part of the specimen recorded at $\times 25$ k primary magnification in the JEOL 2010F with Gatan Imaging Filter (GIF 2000). The $\Gamma = 3\{111\}$ grain boundary now appears laterally spread due to its inclination in the foil. A spread of ~ 6 nm for a ~ 250 -nm-thick specimen agrees with the twist component measured by electron diffraction. The 1-nm hole was produced by a focused electron beam of 0.6 nA current in less than 2 s

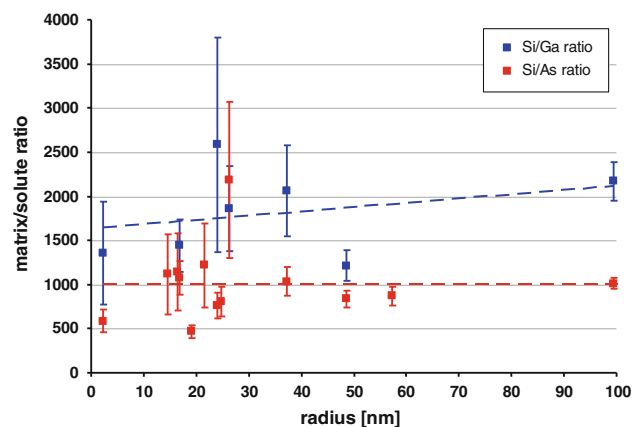


Fig. 11 Plot of Si/Ga (blue symbols) and Si/As ratio (red symbols) versus electron beam radius measured as full width at half maximum on the CCD camera. Linear fits are marked dashed

thicker part of the specimen, we observed that the grain 482
 boundary appeared to spread out laterally by several 483
 nanometres, which can be directly understood by its 484
 inclination with respect to the $\langle 110 \rangle$ zone axis orientation. 485
 This demonstrates that along this orientation, an investi- 486
 gation by a focused electron beam would have revealed an 487
 apparently diffuse interface between the grains. Also, 488
 the specimen was so beam sensitive that focusing the ~ 0.6 nA 489
 intense beam drilled a hole into the specimen within less 490
 than 2 s, during which no useful chemical analysis by 491
 energy-dispersive X-ray spectroscopy (EDXS) could have 492
 been performed. In order to avoid quantification problems 493
 due to diffraction effects and hole drilling, we tilted the 494
 specimen a few degrees further towards the X-ray detector 495
 and generally worked with a beam wider than 20 nm (only 496
 two spectra with ~ 4.5 nm probe diameter were recorded, 497
 which already indicated some surface etching), and suc- 498
 cessive spectra were acquired from regions laterally dis- 499
 placed far enough that in the images of the beam profiles 500
 recorded with the grain boundary almost edge-on in the 501
 middle of the electron beam no further loss of material was 502
 apparent (Fig. 10). 503

We then recorded almost 20 X-ray spectra with different 504
 sizes of the electron beam and quantified the chemical 505
 composition of all spectra using Oxford Instrument's ISIS 506
 300 software (revision 3.2), taking into account nominal k - 507
 factors and all lines detected which, apart from >90 at.% 508
 Si_{K} , included a few at.% O_{K} from surface oxidation and 509
 similar amounts of $\text{Mo}_{\text{K}\alpha,\beta}$ from the metal grid supporting 510
 the specimen, as well as $\ll 1$ at.% from Fe_{K} (stray signal 511
 from steel near the pole piece) and Ga_{K} and As_{K} from the 512
 doping. Spectra where the Ga and As signals were below 513
 the standard errors from counting statistics and background 514
 subtraction were discarded from further analysis; for those 515
 with signals above the detection threshold of ~ 0.03 at.%, 516

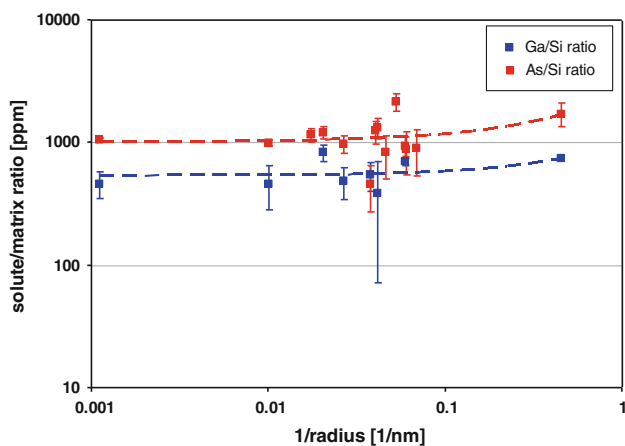


Fig. 12 plot of Ga/Si (blue symbols) and As/Si ratio (red symbols) vs inverse of the electron beam radius on a double-log scale. Note that linear fits appear curved on this scale

Table 1 Numerical analysis of plots from Figs. 11 and 12 for $\Sigma = 3\{111\}$ Si grain boundary

Solute X-ray line	Ga K	As K
x_{solute} (ppm) from all X-ray spectra with K-line intensity > standard error	520 ± 130	1170 ± 310
x_{solute} (ppm) from inverse of y-offset of matrix/solute vs r plots in Fig. 11	610 ± 117	1006 ± 200
x_{solute} (ppm) from y-offset of solute/matrix vs $1/r$ plots in Fig. 12	536 ± 62	1024 ± 121
R^2 of linear fit to matrix/solute ratio vs r in Fig. 11	0.0909	0.0009
Slope of linear fit of matrix/solute ratio vs r (nm^{-1}) in Fig. 11	4.78 ± 6.77	-0.05 ± 0.49
y-offset in multiples of error bars for linear fits to matrix/solute ratio vs r in Fig. 11	5.21	5.03

517 the ratio of Si/Ga (and Si/As) has been plotted as function
518 of beam radius in Fig. 11.

519 The plot of Si/solute ratio vs electron beam radius in
520 Fig. 11 and the double-log plot of the inverse ratios versus
521 inverse of the electron beam radius in Fig. 12 were all
522 subjected to standard linear regression analysis, the
523 numerical results of which are listed in Table 1. It is clear
524 that the Si/As ratio is fairly constant, with a regression
525 coefficient close to zero, indicating the absence of any As
526 segregation. A solid solubility of $\sim 1000 \text{ ppm} = 1 \text{ ‰}$
527 is obtained for As, and as suggested previously [27] this can
528 be measured most reliably from extrapolating the plot in
529 Fig. 12 (where the limit $1/r \rightarrow 0$ means $r \rightarrow \infty$ so that the
530 measurements should not be influenced by the grain
531 boundary present any more at all). For Ga, there is a slight
532 positive linear trend in the dataset of Fig. 11, with a cor-
533 relation coefficient of 0.0909; however, due to the lower

overall concentration of this element, only data points from
534 seven spectra could be included in Fig. 11 and eight data
535 points in Fig. 12. These indicate a solid solubility of Ga of
536 $\sim 530 \text{ ppm}$, which almost approaches the detection
537 threshold for transition metals of $\sim 0.03 \text{ at.}\%$ and shows
538 that we are pushing the limits of the technique. If we cal-
539 culate the ratios of the measured solubilities from the y-
540 offsets of the fits in Fig. 11 to the corresponding error bars
541 in the matrix/solute ratio, we get ratios of ~ 5 for both
542 elements, indicating that we can exclude segregation to the
543 grain boundary within 5σ intervals which, if the statistics
544 were binomial, would correspond to a confidence interval
545 well over 99 %.

547 Grain boundary segregation in the presence of a solid
548 solubility of $\sim 1000 \text{ ppm} = 10^{-3}$ should have produced
549 strongly bent curves through the origin, as simulated in
550 Fig. 3, which would be very difficult to reconcile with the
551 data measured in Fig. 11.

552 Our finding is in agreement with the literature data
553 which indicate that $\Gamma = 3$ grain boundaries in silicon have
554 rather compact dislocation cores, do not lead to significant
555 grain boundary grooving during growth from the melt [41],
556 are usually electrically inactive [42] and neither exhibit
557 segregation of transition metal cations [43] nor oxygen
558 [44], although segregation of both carbon [45] and silicon
559 vacancies [46] have been reported. This implies that co-
560 segregation of gallium and arsenic at similar levels could
561 have occurred as long as charge neutrality had been
562 retained. We can, however, exclude this from the above
563 measurements.

Conclusions

564 With the methodology proposed, it is possible to measure
565 grain boundary segregation with a precision and accuracy
566 down to $\sim 0.1 \text{ at}/\text{nm}^2$ in theory, while we typically
567 obtained error bars of $\sim 0.4 \text{ at}/\text{nm}^2$ in our experiments for
568 doped inversion domain boundaries in ZnO. This has been
569 sufficient to distinguish fractional coverage of a single
570 octahedral monolayer by $1/3$, $1/2$ and a complete monolayer
571 and thus paved the way to a general model for cation
572 segregation to inversion domain boundaries in wurtzite
573 lattices.

574 The method has also been applied to determine the
575 thicknesses of thin InAs wetting layers in strained epitaxial
576 growth of InGaAs to ~ 0.1 monolayer precision, in good
577 agreement with other methods, and the uncertainty of
578 $1.67 \pm 0.14 \text{ ML}$ of InAs for the onset of the Stranski-
579 Krastanow transition has been mainly due to the need to
580 study one layer just below and one just above the islanding
581 transition.
582

583 In the case of a Ga and As co-doped $\Sigma = 3\{111\}$ grain
584 boundary in Si, we could measure the doping levels as
585 $n_{\text{Ga}} = 5 \times 10^{-4}$ (500 ppm) and $n_{\text{As}} = 1 \times 10^{-3}$ (1000 ppm)
586 and rule out any As segregation. The Ga concentration was
587 just above the detection limit and thus the counting statis-
588 tics too low to measure reliably any segregation to the
589 grain boundary; however, modelling indicates that the
590 segregation levels cannot be significant for Ga either.
591

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