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Hydrological performance of a full-scale extensive green roof located in a temperate climate

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77 **Abstract**

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79 Increasing recognition is being given to the adoption of green roofs in urban areas to
80 enhance the local ecosystem. Green roofs may bring several benefits to urban areas
81 including flood mitigation. However, empirical evidence from full-scale roofs,
82 especially those that have been operational for more than several years is limited. This
83 study investigates the hydrologic performance of a full-scale extensive green roof in
84 Leeds, UK. Monitoring of the green roof took place over a 20 month period (between
85 30th June 2012 and 9th February 2014). The results indicate that the green roof can
86 effectively retain and detain rainfall from the precipitation events included in the
87 analysis. Retention was found to correspond significantly with rainfall depth, duration,
88 intensity and prior dry weather period. Significant differences in retention values
89 between the summer and winter seasons were also noted. Regression analysis failed to
90 provide an accurate model to predict green roof retention as demonstrated by a
91 validation exercise. Further monitoring of the green roof may reveal stronger
92 relationships between rainfall characteristics and green roof retention.

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96 **KEYWORDS:** Urban drainage, storm water management, green roof, retention,
97 urbanisation

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101 **Abbreviations**

102

103 CSOs – Combined Sewage Overflows

104 SUDS – Sustainable Urban Drainage Systems

105 BMPs – Best Management Practices

106 LIDs – Low-impact Developments

107 SUWM – Sustainable Urban Water Management projects

108 ADWP – Antecedent Dry Weather Period (hours)

109 ET – Evapotranspiration

110 AWS – Automatic Weather Station

111 NCAS – National Centre for Atmospheric Science

112 RD – total rainfall depth (mm)

113 TR – total runoff depth (mm)

114 RET – retention (%)

115 RD – rain duration (hours)

116 i – rainfall mean intensity (mm/hour)

117 R_p – rainfall peak intensity (mm/hour)

118 LG1 – lag-time (1) (minutes)

119 LG2 – lag-time (2) (minutes)

120 WFD – Water Framework Directive

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125 **1. Introduction**

126

127 Currently over half of the world’s population live in urban areas and it is expected to
128 reach 70% by 2050 (UN Habitat, 2013; Willuweit & O’Sullivan, 2013). From 2001-
129 2011, the population across England and Wales increased by approximately 7% to reach
130 56 million (Office for National Statistics, 2012). This unprecedented rate of growth and
131 urbanisation has considerable effects on the surrounding environment as developments
132 replace natural lands with impervious surfaces (Vesuviano & Stovin, 2013). This alters
133 the local hydrological cycle by preventing infiltration of rainfall into soil and increasing
134 surface runoff (Getter et al., 2007; Dowling, 2002). Consequently, when drainage
135 systems are unable to cope with high amounts of runoff associated with precipitation
136 events, pluvial flooding can occur (Berndtsson, 2010; Perry & Nawaz, 2008).

137 Furthermore, it is predicted that in the near future the UK will experience more frequent
138 and intense precipitation events as a result of climate change (IPCC, 2012). This has the
139 potential to increase the frequency and intensity of pluvial floods (Speak et al., 2013;
140 Butler & Davies, 2011).

141

142 Traditionally, combined sewer systems, which account for 70% of the total sewerage
143 system in the UK, are used to convey stormwater runoff and wastewater away from
144 urban areas (Butler & Davies, 2011; Hall, 2001). If the system’s capacity is reached

145 during a rainfall event, Combined Sewage Overflows (CSOs) are used to discharge any
146 excess flows into nearby water bodies (Figure 1.1) (Vesuviano & Stovin, 2013; Hall,
147 2001). As a result, untreated sewage often enters rivers and streams (Buccola & Spolek,
148 2011). This increases the risk of flooding downstream, reduces groundwater recharge
149 and degrades aquatic ecosystems by increasing flows and transporting harmful
150 pollutants to water bodies (Hilten et al., 2008; Carter & Jackson, 2007; Carter and
151 Rasmussen, 2006). The inadequacy of the stormwater drainage system in the UK has
152 been labelled as a major cause of the pluvial flooding that occurred throughout the
153 summer of 2007 (Ellis, 2010). Moreover, despite being designed to provide emergency
154 relief, many CSOs discharge following small rainfall events (Carson et al., 2013;
155 Fassman-Beck et al., 2013). This highlights the need to improve the conventional urban
156 stormwater drainage systems (Nagase & Dunnett, 2012; Newton et al., 2007; VanWoert
157 et al., 2005).

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167 Figure 1.1: A combined sewer outfall in Leeds (Leeds City Council, 2004).

168

169 However, there are over 20,000 CSOs throughout the UK, and it is considered
170 economically unfeasible and impractical to upgrade the entire system (Qin et al., 2013;
171 BBC, 2009; Water UK, 2009). Thus alternative ways to manage urban runoff and

172 reduce urban flood risk are being explored (VanWoert et al., 2005). In the UK, the
173 Environment Agency is promoting the use of Sustainable Urban Drainage Systems
174 (SUDS) as a way of controlling rainfall and runoff at source (Stovin et al., 2012; Stovin,
175 2010; Seters et al., 2009). SUDS, also known as Best Management Practices (BMPs),
176 Low-Impact Developments (LIDs) and Sustainable Urban Water Management (SUWM)
177 projects can be used to increase infiltration and manage the quantity and quality of
178 runoff in a sustainable manner (Deng et al., 2013; Carpenter & Kaluvakolanu, 2011;
179 Damodaram et al., 2010). They include such designs as infiltration basins, permeable
180 pavements, swales, wetlands, soakaways and green roofs (Stovin et al., 2013; Butler &
181 Davies, 2011; Hall, 2001).

182

183 Green roofs in particular, have gained considerable attention in recent years as a
184 potential cost-effective way to mitigate urban flood risk (Stovin et al., 2013; Beck et al.,
185 2011). They are defined as roofs which are partially or completely covered with a
186 growing medium (substrate) and vegetation (excluding pot vegetation) (Mickovski et
187 al., 2013; Berndtsson, 2010; Olly et al., 2011). Whilst most SUDS require large spaces,
188 green roofs require no additional space beyond a buildings footprint (Zhang & Guo,
189 2013; Stovin et al., 2012). Furthermore, green roofs can be retrofitted onto existing
190 buildings as well as incorporated into new developments (Castleton et al., 2010). This is
191 particularly beneficial in urban areas where roofs can account for a high proportion of
192 the total impervious land area (Carson et al., 2013; VanWoert et al., 2005).

193

194 Amongst a range of benefits offered, green roofs allow infiltration and can retain
195 rainfall (Mentens et al., 2006). Some rainfall is used by the vegetation and released back

196 into the atmosphere through evapotranspiration whilst any excess rainfall which is not
197 retained by the roof is slowly released (Zhang & Guo, 2013; Carpenter &
198 Kaluvakolanu, 2011). Consequently, green roofs can delay the initiation of runoff,
199 reduce total runoff volumes, reduce peak runoff rates and discharge runoff over a longer
200 period of time, when compared to conventional roofs (Figure 1.2) (Vesuviano & Stovin,
201 2013; Berndtsson, 2010; Mentens et al., 2006). Additional benefits to the apparent
202 hydrological benefits of green roofs is that they can provide a variety of further
203 environmental and social benefits to the building owner, the occupants and the wider
204 community (see Table 1.1) (Bianchini & Hewage, 2012; Nagase & Dunnett, 2010;
205 Oberndorfer et al., 2007; Getter & Rowe, 2006).

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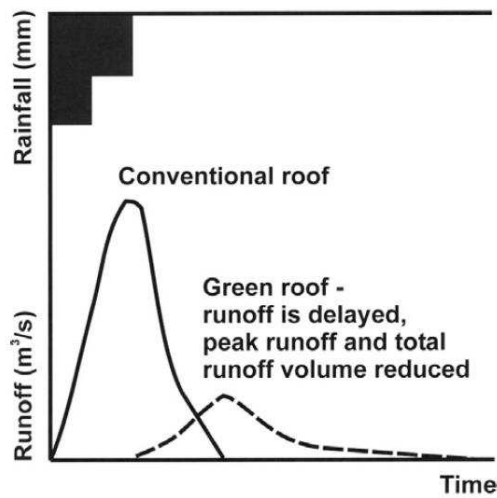
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217 Figure 1.2: A schematic diagram showing the rainfall-runoff response from a conventional roof and a
218 green roof (Stovin et al., 2012).

219

220 Table 1.1: The reported benefits of green roofs.

Green roof benefit	Reference
•Improves a buildings energy efficiency	Jaffal et al. (2012), Parizotto & Lamberts (2011); Kosareo & Ries (2007); Niachou et al. (2001)
•Reduces noise pollution	Yang et al. (2012)
•Increases the longevity of the roof membrane	Ouldboukhitine et al. (2012); Ouldboukhitine et al. (2011); Kosareo & Ries (2007)
•Improves thermal comfort conditions and acts as an insulation device for a building	Ouldboukhitine et al. (2011); Parizotto & Lamberts (2011) Barrio (1998)
•Increases the biodiversity of areas	Molineux et al. (2009)
•Improves runoff water quality	Seidl et al. (2013); Berndtsson et al. (2009)
•Mitigates air pollution	Rowe (2011); Yang et al. (2008)
•Mitigates the Urban Heat Island (UHI) effect	Susca et al. (2011)
•Sequesters carbon	Moore & Hunt (2013); Getter et al. (2009)
•Improves aesthetics of the urban landscape	Villarreal & Bengtsson (2005)

221 Green roofs can be extensive, intensive or semi-intensive (Figure 1.3) (Gregoire &
 222 Clausen, 2011; Berndtsson, 2010). Extensive green roofs usually have substrate depths
 223 below 150mm whereas intensive green roofs have substrate depths greater than 150mm
 224 (Carson et al., 2013; Fassman-Beck et al., 2013). This difference in substrate depth
 225 restricts extensive green roof systems to simple vegetation types and allows intensive
 226 green roofs to consist of larger vegetation such as herbs, shrubs and small trees
 227 (Berndtsson, 2010; Mentens et al., 2006). Most extensive green roofs constructed in the
 228 UK consist of sedum plant species and other succulents which do not require irrigation
 229 (Castleton et al., 2010; Nagase & Dunnett, 2010; Newton et al., 2007). Moreover,
 230 extensive green roofs are the most widespread type of green roof as they have the
 231 lightest weight requirements, are the cheapest to install and require minimal
 232 maintenance (Tota-Maharaj et al., 2012; Olly et al., 2011; Voyde et al., 2010; Hathaway
 233 et al., 2008). Semi-intensive green roofs are a hybrid of intensive and extensive green
 234 roofs (Berndtsson, 2010).

235
 236

a.



b.



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c.



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Figure 1.3: An example of an extensive green roof (a.), an intensive green roof (b.) and a semi-intensive green roof (c.) (Green Roof Technology, 2014; Rowe, 2011).

250

251 It is worth noting that despite the differences between green roof types, they generally
252 all contain the same principal components including a waterproofing membrane, a root
253 barrier, and a drainage mechanism. Three drainage types have been reported by
254 Conservation Technology (2008) and include Types P, G and M. Drainage Type P
255 utilizes drainage plate, waffled plastic sheets that store water above and drain water
256 below. Drainage plates are lightweight, are easy to install, to help meet the drainage and
257 water storage requirements of almost any green roof. Drainage Type G utilizes a
258 lightweight, porous inorganic granular media embedded with slotted plastic triangular
259 drainage conduit. Granular media is heavier and is more labour-intensive to install than
260 drainage plates, but provides a superior environment for plant root growth. Finally,
261 drainage Type M utilises a drainage mat, a multi-layer fabric mat that combines soil
262 separation, drainage, and protection functions into one product. This system is the
263 fastest to install and creates the thinnest and lightest green roof assembly. However, its
264 water storage and drainage capacity is limited, so it is primarily used for sloped roofs
265 not suitable for Drainage Type P or Type G (Conservation Technology, 2008).

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274 **2. Rationale**

275

276 Although green roofs appeared in Nordic countries centuries ago, it is widely
277 maintained that the modern green roof movement originated in Germany during the
278 1970s (Berndtsson, 2010; Oberndorfer et al., 2007; Getter & Rowe, 2006). Since then,
279 green roof construction has increased and it is estimated that 14% of all the flat roofs in
280 Germany are now green (Getter & Rowe, 2006; VanWoert et al., 2005). Several other
281 countries including Japan, Singapore and parts of the US have developed incentive
282 programs to encourage green roof installations (Zhang & Guo, 2013; Mentens et al.,
283 2006). However, barriers preventing widespread installations of green roofs still exist in
284 other countries (Zhang et al., 2012; Williams et al., 2010; Getter & Rowe, 2006).

285

286 In the UK, one of the major barriers is a lack of quantifiable data which illustrates the
287 hydrological benefits of green roofs (Fioretti et al., 2010). Experiments which
288 specifically investigate a green roof's ability at effectively managing stormwater have
289 only begun in the last decade and whilst the benefits of green roofs are often claimed,

290 there is insufficient scientific evidence demonstrating their hydrological performance
291 (Zhang & Guo, 2013; Berndtsson, 2010; Dvorak & Volder, 2010), especially of full-
292 scale roof installations. Thus, more research is required on green roofs in the UK to
293 investigate their potential as possible SUDS and their effectiveness at reducing urban
294 flood risk (Vijayaraghavan et al. 2012; Butler & Davies, 2011). This is an essential step
295 which needs to be undertaken before policies and incentives can be developed and
296 implemented to increase green roof uptake in the UK (Green Roof Guide, 2011; Bell &
297 Alarcon, 2009; Carter & Keeler, 2008).

298

299 Previous studies investigating the hydrological performance of extensive green roofs
300 have reported various retention values, peak runoff reductions and delays in runoff,
301 when compared to conventional roofs (Li & Babcock, 2014 Forthcoming; Berndtsson,
302 2010). The average retention value observed from previous extensive green roof studies
303 appears to be 57%, although it ranges between 15% and 83% (Table 2.1). Note that
304 retention here is defined as the percentage of rainfall captured by a green roof following
305 a precipitation event (Carpenter & Kaluvakolanu, 2011). The prominent differences
306 observed between extensive green roof retention values can be attributed to differences
307 in climate, green roof design, the duration of the study, the slope of the green roof, the
308 type and depth of the substrate used, the vegetation used and the age of the green roof
309 (Morgan et al., 2013; Nagase & Dunnett, 2012; Beck et al., 2011; Buccola & Spolek,
310 2011; Gregoire & Clausen, 2011; Berndtsson, 2010).

311

312 Table 2.1: The reported retention values (%) from various studies undertaken on extensive green roofs.

Reference	Retention value observed (%)	Location
Fassman-Beck et al. (2013)	56.0	Auckland, New Zealand
Voyde et al. (2010)	66.0	Auckland, New Zealand
Hathaway et al. (2008)	64.0	North Carolina, USA
Buccola & Spolek (2011)	54.0	Portland, USA
Gregoire & Clausen (2011)	51.4	Connecticut, USA
Carpenter & Kaluvakolanu (2011)	68.3	Michigan, USA
VanWoert et al. (2005)	82.8	Michigan, USA
Getter et al. (2007)	80.8	Michigan, USA
Morgan et al. (2013)	50.0	Illinois, USA
Carson et al. (2013)	36.0	New York, USA
Carter and Rasmussen (2006)	78.0	Georgia, USA
Tota-Maharaj et al. (2012)	15.5	Salford, UK
Stovin et al. (2013)	59.0	Sheffield, UK
Stovin et al. (2012)	50.2	Sheffield, UK
Stovin (2010)	34.0	Sheffield, UK
Mentens et al. (2006)	45.0	Germany
Seters et al. (2009)	63.0	Toronto, Canada
Fioretti et al. (2010)	68.0	Northwest and Central Italy
Palla et al. (2011)	68.0	Genoa, Italy

313

314 There is also large variation in a green roof's hydrological performance within studies.

315 This can be explained by differences in the characteristics of a rainfall event and green

316 roof composition. Rainfall characteristics include rainfall depth, duration and intensity

317 (Kok et al., 2013; Speak et al., 2013; Stovin et al., 2013). In addition, the antecedent dry

318 weather period (ADWP) which separates rainfall events has also been identified as an

319 important factor influencing retention (Zhang & Guo, 2013). This can be explained as

320 the ADWP is the primary control on the time allowed for a green roof to dry out

321 between rainfall events (Hathaway et al., 2008). If, for instance, the ADWP is relatively

322 long between rainfall events, the substrate may have sufficient time to dry and recharge

323 its retention capacity (Fassman-Beck et al., 2013). Conversely, if the ADWP is short,

324 the substrate will have less time to dry out prior to the next rainfall event (Hathaway et

325 al., 2008). Therefore the substrate may be saturated and at its field capacity before any
326 rainfall has fallen onto the roof (Berndtsson, 2010).

327

328 The ADWP and rainfall characteristics are also responsible for the reported seasonal
329 differences in green roof retention performances (Graceson et al., 2013; Seattle Public
330 Utilities, 2012; Palla et al., 2011; Seters et al., 2009). This is explained as
331 evapotranspiration (ET) rates, the mechanism by which green roofs restore their water
332 retaining capacity, are higher in warmer seasons and lower in colder seasons (Stovin et
333 al., 2013; Zhang & Guo, 2013; Kasmin et al., 2010; Mentens et al., 2006). Therefore
334 lower retention values observed during winter months may be attributed to lower ET
335 rates (Speak et al., 2013). The ADWP and local ET rates have also been identified as
336 key factors influencing the detention properties of green roofs (Voyde et al., 2010).

337

338 It is apparent that there are a large number of factors that influence green roof
339 hydrological performances between regions (Buccola & Spolek, 2011; Berndtsson,
340 2010). So whilst inter-regional comparisons may be helpful, consideration of site-
341 specific factors must be taken into account (Bonoli et al., 2013; Newton et al., 2007;
342 Teemusk & Mander, 2006). Consequently, to assess the effectiveness of green roof
343 systems in the UK, more studies located in various cities throughout the UK are
344 required (Carpenter & Kaluvakolanu, 2011). Green roofs in the UK may show distinct
345 hydrological performances as it is has a temperate maritime climate which is
346 characterised by frontal rainfall (Nagase & Dunnett, 2010; Stovin, 2010). This study
347 will address this research requirement by studying an extensive green roof in Leeds,
348 UK.

349

350 Furthermore, the majority of previous studies investigating green roof hydrological
351 performances have been conducted on test beds and laboratory set-ups (Lee et al., 2013;
352 Morgan et al., 2013; Stovin, 2010; Uhl & Schiedt, 2008; Getter et al., 2007; Carter and
353 Rasmussen, 2006; Liu & Minor, 2005; VanWoert et al., 2005). These experiments are
354 useful for investigating a green roof component in isolation (Yio et al., 2013). However,
355 the artificial test beds often have 100% vegetation cover and fail to give an accurate
356 representation of actual green roof conditions in urban environments (Speak et al.,
357 2013; Carpenter & Kaluvakolanu, 2011; Berndtsson, 2010). Most full-scale extensive
358 green roofs actually have lower than 100% vegetation cover as they often have
359 conventional roof surfaces at the periphery (Speak et al., 2013). As a result, test beds
360 can have altered detention times and retention values, when compared to full-scale
361 green roofs (Carson et al., 2013; Stovin et al., 2012). Similarly, rainfall simulations
362 undertaken in some studies can be considered ‘unnatural’ and do not provide real-life
363 conditions experienced by full-scale green roofs (Kok et al., 2013; Tota-Maharaj et al.,
364 2012; Vijayaraghavan et al., 2012; Villarreal & Bengtsson, 2005).

365

366 Where full-scale installations have been the subject of investigation, this has been
367 limited to roof systems that are younger than three years (Hathaway et al., 2008; Liu &
368 Minor, 2005). It is known that an older green roof can result in a higher retention
369 capability than a younger green roof system as the substrate develops over time (Bonoli
370 et al., 2013; Berndtsson, 2010). For example, Getter et al. (2007) reported that over a 5
371 year period the organic matter content of an extensive green roof’s substrate doubled.

372 Consequently, the pore space doubled and the water holding capacity increased
373 substantially (Getter et al., 2007).
374
375 This study aims to fill this apparent research gap by investigating the hydrological
376 performance of a full-scale extensive green roof installed in 2007. This will reduce the
377 effect of uncertainties which are associated with test bed and laboratory facilities
378 (Carson et al., 2013) and also generate new data on the performance of a roof system
379 between 5-7 years old. The study focuses on the performance of an extensive green roof
380 system as they are the most commonly used type of green roof and can be constructed
381 on roof slopes of up to 45° (Zhang & Guo, 2013; Yio et al., 2013; Mentens et al., 2006).
382
383 As extensive green roofs have the widest applicability, are commercially viable and can
384 be retrofitted onto most roofs, they have substantial potential to be constructed
385 throughout the UK (Castleton et al., 2010; Nagase & Dunnett, 2010). A further benefit
386 of this study is that a very particular type of green roof (Type G – see section 1)
387 comprising a drainage mat is investigated which will reveal new insights into how this
388 type of green roof performs during storms. The data provided by this study may also
389 help develop models which aim to predict an extensive green roof's hydrological
390 performance in response to a certain precipitation event (Kasmin et al., 2010). Such
391 models are reliant on observed data obtained from field measurements for calibration
392 and verification (De Munck et al., 2013; Palla et al., 2009; Hilten et al., 2008). As the
393 performance of full-scale extensive green roofs in urban environments is relatively little
394 understood, field monitoring is continuing to drive design guidance and policy
395 development for green roofs in the UK (Bonoli et al., 2013; Stovin et al., 2013; Butler

396 & Davies, 2011; Fioretti et al., 2010; Berndtsson, 2010). Hence this study will provide
397 valuable data which quantifies the hydrological performance of an extensive green roof
398 and may demonstrate an extensive green roof's effectiveness at lowering flood risk and
399 reducing the load on CSOs and subsequent pollution incidents.

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410 **3. Aims & Objectives**

411

412 This study aims to investigate the hydrological response of a full-scale extensive green
413 roof in the city of Leeds in the UK. Particular attention will be focused on the green
414 roof's ability to retain rainfall during storms (Carter and Rasmussen, 2006). The key
415 objectives of the study are as follows:

416

- 417 • Assess the ability of a full-scale extensive green roof to retain and detain rainfall
418 from individual precipitation events.

- 419 • Assess the importance that rainfall and other characteristics have on the green
420 roof's hydrological performance.
- 421 • Investigate any potential seasonal differences in the hydrological performance of
422 the green roof.
- 423 • Compare the rainfall-runoff response of a nearby conventional roof to the
424 rainfall-runoff response of the green roof for individual precipitation events
425 (Seters et al., 2009; Vesuviano & Stovin, 2013).
- 426 • Conduct a regression analysis to develop an accurate model which can predict
427 the retention (%) of the green roof (Stovin et al., 2012; Stovin, 2010).

428

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434 **4. Methodology**

435

436 4.1 Site location and green roof properties:

437

438 The study was carried out on a full-scale extensive green roof located at the University
439 of Leeds city campus (Figure 4.1.1). Constructions such as these are increasingly being
440 seen as helping to reverse the trend of increased ground soil sealing seen in parts of the
441 city. For example, Perry & Nawaz (2008) noted that front garden paving by residents

442 over the course of 33 years (1971-2004) in the Halton Moor area, to the north East of
443 the city had resulted in a 13% overall increase in impervious.

444

445 In terms of the potential flood footprint of the University campus, it is worth noting that
446 it lies close to the floodplains of the River Aire, an area of Leeds which is at risk of
447 flooding (Hall, 2001). As the campus has a combined drainage system throughout, any
448 reduction in surface runoff is likely to reduce the number of CSOs (Hall, 2001).

449

450 The extensive green roof, installed in August 2007, is situated on top of the School of
451 Performing Arts building at the University of Leeds (Figure 4.1.1) (Jones, 2007). One of
452 the primary motives for the green roof's construction was for its acoustic properties, as
453 it can absorb the 'drumming' effect of rainfall on the rooftop surface (Jones, 2007).

454

455 The total roof area is 830m² and it has two main sections; a higher level which is
456 externally drained by 4 drainage pipes and a lower level which is internally drained. The
457 externally drained section is 396m² (Figure 4.1.2) (Daft Logic, 2013). Note that
458 drainage areas were calculated using a Google Maps Area Calculator Tool (Daft Logic,
459 2013). As the green roof's runoff is monitored for the upper section of the roof, the
460 presence of glass windows on the lower, internally drained, section should not affect the
461 results (Speak et al., 2013). It is also important to note that the roof has a slope of less
462 than 2%.

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465

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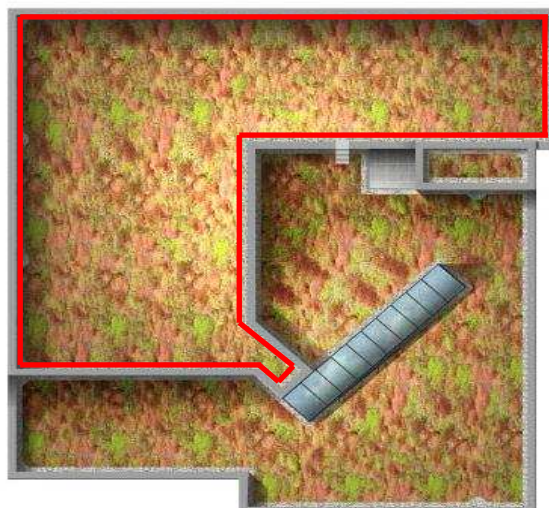


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Figure 4.1.1: The extensive green roof above the University of Leeds Performing Arts building in 2007 (a.) and 2014 (b.) (McLaw Living Roofs, 2007).

The roof has more than 80% vegetation cover (given a 300mm gravel margin around the edge) and is typical of a well-established extensive green roof system (Speak et al., 2013; Zhang et al. 2012; Green Roof Guide, 2011; Nagase & Dunnett, 2010; Kosareo & Ries, 2007; Newton et al., 2007). The roof requires minimal maintenance and does not have an irrigation system (Jones, 2007). This means that any runoff measured from the green roof can be attributed to rainfall (Fassman-Beck et al., 2013; Castleton et al., 2010).



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Figure 4.1.2: An aerial view of the extensive green roof. The externally drained section of the roof is outlined in red (Jones, 2007).

The green roof under investigation is a rather particular type drained by a fabric mat called a ‘drainage mat’. This type of roof is the fastest to install and creates the thinnest and lightest green roof assembly. The 20mm drainage mat is overlain by a substrate with sedum (30mm depth). The drainage mat overlays a single ply waterproof roof membrane which is installed on a 120mm insulation layer, a water proofing membrane and a galvanised steel profiled deck as shown in Figure. 4.1.3. The sedum carpet consists of a variety of sedum species including Sedum Acre ‘aureum’, Sedum Reflexum ‘blue spruce’ and Sedum Album ‘coral carpet’ (McLaw Living Roofs, 2014). The drainage mat combines the functions of protection, water storage, and drainage in one product (Jones, 2007). The roof is drained through four outlets connected to external downpipes. It is worth noting that this is a very particular green roof composition since the majority of installed green roofs comprise a polyethylene drainage layer. Given the ease of installation and its lightweight, it is likely to become more widespread.

a.



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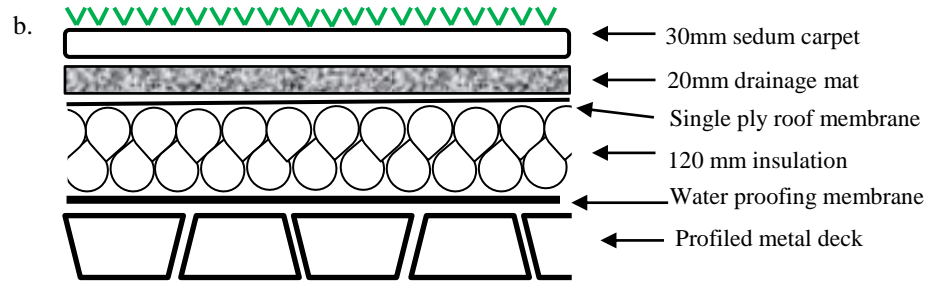
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Figure 4.1.3: A picture (a) and a schematic (b) of the green roof cross-section,

537

538 The conventional (control) roof, situated 50m from the green roof, is located on the
 539 Leeds University Union building (Figure 4.1.4). This roof was selected due to its close
 540 proximity to the green roof and its similar elevation (and slope). This ensures that the
 541 precipitation measurements are the same for both roofs (Gregoire & Clausen, 2011).

542 This roof is externally drained by 6 drainage pipes and has an area of 800m² (Daft
 543 Logic, 2013). It should also be noted that neither the conventional roof nor the
 544 extensive green roof are within rain shadows of any surrounding buildings.

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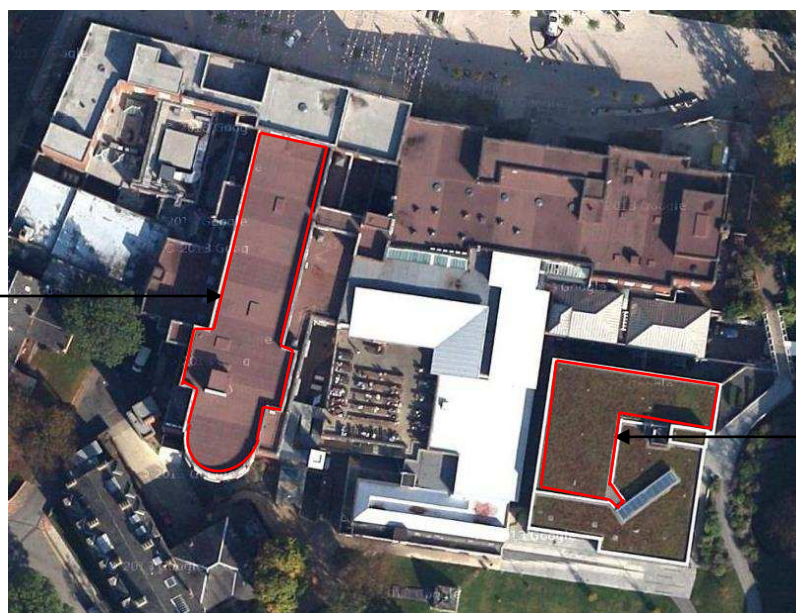
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Conventional roof



Extensive green roof

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555

556 Figure 4.1.4: The location of the Leeds University Union conventional roof and the extensive green roof
557 above the Leeds Performing Arts building. The drainage areas monitored for runoff are outlined in red
558 (Google Maps, 2013).

559

560 4.2 Data collection:

561

562 Rainfall data was measured using a tipping bucket rain gauge located on the extensive
563 green roof (Figure 4.2.1). It has a tipping threshold of 35ml which equates to 1.02mm of
564 rainfall per tip. A HOBO™ data logger and a laptop were used to download the data
565 approximately once every two weeks (Figure 4.2.1). A nearby UK Meteorological
566 Office (Met Office) Automatic Weather Station (AWS), located on the University
567 campus, provided additional rainfall data whilst the National Centre for Atmospheric
568 Science (NCAS) weather station located in Leeds provided mean monthly climate data.
569 Comparisons between the tipping bucket rainfall data and the hourly AWS data ensured
570 that the data collected was reliable and accurate (Voyde et al., 2010; Seters et al., 2009).

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578 Figure 4.2.1: The tipping bucket rain gauge, HOBO data logger and laptop used to obtain rainfall data.

579

580 Runoff was measured from the green roof and the conventional roof using tipping
581 buckets (Fassman-Beck et al., 2013). Runoff was measured using tipping buckets
582 located at the base of one of the drainage pipes connected to each of the roofs (Figure
583 4.2.2) and factored accordingly. It was assumed that the drainage pipes draining from
584 each roof discharge equal volumes of runoff. Runoff is drained in equal proportions by
585 each of the drain pipes, which is an over-simplification.

586

587 The green roof tipping bucket has a tipping threshold of 335ml whilst the conventional
588 roof tipping bucket has a tipping threshold of 290ml. Dividing by the drainage areas of
589 each of the roofs, this equates to 3.38×10^{-3} mm runoff depth for the green roof and 2.18
590 $\times 10^{-3}$ mm runoff depth for the conventional roof to produce 1 'tip'.

591

592 The monitoring took place over a period of three years (2012-2014) with some notable
593 gaps resulting from equipment failure, vandalism and delays in equipment orders. A
594 total of ten months data was gathered over the three years which contained 30 storms
595 according to the definition outlined in the next section. The first monitoring period
596 lasted three months (June-August 2012) with some notable storms during what turned
597 out to be the wettest summer for 100 years. This monitoring period was followed by a
598 second, longer period of five months (April-August 2013). A further two months data
599 was gathered from January to February 2013.

600

601 Between June 2012 and December 2013, runoff data was collected using a HOBOTM
602 data logger, which records the time of every 'tip'. However, from January 2014
603 onwards, runoff from both the conventional roof and the green roof were measured at 5-

604 minute intervals using Tinytag data loggers (Figure 4.2.2). This ensured high-resolution
605 runoff data was obtained.

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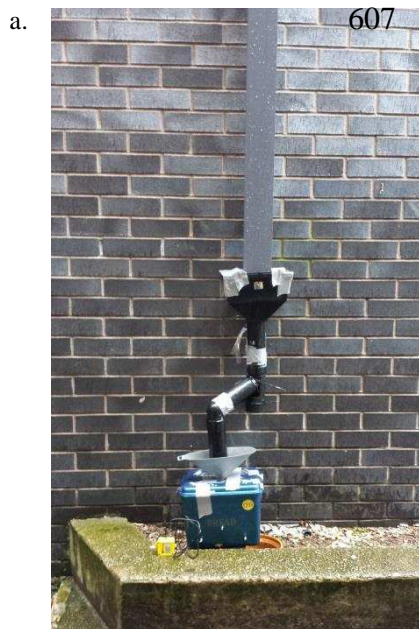
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626 Figure 4.2.2: The tipping bucket located at the base of one of the drainage pipes for a. the green roof and
627 b. the conventional roof. From January 2014, Tinytag data loggers were used (c.)

628

629 4.3 Data analysis:

630

631 Rainfall and runoff data was downloaded using BoxCar Pro 4.3 and Tinytag Explorer
632 software. Statistical analysis was performed in Minitab 16. Analysis of the hydrological
633 performance of the roofs was conducted on an event-by-event basis, rather than

634 cumulatively (Carson et al., 2013; Palla et al., 2011; Fioretti et al., 2010; Voyde et al.,
 635 2010). This meant that a range of rainfall events could be examined, and allowed for
 636 gaps in the dataset (Speak et al., 2013; Qin et al., 2013; Simmons et al., 2008; Uhl &
 637 Schiedt, 2008). Each individual rainfall event was separated by a continuous dry period
 638 of at least 6 hours (Speak et al., 2013; Zhang & Guo, 2013; Hathaway et al., 2008). In
 639 addition, if runoff from the green roof was still discharging at the onset of a rainfall
 640 event, the two rainfall events were combined and treated as a single, larger event
 641 (Carson et al., 2013; Fassman-Beck et al., 2013; Voyde et al., 2010; Seters et al., 2009;
 642 VanWoert et al., 2005). This ensures that the retention values reported for the green roof
 643 are accurate.

644

645 Each event was organised by season and various characteristics were calculated
 646 (Teemusk & Mander, 2007). These included the total rainfall depth (TR), total runoff
 647 depth (R), retention (%) (PR), rain duration (hours) (RD), duration of the antecedent dry
 648 weather period ADWP (hours), rainfall mean intensity (mm/hour) (i), rainfall peak
 649 intensity (mm/hour) (Rp), lag-time (1) (minutes) (LG1) and lag-time (2) (minutes)
 650 (LG2) (Speak et al., 2013; Stovin et al., 2012; Palla et al., 2011; Mentens et al., 2006.).
 651 PR was calculated as the percentage of rainfall which did not run off from the roof using
 652 the following equation (Stovin et al., 2012; Carpenter & Kaluvakolanu, 2011; Fioretti et
 653 al., 2010; Getter et al., 2007):

654

$$\text{Retention (\%)} = \frac{\text{total rainfall depth (mm)} - \text{total runoff depth (mm)}}{\text{total rainfall depth (mm)}} \times 100 \quad \text{655 (Equation 1)}$$

656

657 i was calculated as the total rainfall depth divided by the rain duration. Lag-time (1) was
 658 calculated as the time difference between the first measurement of rainfall and the first

659 measurement of runoff whereas lag-time (2) was calculated as the time difference
660 between the peak rainfall (as an hourly interval) and the peak runoff (as an hourly
661 interval) (Stovin et al., 2012; Carpenter & Kaluvakolanu, 2011; Berndtsson, 2010).

662

663 In order to categorise the magnitude of each event, return period analysis was conducted
664 by comparing the RD and TR values against design rainfall return period estimates for
665 Leeds, generated by the Flood Estimation Handbook (FEH) (NERC, 1999).

666

667 Given the need for practitioners to identify rainfall predictors of runoff and retention for
668 purposes of urban water management, it was decided to investigate the relationship
669 between several rainfall variables and retention.

670

671 The data was subjected to Anderson-Darling normality tests (Ebdon, 1985) and suitable
672 transformations were applied to improve normality. Statistical analysis was conducted
673 to determine whether there were any significant relationships between rainfall
674 characteristics and the percentage of rainfall retained by the roof. This was done by
675 conducting correlation analysis using Pearson's correlation coefficients and stepwise
676 multiple linear regression. Principal component analysis was also conducted to provide
677 an alternative way in which the proportion of variance explained by the measured
678 variables could be measured, due to the potential problem with regression arising from
679 highly related variables (Bowerman & O'Connell, 1990). Statistical analysis was
680 conducted using SPSS 19.

681

682 Regression analysis was undertaken to develop predictive relationships between rainfall
683 characteristics (TR, RD, ADWP, i and Rp) and green roof retention. The strength of
684 correlation was indicated by the coefficient of determination (R^2). Furthermore, the
685 non-parametric Kruskal-Wallis test was performed to identify any potentially significant
686 seasonal variations in retention values (Fassman-Beck et al., 2013; Ebdon, 1985).

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701 **5. Results**

702

703 5.1 Rainfall analysis

704

705 A total of 30 individual rainfall events were identified, none of which were snow
706 events. The rainfall characteristics stated in section 4.3 were calculated for each event
707 (Appendix A). The rainfall data obtained from the AWS allowed validation of the
708 tipping bucket rainfall data and ensured that any gaps in the dataset were filled. For
709 example, the ADWP of the first rainfall event which occurred on the 30th June 2012 was
710 obtained from the AWS rainfall data.

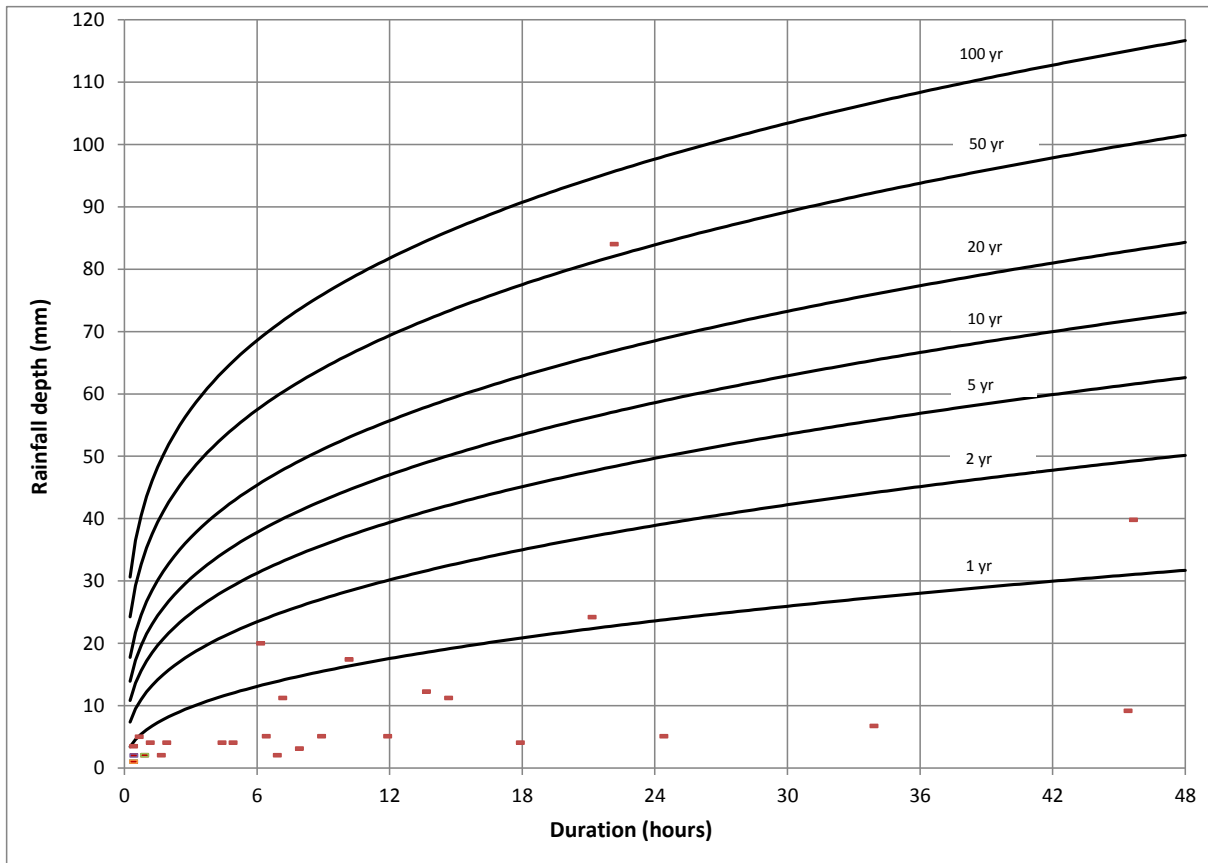
711

712 Based on records from 1981-2010, the UK receives an average of 1154mm of rainfall a
713 year and the annual mean temperature is 8.9°C (Met Office, 2014a). 2013 followed a
714 similar pattern to the overall average UK climate (Met Office, 2014a). However, the
715 study period was notably wetter than average (National Centre for Atmospheric
716 Science, 2014) with the summer of 2012 being the wettest for 100 years and January
717 2014 also receiving a significant amount of rainfall. In some parts of the UK, January
718 2014 was one of the wettest months ever recorded (Met Office, 2014b).

719

720 23 events were below the threshold for a rain event with a 1 year return period (Figure
721 5.1.1) and the largest rainfall event recorded, which occurred on the 06/07/12 was
722 approximately a 1 in 61 year event (Appendix A). The mean lag-time (1) was 95
723 minutes whilst the mean lag-time (2) was 224 minutes (Table 5.1.1). This clearly
724 illustrates the green roofs ability to detain rainwater, although there was a wide range of
725 values observed across all events.

726



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Figure 5.1.1: Rainfall return period for the study period based on Flood Estimation Handbook (FEH) rainfall-depth-duration estimates for Leeds

731

Table 5.1.1: Rainfall-runoff characteristics associated with each of the storms.

Date	Total rainfall (TR) (mm)	Total runoff (R) (mm)	Lag ₁ (min)	Lag ₂ (min)	Retention depth (R)(mm)	Retention (PR) (%)
30/06/2012	17.4	11.4	60	60	6	34
06/07/2012	84	75	240	180	9	10.7
07/07/2012	20	14	120	60	6	30
09/07/2012	24.2	19	60	0	5.2	21.5
03/08/2012	5	3.23	0	0	1.77	35
04/08/2012	3.5	1.36	60	0	2.14	61
12/04/2013	2.04	0.01	403	420	2.03	99.34
12/04/2013	5.1	0.39	20	1500	4.71	92.44
17/04/2013	11.22	0	-	-	11.22	100
23/05/2013	1.02	0	-	-	1.02	100
24/05/2013	5.1	0.15	11	180	4.95	97.15
14/06/2013	3.06	0.14	139	240	2.92	95.36
15/06/2013	2.04	0.01	709	720	2.03	99.67
20/06/2013	5.1	0.72	53	120	4.38	85.95
22/06/2013	4.08	0.39	26	60	3.69	90.56
22/06/2013	4.08	0.61	7	60	3.47	85.09
27/06/2013	1.02	0.01	130	180	1.01	99.34
28/06/2013	4.08	0.3	114	120	3.78	92.71

02/07/2013	4.08	0.01	209	0	4.07	99.75
23/07/2013	12.24	1.9	8	60	10.34	84.48
27/07/2013	39.78	29.48	53	60	10.3	25.90
31/07/2013	4.08	1.29	8	240	2.79	68.35
03/08/2013	1.02	0	-	-	1.02	100
04/08/2013	11.22	0.99	38	780	10.23	91.2
19/01/2014	2.04	1.97	0	60	0.07	3.57
21/01/2014	9.18	8.17	15	60	1.01	11.04
31/01/2014	7.14	6.72	3	120	0.42	5.84
04/02/2014	2.04	0.59	35	120	1.45	71
08/02/2014	2.04	1.72	1	60	0.32	15.83
09/02/2014	5.1	3.61	43	600	1.49	29.29
Mean	10.07	5.44	95.0	224.44	4.63	66.21

732 Lag₁: time difference between first measurement of rainfall and runoff

733 Lag₂: time difference between peak rainfall and peak runoff

734

735 5.2 Relationships between rainfall characteristics and green roof retention

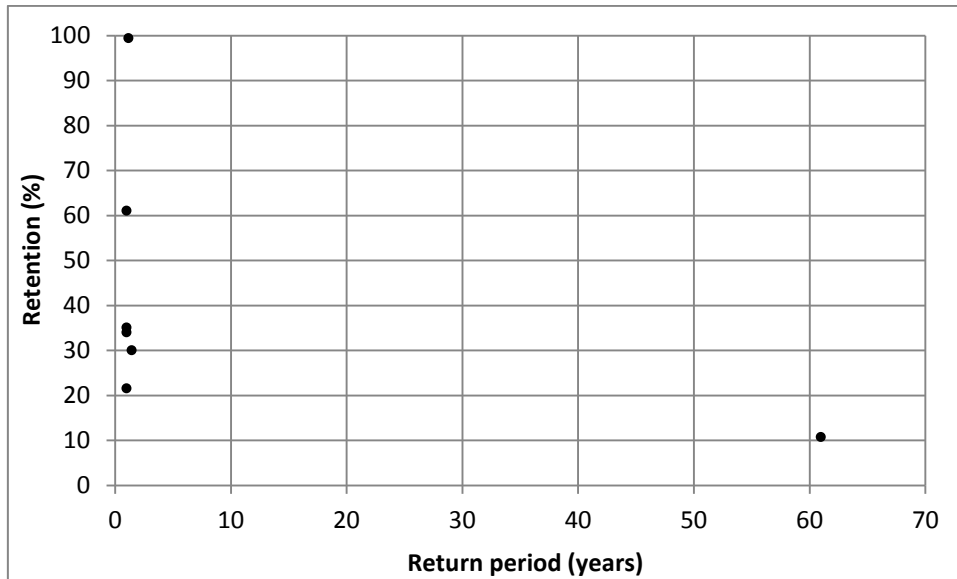
736

737 Rainfall amounts during seven of the storm events were retained in their entirety by the
738 green roof, and there were no instances in which all rainfall became runoff (Table
739 5.1.1). Retention values ranged from 3.6% to 100% and the mean value was 66%. The
740 total rainfall depth for all the events varied between 1mm and 84mm. Similarly, the
741 duration of the rainfall events varied considerably from 0.17 hours to 45.4hours. This
742 indicates that numerous events with a variety of different rainfall characteristics were
743 included in the analysis.

744

745 Storm events with return periods greater than 1 year are shown in Figure 5.2.1 along
746 with the corresponding retention values. For return periods of between 1 and 2 years,
747 retention varied between 20% and 100%. For the storm with the much larger return
748 period, retention has reduced to almost 10%. The results indicate that there is no clear
749 relationship between storm return period and retention.

750



751

752 Figure 5.2.1: Storm return period versus green roof retention.

753

754 Correlation analysis indicated that there was a significant inverse relationship between

755 retention and TR ($P = 0.047$) as well as retention and RD ($P = 0.048$). A negative

756 correlation was also apparent between retention and RPI and ADWP, however, this was

757 not deemed statistically significant ($P = 0.153$ & 0.082). A positive correlation was

758 noted between retention and RMI and again, this was not statistically significant ($P =$

759 0.954).

760

761 The regression results are presented in Figure 5.2.2 with accompanying equations and

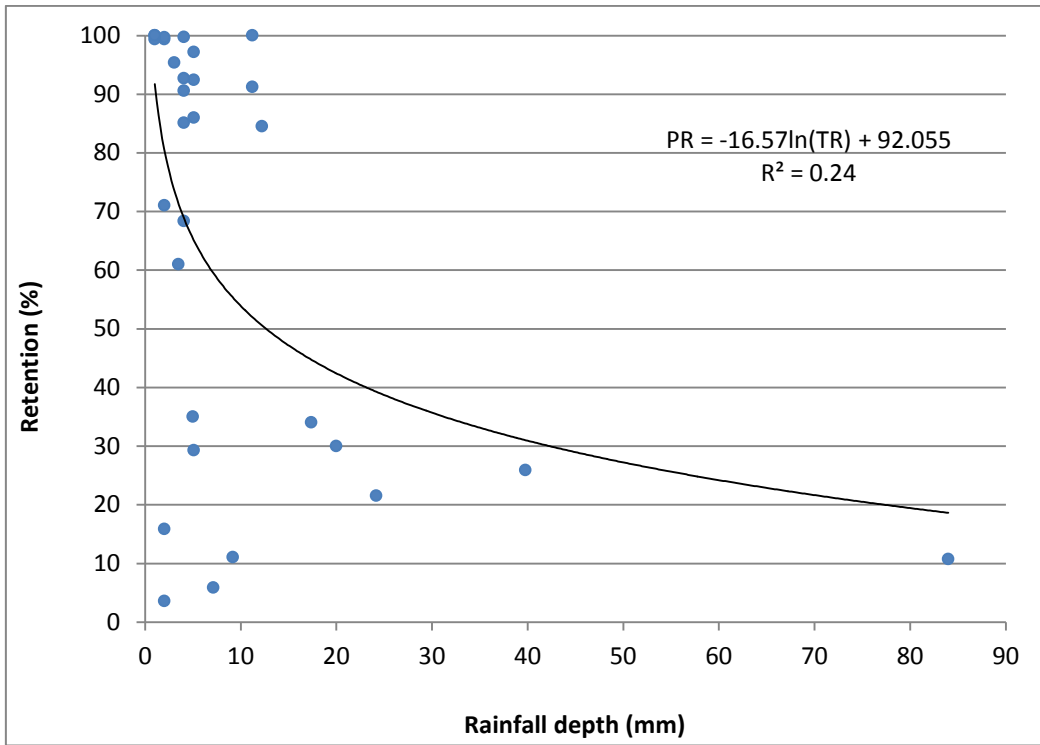
762 coefficient of determination (R^2) values. As noted from the correlation analysis, both

763 the rainfall depth and rainfall duration appear to be the best predictors of retention. As

764 expected, rainfall was a good predictor of runoff (Figure 5.2.3) and tended to be

765 between 0-13mm below the total rainfall (indicating that the storage capacity was

766 13mm).

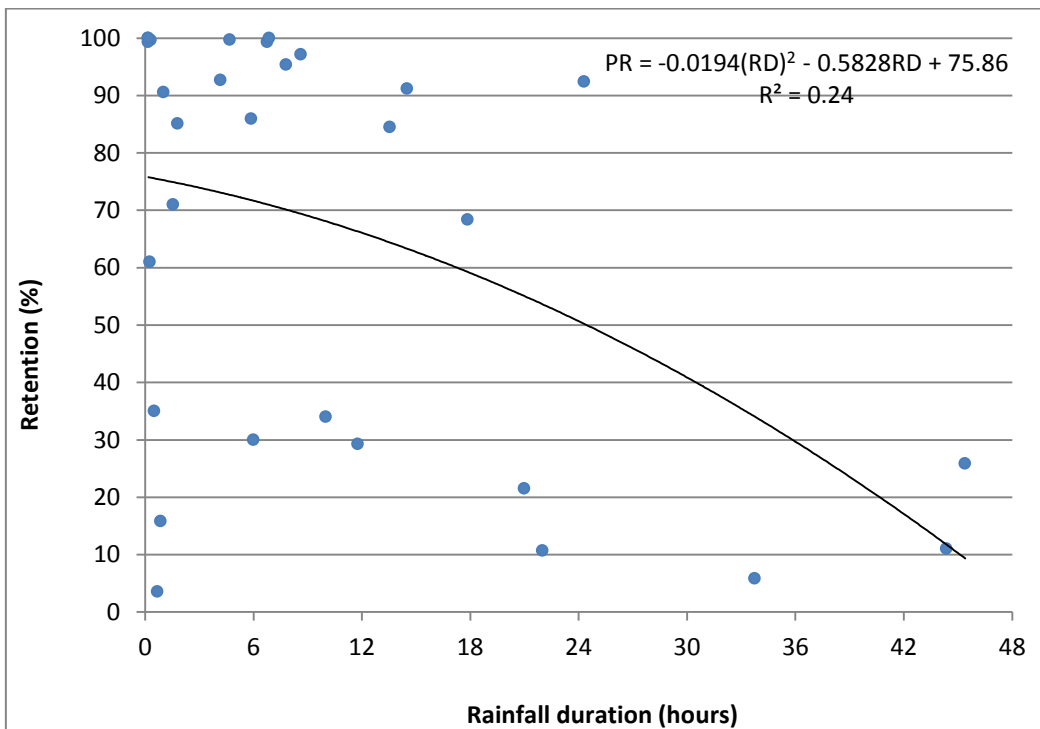


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(a)



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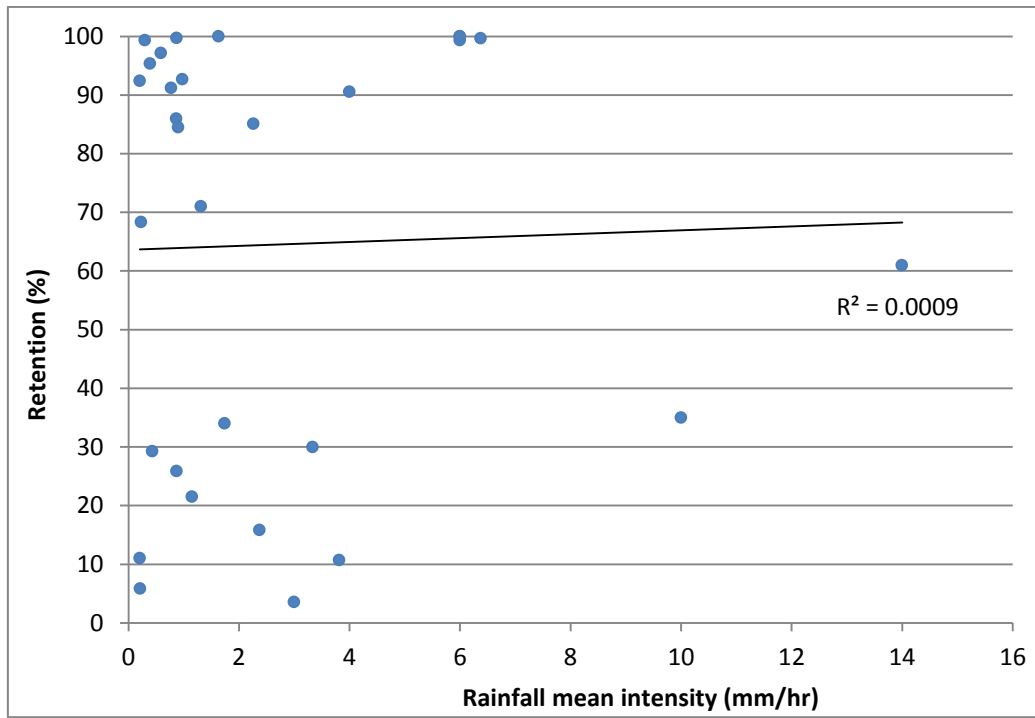
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(b)

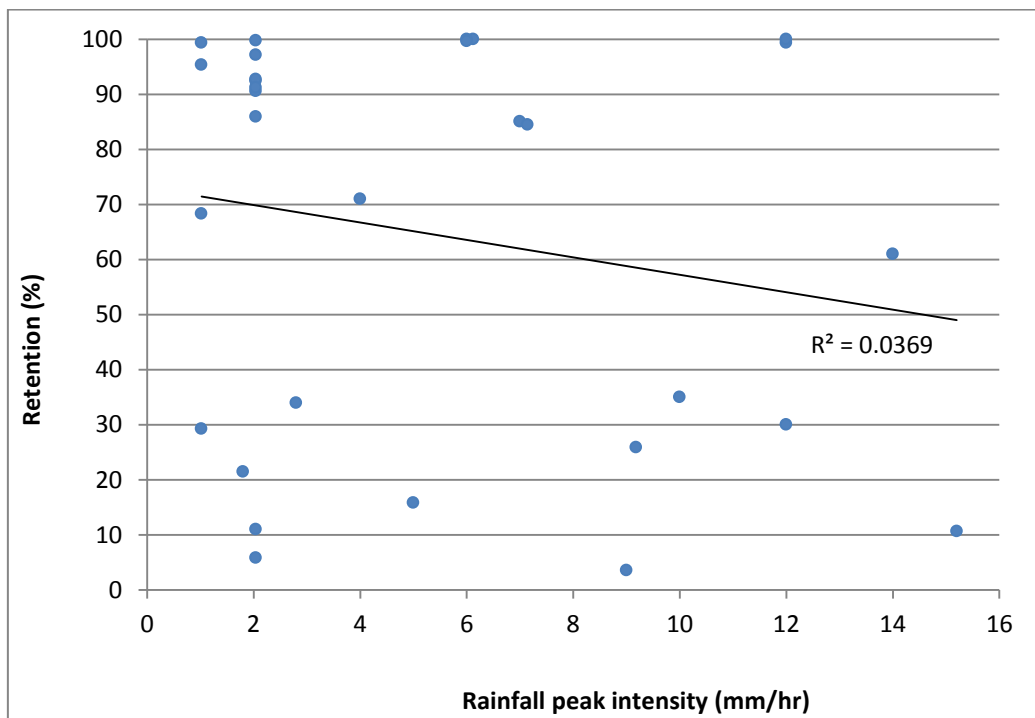
775 (c)



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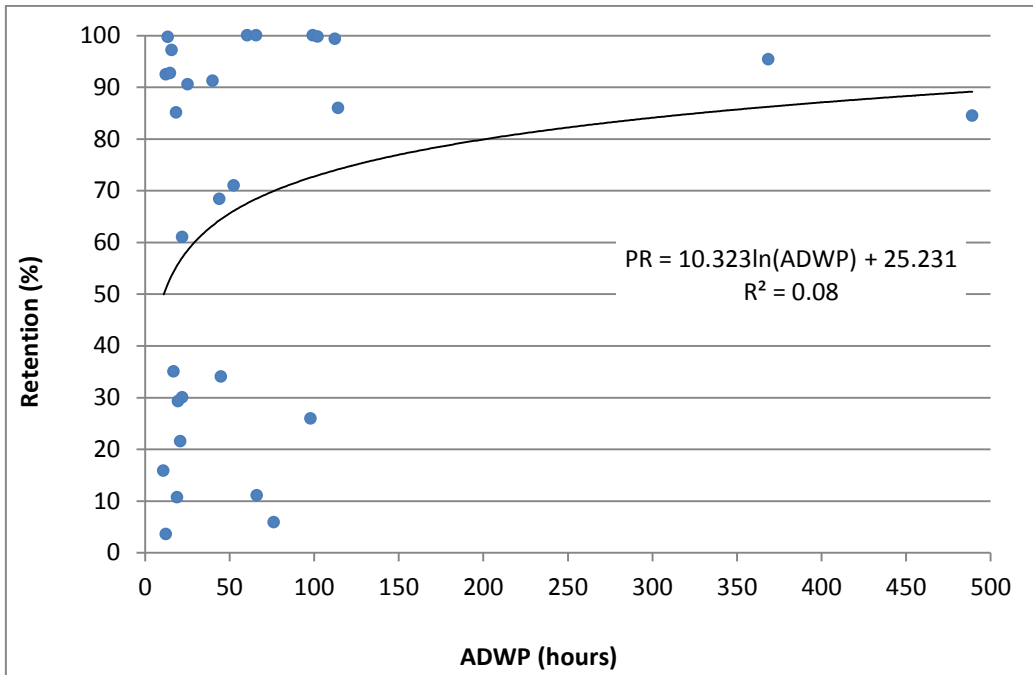
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780 (d)

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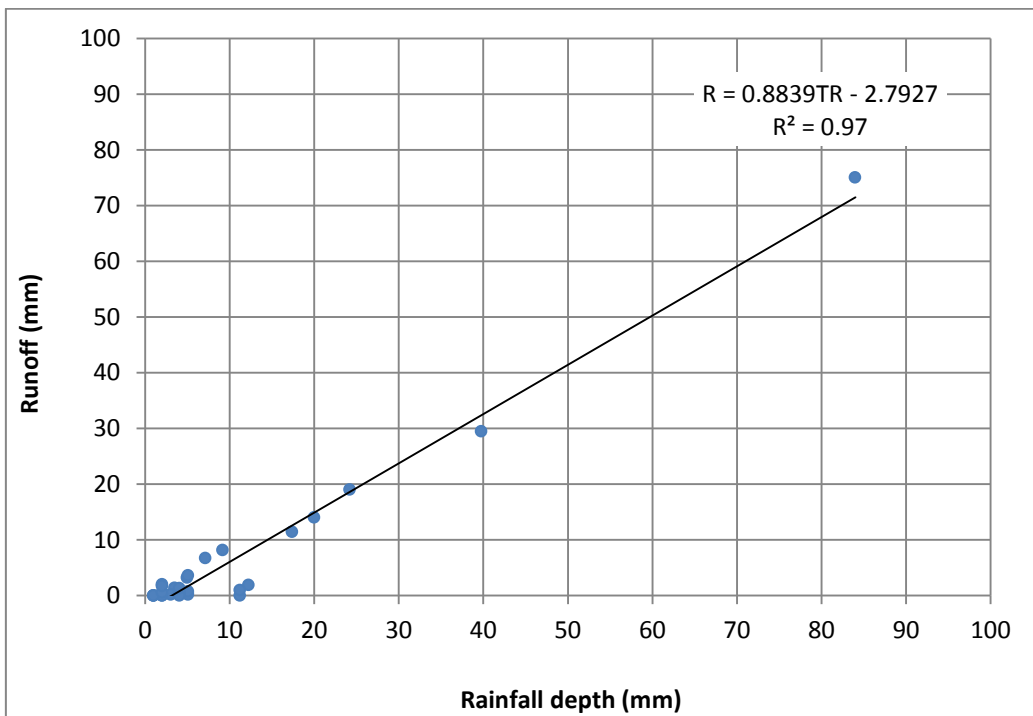
783 (e)

784

785 Figure 5.2.2: Scatterplots showing the relationship between retention (%) and a range of rainfall variables

786 for the 30 rainfall events.

787



788

789

Figure 5.2.3: Scatterplot showing the relationship between rainfall and runoff

790

791 In an attempt to produce a stronger relationship between rainfall variables and retention,
792 stepwise multiple regression was undertaken. Collinearity diagnostics revealed that the
793 Variance Inflation Factor (provides a measure of how much the variance for a given
794 regression coefficient is increased compared to if all predictors were uncorrelated)
795 associated with the Rp variable was above the threshold of 3 (Cohen et al., 2003) and
796 was therefore removed from the subsequent regression analysis to yield equation 2.

797

798 $\text{Retention (\%)} = -0.513\text{TR} - 1.228\text{RD} - 1.233i + 0.080\text{ADWP} + 79.29$ (Equation 2)

799 (F = 3.994, p-value = 0.01, R-squared = 39%)

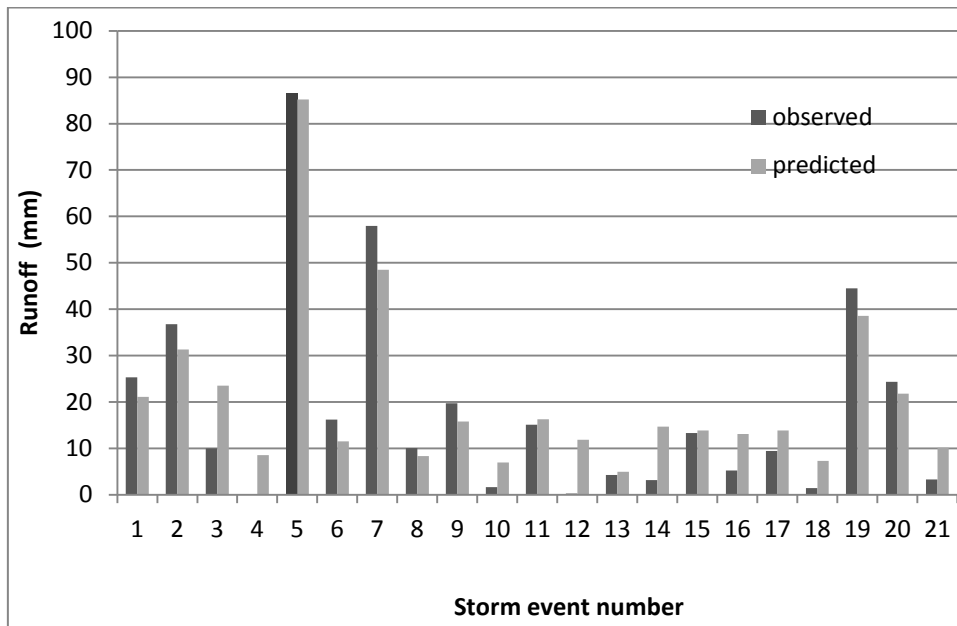
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801 5.3 Validation of regression relationships

802

803 To validate the regression equations, they should ideally have been applied to data from
804 the same experiment or at least to data based on the same roof type. Due to limited data
805 availability, it was decided to test the model performance against data from a green roof
806 study in the neighbouring city of Sheffield, situated 70km to the south of Leeds and
807 subject to a similar climate. The extensive green roof mounted on a test-bed has a slope
808 of 1.5% and comprises a sedum layer on 80mm substrate, significantly thicker than the
809 roof under investigation as part of the current study. It is categorised as roof type G
810 comprising a drainage layer (see section 1). Using data from 21 storms collected by
811 Stovin et al (2012), the equations were applied to obtain predicted runoff depth and
812 retention depth. Runoff depth is clearly being reproduced very well by the regression
813 equation (Figure 5.3.1a) whilst the smaller observed retention percentages are being
814 over-estimated and vice versa (Figure 5.3.1b).

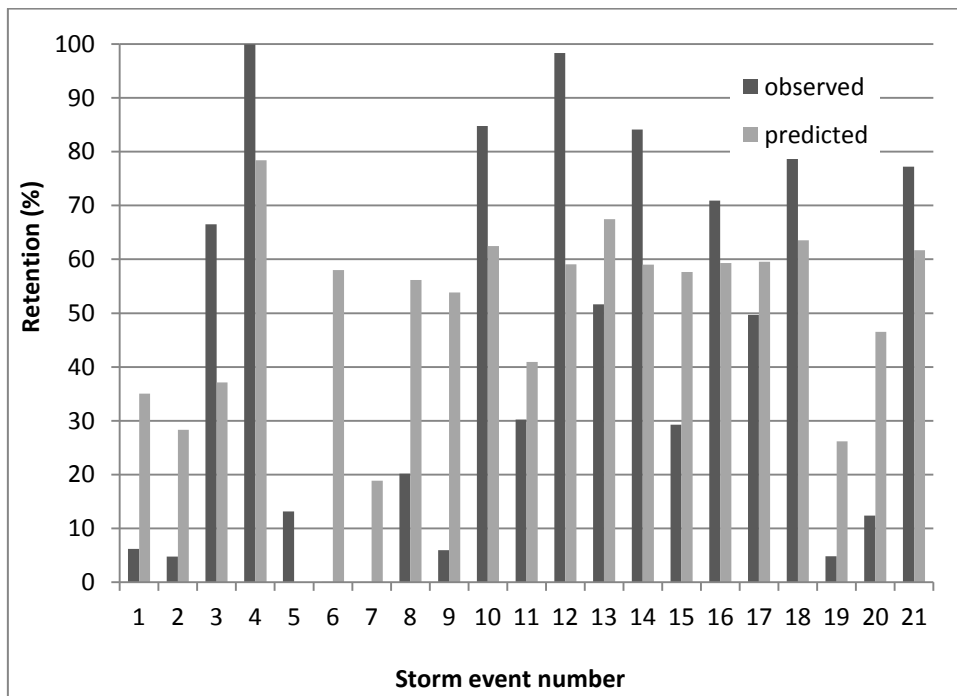
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817 (a)

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820 (b)

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822 Figure 5.3.1: Regression equation validation using data from 21 storms in Sheffield.

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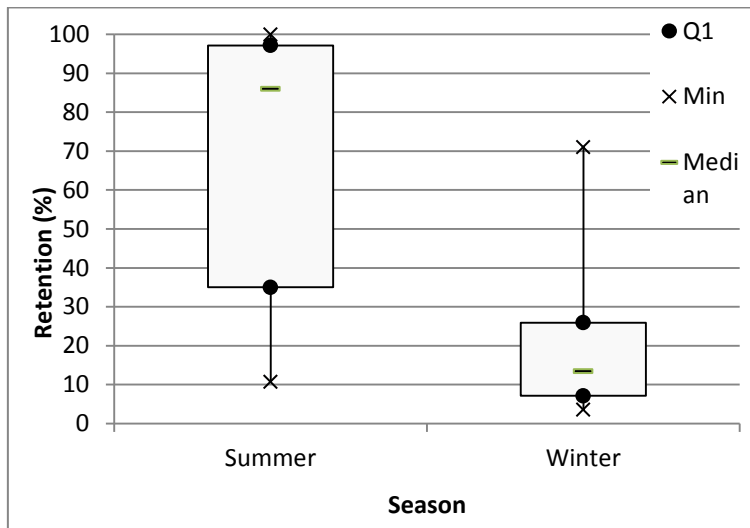
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825 5.4 Seasonal variation in green roof retention

826

827 Out of the 30 events included in the analysis, 21 occurred in summer and 6 occurred in
828 winter. Only 3 occurred in the spring and no events occurred in the autumn. Figure
829 5.4.1 shows the boxplots based on the summer and winter data (autumn is excluded due
830 to the small sample size). It is clear that higher retention rates are observed in the
831 summer.

832



833

834 Figure 5.4.1: A boxplot showing green roof performance over the summer and winter (%).

835

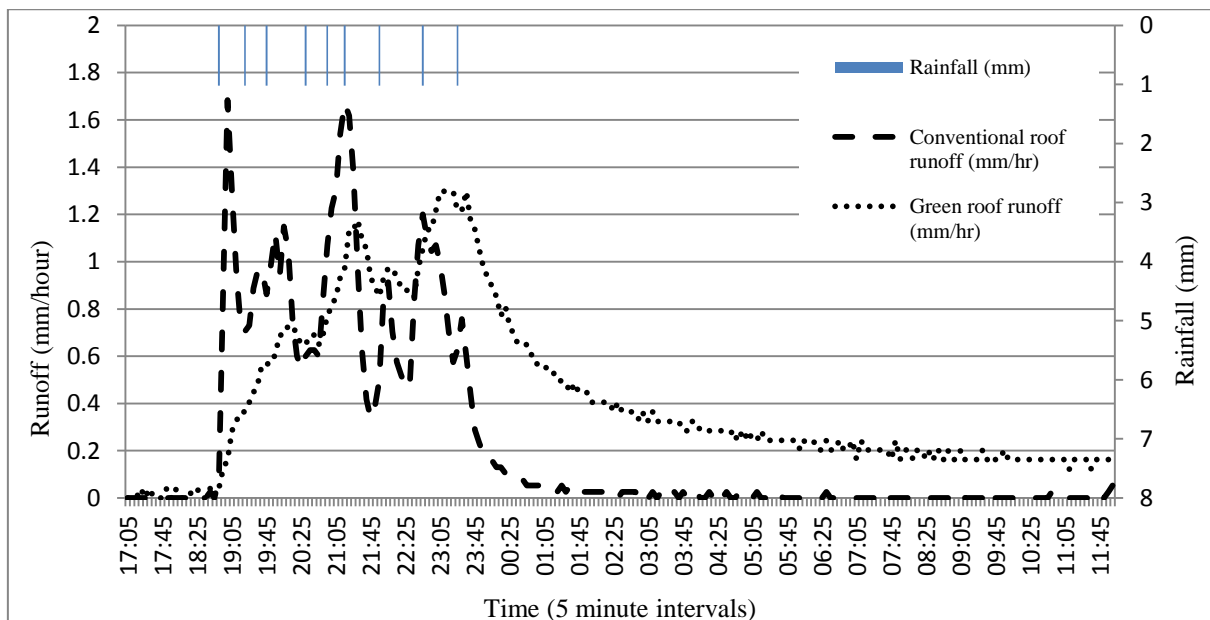
836 5.5 Comparison of rainfall-runoff responses

837

838 Due to unexpected equipment failures and vandalism, only two rainfall events were
839 deemed suitable for rainfall-runoff response comparisons between the green roof and
840 the conventional roof. It should be noted that these events have not been included in the
841 retention analysis as a small amount of runoff was still discharging from the green roof
842 prior to the first recording of rainfall. Nevertheless, these events can still be used to
843 demonstrate the green roof's ability to reduce peak runoff, delay peak runoff and

844 distribute runoff over a longer period of time, when compared to the conventional roof
 845 (Figure 5.5.1 and 5.5.2). The event which occurred on 12th-13th January 2014, for
 846 example, saw 9.18mm of rain fall over 4.65 hours. The green roof's peak runoff was
 847 23% lower than the conventional roof's peak runoff (Figure 5.5.1). Moreover, the
 848 conventional roof peak runoff was recorded just 0.16 hours (10 minutes) after the first
 849 rainfall measurement whereas the green roof's peak runoff was recorded 4.25 hours
 850 (255 minutes) after the first rainfall measurement.

851



852

853 Figure 5.5.1: The green roof and conventional roof rainfall-runoff response for an event which occurred
 854 on 12th-13th January 2014. Runoff was measured at 5 minute intervals and converted to mm/hour.

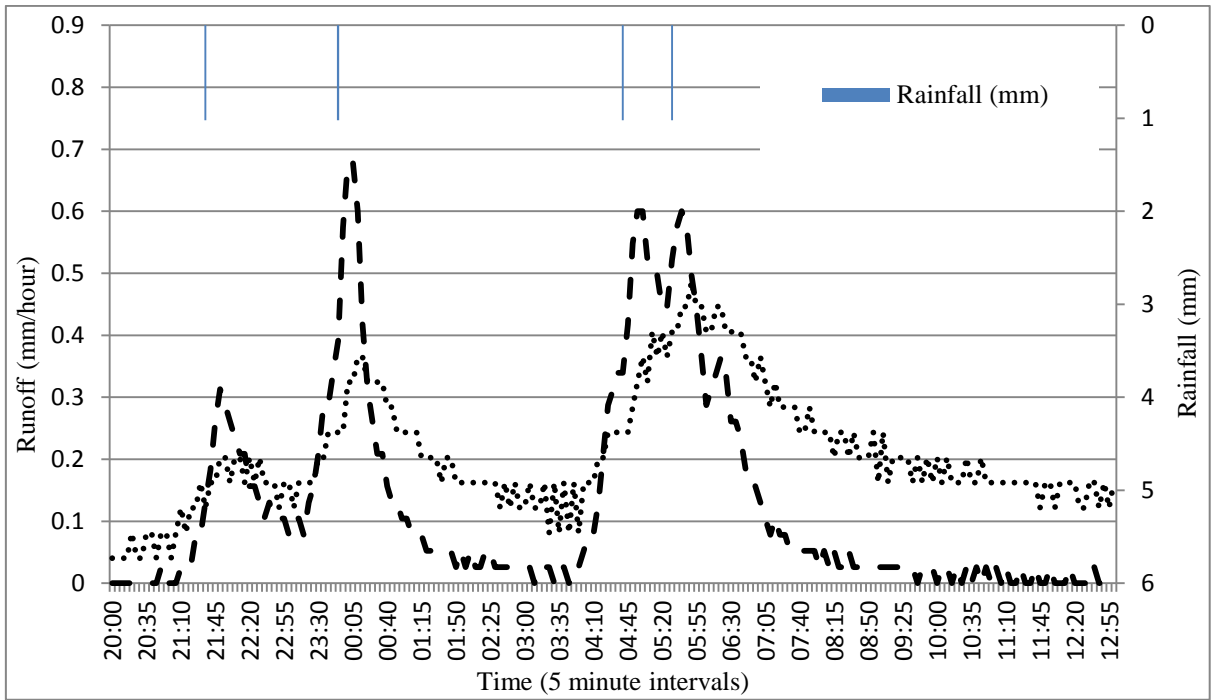
855

856 The 14th-15th January 2014 rainfall event saw 4.08mm of rain fall over a period of 7.95
 857 hours. The green roof's peak runoff was 28% lower than the conventional roof's peak
 858 runoff (Figure 5.5.2). In addition, the conventional roof peak runoff occurred 2.42 hours
 859 (145 minutes) after the first measurement of rainfall whereas the green roof peak runoff
 860 occurred 8.25 hours (495 minutes) after the first recording of rainfall. Therefore, this
 861 event also demonstrates the green roofs ability to attenuate and detain peak runoff rates.

862

863 This rainfall-runoff time series also gives us an indication of how the green roof's
864 hydrological performance can vary between events. Although it is a single rainfall
865 event, it is clear from Figure 5.5.2 that there are two peaks in both the conventional roof
866 and green roof runoff; a peak between 23:30-01:00 and a peak between 04:30-06:30.
867 These peaks in runoff are due to the rainfall which occurred between approximately
868 20:30-01:00 and 04:00-06:30. The difference between the conventional roof peak runoff
869 and the green roof peak runoff between 23:30 and 01:00 is much greater than the
870 difference between the conventional roof peak runoff and the green roof peak runoff
871 between 04:30 and 06:30. In other words, the green roof's ability to reduce peak runoff
872 rates appears to decrease for the second occurrence of rainfall. When the first
873 measurement of rainfall is recorded for this event, the green roof's drainage mat may
874 have been relatively dry. However, at the onset of the rainfall which occurred between
875 04:00-06:30, the roof's drainage mat is likely to be at, or close to, saturation. Thus, the
876 peak reduction, when compared to the conventional roof, is lower for the second peak.
877 These mechanisms and processes which affect the green roof's hydrological
878 performance are discussed further in the next section.

879



880

881 Figure 5.5.2: The green roof and conventional roof rainfall-runoff response for an event which occurred

882 on 14th-15th January 2014. Runoff was measured at 5 minute intervals and converted to mm/hour.

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900 **6. Discussion**

901

902 6.1 Rainfall event characteristics

903

904 The majority of rainfall events were not classified as extreme or significant events.

905 Return period analysis is frequently used to establish a threshold for what is defined as

906 an extreme or significant event (Chu et al., 2009; Sanderson, 2010). Based on

907 recommendations in the literature (Stovin et al., 2012), the threshold in this study was

908 set as events with a return period greater than one year resulting in there being limited

909 examples of green roof performance under extreme conditions (Figure 5.1.1). However,

910 despite this, the dataset did comprise storms of return periods ranging from 1 year to 61

911 years (the latter due to an exceptional storm during 6th July 2012 with a return period of

912 61 years).

913

914 The specifications chosen to define what constitutes as a rain event, and factors chosen

915 to separate individual events, are also important to consider as it can significantly skew

916 the calculations of rainfall characteristics. These vary widely between different studies

917 and research applications, which results in potential discrepancies between different

918 studies due to its direct influence on runoff analysis. Guidelines established by the

919 WaPUG Code of Practice (2002) states that for drainage design applications, a rainfall

920 event should be defined by an ADWP of at least 24 hours, with rainfall depths that

921 exceed 5 mm with a peak intensity of at least 6 mm/hr that is sustained for a minimum

922 of 4 minutes. However, as outlined in the methodology, a dry period of at least six

923 hours was required within this study to separate each event. This criterion was chosen

924 in order to allow for comparisons to be made with specific green roof studies, most
925 notably with Stovin et al. (2012), which was also conducted in the UK. In addition,
926 there was no minimum volume defined for the classification of a rain event, and due to
927 temporal limitations of the rainfall monitoring it was not possible to conduct in depth
928 intensity analysis.

929

930 Therefore, the definition of a rainfall event used in this study may firstly result in total
931 rainfall volumes being underestimated when compared against more commonly used
932 storm event definition, as a greater ADWP would have resulted in the joining of several
933 individual events (Appendix A). However, this would be likely to exclude the events
934 with higher retention percentages, resulting in an overall reduction of the average
935 retention value across all storm events (Stovin et al., 2012).

936

937 6.2 Overall green roof hydrological performance

938

939 The mean retention value of 66% indicates that the green roof is effective at retaining
940 rainfall from the individual events monitored in this study. Furthermore, the rainfall-
941 runoff response comparison visually illustrates the green roof's ability to reduce peak
942 runoff rates, delay peak runoff and discharge runoff over a longer period of time, when
943 compared to the conventional roof. The mean LG1 and LG2 values of 95 minutes and
944 224 minutes, respectively, demonstrate the green roof's ability to detain rainwater.

945 Therefore, overall, it appears that the green roof is effective at lowering surface runoff
946 from precipitation events.

947

948 An understanding of the hydrological processes that occur within the green roof system
949 can provide an insight into some of the factors influencing its hydrological performance.
950 When rain falls onto it, a portion of the rainwater will be intercepted by the vegetation.
951 Some rainwater will be used by the vegetation and released back into the atmosphere
952 through evapotranspiration (Nagase & Dunnett, 2012). The remaining rainwater will
953 infiltrate into the substrate layer (Zhang & Guo, 2013). Once in the substrate layer, the
954 rainfall will be stored, evaporated, or drained through to the drainage mat (Stovin et al.,
955 2012; Berndtsson, 2010). Whilst some storage of rainwater will occur in the drainage
956 mat, the majority is likely to be stored in the substrate layer (Bianchini & Hewage,
957 2012). The temporary storage of rainfall and its slow release will allow the system to
958 detain rainfall, attenuate peak runoff flows and discharge runoff for a longer period of
959 time, when compared to a conventional roof (Fioretti et al., 2010; Teemusk & Mander,
960 2007; Getter & Rowe, 2006).

961

962 Direct comparisons with other studies are difficult to make given a whole range of
963 conditions unique to each study including slope, climate and green roof composition.
964 However, indications are that the average 66% retention reported in the current study is
965 higher than figures reported in previous studies – twelve of the nineteen retention values
966 reported in previous investigations are below the 66% noted in the current study (see
967 Table 2.1).

968

969 This is surprising since green roofs with drainage mats are expected to have relatively
970 smaller retention capability. The difference could be partly due to the fact that the green
971 roof in this study is relatively flat (2% slope). Numerous studies have reported that an

972 increase in green roof slope reduces the retention performance of a green roof
973 (Carpenter & Kaluvakolanu, 2011; Getter et al., 2007; VanWoert et al., 2005). This is
974 potentially due to a flat roof experiencing lower lateral flow rates of rainwater through
975 the green roof system (Uhl & Schiedt, 2008). A flat roof may also experience lower
976 evapotranspiration rates when compared to a sloped roof (Getter et al., 2007). This is
977 because a sloped roof can be exposed to a greater amount of solar radiation, depending
978 on its orientation (Jim & Peng, 2012; Uhl & Schiedt, 2008).

979

980 The green roof examined in this study also has a high percentage of vegetation cover
981 given that it has been in operation for over five years prior to the commencement of this
982 study. Higher vegetation cover ensures that more rainwater is evapotranspired hence
983 more rainfall can be retained (Morgan et al., 2013; Speak et al., 2013; Berndtsson,
984 2010). An older green roof can result in a higher retention capability than a younger
985 green roof system as noted in section 2. Therefore the green roof in this study can be
986 expected to have a higher retention capability than green roofs used in previous studies.

987

988 Other green roof properties which can influence the retention of a green roof include the
989 substrate depth and composition, the number of layers the green roof system consists of,
990 the vegetation species and the material properties of the drainage layer (Bonoli et al.,
991 2013; Vesuviano & Stovin, 2013; Zhang & Guo, 2013; Nagase & Dunnett, 2012;
992 Buccola & Spolek, 2011; Simmons et al., 2008). As the substrate layer is the main
993 component of rainwater storage in a green roof system, the depth of the substrate is a
994 major determinant of retention (Fioretti et al., 2010; Carter and Rasmussen, 2006;
995 Mentens et al., 2006). VanWoert et al. (2005), for example, documented that a green

996 roof with a deep substrate will retain more rainfall than a green roof with a shallow
997 substrate. This is because a deeper substrate allows more water to be stored in the green
998 roof system for an individual rainfall event (Graceson et al., 2013; Berndtsson, 2010).
999 Consequently, less runoff discharges and the green roof's water retention capacity
1000 increases (Zhang & Guo, 2013).

1001

1002 Similar retention values reported from previous green roof studies can also be attributed
1003 to similar green roof properties. For example, Voyde et al. (2010) reported 66%
1004 retention from of a full-scale extensive green roof in Auckland, New Zealand. The
1005 green roof used in the study had a slope of 1.2% and over 60% plant coverage, akin to
1006 the green roof investigated in this study (Voyde et al., 2010). Furthermore, a
1007 comparable substrate depth between the green roof used in this study and previous
1008 studies can explain similar retention performances (Palla et al., 2011; Fioretti et al.,
1009 2010; Hathaway et al., 2008). Several studies conducted on full-scale extensive green
1010 roofs of similar sizes to the green roof examined in this study have reported similar
1011 mean retention values (Carpenter & Kaluvakolanu, 2011; Seters et al., 2009).

1012

1013 6.3 Rainfall characteristics influencing green roof retention

1014

1015 Numerous studies have reported a wide range of retention values similar to this study
1016 (Carson et al., 2013; Stovin et al., 2012; Palla et al., 2011; Fioretti et al., 2010). This is
1017 primarily due to various characteristics of individual rainfall events (Berndtsson, 2010).

1018 This study has identified that the rainfall depth and rainfall duration are significant
1019 factors influencing retention. The rainfall depth and rainfall duration both have an

1020 inverse correlation with the green roof retention. Therefore, as the size and duration of
1021 the individual rainfall event increases, the retention tends to decrease. For example, the
1022 green roof produced a retention value of 99.3% for the rainfall event which occurred on
1023 12th April 2013 (Table 4). This event saw 2.04mm of rain fall over 6.77 hours.

1024

1025 Conversely, the retention based on an event that took place on 9th February 2014
1026 (rainfall depth of 5.1mm over 11.8 hours) was just 29.3%. This relationship between
1027 rainfall size and retention is consistent with the findings reported from previous studies
1028 (Carson et al., 2013; Fassman-Beck et al., 2013; Stovin et al., 2012; Carpenter &
1029 Kaluvakolanu, 2011; Simmons et al., 2008; Teemusk & Mander, 2007; Carter and
1030 Rasmussen, 2006). As the green roof's substrate has a finite storage capacity, a larger
1031 rainfall event produces a greater proportion of runoff, when compared to a smaller event
1032 (Getter et al., 2007). Likewise, a green roof will retain a greater proportion of rainfall
1033 from a smaller event (Stovin et al., 2013). So the finite storage capacity of a green roof
1034 notably restricts its ability to retain rainwater from larger events (Stovin et al., 2013).

1035

1036 This study shows a weak positive correlation between the rainfall mean intensity and
1037 the retention. Despite some studies reporting a significant influence of rainfall mean
1038 intensity on the retention, the trend observed in this study is the reverse of the expected
1039 relationship (Lee et al., 2013; Buccola & Spolek, 2011; Voyde et al., 2010; Liu &
1040 Minor, 2005). The rainfall mean intensity for an individual event can be expected to
1041 have an inverse relationship with the retention of a green roof (Bonoli et al., 2013; Lee
1042 et al., 2013; Kok et al., 2013; Stovin, 2010). This is explained by the finite retention
1043 capacity of a green roof (Stovin et al., 2012; Carter & Jackson, 2007). The correlation

1044 reported in this study may be the result of a few rainfall events having a large influence
1045 on the overall pattern shown by the data. For example, the events which occurred on
1046 23rd May 2013 and 15th June 2013 had relatively high rainfall mean intensities (> 6
1047 mm/hour) and produced no runoff (100% retention) (Table 4). In contrast, the rainfall
1048 event which occurred on 31st January 2014 had a relatively low rainfall mean intensity
1049 of 0.21mm/hour and produced a retention value of 5.84%. Further monitoring of the
1050 green roof's hydrological performance may reveal a different relationship between the
1051 rainfall mean intensity and the retention as individual events have less potential to skew
1052 the overall pattern shown by the data (Speak et al., 2013).

1053

1054 In addition, the trend between the rainfall mean intensity and the retention observed in
1055 this study could potentially be due to the low-resolution rainfall data obtained from the
1056 tipping bucket rain gauge. The low-resolution rainfall data may also be responsible for
1057 the lack of correlation between the rainfall peak intensity and the retention. Several
1058 studies have reported that as the rainfall peak intensity increases, the retention decreases
1059 (Bonoli et al., 2013; Buccola & Spolek, 2011). However, Speak et al. (2013), akin to
1060 this study, found that the rainfall peak intensity was not a significant factor influencing
1061 retention.

1062

1063 Evapotranspiration is the primary mechanism which allows the green roof to restore its
1064 retention capacity between events (Zhang & Guo, 2013; Kasmin et al., 2010; Voyde et
1065 al., 2010). Therefore, it is expected that the longer the dry period between events, the
1066 longer the green roof has to restore its retention capacity (Bonoli et al., 2013; Stovin et
1067 al., 2013). In other words, if the ADWP increases, the retention of the green roof should

1068 increase, as the ADWP influences the green roof's antecedent substrate moisture
1069 conditions (Buccola & Spolek, 2011; Stovin, 2010; Hathaway et al., 2008; Liu &
1070 Minor, 2005; Villarreal & Bengtsson, 2005).

1071

1072 However, results presented in this study indicate that the ADWP is not a significant
1073 influence on the green roof retention. This is most probably due to the low
1074 evapotranspiration rates experienced by the green roof. The temperate maritime climate
1075 experienced in the UK means that the green roof is subjected to low evapotranspiration
1076 rates for most of the year. Indeed, Kasmin et al. (2010) state that the evapotranspiration
1077 rates experienced by a green roof under UK climatic conditions can often be below
1078 1mm/day. So whilst green roof studies performed in such climates as the Mediterranean
1079 report a significant influence of ADWP, studies conducted in climates such as the UK
1080 are unlikely to report such an influence (Stovin et al., 2012; Palla et al., 2011; Fioretti et
1081 al., 2010; Stovin, 2010).

1082

1083 Moreover, the ADWP can be misleading when explaining green roof retention as it fails
1084 to provide a complete insight into the antecedent substrate moisture conditions (Stovin
1085 et al., 2012). For example, the ADWP before a rainfall event could be relatively short,
1086 suggesting that the retention will be relatively low. However, if the previous rainfall
1087 event was relatively small, the roof's substrate will have a high water retention capacity
1088 (Stovin et al., 2012; Seters et al., 2009). Therefore, the antecedent moisture condition of
1089 a green roof also depends on the size of the preceding rainfall event.

1090

1091 6.4 Seasonal variation in green roof retention

1092

1093 The results from this study show that there is significant variation in the green roof
1094 retention performance during summer and winter. Most studies on green roofs attribute
1095 the seasonal variation in a green roof's hydrological performance to changes in
1096 evapotranspiration rates between seasons (Fassman-Beck et al., 2013; Graceson et al.,
1097 2013). In summer, it is expected that relatively high evapotranspiration rates lead to
1098 high retention values as the green roofs retention capacity is restored quickly
1099 (Berndtsson, 2010; Berghage et al., 2009; Seters et al., 2009; Mentens et al., 2006).
1100 However, as the evapotranspiration rates can remain relatively low in the UK climate,
1101 and ADWP is not a significant factor influencing the retention of the green roof, an
1102 alternative explanation is proposed.

1103

1104 In fact, seasonal variations in retention values reported in this study are associated with
1105 the seasonal distribution of rainfall events (Carson et al., 2013; Stovin et al., 2012). On
1106 average, the rainfall depths for the events monitored in this study are 7.38mm and
1107 8.93mm for summer and winter events, respectively. In addition, the average rainfall
1108 duration is 9.02 hours and 33.19 hours for summer and winter events, respectively. As
1109 discussed above, the rainfall depth and duration are both significant factors which
1110 influence the retention capacity of the green roof. Therefore, the smaller and shorter
1111 rainfall events which occurred in summer can be responsible for the relatively high
1112 retention values observed. Likewise, the prevalence of larger and longer rainfall events
1113 which occurred in winter are responsible for the relatively low retention values
1114 observed. These findings are consistent with a previous green roof study conducted on a
1115 green roof test bed under UK climatic conditions (Stovin et al., 2012).

1116

1117 6.5 Modelling green roof retention

1118

1119 Regression analysis suggested that 39% of the variance in retention percentage could be
1120 determined by TR, RD, I and ADWP. This relationship, although significant at the 1%
1121 significance level, is relatively weak. The predictive power may have been increased
1122 with an increase in sample size or higher data resolution as more detailed analysis of the
1123 potential relationships could be investigated (Kelley & Maxwell, 2003). In addition, the
1124 inclusion of other variables, such as those that have not been monitored in this study
1125 including soil moisture and evapotranspiration could have strengthened the relationship
1126 (Kasmin et al., 2010).

1127

1128 Validation of the regression equation for 21 storms showed relatively poor performance
1129 in predicting the retention percentages. This is not unexpected given the reasons noted
1130 above coupled with the fact that the validation dataset was based on another roof type.

1131

1132 To improve predictive capability, more detailed empirical evidence is required
1133 regarding all aspects of green roof monitoring, due to the complex relationships of the
1134 key controlling variables (Carter & Rasmussen 2006). It has also been suggested that
1135 regression analysis cannot account for the complex inter-event processes which affect
1136 green roof retention (Stovin et al., 2012). In order to model the retention performance of
1137 a green roof accurately, the substrate moisture flux concept must be considered (Stovin
1138 et al., 2013; Stovin et al., 2012). This encompasses additional processes which affect the
1139 amount of moisture in a green roof's substrate, and includes such aspects as the

1140 maximum water holding capacity of the substrate. Hence this approach to modelling
1141 should be more accurate than regression analysis.

1142

1143 Additional factors which may affect the green roof retention include the relative
1144 humidity, the air temperature, the solar radiation and the wind speed (Berndtsson, 2010;
1145 Voyde et al., 2010; Uhl & Schiedt, 2008). These factors all influence evapotranspiration
1146 rates and can be expected to contribute to green roof retention (Jim & Peng, 2012).

1147 Furthermore, the inter-particle pore space distribution can affect green roof retention
1148 (Graceson et al., 2013). Freezing conditions experienced by a green roof can also affect
1149 the amount of runoff discharged from a roof (Graceson et al., 2013; Berghage et al.,
1150 2009). Equally, melting of snow may increase the runoff discharged from a roof and
1151 reduce the retention value calculated for an individual event (Teemusk & Mander, 2007;
1152 Teemusk & Mander, 2006). In addition, changes to the size and structure of the
1153 vegetation throughout the seasons may alter retention values for individual precipitation
1154 events (Nagase & Dunnett, 2012).

1155

1156 Therefore it is apparent that there is a myriad of factors which can potentially interact
1157 and influence the hydrological performance of a green roof (Speak et al., 2013; Voyde
1158 et al., 2010). So whilst a conventional roof may have a linear rainfall-runoff
1159 relationship, a green roof can have a quadratic factor as the rainfall-runoff relationship
1160 is often non-linear (Yio et al., 2013; Mentens, 2006). This complexity of green roof
1161 systems indicates that a regression analysis is unlikely to provide an accurate model to
1162 predict green roof retention for individual precipitation events (Simmons et al., 2008).

1163

1164 6.6 Limitations and further work

1165

1166 One of the major limitations of this study is the duration of the monitoring period. The
1167 nature of event-based analysis means that the overall mean retention value reported for
1168 the green roof is heavily dependent on the characteristics of the individual rainfall
1169 events which have been included in the analysis (Carson et al., 2013; Fassman-Beck et
1170 al., 2013; Stovin et al., 2012; Buccola & Spolek, 2011). For instance, out of the 30
1171 rainfall events which were included in the analysis, only 7 have return periods greater
1172 than or equal to one year. The limited number of larger return period events will skew
1173 the pattern shown by the data (Fassman-Beck et al., 2013). Consequently, the overall
1174 mean retention value reported in this study may be an over-representation of the green
1175 roof's ability to retain rainfall events. Furthermore, equipment failures meant that
1176 several large rainfall events were excluded from the event-based analysis, and the
1177 seasonal analysis was limited to summer and winter. This highlights the need for long-
1178 term monitoring of green roofs to reduce the bias created by the duration of the
1179 monitoring period (Zhang & Guo, 2013; Gregoire & Clausen, 2011; Berndtsson, 2010;
1180 Voyde et al., 2010). Studies which examine cumulative green roof retention over a
1181 continuous period may provide a more accurate indication of green roof retention
1182 (Fassman-Beck et al., 2013).

1183

1184 The relatively low-resolution data provided by the tipping bucket rain gauge limits the
1185 lag-time calculations and the rainfall peak-intensity calculations (Shaw et al., 2011).

1186 Previous studies have indicated that peak-to-peak lag-times can be inaccurate (Yio et
1187 al., 2013; Stovin et al., 2012). The calculation of LG2, in particular, does not account

1188 for multiple peaks in rainfall and runoff discharges (Carpenter & Kaluvakolanu, 2011).
1189 Therefore further work could attempt to obtain more accurate calculations of lag-times.
1190 This could be achieved by calculating the difference between the mean centroids of the
1191 hydrograph and the hyetograph for each individual precipitation event (Palla et al.,
1192 2011; Fioretti et al., 2010; Carter and Rasmussen, 2006).

1193

1194 This study has investigated green roof hydrologic performance at the roof-scale. Future
1195 work could investigate the hydrologic performance of green roofs at the watershed and
1196 landscape scale (De Munck et al., 2013; Palla et al., 2011; Damodaram et al., 2010).

1197 This could demonstrate the effectiveness of widespread green roof implementation on
1198 runoff reductions (Carter & Jackson, 2007). For example, Mentens et al. (2006)
1199 reported that if 10% of all the buildings in Brussels were covered in extensive green
1200 roofs, there would be a 2.7% reduction in runoff for the region. Further work is also
1201 needed to develop green roof conceptual rainfall-runoff models that may have wider
1202 transferability than the regression based approach reported here.

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1212 **7. Conclusion**

1213

1214 This study has demonstrated the ability of a full-scale extensive green roof to retain
1215 rainfall from individual precipitation events. This results in the green roof being able to
1216 detain rainfall and attenuate peak runoff flows, when compared to a conventional roof.
1217 However, the roofs retention performance reduces for larger rainfall events, due to its
1218 finite retention capacity. Moreover, the overall mean retention of 66% should be treated
1219 with caution as it is heavily influenced by the characteristics of the rainfall events
1220 included in the analysis. Further monitoring of the green roof may reduce the effect of
1221 this apparent bias and may produce stronger correlations between rainfall characteristics
1222 and green roof retention (Carson et al., 2013; Emilsson, 2008; Hilten et al., 2008).

1223

1224 The results presented here emphasize the need for climate-specific green roof studies as,
1225 contrary to previous studies, the ADWP was found to not be a significant influence on
1226 green roof retention (Kok et al., 2013; Carpenter & Kaluvakolanu, 2011; Teemusk &
1227 Mander, 2006). This is associated with the relatively low evapotranspiration rates
1228 experienced by the green roof in the UK climate (Kasmin et al., 2010). Retention values
1229 also vary between studies due to differing green roof properties such as the slope of the
1230 green roof and the depth of the substrate (Li & Babcock Jr., 2014 Forthcoming; Bonoli
1231 et al., 2013). Thus green roofs are complex, living systems and can offer varying levels
1232 of stormwater management (Olly et al., 2011; Simmons et al., 2008).

1233

1234 Whilst the green roof's ability to retain rainfall from larger precipitation events is
1235 limited, their ability to retain small rainfall events remains an essential component of

1236 urban runoff management (Damodaram et al., 2010; Carter and Rasmussen, 2006). The
1237 retention of relatively small rainfall events can still prevent CSOs, which in turn, can
1238 reduce the amount of pollutants entering water bodies (Fassman-Beck et al., 2013;
1239 Getter et al., 2007). Consequently, green roofs may contribute to achieving targets
1240 outlined by the Water Framework Directive (WFD) (Newton et al., 2007). However, to
1241 provide full protection from pluvial flooding, additional SUDS may be required (Stovin
1242 et al., 2013; Tota-Maharaj et al., 2012; Mentens et al., 2006). The concept of using a
1243 variety of SUDS is central to the philosophy of sustainable urban drainage (Stovin,
1244 2010). Green roofs, for instance, fail to contribute to groundwater recharge, whilst
1245 permeable pavements, which may have poor retention capability, encourage
1246 groundwater recharge (Seters et al., 2009).

1247

1248 Despite their inability to provide a complete solution to urban runoff, green roofs
1249 provide numerous additional environmental and economic benefits (Olly et al., 2011;
1250 Getter et al., 2007). Once the full range of benefits is appreciated, green roofs can be
1251 considered a useful tool for addressing a variety of issues in urban areas (Berndtsson,
1252 2010). Therefore encouragement of their widespread implementation should be based
1253 upon the range of benefits they can offer to building owners, occupants and the wider
1254 community (Zhang et al., 2012). By the same token, future green roof research should
1255 be multidisciplinary to provide a more holistic investigation of their performance (Jim,
1256 2013; Zinzi & Agnoli, 2012; Oberndorfer et al., 2007). This will ensure that any
1257 compromises or trade-offs between green roof designs and their benefits will be
1258 identified (Bates et al., 2009; Morgan et al., 2013; Wolf & Lundholm, 2008). For
1259 instance, to increase plant biodiversity, a green roof may be designed with varying

1260 substrate depths, but to maximise retention, a deeper substrate would be more beneficial
1261 (Bates et al., 2013; Emilsson, 2008).

1262

1263 The data provided here should guide policy development in the UK for widespread
1264 green roof implementation (Dowling, 2002). Currently, there is a lack of policy
1265 encouraging the uptake of green roofs in the UK (Green Roof Guide, 2011; Bell &
1266 Alarcon, 2009; Hall, 2001). This study has provided evidence for their effectiveness at
1267 contributing to stormwater management. Therefore, incentives to encourage green roof
1268 uptake, based on field results, could be developed (Butler & Davies, 2011; Fioretti et
1269 al., 2010; Clark et al., 2008). For example, reduced surface water and highway drainage
1270 charges could be offered to increase green roof installations throughout the UK (Zhang
1271 et al., 2012; Bell & Alarcon, 2009). These initiatives will ensure that the hydrologic
1272 benefits of green roofs are appropriately considered. Through effective policy
1273 development, widespread green roofing can help cities become more sustainable.

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1285

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Appendix A: The measured individual rainfall events and their characteristics.

Date	Season	RD (hr)	TR (mm)	i (mm/hr)	Rp (mm/hr)	ADWP (hours)	T (years)
30/06/2012	Summer	10	17.4	1.74	2.8	45.12	1.01
06/07/2012	Summer	22	84	3.82	15.2	19.03	61
07/07/2012	Summer	6	20	3.33	12.03	22.09	1.45
09/07/2012	Summer	21	24.2	1.15	1.81	21.42	1.01
03/08/2012	Summer	0.5	5	10	10.1	17.21	1.01
04/08/2012	Summer	0.25	3.5	14	14.07	22.16	1
12/04/2013	Spring	6.77	2.04	0.3	1.02	442.38	<1
12/04/2013	Spring	24.3	5.1	0.21	2.04	12.38	<1
17/04/2013	Spring	6.87	11.22	1.63	6.12	99.38	<1
23/05/2013	Spring	0.17	1.02	6.14	6.04	60.5	<1
24/05/2013	Spring	8.62	5.1	0.59	2.04	15.76	<1
14/06/2013	Summer	7.8	3.06	0.39	1.02	368.6	<1
15/06/2013	Summer	0.32	2.04	6.46	6.09	13.52	<1
20/06/2013	Summer	5.88	5.1	0.87	2.04	114.18	<1
22/06/2013	Summer	1.02	4.08	4.02	2.04	25.23	<1
22/06/2013	Summer	1.8	4.08	2.27	7.01	18.43	<1
27/06/2013	Summer	0.17	1.02	6.14	12.06	112.37	<1
28/06/2013	Summer	4.17	4.08	0.98	2.04	14.8	<1
02/07/2013	Summer	4.68	4.08	0.87	2.04	102.23	<1
23/07/2013	Summer	13.55	12.24	0.9	7.14	489.2	<1
27/07/2013	Summer	45.4	39.78	0.88	9.18	97.93	1.19
31/07/2013	Summer	17.85	4.08	0.23	1.02	44.02	<1
03/08/2013	Summer	0.17	1.02	6.14	12.09	65.72	<1
04/08/2013	Summer	14.5	11.22	0.77	2.04	40.02	<1
19/01/2014	Winter	0.68	2.04	3	9.02	12.42	<1
21/01/2014	Winter	44.37	9.18	0.21	2.04	66.25	<1
31/01/2014	Winter	33.75	7.14	0.21	2.04	76.23	<1
04/02/2014	Winter	1.55	2.04	1.32	4.11	52.55	<1
08/02/2014	Winter	0.86	2.04	2.37	5.03	10.97	<1
09/02/2014	Winter	11.78	5.1	0.43	1.02	19.68	<1

RD= Rainfall duration; TR=total rainfall; i=rainfall intensity; Rp=peak hourly rainfall rate; ADWP=duration of the antecedent dry weather period; T=rainfall return period.