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1 **Influence of hydraulic regimes on bacterial community structure and composition in an**  
2 **experimental drinking water distribution system**

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6 Abstract

7 Microbial biofilms formed on the inner-pipe surfaces of drinking water distribution systems  
8 (DWDS) can alter drinking water quality, particularly if they are mechanically detached from  
9 the pipe wall to the bulk water, such as due to changes in hydraulic conditions. Results are  
10 presented here from applying 454 pyrosequencing of the 16S ribosomal RNA (rRNA) gene to  
11 investigate the influence of different hydrological regimes on bacterial community structure  
12 and to study the potential mobilisation of material from the pipe walls to the network using a  
13 full scale, temperature controlled experimental pipeline facility accurately representative of  
14 live DWDS.

15 Analysis of pyrosequencing and water physico-chemical data showed that habitat type (water  
16 vs. biofilm) and hydraulic conditions influenced bacterial community structure and  
17 composition in our experimental DWDS. Bacterial community composition clearly differed  
18 between biofilms and bulk water samples. *Gammaproteobacteria* and *Betaproteobacteria*  
19 were the most abundant phyla in biofilms while *Alphaproteobacteria* was predominant in  
20 bulk water samples. This suggests that bacteria inhabiting biofilms, predominantly species  
21 belonging to genera *Pseudomonas*, *Zooglea* and *Janthinobacterium*, have an enhanced ability  
22 to express extracellular polymeric substances to adhere to surfaces and to favour co-  
23 aggregation between cells than those found in the bulk water. Highest species richness and

24 diversity were detected in 28-days old biofilms with this being accentuated at highly varied  
25 flow conditions. Flushing altered the pipe-wall bacterial community structure but did not  
26 completely remove bacteria from the pipe-walls, particularly under highly varied flow  
27 conditions, suggesting that under these conditions more compact biofilms were generated.

28 This research brings new knowledge regarding the influence of different hydraulic regimes  
29 on the composition and structure of bacterial communities within DWDS and the implication  
30 that this might have on drinking water quality

31 **Keywords: drinking water distribution systems, biofilms, discolouration, hydraulic**  
32 **regimes, pyrosequencing**

### 33 **Highlights**

- 34 1. Pyrosequencing was applied to characterize bacterial communities within drinking  
35 water distribution systems under different hydraulic regimes
- 36 2. Bulk water and biofilm had markedly different bacterial community structure and  
37 composition
- 38 3. Higher species richness and diversity were detected within biofilms, particularly  
39 under highly varied flow conditions, than in bulk water samples
- 40 4. Under highly varied flow conditions more compact biofilms were generated

### 41 **1. Introduction**

42 Drinking water distribution systems (DWDS) are extreme environments with oligotrophic  
43 conditions where a disinfectant residual is commonly maintained. Despite this,  
44 microorganisms are able to survive within DWDS, in particular by attaching to the internal  
45 surfaces of pipes forming biofilms (Simoes *et al.*, 2007a, b). Microbial biofilms have been  
46 conceptually, and under idealised test conditions, associated with various problems in DWDS

47 such as changes in water quality (e.g. discolouration, taste and odour), adsorption and  
48 trapping of materials from the bulk water, hosting opportunistic pathogens and promoting the  
49 deterioration of pipes (Szewzyk *et al.*, 2000; Beech and Sunner, 2004).

50 Discolouration is the most common cause of water quality related customer contacts received  
51 by water companies in the UK. Discolouration is known to be associated with the  
52 mobilisation of accumulated particles, dominated by iron and manganese but with a  
53 significant organic content, from the inner-pipe walls into the bulk water due to increases in  
54 shear stress above conditioning values (Husband *et al.*, 2008). Given the association of  
55 discolouration with pipe surface accumulations, the occurrence of biofilm on inner-pipe  
56 surfaces and the organic content of discolouration material samples it seems logical to  
57 speculate that biofilms and biological behaviour may be playing a role in discolouration  
58 processes. However, there is limited knowledge concerning the role of microbial biofilms in  
59 the process of discolouration and the biologically mediated accumulation of particulates, such  
60 as iron and manganese, in DWDS.

61 There are many different factors that might influence the formation and continual growth of  
62 biofilms on pipe surfaces such as flow regime, amount and type of disinfectant, concentration  
63 of organic carbon, etc. (LeChevallier *et al.*, 1987). It has been previously suggested that  
64 normal (daily) hydraulic conditions within distribution systems are critical in determining the  
65 accumulation and subsequent detachment of biofilms (Rickard *et al.*, 2004a; Manuel *et al.*,  
66 2007; Abe *et al.*, 2012). Different research has focused on the study of how hydraulic regimes  
67 might influence biofilm formation (Liu *et al.*, 2002; Cloete *et al.*, 2003; Lehtola *et al.*, 2005;  
68 Lehtola *et al.*, 2006). However, these and similar studies generally employed idealised  
69 conditions such as bench top reactors, scaled pipeline and biological inoculation which do not  
70 realistically reproduce conditions in real DWDS (e.g. Schwartz *et al.*, 1998; Murga *et al.*,  
71 2001; Batte *et al.*, 2003). As a consequence, it is not well understood how conditioning shear

72 stress, and other factors, might affect formation of biofilms and its microbial composition  
73 within real DWDS and neither is there substantial information about how differences in  
74 biofilm composition might contribute to the process of material mobilization within such  
75 systems. To overcome these limitations the experimental work in this study has been carried  
76 out in a unique temperature controlled, full scale pipeline facility at the University of  
77 Sheffield (Fig. 1). This facility can fully recreate the hydraulic and other physical, chemical  
78 and biological conditions of real distribution systems. A particular technical advantage of the  
79 facility is the inclusion of PWG coupons (Deines *et al.*, 2010). These can be fitted along  
80 lengths of the experimental system and enable DNA-based analysis of biofilms from the  
81 inner-pipe wall.

82 Molecular fingerprinting techniques such as DGGE and T-RFLPs have been previously used  
83 to evaluate microbial community structure in experimental or simulated water supply systems  
84 (Emtiazi *et al.*, 2004; Schwartz *et al.*, 2009; Yu *et al.*, 2010; Sekar *et al.*, 2012), but these  
85 techniques can only assess major changes in the composition of dominant microbial species  
86 in environmental samples (Forney *et al.*, 2004). Pyrosequencing of the 16S ribosomal RNA  
87 (rRNA) is a recently developed molecular tool that provides a more precise characterization  
88 of bacterial communities since the diversity revealed within each sample is far larger than  
89 that detected by other molecular techniques such as fingerprinting. Recent studies have used  
90 pyrosequencing to characterize bacterial communities from impeller retrieved from customer  
91 water meters (Hong *et al.*, 2010) and in membrane filtration systems from a drinking water  
92 treatment plant (Kwon *et al.*, 2011). To date this technique has not been applied to the  
93 analysis of bacterial communities from internal pipe surfaces.

## 94 **2. Aim and objectives**

95 The aim of this study was to provide new knowledge of bacterial community structure and  
96 composition in DWDS. This was achieved by applying pyrosequencing to bulk water and  
97 biofilm samples obtained from the pipe wall of a full scale, temperature-controlled  
98 experimental facility representative of live DWDS. In particular we sought new  
99 understanding of the influence of different hydraulic regimes during biofilm development and  
100 the process of detachment from the inner-pipe surface. Such information is important to  
101 understand the role of biofilms within DWDS and any associations with risks to potable  
102 water quality, so that systems can be best operated and managed in the future.

### 103 **3. Materials and methods**

#### 104 **3.1 Experimental facility and operating conditions**

105 The experimental facility consists of three recirculating loops of pipe fed by a common pump  
106 and returning to a common closed reservoir (Fig. 1). Flow in each loop is individually  
107 controlled to generate different hydraulic regimes. Each loop consist of 9.5 x 21.4 m long  
108 coils of 79.3 mm internal diameter High-Density Polyethylene (HDPE) pipe, thus each loop  
109 has a total length of 203 m such that pipe surface area is dominant over ancillaries.  
110 Polyethylene pipe was selected as it is a prevalent and representative current material used in  
111 distributions systems world-wide. The total volume of the system is 4.5 m<sup>3</sup>. In order to  
112 provide representative water quality the facility is fitted with a trickle feed (and drain) from  
113 the local water distribution system. Data (not shown) from the treatment works supplying the  
114 local system indicated stable water quality throughout the duration of this experiment. The  
115 trickle feed was set to give a system residence time of 24 hours. The temperature of the  
116 facility was set to 16°C for all results reported here; this is representative of average spring  
117 and summer temperatures in UK DWDS, thus accurate for real systems but providing  
118 maximum representative levels of microbial activity.

119 Before experiments commenced, the facility was disinfected with 20 mg/l of RODOLITE H  
120 (RODOL Ltd, Liverpool, UK) which is a solution of sodium hypochlorite with less than 16 %  
121 free available chlorine. The system was flushed for 3 turnovers at maximum flow rate (4.2  
122 l/s) and left standing for 24 hours. After that period the system was flushed again at the  
123 maximum flow rate with fresh water until the levels of chlorine were similar to those of the  
124 local tap water (average free chlorine 0.08 mg/l). After disinfecting the system, sterile PWG  
125 coupons (Deines *et al.*, 2010) were arbitrarily fitted along and around the sample length of  
126 each pipe loop. The PWG coupon design (Fig. 1) allows direct insertion and close alignment  
127 with the internal pipe surface minimizing the distortion of boundary layer conditions that  
128 influence biofilm formation, such as boundary shear stress and turbulent driven exchange  
129 with the bulk water body. The facility thus allows the formation, growth, and detachment of  
130 biofilms to be captured under controlled but fully realistic conditions.

131 For the experiments reported here three different hydraulic regimes were applied based on  
132 daily patterns observed in real DWDS in the UK (Husband *et al.*, 2008). The three regimes  
133 were: low varied flow, ranging from 0.2 to 0.5 l/s (loop 1), steady state 0.4 l/s flow (loop 2)  
134 and highly varied flow, ranging from 0.2 to 0.8 l/s (loop 3) (Fig. 2). These provide a range of  
135 representative conditions from steady state to highly varied, each have the same total net flow  
136 in every 24hour cycle. These daily regimes were repeated for a growth phase of 28 days.

137 After the growth phase, flushing of each loop was undertaken. Flushing is one of the simplest  
138 and most expedient methods used in practice to manage discolouration, typically achieved by  
139 opening fire hydrants to increasing hydraulic forces, shear stress, at the pipe wall to remove  
140 any loosely adhered material (Husband *et al.*, 2011). Before flushing the facility, the flow of  
141 the growth phase was stopped and the bulk water sealed within each loop, the supply tank  
142 was emptied and refilled, this tank water was then combined with the water from one of the  
143 loops and circulated at 0.4 l/s for 3 turnovers to ensure thorough mixing. Biofilm and bulk

144 water samples were taken after this mixing, “pre-flush” samples. Flow was then increased in  
145 gradual steps from 0.4 l/s (shear = 0.2 N/m<sup>2</sup> and velocity = 0.05 m/s) to 4.5 l/s (shear = 3  
146 N/m<sup>2</sup> and velocity = 0.57 m/s) to simulate a network flushing operation. Biofilm and bulk  
147 water samples were then taken, “post-flush” samples. This process was then repeated for the  
148 other two loops, including the refilling of the supply tank.

### 149 **3.2 Sampling of biofilms and bulk water**

150 To study the planktonic and biofilm communities within the system, water samples and PWG  
151 coupons were obtained as detailed above before and after the experimental flushing. Three  
152 replicates of 1L of bulk water were taken directly from the outlet of each of the three loops  
153 before and after flushing the system. In total 18 bulk water samples were collected for this  
154 experiment and filtered through 0.22 µm nitrocellulose membrane filters (Millipore, Corp).  
155 Three PWG coupons were removed before and three after flushing. However, for highly  
156 varied flow conditions before flushing only two coupons were obtained. In total 17 biofilm  
157 samples were removed from PWG coupons as described in Deines *et al.*, (2010). Filters  
158 containing water and biofilms samples were kept in the dark and at 80°C for subsequent  
159 DNA extraction and pyrosequencing analysis.

### 160 **3.3 Water physico-chemistry**

161 Turbidity was constantly measured by means of a turbidity meter (Chemtrac TM2200) installed  
162 in the system via tapping points towards the end of each loop. Several physico-chemical  
163 factors were analysed in pre- and post-flushing water samples. Every analysis was performed  
164 three times for each water sample (three subsamples) to increase the reliability of the  
165 measurements and the average of the three replicates was calculated. Free chlorine was  
166 measured using a HACH DR/2010 spectrophotometer. Measurements of temperature, pH and  
167 Oxidation-Reduction-Potential (ORP) were made using a Hanna H1991003 meter and



168 probes. Water samples for total iron and manganese were sent to AlControl Laboratories  
169 (Deeside, UK) for analysis.

### 170 **3.4 DNA extraction and quantification**

171 DNA was extracted and its quantity and quality determined for subsequent pyrosequencing  
172 analysis. DNA, from three different filters from each sampling point (bulk water and  
173 biofilm), was extracted using a phenol:chloroform based method and chemical lysis approach  
174 (Zhou *et al.*, 1996). In brief, 800  $\mu$ l of SET lysis buffer (40mM EDTA, 50mM Tris-HCl,  
175 pH9, 0.75M sucrose) and 90  $\mu$ l of lysozyme (9 mg/ml) were added to the filters within 15 ml  
176 sterile tubes and incubated at 37°C for 30 min with rotation in a Hybaid hybridisation oven  
177 (Thermo Scientific, UK). Subsequently 100  $\mu$ l of sodium dodecyl sulphate (SDS) and 27  $\mu$ l  
178 of proteinase K (20 mg/ml) were added to the same tube and the sample incubated at 55°C  
179 for 2 h with rotation in a Hybaid oven. The supernatant (aqueous phase) was extracted twice  
180 with an equal volume of phenol:chloroform:isoamyl alcohol (25:24:1), pH8 (Sigma, UK) and  
181 one of chloroform:isoamyl alcohol (24:1) (Sigma, UK). DNA was precipitated with 5M NaCl  
182 and isopropanol, then washed in 70 % ethanol, dried and re-dissolved in sterile water.  
183 Quantity and purity of the extracted DNA was assessed using Nanodrop ND-1000  
184 spectrophotometer (NanoDrop, Wilmington, DE, USA). DNA in all the samples was  
185 normalized to a final concentration of 20 ng/ $\mu$ l and its quality (ratio of absorbance at 260/280  
186 nm) was of ~1.7.

### 187 **3.5 Massive parallel 16S rRNA gene pyrosequencing for characterising bacterial** 188 **communities**

189 A high-throughput sequencing method (pyrosequencing) was used to characterise bacterial  
190 communities and examine their relative abundance and diversity in water and biofilm  
191 samples. Extracted DNA was sent to the Research and Testing Laboratory (Lubbock, TX,

192 US) for bacterial 16S rRNA gene tag-encoded FLX amplicon pyrosequencing (bTEFAP).  
193 PCR amplification was performed using the primers Gray28F and Gray519r (Callaway *et al.*,  
194 2010). Sequencing reactions utilized a Roche 454 FLX instrument (Roche, Indianapolis, IN)  
195 with Titanium reagents, titanium procedures, a one-step PCR reaction (35 cycles), and 1 U of  
196 HotStar Highfidelity Polymerase was added to each reaction (Qiagen, Valencia, CA).

### 197 **3.6 Sequence analysis**

198 In total 181,709 16S rRNA gene sequences were obtained from biofilms and water samples.  
199 Two independent analyses were carried out with the sequences, one was performed by  
200 Research and Testing Laboratory (Lubbock, TX, US) in order to obtain taxonomical  
201 assignments from sequences reads and the other one was carried out using QIIME  
202 (Quantitative Insights into Microbial Ecology) to estimate alpha and beta diversity. The  
203 different terms used to measure diversity in an ecosystem were introduced by Whittaker  
204 (1960, 1972). Alpha diversity refers to the diversity within a particular sample (i.e. how many  
205 different bacteria are in a sample), and is usually expressed by the number of species or  
206 Operational Taxonomic Units (OTUs) when studying bacteria. Beta diversity measures  
207 differences in diversity between samples (i.e. comparison of bacterial distribution among  
208 samples).

#### 209 **3.6.1 Research and Testing Laboratory Taxonomic Analysis**

210 In order to obtain taxonomy assignments from 16S rRNA sequences reads, low quality  
211 sequence ends, tags and primers were removed and sequences depleted of any non-bacterial  
212 ribosome sequences and chimeras using Black Box Chimera Check software (B2C2)  
213 (Gontcharova *et al.*, 2010) as has been described previously (Dowd *et al.*, 2008a,b). To  
214 determine the identity of bacteria in the remaining sequences, sequences were denoised,  
215 assembled into clusters and queried using a distributed BLASTn.NET algorithm (Dowd *et*

216 *al.*, 2005) against a database of 16s bacterial sequences derived from the National Centre for  
217 Biotechnology Information (NCBI). Database sequences were characterized as high quality  
218 based upon similar criteria utilized by Ribosomal Database Project (RDP) ver 9 (Cole *et al.*,  
219 2009). Using a .NET and C# analysis pipeline the resulting BLASTn (Nucleotide Basic Local  
220 Alignment Search Tool) outputs were compiled, validated using taxonomic distance methods,  
221 and data reduction analysis performed as described previously (Dowd *et al.*, 2008a,b;  
222 Callaway *et al.*, 2010). Sequences with identity scores to known or well-characterized 16S  
223 sequences >97 % identity (<3 % divergence) were resolved at the species level, between 95  
224 % and 97 % at the genus level, between 90 % and 95 % at the family level, and between 80  
225 % and 90 % at the order level.

### 226 **3.6.2 Alpha and beta diversity analysis with QIIME (Quantitative Insights into** 227 **Microbial Ecology)**

228 Prior to the estimation of alpha and beta diversity, sequences were filtered, clustered,  
229 taxonomically assigned and aligned using QIIME community analysis pipeline (Caporaso *et*  
230 *al.*, 2010b). Sequences were filtering based on the sequencing quality file according to pre-  
231 established QIIME parameters (Caporaso *et al.*, 2010b). To summarise, sequences shorter  
232 than 200 nucleotides, with one or more ambiguous bases and with quality score inferior to 25  
233 were eliminated from the study. Sequences were clustered into Operational Taxonomic Units  
234 (OTUs) based on 0.97 and 0.95 sequence similarity with the Uclust algorithm (Edgar, 2010).  
235 Representative OTUs were selected based on the most abundant sequences and taxonomic  
236 assignment was conducted using the Ribosomal Database Project (RDP) classifier (Wang *et*  
237 *al.*, 2007) then sequences were aligned using the Phyton Nearest Alignment Space  
238 Termination Tool (PyNAST) alignment algorithm (Caporaso *et al.*, 2010a). A phylogenetic  
239 tree was build using the FastTree algorithm (Price *et al.*, 2009) for UniFrac distance matrix  
240 construction.

241 To study alpha-diversity (diversity within samples) a rarefaction analysis (number of OTUs  
242 observed vs. number of sequences sampled) was performed at 95 and 97 % sequence  
243 similarity for each sample and the average was then calculated based on habitat type,  
244 hydraulic regime and sample description. Calculated collector's curves (Schloss and  
245 Handelsman, 2004) for different alpha-diversity metrics were included; Chao1 richness  
246 estimator (Chao 1984) and Shannon diversity index (Shannon and Weaver, 1949). Richness  
247 refers to the total number of OTUs in the samples and is calculated at different sequence  
248 similarity cut off. However, to estimate diversity the proportional abundance of a particular  
249 phylotype relative to the sum of all phylotypes is taking into account.

250 To compare bacterial diversity between samples (beta-diversity), samples were rarefacted to  
251 the smallest data set (2000 sequences) to reduce sequence heterogeneity and the UniFrac  
252 distance metric was applied (Lozupone *et al.*, 2011) to calculate pairwise distances between  
253 communities in terms of their evolutionary history. Both un-weighted (presence/absence  
254 information) and weighted (taking into account relative abundance of each OTU) UniFrac  
255 analysis were carried out and principal coordinate plots were generated.

### 256 **3.7 Statistical analyses**

257 To assess the similarity in bacterial community composition among samples, the relative  
258 sequence abundance at class and genus level (93 and 97 % sequence similarity cut offs  
259 respectively) for each sample was used to calculate pairwise similarities. All data were  
260 transformed by square root calculations and Bray-Curtis similarity matrixes were generated  
261 using the software Primer v6 (PRIMER-E, Plymouth, UK). Bray-Curtis similarity matrixes  
262 were visualised using multiple dimensional scaling (MDS) diagrams. Analysis of similarity  
263 statistics (ANOSIM) was calculated using the same Bray-Curtis distance matrix to test the  
264 significance of differences among samples based on hydraulic regimes and flushing. The

265 values for the ANOSIM R statistic ranges from 0 to 1, where  $R = 1$  indicates that  
266 communities from different treatments are completely dissimilar.

267 To investigate the relationships between water physico-chemical variables and relative  
268 sequence abundance at species level (97 % sequence similarity cut off) within biofilm  
269 samples, non-parametric Spearman's rank correlation coefficients ( $\rho$ ) were calculated using  
270 PASW® Statistics 18.SPSS.

## 271 **4. Results**

### 272 **4.1 Physico-chemical analysis**

273 As shown in Table 1, pH values were near neutral (7.17-7.40) for all the samples.  
274 Temperature ranged between 15.53-16.23 °C for all samples, within the +/- 1°C control for  
275 the facility. Free chlorine levels were between 0.19-0.28 mg/l, with concentration slightly  
276 inferior at highly varied flow both before (0.12 mg/l) and after flushing (0.19 mg/l), probably  
277 an artefact of the supply water used to refill the tank prior to flushing this loop. High positive  
278 redox potential was found in the system at different hydraulic regimes and increased after  
279 flushing except for low varied flow conditions. Higher turbidity levels were found for highly  
280 varied flow loop before flushing compared to the other loops (Table 1), again likely to be an  
281 artefact of the supply water used to refill the tank prior to flushing this loop. After flushing,  
282 turbidity had increased considerably for steady state and low varied flow loops but only by a  
283 small amount under highly varied flow conditions. iron and manganese levels considerable  
284 increased after flushing and showed similar trends to turbidity.

### 285 **4.2 Correlations between physico-chemical data and relative sequence abundance at** 286 **species level**

287 As shown in Table 2, turbidity levels, iron and manganese concentrations were strongly  
288 positively correlated between each other ( $p < 0.01$ ). pH was positively correlated with  
289 temperature, redox potential and iron ( $p < 0.05$ ). There were significant correlations between  
290 bulk water parameters and the relative sequence abundance at species level (97 % similarity  
291 cut off) of bacteria within pre and post-flushing biofilm samples. Turbidity, shear stress, iron  
292 and manganese concentrations in the bulk water were significantly negatively correlated ( $p <$   
293  $0.05$ ) with relative sequence abundance within biofilms.

#### 294 **4.3 Comparison of biofilm vs. bulk water bacterial diversity**

295 As can be seen from Fig. 3 the dominant bacterial phyla within the biofilms, calculated as the  
296 average of the three biological replicates, were *Gammaproteobacteria* followed by  
297 *Betaproteobacteria*, *Alphaproteobacteria*, *Clostridia* and *Bacilli*. It was also observed that  
298 the position of the coupons along and around the pipe (i.e. crown, side and invert) did not  
299 significantly affect the microbiological characteristics of biofilms (data not shown). However,  
300 the percentages of each of these bacterial groups varied depending on particular hydraulic  
301 regimes and there was high variability between replicates for each sample. Within bulk water  
302 samples, *Alphaproteobacteria* clearly dominated the bacterial community composition  
303 (average of total number of samples up to 78 %) and to a much lesser extent  
304 *Betaproteobacteria* and *Clostridia* were also abundant (Fig. 3). At genus level *Pseudomonas*,  
305 *Zooglea*, *Janthinobacter* and *Sphingomonas* were predominant within biofilms and  
306 *Methylocystis*, *Methylocella*, *Sphingopyxis* and *Polaromonas* within bulk water samples (Fig.  
307 4).

308 The rarefaction curves (Fig. 5) for each sample (observed OTUs) showed that the bulk water  
309 samples had less observed OTUs than biofilm samples. The Chao1 richness estimator and the

310 Shannon diversity index, estimated at 3 % (data not shown) and 5 % dissimilarity cut offs,  
311 also showed higher richness and diversity within biofilms than in bulk water samples (Fig. 5).

312 Non-metric Multi-Dimensional Scaling analysis (MDS) showed a clear separation among  
313 biofilm and bulk water samples at class and genus level (Fig. 6). The analysis of similarities  
314 (ANOSIM) confirmed that water and biofilm sample were significantly different (class level;  
315  $R= 0.867$  and  $p= 0.001$  and genus level;  $R=0.98$ ,  $p=0.001$ ). The results of the principal  
316 coordinate analysis from UniFrac metrics (weighted and un-weighted) were similar to those  
317 based on Bray-Curtis similarity, clustering samples mainly by habitat type (Fig. 7).

#### 318 **4.4 Influence of hydraulic regimes on microbial communities**

319 *Gammaproteobacteria* was the predominant group within the biofilms formed under low  
320 varied flow conditions (65 %, average of replicate samples) and in post-flushing steady state  
321 samples (total average up to 50 %) (Fig. 3). *Betaproteobacteria* were also abundant under  
322 steady state conditions (up to 56 % average total biofilm samples) in both pre and post-  
323 flushing samples and in highly varied flow post-flushing samples (up to 60 %).  
324 *Alphaproteobacteria*, *Clostridia* and *Bacillus* were the other main predominant phylogenetic  
325 groups under the three hydraulic conditions within biofilms (Fig. 3). In the bulk water, the  
326 different hydraulic regimes did not clearly influence the composition of the water samples,  
327 *Alphaproteobacteria* predominated in all the samples, followed by *Betaproteobacteria* and  
328 *Clostridia* under the three different hydraulic regimes. Despite this high similarity found in  
329 the distribution of bacterial groups in water samples, *Gammaproteobacteria* was only  
330 relatively abundant under highly varied flow conditions (up to 12 %) (Fig. 3). *Pseudomonas*  
331 was the genus predominant in the composition of biofilms, particularly at low varied flow  
332 conditions (total average up to 65 %). At steady state higher abundance of *Sphingomonas*,  
333 *Erytromicrobium* and *Methylophilus* was detected. Within biofilms at highly varied flow

334 conditions *Zooglea* and *Janthinobacterium* were more abundant when compared with other  
335 hydraulic regimes (Fig. 4). The percentage of these bacterial genera changed between  
336 hydraulic conditions but did not show a clear variation trend (Fig. 4). The hydraulic regimes  
337 did not significantly affect the community composition of bulk water samples at genus level.  
338 However, higher relative abundance of *Pseudomonas* and *Methylocella* were detected under  
339 steady state and low varied flow conditions.

340 Highest species richness (Chao1) and diversity index (Shannon) at genetic distances of 3 %  
341 (data not shown) and 5 % were observed at highly varied flow followed by steady state and  
342 low varied flow conditions, both with similar levels of observed OTUs and Chao estimator  
343 (Fig. 5).

344 The non-metric MDS based on relative sequence abundance at class and genus level did not  
345 show clear patterns in the distribution of samples (Fig. 8), probably due to the highly  
346 variability of bacterial diversity found in each of the biological replicates. ANOSIM did not  
347 show significant differences in the composition of bacterial communities according to  
348 hydraulic regimes. The results from unweighted-UniFrac metrics based on presence/absence  
349 of bacterial species in the samples tended to better cluster the biological replicates than the  
350 weighted-UniFrac metric where the proportion of species is taking into account (Fig. 7).  
351 Despite this, no statistically significant differences in the distribution of the samples  
352 according to hydrological regimes was detected.

#### 353 **4.5 Microbial communities within biofilms before and after flushing**

354 There are changes in bacterial community composition between pre- and post-flushing  
355 biofilm samples, reflected in the different percentage of relative sequence abundance detected  
356 at different phylogenetic levels (Figs. 3 and 4). *Gammaproteobacteria* tended to decrease at  
357 low and highly varied flow conditions but increased at steady state conditions (Fig. 3). The



358 presence of *Betaproteobacteria* increased remarkably after flushing under highly varied flow  
359 conditions representing more than 50 % of the total community (Fig. 3). At genus level the  
360 abundance of certain bacteria also changed (Fig. 4), for example *Pseudomonas* decreased at  
361 low varied flow but increased at steady state conditions in post-flushing samples. At highly  
362 varied flow, *Janthinobacterium* and *Erythromicrobium* decreased below 2.5 % after flushing  
363 but species belonging to *Zooglea*, *Dechloromonas* and *Methylophylus* increased in post-  
364 flushing samples up to 30 % (Fig. 4). These compositional shifts in bacterial community  
365 composition between pre and post-flushing samples did not follow a clear trend, perhaps  
366 masked by the high variability found between the three biological replicates.

367 Species richness and diversity changed between pre- and post-flushing samples and it was  
368 surprisingly high at highly varied flow conditions (Fig. 5), but as observed above for relative  
369 sequence abundance, and for similar reasons, both richness and diversity varied without  
370 following a significant trend.

371 The MDS analysis did not clearly cluster pre- and post-flushing samples, however,  
372 differences in bacterial relative abundance before and after flushing according to hydrological  
373 regimes were detected (Fig. 8). ANOSIM analysis showed significant differences between  
374 pre- and post-flushing samples at highly varied flow (class level;  $R = 0.583$  and  $p = 0.01$  and  
375 genus level;  $R = 0.667$ ,  $p = 0.01$ ) and steady state conditions (class level;  $R = 0.333$  and  $p =$   
376  $0.01$  and genus level;  $R = 0.407$ ,  $p = 0.01$ ). Confirming the trend observed above for the  
377 MDS analysis of relative sequence abundance, the principal coordinate analysis based on  
378 UniFrac metrics (phylogenetic analysis) did not clearly cluster pre- and post-flushing samples  
379 (Fig. 7).

## 380 **5. Discussion**

381 Significant differences in bacterial community composition were found between bulk water  
382 and biofilms under different hydraulic regimes ( $p < 0.01$ ), with the highest bacterial richness  
383 and diversity detected within biofilms (Fig. 5). Previous research has observed that certain  
384 species, found here inhabiting the bulk water, have higher capacity to attach to surfaces and  
385 form biofilms than others due to their enhanced ability to express cell surface polymers that  
386 can increase cell hydrophobicity and favour processes such as co-aggregation (Rickard *et al.*,  
387 2003, Rickard *et al.*, 2004b). In freshwater ecosystems, *Betaproteobacteria* can attach more  
388 easily to surfaces and they dominate the process of biofilm formation (Manz *et al.*, 1999,  
389 Araya *et al.*, 2003). This ability may then explain the predominance of this bacterial group  
390 within biofilms in this study (Fig. 3).

391 Biofilm is an advantageous way for microorganisms to live in environments such as DWDS.  
392 Within the Extracellular Polymeric Substance (EPS) matrix of biofilms, microorganisms are  
393 protected from the direct action of disinfectants and the availability of nutrients is higher  
394 (Emtiazi *et al.*, 2004). Water companies in the UK are required to maintain disinfection  
395 residual in DWDS to protect against biological contamination, either intentional or  
396 accidental. The most common disinfectant in the UK is free chlorine, which due to its reactive  
397 nature decreases in concentration with time within the distribution system. The different  
398 sensitivity to chlorine of certain bacterial groups has been previously detected by McCoy *et al.*  
399 *al.*, (2012). Our results are in agreement with this, with *Alphaproteobacteria* predominant in  
400 the bulk water due to their higher resistance to chlorine and *Betaproteobacteria* preferentially  
401 present in our biofilms. It is also interesting to note the high abundance of  
402 *Gammaproteobacteria* within biofilms detected in the data (Fig. 3), this bacterial group  
403 includes most of the known pathogens and opportunistic pathogens, confirming that biofilms  
404 are potential reservoirs for this kind of organisms (Mathieu *et al.*, 2009).

405 At genus level, *Pseudomonas* has been considered as the most abundant bacterium in DWDS  
406 independently of the water source and habitat type (Martiny *et al.*, 2005). Our results  
407 confirmed *Pseudomonas* prevalence in biofilms but not in bulk water samples where  
408 *Methylocystis* and *Methylocella* were more abundant (Fig. 4). Methanotrophic degrading  
409 bacteria such as *Methylocystis sp* can convert trichloroethylene into chloral hydrate, which is  
410 a common by-product of disinfection when using chlorine in drinking water (Huhe *et al.*,  
411 2011). The predominance in biofilms of species from genera such as *Pseudomonas*, *Zooglea*  
412 and *Janthinobacterium* (Fig. 4) can be explained by the fact that they are able to produce high  
413 amount of extracellular polymeric compounds which favour the formation of biofilms (Burns  
414 and Stach, 2002; Bitton, 2011). *Zooglea* and *Hypomicrobium* have been previously observed  
415 in drinking water samples and they are mainly abundant under oligotrophic conditions (Lee *et*  
416 *al.*, 2005).

417 The bacterial composition and community structure of biofilms changed between the three  
418 different hydraulic regimes (Figs. 3 and 4). However, this variability was not statistically  
419 significant. Bacterial communities from bulk water samples presented very similar  
420 composition under the three hydraulic regimes and after flushing (Figs. 3 and 4). This result  
421 was in contrast with the bacterial community composition observed within biofilms for a  
422 particular hydrological regime which showed high variability between biological replicates  
423 (Fig. 3). The observed consistency of the bulk water samples is to be expected due to the use  
424 of a common reservoir that all pipe loops recirculated to. Given this common mixing and  
425 cross contamination between loops any difference in the biofilm community is of note. It  
426 could be of potential interest to utilise separate reservoirs in future, as we do not know the  
427 extent to which the loops influenced each other. However, a common mixed source is  
428 indicative of a real DWDS where various hydraulic conditions occur along any given flow  
429 route and due to the presence of complex loops and interconnections in real DWDS. The

430 natural highly heterogeneous nature of drinking water biofilms has been previously observed,  
431 by Henne *et al.*, (2012) using fingerprinting techniques; the authors observed that mature  
432 biofilms developed under similar conditions presented distinctive microbial communities.  
433 Based on this information further research into microbial succession on biofilms within our  
434 experimental system is needed to better understand the community structure of these  
435 microbial communities and the process of their accumulation over time.

436 Rarefaction curves of observed OTUs continued to increase with the number of sequences in  
437 the samples and did not reach a plateau indicating that further increases in sample size would  
438 yield more species. Despite this, the number of observed OTUs, species richness and  
439 diversity tended to be higher at highly varied flow (Fig. 5). Previous studies have suggested  
440 that biofilm growth might increase with higher flow velocity (Lehtola *et al.*, 2005) and that  
441 rapid changes in water flow rates increase the concentration of bacteria in the water (Lehtola  
442 *et al.*, 2006). On the other hand, Husband *et al.*, (2008), suggested that less material is likely  
443 to accumulate at the pipe-wall under varied flow profiles compared to steady state regimes.  
444 However, the cited authors did not analyse the microbial composition of the mobilized  
445 material. Rochex *et al.*, (2008), when studying the role of shear stress on the bacterial  
446 composition of biofilms reported a decrease in bacterial diversity at high shear stress and  
447 suggested that shear stress slows down the process of biofilm maturation. However, their  
448 study was carried out in an annular reactor, under nutrient-rich conditions, which are different  
449 from those in DWDS, and using a fingerprinting technique which can only detect the most  
450 abundant species in a microbial community.

451 We did not detect statistically significant changes in bacterial communities in bulk water  
452 samples in response to the flushing event (Figs. 7 and 8). Taking into account the relatively  
453 high bacterial abundance detected after flushing within biofilms (Fig. 5), only a limited  
454 amount of biological material was effectively removed from the pipe walls (and coupons) to

455 the bulk water which combined with the potential dilution of the biofilms in this 2 m<sup>3</sup>  
456 (approximate volume per loop and reservoir during flushing) facility did not allow the  
457 detection of any significant changes. However, we did find that turbidity and iron in the water  
458 were negative correlated with relative sequence abundance at 97 % similarity cut off in  
459 biofilms (Table 2) suggesting that the contribution of bacterial cells in the process of material  
460 mobilization. The data from this research highlighted the presence of bacteria such as  
461 *Erythromicrobium*, *Leptothrix* and *Hypomicrobium* (Fig. 4) capable of metabolise iron and  
462 manganese within biofilms (Ghiorse, 1984; Katsoyiannis *et al.*, 2004; Ginige *et al.*, 2011).  
463 The relative abundance of these bacteria was positively correlated with turbidity, iron and  
464 between each other (data not shown) indicating their potential involvement in discolouration.  
465 Fe<sup>+2</sup> and Mn<sup>+2</sup> can be trapped within the exopolymeric matrix of biofilms and they can be  
466 sources of energy for these microorganisms (Burns *et al.*, 2002). Our results confirm previous  
467 observations that bacterial mediated iron and manganese reduction and oxidation can take  
468 place simultaneously in biofilms exposed to considerable concentrations of chlorine and  
469 oxygen (Cerrato *et al.*, 2010). Furthermore, the ability of some of these bacteria to form  
470 dormant spores such as *Bacillus spp.* allows them to be resistant to disinfection (Bargar *et al.*,  
471 2000; Cerrato *et al.*, 2010).

472 We have detected differences in bacterial community composition within biofilms after  
473 flushing between the three different hydraulic regimes (Figs. 7 and 8). However, as  
474 mentioned above, the bacterial diversity detected after flushing is surprisingly high,  
475 particularly at highly varied flow regime (Fig. 5). Using the same experimental facility and  
476 similar hydraulic regimes, Sharpe *et al.*, (2010) observed that higher conditioning shear stress  
477 resulted in less material mobilised to bulk water and that a large amount of material remained  
478 on the coupons after flushing. Vrouwenvelder *et al.*, (2010), also observed that in drinking  
479 water membrane systems, biofilms developed under low shear conditions were easily

480 removed. Generally, high shear stress and turbulent flow conditions favour the production of  
481 more dense and compact biofilms by the production of extracellular polymers (van  
482 Loosdrecht *et al.*, 1995; Kwok *et al.*, 1998; Pereira *et al.*, 2002; Manuel *et al.*, 2010).  
483 Biofilms with a more cohesive structure can be more resistant to external shear stress and  
484 detachment (Manuel *et al.*, 2007; Abe *et al.*, 2012). As a consequence, it is likely that thicker  
485 biofilms, which are mechanically more stable, are developed as an adaptative strategy in  
486 response to higher detachment forces (Rochex *et al.*, 2008).

487 The data presented here was based on the assessment of 28-days old biofilms. This period is  
488 sufficient to generate detectable and quantifiable biofilm, but such biofilms are probably far  
489 from being mature. It has been suggested that the process of biofilm maturation can take  
490 several years (Martiny *et al.*, 2003). Further research, using separate tanks in our  
491 experimental facility, during longer periods of time and with different source water will help  
492 to better assess the occurrence and role of bacteria in mature biofilms and within real DWDS.

## 493 **6. Conclusions**

494 This paper presents the results of application of pyrosequencing to DWDS which yields new  
495 and unique data about the influence of hydraulic conditions on bacterial community  
496 composition and structure in biofilms on inner-pipes surfaces and in the bulk water.

497 In particular this research highlighted that in our experimental system, which is a good  
498 representation of a full-scale DWDS;

499 - Bulk water and biofilms have different bacterial community structure and  
500 composition at different taxonomic levels. This habitat segregation suggests that  
501 despite the same origin, the bacteria predominant within biofilms are able to produce  
502 higher quantity of extracellular polymeric substance to initiate biofilm formation. On

503 the other hand, identified bacterial groups abundant in the bulk water are known to be  
504 more resistant to the influence of chlorine than those in the biofilms.  
505 *Gammaproteobacteria*, the bacterial group including most of the known pathogens  
506 and opportunistic pathogens, was highly abundant in biofilms, confirming that  
507 biofilms can act as reservoirs of this kind of microorganisms.

508 - Methanotrophic degrading bacteria such as *Methylocystis sp* were highly abundant in  
509 bulk water samples, suggesting that their capability of metabolising by-products of  
510 disinfection gives them an adaptative advantage over other species in this oligotrophic  
511 environment.

512 - High variability within biofilms growth under similar hydraulic conditions (biological  
513 replicates) confirms the high natural heterogeneity of these ecosystems.

514 - Different hydraulic regimes affect the composition and diversity of bacteria  
515 communities in 28-days old biofilms with a tendency for higher species richness and  
516 diversity detected at highly varied flow.

517 - The mechanical removal of biofilms by flushing did not completely remove bacteria  
518 from the pipe-walls, particularly under highly varied flow conditions, confirming that  
519 under these conditions more -compact biofilms are generated.

520 This research has generated important information regarding the contribution of microbial  
521 biofilms to material behaviour within DWDS. This information will assist in improving  
522 operation, control and management strategies to help safeguard drinking water quality.

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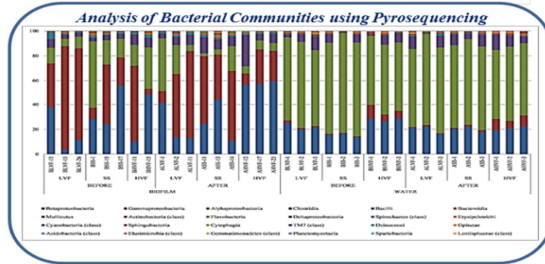
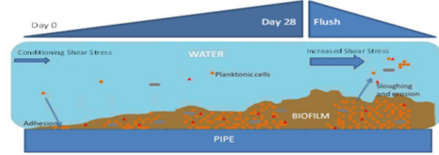
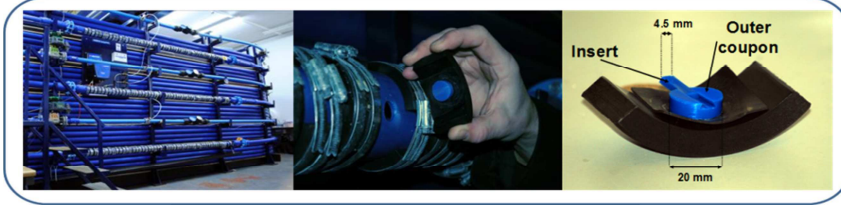
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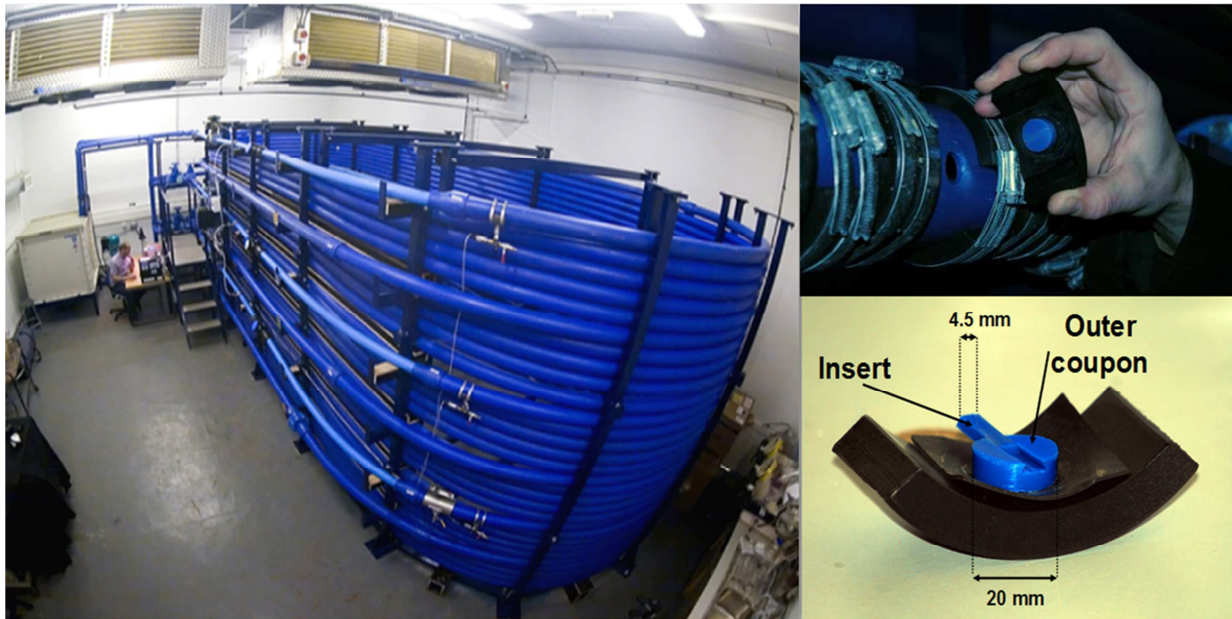
*Experimental Drinking Water Distribution System and PWG Coupons for Biofilm-Related Research*



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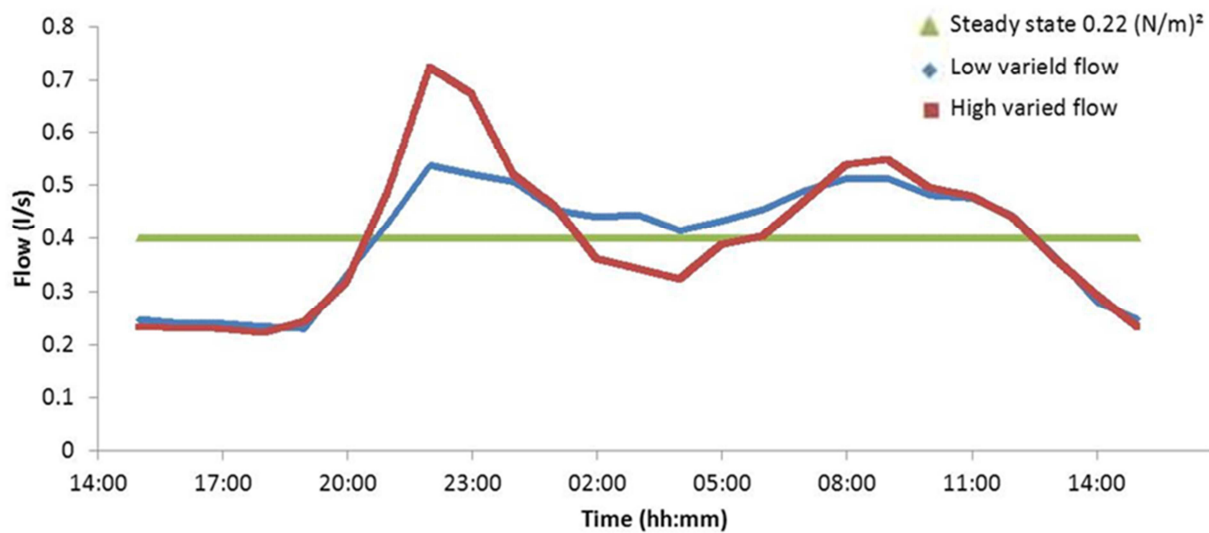
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Graphical Abstract



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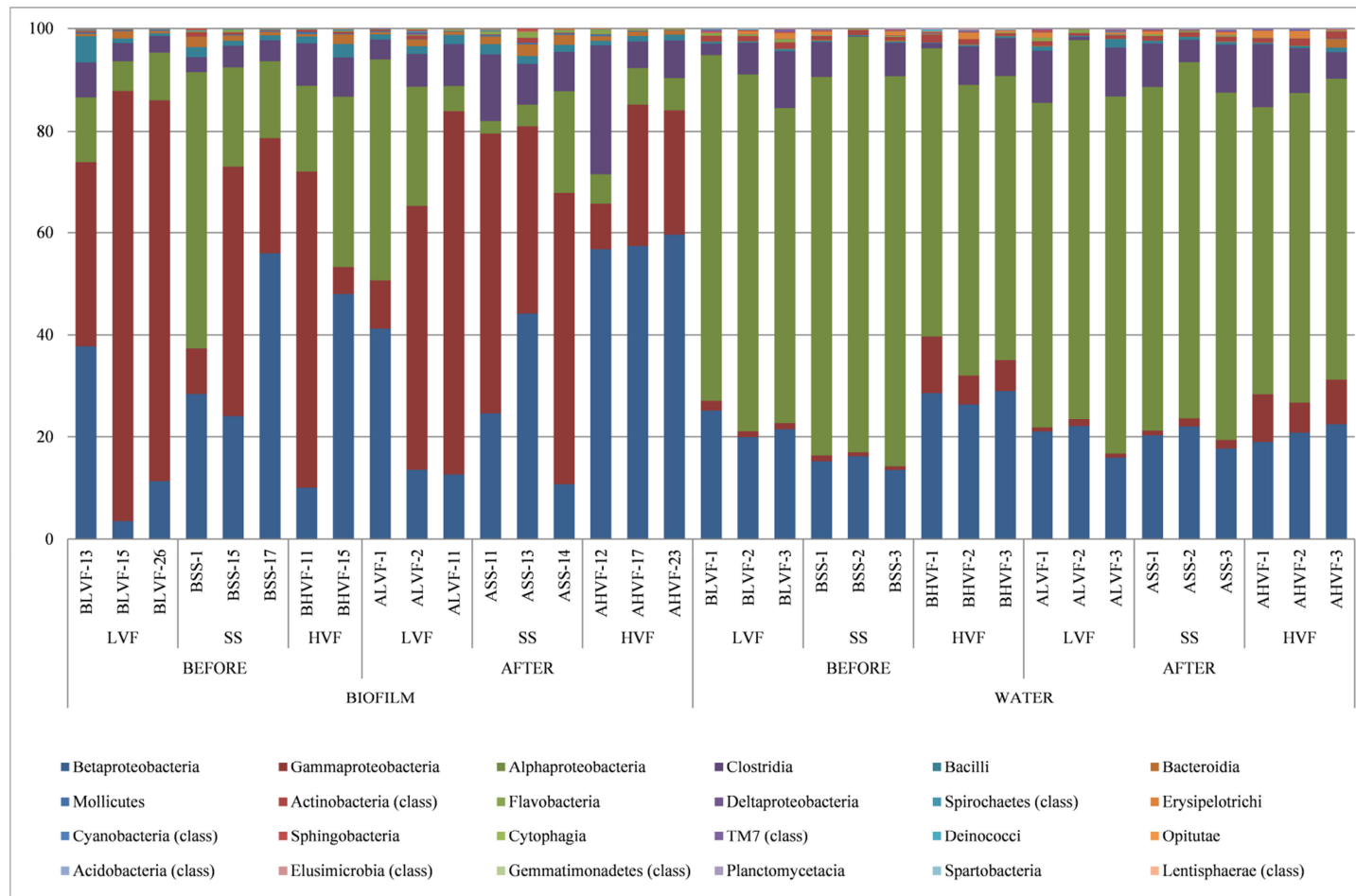
724 **Figure 1:** A) Full-scale laboratory pipe-loop experimental facility at the University of  
 725 Sheffield. B) PWG coupons have the same internal diameter and curvature as the pipe and fit  
 726 with the internal pipe surface. C) PWG coupon showing “outer coupon” (surface area 224  
 727 mm<sup>2</sup>) with 1 “insert” (surface area 90 mm<sup>2</sup>). Figures obtained from Deines et al., (2010).



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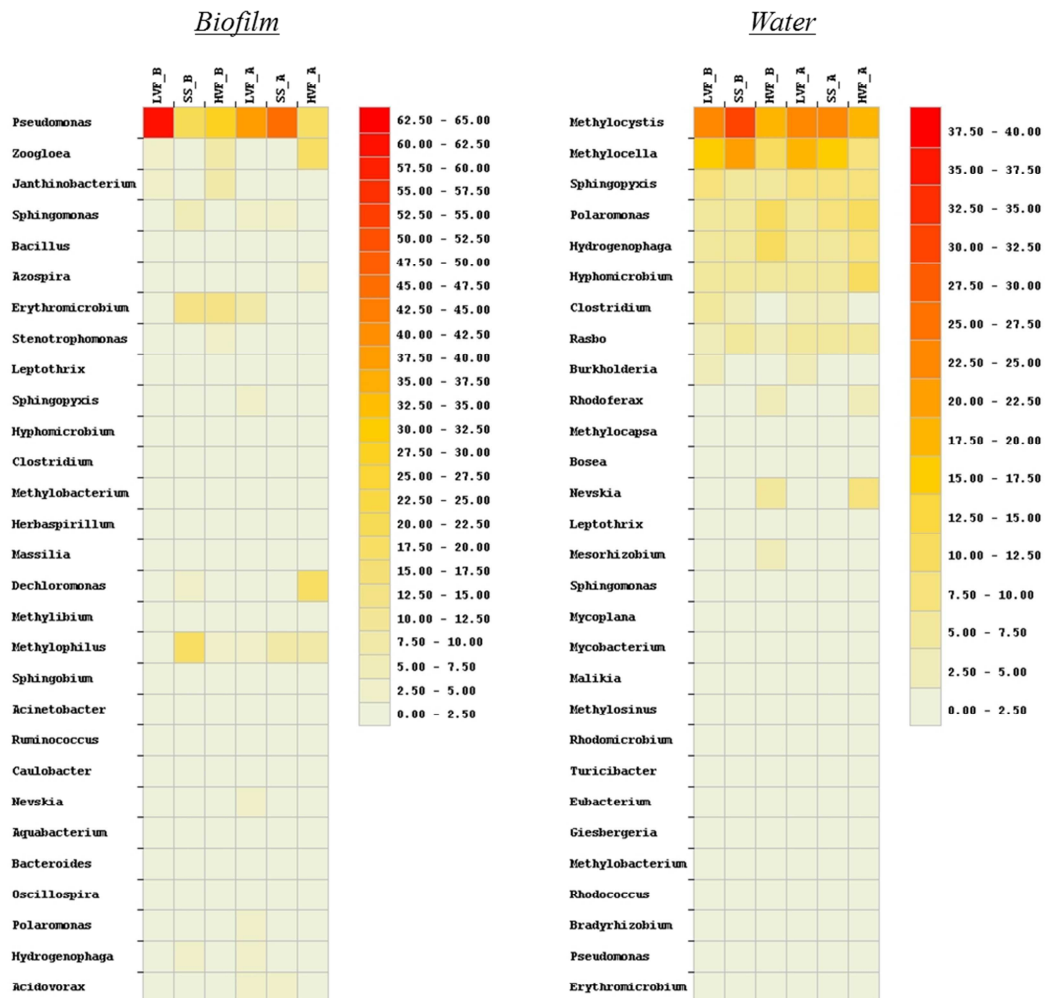
729 **Figure 2:** Flow pattern used in the study representing three different hydraulic regimes based  
730 on daily patterns observed in real DWDS in the UK.

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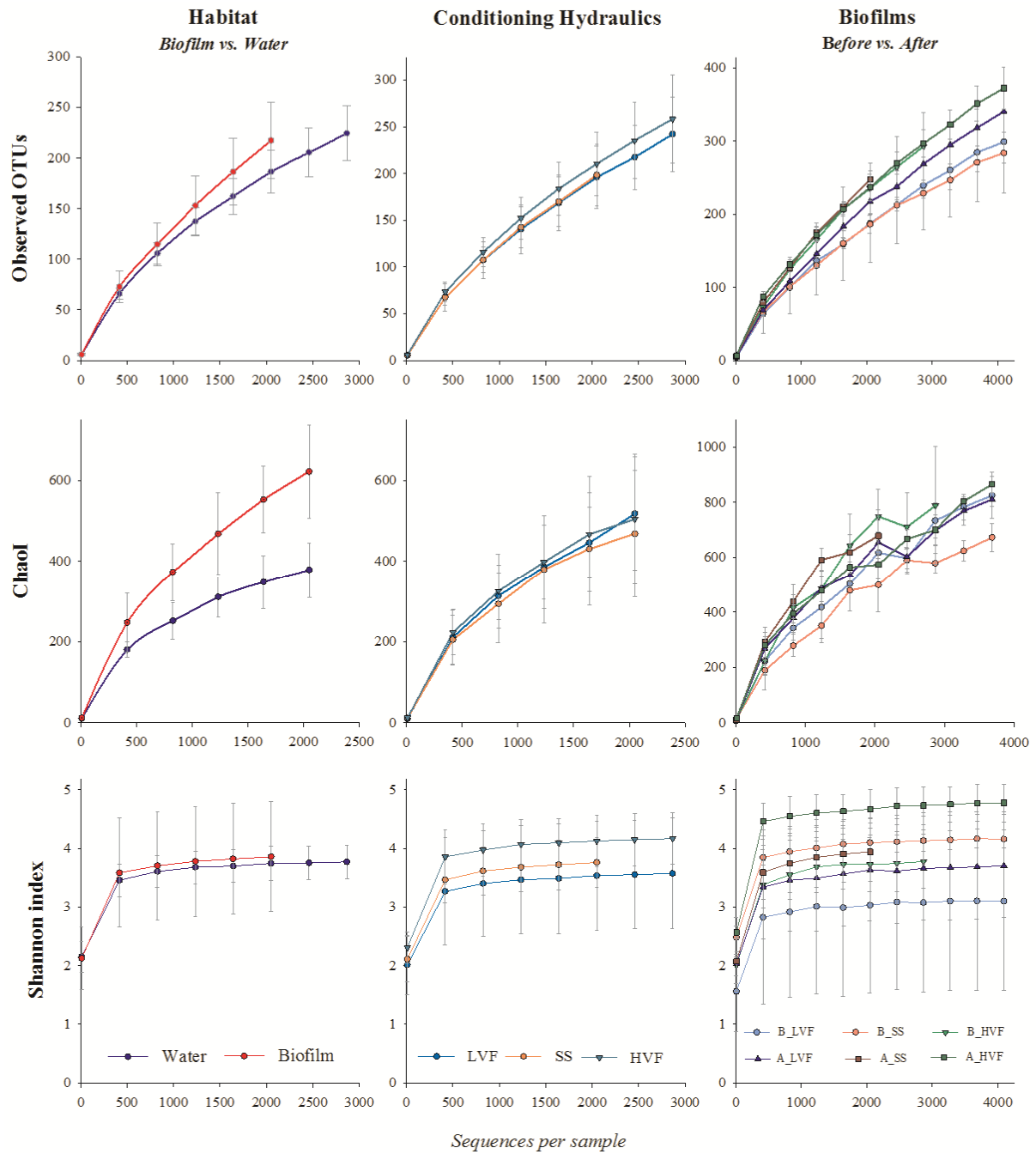
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733 **Figure 3:** Comparison of the relative abundance of the major phylotypes found in biofilms and bulk water under the different hydrological  
 734 regimes and before and after flushing the internal pipe surfaces. LVF (low varied flow); SS (steady state); HVF (highly varied flow); B (before)  
 735 and A (after).



736

737 **Figure 4:** Heatmaps showing the percentages of the most abundant species at genus level  
 738 within bulk water and biofilms. The relative abundance has been calculated as the average of  
 739 the three (two for highly varied flow regime before flushing) biological replicates. LVF (low  
 740 varied flow); SS (steady state); HVF (highly varied flow); B (before) and A (after).



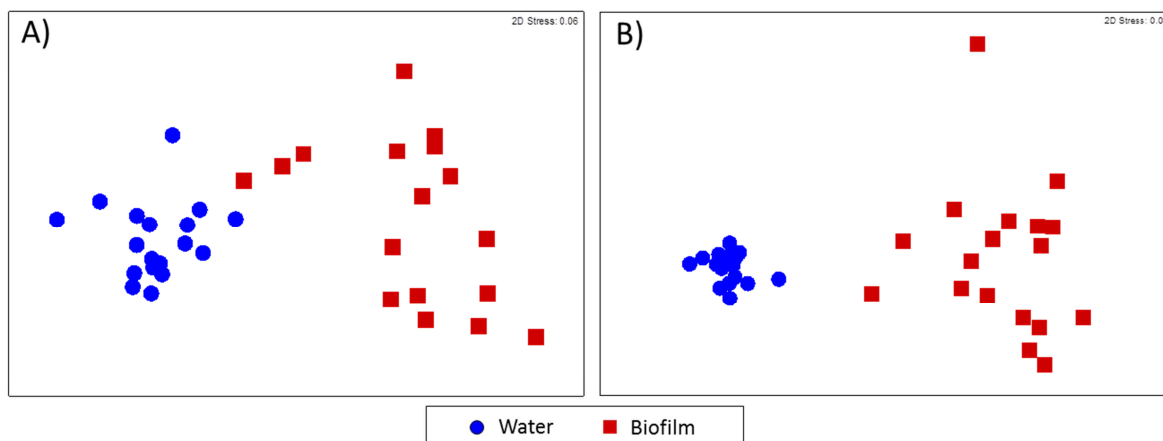
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743 **Figure 5:** Rarefaction curves at 95 % of sequence similarity for water and biofilm samples.

744 Rarefaction curves were obtained for observed OTUS, Chao1 index richness estimator and

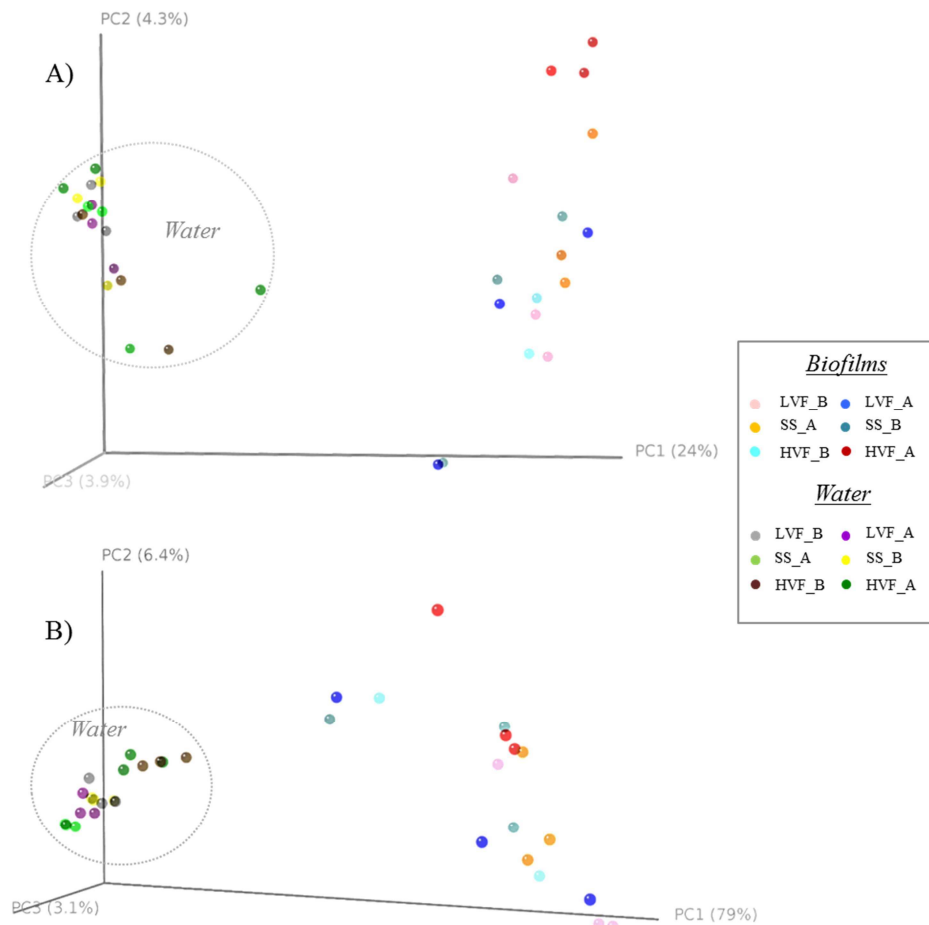
745 Shannon diversity estimator. Bars are indicating standard error.

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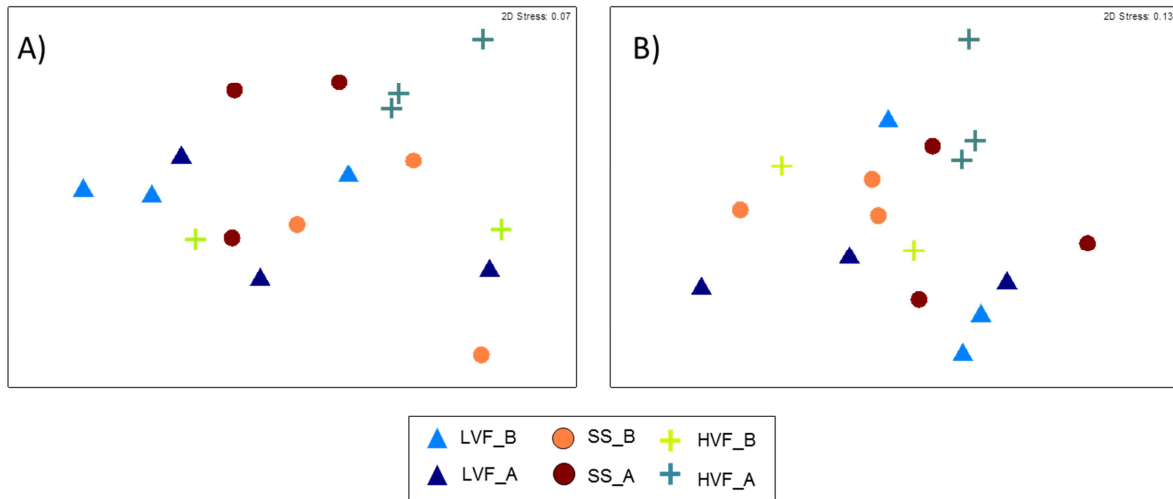
748 **Figure 6:** Two-dimensional plot of the Multi-Dimensional Scaling (MDS) analysis based on  
749 Bray-Curtis similarities of the percentage sequence abundance A) at class level and B) at  
750 genus level showing differences in the bacterial community structure between bulk water and  
751 biofilms (n = 35). Symbols are representing individual samples and are coloured based on  
752 sample type



753

754 **Figure 7:** Three-dimensional Principal Coordinates Plots of UniFrac analysis showing the  
 755 phylogenetic clustering of the bacterial communities within the test-loop facility at 97 % of  
 756 sequence similarity. The axes are scaled according to the percentage of variance that they are  
 757 explaining. A) Unweighted- UniFrac B) Weighted UniFrac (n = 35). Symbols are  
 758 representing individual samples and are coloured based on sample type. LVF (low varied  
 759 flow); SS (steady state); HVF (highly varied flow); B (before) and A (after).





760

761 **Figure 8:** Two-dimensional plot of the Multi-Dimensional Scaling (MDS) analysis based on  
 762 Bray-Curtis similarities of the percentage sequence abundance within biofilms A) at class  
 763 level and B) at genus level showing differences in the bacterial communities between  
 764 hydrological regimes before and after flushing (n = 17). Symbols are representing individual  
 765 samples and are coloured based on sample type. LVF (low varied flow); SS (steady state);  
 766 HVF (highly varied flow); B (before) and A (after)..

767

768 **Table 1:** Physico-chemical properties of bulk water from the test-loop facility before and after the flushing event.

	Flow Regime	Shear (l/s)	Turbidity (NTU)	pH	T (°C)	Redox (mV)	Fe (µg/l)	Mn (µg/l)	Free Chlorine (mg/l)
Before Flushing	LVF	0.5	0.029	7.21	15.53	275.00	36.00	4.93	0.23
	SS	0.5	0.014	7.30	16.07	247.33	26.00	4.77	0.28
	HVF	0.5	0.114	7.17	16.10	272.00	35.67	5.37	0.12
After Flushing	LVF	4.5	0.341	7.24	15.53	191.00	57.50	13.00	0.26
	SS	4.5	0.394	7.33	16.23	304.67	83.00	11.67	0.26
	HVF	4.5	0.179	7.40	16.10	357.33	67.00	11.00	0.19

LVF (low varied flow), SS (steady state), HVF (high varied flow)

769

770

771 **Table 2:** Spearman's correlation coefficients (rho) for water physico-chemical factors and the percentage of relative sequence abundance at 97  
 772 % similarity cut off within biofilms.

		<b>BIOFILMS</b>							
		<b>SeqAb</b>	<b>Shear</b>	<b>Turbidity</b>	<b>pH</b>	<b>T °C</b>	<b>Redox</b>	<b>Fe</b>	<b>Mn</b>
<b>Shear</b>		-.601*							
<b>Turbidity</b>		-.739**	.877**						
<b>pH</b>		NS	.658**	.758**					
<b>T °C</b>		NS	NS	NS	.554*				
<b>Redox</b>		NS	NS	NS	.585*	.644**			
<b>Fe</b>		-.622**	.877**	.894**	.600*	.500*	.600*		
<b>Mn</b>		-.657**	.877**	.932**	NS	NS	NS	.758**	
<b>Cl</b>		NS	NS	NS	NS	NS	-.499*	NS	NS

n=17; \*\*= p < 0.01, \*= p < 0.05, NS= p > 0.05; a two tailed test was used.

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