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1 **Finite element modelling of squirrel, guinea pig and rat skulls: using**  
2 **geometric morphometrics to assess sensitivity**

3

4 Cox PG<sup>1</sup>, Fagan MJ<sup>2</sup>, Rayfield EJ<sup>3</sup>, Jeffery N<sup>1</sup>

5

6 <sup>1</sup>Department of Musculoskeletal Biology, University of Liverpool, UK

7 <sup>2</sup>Department of Engineering, University of Hull, UK

8 <sup>3</sup>Department of Earth Sciences, University of Bristol, UK

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16 **Corresponding author:** Philip G. Cox

17 **Address:** Department of Musculoskeletal Biology, University of Liverpool,

18 Sherrington Buildings, Ashton Street, Liverpool, L69 3GE, UK

19 **Tel:** +44 (0)151 794 5454

20 **Fax:** +44 (0)151 794 5517

21 **Email:** p.cox@liv.ac.uk

22

23

24 **Running Title:** Sensitivity analyses of rodent FE models

25

**1 ABSTRACT**

2

3 Rodents are defined by a uniquely specialised dentition and a highly complex arrangement of  
4 jaw-closing muscles. Finite element analysis (FEA) is an ideal technique to investigate the  
5 biomechanical implications of these specialisations, but it is essential to understand fully the  
6 degree of influence of the different input parameters of the FE model to have confidence in  
7 the model's predictions. This study evaluates the sensitivity of FE models of rodent crania to  
8 elastic properties of the materials, loading direction, and the location and orientation of the  
9 model's constraints. Three FE models were constructed of squirrel, guinea pig and rat skulls.  
10 Each was loaded to simulate biting on the incisors, and the first and the third molars, with the  
11 angle of the incisal bite varied over a range of 45°. The Young's moduli of the bone and teeth  
12 components were varied between limits defined by findings from our own and previously  
13 published tests of material properties. Geometric morphometrics (GMM) was used to analyse  
14 the resulting skull deformations. Bone stiffness was found to have the strongest influence on  
15 the results in all three rodents, followed by bite position, and then bite angle and muscle  
16 orientation. Tooth material properties were shown to have little effect on the deformation of  
17 the skull. The effect of bite position varied between species, with the mesiodistal position of  
18 the biting tooth being most important in squirrels and guinea pigs, whereas bilateral versus  
19 unilateral biting had the greatest influence in rats. A GMM analysis of isolated incisor  
20 deformations showed that, for all rodents, bite angle is the most important parameter  
21 followed by elastic properties of the tooth. The results here elucidate which input parameters  
22 are most important when defining the FE models, but also provide interesting glimpses of the  
23 biomechanical differences between the three skulls, which will be fully explored in future  
24 publications.

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26 **Keywords:** Finite element analysis; sensitivity analysis; material properties; geometric  
27 morphometrics; rodents.

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## 1 INTRODUCTION

2

3 Containing well over two thousand species, the Rodentia is by far the most speciose order of  
4 mammals (Wilson & Reeder, 2005). It is also particularly interesting from a biomechanical  
5 perspective owing to the unique specialisations of the feeding apparatus found in this group.  
6 All rodents possess a pair of grossly enlarged continually growing incisors in both the upper  
7 and lower jaws, followed by a highly reduced post-incisor dentition – usually just three or  
8 four premolars and molars (Nowak, 1999). The incisors and cheek teeth are separated by a  
9 large diastema which, combined with a mandible that is foreshortened relative to the skull,  
10 has separated incisor gnawing from molar chewing. That is, when the molars are in  
11 occlusion, the incisors do not meet, and *vice versa*, so that the two feeding modes have  
12 become mutually exclusive activities (Hiimae & Ardran, 1968). Indeed, to bring the incisors  
13 and molars into and out of occlusion, part of the masticatory musculature has been adapted to  
14 effect propalinal movement of the lower jaw (Becht, 1953).

15

16 The highly specialised morphology of the masticatory musculature has, in the past, been used  
17 to classify the rodents (Brandt, 1855; Simpson, 1945). Almost all extant rodents exhibit one  
18 of three distinct morphologies of the masseter muscle, known as the sciurormorph (squirrel-  
19 like), hystricomorph (porcupine-like) and myomorph (mouse-like) conditions (Wood, 1965).  
20 Each of these morphologies represents an expansion of part of the masseter on to the rostrum,  
21 as illustrated in Figure 1 (see Cox & Jeffery, 2011 for anatomical details). Recent molecular  
22 phylogenetic work (Adkins et al. 2003; Blanga-Kanfi et al. 2009) has indicated that the  
23 sciurormorphs, hystricomorphs and myomorphs are not monophyletic groups and should not  
24 be used a basis for classification. Nevertheless, the terms sciurormorph, hystricomorph and  
25 myomorph have been retained by many modern researchers as descriptors of skull and  
26 masseter morphology, free from the implication of phylogenetic relationship (e.g. Hautier et  
27 al. 2010).

28

29 Many previous studies have sought to determine the biomechanical outcomes of the complex  
30 muscle morphology in the rodents. This has usually been achieved by studying feeding *in*  
31 *vivo* with electromyography (e.g. Hiimae & Ardran, 1968; Weijs & Dantuma, 1975;  
32 Gorniak, 1977; Byrd, 1981) or by estimation of muscle forces and lines of action from  
33 dissection (e.g. Hiimae, 1971; Satoh, 1997, 1998, 1999; Vassallo & Verzi, 2001; Olivares,  
34 2004; Druzinsky, 2010). A limited number of studies have sought to measure the bite force

1 generated by rodents, although, due to the relative inaccessibility of the molars, this has  
2 largely been restricted to investigations of incisal biting (Robins, 1977; Nies & Ro, 2004;  
3 Freeman & Lemen, 2008). This current study simulates the biomechanics of rodent feeding  
4 using finite element analysis (FEA), a computational technique that predicts deformation,  
5 stress and strain in a structure when subjected to external loading conditions. Developed as an  
6 engineering tool for simulating the behaviour of man-made objects, FEA has more recently  
7 been employed by biologists in order to understand the mechanics of biological structures, in  
8 particular the vertebrate skull (e.g. Rayfield, 2004; Moreno et al. 2008; Moazen et al. 2009).  
9 Typically, FEA has been used to assess the biomechanical performance of varying skull  
10 geometries under controlled loading conditions approximating those experienced in real life  
11 (e.g. Dumont et al. 2005, 2010; Rayfield, 2005; McHenry et al. 2007; Wroe et al. 2007).  
12 However, there is also a body of work that investigates the effect of varying input parameters  
13 (material properties, muscle loadings) on the outcome of an FE analysis primarily as a means  
14 to define confidence in their findings, but also as a way to accommodate biological  
15 stochasticity and to capture normal ranges of variation induced by processes such as muscle  
16 fibre type transformation and osteoclast activity (Fagan et al. 2002; Ross et al. 2005; Kupczik  
17 et al. 2007; Reed et al. 2011).

18  
19 Previous research has indicated that variation in the elastic properties of the model materials  
20 can have a large impact on the result of finite element analyses (Strait et al. 2005; Reed et al.  
21 2011). Strait et al (2005) found that, although variations in material property values had little  
22 effect on gross deformation patterns, the resulting numerical strain data were substantially  
23 affected by changes in bone stiffness. Similarly, the magnitude and orientation of the muscle  
24 forces applied to an FE model can also have a large effect on predicted strain values  
25 (Marinescu et al. 2005; Ross et al. 2005).

26  
27 The aim of this study was to investigate the effect of varying input parameters on the  
28 outcomes of finite element analyses of rodent skulls. In particular, the material properties of  
29 the biological tissues were varied, along with the position of the bite along the tooth row and  
30 the angle at which biting occurs. Three rodents, representing the sciuriform, hystricomorph  
31 and myomorph morphologies, were studied. The results were analysed using geometric  
32 morphometrics (GMM), a shape analysis technique that allows comparison of the skull  
33 deformations generated by the different loading regimes. The results will help elucidate the

1 relative importance of input parameters, identifying those that can be generalised from those  
2 that are required to be known more precisely in future studies of the rodent skull.

3

4

## 5 **MATERIALS AND METHODS**

6

### 7 **Sample**

8 Three rodent species were chosen as representatives of the sciuriform, hystricomorph and  
9 myomorph masseter morphologies. These were, respectively, the Eastern grey squirrel  
10 (*Sciurus carolinensis*), the domesticated guinea pig (*Cavia porcellus*) and the brown rat  
11 (*Rattus norvegicus*). These species were selected as they were thought to be typical members  
12 of each morphological group i.e. none is anomalously specialised for a particularly unusual  
13 way of life or mode of feeding. In order to select an average individual of each species to  
14 study, formalin-fixed heads of eight rats, eight guinea pigs and seven squirrels were imaged  
15 using micro-computed tomography (microCT), carried out in the Department of Engineering,  
16 University of Hull. Field of view (FOV) varied from 27 to 50 mm and slice thickness ranged  
17 from 0.047 to 0.076 mm. The total number of slices ranged from 990 to 1160. Subsequently,  
18 43 three-dimensional landmarks (listed in Table S1) were taken from the skull of each  
19 specimen using Amira 5.3.2 (Mercury Systems Inc., Chelmsford, MA, USA). From these  
20 data, variation in the shape of the skull within each species was analysed using geometric  
21 morphometrics (O'Higgins, 2000; Adams et al. 2004) as implemented within the EVAN  
22 toolkit ([www.evan-society.org](http://www.evan-society.org)). The landmark co-ordinates were subjected to Procrustes  
23 superimposition to remove translation, rotation and size differences, and then a principal  
24 components analysis (PCA) was performed for each species. The Procrustes distance between  
25 each specimen and the origin was calculated as the square root of the summed squared  
26 principal component scores. The specimen with the shortest Procrustes distance to the origin  
27 within each species was judged to be the individual closest to the mean shape of the sample  
28 (which is located at the origin of the principal axes, O'Higgins, 2000), and this individual was  
29 used to construct the finite element model.

30

### 31 **Model creation**

32 One finite element model was constructed for the squirrel, guinea pig and rat respectively  
33 from the microCT scans using Amira 5.3.2. Each model comprised six separately thresholded  
34 volumes: skull, molar teeth, incisor enamel, incisor dentine, incisor pulp cavity and

1 periodontal ligament (PDL); so that separate elastic properties could be applied to each of  
2 these materials. The enamel, dentine and pulp could not be adequately distinguished in the  
3 molar teeth, so these structures were modelled as a single volume. The models were  
4 smoothed and converted to a mesh in Hypermesh 10.0 (Altair Engineering Inc., Troy, MI,  
5 USA). Each mesh was entirely composed of linear tetrahedral elements and ranged in size  
6 from 800,000 to 1.2 million elements (see Figure 2).

7

### 8 **Material properties**

9 Hypermesh 10.0 was also used to assign material properties to the elements and to add loads  
10 and constraints to each model. The Young's modulus ( $E$ ) of each of the skeletal tissues was  
11 determined using a nano-hardness tester with a Berkovitch diamond indenter (CSM  
12 Instruments S.A., Peseux, Switzerland). The range of values measured was used as the range  
13 over which to vary the Young's modulus in the sensitivity analyses: bone, 10-30 GPa; incisor  
14 enamel, 60-80 GPa; incisor dentine, 15-25 GPa; molar teeth, 20-40 GPa. As the enamel and  
15 dentine could not be adequately distinguished in the molars, the cheek teeth were modelled as  
16 a single volume with a single elastic modulus. Values for Young's modulus of the pulp cavity  
17 and PDL were gathered from existing literature. Williams & Edmundson (1984) report a  
18 Young's modulus of 2 MPa for the pulp cavity. No other information on this material could  
19 be found, so it was decided to vary the pulp stiffness tenfold in each direction i.e. 0.2-20  
20 MPa. In contrast, there is a wealth of literature on the Young's modulus of periodontal  
21 ligament, with values ranging over several orders of magnitude. Rees & Jacobsen (1997)  
22 report the range of values used for PDL in finite element studies. Three  $E$  values for PDL  
23 were used in this study: 0.7 MPa (Tanne et al. 1987), 50 MPa (Wilson, 1991) and 1750 MPa  
24 (Goel et al. 1992). Poisson's ratio for each material was gathered from existing literature  
25 (Williams & Edmundson, 1984) and ranged between 0.30 and 0.33 except for PDL and the  
26 pulp cavity (both 0.45). All materials were assumed to be linear and isotropic.

27

### 28 **Muscle loads**

29 In order to add muscle information to the FE models, the squirrel, guinea pig and rat  
30 specimens were subjected to the technique of contrast-enhanced microCT (Jeffery et al.  
31 2011). The specimens were immersed in iodine solution for a number of weeks and then  
32 reimaged to reveal detail of the masticatory muscle architecture. For details of the imaging  
33 protocol and descriptions of the rodent masticatory muscles, see Cox & Jeffery (2011). These  
34 scans were also used to generate three-dimensional reconstructions of the masticatory

1 muscles (Figure 1) to provide information on the origin sites of the muscles on the skull, and  
2 from which muscle volumes could be measured. The contrast-enhanced images also allowed  
3 measurement of muscle fibre lengths, and thus by dividing muscle volume by mean fibre  
4 length, it was possible to calculate the physiological cross-sectional area (PCSA) of each  
5 muscle. The superior masseter, deep masseter, zygomatico-mandibularis (anterior and  
6 posterior parts), temporalis, internal pterygoid and external pterygoid muscles were applied in  
7 each model. In addition, the infraorbital part of the zygomatico-mandibularis was modelled in  
8 the rat and guinea pig, and the deep masseter was modelled as separate anterior and posterior  
9 parts in the rat and squirrel (reflecting the difference in muscle morphology reported in Cox  
10 & Jeffery, 2011). Muscle forces were estimated by multiplying the PCSA by a muscle stress  
11 value of  $0.3 \text{ Nmm}^{-2}$  (van Spronsen et al. 1989; Strait et al. 2005). Each estimated muscle  
12 force was distributed over multiple nodes (between 8 and 30) spread evenly across the  
13 corresponding muscle origination site. Muscle force orientations were determined by  
14 temporarily adding a reconstruction of the mandible to each model, so that a vector  
15 representing fibre direction could be created between the origin and insertion of each muscle.  
16 In the case of the temporalis, in which the fibres radiate from the insertion in a fan-shape and  
17 thus vary greatly in their orientation, individual vectors were created for each node selected at  
18 the origin.

19

20 The muscle force orientations were initially estimated with the mandible in a protracted  
21 position, that is, with the incisors in occlusion. To account for the antero-posterior movement  
22 of the lower jaw relative to the skull that is so characteristic of rodents, the mandible  
23 reconstruction was retracted to bring the molars into occlusion and the muscle force vectors  
24 were recalculated. Although not biologically realistic, it was decided to solve the models for  
25 both incisor and molar biting with both a protracted and retracted mandible, in order to  
26 understand the impact of mandibular position on the results of the FE analysis.

27

### 28 **Constraints**

29 In order to constrain the models and prevent free body motion, three or four nodes were  
30 constrained in each mesh. A single node was constrained at each temporo-mandibular joint,  
31 on the underside of the zygomatic process of the squamosal. This node was constrained in all  
32 three axes on the left-hand side, but only two axes were constrained on the right, so that  
33 medio-lateral movement of the skull was allowed. Any more than one node constrained at  
34 each TMJ was found to over-constrain the skull and to produce very high local stresses



1 around the TMJ. Additionally, a node was constrained at the bite point in the axis of biting.  
2 At the molars, this was always in a dorso-ventral direction, perpendicular to the occlusal  
3 plane. At the incisors, the axis of constraint was varied between  $90^\circ$  and  $45^\circ$  to the occlusal  
4 plane of the molars to simulate different gape angles. A constraint perpendicular to the  
5 occlusal plane represents a very narrow gape, whereas a constraint at  $45^\circ$  to the occlusal  
6 plane represents a wide gape. The bite point was varied between the incisors, the first molar  
7 (M1) and the third molar (M3). Both bilateral and unilateral molar bites are observed in  
8 rodents (Byrd, 1981) and so both were modelled in this analysis; incision was assumed  
9 always to be bilateral due to the close apposition of the incisors.

### 11 **Model solution and analysis**

12 The FE models were solved using Abaqus 6.10.2 (Simulia, Providence, RI, USA). Each  
13 model was solved for two mandible positions, four incisor bite angles, four molar bites (M1  
14 and M3, unilateral and bilateral), three  $E$  values for bone, enamel, molar teeth, pulp cavity  
15 and periodontal ligament, and two  $E$  values for dentine. Young's modulus of the incisor  
16 materials was held constant during molar biting and *vice versa*. To reduce the number of  
17 sensitivity analyses to be performed, the Young's moduli of pulp and PDL were fixed at 2  
18 MPa and 50 MPa respectively for most analyses and were only changed in particular  
19 instances. When pulp cavity stiffness was varied, all other tooth material properties were held  
20 constant and only bone stiffness and bite angle were allowed to change. When PDL was  
21 varied, all other material properties (including bone) were held constant, and only bite  
22 position was changed. Similarly, most analyses were performed with a protracted mandible  
23 and only a small number were repeated with the mandible in the retracted position. In total,  
24 390 separate analyses were carried out, 130 per model; these are listed in Table S2.

26 In order to compare the analyses numerically, the resulting deformed models were subjected  
27 to a geometric morphometric (GMM) form space analysis (O'Higgins et al. 2011), again  
28 performed using the EVAN toolkit ([www.evan-society.org](http://www.evan-society.org)). To undertake this, 36 three-  
29 dimensional landmark co-ordinates, illustrated in Figure 3, were recorded from each loaded  
30 skull as well as from the original unloaded models. The set of landmarks previously used to  
31 determine the 'most average' individual was not suitable for re-use here, as they were chosen  
32 for their ease of location on a stack of microCT images. The landmarks used to examine skull  
33 deformations needed to be easily locatable on a three-dimensional skull reconstruction in  
34 which many bone sutures were not visible. The landmarks were recorded from three areas of

1 high strain - orbits, zygomatic arches and rostrum - as well as more widely across the skull to  
2 provide a general reflection of skull shape and deformation. Landmarks were defined as  
3 precisely as possible to allow homologous points to be chosen in all three species. Homology  
4 of landmarks between analyses within each species was absolute as each node of the model  
5 was numbered by Abaqus 6.10.2 and therefore the same node could be selected in each  
6 analysis. As before, the landmarks were subjected to a generalised Procrustes analysis and a  
7 principal components analysis, so that each set of deformations could be compared with the  
8 others and the original undeformed skull. The natural logarithm of the centroid size was also  
9 included in the PC analysis alongside the Procrustes data, so that size as well as shape was  
10 represented in the results (although an analysis of shape alone produced the same outcome).  
11 A further six landmarks were recorded from the incisors of the gnawing analyses (see Figure  
12 4). These were subjected to a separate GMM analysis to investigate the effect of gape angle  
13 on the deformation experienced by the teeth. Analysis of variance (ANOVA) and Student's *t*-  
14 tests, implemented in PAST v1.93 (Hammer et al. 2001) were used to test for significant  
15 differences between mean deformations experienced under different conditions.

16

17

## 18 RESULTS

19

### 20 Analysis of variation in skull morphology

21 The results of the GMM analysis on all 23 rodents allowed the 'most average' individual of  
22 each rodent species to be determined. The percentage of total variance accounted for by each  
23 principal component is given in Table 1, while the plot of the first two principal components  
24 of the GMM for all 23 rodents together is shown in Figure 5. It can be seen that the  
25 individuals separate clearly into three groups: squirrels, rats and guinea pigs, showing that  
26 interspecific morphological variation is much greater than the intraspecific variation in this  
27 sample. This is confirmed by ANOVA which demonstrates a statistically significant  
28 difference ( $P < 0.001$ ) between guinea pigs, rats and squirrels in this analysis. Examining the  
29 GMM analyses of each species individually, it can be seen that the first two principal  
30 components account for over 50% variation in each case (Table 1). In these individual  
31 analyses, the specimen closest to the origin of the plot could be determined, using Procrustes  
32 distances. This specimen was deemed to be closest to the mean form of the sample and was  
33 used in subsequent model construction and analyses. The FE models of these specimens were  
34 then loaded and assigned material properties to simulate the analyses outlined in Table S2.

1

## 2 **Analysis of skull deformations**

3 Figures 6-8 shows the maximum principal strain distributions across the three models in three  
4 example analyses: biting at the incisors, bilateral biting at M1 and unilateral biting at M3, all  
5 with a Young's modulus of bone of 10 GPa. Regions of the skull experiencing high strain  
6 during these bites are the zygomatic arch, the rostrum and the orbit. It was thus from these  
7 areas that the majority of landmarks to be used in the sensitivity analyses were recorded,  
8 although a number of midline landmarks were also taken to reflect general skull shape (see  
9 Figure 3). Although, these are just three sample analyses from the 130 conducted for each  
10 rodent model, some general patterns can be determined. As might be expected, the rostrum  
11 experiences high strains (particularly along its ventral margin) during incisor gnawing, but  
12 very low strains during molar chewing. The unilateral bite on the third molar generates a  
13 region of high strain in the dorsal temporal region immediately posterior to the orbit. This  
14 appears to be a product of both the unilateral nature of the bite and its mesiodistal position  
15 along the tooth row. The orbit is highly strained in incisor and M3 bites, but less so as a result  
16 of the M1 bite. Overall, the rat skull appears to be experiencing the highest strains and the  
17 guinea pig the lowest strains.

18

19 A GMM analysis of all FEAs for all three rodent species shows that variations in form due to  
20 deformations are miniscule compared to those resulting from underlying, unloaded  
21 morphological differences seen amongst the species studied. This is reflected in Table 2  
22 where it can be seen that virtually all (> 99.99%) of the variation is accounted for by the first  
23 two principal components.

24

25 The form deformations analysed individually for the squirrel, rat and guinea pig models are  
26 shown in Figures 6-8, which include results for the variation of material properties, bite  
27 position and mandible position. The first two principal components account for over 90% of  
28 the variation in all three species (see Table 2), so only these two components have been  
29 shown here. It is notable that the vast majority of the variance is accounted for by the first  
30 principal component in the rat, whereas there is a much more even split between the first two  
31 components in the guinea pig. The second principal component accounts for around 37% of  
32 the variation in *Cavia*, compared to just 3% in *Rattus*. The squirrel sits between these two  
33 extremes with 15% of the variation on the second component. These differences in the  
34 distribution of variance across the principal components are clearly illustrated in Figures 6-8.

1 In the rat and squirrel, all the analyses plot along lines parallel to the axes, whereas the guinea  
2 pig incisor analyses, whilst showing a similar pattern, are plotted along lines obliquely angled  
3 to the axes.

4

5 For each species, the variable that has the greatest effect on deformation of the skull is the  
6 elastic property of the bone. It can be seen in Figures 6-8 that the analyses group into three  
7 bands (mostly along the first principal component), representing bone with a Young's  
8 modulus of 10, 20 and 30 GPa (shown as green, blue and red points respectively). The mean  
9 PC1 scores of these three bands representing the three values for bone stiffness were shown  
10 to be highly significantly different ( $P < 0.001$ ) in an ANOVA test. Analyses with the stiffest  
11 bone, i.e.  $E = 30$  GPa, deform the least and thus plot closest to the undeformed model.  
12 Analyses with the most flexible bone are seen at the greatest distance from the original skull.  
13 The distance between each analysis and the undeformed skull is known as the form distance  
14 and is a combination of the Procrustes distance and the log centroid size. Form distances and  
15 the Young's modulus of bone are inversely related, so that if the Young's modulus is halved,  
16 form distance doubles (this can be confirmed from the GMM plots). Analyses that differ only  
17 in the Young's modulus of bone show differences in strain magnitudes, not the pattern of  
18 strains across the skull, and sit on a linear trajectory that also includes the unloaded model.  
19 Hence, the principal components plots (Figures 6-8) all show a spreading, fan-like pattern in  
20 which analyses with a Young's modulus of bone of 30 GPa are tightly clustered close to the  
21 undeformed skull, and analyses with bone  $E$  of 10 GPa are more widely spaced at a greater  
22 distance. Because of this, the example maximum principal strain contour plots displayed in  
23 Figures 6-8 have been selected from separate trajectories i.e. they differ in the position of the  
24 bite rather than the stiffness of the bone.

25

26 The second most important variable in terms of skull deformation is bite position. Within the  
27 three bands on each plot, the analyses separate clearly into incisor bite, unilateral M1 bite,  
28 bilateral M1 bite, unilateral M3 bite and bilateral M3 bite, with the incisor bite being quite  
29 distinct from the four molar bites. The separation between bite positions is not significant  
30 along the first principal component, but is highly significant along the second component ( $P$   
31  $< 0.001$ ). In all three rodents, the bilateral bite at M1 is the most similar to the incisor bites  
32 and to the undeformed skull, and the unilateral bite at M3 is the furthest from them. In the  
33 squirrel, the molar bites form two clear groups representing M1 bites and M3 bites (circles  
34 and diamonds respectively in Figures 6-8), so that the pattern of molar bites in increasing

1 distance from the undeformed skull is bilateral M1, unilateral M1, bilateral M3 and unilateral  
2 M3 (Figure 6). This pattern is replicated in the guinea pig, although the clustering of M1 and  
3 M3 bites is not seen (Figure 7). In fact, the unilateral M1 and bilateral M3 bites are very  
4 similar in this species. In rats, the pattern is altered to bilateral M1, bilateral M3, unilateral  
5 M1 and unilateral M3 (Figure 8), so that the analyses are grouped into bilateral (solid shapes)  
6 and unilateral bites (open shapes). Within the incisor bites, there is a division into four groups  
7 representing the four different angles of bite at the incisor. In all three rodents, gnawing at  
8 90° (squares) to the occlusal plane is most similar to molar biting and to the unloaded skull,  
9 whilst gnaws at 45° (+ crosses) are the most different from mastication in terms of the skull  
10 deformations produced. In squirrels and rats, the four bite angles are separated along the  
11 second principal component, whereas in guinea pigs the axis of variation is oblique to both  
12 PC1 and PC2. Although the distinction between the incisor bite angles is obvious visually (at  
13 least within those analyses in which the Young's modulus of bone is 10 GPa), there is no  
14 statistically significant difference between them when subjected to an ANOVA.

15

16 The effect of the mandibular protraction is shown clearly in Figures 6-8, in which the darker  
17 colours represent analyses in which the mandible was protracted and the lighter colours  
18 indicate analyses with a retracted mandible. Variation in the position of the mandible is seen  
19 along the same axis as variation in the Young's modulus of bone – for the rat and squirrel this  
20 is the first principal component, for the guinea pig it is an axis oblique to both the first and  
21 second principal components. The difference in deformation between the two mandibular  
22 positions is relatively small compared to the difference seen when changing the bone stiffness  
23 by 10 GPa, so the three bands representing the three input values for the Young's modulus of  
24 bone are still clearly visible. This variable also reveals a difference between the guinea pig  
25 model and the squirrel and rat models. Retraction of the mandible in the squirrel and rat  
26 decreases the form distance between the loaded and unloaded models i.e. deformation is  
27 reduced, whereas retraction of the guinea pig mandible increases the form distance between  
28 the loaded and unloaded models, i.e. there is an increase in deformation. The difference  
29 between the analyses with a protracted and a retracted mandible was not significant over the  
30 whole dataset, but a significant difference in PC1 scores was found in the rat ( $P < 0.001$ ) and  
31 squirrel ( $P < 0.05$ ) models when the analyses were divided into three groups based on the  
32 Young's modulus of bone and subjected to separate *t*-tests.

33

1 The results of varying the Young's modulus of the periodontal ligament are somewhat  
2 unusual and have been displayed in purple and with a vertical line through the symbol in  
3 Figures 6-8 for ease of visualisation. Very little difference in deformation pattern was found  
4 when the Young's modulus was varied from 50 MPa to 1750 MPa. However, in all three  
5 rodents, the skull deformations produced by analyses in which the  $E$  value of PDL is 0.7 MPa  
6 are clearly separated from analyses with greater values for PDL stiffness. This effect is  
7 particularly noticeable in the squirrel model loaded to simulate biting at the molars, in which  
8 the analyses with low values of PDL stiffness are found at some distance from the  
9 corresponding analyses with higher PDL  $E$  values (Figure 6). Furthermore, the impact of low  
10 Young's modulus on the deformation pattern was not consistent across bite positions or  
11 across the three rodents. Low PDL stiffness has most impact on bilateral molar bites in the rat  
12 model, M3 bites in the squirrel model, and in the guinea pig model, it is the unilateral M3 bite  
13 and the incisor bites that are most affected. However, on further inspection of the squirrel  
14 model results with low PDL Young's modulus, it was noticed that the loaded molar was  
15 apparently being displaced through the bone, which is clearly an unrealistic outcome.  
16 Therefore, it was concluded that the strange results generated for low PDL Young's modulus  
17 (in the squirrel at least) were erroneous and possibly due to an inability of the software to  
18 cope with such a wide disparity (five orders of magnitude) between the PDL and bone  
19 stiffness values. This behaviour was not seen with the higher PDL values, or in any of the  
20 guinea pig and rat analyses.

21

22 The other variables studied in this analysis – material properties of the incisor enamel,  
23 dentine, pulp cavity, molar teeth and the periodontal ligament – are relatively unimportant  
24 factors affecting deformation of the skull compared to bone stiffness, bite position and lower  
25 jaw position. The individual analyses representing these variables cannot be distinguished  
26 from one another in Figures 6-8, and no statistically significant difference was found between  
27 them.

28

### 29 **Analysis of incisor deformations**

30 To analyse deformation of the incisors under varying loading conditions, six landmarks were  
31 taken from the incisors in each model and subjected to a geometric morphometric analysis. In  
32 this study, only the angle of the bite at the incisor and the material properties of the enamel  
33 and dentine were varied, giving a total of 24 analyses per species (see Table S3). Again, the  
34 landmarks from the undeformed models were also included in the GMM. The results of the



1 analysis of all three rodents are shown in Table 3. As before, variations in form due to species  
2 differences completely overwhelm those resulting from variation in input parameters, and  
3 almost all the variation is accounted for by the first two principal components. Figures 9-11  
4 show the plots of the first two principal components for the analyses of each rodent  
5 separately. The maximum principal strain contour plots have also been shown for four  
6 example analyses, representing the four bite angles, for each rodent. Table 3 gives the  
7 percentage of variance contained within each principal component. In this analysis, the first  
8 principal component covers over 80% of the variation in all three rodents. However, in a  
9 reversal of the situation seen in the analysis of skull deformations, here it is the guinea pig  
10 that is most dominated by the first component – 99.5% of variation is seen here – and it is the  
11 rat that has the greatest amount of variance accounted for by the second component (15%).  
12

13 It can be seen from Figures 9-11 that bite angle is the most important variable affecting  
14 deformation of the incisors. The four bite angles analysed (90°, 75°, 60° and 45° to the  
15 occlusal plane) form four distinct groups along the first principal component. The difference  
16 between the means of these groups is highly significant ( $P < 0.001$ ). It is particularly  
17 interesting to note that the relationship of the undeformed model to the four groups  
18 representing different bite angles varies between the three rodent species. In the guinea pig,  
19 biting at 90° and 75° results in distinctly less deformation than biting at smaller angles to the  
20 occlusal plane (Figure 10). In comparison, squirrels and rats appear to be able to gnaw at a  
21 much greater range of angles (60° to 90° in squirrels, 45° to 75° in rats), without much  
22 difference in the amount of deformation (Figures 9, 11). This can be seen from both the form  
23 distances and the maximum principal strain contour plots.  
24

25 Within each bite angle, the analyses are separated by the Young's modulus of the enamel and  
26 the dentine. As might be expected, the more flexible the enamel or dentine, the greater the  
27 deformation, and hence the greater the distance of the analysis from the original incisor on  
28 the principal components plot. In the rats and squirrels, variation in the dentine stiffness  
29 causes separation of the analyses along the second principal component, which is statistically  
30 significant ( $P < 0.001$ ) in an ANOVA test. Enamel stiffness is the least important variable for  
31 these two rodents, and does not produce statistically significant separation along either of the  
32 first two principal components. In contrast, in the guinea pig it is variation in enamel stiffness  
33 that separates the analyses along PC2, and dentine stiffness is the least important variable.  
34

## 1 DISCUSSION

2

3 The results of this morphometric analysis show that, of the parameters varied in this analysis,  
4 the material properties of the bone are the most important variables when modelling  
5 deformation in the skull generated by feeding. It can be seen that a change of 10 GPa in  
6 Young's modulus can produce a greater change in the deformed skull form than a change in  
7 bite position. Although this is a relatively large variation in modulus, it does demonstrate the  
8 importance of using accurate material properties in finite element models, as relatively small  
9 changes can produce significant variations in the results. However, as mentioned above,  
10 changes in Young's modulus, only produce changes in the strain magnitudes, not the strain  
11 patterns seen across the skull (as also noted by Strait et al. 2005). Therefore, while accurate  
12 knowledge of bone material properties is vital for predicting strain values, it is not so  
13 important if comparison of strain patterns is the desired outcome of the analysis. It should be  
14 noted that the models considered here assumed isotropic material properties, whereas in  
15 reality there will almost certainly be some anisotropy in the bone (Peterson & Dechow, 2003;  
16 Strait et al. 2005). It is currently not possible to measure these directional variations in skulls  
17 of this size, but in any case, it seems unlikely that the overall sensitivity of the results to the  
18 material properties will be significantly different.

19

20 It has also been demonstrated that bite position can have a large effect on deformation  
21 patterns in the skull, although not nearly as much as bone material properties, given the high  
22 percentage of the variance seen on the first principal component. Incisor bites are always  
23 well-differentiated from molar bites on the principal component plots. This is unsurprising  
24 given the wide diastema between the incisors and cheek teeth. It can be seen from the  
25 maximum principal strain plots in Figures 6-8 that the rostrum experiences high strains  
26 during incisor gnawing but remains relatively unloaded during molar biting, and this is bound  
27 to produce large differences in the pattern of deformation. The four molar bites modelled here  
28 are also easily distinguishable on the principal component plots; however, they do not have  
29 the same relationship to each other in the three models. In the squirrel and guinea pig, all  
30 bites on the same tooth produce similar deformation patterns, whether they are bilateral or  
31 unilateral. This is not the case in rats in which bilateral bites on different teeth are more  
32 similar than a bilateral and a unilateral bite on the same tooth. This may be attributable to a  
33 slightly shorter molar tooth row in the rat, in which the distance between the first and third  
34 molars is around 9.5% of the total skull length as opposed to about 13% in the squirrel and



1 the guinea pig. A further influence may be the geometry of the skull and the positioning of  
2 the teeth. The rat molars are located such that any vertical force on them, whether it is on the  
3 first or third molar, will tend to propagate stress directly upwards into the orbit (Figure 8). In  
4 the squirrel and guinea pig, force will also be directed into the orbit from the third molar, but  
5 the morphology of the skull means that forces from the first molar remain localised around  
6 the root of the zygomatic arch and are not transmitted to the orbit (Figures 6, 7). Thus the  
7 deformations generated by an M1 bite are fairly similar to those produced by an M3 bite in  
8 the rat, but are quite distinct in the squirrel and guinea pig.

9

10 The effect of varying the direction of pull of the muscle forces is highlighted in this study.  
11 Rodents are notable amongst mammals in having two distinct positions of the mandible at  
12 which biting can take place: a protracted orientation with the incisors in occlusion and a  
13 retracted orientation with the molars in occlusion. Although the absolute distance between the  
14 two arrangements is relatively small (2-3 mm displacement), it has been shown here that the  
15 corresponding change in the orientation of the masticatory muscles can make a noticeable  
16 difference to the results of an FE analysis. The most striking difference in the analyses  
17 presented here is seen between the guinea pig, and the squirrel and rat. Retraction of the  
18 mandible in the squirrel and rat leads to a reduction in the degree of deformation experienced  
19 by the skull, whereas in the guinea pig it is protraction of the mandible that reduces skull  
20 deformation. However, it should be noted that this is a hypothetical distinction, as rodents can  
21 only accomplish incisor gnawing by protracting the mandible and can only chew at the  
22 molars by retracting it. The options of chewing with a protracted lower jaw or gnawing with a  
23 retracted lower jaw are not available. On examination of the Procrustes distances between  
24 both protracted-mandible gnawing and retracted-mandible chewing and the undeformed  
25 skull, it can be seen that the amount of skull deformation is very similar in each case, for any  
26 given Young's modulus of bone. Therefore, the results indicate that accurate modelling of  
27 muscle orientations is important in FEA, and where changes in the muscle pull directions  
28 occur due to movement of the mandible (as in many groups of amniotes; Reilly et al. 2001),  
29 these should be incorporated into the model.

30

31 The elastic properties of the periodontal ligament in cranial and mandibular FE models have  
32 been studied in previous research with little consensus so far as to the extent of the influence  
33 of the PDL on strain distributions (Marinescu et al. 2005; Gröning et al. 2011;  
34 Panagiotopoulou et al. 2011; Wood et al. 2011). This study indicates that changing the

1 Young's modulus of PDL between 50 MPa and 1750 MPa – the highest  $E$  value for PDL in  
2 the scientific literature (Goel et al. 1992), as reported by Rees & Jacobsen (1997) – has very  
3 little effect on the overall deformation of the rodent skull, although there were of course local  
4 effects around the alveoli of the teeth. This is in agreement with previously conducted  
5 sensitivity analyses of the properties of PDL in a primate cranium (Wood et al. 2011).  
6 However, the results of this analysis are somewhat confounded by the unusual and  
7 inconsistent deformations experienced by the models with very low Young's modulus of the  
8 PDL ( $E = 0.7$  MPa), and it is hypothesised that these deformations may be erroneous,  
9 resulting from the large disparity (several orders of magnitude) between the Young's modulus  
10 of the PDL and the surrounding bone. It is clear that the material properties of the PDL is a  
11 highly contentious issue and one that requires further investigation, particularly with regard  
12 to very low values of Young's modulus.

13

14 The results of the analysis of incisor deformation show that the guinea pig incisors deform  
15 least during bites which are perpendicular to the occlusal plane. This is in contrast to rats and  
16 squirrels in which incisal deformation is much more consistent over a large range of bite  
17 angles. This suggests that gnawing in guinea pigs may be limited to quite narrow gape angles,  
18 whereas rats and squirrels are capable of efficient gnawing at both wide and narrow gapes.  
19 This would allow them to feed on food items of different sizes, a finding that is consistent  
20 with the known diets of the rodents (Nowak, 1999). Rats and squirrels eat a much greater  
21 proportion of hard foods (nuts and seeds) that require gnawing at the incisors, whereas guinea  
22 pigs rely more on vegetation that tends to be chewed at the molars and does not require a  
23 wide gape for ingestion. The varying importance of the material properties of the enamel and  
24 dentine in the three rodents reflects the composition of the incisors in these three species. In  
25 the rat and squirrel, the dentine forms a large part of the incisor (approximately 70%, as  
26 measured in the FE models constructed for this study) compared to the enamel, and so it has a  
27 greater influence on the deformation of the incisor during biting. In guinea pigs, the dentine is  
28 somewhat reduced (around 50%) and it is the enamel that is the more important variable in  
29 determining deformation patterns.

30

### 31 **CONCLUDING REMARKS**

32

33 It has been demonstrated that, of the input parameters studied here, the variables with the  
34 greatest influence on the overall deformation predicted by a finite element analysis of three

1 representative rodent skulls are bone stiffness, and to a lesser extent, bite position, muscle  
2 orientation and bite angle. It is clearly important to model these variables as accurately as  
3 possible in FE models, in order to have the highest possible confidence in the results.  
4 Significant variations in material properties were considered and yet the properties of the  
5 tooth materials, enamel, dentine and pulp appear to be relatively unimportant in these  
6 analyses, despite the large size of the incisors in rodents, and can be varied widely with little  
7 effect on the overall pattern of deformation across the skull. Nevertheless, these variables can  
8 have a substantial influence locally and, of course, are paramount when studying deformation  
9 in the teeth themselves. It should be noted that overall deformation of the skull is being  
10 represented by a single point in the GMM analyses presented here, and while the relative  
11 distortions of the different analyses can be seen, no inferences can be drawn on the differing  
12 distributions of the deformations. Furthermore, the loads applied to the models are non-  
13 physiological i.e., all muscles are contracting fully in each bite. Variation in the relative  
14 muscle forces between the sensitivity analyses (for example, to optimise for bite force) could  
15 produce different deformation distributions. These caveats notwithstanding, this study,  
16 although limited in its scope to three species of rodent, contributes to a wider body of  
17 evidence suggesting that the elastic modulus of bone is one of the key variables for  
18 determining the outcome of FE analyses (Strait et al. 2005; Reed et al. 2011; Bright &  
19 Rayfield, 2011). Using the results of this analysis to inform our choice of input parameters,  
20 we now intend to investigate the biological significance of the results of the FE analyses  
21 under certain loading regimes in the squirrel, guinea pig and rat.

22

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24

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31

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34

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## 38 SUPPLEMENTARY MATERIAL

39

40 Additional supplementary material may be found in the online version of this article.

41

42 **Table S1** Cranial landmarks used to determine 'average' individuals.

43

44 **Table S2** FE analyses solved for each rodent model, for analysis of skull deformations.

45

46 **Table S3** FE analyses solved for each rodent model, for analysis of incisor deformations.

47

48

49

50

1 **SUPPLEMENTARY MATERIAL**

2

3 **Table S1**

4 Cranial landmarks used to determine 'average' individuals.

5

	<b>Midsagittal plane</b>
1	Ventral extremity of incisors
2	Antero-ventral extremity of premaxilla
3	Ventral margin of nares
4	Dorsal margin of nares
5	Naso-frontal suture
6	Anterior interior margin of the brain-case
7	Fronto-parietal suture
8	Parieto-occipital suture
9	Posterior interior margin of the brain-case
10	Dorsal margin of foramen magnum
11	Ventral margin of foramen magnum
12	Basispheno-basioccipital suture
13	Prespheno-basisphenoid suture
14	Posterior point on palate
15	Posterior extremity of incisive foramen
	<b>Dentition</b>
16	Posterior extremity of upper incisor alveolus
17	Anterior extremity of upper molar tooth row
18	Dorsal point on upper M1 alveolus
19	Dorsal point on upper M2 alveolus
20	Dorsal point on upper M3 alveolus
21	Medial point between upper M1 and M2 on surface of palatine
22	Medial point between upper M2 and M3 on surface of palatine
23	Posterior extremity of upper molar tooth row
	<b>Rostrum</b>
24	Anterior extremity of naso-premaxilla suture
25	Anterior extremity of masseteric origin on rostrum
26	Anterior extremity of incisive foramen
27	Ventral extremity of premaxillo-maxilla suture
28	Posterior point on margin of infraorbital foramen
	<b>Zygomatic arch</b>
29	Anterior point of inner margin of zygomatic arch
30	Ventral point on maxillo-jugal suture
31	Dorsal point on jugo-squamosal suture
32	Posterior point of inner margin of zygomatic arch
	<b>Temporal region</b>
33	Dorsal point on margin of optic foramen
34	Dorsal point of ventral surface of articular process of squamosal
35	Antero-ventral point of pterygoid fossa
36	Anterior point on margin of foramen ovale
37	Widest point of the braincase
	<b>Otic region</b>
38	Anterior extremity of external auditory meatus

39	Posterior extremity of external auditory meatus
40	Anterior extremity of cochlea
41	Anterior extremity of auditory bulla
42	Ventral extremity of auditory bulla
43	Posterior tip of occipital condyle

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**Table S2**

FE analyses solved for each rodent model, used for analysis of skull deformations.

Mandible Position	Bite properties			Young's modulus (GPa)					
	Tooth	Sided	Angle (°)	Bone	Enamel	Dentine	Pulp	Molar	PDL
pro	l	bi	45	10	70	15	0.002	30	0.05
pro	l	bi	45	10	70	25	0.0002	30	0.05
pro	l	bi	45	10	70	25	0.002	30	0.05
pro	l	bi	45	10	70	25	0.02	30	0.05
pro	l	bi	45	20	70	15	0.002	30	0.05
pro	l	bi	45	20	70	25	0.0002	30	0.05
pro	l	bi	45	20	70	25	0.002	30	0.05
pro	l	bi	45	20	70	25	0.02	30	0.05
pro	l	bi	45	30	70	15	0.002	30	0.05
pro	l	bi	45	30	70	25	0.0002	30	0.05
pro	l	bi	45	30	70	25	0.002	30	0.05
pro	l	bi	45	30	70	25	0.02	30	0.05
pro	l	bi	60	10	70	15	0.002	30	0.05
pro	l	bi	60	10	70	25	0.0002	30	0.05
pro	l	bi	60	10	70	25	0.002	30	0.05
pro	l	bi	60	10	70	25	0.02	30	0.05
pro	l	bi	60	20	70	15	0.002	30	0.05
pro	l	bi	60	20	70	25	0.0002	30	0.05
pro	l	bi	60	20	70	25	0.002	30	0.05
pro	l	bi	60	20	70	25	0.02	30	0.05
pro	l	bi	60	30	70	15	0.002	30	0.05
pro	l	bi	60	30	70	25	0.0002	30	0.05
pro	l	bi	60	30	70	25	0.002	30	0.05
pro	l	bi	60	30	70	25	0.02	30	0.05
pro	l	bi	75	10	60	15	0.002	30	0.05
pro	l	bi	75	10	60	25	0.002	30	0.05
pro	l	bi	75	10	70	15	0.002	30	0.05
pro	l	bi	75	10	70	25	0.0002	30	0.05
pro	l	bi	75	10	70	25	0.002	30	0.05
pro	l	bi	75	10	70	25	0.02	30	0.05
pro	l	bi	75	10	80	15	0.002	30	0.05
pro	l	bi	75	10	80	25	0.002	30	0.05
pro	l	bi	75	20	60	15	0.002	30	0.05
pro	l	bi	75	20	60	25	0.002	30	0.05
pro	l	bi	75	20	70	15	0.002	30	0.05
pro	l	bi	75	20	70	25	0.0002	30	0.05
pro	l	bi	75	20	70	25	0.002	30	0.0007



pro	l	bi	75	20	70	25	0.002	30	0.05
pro	l	bi	75	20	70	25	0.002	30	1.75
pro	l	bi	75	20	70	25	0.02	30	0.05
pro	l	bi	75	20	80	15	0.002	30	0.05
pro	l	bi	75	20	80	25	0.002	30	0.05
pro	l	bi	75	30	60	15	0.002	30	0.05
pro	l	bi	75	30	60	25	0.002	30	0.05
pro	l	bi	75	30	70	15	0.002	30	0.05
pro	l	bi	75	30	70	25	0.0002	30	0.05
pro	l	bi	75	30	70	25	0.002	30	0.05
pro	l	bi	75	30	70	25	0.02	30	0.05
pro	l	bi	75	30	80	15	0.002	30	0.05
pro	l	bi	75	30	80	25	0.002	30	0.05
pro	l	bi	90	10	70	15	0.002	30	0.05
pro	l	bi	90	10	70	25	0.0002	30	0.05
pro	l	bi	90	10	70	25	0.002	30	0.05
pro	l	bi	90	10	70	25	0.02	30	0.05
pro	l	bi	90	20	70	15	0.002	30	0.05
pro	l	bi	90	20	70	25	0.0002	30	0.05
pro	l	bi	90	20	70	25	0.002	30	0.05
pro	l	bi	90	20	70	25	0.02	30	0.05
pro	l	bi	90	30	70	15	0.002	30	0.05
pro	l	bi	90	30	70	25	0.0002	30	0.05
pro	l	bi	90	30	70	25	0.002	30	0.05
pro	l	bi	90	30	70	25	0.02	30	0.05
pro	M1	bi	n/a	10	70	25	0.002	20	0.05
pro	M1	bi	n/a	10	70	25	0.002	30	0.05
pro	M1	bi	n/a	10	70	25	0.002	40	0.05
pro	M1	bi	n/a	20	70	25	0.002	20	0.05
pro	M1	bi	n/a	20	70	25	0.002	30	0.0007
pro	M1	bi	n/a	20	70	25	0.002	30	0.05
pro	M1	bi	n/a	20	70	25	0.002	30	1.75
pro	M1	bi	n/a	20	70	25	0.002	40	0.05
pro	M1	bi	n/a	30	70	25	0.002	20	0.05
pro	M1	bi	n/a	30	70	25	0.002	30	0.05
pro	M1	bi	n/a	30	70	25	0.002	40	0.05
pro	M1	uni	n/a	10	70	25	0.002	20	0.05
pro	M1	uni	n/a	10	70	25	0.002	30	0.05
pro	M1	uni	n/a	10	70	25	0.002	40	0.05
pro	M1	uni	n/a	20	70	25	0.002	20	0.05
pro	M1	uni	n/a	20	70	25	0.002	30	0.0007
pro	M1	uni	n/a	20	70	25	0.002	30	0.05
pro	M1	uni	n/a	20	70	25	0.002	30	1.75
pro	M1	uni	n/a	20	70	25	0.002	40	0.05
pro	M1	uni	n/a	30	70	25	0.002	20	0.05
pro	M1	uni	n/a	30	70	25	0.002	30	0.05
pro	M1	uni	n/a	30	70	25	0.002	40	0.05
pro	M3	bi	n/a	10	70	25	0.002	20	0.05
pro	M3	bi	n/a	10	70	25	0.002	30	0.05
pro	M3	bi	n/a	10	70	25	0.002	40	0.05

pro	M3	bi	n/a	20	70	25	0.002	20	0.05
pro	M3	bi	n/a	20	70	25	0.002	30	0.0007
pro	M3	bi	n/a	20	70	25	0.002	30	0.05
pro	M3	bi	n/a	20	70	25	0.002	30	1.75
pro	M3	bi	n/a	20	70	25	0.002	40	0.05
pro	M3	bi	n/a	30	70	25	0.002	20	0.05
pro	M3	bi	n/a	30	70	25	0.002	30	0.05
pro	M3	bi	n/a	30	70	25	0.002	40	0.05
pro	M3	uni	n/a	10	70	25	0.002	20	0.05
pro	M3	uni	n/a	10	70	25	0.002	30	0.05
pro	M3	uni	n/a	10	70	25	0.002	40	0.05
pro	M3	uni	n/a	20	70	25	0.002	20	0.05
pro	M3	uni	n/a	20	70	25	0.002	30	0.0007
pro	M3	uni	n/a	20	70	25	0.002	30	0.05
pro	M3	uni	n/a	20	70	25	0.002	30	1.75
pro	M3	uni	n/a	20	70	25	0.002	40	0.05
pro	M3	uni	n/a	30	70	25	0.002	20	0.05
pro	M3	uni	n/a	30	70	25	0.002	30	0.05
pro	M3	uni	n/a	30	70	25	0.002	40	0.05
ret	I	bi	45	10	70	25	0.002	30	0.05
ret	I	bi	45	20	70	25	0.002	30	0.05
ret	I	bi	45	30	70	25	0.002	30	0.05
ret	I	bi	60	10	70	25	0.002	30	0.05
ret	I	bi	60	20	70	25	0.002	30	0.05
ret	I	bi	60	30	70	25	0.002	30	0.05
ret	I	bi	75	10	70	25	0.002	30	0.05
ret	I	bi	75	20	70	25	0.002	30	0.05
ret	I	bi	75	30	70	25	0.002	30	0.05
ret	I	bi	90	10	70	25	0.002	30	0.05
ret	I	bi	90	20	70	25	0.002	30	0.05
ret	I	bi	90	30	70	25	0.002	30	0.05
ret	M1	bi	n/a	10	70	25	0.002	30	0.05
ret	M1	bi	n/a	20	70	25	0.002	30	0.05
ret	M1	bi	n/a	30	70	25	0.002	30	0.05
ret	M1	uni	n/a	10	70	25	0.002	30	0.05
ret	M1	uni	n/a	20	70	25	0.002	30	0.05
ret	M1	uni	n/a	30	70	25	0.002	30	0.05
ret	M3	bi	n/a	10	70	25	0.002	30	0.05
ret	M3	bi	n/a	20	70	25	0.002	30	0.05
ret	M3	bi	n/a	30	70	25	0.002	30	0.05
ret	M3	uni	n/a	10	70	25	0.002	30	0.05
ret	M3	uni	n/a	20	70	25	0.002	30	0.05
ret	M3	uni	n/a	30	70	25	0.002	30	0.05

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Abbreviations: bi, bilateral; I, incisor; M1, first molar; M3, third molar; PDL, periodontal ligament; pro, protracted; ret, retracted; uni, unilateral.

1 **Table S3**  
 2 FE analyses solved for each rodent model, used for analysis of incisor deformations.  
 3

Mandible Position	Bite properties			Young's modulus (GPa)					
	Tooth	Sided	Angle (°)	Bone	Enamel	Dentine	Pulp	Molar	PDL
pro	I	bi	45	20	60	15	0.002	30	0.05
pro	I	bi	45	20	60	25	0.002	30	0.05
pro	I	bi	45	20	70	15	0.002	30	0.05
pro	I	bi	45	20	70	25	0.002	30	0.05
pro	I	bi	45	20	80	15	0.002	30	0.05
pro	I	bi	45	20	80	25	0.002	30	0.05
pro	I	bi	60	20	60	15	0.002	30	0.05
pro	I	bi	60	20	60	25	0.002	30	0.05
pro	I	bi	60	20	70	15	0.002	30	0.05
pro	I	bi	60	20	70	25	0.002	30	0.05
pro	I	bi	60	20	80	15	0.002	30	0.05
pro	I	bi	60	20	80	25	0.002	30	0.05
pro	I	bi	75	20	60	15	0.002	30	0.05
pro	I	bi	75	20	60	25	0.002	30	0.05
pro	I	bi	75	20	70	15	0.002	30	0.05
pro	I	bi	75	20	70	25	0.002	30	0.05
pro	I	bi	75	20	80	15	0.002	30	0.05
pro	I	bi	75	20	80	25	0.002	30	0.05
pro	I	bi	90	20	60	15	0.002	30	0.05
pro	I	bi	90	20	60	25	0.002	30	0.05
pro	I	bi	90	20	70	15	0.002	30	0.05
pro	I	bi	90	20	70	25	0.002	30	0.05
pro	I	bi	90	20	80	15	0.002	30	0.05
pro	I	bi	90	20	80	25	0.002	30	0.05

4  
 5 Abbreviations: bi, bilateral; I, incisor; M1, first molar; M3, third molar; PDL,  
 6 periodontal ligament; pro, protracted; ret, retracted; uni, unilateral.  
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## 1 TABLES

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4 **Table 1**

5

6 Percentage of total variance accounted for by each principal component in a GMM analysis of  
7 46 cranial landmarks recorded from 23 rodent individuals.

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9

	All rodents	Squirrels	Guinea pigs	Rats
<b>PC1</b>	59.67	36.61	30.71	40.52
<b>PC2</b>	30.77	19.57	24.93	17.46
<b>PC3</b>	1.94	16.62	15.29	12.68
<b>PC4</b>	1.28	12.09	12.20	10.51

10

11

12 **Table 2**

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14 Percentage of total variance accounted for by each principal component in a GMM analysis of  
15 36 cranial landmarks recorded from 130 analyses per model.

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	All rodents	Squirrel	Guinea pig	Rat
<b>PC1</b>	64.51	78.22	55.74	95.65
<b>PC2</b>	35.49	15.16	37.29	3.44
<b>PC3</b>	0.00	4.94	5.73	0.74
<b>PC4</b>	0.00	1.17	0.53	0.08

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21 **Table 3**

22

23 Percentage of total variance accounted for by each principal component in a GMM analysis of  
24 6 incisor landmarks recorded from 24 analyses per model.

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	All rodents	Squirrel	Guinea pig	Rat
<b>PC1</b>	58.13	87.72	99.54	81.99
<b>PC2</b>	41.87	7.51	0.38	15.30
<b>PC3</b>	0.00	1.43	0.04	1.76
<b>PC4</b>	0.00	1.07	0.01	0.41

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## 1 **FIGURE LEGENDS**

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4 Figure 1. Three-dimensional reconstructions of the skull, mandible and masticatory muscles  
5 of (A) squirrel (sciurormorph), (B) guinea pig (hystricomorph) and (C) rat (myomorph).  
6 adm, anterior deep masseter; iozm, infraorbital part of the zygomatico-mandibularis; lt,  
7 lateral temporalis; mt, medial temporalis; pdm, posterior deep masseter; sm, superior  
8 masseter; t, temporalis. Scale bars = 5mm.

9

10 Figure 2. Three-dimensional FE models of the skull of a (A) squirrel, (B) guinea pig and (C)  
11 rat, constructed in Hypermesh 10.0. Each mesh comprises between 0.8 to 1.2 million  
12 linear tetrahedral elements. Green, bone; blue, incisor enamel; red, incisor dentine;  
13 yellow, molar teeth.

14

15 Figure 3. Landmarks used in GMM analysis of skull deformations as shown on reconstruction  
16 of rat skull in (A) dorsal, (B) ventral and (C) lateral view. 1, anteriormost point on  
17 internasal suture; 2, midpoint between anterior roots of zygomatic arch; 3, midpoint  
18 between medialmost points on orbital margins; 4, midpoint between temporo-  
19 mandibular joints; 5 posteriormost point on dorsal midline; 6, midpoint between ventral  
20 margins of incisal alveoli; 7, midpoint between anteriormost points of first cheek teeth;  
21 8, posteriormost midline point on palatine; 9, midpoint between posterior margin of  
22 pterygoid flanges; 10, inferiormost point on margin of foramen magnum; 11,  
23 anteriormost point on naso-frontal suture; 12, dorsalmost point on incisal alveolar  
24 margin; 13, midpoint between I and M1 on ventral rostral margin; 14, postero-dorsal  
25 extremity of rostrum; 15, anteriormost point on orbital margin; 16, midpoint between 15  
26 and 17; 17, ventralmost point on zygomatic arch; 18, midpoint between 17 and 19; 19,  
27 posteriormost point on orbital margin; 20, midpoint between 19 and 21; 21,  
28 posteriormost point on dorsal orbital margin; 22, antero-dorsal point on margin of  
29 orbital foramen; 23, midpoint of line running from dorsal apex of orbit to anterior  
30 margin of M2. Landmarks 11 to 23 recorded on both sides of skull.

31

32 Figure 4. Landmarks used in GMM analysis of incisor deformation as shown on rat incisors  
33 in (A) anterior and (B) posterior view. 1, dorsalmost point of anterior surface; 2,  
34 midpoint of anterior surface; 3, midpoint of lateral surface; 4, midpoint of medial  
35 surface; 5, midpoint of basal surface; 6, dorsalmost point of basal surface.

36

37 Figure 5. The first two principal components from a GMM analysis of cranial landmarks in  
38 all 23 rodents.

39

40 Figure 6. The first two principal components from the GMM analysis of 36 cranial landmarks  
41 in the squirrel. Maximum principal strains across the skull shown for three example  
42 analyses of incisor, M1 and M3 biting.

43

44 Figure 7. The first two principal components from the GMM analysis of 36 cranial landmarks  
45 in the guinea pig. Maximum principal strains across the skull shown for three example  
46 analyses of incisor, M1 and M3 biting.

47

48 Figure 8. The first two principal components from the GMM analysis of 36 cranial landmarks  
49 in the rat. Maximum principal strains across the skull shown for three example  
50 analyses of incisor, M1 and M3 biting.

1

2 Figure 9. The first two principal components from the GMM analysis of 6 incisor landmarks  
3 in the squirrel. Maximum principal strains across the incisor shown for four example  
4 analyses of biting at 45°, 60°, 75° and 90° to the occlusal plane.

5

6 Figure 10. The first two principal components from the GMM analysis of 6 incisor landmarks  
7 in the guinea pig. Maximum principal strains across the incisor shown for four example  
8 analyses of biting at 45°, 60°, 75° and 90° to the occlusal plane.

9

10 Figure 11. The first two principal components from the GMM analysis of 6 incisor landmarks  
11 in the rat. Maximum principal strains across the incisor shown for four example  
12 analyses of biting at 45°, 60°, 75° and 90° to the occlusal plane.

For Peer Review Only

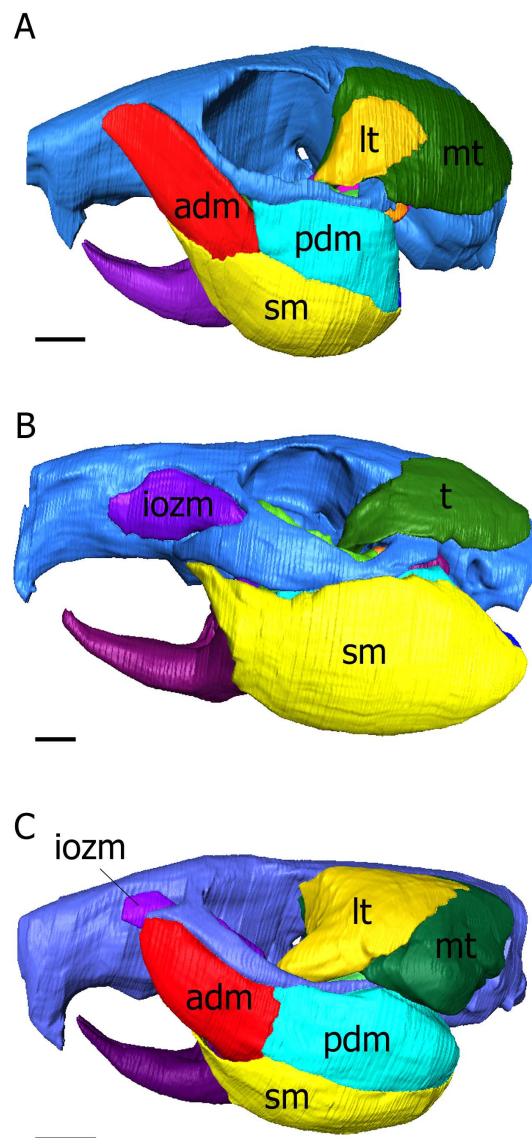


Figure 1. Three-dimensional reconstructions of the skull, mandible and masticatory muscles of (A) squirrel (sciurormorph), (B) guinea pig (hystricomorph) and (C) rat (myomorph). adm, anterior deep masseter; iozm, infraorbital part of the zygomatico-mandibularis; lt, lateral temporalis; mt, medial temporalis; pdm, posterior deep masseter; sm, superior masseter; t, temporalis. Scale bars = 5mm.

119x240mm (300 x 300 DPI)



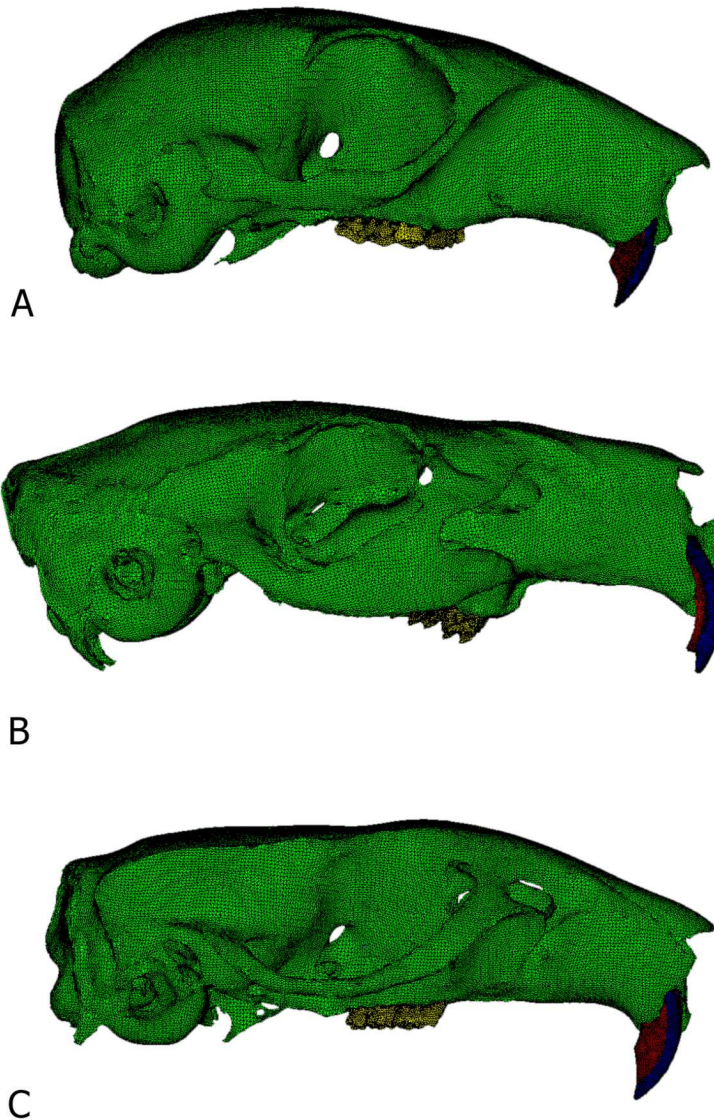


Figure 2. Three-dimensional FE models of the skull of a (A) squirrel, (B) guinea pig and (C) rat, constructed in Hypermesh 10.0. Each mesh comprises between 0.8 to 1.2 million linear tetrahedral elements. Green, bone; blue, incisor enamel; red, incisor dentine; yellow, molar teeth.  
112x159mm (300 x 300 DPI)



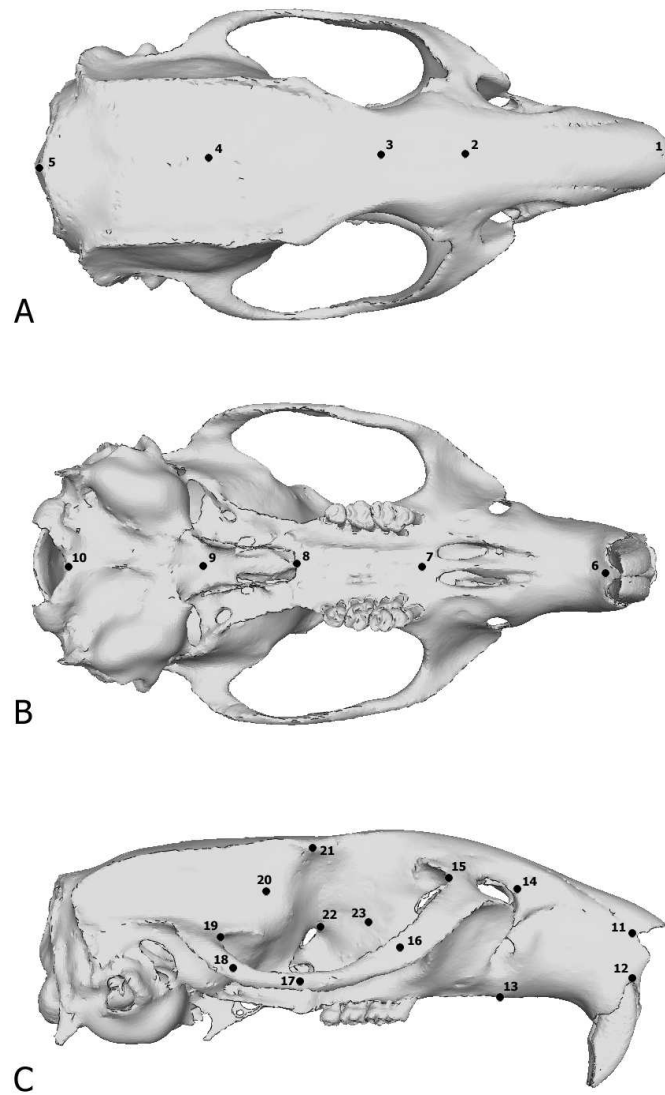


Figure 3. Landmarks used in GMM analysis of skull deformations as shown on reconstruction of rat skull in (A) dorsal, (B) ventral and (C) lateral view. 1, anteriormost point on internasal suture; 2, midpoint between anterior roots of zygomatic arch; 3, midpoint between medialmost points on orbital margins; 4, midpoint between temporo-mandibular joints; 5 posteriormost point on dorsal midline; 6, midpoint between ventral margins of incisal alveoli; 7, midpoint between anteriormost points of first cheek teeth; 8, posteriormost midpoint on palatine; 9, midpoint between posterior margin of pterygoid flanges; 10, inferiormost point on margin of foramen magnum; 11, anteriormost point on naso-frontal suture; 12, dorsalmost point on incisal alveolar margin; 13, midpoint between I and M1 on ventral rostral margin; 14, postero-dorsal extremity of rostrum; 15, anteriormost point on orbital margin; 16, midpoint between 15 and 17; 17, ventralmost point on zygomatic arch; 18, midpoint between 17 and 19; 19, posteriormost point on orbital margin; 20, midpoint between 19 and 21; 21, posteriormost point on dorsal orbital margin; 22, antero-dorsal point on margin of orbital foramen; 23, midpoint of line running from dorsal apex of orbit to anterior

margin of M2. Landmarks 11 to 23 recorded on both sides of skull.  
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For Peer Review Only

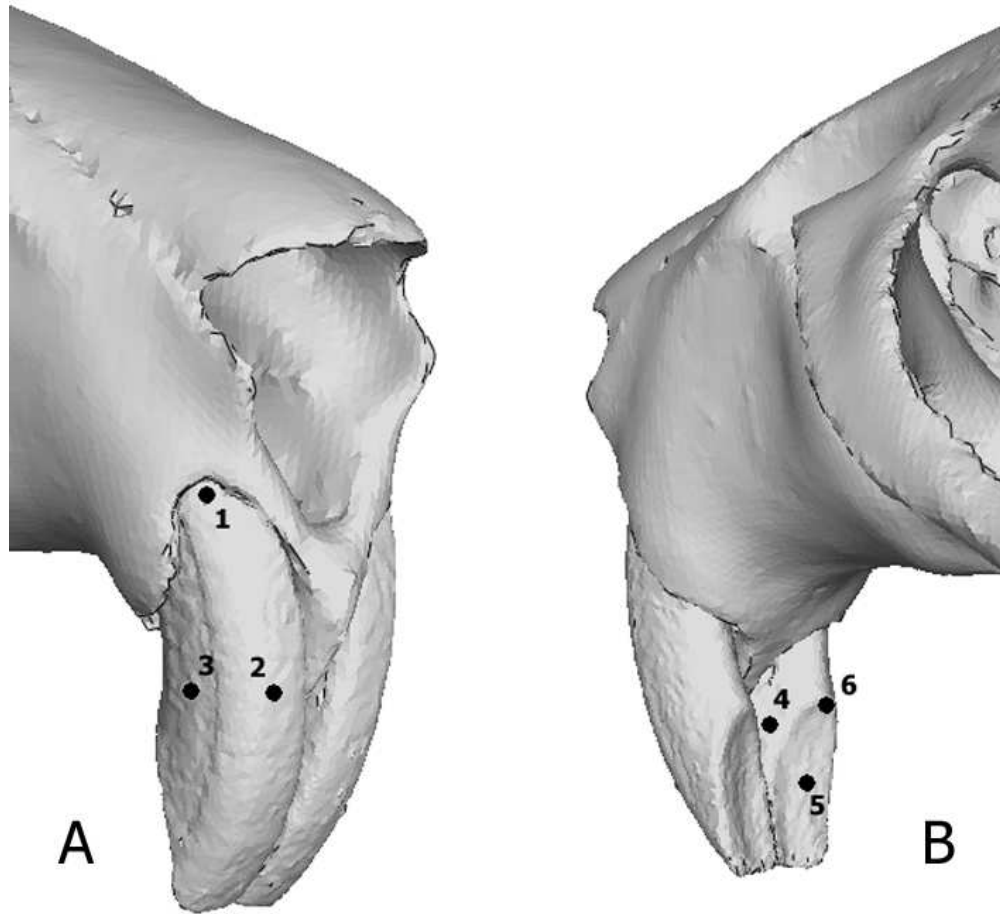


Figure 4. Landmarks used in GMM analysis of incisor deformation as shown on rat incisors in (A) anterior and (B) posterior view. 1, dorsalmost point of anterior surface; 2, midpoint of anterior surface; 3, midpoint of lateral surface; 4, midpoint of medial surface; 5, midpoint of basal surface; 6, dorsalmost point of basal surface.

60x67mm (300 x 300 DPI)

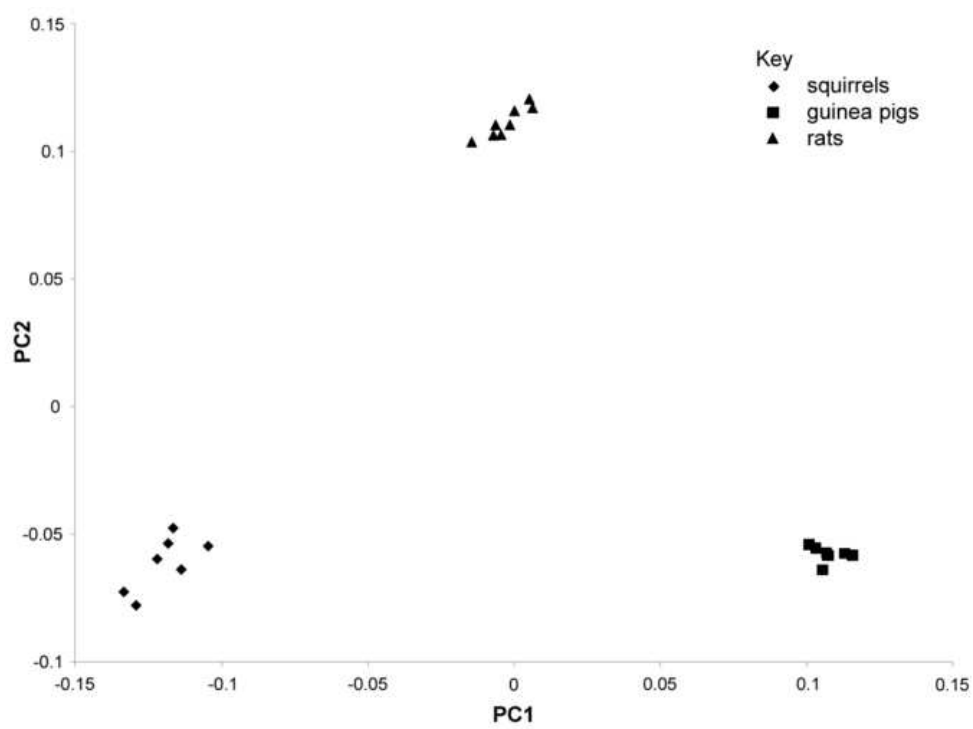


Figure 5. The first two principal components from a GMM analysis of cranial landmarks in all 23 rodents.  
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View Only

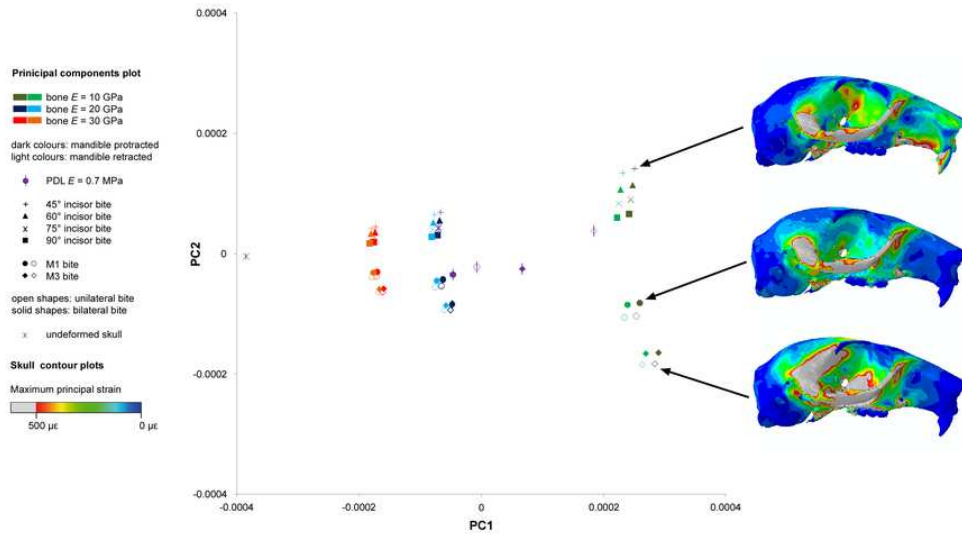


Figure 6. The first two principal components from the GMM analysis of 36 cranial landmarks in the squirrel. Maximum principal strains across the skull shown for three example analyses of incisor, M1 and M3 biting.

75x40mm (300 x 300 DPI)

Review Only

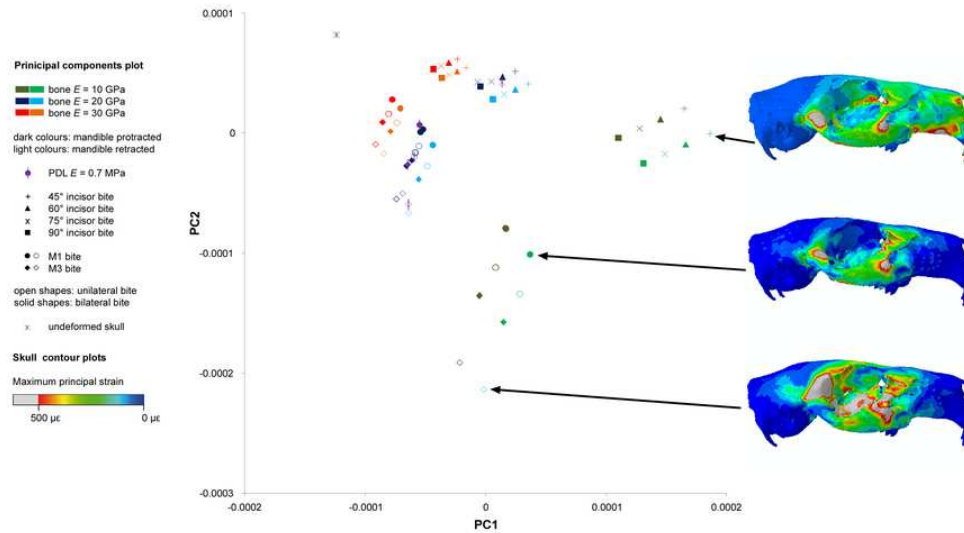


Figure 7. The first two principal components from the GMM analysis of 36 cranial landmarks in the guinea pig. Maximum principal strains across the skull shown for three example analyses of incisor, M1 and M3 biting.  
75x40mm (300 x 300 DPI)

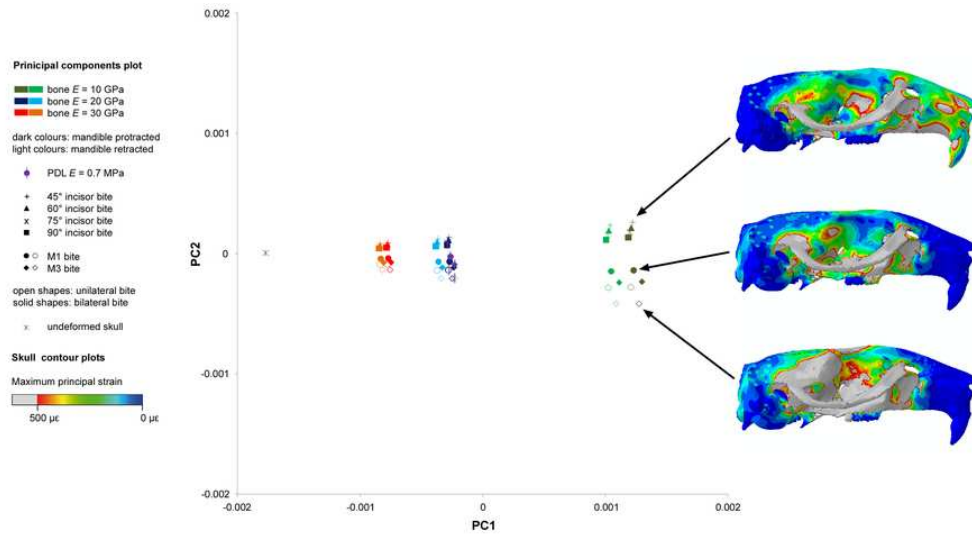


Figure 8. The first two principal components from the GMM analysis of 36 cranial landmarks in the rat. Maximum principal strains across the skull shown for three example analyses of incisor, M1 and M3 biting.

75x40mm (300 x 300 DPI)

Review Only

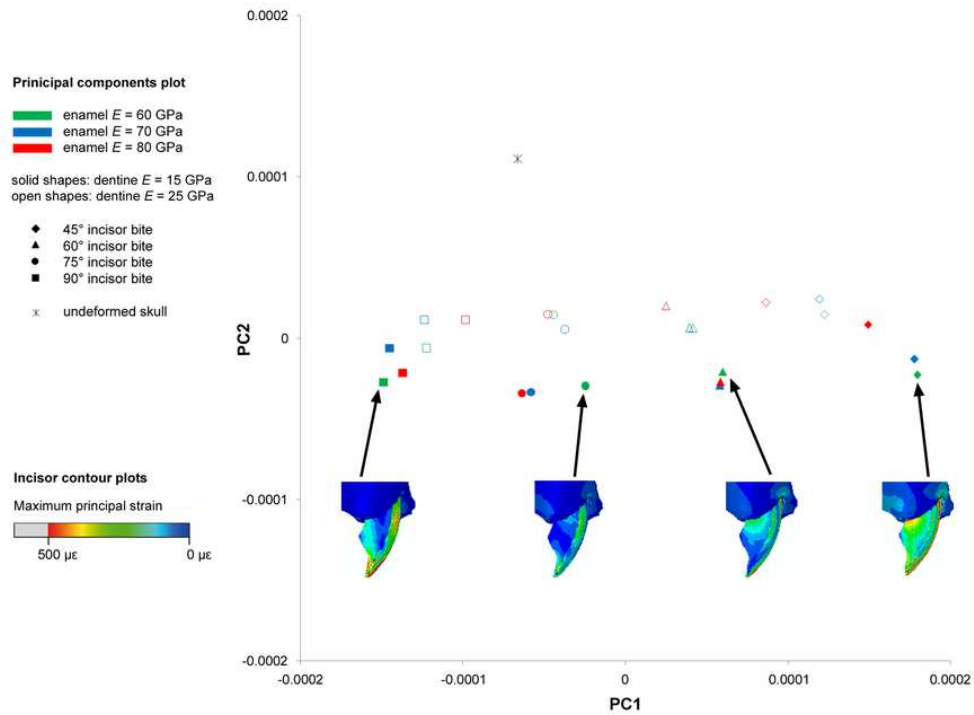


Figure 9. The first two principal components from the GMM analysis of 6 incisor landmarks in the squirrel. Maximum principal strains across the incisor shown for four example analyses of biting at 45°, 60°, 75° and 90° to the occlusal plane.  
75x54mm (300 x 300 DPI)



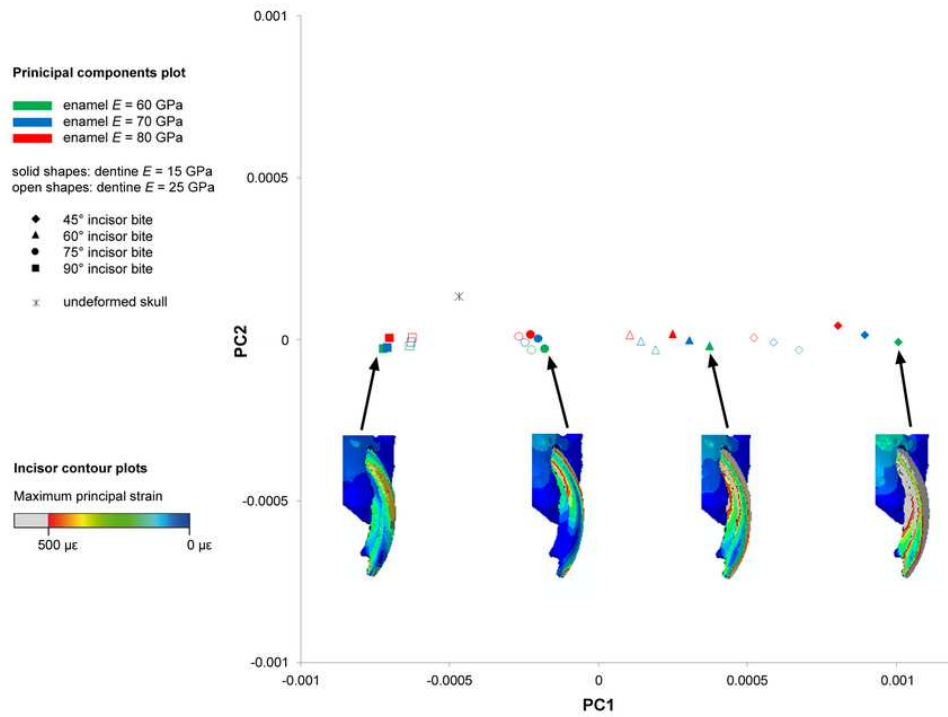


Figure 10. The first two principal components from the GMM analysis of 6 incisor landmarks in the guinea pig. Maximum principal strains across the incisor shown for four example analyses of biting at 45°, 60°, 75° and 90° to the occlusal plane.

75x54mm (300 x 300 DPI)

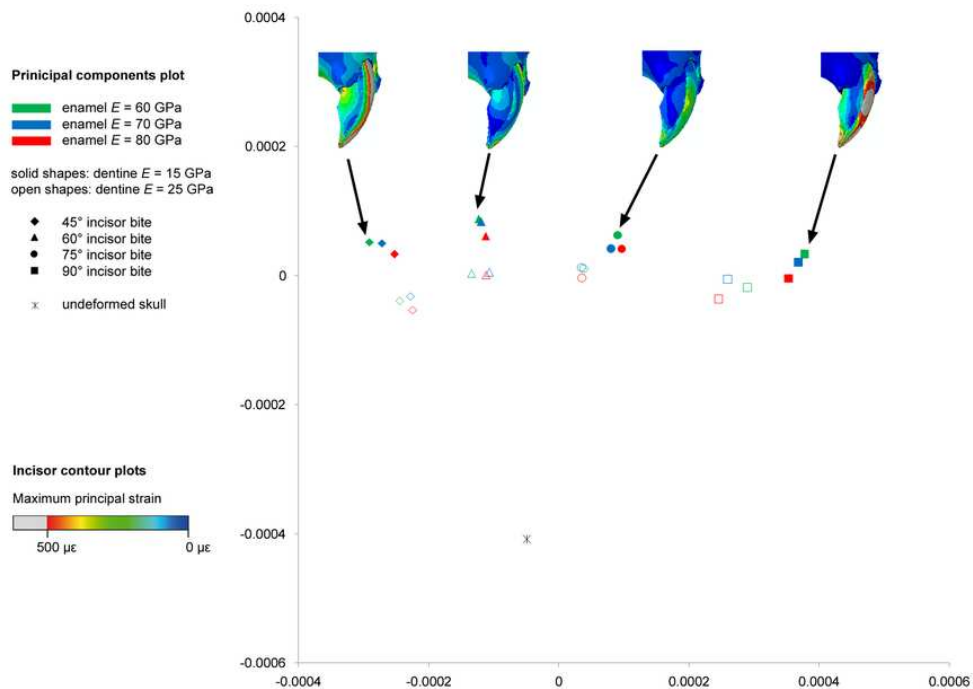


Figure 11. The first two principal components from the GMM analysis of 6 incisor landmarks in the rat. Maximum principal strains across the incisor shown for four example analyses of biting at 45°, 60°, 75° and 90° to the occlusal plane.  
75x54mm (300 x 300 DPI)

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