

*promoting access to White Rose research papers*



**Universities of Leeds, Sheffield and York**  
**<http://eprints.whiterose.ac.uk/>**

---

This is the author's post-print version of an article published in **Climate Dynamics, 37 (7-8)**

White Rose Research Online URL for this paper:

<http://eprints.whiterose.ac.uk/id/eprint/77217>

---

**Published article:**

Sedlar, J, Tjernstrom, M, Mauritsen, T, Shupe, MD, Brooks, IM, Persson, POG, Birch, CE, Leck, C, Sirevaag, A and Nicolaus, M (2011) *A transitioning Arctic surface energy budget: the impacts of solar zenith angle, surface albedo and cloud radiative forcing*. *Climate Dynamics*, 37 (7-8). 1643 - 1660. ISSN 0930-7575

<http://dx.doi.org/10.1007/s00382-010-0937-5>

---

# A transitioning Arctic surface energy budget: the impacts of solar zenith angle, surface albedo and cloud radiative forcing

Joseph Sedlar · Michael Tjernström ·  
Thorsten Mauritsen · Matthew D. Shupe ·  
Ian M. Brooks · P. Ola G. Persson · Cathryn  
E. Birch · Caroline Leck · Anders Sirevaag ·  
Marcel Nicolaus

Received: date / Accepted: date

**Abstract** Snow surface and sea-ice energy budgets were measured near 87.5N during the Arctic Summer Cloud Ocean Study (ASCOS), from August to early September 2008. Surface temperature indicated 4 distinct temperature regimes, characterized by varying cloud, thermodynamic and solar properties. An initial warm, melt-season regime was interrupted by a 3-day cold regime where temperatures dropped from near zero to  $-7^{\circ}\text{C}$ . Subsequently mean energy budget residuals remained small and near zero for 1 week until once again temperatures dropped rapidly and the energy budget residuals became negative. Energy budget transitions were dominated by the net ra-

---

J. Sedlar  
Department of Meteorology, Stockholm University, Stockholm, Sweden  
Tel.: +46-816-2534  
E-mail: josephs@misu.su.se

M. Tjernström  
Stockholm University, Stockholm, Sweden

T. Mauritsen  
Max Planck Institute for Meteorology, Hamburg, Germany

M. D. Shupe  
University of Colorado and NOAA-ESRL-PSD, Boulder, CO, USA

I. M. Brooks  
University of Leeds, Leeds, UK

P. O. G. Persson  
University of Colorado and NOAA-ESRL-PSD, Boulder, CO, USA

C. E. Birch  
University of Leeds, Leeds, UK

C. Leck  
Stockholm University, Stockholm, Sweden

A. Sirevaag  
University of Bergen and Bjerknes Center for Climate Research, Bergen, Norway

M. Nicolaus  
Norwegian Polar Institute, Tromsø, Norway and Alfred Wegener Institute for Polar and Marine Research, Bremerhaven, Germany

diative fluxes, largely controlled by the cloudiness. Variable heat, moisture and cloud distributions were associated with changing air-masses.

Surface cloud radiative forcing, the net radiative effect of clouds on the surface relative to clear skies, is estimated. Shortwave cloud forcing ranged between  $-50 \text{ W m}^{-2}$  and zero and varied significantly with surface albedo, solar zenith angle and cloud liquid water. Longwave cloud forcing was larger and generally ranged between  $65\text{-}85 \text{ W m}^{-2}$ , except when the cloud fraction was tenuous or contained little liquid water; thus the net effect of the clouds was to warm the surface. Both cold periods occurred under tenuous, or altogether absent, low-level clouds containing little liquid water, effectively reducing the cloud greenhouse effect. Freeze-up progression was enhanced by a combination of increasing solar zenith angles and surface albedo, while inhibited by a large, positive surface cloud forcing until a new air-mass with considerably less cloudiness advected over the experiment area.

**Keywords** Arctic · Sea ice · Surface energy budget · Cloud radiative forcing · Shortwave radiation · Longwave radiation

## 1 Introduction

The Arctic has become a focal point for studying the Earth's response to a changing climate (e.g. ACIA 2005; Overland et al 2008; Overland 2009), as it has witnessed amplified surface warming by roughly a factor of two compared to the global average (ACIA 2005; IPCC 2007), as well as warming aloft (Graversen et al 2008). A central focus is on the reduction of the perennial sea-ice (e.g. Lindsay and Zhang 2005; Serreze et al 2007; Overland 2009), especially since the record ice-loss of 2007 (e.g. Kay et al 2008; Zhang et al 2008a; Lindsay et al 2009). The debate over the primary forcings responsible for Arctic climate changes is reflected in the literature: Changes in the large-scale atmospheric circulation patterns (eg. Graversen 2006; Graversen et al 2008; Overland et al 2008; Zhang et al 2008b); radiative forcing due to changes in greenhouse gases (Serreze et al 2007; Graversen and Wang 2009); inflow of warm ocean water (e.g. Shimada 2006; Polyakov et al 2007) or a mixture of these causes. The climate change signal is amplified by feedbacks to the system associated with these forcings, such as the ice-albedo feedback (Perovich et al 2008) and altered cloud-radiative characteristics (Liu et al 2008; Kay et al 2008; Kay and Gettelman 2009). Climate forcings and feedbacks thus inherently influence the energy balances at the snow surface and within the sea ice.

The surface energy budget is maintained by radiation and turbulent heat transport, upward turbulent ocean heat transport, conduction of heat and transmission/absorption of shortwave radiation within the snow and ice. The timing of sea-ice transitions occurring both in spring and autumn are modulated by the evolution of these processes. As the melt season transitions to freeze-up, sources of heat and moisture to the atmospheric boundary layer (ABL) decrease as open leads and melt ponds freeze over. Radiative fluxes, and the effect of cloud cover, also change seasonally and spatially in the Arctic. The cloud greenhouse warming effect typically dominates over the cloud reflectivity cooling effect across much of the Arctic, however the relative magnitudes of these are dependent on solar zenith angle (SZA), surface albedo and cloud microphysical properties (Curry et al 1996; Walsh and Chapman 1998; Intrieri et al 2002a; Shupe and Intrieri 2004).

In recent years, sea-ice extent has been readily observed by satellite. Direct, detailed observational data of the surface energy balance and the effects of clouds over the central Arctic Ocean are required to understand the complexity of ongoing processes. Observational data are often confined to intermittent experimental campaigns, although the knowledge gained from such experiments is invaluable (Ruffieux et al 1995; Nilsson et al 2001; Persson et al 2002; Birch et al 2009). Measurements of physical processes such as turbulent heat fluxes, clouds and cloud-radiative interactions and the extent of the ice-albedo feedback are needed for process-oriented studies and to enhance the understanding of Arctic climate. Such physical processes govern the interactions among the sea ice, the ABL and the free troposphere (e.g. Curry et al 1996). Parameterizations of these processes for numerical modeling should be validated against observational data from the central Arctic. However, due to the paucity of such data, the parameterizations tend to rely on lower-latitude observations for development and validation (Tjernström et al 2005), highlighting the importance of such data.

Cloud climatologies over the central Arctic Ocean generally show a maximum frequency of occurrence during the sunlit months (e.g. Curry et al 1996; Intrieri et al 2002b). The presence of cloud cover influences both the short- and longwave radiative fluxes at the surface, and the presence of a highly reflective surface during times of low sun angles leads to a complex cloud-radiative interaction in the Arctic (Curry et al 1996). In this paper, we examine observations taken during the final stages of the 2008 sea-ice melt season from the Arctic Summer Cloud Ocean Study (ASCOS). We measured all energy fluxes at the snow surface and below the sea ice, and examine the snow surface and ice energy budgets from mid-August until early September. The timing of ASCOS allows a detailed investigation into the processes and physical constraints related to, and forcing, the energy budget transitions in an Arctic high-latitude environment. We first provide a description of the instrumentation and methods used to calculate the energy budgets and cloud radiative forcing. The progression of the surface response to cloud radiative forcing is examined in detail, focusing on the dominant factors of importance for both short- and longwave radiative forcing. The paper closes with a discussion and conclusions drawn from the main results.

## 2 Experiment and data

ASCOS contributed to the International Polar Year 2007-2009. The primary objective was to understand the processes leading to the formation and life-cycle of low-level clouds and the role they play in the surface energy budget of the high Arctic during the late summer to early fall transition. ASCOS was interdisciplinary, with science teams focused on meteorology, physical oceanography, atmospheric gas-phase chemistry, aerosol chemistry and physics, and marine biology. It was the fourth, and largest, in a series of central-Arctic experiments which occurred during 1991 (Leck et al 1996), 1996 (Leck et al 2001) and 2001 (Leck et al 2004; Tjernström et al 2004). Like its predecessors, ASCOS was conducted onboard the Swedish icebreaker Oden. Oden left Svalbard on 2 August, returning on 9 September 2008. By 12 August, after a few brief open water and marginal ice zone research stations, Oden moored and drifted with a 3 x 6 km ice floe for nearly 21 days. The expedition track, from about N87°21' W01°29' to N87°09' W11°01', is illustrated in Fig. 1.

A detailed description of ASCOS including the instrumentation and measurements is found in Tjernström et al. (*in prep.*). Onboard instrumentation used in this study includes a suite of ship-based remote-sensing instruments: a millimeter cloud radar (MMCR; Moran et al 1998), two ceilometer and visibility sensors and a dual wavelength (24/31 GHz) microwave radiometer (MWR) (Westwater et al 2001). The MMCR provides information on the reflectivity of cloud and precipitation particles. Its lowest range gate is at 105 m; clouds and fog below this height are not sensed. The theoretical minimum detectable signal is around -55 dBZ in the lowest few hundred meters, therefore only very low concentrations of small droplets may not be detected. The MWR provides vertically integrated cloud liquid water path (LWP), employing a statistical bi-linear retrieval resulting in a  $25 \text{ g m}^{-2}$  uncertainty (Westwater et al 2001). This uncertainty includes instrument and calibration biases, which at times lead to a drifting baseline value. Thus occasional negative LWPs within the uncertainty range are observed. All remote-sensing instruments were operated continuously with very high data recovery. 6-hourly radiosonde profiles were also part of the continually operated onboard measurements.

During the ice drift, a row of masts and instruments were aligned on the ice extending away from the ship to minimize both ship and instrument interference. Two masts, 15 and 30 m, were instrumented for micrometeorological measurements. The 15 m mast supported 5 levels of turbulence measurements from 0.94 to 15.4 m, along with aspirated sensors for profile measurements of mean temperature and humidity. The 30 m mast supported a single sonic anemometer. Sensible heat fluxes are available at all 6 flux-measurement levels, while latent heat fluxes from open-path gas analyzers were at 3.2 and 15.4 m. Turbulent heat fluxes were derived using eddy covariance techniques, with the wind measurements rotated into a consistent streamline-oriented coordinate system using the planar-fit method (Wilczak et al 2001). Data recovery for surface energy budget calculations was quite good, around 80% for sensible and latent heat fluxes. The primary data loss occurred when cold and humid conditions resulted in rime formation on the instruments, primarily during day of year (DoY) 234-236 and 243-245. Turbulent flux uncertainties are difficult to estimate but at least on the order of 10% (Andreas et al 2005). Raw data time series were checked and flagged for data quality prior to flux calculations.

Eight thermocouples measuring surface temperature and four thermocouples measuring in-ice temperature were deployed in the vicinity of four broadband radiometers. All thermocouples were covered with white heat-shrink wrapping to minimize shortwave heating. Precision Solar Pyranometers (PSP) and Precision Infrared Radiometers (PIR) measuring down- and upwelling shortwave and longwave radiation were installed approximately 1.5 m above an undisturbed snow surface. Initially, two melt ponds were located within approximately 30 m from the radiometers but within the field of view of the instruments. These melt ponds gradually froze over and became covered with snow and rime during the deployment. The radiometers were calibrated against reference radiometers prior to deployment. The factory-specified uncertainty for the PSPs are approximately 3% of incident shortwave radiation for zenith angles encountered during ASCOS, which is equivalent to  $3 \text{ W m}^{-2}$  based on median observations. The uncertainty is approximately  $4 \text{ W m}^{-2}$  for the longwave PIR measurements. The radiometers were prone to icing during DoYs 234-236 and 243-245. The PIRs, with a darker dome, were considerably less iced than the PSPs, especially the upward-looking PSP. The surface temperatures were compared to the radiative surface temperature from the downward-facing PIR using a surface emissivity of 0.99 (cf. Persson et al

2002) revealing a good correspondence throughout the deployment, with an average difference of  $0.2 \pm 1^\circ\text{C}$  standard deviation. Also deployed on the ice was a phased-array sodar system, observing the acoustic backscatter profiles associated with temperature variance up to  $\sim 500$  m. Two self-calibrating heat flux plates were buried approximately 0.05 m below the initial snow surface to measure the near-surface conductive heat flux. The upper faces of the plates were painted white to minimize absorption of shortwave radiation penetrating the upper snow cover. The uncertainty of these instruments varied as the depth below the snow surface changed with melting, freezing and precipitation, but the absolute RMSE from the mean during the campaign was approximately  $0.6 \text{ W m}^{-2}$ .

An ocean-observation site contained shortwave radiation sensors above and below approximately 1.8 m thick sea ice, as well as under-ice eddy correlation instruments for measurements of oceanic turbulent fluxes. The shortwave radiation measurements are based on three spectral radiometers spanning wavelengths from 320 to 950 nm with a spectral resolution of 3.3 nm, hence these instruments do not cover the full shortwave spectrum. Two spectral radiometers measure up- and downwelling radiation at the ice surface and one measures radiation transmitted through the sea ice (Nicolaus et al 2010). The under-ice turbulence measurements were obtained using so-called Turbulence Instrument Clusters (TICs) which comprise sensors for measuring 3-D velocity, temperature and conductivity (McPhee 2008). TICs were positioned at several levels on a rigid mast which was deployed through the ice and spanned the upper 10 m of the ocean boundary layer. In this study, measurements from the uppermost TIC at 2 m below the ice/ocean interface are used. Current velocities are rotated into a streamline coordinate system and the covariance of vertical deviatory velocity and temperature provide ocean turbulent fluxes (Sirevaag and Fer 2009). The uncertainty in ocean heat flux measurements is on the order of  $1 \text{ W m}^{-2}$ , however, as with the atmospheric turbulent fluxes, the variability within a given time period is often larger than the uncertainty.

The majority of on-ice instruments were operational from mid-day on 13 August; all turbulent flux measurements were operational by the 15<sup>th</sup>. Formation of rime on instruments was a problem primarily during DoYs 234-236 and 243-245. A careful record of the presence of rime and when it was removed was kept by the on-ice science crew. This record has later been used together with other criteria to screen and correct data in post-processing and data quality assurance. All measurement analysis is based on 10-min averaged variables unless noted otherwise.

### 3 Methods

An energy budget consists of all the components of energy into or out of a system, and the net budget, also referred to as the residual, identifies its respondent sensible and/or latent heating. Conventional energy budget calculations for land surfaces inherently assume the surface to be an infinitesimally thin layer. This is adequate since all shortwave radiation is typically absorbed within a thin layer near the surface. However, snow and sea ice are semi-transparent to shortwave radiation (Perovich 2005). The definition of the surface energy budget adopted for this study is:

$$Q_{sfc} = R_s + R_l - H_s - H_l + C \quad (1)$$

similar to Intrieri et al [2002a].  $Q_{sfc}$  is the residual flux,  $R$  represents the net radiative fluxes, with subscripts  $s$  and  $l$  corresponding to short- and longwave, respectively.  $H_s$  and  $H_l$  are the turbulent sensible and latent heat fluxes, while  $C$  is the conduction of heat to the snow surface from the ice. Flux signs are defined according to their effect on the surface, positive when heating and negative when cooling, except for the turbulent fluxes that are defined traditionally upward such that positive corresponds to a cooling of the surface. In calculating this budget we have assumed that shortwave radiation does not penetrate through the snow, an assumption the authors know to be inaccurate. However, we choose to include this uncertainty rather than making an unsubstantiated guess at the shortwave transmission through the snow layer. Thus, our estimated surface residual will contain a positive, warm bias since we assume net shortwave radiation to be the actual forcing at the surface. Sensitivity tests with reasonable assumptions on shortwave radiation penetration (see Perovich 2005) indicated that although this assumption slightly affects the magnitude of the budget residual, it does not greatly alter the residual trends.

The energy budget for the ice, here defined as the entire slab of ice including the snow layer, is defined as:

$$Q_{ice} = R_s - R_{sdo} + R_l - H_s - H_l + H_o \quad (2)$$

where  $R_{sdo}$  is the downward shortwave radiation transmitted through the ice into the upper ocean, and  $H_o$  is the turbulent heat flux from the upper ocean to the ice. Here the heat conduction near the top of the ice is excluded as it merely acts to redistribute heat. The transmission of shortwave radiation to the ocean is sensitive to snow and ice thickness, neither of which were recorded in detail during the ice drift although ice thickness varied at least between 1.5 and 5 m.

Clouds influence the amount of radiation reaching the surface through scattering, reflecting and absorbing/emission of short- and longwave radiation (e.g. Stephens 1978). Surface cloud radiative forcing ( $CRF$ ) can be thought of as the effect clouds have on the surface radiation budget.  $CRF$  can be quantified by comparing the surface radiative fluxes under cloudy skies with the radiative fluxes under identical, but clear skies (Schneider 1972; Ramanathan et al 1989):

$$SWF = R_s(AS) - R_s(CS) \quad (3)$$

$$LWF = R_l(AS) - R_l(CS) \quad (4)$$

$$CRF = SWF + LWF \quad (5)$$

where  $SWF$  and  $LWF$  are short- and longwave forcing, respectively.  $R_s$  and  $R_l$  are net radiative fluxes for all-sky (AS) and clear-sky (CS) conditions. Positive  $CRF$  indicates an enhanced radiative flux warming the surface with the presence of clouds. Note the definition of  $CRF$  in Eq. 5 does not include effects on the turbulent heat fluxes at the surface such as in Intrieri et al [2002a]. In this paper, we are only concerned with isolating the instantaneous surface  $CRF$  component, although we are aware that changes in the radiative forcing will manifest changes in the remaining energy budget components.

Clear-sky radiative fluxes were computed using the Fu-Liou delta-four-stream approximation, correlated-k radiative transfer model (Liou et al 1988; Fu and Liou 1992; Liou 1992). The model requires location, surface albedo, surface emissivity (assumed

unity), well-mixed greenhouse gas concentrations and thermodynamic and ozone profiles as inputs. No background aerosol profile was used. Thermodynamic profiles were interpolated to every hour from the radiosondes, resulting in 421 hourly *CRF* calculations.

Only 8 cases were identified as clear-sky cases from the vertically-pointing remote sensing suite. Using Eqs. 3 and 4, the mean biases of *SWF* and *LWF* during these cases are  $13 \text{ W m}^{-2}$  and  $-4 \text{ W m}^{-2}$ , respectively. The *LWF* bias can be explained by the hemispheric view of the instruments, allowing clouds that were not detected by the fields-of-view of the vertically-pointing remote sensors to alter the observed fluxes. The upward-looking PSP was prone to significant icing for approximately 20% of the hourly *CRF* calculations, coinciding with most of the clear-sky cases; the downward-facing PSP remained considerably more ice-free, although not entirely ice-free. Therefore, during times of icing, surface albedo was linearly interpolated in time between radiometer cleanings, and the downward-facing PSP was used to estimate the downwelling shortwave irradiance. Thus, the clear-sky *SWF* is likely biased high since the downward-facing PSP was not perfectly clean, and corrections to downward shortwave will reflect this error. The *SWF* bias should be lower during times when icing was not an issue. Thus, since the computed biases of *SWF* and *LWF* are believed to be an upper limit, and that instrument icing was not a problem for approximately 80% of the forcing calculations, we estimate the total uncertainty in *CRF* to be on the order of  $10\text{-}15 \text{ W m}^{-2}$ .

Finally, 5-day back trajectories were calculated from the Global Environmental Research online METEX application to estimate the Lagrangian air-mass trajectories towards Oden. The trajectories are 3-D using the 3-D wind field calculated using reanalysis data from the National Centers for Environmental Prediction (Zeng et al 2008). These were computed using Oden's geographic location during the ice drift, arriving at 200 m above the ship's location. The 200 m level was chosen as representative of the boundary-layer flow but still away from the surface to avoid trajectory correction when a parcel contacts the underlying surface.

#### 4 Surface and background atmosphere

Surface and near-surface air temperatures experienced substantial variability, while closely following one another during the ice drift (Fig. 2). The mean air temperature generally followed the mean surface temperature minus twice the standard deviation among the multiple sensors, such that the mean air temperature was approximately equal to or colder than the underlying surface, except for a few occasions when the surface was cooler than the air. Therefore, near-neutral to weakly unstable near-surface stratification was commonly observed, in good agreement with the measured sensible heat fluxes. Often the Arctic is associated with strong static stability, however we find a more neutral surface layer during August. Dashed vertical lines indicate approximate transitions between four distinct surface temperature regimes. Temperature maxima during the 1<sup>st</sup> and 3<sup>rd</sup> regimes generally remained near the freezing point of fresh ( $0^\circ\text{C}$ ) and saline water ( $-1.8^\circ\text{C}$ ; 32 psu), respectively. The 2<sup>nd</sup> and 4<sup>th</sup> regimes were characterized by significant temperature decreases, down to  $-7^\circ\text{C}$  during the 2<sup>nd</sup> regime and approaching  $-14^\circ\text{C}$  on DoY 245. These regimes are chosen based on common temperature trends in chronological order.

Cloud radar-reflectivity profiles, which are proportional to the particle size to the

sixth power, are used to identify vertical locations of cloud and precipitation hydrometeors (Fig. 3). Multiple cloud layers, some being geometrically thick, precipitating systems, were observed during the first regime from DoY 226 through 233 (Fig. 3, top panel). The temperature drop in the 2<sup>nd</sup> regime (roughly DoY 234 to 236.5) coincided with weaker reflectivities and tenuous cloud cover that, by the end of DoY 234, temporarily dissipated near the surface. Ceilometers confirmed that the low clouds had dissipated by DoY 235, with the only identifiable clouds being the upper-level cirrus from 5000 m up to 9500 m at its deepest. While upper-level clouds were present around the onset ( $\sim$  DoY 237) and end ( $\sim$  DoY 243) of the 3<sup>rd</sup> regime, it was dominated by a lower stratiform cloud layer (Fig. 3, lower panel). At times, multiple layers were present with ice-crystals falling from a liquid layer near cloud top. The final regime from DoY 244 through 245 initially included the previous low-level stratiform cloud layer, which decreased in depth and reflectivity and became tenuous and altogether absent from the MMCR record early during DoY 245. For approximately six hours around noon on DoY 245, the low cloud layer was again visible in the cloud radar data before disappearing for the remainder of the ice drift. Like the surface temperature division, the cloud scenes observed during each respective regime bear similarities and warrant these divisions.

Atmospheric parameters such as temperature and water vapor affect the presence and distribution of cloud cover. A comparison of mean temperature and mean water-vapor mixing ratio profiles between the relatively warm 1<sup>st</sup> and 3<sup>rd</sup> temperature regimes suggest that the temperature below 1500 m was between 2-4°C warmer and the moisture content was higher by 0.5-1.3 g kg<sup>-1</sup> during the 1<sup>st</sup> regime (Fig. 4). Such shifts in the thermodynamic structure are likely associated with large-scale air-mass changes. Figure 5 shows 5-day back trajectories arriving 200 m above Oden's location for up to two days before and two days after the regime transitions. Prior to the onset of the 2<sup>nd</sup> temperature regime, the flow was from the Kara Sea (blue in Fig. 5a). Shortly after DoY 233 and near the onset of the 2<sup>nd</sup> regime, the low-level air trajectories swung around and came from northwest Greenland (red in Fig. 5a and blue in Fig. 5b). By the start of the 3<sup>rd</sup> regime, the flow arrived from the Fram Strait to the south (red in Fig. 5b). The final, cold temperature regime (DoY 244-245) coincided with an air-mass from the central Arctic basin, and beyond, with long travel times over the Arctic Ocean pack ice (red in Fig. 5c). Clearly, the three regime transitions identified in Fig. 2 are associated with transitions of the low-level air trajectories ending at Oden. Differences in the atmospheric thermodynamics, especially the reduced cloudiness during both the 2<sup>nd</sup> and 4<sup>th</sup> regimes, were coincident with these changes in synoptic-scale motions.

## 5 Energy fluxes

### 5.1 Turbulent and conductive fluxes

The mean turbulent and conductive heat fluxes were small relative to the radiative fluxes, although the variability was large (Fig. 6a-c). The magnitudes were similar to those previously measured over the Arctic sea ice during this season (Intrieri et al 2002a; Persson et al 2002). While the latent heat flux was slightly positive on average, cooling the surface by 1 W m<sup>-2</sup>, the mean sensible heat flux varied between -2 and 6 W m<sup>-2</sup>. The conductive heat flux relative frequency distribution varied in a similar manner to the surface temperature, suggesting a rapid response of heat flux through

the snow layer. Initially, the conductive flux was negative, directed from the surface into the ice in response to the positive surface forcing. During the cold 2<sup>nd</sup> regime, heat conduction from the ice turned positive and the snow surface gained heat previously stored within the ice. The peak in positive conductive flux coincides with the absence of low-level cloudiness. During the 3<sup>rd</sup> regime it remained slightly positive, with larger heat losses occurring again during the 4<sup>th</sup> regime. The upper ocean heat flux below the ice was most variable during the 1<sup>st</sup> and 3<sup>rd</sup> regimes, but on average only slightly larger than  $1 \text{ W m}^{-2}$  from the ocean into the ice (Fig. 6d).

## 5.2 Radiative fluxes

The progression of the radiative fluxes are shown in Fig. 7. During the 1<sup>st</sup> regime, the net shortwave flux was variable and most often ranged between  $10\text{-}40 \text{ W m}^{-2}$  (Fig. 7a), while the net longwave flux was  $-10 \text{ W m}^{-2}$  to near zero (Fig. 7b). The onset of the first cold regime on DoY 234 coincided with a deficit in net radiation (Fig. 7c). Net shortwave radiation temporarily increased ( $20\text{-}50 \text{ W m}^{-2}$ ) while net longwave decreased ( $-40$  to  $-50 \text{ W m}^{-2}$ ) during DoY 235, consistent with a decrease in the cloud greenhouse effect at the time when the radar reflectivity suggested diminishing low-level clouds (Fig. 3, top panel). Mean net radiation returned to positive values during the 3<sup>rd</sup> regime, likely due to the re-emergence of low-level clouds (Fig. 3, lower panel) trapping longwave radiation near the surface. Rapid surface albedo increases were observed during the 2<sup>nd</sup> regime, due to a combination of snow-fall, freezing melt ponds and rime deposition, while SZA continually increased during the ice drift (Table 1). These factors together caused shortwave transmission through the ice to decrease following DoY 236, from approximately 3.5% of the surface downwelling irradiance before, to approximately 1.7% after (Fig. 7d). The radiative fluxes during the cold 4<sup>th</sup> regime were similar to those of the 2<sup>nd</sup> regime, coinciding again with tenuous, decreasing cloud radar reflectivity (Fig. 3, lower panel).

## 6 Cloud radiative forcing

Cloud fraction based on the backscatter intensity of the vertically-pointing cloud ceilometer is shown in Fig. 8 (top panel). Nearly constant overcast skies were observed during both of the week-long 1<sup>st</sup> and 3<sup>rd</sup> regimes, demonstrating the persistent nature of Arctic cloudiness during August. The lowest cloud base was below 200 m 70% of the time and was rarely observed above 1000 m.

*SWF*, *LWF* and total *CRF* are shown in the lower panel of Fig. 8. Each of the four regimes are characterized by distinct *CRF* patterns; the 1<sup>st</sup> and 3<sup>rd</sup> regimes exhibit comparable forcing behavior. *SWF*, ranging from  $-50$  to  $-25 \text{ W m}^{-2}$ , was largest during the 1<sup>st</sup> regime, after which increased surface albedo and SZA (Table 1) by the start of the 3<sup>rd</sup> regime caused a decrease in *SWF* by more than a factor of two relative to the 1<sup>st</sup> regime. A diurnal cycle in *SWF* indicates a significant dependence on SZA, with the diurnal amplitude of about  $15\text{-}22 \text{ W m}^{-2}$  at the beginning decreasing to about  $10\text{-}12 \text{ W m}^{-2}$  at the end of the ice drift, similar to values reported by Shupe and Intrieri [2004].

*LWF* generally ranged between  $65\text{-}80 \text{ W m}^{-2}$  during the 1<sup>st</sup> regime, and between  $70\text{-}85 \text{ W m}^{-2}$  during the 3<sup>rd</sup> regime. Mean *LWF* during the 3<sup>rd</sup> regime was  $\sim 76 \text{ W}$

$\text{m}^{-2}$ , nearly  $5 \text{ W m}^{-2}$  larger and statistically significant than during the 1<sup>st</sup> regime. As discussed above, considerable differences in the lower atmospheric thermal structure were observed during these two regimes. As a result of warmer temperatures and higher water vapor content in the lowest few kilometers, longwave clear-sky atmospheric radiative emission is enhanced during the 1<sup>st</sup> regime compare to the 3<sup>rd</sup>, partially masking the cloud *LWF* during the 1<sup>st</sup> regime. This is consistent with the findings of Zhang et al [2001]. Total *CRF* reflected this shift in both *SWF* and *LWF*. Clouds warmed the surface by 25-55  $\text{W m}^{-2}$  during the 1<sup>st</sup> regime; this increased to 55-75  $\text{W m}^{-2}$  during the 3<sup>rd</sup> regime (Fig. 8, black line).

The magnitudes of *SWF* and *LWF* decreased temporarily on DoY's 234 and 235. A *SWF* of  $-25 \text{ W m}^{-2}$  at the start of this regime decreased to  $-10$  to  $-5 \text{ W m}^{-2}$  as low-level clouds thinned or disappeared (Fig. 3). *LWF* simultaneously dropped from  $\sim 75 \text{ W m}^{-2}$  to nearly  $10 \text{ W m}^{-2}$ , and together the total *CRF* decreased from  $\sim 50 \text{ W m}^{-2}$  to near zero. Similarly, both cloud radiative forcing components decreased during the cold 4<sup>th</sup> regime. Here, *LWF* decreased from  $\sim 80$  to  $10 \text{ W m}^{-2}$  and *SWF* from  $-20 \text{ W m}^{-2}$  to near zero. Since the mean *SWF* varied by, at most,  $25 \text{ W m}^{-2}$  during both cold regimes, *LWF* was responsible for the majority of the change in total *CRF*, underlining the importance of the cloud *LWF* at this time of year. Even with uncertainty estimates on the order of 10 to  $15 \text{ W m}^{-2}$  as described in 3, *CRF* estimates indicate a robust cloud-warming effect at the surface during ASCOS.

## 6.1 Cloud forcing sensitivity

### 6.1.1 *SWF* sensitivity

It is important to understand how the critical factors influencing *SWF* and *LWF* affect the total *CRF* at the surface. *SWF* depends on the cloud microphysical properties, the surface albedo and the SZA (Curry et al 1996). Figure 9 shows the influence of SZA, surface albedo and LWP on *SWF*. The range of *SWF* agrees well with the average range reported during SHEBA for similar SZAs (Shupe and Intrieri 2004). The magnitude of shortwave forcing generally increases with decreasing surface albedo, such that a linear fit of the forcing to surface albedo results in an increased *SWF* of  $\sim 1.8 \text{ W m}^{-2}$  for every 0.01 decrease in surface albedo. However, as the data suggests, the change in forcing for a particular surface albedo also depends, to a large extent, on the SZA. During times of relatively lower surface albedo, the variation in *SWF* with SZA ranged from 15-20  $\text{W m}^{-2}$ , while this forcing sensitivity decreased to near 10  $\text{W m}^{-2}$  for larger albedo. *SWF* estimates are also dependent on the cloud properties which act to reflect, absorb and transmit shortwave radiation. In a general sense, clouds with larger LWP yield a larger *SWF* and more strongly cooled the surface, although the variability in Fig. 9 shows how *SWF* is a combination of all controlling factors. The net surface shortwave flux in the absence of an atmosphere is proportional to the solar constant times the product of the cosine of SZA and the surface albedo (Shupe and Intrieri 2004). Therefore, to examine the combined effect of SZA and surface albedo influences on *SWF*, we plot *SWF* versus the non-dimensional product of  $\cos(\text{SZA})$  and  $(1-\text{albedo})$  (Fig. 10a). The majority of data points are nearly linearly proportional to this scaling parameter; only some cases with low LWP exhibit smaller *SWF*. This result is further supported by a plot of the downwelling shortwave cloud attenuation, defined as the observed minus clear-sky downwelling shortwave normalized by the top of the atmosphere shortwave radiation

which also shows a significant dependence on cloud LWP (Fig. 10b). After having excluded the external parameters of surface albedo and SZA, the remaining variability in the shortwave cloud attenuation is results from variations in cloud geometry, droplet size and phase. Thus cloud structure and composition itself, not only the large SZAs and surface albedo, are also responsible for complex cloud-radiative interactions over the Arctic.

### 6.1.2 LWF sensitivity

*LWF* is largely influenced by the cloud’s emissivity dependence on LWP, cloud droplet radius and phase, along with the emission temperature of the cloud. As discussed above, the clear-sky downwelling longwave radiation can further act to modulate the *LWF*. Figure 11 shows the dependency of *LWF* on LWP, separated into cloud-base temperature ranges, determined by interpolated radiosonde profiles and ceilometer-determined cloud-base heights. In general, *LWF* estimates were on the order of  $10 \text{ W m}^{-2}$  larger during ASCOS than those computed at SHEBA for the similar season and LWP above  $50 \text{ g m}^{-2}$  (Shupe and Intrieri 2004; Chen et al 2006). *LWF* increases significantly when LWP increases from near zero to  $\sim 50 \text{ g m}^{-2}$ , corresponding to an exponential dependence of cloud longwave emissivity on LWP for liquid-containing clouds (e.g. Stephens 1978). Further increases in LWP exert no significant impact on *LWF* as the cloud radiates as a nearly-ideal black body (Garrett et al 2002; Shupe and Intrieri 2004). *LWF* from clouds with base temperatures below  $-10^\circ\text{C}$  was small since these clouds typically contained only a limited amount of liquid. The range in *LWF* for LWP  $> 50 \text{ g m}^{-2}$  was largest for cloud-base temperatures ranging from  $-5$  to  $0^\circ\text{C}$ . The lower data points for *LWF* within the cloud-base temperature range of  $-5$  to  $0^\circ\text{C}$  occurred primarily during the 1<sup>st</sup> regime when a warmer and moister atmosphere partly masked enhanced downwelling longwave flux from the cloud. On the other hand, the higher *LWF* for this temperature range came about during the 3<sup>rd</sup> regime, characterized by fog or low-level cloud layers with slightly warmer cloud-base temperatures. These low layers were the cause of *LWF* in excess of  $75 \text{ W m}^{-2}$  even when LWP was less than  $50 \text{ g m}^{-2}$ .

## 7 Snow and ice energy budgets

Means for the terms in Eqs. 1- 2 across the four regimes are shown both for the snow surface (Fig. 12a) and the sea ice (Fig. 12b), including  $\pm 1$  standard deviation bars. Generally speaking, the two mean energy budgets show similar trends (see Table 1). The dominance of the radiative fluxes over the turbulent and conductive fluxes is especially apparent during the cold 2<sup>nd</sup> and 4<sup>th</sup> regimes, although the variability was also greatest and larger than the mean radiative fluxes. According to the net residual energy fluxes, both the snow and the ice underwent a substantial transition during the ice drift. A mean surplus of  $14 \text{ W m}^{-2}$  was available for surface snow melt during the 1<sup>st</sup> regime (Fig. 12a). Lower SZA and surface albedo permitted the surface to absorb and transmit a significant fraction of the transmitted shortwave radiation. A residual of  $14 \text{ W m}^{-2}$  at the surface corresponds to approximately 4 cm of snow melt per day if we assume a frozen-to-liquid snow density ratio of 10:1 (cf. Roebber et al 2003). Note that this is likely an overestimate because we ignore shortwave radiation penetrating through the snow and ice. Significant frontal precipitation and falling ice crystals from

low-level mixed-phase clouds prior to DoY 232 could have offset this snow melt. The total residual for the ice and snow system as a whole was  $17 \text{ W m}^{-2}$ , indicating that the majority of the heat was expended in melting the upper snow layer.

The three subsequent regimes experienced significantly smaller net snow and ice residuals (Fig. 12 and Table 1). Increased losses of heat associated with decreasing cloud cover yielded mean net radiative fluxes that nearly offset each other during the cold regimes. As a result, heat previously stored within the ice was transferred upwards to compensate surface energy loss, resulting in a negative residual for the ice. Thus, the first potential indication of freeze-onset occurred between DoY 234 and 235. However, the surface residual remained slightly positive during the 2<sup>nd</sup> regime, however we know this surface estimate to be biased warm. The mean residuals during the 3<sup>rd</sup> regime were small; the variability is larger than the mean value which is not significantly different from zero. An increase in the mean surface albedo from 0.73 to 0.84 occurred during and after the 2<sup>nd</sup> regime through a combination of freezing melt ponds, rime deposition and new snow fall. By the 4<sup>th</sup> regime, both mean heat budget residuals were negative due to large deficits in net longwave radiation and a reduction in net shortwave radiation. Turbulent heat fluxes were nearly the same magnitude as both the net radiative and residual fluxes for all but the first regime, implying that the turbulent fluxes act as significant redistributors of the total radiative forcing. Despite variability in the observations, both snow and ice residuals were negative and corresponding temperatures decreased significantly, suggesting that full initiation of the fall freeze-up occurred during the 4<sup>th</sup> regime, approximately 10 days after the first indication of freeze. We shall return to this temporal difference in the discussion below.

## 8 Case study

We have demonstrated that the surface energy budget system is largely influenced by the presence, absence and properties of the cloud cover. To illustrate this influence, we investigate DoY 235. A cirrus cloud, sometimes more than 4000 m thick, was overhead during much of this 24-hr period (Fig. 13a). Tenuous low-level clouds were observed by the ceilometer and MMCR in the early morning hours and again after 12 UTC, as an increasingly robust fog deepened into a low-level cloud below 300 m. There was little or no cloud liquid water (Fig. 13e) identifiable until after 12 UTC, coincident with the developing low-level cloud. Analysis of upper-level radiosoundings suggests that colder air was advected over the ice drift during the morning and early afternoon hours, which may have provided the necessary cooling for saturation of liquid water.

Surface temperature varied approximately between  $-7.5$  and  $-6^\circ\text{C}$  (Fig. 13b) prior to 12 UTC, and a linear fit to these first 12 hours suggests no temporal trend. Shortly before 12 UTC surface temperature jumped and continued on an increasing trend through the remainder of the 24-hour period, although the absolute change in temperature during the afternoon was only slightly larger than  $2^\circ\text{C}$ . The downwelling radiative fluxes (Fig. 13c-d) are significantly modified by the cloud scene overhead, and surface responds to these radiative changes. Temperatures below  $-6^\circ\text{C}$  were generally associated with a negative net radiative flux ranging from  $-35$  to  $0 \text{ W m}^{-2}$  (Fig. 14a), and LWP was typically no larger than  $15 \text{ g m}^{-2}$  (Fig. 14b). The lack of robust liquid clouds permitted a larger net shortwave flux, while the reduction in cloud greenhouse warming resulted in net longwave deficits of more than  $-50 \text{ W m}^{-2}$ . The lack of a liquid-rich cloud scene resulted in  $CRF$  near zero (Fig. 14a) and estimates of the total surface

energy residual before 12 UTC were generally larger than  $-20 \text{ W m}^{-2}$  (median value  $-13 \text{ W m}^{-2}$ ), suggesting that surface radiative forcing resulted in a redistribution of turbulent and conductive heat fluxes which effectively limited the absolute change in surface temperature (Fig. 14c). Temperatures above  $-6^\circ\text{C}$  generally occurred as cloud LWP increased with the deepening lower cloud layer, yielding small but positive net radiation at the surface as a result of a net cloud radiative forcing change of  $\sim 30 \text{ W m}^{-2}$ . The corresponding change in total surface energy was approximately half of this net radiative forcing change, however the surface temperature increase was limited to only  $\sim 2^\circ\text{C}$ . In-ice temperatures, as well as estimates using an equivalent snow depth, suggest that a thermal wave in response to the surface forcing penetrated through the snow and into the ice, but only within the uppermost 10 cm below the surface. Given the change in residual forcing of  $15 \text{ W m}^{-2}$  and assuming reasonable snow depth, the estimated surface temperature increase should not have been larger than  $3^\circ\text{C}$ , in line with the observed temperature increase. Although this case is not representative of the entire ASCOS ice drift, it demonstrates how periods of tenuous, or absent, clouds with little liquid water can "force" the coldest temperature regimes and effectively initiate the system into, or out of, a freeze-up transition for the conditions encountered.

Sensible heat (Fig. 13f) was transferred from the atmosphere towards the surface prior to 09 UTC and then changed sign and remained positive after 10:30 UTC. This is coincident with an increase in cloud LWP from 0 to  $10 \text{ g m}^{-2}$  (Fig. 13e), which caused downwelling longwave radiation to increase by  $\sim 20 \text{ W m}^{-2}$  (Fig. 13d). The surface energy balance, forced mainly by the reduction in net longwave deficit due to the developing low cloud, led to a warming of the surface, more quickly than the near-surface air. This caused a destabilization and transitioning from stably stratified to convective conditions. Radiosonde profiles during the day suggest that the modification of the energy at the surface led to a deepening ABL from less than 100 m in the morning to approximately 200 m in the afternoon (Fig. 15, top panel). The sodar backscatter (Fig. 15, lower panel) confirms the shallow boundary-layer depths in the morning; strong acoustic backscatter is an indication of temperature variance associated with turbulence acting in a strong gradient and is commonly observed at the interface between the boundary layer and free troposphere. The increased ABL depth to over 200 m in the afternoon, seen in both temperature and sodar backscatter profiles, coincides with the vertical location of the deepening low-level cloud layer during the afternoon. Considering the negligible changes in the near-surface wind speed over the course of the day (Fig. 13g), these results suggest that neither advection of heat nor increased mechanical mixing directly forced the surface temperature increase on this day. Instead, surface cloud radiative forcing destabilized the lower boundary layer and caused a vertical turbulent transport of sensible heat. However, it can be argued that cold-air advection aloft may have been responsible for the low-level cloud development.

## 9 Discussion and conclusions

The duration of the Arctic melt season and the timing of the autumn freeze-up onset have significant impacts on the Arctic surface heat budget. The transitioning energy budget components of both the snow surface and the sea ice have been analyzed using measurements from the ASCOS campaign. Surface cloud radiative forcing was calculated with the use of a radiative transfer model. The influence of the solar and surface

conditions and the cloud liquid water path on the cloud short- and longwave radiative forcing components was determined.

Clear transitions between different regimes are evident in the surface temperature. The radiative fluxes support a division into 4 distinct regimes characterized by differing thermodynamic structure and cloud properties. These regimes are linked to changes in synoptic-scale weather patterns modifying the temperature and humidity profiles and the accompanying cloud field. We have identified a large-scale shift in the atmospheric thermodynamic structure between the 1<sup>st</sup> and 3<sup>rd</sup> weeks of the ice drift. Back trajectory calculations indicate the flow was from the Greenland and Barent Seas during the 1<sup>st</sup> week, bringing warmer and more humid air masses associated with a series of synoptic fronts. This flow pattern continued early in week 3, but became increasingly more influenced by a high pressure advecting over the central Arctic from Greenland, associated with a cooler and drier lower troposphere. In response to the varying cloudiness, the radiative fluxes showed the largest variations and dominated the energy budgets. Net shortwave radiation generally ranged between 10-40 W m<sup>-2</sup> when cloud cover was present, but could be significantly larger when cloud cover was tenuous or absent, as observed during the two cold regimes. The magnitudes of shortwave effects decreased with time as SZA and surface albedo increased. Net longwave radiation when clouds were present consistently ranged from -10 W m<sup>-2</sup> to zero, but the deficit was observed to reach as much as -60 W m<sup>-2</sup> during tenuous cloud periods. The turbulent and conductive fluxes were significantly smaller than these, although they were on the same order as the mean net energy fluxes for three of the 4 regimes, making them significant redistributors of the energy imparted by radiative fluxes.

Total *CRF* ranged from approximately 25-50 W m<sup>-2</sup> during the 1<sup>st</sup> regime, and the magnitude increased to 55-75 W m<sup>-2</sup> during the 3<sup>rd</sup> regime. The increase in forcing magnitude was related to variations in both *SWF* and *LWF* components. Mean surface albedo increased from  $\sim 0.73$  to  $\sim 0.84$ , limiting the shortwave radiation absorption at the surface, and at the same time the SZA continued to increase, reducing the amount of incident shortwave radiation. The influences of SZA and the surface albedo on *SWF*, along with the influence of cloud LWP have been examined. The forcing generally increased linearly in combination with increasing LWP and decreasing SZA and surface albedo. *SWF* exhibited a diurnal cycle of 10-22 W m<sup>-2</sup>, being largest when SZA and surface albedo were smallest. Mean *LWF* increased by approximately 5 W m<sup>-2</sup> during the ice drift and has been linked to a decrease in the background atmospheric temperature and humidity, which masked a part of the *LWF* during the 1<sup>st</sup> regime. However, downwelling longwave radiation did decrease during the 3<sup>rd</sup> regime relative to the 1<sup>st</sup> and can be attributed to advection of cooler air in the lower troposphere.

The surface temperature changes during the deployment were found to be largely controlled by 1) the enhancement of downwelling longwave radiative flux emitted from low clouds, 2) the changing reflectivity of the ice surface and 3) the sun angle. When clouds were tenuous or temporarily absent, deficits in net longwave radiation at the surface overwhelmed increases in solar heating, causing the surface temperature to drop rapidly. On the contrary, enhanced emission of downwelling longwave radiation from low clouds with increasing cloud liquid water yielded small, but slightly positive net radiation. A combination of positive net radiation and conduction of heat from within the sea-ice to the snow-surface results in a relative surface warming, a dynamical response observed during the cold-temperature regimes although the warming magnitude can be limited by changes in turbulent fluxes. When the surface warmed quicker than

the air, a destabilization of the lower atmosphere occurred and turbulent heat fluxes increased in magnitude, cooling the surface.

Mean energy budget residuals suggest that the initial freeze onset could have begun during the cold 2<sup>nd</sup> regime, from 21-23 August. Climatological studies using both active and passive satellite measurements and surface temperature observations show a general consensus that interior, central Arctic freeze onset often occurs between the 2<sup>nd</sup> week of August and early September (Rigor et al 2000; Belchansky et al 2004; Overland et al 2008). Observational studies often use the first instance when a running mean near-surface temperature surpasses the  $-2^{\circ}\text{C}$  threshold to identify the freeze-up onset. Doing the same for the ASCOS ice drift suggests the freeze onset occurred early on 24 August (DoY 237). This date is similar to that determined with respect to the mean energy budget residual analysis of the sea ice and consistent with previous central Arctic freeze dates reported in the literature (Rigor et al 2000; Nilsson et al 2001; Belchansky et al 2004; Overland et al 2008; Markus et al 2009). The successive progression of the freeze-up during ASCOS was inhibited by low-level stratiform cloud cover during the 3<sup>rd</sup> regime, causing small but positive energy residuals.

The seasonal freeze-up onset, when temperatures no longer return to the melting point of sea-ice, appears to have happened a week or more after the cold 2<sup>nd</sup> regime, coinciding with the arrival of drier air with a long residence time in the central Arctic and optically thinner clouds or clear skies. During this 4<sup>th</sup> temperature regime, both the mean snow and ice energy budget residuals were negative. Markus et al [2009] have demonstrated that the first indication of freeze, on average, occurs approximately 10 days prior to the time when freeze is observed to persist for the remainder of the season. The 10-day time scale observed may be related to the passage of large-scale weather systems responsible for thermodynamic advection that affects the cloud properties. We have highlighted the processes responsible for this first freeze onset and the transition toward persistent freeze during ASCOS.

The two cold-temperature regimes were associated with tenuous cloud cover, leading to reduced net warming by the clouds and subsequent drops in surface temperature. Direct deposition, or riming, of water vapor on the snow surface and ice growth on melt ponds and open leads increased the surface albedo at these times, resulting in a lower net surface shortwave radiation. Heat transfer upwards through the ice and turbulent heat fluxes from the atmosphere to the surface during the final cold regime were insufficient to compensate for the radiative cooling of the surface. Therefore, the clouds, or lack thereof, played a central role for the surface energy budget and the onset of the freeze-up, and we find cloud cover to be a key component in the surface energy budget transitions of the Arctic.

## 10 Acknowledgements

This work is part of ASCOS (the Arctic Summer Cloud Ocean Study) and was funded by the Swedish Science Research Council and the DAMOCLES European Union 6<sup>th</sup> Framework Program Integrated Research Project. ASCOS was made possible by funding from the Knut and Alice Wallenberg Foundation. IMB and CEB were also funded by the UK Natural Environment Research Council; CEB was additionally partially supported by the UK Met Office that also provided real-time weather forecasting for ASCOS. POGP and MS were also funded by the National Science Foundation. MN also received funding through the NorClim project, financed in the Norklima program of the

Norwegian Research Council; Sebastian Gerland is acknowledged for dual-responsibility of data with MN. The Swedish Polar Research Secretariat (SPRS) provided access to the icebreaker Oden and logistical support. Chief Scientists were Caroline Leck and Michael Tjernström. We are grateful to the SPRS logistical staff and to Oden's Captain Mattias Peterson and his crew. ASCOS is an IPY project under the AICIA-IPY umbrella and an endorsed SOLAS project.

## References

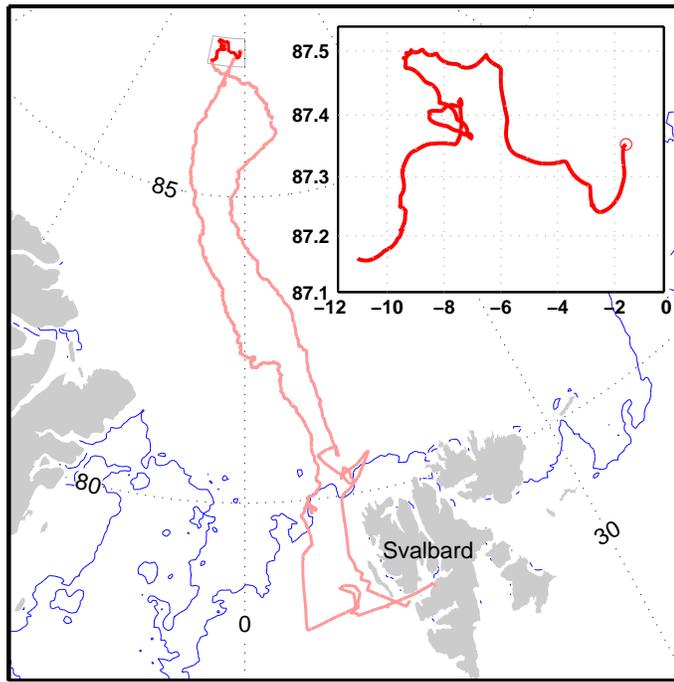
- ACIA (2005) Arctic Climate Impact Assessment: Impacts of a warming Arctic. Cambridge University Press
- Andreas EL, Jordan RE, Makshtas AP (2005) Parameterizing Turbulent Exchange Over Sea Ice: The Ice Station Weddell results. *Boundary-Layer Meteorol* 114:439–460
- Belchansky GI, Douglas DC, Platonov NG (2004) Duration of the Arctic Sea Ice Melt Season: Regional and Interannual Variability, 1979-2001. *J Clim* 17:67–80
- Birch CE, Brooks IM, Tjernström M, Milton SF, Earnshaw P, Söderberg S, Persson POG (2009) The performance of a global and mesoscale model over the central Arctic Ocean during late summer. *J Geophys Res* 114, D12104, DOI 10.1029/2008JD010790
- Chen Y, Aires F, Francis JA, Miller JR (2006) Observed Relationships between Arctic Longwave Cloud Forcing and Cloud Parameters Using a Neural Network. *J Clim* 19:4087–4104
- Curry JA, Rossow WB, Randall D, Schramm JL (1996) Overview of Arctic cloud and radiation characteristics. *J Clim* 9:1731–1764
- Fu Q, Liou KN (1992) The Correlated k-Distribution Method for Radiative Transfer in Nonhomogeneous Atmospheres. *J Atmos Sci* 49:2139–2156
- Garrett TJ, Radke LF, Hobbs PV (2002) Aerosol Effects on Cloud Emissivity and Surface Longwave Heating in the Arctic. *J Atmos Sci* 59:769–778
- Graversen RG (2006) Do Changes in the Midlatitude Circulation Have Any Impact on the Arctic Surface Air Temperature Trend? *J Clim* 19:5422–5438
- Graversen RG, Wang M (2009) Polar amplification in a coupled climate model with locked albedo. *Clim Dyn* 33:629–643
- Graversen RG, Mauritsen T, Tjernström M, Källén E, Svensson G (2008) Vertical structure of recent Arctic warming. *Nature* 451:53–57
- Intrieri JM, Fairall CW, Shupe MD, Persson POG, Andreas EL, Guest PS, Moritz RE (2002a) An annual cycle of Arctic surface cloud forcing at SHEBA. *J Geophys Res* 107, C10, DOI 10.1029/2000JC000439
- Intrieri JM, Shupe MD, Uttal T, McCarty BJ (2002b) An annual cycle of Arctic cloud characteristics observed by radar and lidar at SHEBA. *J Geophys Res* 107, C10, DOI 10.1029/2000JC000423
- IPCC (2007) Intergovernmental Panel on Climate Change, Fourth Assessment Report - The physical science basis. Cambridge University Press
- Kay J, L'Ecuyer ET, Gettelman A, Stephens G, O'Dell C (2008) The contribution of cloud and radiation anomalies to the 2007 Arctic sea ice extent minimum. *Geophys Res Lett* 35, L08503, DOI 10.1029/2008GL033451
- Kay JE, Gettelman A (2009) Cloud influence on and response to seasonal Arctic sea ice loss. *J Geophys Res* 114, D18204, DOI 10.1029/2009JD011773

- Leck C, Bigg EK, Covert DS, Heintzenberg J, Maenhaut W, Nilsson ED, Wiedensohler A (1996) Overview of the atmospheric research program during the International Ocean Expedition of 1991 (IAOE-1991) and its scientific results. *Tellus* 48B:136–155
- Leck C, Nilsson ED, Bigg EK, Bcklin L (2001) The atmospheric program of the Arctic Ocean Expedition 1996 (AOE-1996) - an overview of scientific objectives, experimental approaches and instruments. *J Geophys Res* 106,(D23):32,051–32,067
- Leck C, Tjernström M, Matrai P, Swietlicki E, Bigg K (2004) Can Marine Microorganisms Influence Melting of the Arctic Pack Ice? *EOS* 85:25–36
- Lindsay RW, Zhang J (2005) The Thinning of Arctic Sea Ice, 1988-2003: Have We Passed a Tipping Point? *J Clim* 18:4879–4894
- Lindsay RW, Zhang J, Schweiger A, Steele M, Stern H (2009) Arctic Sea Ice Retreat in 2007 Follows Thinning Trend. *J Clim* 22:165–176
- Liou KN (1992) *Radiation and Cloud Processes in the Atmosphere*, Oxford Monographs on Geology and Geophysics No. 20, Oxford University Press, p 487 pp
- Liou KN, Fu Q, Ackerman TP (1988) A Simple Formulation of the Delta-Four-Stream Approximation for Radiative Transfer Parameterizations. *J Atmos Sci* 45:1940–1947
- Liu Y, Key JR, Wang X (2008) The influence of changes in cloud cover on recent surface temperature trends in the Arctic. *J Clim* 21:705–715
- Markus T, Stroeve JC, Miller J (2009) Recent changes in Arctic sea ice melt onset, freezeup, and melt season length. *J Geophys Res* 114, DOI 10.1029/2009JC005436
- McPhee MG (2008) *Air-Ice-Ocean interaction: Turbulent Boundary Layer Exchange Processes*. Springer
- Moran KP, Martnew BE, Post MJ, Kropfli RA, Welsh DC, Widener KB (1998) An unattended cloud-profiling radar for use in climate research. *Bull Amer Meteorol Soc* 79:443–455
- Nicolaus M, Hudson SR, Gerland S, Munderloh K (2010) A modern concept for autonomous and continuous measurements of spectral albedo and transmittance of sea ice. *Cold Regions Science and Tech* 62:14–28
- Nilsson ED, Rannik U, Hakansson M (2001) Surface energy budget over the central Arctic Ocean during late summer and early freeze-up. *J Geophys Res* 106 (D23):32,187–32,205
- Overland JE (2009) The case for global warming in the Arctic. In *Influence of climate change on the changing Arctic and Sub-Arctic conditions*, Springer, pp 13–23
- Overland JE, Turner J, Francis J, Gillett N, Marshall G, Tjernström M (2008) The Arctic and Antarctic: Two Faces of Climate Change. *EOS* 89:177–184
- Perovich DK (2005) The aggregate-scale partitioning of solar radiation in Arctic sea ice during the Surface Heat Budget of the Arctic Ocean (SHEBA) field experiment. *J Geophys Res* 110, DOI 10.1029/2004JC002512
- Perovich DK, Richter-Menge JA, Jones KF, Light B (2008) Sunlight, water, and ice: Extreme Arctic sea ice melt during the summer of 2007. *Geophys Res Lett* 35, L11501, DOI 10.1029/2008GL034007
- Persson POG, Fairall CW, Andreas EL, Guest PS, Perovich DK (2002) Measurements near the Atmospheric Surface Flux Group tower at SHEBA: Near-surface conditions and surface energy budget. *J Geophys Res* 107 (C10), DOI 10.1029/2000JC000705
- Polyakov I, Timokhov L, Hansen E, Piechura J, Walczowski W, Ivanov V, Simmons H, Fahrbach E, Hölemann J, Steele M, Pickart R, Fortier L, Schauer U, Beszczynska-Möller A, Holliday NP, Dmitrenko I, Dickson R, Gascard JC, Mauritzen C (2007) Observational program tracks Arctic Ocean transition to a warmer state. *EOS Transactions* 88, DOI 10.1029/2007EO400002

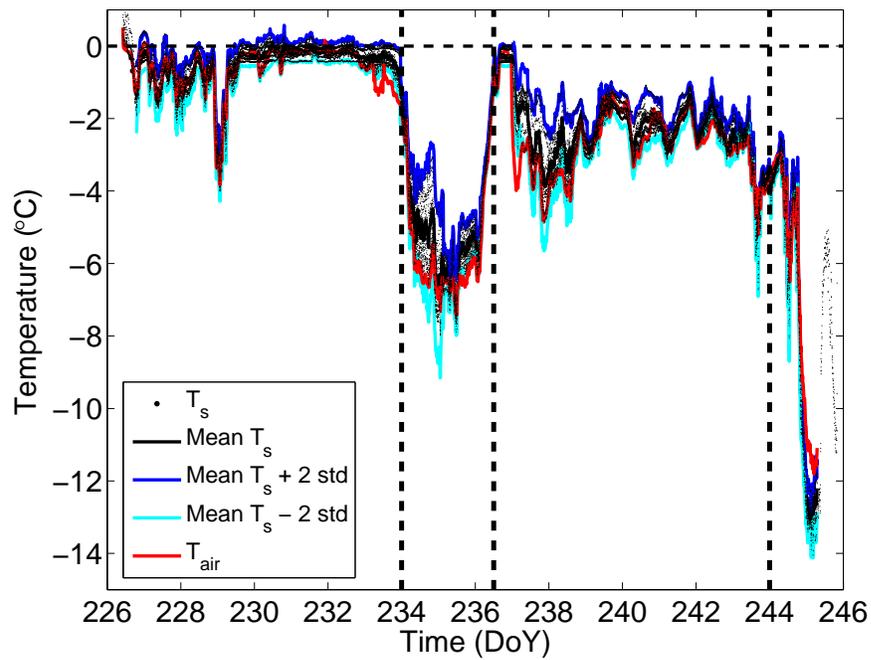
- Ramanathan V, Cess RD, Harrison EF, Minnis P, Barkstrom BR, Ahmad E, Hartman D (1989) Cloud-radiative forcing and climate: Results for the Earth Radiation Budget Experiment. *Science* 243:57–63
- Rigor IG, Colony RL, Martin S (2000) Variations in Surface Air Temperature Observations in the Arctic 1979–97. *J Clim* 13:896–914
- Roebber PJ, Bruening SL, Schultz DM, Cortinas Jr JV (2003) Improving Snowfall Forecasting by Diagnosing Snow Density. *Weather and Forecasting* 18:264–287
- Ruffieux D, Persson POG, Fairall CW, Wolfe DE (1995) Ice pack and lead surface energy budgets during LEADDEX 1992. *J Geophys Res* 100:4593–4612
- Schneider SH (1972) Cloudiness as a global climate feedback mechanism: The effects on the radiation balance and surface temperature variations in cloudiness. *J Atmos Sci* 29:1413–1422
- Serreze MC, Holland MM, Stroeve J (2007) Perspectives on the Arctic’s shrinking sea-ice cover. *Science* 315:1533–1536, DOI 10.1126/science.1139426
- Shimada Kea (2006) Pacific Ocean inflow: Influence on catastrophic reduction of sea ice cover in the Arctic Ocean. *Geophys Res Lett* 33, L08605, DOI 10.1029/2005GL025624
- Shupe MD, Intrieri JM (2004) Cloud Radiative Forcing of the Arctic Surface: The Influence of Cloud Properties, Surface Albedo, and Solar Zenith Angle. *J Clim* 17:616–628
- Sirevaag A, Fer I (2009) Early Spring Oceanic Heat Fluxes and Mixing Observed from Drift Stations North of Svalbard. *J Phys Oceanogr* 39(12):3049–3069, DOI 10.1175/2009jpo4172.1
- Stephens GL (1978) Radiation Profiles in Extended Water Clouds. II: Parameterization Schemes. *J Atmos Sci* 35:2123–2132
- Tjernström M, Leck C, Persson POG, Jensen ML, Oncley SP, Targino A (2004) The summertime Arctic atmosphere: Meteorological measurements during the Arctic Ocean Experiment (AOE-2001). *Bull Amer Meteorol Soc* 85:1305–1321
- Tjernström M, Zagar M, Svensson G, Cassano JJ, Pfeifer S, Rinke A, Wyser K, Dethloff K, Jones C, Semmler T, Shaw M (2005) Modelling the Arctic Boundary Layer: An Evaluation of Six ARCMIP Regional-Scale Models Using Data from the SHEBA Project. *Boundary-Layer Meteorol* 117:337–381, DOI 10.1007/s10546-004-7954-z
- Walsh JE, Chapman WL (1998) Arctic Cloud-Radiation-Temperature Associations in Observational Data and Atmospheric Reanalyses. *J Clim* 11:3030–3045
- Westwater ER, Han Y, Shupe MD, Matrosov SY (2001) Analysis of integrated cloud liquid and precipitable water vapor retrievals from microwave radiometers during SHEBA. *J Geophys Res* 106:32,019–32,030
- Wilczak JM, Oncley SP, Stage SA (2001) Sonic Anemometer Tilt Correction Algorithms. *Boundary-Layer Meteorol* 99:127–150
- Zeng J, Matsunaga T, Mukai H (2008) METEX - A flexible tool for air trajectory calculation. *Environmental Modelling and Software* 25:607–608
- Zhang J, Lindsay R, Steele M, Schweiger A (2008a) What drove the dramatic retreat of arctic sea ice during summer 2007? *Geophys Res Lett* 35, L11505, DOI 10.1029/2008GL034005
- Zhang T, Stamnes K, Bowling SA (2001) Impact of the Atmospheric Thickness on the Atmospheric Downwelling Longwave Radiation and Snowmelt under Clear-Sky Conditions in the Arctic and Subarctic. *J Clim* 14:920–939
- Zhang X, Sorteberg A, Zhang J, Gerdes R, Comiso JC (2008b) Recent radical shifts of atmospheric circulations and rapid changes in Arctic climate system. *Geophys Res Lett* 35, L22701, DOI 10.1029/2008GL035607

**Table 1** Regime classification (DoY-Day of Year), corresponding statistics of surface albedo  $\alpha_s$  (including standard deviation  $\sigma$  and percentiles), solar zenith angle (SZA) range and the energy budget flux components for both snow and the ice, all in  $\text{W m}^{-2}$ . Energy budget components are defined as in Eqs. 1- 2

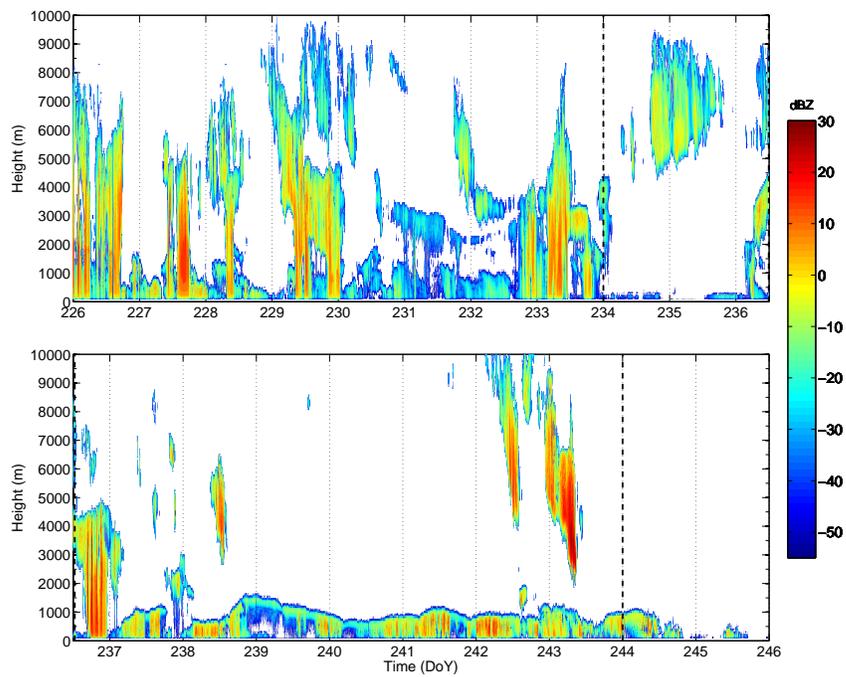
| Time (DoY) | Mean $\alpha_s$ ( $\sigma$ ) | Median $\alpha_s$ ( $25^{th}$ , $75^{th}$ ) | SZA ( $^\circ$ ) | Energy budget fluxes for the snow/ice ( $\text{W m}^{-2}$ ) |       |       |       |             |             |
|------------|------------------------------|---|------------------|---|-------|-------|-------|-------------|-------------|
|            |                              |   |                  | $R_s$   | $R_l$ | $H_s$ | $H_l$ | $H_c / H_o$ | Residual    |
| 227-233    | 0.75 (0.03)                  | 0.74 (0.73, 0.77)                           | 73.8-80.1        | 23.9 / 20.2   | -4.4  | -1.0  | -0.6  | -3.6 / 2.4  | 14.3 / 16.6 |
| 234-236.5  | 0.81 (0.02)                  | 0.82 (0.80, 0.82)                           | 75.4-81.2        | 29.3 / 26.8   | -25.8 | -3.7  | -1.2  | 3.7 / 0.7   | 2.9 / -3.4  |
| 236.5-243  | 0.85 (0.02)                  | 0.85 (0.84, 0.86)                           | 76.4-83.8        | 11.7 / 10.4   | -7.5  | -0.6  | -1.4  | 0.6 / 1.5   | 2.8 / 2.4   |
| 244-245    | 0.84 (0.02)                  | 0.84 (0.83, 0.85)                           | 78.6-85.0        | 25.0 / 23.6   | -30.2 | 0.9   | -0.5  | 3.9 / 0.1   | -0.9 / -6.2 |



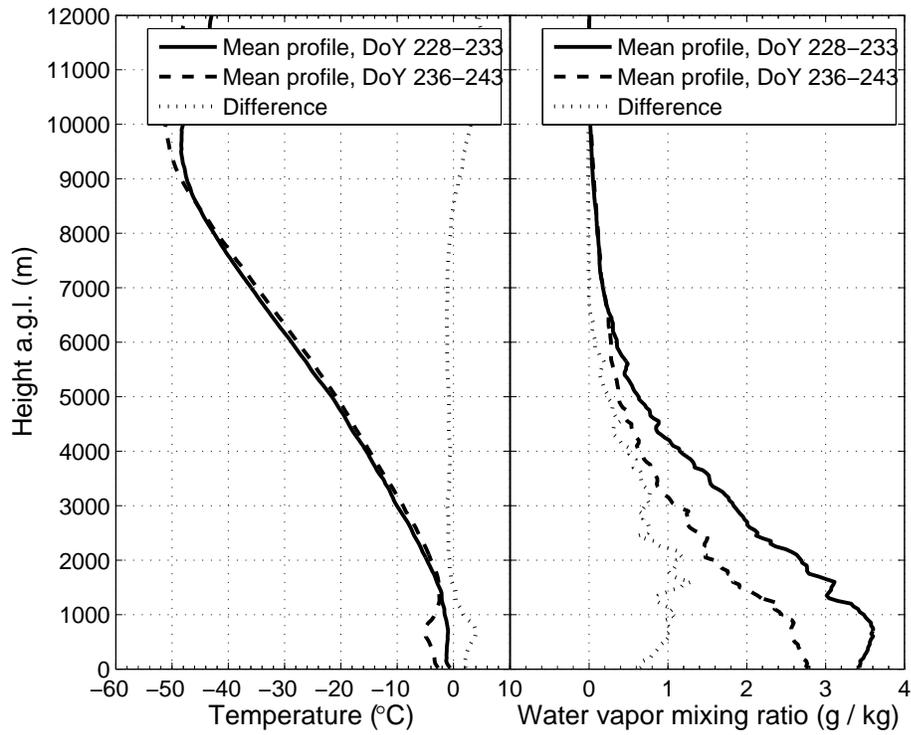
**Fig. 1** Cruise track for ASCOS (light red) and ice drift track (dark red); insert zooms in on the ice drift (latitude vs. longitude, red ring indicates start position). The blue line indicates the approximate southern ice edge as of 12 August 2008



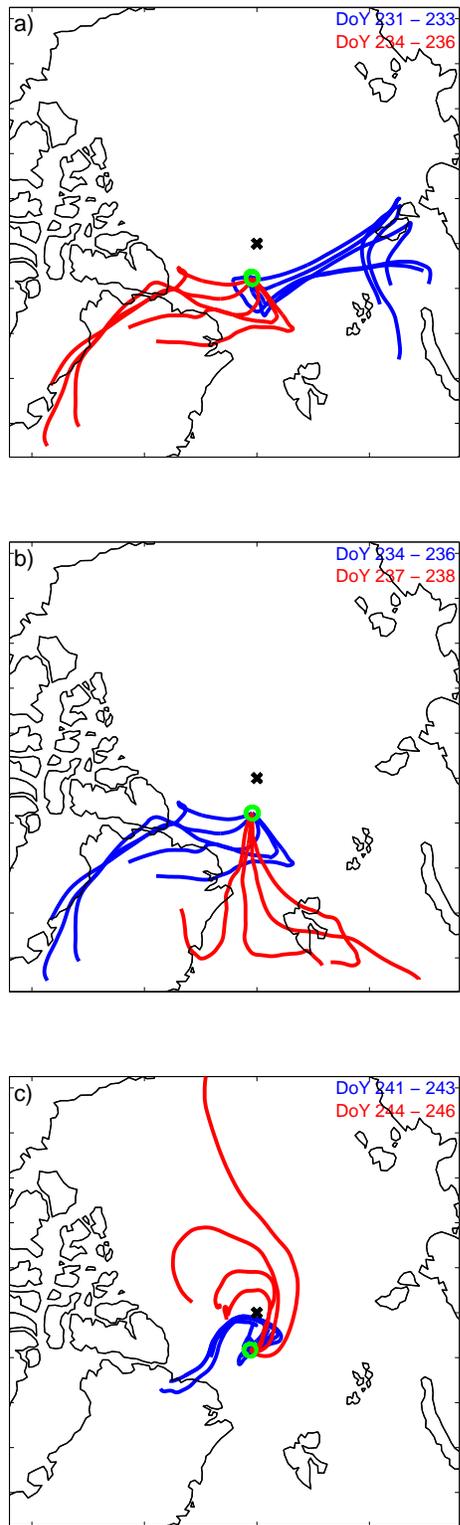
**Fig. 2** Mean air temperature at approximately 1 m a.g.l. (red) and surface temperatures from eight different sensors (black dots) in °C, as a function of time (DoY, day of the year). The solid black line shows the 10-min averaged surface temperature along with the corresponding plus (blue) and minus (cyan) twice standard deviations across all eight sensors. Dashed vertical lines differentiate between four temperature regimes (see text). DoY 226 is 13 August 2008



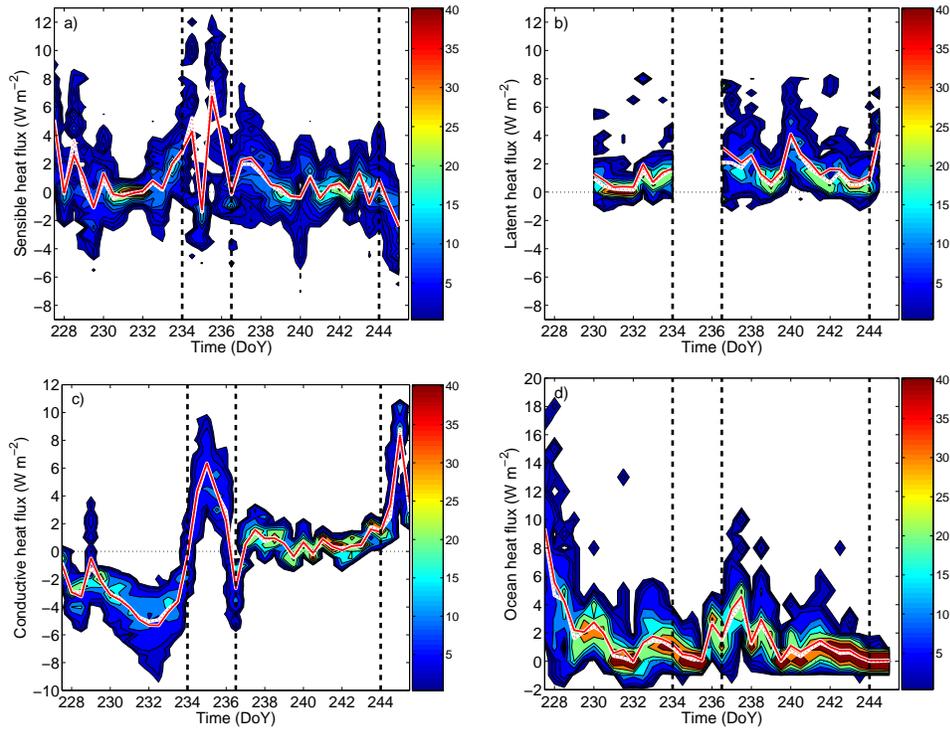
**Fig. 3** Radar reflectivity contour time series during the ice drift from the MMCR's lowest range gate at 0.105 km up to 10 km. The top panel is the first 10 days, where dashed vertical lines differentiate between the first 2 temperature regimes; the lower panel is a continuation in time from the top panel, showing the 3<sup>rd</sup> and 4<sup>th</sup> regimes. Reflectivity is sensitive to particle size to the 6<sup>th</sup> power, thus brighter contours typically represent ice crystals; these are typically larger than liquid cloud droplets



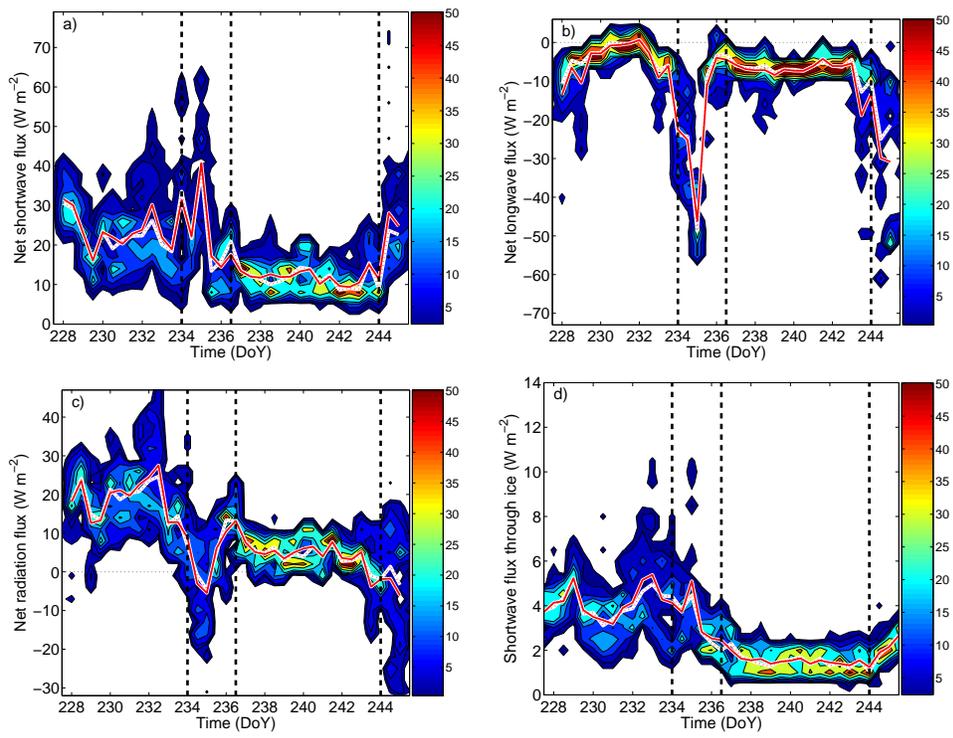
**Fig. 4** Mean temperature ( $^{\circ}\text{C}$ , left panel) and water vapor mixing ratio ( $\text{g kg}^{-1}$ , right panel) for the 1<sup>st</sup> regime (DoY 228-233, solid line) and 3<sup>rd</sup> regime (DoY 236-243, dashed line). The dotted line is the difference between the mean profiles for each period



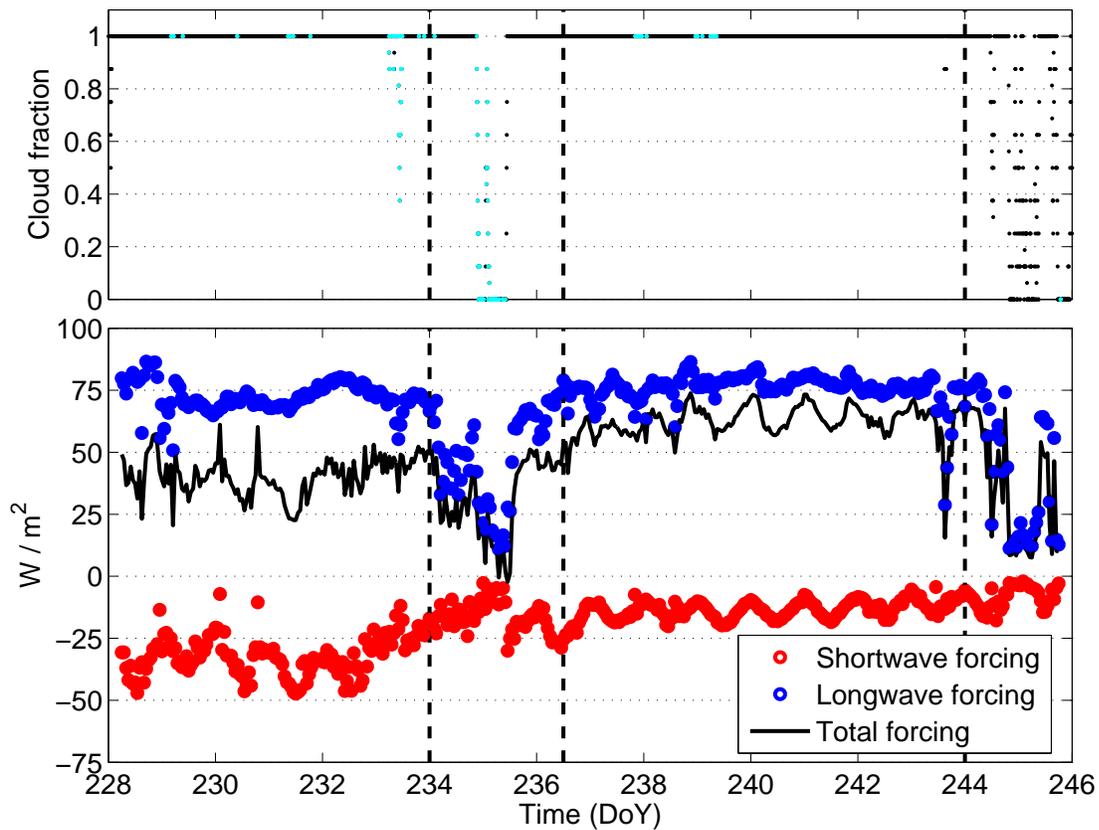
**Fig. 5** 5-day back trajectories ending at 200 m above the position of Oden, calculated for 2-day periods before (blue) and after (red) the transitions shown by the dashed vertical lines in Fig. 2). The cross and circle mark the north pole and Oden's position, respectively



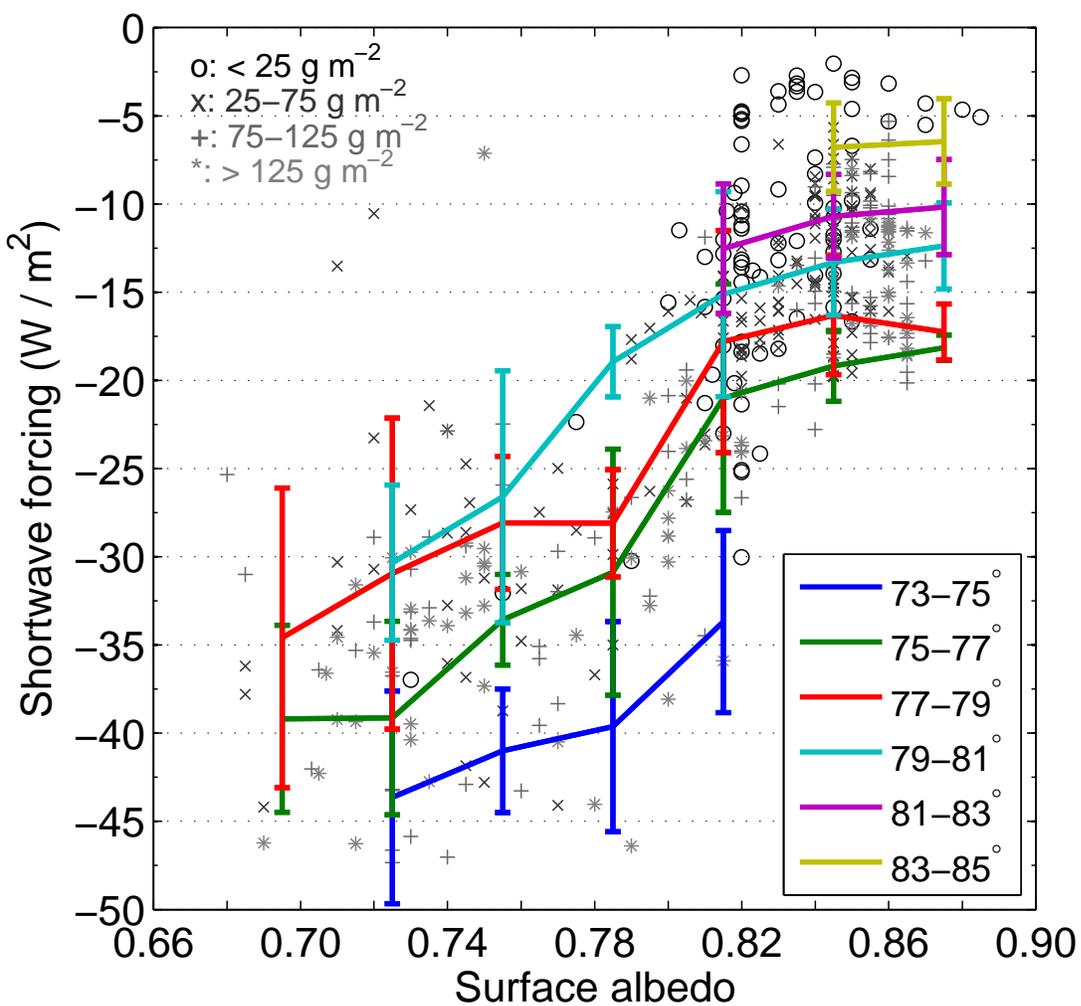
**Fig. 6** Relative frequency distribution time trace for a) sensible, b) latent, c) conductive and d) oceanic heat flux, all given in  $\text{W m}^{-2}$ . Colors represent probability of occurrence for a given heat flux as function of DoY, calculated over 12-hour non-overlapping intervals. Solid and dotted red lines represent the 12-hour mean and median fluxes, respectively. Dashed vertical lines indicate the division of regimes



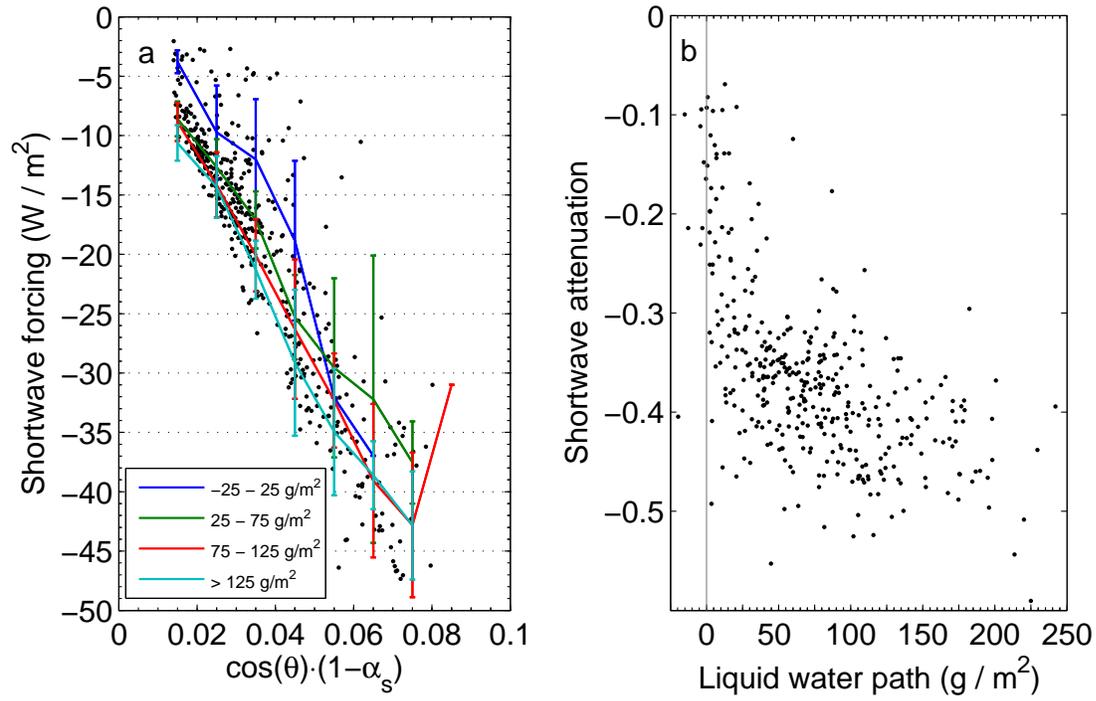
**Fig. 7** Same as in Fig. 6 except for a) net shortwave, b) net longwave, c) total net and d) shortwave radiation transmission through the ice



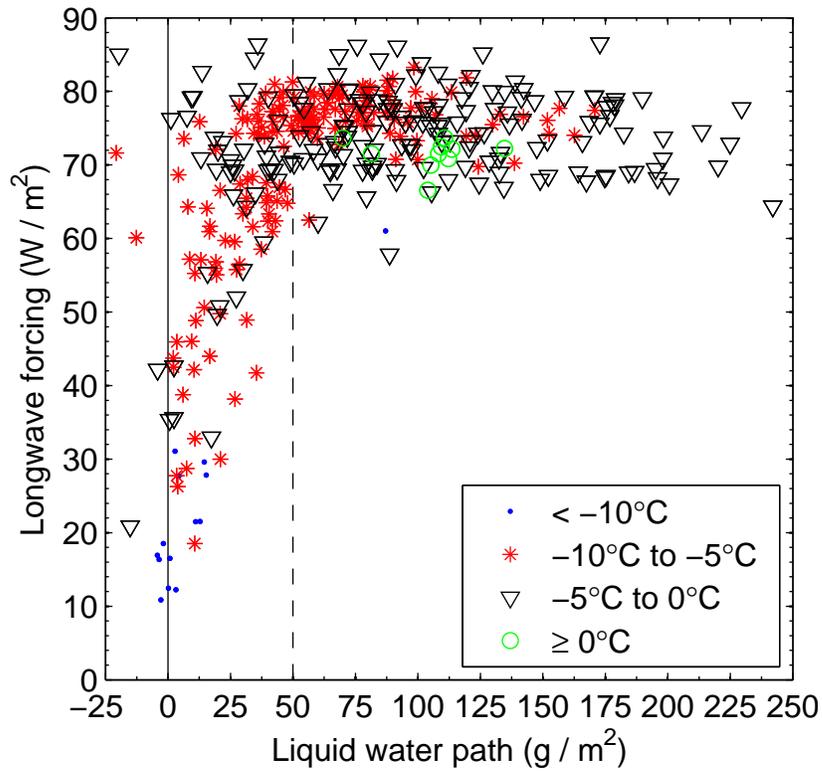
**Fig. 8** Top panel: 10-minute cloud fraction (0-1) with cloud bases < 1000 m (black dots) and cloud bases > 1000 m (turquoise dots). Lower panel: *SWF* (red circles), *LWF* (blue circles) and total *CRF* (black line), all in  $\text{W m}^{-2}$



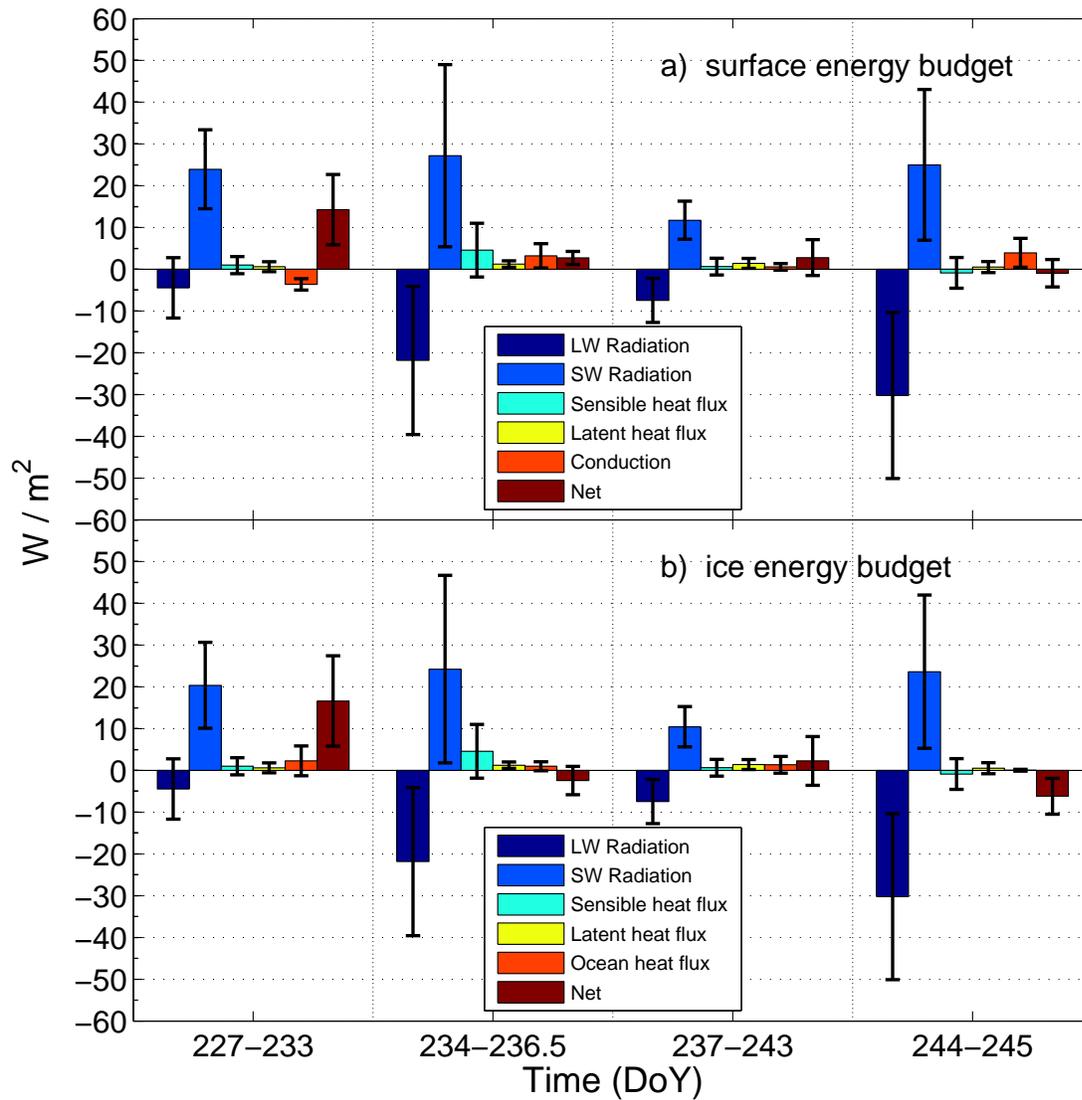
**Fig. 9** 1-hr  $SWF$  as a function of surface albedo.  $SWF$  data have symbols and shading for different ranges of cloud LWP ( $\text{g m}^{-2}$ ), shown in the top left corner. Colored lines represent bin-averaged  $SWF$  for solar zenith angle (SZA) ranges shown in the lower right legend, and error bars represent  $\pm 1$  standard deviation of the  $SWF$  for each 0.03 bin of surface albedo. All forcing in  $W \text{ m}^{-2}$



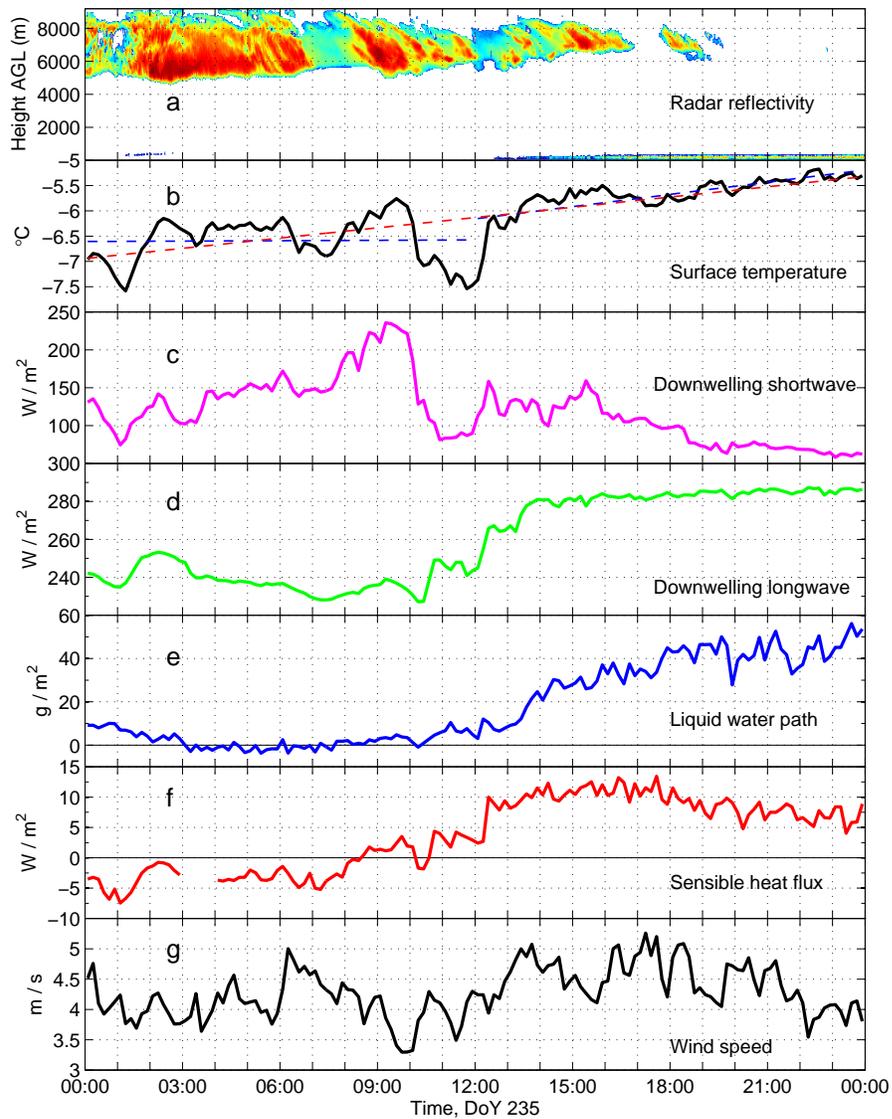
**Fig. 10** a) 1-hr  $SWF$  ( $W \text{ m}^{-2}$ ) dependence on the linear relationship between SZA ( $\theta$ ) and surface albedo ( $\alpha_s$ ) and b) non-dimensional shortwave attenuation as a function of cloud LWP ( $g \text{ m}^{-2}$ ). Shortwave attenuation is the difference between observed and clear-sky downwelling shortwave radiation normalized by the top of atmosphere downwelling shortwave radiation, providing a measure of cloud reflectivity of shortwave radiation



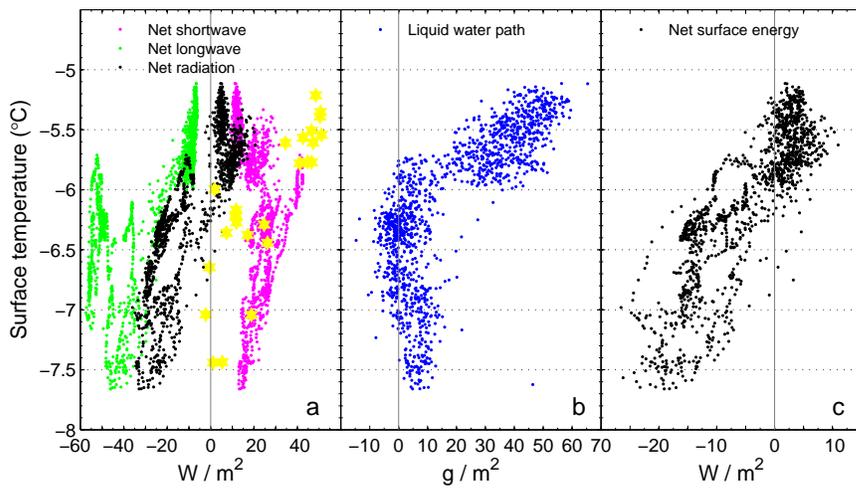
**Fig. 11** 1-hr  $LWF$  ( $W m^{-2}$ ) as a function of cloud LWP ( $g m^{-2}$ ), separated by cloud base temperature ranges from  $< -10^{\circ}C$  to  $> 0^{\circ}C$ . The solid vertical line represents the zero LWP line; LWP  $< 0 g m^{-2}$  are included due to the  $25 W m^{-2}$  uncertainty in the measurement. The dashed vertical line marks a LWP of  $50 g m^{-2}$  (see text)



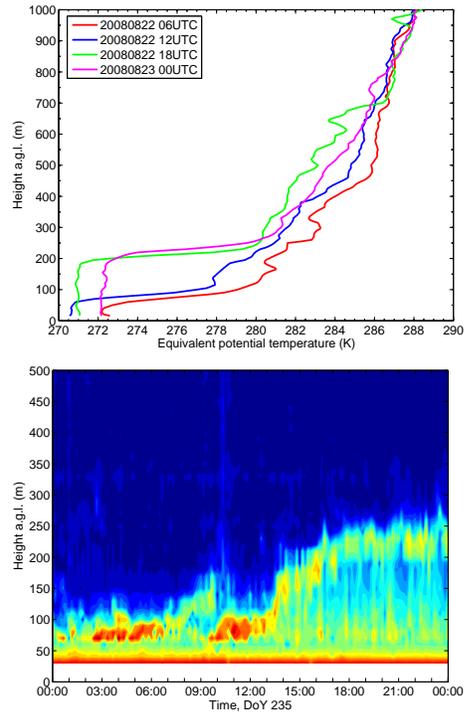
**Fig. 12** Mean components of the energy budget terms for a) the snow surface and for the b) sea ice in  $W\ m^{-2}$ , calculated over the four main regimes identified in Section 4. Positive flux represents a warming and negative flux a cooling, except for sensible and latent heat fluxes, which are defined traditionally where positive is cooling. The snow surface budget includes conduction but excludes ocean heat flux and transmission of shortwave radiation. The ice budget includes ocean heat flux and transmission of solar radiation but excludes conduction. Black variability bars represent  $\pm 1$  standard deviation of the mean fluxes for each respective regime



**Fig. 13** Time traces of a) MMCR reflectivity (dBZ contours), b) surface temperature ( $^{\circ}\text{C}$ , black) and linear-fit temperature trends for 12-hour (dashed blue) and 24-hour intervals (dashed red), downwelling c) shortwave and d) longwave radiation ( $\text{W m}^{-2}$ ), e) MWR retrieved liquid water path ( $\text{g m}^{-2}$ ), f) sensible heat flux ( $\text{W m}^{-2}$ ) and g) 30 m wind speed ( $\text{m s}^{-1}$ ) during DoY 235. Note that the cloud radar reflectivity scale is not the same as in Fig. 3; the reddest reflectivity contour here corresponds to -1 dBZ



**Fig. 14** 1-min averaged surface temperature ( $^{\circ}\text{C}$ ) as a function of a) net radiative fluxes ( $\text{W m}^{-2}$ ), b) LWP ( $\text{g m}^{-2}$ ) and c) total surface energy residual ( $\text{W m}^{-2}$ ) during DoY 235. Yellow hexagons in a) represent the 1-hr *CRF* estimates and associated surface temperature



**Fig. 15** Equivalent potential temperature profiles (K, top panel) during 22 August 2008 (DoY 235) from 6-hr radiosonde releases: 06 UTC (red line), 12 UTC (blue line), 18 UTC (green line) and 00 UTC 23 August 2008 (magenta line). Lower panel: Time trace of acoustic backscatter from the sodar (dB, arbitrary units; higher power is brighter colors)