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# Global cloud condensation nuclei influenced by carbonaceous combustion aerosol

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**Abstract.** Black carbon in carbonaceous combustion aerosol warms the climate by absorbing solar radiation, meaning reductions in black carbon emissions are often perceived as an attractive global warming mitigation option. However, carbonaceous combustion aerosol can also act as cloud condensation nuclei (CCN) so they also cool the climate by increasing cloud albedo. The net radiative effect of carbonaceous combustion aerosol is uncertain because their contribution to CCN has not been evaluated on the global scale. By combining extensive observations of CCN concentrations with the GLOMAP global aerosol model, we find that the model is biased low (normalised mean bias =  $-77\%$ ) unless carbonaceous combustion aerosol act as CCN. We show that carbonaceous combustion aerosol accounts for more than half (52–64%) of global CCN with the range due to uncertainty in the emitted size distribution of carbonaceous combustion particles. The model predicts that wildfire and pollution (fossil fuel and biofuel) carbonaceous combustion aerosol causes a global mean cloud albedo aerosol indirect effect of  $-0.34\text{ W m}^{-2}$ , with stronger cooling if we assume smaller particle emission size. We calculate that carbonaceous combustion aerosol from pollution sources cause a global mean aerosol indirect effect of  $-0.23\text{ W m}^{-2}$ . The small size of carbonaceous combustion particles from fossil fuel sources means that whilst pollution sources account for only one-third of the emitted mass they cause two-thirds of the cloud albedo aerosol indirect effect that is due to carbonaceous combustion aerosol. This cooling effect must be accounted for, along with other cloud effects not studied here, to ensure that black carbon emissions controls that reduce the high number concentrations of fossil fuel particles have the desired net effect on climate.

## 1 Introduction

Carbonaceous combustion aerosol are particles that are emitted into the atmosphere during fossil fuel combustion, biofuel combustion and open biomass burning. Previous assessments have concluded that the black carbon (BC) in carbonaceous combustion aerosol warms the climate (Jacobson, 2001; Chung and Seinfeld, 2002; Chung et al., 2005; Sato et al., 2003; Hansen et al., 2007, Forster et al., 2007; Ramanathan and Carmichael, 2009), making reductions in BC emissions an attractive global warming mitigation option (Jacobson, 2002; Bond and Sun, 2005; Bond, 2007; Grieshop et al., 2009; Arneth et al., 2009; Rypdal et al., 2009; Quinn et al., 2008). BC is a strong absorber of solar and infrared radiation and has been estimated to cause a globally averaged positive direct radiative forcing of  $0.25\text{--}0.44\text{ W m}^{-2}$  in model studies (Schulz et al., 2006) and up to  $0.9\text{ W m}^{-2}$  when constrained by observations (Chung et al., 2005; Ramanathan and Carmichael, 2009), up to half of the forcing due to  $\text{CO}_2$ . BC also causes warming by reducing snow albedo, estimated as  $0.1 \pm 0.1\text{ W m}^{-2}$  (Forster et al., 2007). It has been suggested that reductions in BC emissions could slow global warming and its complete elimination would reduce global average surface temperatures by  $0.5\text{--}1.0^\circ\text{C}$  (Ramanathan and Carmichael, 2009).

However, carbonaceous combustion aerosol also contains particulate organic matter (POM), which can have a cooling effect on climate because it scatters solar radiation (Schulz et al., 2006) and can enable carbonaceous combustion aerosol to act as cloud condensation nuclei (CCN) and form cloud drops, increasing cloud albedo (Chuang et al., 2002; Lohmann et al., 2000) – the so-called first aerosol indirect effect (AIE). Some studies have estimated a global cloud albedo forcing of  $-0.5\text{ W m}^{-2}$  and predict net positive forcing (Hansen et al., 2005, 2007), while others suggest a large cloud albedo forcing of between  $-0.9\text{ W m}^{-2}$  (Lohmann et al., 2000) and  $-1.68\text{ W m}^{-2}$  (Chuang et al., 2002) potentially



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sufficient to produce a net negative forcing due to carbonaceous combustion aerosol.

Additionally, carbonaceous combustion aerosol affect clouds through a range of mechanisms including semi-direct effects (Hansen et al., 1997), the cloud absorption effect (Jacobson 2002, 2010) and potentially through acting as ice nuclei (e.g., DeMott et al., 1999). The semi-direct effect is used to describe the range of cloud responses that occur due to changes in the temperature structure of the atmosphere driven by absorbing aerosols. Koch and Del Genio (2010) reviewed model studies of the semi-direct effect and showed that the net-negative semi-direct effect due to BC may be similar in magnitude but opposite in sign to the positive direct effect of BC. The climate response due to BC also critically depends on the vertical profile of the aerosol, with BC resulting in less warming when present at higher altitudes (e.g., Ban-Weiss et al., 2011). Jacobson (2002, 2004, 2006, 2010) account for a diverse range of aerosol-climate interactions including the aerosol direct effect, indirect effect, semi-direct effects and cloud absorption effects, and calculate a net-warming due to carbonaceous combustion aerosol.

Remote sensing studies have also been used to explore the relationship between cloud (cloud fraction and cloud optical depth) and aerosol (aerosol optical depth) properties (Koren et al., 2004; Kaufman and Koren, 2006; Ten Hoeve et al., 2011). For the Amazonian biomass burning season Tan Hoeve et al. (2011) showed that at lower values of AOD ( $<0.3$ ) cloud optical depth and AOD were positively correlated whereas at higher values of AOD they were negatively correlated. The authors postulated that positive correlations at low AOD may be due to the first aerosol indirect effect whereas negative correlations at high AOD may be due to inhibition of cloud development by absorbing aerosols or potentially due to satellite retrieval artifacts.

Poor understanding of the complex interactions between carbonaceous combustion aerosol and clouds has forced many previous studies to account only for atmospheric BC heating when assessing the global warming potential of carbonaceous combustion aerosol (Bond and Sun, 2005; Grieshop et al., 2009). However, it is not possible to quantify the global warming potential or to understand the climate impact of particulate control strategies aimed at BC without understanding the net effect of the particles on clouds.

The cloud condensation nuclei (CCN) number concentration is the fundamental quantity determining the impact of aerosol particles on cloud drop number concentrations (CDNC) and cloud albedo. CCN are hygroscopic particles large enough (typically  $>35$ – $50$  nm dry diameter) to form cloud drops (Andreae and Rosenfeld, 2008). Carbonaceous combustion aerosol are known to act as CCN (Andreae et al., 2004), can account for more than half of the CCN mass in polluted regions (Hitzemberger et al., 1999) and model simulations suggest a 40–90 % contribution to the global CCN number concentration (Pierce et al., 2007) and a potentially important effect on clouds (Chen et al., 2010; Bauer et al.,

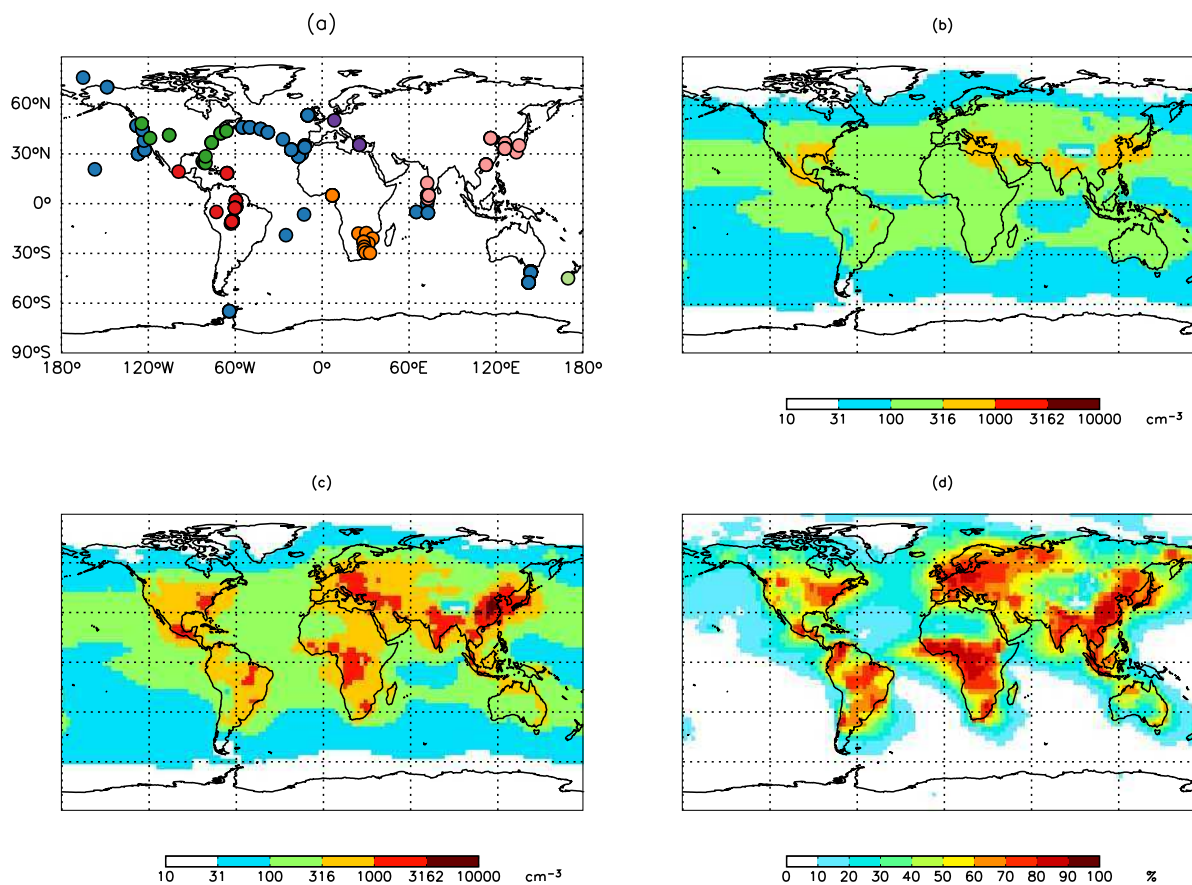
2010; Jacobson et al., 2010). However, it has not been possible to evaluate these predictions against observed CCN concentrations.

In this paper we investigate the impact of carbonaceous combustion aerosol on global cloud albedo. To do this we synthesise CCN observations made worldwide over the last few decades. We use a global aerosol microphysics model to make the first estimate of the contribution of carbonaceous combustion aerosol to global CCN that has been evaluated against observations. We use the global model to calculate the first aerosol indirect effect due to carbonaceous combustion aerosol. We do not calculate the cloud lifetime (second indirect) effect or semi-direct effects and so we are unable to estimate the full climate impact of carbonaceous combustion aerosol. The rest of the paper is structured as follows: Sect. 2 describes the CCN observations, Sect. 3 describes the aerosol model, Sect. 4 presents results and Sect. 5 conclusions.

## 2 Synthesis of observed cloud condensation nuclei concentrations

We synthesised observations of CCN concentrations made in 55 separate studies published in the peer-reviewed literature (Table 1). Each observation is the time-weighted mean CCN recorded at that location and represents a sampling period of days to weeks. We synthesised a total of 277 separate observations made at 80 locations around the world (Fig. 1a) by independent research groups using a variety of instruments and at a range of water vapour supersaturations ( $S = 0.02$  %– $1.5$  %). For measurements made above the surface we only included those made at 0–2 km altitude.

Our database includes measurements made from 1971 to 2009. The distribution of measurements is as follows: 1970–1979: 10 %; 1980–1989: 20 %; 1990–1999: 38 %; 2000–2009: 31 %, so approximately two-thirds of the observations were made since 1990. Most of the measurements have been made as part of field campaigns and report CCN concentrations over a sampling period of days to weeks. There is very little long-term CCN data available. Out of the 277 CCN observations, 150 are for sampling periods of less than 10 days, and 127 are for periods of between 10 and 31 days. The observations span CCN concentrations from less than  $10\text{ cm}^{-3}$  to greater than  $10\,000\text{ cm}^{-3}$ : 98 observations report average CCN concentrations greater than  $500\text{ cm}^{-3}$ , and 179 are for CCN concentrations less than or equal to  $500\text{ cm}^{-3}$ . Observations that represent longer sampling periods are equally distributed across the range of CCN concentrations reported: of the 98 observations that report CCN over  $500\text{ cm}^{-3}$ , 36 represent more than 10 days of data. Restricting our analysis to only those observations made after 1995 or to only those observations that represent more than 10 days of data does not greatly impact our results or change our conclusions (see Table 4). Nevertheless, additional long-term observations of



**Fig. 1.** (a) Locations of the cloud condensation nuclei (CCN) observations compiled in this analysis (colour scale matches Fig. 2a and b) and (b–d) simulated annual mean surface concentration of CCN. Model simulations are (b) without carbonaceous combustion aerosol acting as CCN and (c) with carbonaceous combustion aerosol acting as CCN. (d) Percentage contribution of carbonaceous combustion aerosol to CCN. CCN concentrations are calculated at 0.2 % supersaturation.

CCN are critically needed to better constrain the contribution of carbonaceous combustion aerosol to CCN.

The relative uncertainties of the observational data vary in the range of about 5–40 % depending on CCN concentration, supersaturation and the type of CCN instrument used (McMurry, 2000; Roberts et al., 2001, 2002, 2006; Frank et al., 2007; Rose et al., 2008). Mostly, the uncertainties were of the order of 10–20 %, which is consistent with the average deviations between calculations and measurements in CCN closure studies (e.g., Broekhuizen et al., 2006; Rose et al., 2010; Wang et al., 2008; Bugiatioti et al., 2009; Chang et al., 2010; Gunthe et al., 2009; Lance et al., 2009; Shantz et al., 2010; Shinozuka et al., 2009). We assume a relative uncertainty of  $\pm 40\%$  and a minimum absolute uncertainty of  $\pm 20\text{ cm}^{-3}$ . In any case, the uncertainties of the observational data are smaller than the large effect of carbonaceous combustion aerosol on simulated CCN concentrations.

Our synthesis substantially extends a previous compilation by Andreae (2009) who reported CCN concentrations only for  $S = 0.4\%$  (or interpolated CCN observed at other supersaturations to 0.4 %). While our synthesis is focused on direct measurements of CCN concentrations, Andreae (2009) included values that were not directly observed but derived from size distribution measurements.

### 3 Model description

#### 3.1 GLOMAP aerosol microphysics model

We analysed the CCN observations using the GLOMAP global aerosol microphysics model (Spracklen et al., 2005, 2008a), which is an extension of the TOMCAT 3-D global chemical transport model (Chipperfield, 2006). We simulated sulfate (SU), sea salt (SeaS), BC and POM for the year 2000. Large-scale transport and meteorology is specified from 6-hourly European Centre for Medium-Range Weather Forecasts (ECMWF) analyses. We used a horizontal

**Table 1.** CCN observations (supersaturation, S) from the literature that are used in this analysis.

Location	Latitude/Longitude	Time	S (%)	CCN Instrument <sup>1</sup>	Platform <sup>2</sup> ; Field Campaign	Reference
Japan, SW Islands	132–136° E, 30–32° N	16–28 Apr 2001	0.3	TGDCC	A; APEX-E2/ACE-Asia	Adhikari et al. (2005)
South Atlantic	40–10° W, 19° S	Feb–Mar 1991	0.3	TGDCC	Sh	Andreae et al. (1995)
Cape Grim, Tasmania	144.7° E, 40.7° S	Long term	0.23–1.3		Su	Ayers and Gras (1991); Ayers et al. (1997)
Mexico City	99.1° W, 19.3° N	13–29 Sep 2000	0.5	STGDCC	Su	Baumgardner et al. (2004)
Indian Ocean	60–75° E, 15° S–15° N	Feb–Mar 1998	0.5; 0.75	CCNR	Sh; INDOEX;	Cantrell et al. (2000)
Coastal Florida	83–81° W, 24–27° N	Jun–Jul 2002	0.85	CCNS	A; CRYSTAL FACE	Conant et al. (2004); VanReken et al. (2003)
Tanus Observatory, Germany	8.4° E, 50.2° N	20 Jul–11 Aug 2004	0.4	SDC	Su	Dusek et al. (2006)
N. Atlantic	75° W–37.5° W, 37–43° N	May 1977	0.16–0.85	TGDCC (0.2–1%), IHC (< 0.2%)	Sh	Hoppel (1979)
N. Atlantic, Tenerife	17.7–10.5° W, 28–32° N	Jun–Jul 1997	0.1	CCNS	A, ACE-2	Chuang et al. (2000)
Korea Global Atmospheric Watch	126.3° W, 36.5° N	1–22 May 2004	1.0	DRI	Su	Yum et al. (2005)
Arctic, 500 km N of Alaskan coast	165° W, 76° N	May 1998	0.8	DRI	A; Arctic Clouds Experiment	Yum and Hudson (2001)
Southern Africa	22–36.5° E, 15–30.5° S	Aug–Sep 2000; Jan 1999; Mar–Apr 2001	0.3	Wyoming STGDCC	SAFARI-2000; ARREX-1999; ARREX-2001	Ross et al. (2003)
Off west coast of USA	130–124° W, 38–44° N	Apr 2004	0.2–1.0	Wyoming STGDCC/DMT-CCNC	Sh, CIFEX	Roberts et al. (2006)
Mace Head, Ireland	9.9° W, 53.3° N	Mar 1994–Sep 1002	0.5	M-1	Su	Reade et al. (2006)
Pacific Ocean off coast of California, USA	125–120° W; 31–34° N	Jun–Jul 1987	0.02–1.0	DRI	A, FIRE-1	Hudson and Xie (1999); Hudson and Frisbie (1991b)
Atlantic Ocean between Canaries and Azores	25–17.5° W, 27.5–37.5° N	Jun 1992	0.02–0.6	DRI	A, ASTEX	Hudson and Xie (1999); Yum and Hudson (2002)
Balbina, Amazon basin	59.4° W, 1.92° S	Mar–Apr 1998	0.15–1.5	STGDCC	Su, LBA-CLAIRE	Roberts et al. (2001)
Indian Ocean	72–74° E, 8° S–0° S	Feb–Mar 1999	0.1–1.0	DRI	Sh, INDOEX	Hudson and Yum (2002)
Southern Ocean, off Cape Grim, Tasmania	144° E, 43–44° N	Jan–Feb 1995; Jul 1993	0.02–1.0	DRI	SOCEX-I and SOCEX-II	Yum and Hudson (2004)
Pacific Ocean, near Hawaii	156.9° W, 20.7° N	Jul–Aug 1990	0.8	DRI	HaRP	Hudson (1993)
Pacific Ocean, off the coast of Washington State, USA	128° W, 47° N	Dec 1988, Jun 1989, Apr 1990	1.0	CCNS	A	Hegg et al. (1991)
Reno, USA	119.8° W, 39.5° N	Dec 1988–May 1990	0.75	DRI	Su	Hudson and Frisbie (1991a)
North Atlantic	11–13° W, 32–38° N	Jul 1997	0.2–1.0	CCN spectrometer	A; ACE-2	Johnson et al. (2000)
Puerto Rico	65.6° W, 18.4° N	9–18 Dec 2004	0.5; 0.6	Mainz SDC	Su	Allan et al. (2008)

resolution of  $\sim 2.8^\circ$  by  $\sim 2.8^\circ$  and 31 vertical levels between the surface and 10 hPa. The version of GLOMAP used here has been used in numerous previous studies where it has been extensively evaluated against observations (Korhonen et al., 2008a, b; Merikanto et al., 2009, 2010; Meztger et al., 2010; Spracklen et al., 2008a, b, 2010).

GLOMAP simulates the sizes, number concentrations and composition of aerosols treating the particle size distribution using a two-moment sectional (bin) scheme. In our study carbonaceous combustion aerosol is defined as internally mixed particles originating from combustion sources (fossil and biofuel combustion, biomass burning) consisting of BC and POM. We used two externally mixed distri-

butions, each described with 20 sections spanning 3 nm to 10  $\mu\text{m}$  dry diameter. One distribution, representing freshly emitted carbonaceous combustion aerosol, contains POM and BC, is treated as initially non-hydrophilic and is not wet scavenged. The other distribution contains SU, SeaS, BC and POM, is treated as hydrophilic and is wet scavenged. We assumed that only particles in the hydrophilic distribution can act as CCN. Microphysical processes cause particles in the non-hydrophilic distribution to move into the hydrophilic distribution. Concentrations of CCN were calculated from the composition-resolved particle size distribution and corresponding hygroscopicity parameters as described in Sect. 3.4. The microphysical processes in the model include

Table 1. Continued.

Location	Latitude/Longitude	Time	S (%)	CCN Instrument <sup>1</sup>	Platform <sup>2</sup> ; Field Campaign	Reference
Puerto Rico	65.7° W, 18.3° N	29 Mar–9 Apr 1992	0.5	M-1	Su	Novakov and Penner (1993)
Rondonia, Amazon Basin	61.9° W, 10.9° S	Jan–Mar 1999, Oct–Nov 1999	1.0	M-1	LBA-EUSTACH	Williams et al. (2002)
Amazon Basin	73° W, –5° N & 63° W, 12° S	Oct 2002	1.0	STGDCC	LBA-SMOCC	Andreae et al. (2004)
Atlantic Ocean	11–14° W, 33–40° N	Jul 1997	0.2	CCNS	A; ACE-2	Osborne et al. (2000)
Atlantic Ocean	11–13° W, 30–38° N	Jul 1997	0.2–1.0	CCN Spectrometer	A; ACE-2	Wood et al. (2000)
NW Korea	126.2° W, 33.3° N	Mar–Apr 2005	0.6	DMT-CCNC	Su	Yum et al. (2007)
Nagoya, Japan	135.9° E, 35.1° N	Dec 1998–Jan 1999	0.5	CCNC	Su	Ishizaka and Adhikari (2003)
Palmer station, Antarctica	64.1° W, 64.8° S	Jan–Feb 1994	1.0; 0.3	CCNS	Su	Defelice et al. (1997)
Finokalia, Greece	25.7° E, 35.5° N	Jun–Oct 2007	0.21–0.73	DMT-CCNC	Su	Bougiatioti et al. (2009)
East coast of Florida, USA	80.5° W, 28.5° N	Jul–Aug 1995	1.0	DRI	A; SCMS	Hudson and Yum (2001)
Jeju Island, Korea	126.1° E, 33.2° N	Mar–Apr 2005	0.09–0.97	DMT-CCNC	Su, Atmospheric Brown Cloud	Kuwata et al. (2008)
Kaashidhoo Climate Observatory	73.47° E, 4.97° N	Feb–Mar 1999	0.3, 0.5	CCNR	Su, INDOEX;	Cantrell et al. (2001)
Laramie, Wyoming, USA	105.6° W, 41.3° N	Jun–Sep 1996; Nov 1995; Jan 1997	1.0	STGDCC	A	Delene and Deshler (2001)
Lauder, New Zealand	169.7° E, 45.0° S	Feb 1996	1.0	STGDCC	A	Delene and Deshler (2001)
Ivory Coast, Africa	7° E, 5° N	Dry & wet season	0.32–0.85	TGDCC	Su	Désalmand (1985)
Tenerife, Atlantic	16.3° W, 28.5° N	Jun–Jul 1997	1.0	Wyoming STGDCC	A; ACE-2	Snider and Brenguier (2000)
Arctic, Near Prudhoe Bay, Alaska	70.3° N, 148.3° W	Jun 1995	1.0	CFDCC	A	Hegg et al. (1996)
NW Atlantic, off Nova Scotia	43.8° N, 66.1° W	Aug–Sep 1993	0.06; 0.4	M1 (0.4 %); IHC(0.06 %)	A, NARE	Liu et al. (1996)
El Yunque peak, Puerto Rico	65.75° W, 18.32° N	Mar–Apr 1992	0.5	M1	Su	Rivera-Carpio et al. (1996)
Point Reyes, California coast, USA	122.98° W, 38° N	Oct 1993, Jun–Jul 1994	0.5	M1	Su	Rivera-Carpio et al. (1996)
Amazon basin	59.5° W, 1.9° S	Jul 2001	0.12–1.2	STGDCC	Su	Rissler et al. (2004)
Oregon Coast, USA	124.1° W, 44.6° N	Jan and Jul 1971	0.1–1.0	TGDCC	Sh	Elliot and Egami (1975)
Southern Ocean, near Tasmania	137°–160° E, 40°–55° S	Nov–Dec 1995	0.02–1.0	DRI	A, ACE-1	Hudson et al. (1998)
Arctic Ocean, near Deadhorse, Alaska	148.5° W, 70.2° N	Apr 1992	1.0	CCNS	A, DEADEX	Hegg et al. (1995)
Amazon Basin, near Manaus, Brazil	60.21° W, 2.59° S	Feb–Mar 2008	0.1–0.82	DMT-CCNC	Su; AMAZE	Gunthe et al. (2009)
Fallon, Nevada, USA	118.78° W, 39.47° N	Aug–Oct 1975	0.91	CFDCC	Su	Hudson and Squires (1978)

nucleation, coagulation, condensation of gas-phase species, in-cloud and below-cloud aerosol scavenging and deposition, dry deposition and cloud processing.

Concentrations of the oxidants OH, O<sub>3</sub>, H<sub>2</sub>O<sub>2</sub>, NO<sub>3</sub> and HO<sub>2</sub> were specified using 6-hourly monthly mean 3-D concentrations from a TOMCAT simulation with detailed tropospheric chemistry (Arnold et al., 2005). Concentrations of H<sub>2</sub>O<sub>2</sub> are depleted through the aqueous phase reaction with SO<sub>2</sub> and replenished through a reaction between HO<sub>2</sub> and HO<sub>2</sub> as described in Spracklen et al. (2005).

Oceanic DMS emissions were calculated using the ocean surface DMS concentration database of Kettle and An-

dreae (2000) and the sea-to-air transfer velocity according to Nightingale et al. (2000). Emissions of biogenic terpenes are from the Global Emissions Inventory Activity (GEIA) inventory and are based on Guenther et al. (1995). Emissions of sea salt were calculated using the scheme of Gong (2003). Emissions of SO<sub>2</sub> and carbonaceous combustion aerosol from wildfires, biofuel, fossil fuel and volcanoes are based on the Aerosol Intercomparison Project (AeroCom) emission inventories for the year 2000 (Dentener et al., 2006). This inventory bases carbonaceous combustion aerosol emissions from wildfire on the Global Fire Emission Database (GFED) inventory (van der Werf et al., 2004)

**Table 1.** Continued.

Location	Latitude/Longitude	Time	S (%)	CCN Instrument <sup>1</sup>	Platform <sup>2</sup> ; Field Campaign	Reference
Cheeka Peak, Washington State, USA	124.62° W, 48.3° N	Apr 1991	0.3	STGDCC	Su	Quinn et al. (1993)
NE Atlantic Ocean	27.1° W, 38.8° N	Jun 1992	1.0	CCNS	A	Hegg et al. (1993)
Fazenda Nossa, Amazon basin	62.35° W, 10.8° S	Oct to Nov 2002	0.2–1.12	TGDCC	Su, LBA-SMOCC	Vestin et al. (2007)
Guangzhou, China	113.1° E, 23.54° N	1–30 Jul 2006	0.07–1.27	DMT-CCNC	Su	Rose et al. (2010)

<sup>1</sup> DRI: Desert Research Institute (DRI) airborne instantaneous CCN spectrometer (Hudson, 1989); CCN spectrometer (Hoppel et al., 1979; Saxena and Kassner, 1970; Fukuta and Saxena, 1979a, b; Radke et al., 1981); DMT-CCNC: Droplet measurement technologies (DMT) stream-wise thermal-gradient CCN counter (Roberts and Nenes, 2005; Lance et al., 2006; Rose et al., 2008); CCNR: CCN Remover (Ji et al., 1998); TGDCC: Thermal-gradient diffusion cloud chamber (Désalmand, 1985); STGDCC: Static thermal-gradient diffusion cloud chamber (Delene et al., 1998; Delene and Deshler, 2000); IHC: Isothermal Haze Counter (Fitzgerald et al., 1981); M-1: DH Associates, parallel-plate diffusion cloud chamber (Phillipin and Betterton, 1997); SDC: Static parallel-plate thermal-gradient diffusion cloud chamber (Frank et al., 2007); CFDC: Continuous-flow diffusion cloud chamber (Hudson and Squires, 1976; Hudson and Alofs, 1981); CCNC: CCN counter (Model 130, Mee) (Ishizaka et al., 2003).

<sup>2</sup> Su: surface; Sh: ship; A: above the surface (aircraft or balloon).

**Table 2.** Annual global emission flux of black carbon (BC) and particulate organic matter (POM) for wildfire, biofuel and fossil fuel emissions. The numbers in parentheses indicate the percentage of total emission.

Source	Flux (Tg a <sup>-1</sup> )		
	BC	POM	BC + POM
Wildfire	3.0 (39.5 %)	34.7 (73.8 %)	37.7 (69.0 %)
Biofuel	1.6 (21.0 %)	9.1 (19.4 %)	10.7 (19.6 %)
Fossil fuel	3.0 (39.5 %)	3.2 (6.8 %)	6.2 (11.3 %)
TOTAL	7.6	47	54.6

and carbonaceous combustion aerosol emissions from fossil fuel and biofuel burning on the Speciated Particulate Emissions Wizard (SPEW) inventory (Bond et al., 2004). Table 2 details the emission fluxes for carbonaceous combustion aerosol sources from this emission inventory.

Secondary organic aerosol (SOA) from biogenic terpenes is included assuming the reactivity of alpha-pinene including reactions with OH, O<sub>3</sub> and NO<sub>3</sub> (Spracklen et al., 2006). We assumed all three reactions have a constant molar yield of 13 % to a first-stage oxidation product that condenses with zero vapour pressure onto existing aerosol (Spracklen et al., 2006, 2008a). We added SOA to the hydrophilic aerosol distribution. All experiments included SOA.

The model has been extensively evaluated against observations in previous work. GLOMAP reproduces observed total particle number (Spracklen et al., 2010) and particle size distribution in both continental (Spracklen et al., 2008a) and marine regions (Spracklen et al., 2007). We have previously shown that GLOMAP reproduces realistic CCN concentrations at a limited number of locations (Spracklen et al., 2008a; Korhonen et al., 2008a). In this work we evaluate GLOMAP against the extensive global CCN dataset described in Sect. 2.

### 3.2 Model experiments

Table 3 details the 9 model simulations that we used in this analysis. The first set of simulations (simulations 1–7) was used to evaluate the contribution of carbonaceous combustion aerosol to present day CCN. In all these simulations we included emissions of carbonaceous combustion aerosol. In simulations 1 and 2 we changed model assumptions that determine whether carbonaceous combustion aerosol can act as CCN. In simulations 3–7 we evaluated the sensitivity of the results to primary particle emissions, rates of new particle formation, and the rate of ageing of the carbonaceous combustion aerosol. In a second set of simulations (simulations 8 and 9) we removed carbonaceous combustion aerosol emissions (in simulation 8 we removed all carbonaceous combustion aerosol emissions and in simulation 9 we removed only pollution (fossil fuel and biofuel) carbonaceous combustion aerosol emissions). We used simulations 8 and 9 along with the simulation including carbonaceous combustion aerosol (simulation 2) to calculate the aerosol radiative effect that would occur due to reductions in carbonaceous combustion aerosol emissions.

In all simulations non-hydrophilic particles can coagulate with hydrophilic particles and thereby add mass to the hydrophilic (CCN-active) particle distribution. In no\_age (simulation 1) this is the only process that moves non-hydrophilic carbonaceous combustion aerosol into the hydrophilic distribution, but does not affect the number concentration of hydrophilic particles. This process is relatively slow, resulting in a carbonaceous combustion aerosol lifetime in the non-hydrophilic distribution of 3.3 days and 75 % of the carbonaceous combustion aerosol mass exists in the non-hydrophilic distribution. In all the other simulations the non-hydrophilic particles are also assumed to age chemically through condensation of soluble gas-phase species. We assume that particles move from the non-hydrophilic to hydrophilic distribution when they have been coated with one monolayer of water-soluble condensed material (in our model, sulfuric acid and secondary organic aerosol material). This process rapidly

**Table 3.** Details of GLOMAP model simulations.

#	Experiment name	Carbonaceous combustion aerosol (CCA) emissions			# monolayers <sup>2</sup>	Particle formation <sup>3</sup>	Primary emissions scheme	
		Fossil Fuel/Biofuel	Wildfire	Condensation ageing <sup>1</sup>			CCA <sup>4</sup>	Sulf. <sup>5</sup>
1	no_age	Yes	Yes	No	N/A	BHN	S	A
2	CCA	Yes	Yes	Yes	1	BHN	S	A
3	bln1	Yes	Yes	Yes	1	BHN + BLN ( $A = 2 \times 10^{-6} \text{ s}^{-1}$ )	S	A
4	bln2	Yes	Yes	Yes	1	BHN + BLN ( $A = 2 \times 10^{-5} \text{ s}^{-1}$ )	S	A
5	small_CCA	Yes	Yes	Yes	1	BHN	A	A
6	small_sul	Yes	Yes	Yes	1	BHN	S	S
7	slow_age	Yes	Yes	Yes	5	BHN	S	A
8	no_CCA	No	No	Yes	1	BHN	N/A	A
9	no_ff_bf_CCA	No	Yes	Yes	1	BHN	S	A

<sup>1</sup> Whether carbonaceous combustion aerosol ages through condensation of soluble gas-phase species from the hydrophobic to hydrophilic distributions. Carbonaceous combustion aerosol in the hydrophilic distribution can act as CCN.

<sup>2</sup> Number of monolayers of condensable gas-phase species required to age carbonaceous combustion aerosol.

<sup>3</sup> Binary homogeneous nucleation of H<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>O (BHN), Boundary layer nucleation (BLN). For BLN the nucleation rate constant ( $A$ ) is given.

<sup>4</sup> Assumed size distribution of carbonaceous combustion aerosol emissions: S: Stier et al. (2005): Fossil fuel emissions number mean radius ( $r$ ) = 30 nm,  $\sigma$  = 1.8; wildfire and biofuel emissions:  $r$  = 75 nm,  $\sigma$  = 1.59. A: AeroCom: Fossil fuel emissions:  $r$  = 15 nm,  $\sigma$  = 1.8; wildfire and biofuel emissions:  $r$  = 40 nm,  $\sigma$  = 1.8.

<sup>5</sup> Assumed size distribution of primary sulfate emissions: A: road transport:  $r$  = 15 nm,  $\sigma$  = 1.8; shipping, industry and power-plant emissions:  $r$  = 500 nm,  $\sigma$  = 1.8; volcanic emissions: 50 % at  $r$  = 15 nm and 50 % at  $r$  = 40 nm,  $\sigma$  = 1.8. S: Adams and Seinfeld (2002): 15 % at  $r$  = 5 nm,  $\sigma$  = 1.6; 85 % at  $r$  = 35 nm,  $\sigma$  = 2.0.

moves carbonaceous combustion aerosol to the hydrophilic distribution and results in a lifetime in the non-hydrophilic distribution of 0.1 days. In these simulation 93 % of the carbonaceous combustion aerosol mass is found in the hydrophilic distribution where it can act as CCN. The model is relatively insensitive to our assumption of the amount of condensable material that is required to age carbonaceous combustion aerosol. Increasing the amount from 1 to 5 monolayers (simulation 7, slow\_age) increases the lifetime with respect to ageing to 0.3 days and reduces the amount of carbonaceous combustion aerosol in the hydrophilic distribution to 90 %.

We tested the sensitivity of simulated CCN number concentrations to uncertainty in new particle formation (nucleation). All model simulations include particle formation assuming binary homogeneous H<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>O nucleation using the parameterisation of Kulmala et al. (1998). In simulations 3 and 4 (bln1 and bln2) we included an additional boundary layer nucleation (BLN) mechanism where the formation rate of 1-nm clusters ( $J_1$ ) was proportional to the gas-phase sulfuric acid concentration ( $[\text{H}_2\text{SO}_4]$ ) to the power of one (Sihto et al., 2006; Kulmala et al., 2006):

$$J_1 = k[\text{H}_2\text{SO}_4].$$

Implementation of this mechanism in the model is described in detail in Spracklen et al. (2006, 2008a). We tested a range of nucleation rate coefficients ( $k$ ) from  $2 \times 10^{-6}$  (bln1) to  $2 \times 10^{-5} \text{ s}^{-1}$  (bln2).

In all simulations we assumed that 2.5 % of sulfur dioxide (SO<sub>2</sub>) emissions are emitted as primary sulfate particles (that

is sulfur that is emitted directly as a particle or undergoes gas-to-particle conversion very rapidly after emission, at spatial scales much smaller than the model grid).

Our standard primary particle emission scheme assumes the size distribution for carbonaceous combustion aerosol emissions as suggested by Stier et al. (2005) and the size distribution for primary sulfate suggested by AeroCom (Dentener et al., 2006). We explored the uncertainty in the size distribution of emission through a range of model experiments that spanned the likely uncertainty in primary particulate emissions (simulations 5–6). In the simulation small\_CCA we emitted carbonaceous combustion aerosol at the smaller particle sizes suggested by AeroCom. In the simulation small\_sul we emitted primary sulfate at the smaller sizes suggested by Adams and Seinfeld (2002). These sensitivity tests provide a reasonable estimate of the upper limit of the contribution to the number of CCN from the different primary sources. Details of the emission sizes used by these schemes are included in Table 3. In Spracklen et al. (2010) we tested these different schemes against total particle number concentrations observed at 36 surface sites. We showed that the upper limit for primary sulfate number concentrations (small\_sul) is unlikely as it results in overprediction of total particle number at many surface locations.

Table 4 records the atmospheric burden of BC and primary POM (i.e. not including contribution from SOA) calculated by GLOMAP for all the simulations. When carbonaceous combustion aerosol emissions are included and are assumed to age rapidly through condensation of soluble gases (simulations 2–6) the BC burden is 0.09 Tg (0.18 mg m<sup>-2</sup>) and



**Table 4.** Global mass budgets of black carbon (BC) and primary particulate organic matter (POM), mean CCN calculated at same locations and supersaturations ( $S$ ) as the CCN observations listed in Table 1, normalised mean bias (NMB) between model and observations, annual mean surface CCN ( $S = 0.2\%$ ) for oceanic and continental regions and annual global mean cloud droplet number concentration (CDNC, calculated for an updraft velocity of  $0.4 \text{ m s}^{-1}$ ) in low level clouds (800–950 hPa) for the model simulations described in Table 3.

Experiment name	Burden		Mean CCN at observation locations/cm <sup>-3</sup>	NMB/% <sup>a</sup>	Global mean CCN/cm <sup>-3</sup>		Global mean CDNC/cm <sup>-3</sup>
	BC/Tg	POM/Tg			Ocean	Land	
1 no_age	0.12	0.73	212	-77.2 (-80.0) [-78.8]	106.5	176.4	140.8
2 CCA	0.08	0.55	695	-25.2 (-27.0) [-46.5]	161.5	530.1	220.1
3 bln1	0.08	0.55	848	-8.75 (-13.9) [-33.3]	180.8	590.4	250.9
4 bln2	0.08	0.55	899	-3.44 (-8.84) [-27.9]	187.4	606.3	260.7
5 small_CCA	0.08	0.53	1275	+37.2 (+35.7) [-3.2]	212.5	719.9	318.2
6 small_sul	0.08	0.55	1056	+13.6 (+9.8) [-10.1]	197.0	649.1	266.5
7 slow_age	0.08	0.57	640	-31.3 (-32.6) [-49.4]	157.1	494.4	215.9
8 no_CCA	0.00	0.00	N/A	N/A	119.1	211.8	159.6
9 no_ff.bf.CCA	0.04	0.36	N/A	N/A	128.0	308.9	174.8

<sup>a</sup> NMB =  $100 \times \Sigma(S_i - O_i) / \Sigma O_i$  where  $S_i$  is simulated concentration and  $O_i$  is observed concentration. Values in round brackets are calculated when we limit our analysis to CCN observations made over the period 1995–2010. Values in square brackets are when we limit our analysis to CCN observations that represent a sampling period >10 days. We do not calculate this model-observation comparison for the two mitigation experiments (simulations 8 and 9).

the POM burden is 0.55 Tg ( $1.10 \text{ mg m}^{-2}$ ). These burdens lie within the range calculated by the AeroCom simulations: multi-model mean burden for BC is 0.125 Tg, range 0.08–0.19 Tg whilst for POM it is 0.66 Tg, range 0.44–0.92 Tg (Forster et al., 2007). When we did not allow carbonaceous combustion aerosol to age from the hydrophobic to the hydrophilic distribution through the condensation of soluble gas-phase species (simulation no\_age), the particles are protected from wet deposition, and the atmospheric burden is increased (BC burden of 0.12 Tg; POM burden of 0.73 Tg). When we removed all carbonaceous combustion aerosol emissions, the primary POM and BC burdens were zero. When we removed only pollution carbonaceous combustion aerosol emissions, the BC burden was 0.04 Tg and the POM burden was 0.36 Tg.

### 3.3 Evaluation against IMPROVE observations

We evaluated the ability of GLOMAP to simulate carbonaceous combustion aerosol using observed BC mass concentrations from the Interagency Monitoring of Protected Visual Environments (IMPROVE) network (Malm et al., 1994). We did not use organic aerosol observations from IMPROVE as they include contributions both from carbonaceous combustion emissions and from SOA which complicates any analysis. IMPROVE observations are from rural and background locations which were recently shown to be the most appropriate for testing the ability of global models, with relatively coarse spatial resolution, to simulate BC aerosol mass (Koch et al., 2009). We used observed monthly mean IMPROVE concentrations for the year 2000 which is the year simulated by the model. Simulation of BC by GLOMAP (NMB = -39%,  $r^2 = 0.49$ ) is within the range of model skill

(NMB = -71% to +670%) recorded by the recent AeroCom model intercomparison (Koch et al., 2009).

### 3.4 Calculation of CCN concentrations

We calculated CCN concentrations using the simulated aerosol size distribution and a hygroscopicity parameter ( $\kappa$ ) from Petters and Kreidenweis (2007).  $\kappa$  represents a quantitative measure of aerosol water uptake and CCN activity due to chemical composition of the aerosol particle. We assumed the following values of  $\kappa$  for the different aerosol components: sulfate (0.61), sea-salt (1.28), BC (0.0) and POM (0.227). Our model does not include dust or nitrate. However, we have previously shown that dust has relatively little impact on CCN, reducing CCN concentrations by up to 10% locally (Manktelow et al., 2010). The value of  $\kappa$  for organic material is uncertain, but is likely to lie within the range 0.009 to 0.4 (Petters and Kreidenweis, 2007). Changing the assumed value of  $\kappa$  for POM over this uncertainty range changed the mean CCN calculated at the observation locations by only 4%. Table 4 shows global mean surface concentrations of CCN calculated from the different model simulations.

For comparison with the observations we linearly interpolated the model to the horizontal location of the observations. All the CCN observations are in the boundary layer (BL) with the majority at the surface. We used CCN values from the model surface layer (TOMCAT uses hybrid  $\sigma$ -pressure coordinates). We calculated model CCN at the same supersaturation as the observations and compared the model for the same calendar month as the observations using simulations for the year 2000. Due to the relatively long-term nature of the observations (typically 5 days to weeks in length),

meteorological variability between the years of observation and the simulation year (2000) are not likely to be a significant problem in these comparisons.

## 4 Results

### 4.1 Comparison of observed and simulation CCN concentrations

To quantify the contribution of carbonaceous combustion aerosol to CCN we compared two simulations: both included carbonaceous combustion aerosol emissions but in one simulation carbonaceous combustion aerosol particles are able to act as CCN (CCA, simulation 1) whereas in the other they are not (no\_age, simulation 2). This approach allows us to quantify the fraction of CCN that are present in today's atmosphere due to the emission of carbonaceous combustion aerosol. Figure 1b and c show simulated surface concentrations of CCN under these two scenarios. Assuming that the carbonaceous combustion aerosol does not act as CCN, modelled CCN concentrations do not exceed  $\sim 1000 \text{ cm}^{-3}$  even in polluted regions. When carbonaceous combustion aerosol act as CCN, simulated CCN concentrations over polluted regions of Europe, United States and Asia are as great as  $\sim 10\,000 \text{ cm}^{-3}$ .

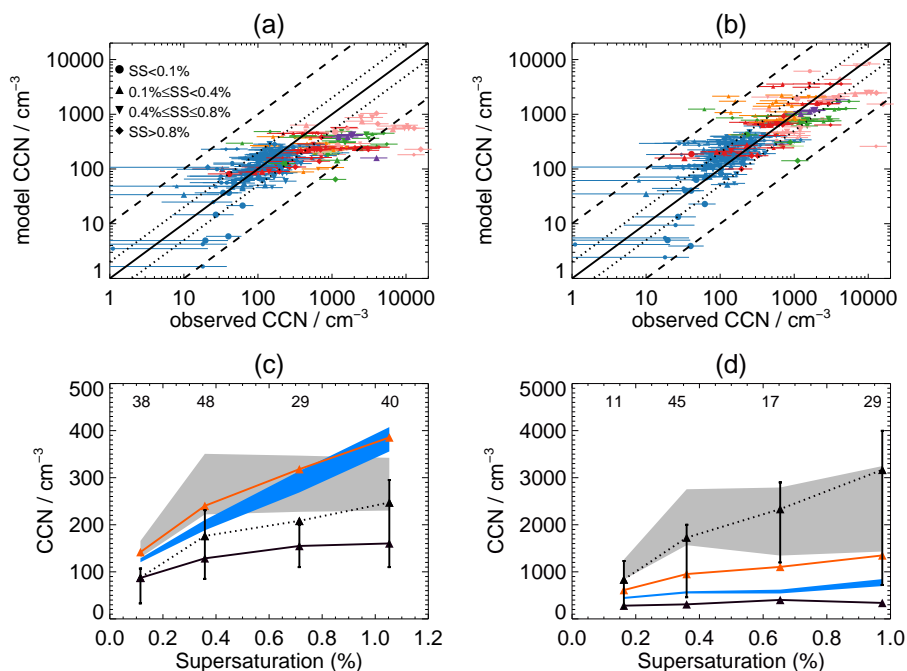
Figure 2 compares observed and simulated CCN concentrations and Table 4 reports the normalised mean bias (NMB) between model and observations. When carbonaceous combustion aerosol does not act as CCN the model is biased low (NMB =  $-77\%$ ). Allowing carbonaceous combustion aerosol to act as CCN results in a better agreement with observations (NMB =  $-25\%$ ). We used the Wilcoxon signed-rank test (an alternative to the paired t-test used when the population cannot be assumed to be normally distributed) to assess whether the means of the simulated and observed populations differ. The model simulation where carbonaceous combustion aerosol does not act as CCN is significantly different from the observations ( $P \ll 0.0001$ ). When carbonaceous combustion aerosol acts as CCN the difference between simulated and observed CCN is statistically insignificant ( $P = 0.38$ ).

Another metric of model performance is the ratio of simulated to observed CCN concentrations. Figure 3 shows this ratio as a probability density function for the model simulation when we allow carbonaceous combustion aerosol to act as CCN and for the model simulation when we do not. We fit a Gaussian to each probability density function. We use simulated concentrations of BC aerosol to define clean ( $\text{BC} < 50 \text{ ng m}^{-3}$ ) and polluted ( $\text{BC} > 100 \text{ ng m}^{-3}$ ) conditions in line with previous studies (Yoon et al., 2007). In clean conditions (Fig. 3a) the simulated to observed CCN ratio is not strongly biased in either simulation. This suggests that there is not a strong bias in our representation of natural CCN sources. In polluted conditions (Fig. 3b) the model is

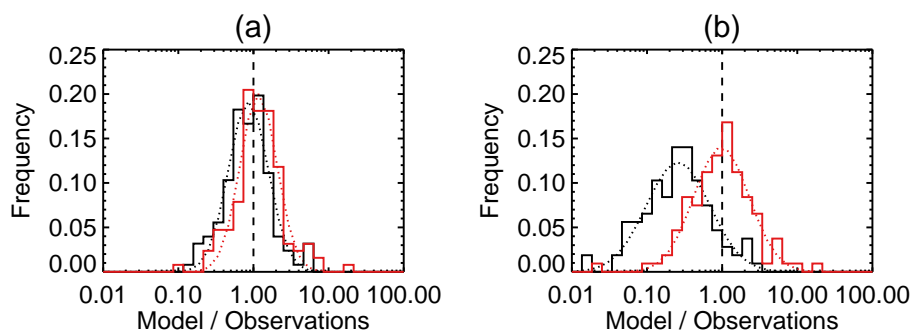
biased low (center of fitted Gaussian at a model to observed ratio of 0.26) when we do not allow carbonaceous combustion aerosol act as CCN. The model bias is greatly reduced when we allow carbonaceous combustion aerosol to act as CCN (center of fitted Gaussian at model to observed ratio of 0.98).

A deeper analysis of the CCN observations confirms the contribution of carbonaceous combustion aerosol to CCN. We binned the observed and modelled CCN concentrations into remote and polluted locations and further into four supersaturation categories covering the measured range from 0.02% to 1.5%. As before we used simulated concentrations of BC aerosol to define clean (Fig. 2c,  $\text{BC} < 50 \text{ ng m}^{-3}$ ) and polluted (Fig. 2d,  $\text{BC} > 100 \text{ ng m}^{-3}$ ) conditions. Measurements at low supersaturation, which are most relevant to atmospheric conditions, include the larger particles in the size distribution whereas high supersaturations additionally include the smaller particles. Carbonaceous combustion aerosol contributes to CCN across the range of atmospherically relevant cloud supersaturations and in both remote and polluted environments. In polluted locations the model is biased low unless carbonaceous combustion aerosol acts as CCN. We explored the potential for other mechanisms (including primary sulfate and boundary layer nucleation) to explain observed CCN concentrations. We find that these mechanisms can make a substantial contribution to CCN concentrations in remote locations but are unable to explain observed CCN in polluted locations without a substantial contribution from carbonaceous combustion aerosol.

The uncertainty in the emitted size distribution of carbonaceous combustion aerosol leads to a broad range of simulated CCN concentrations (NMB =  $-25\%$  to  $+37\%$ ) spanning the observations at high supersaturation, but contributes little to the uncertainty at low supersaturations. Small primary sulfate particles, which are emitted in similar geographic regions to carbonaceous combustion aerosol, can contribute substantially to CCN at high supersaturations if we assume a lower limit for the size of the particles. However, this scenario is unlikely as it has been shown to overestimate total particle number concentrations (Pierce et al., 2007; Spracklen et al., 2010). Nucleation of new particles in the boundary layer (BL) reduced model bias and increases CCN concentrations by between 22% (NMB =  $-9\%$ ) and 29% (NMB =  $-3\%$ ) depending on the nucleation rate used. However this mechanism alone is insufficient to match observed CCN concentrations without a substantial contribution from carbonaceous combustion aerosol. The contribution of BL particle formation calculated here is similar to previous estimates (Spracklen et al., 2008a; Merikanto et al., 2009; Pierce and Adams, 2009; Wang and Penner, 2009) and is most important at the highest supersaturations and in clean environments. The calculated CCN concentration is relatively insensitive to our assumption about the amount of soluble material required to age non-hydrophilic particles. Assuming a factor 5 slower rate of condensational ageing (slow\_age) decreased



**Fig. 2.** Observed and simulated CCN. Simulations are (a) without, (b) with carbonaceous combustion aerosol acting as CCN. Observations (10–31 days sampling: large symbol, <10 days: small symbol; error in observations represented by error bars, see text) with colour indicating location (colour scale matches Fig. 1a). Solid line is the 1:1 relationship, dotted lines are the 2:1 and 1:2 relationships, dashed lines are the 10:1 and 1:10 relationships. Lower panels show CCN binned by supersaturation ( $S$ ) ( $0.02 \leq S/\% < 0.15$ ;  $0.15 \leq S/\% < 0.3$ ;  $0.3 \leq S/\% < 0.6$ ;  $0.6 \leq S/\% < 1.6$ , with number of observations per bin shown in panel) for (c) clean (simulated BC <  $50 \text{ ng m}^{-3}$ ), (d) polluted (BC >  $100 \text{ ng m}^{-3}$ ) conditions. Observations (mean: dotted line; 25th to 75th percentiles: error bars) and simulations (without carbonaceous combustion aerosol acting as CCN: black line; as above but including BL nucleation: blue shading shows uncertainty due to nucleation rate; as above but with maximum likely contribution of primary sulfate: red line; with carbonaceous combustion aerosol acting as CCN: grey shading due to uncertainty in emitted particle size). Model values are calculated from the simulations described in Table 3.



**Fig. 3.** Probability density functions of model to observed ratios of CCN concentration when carbonaceous combustion aerosol does not act as CCN (black line) and when carbonaceous aerosol does act as CCN (red line) for (a) clean conditions (simulated BC <  $50 \text{ ng m}^{-3}$ ), (b) polluted conditions (BC >  $100 \text{ ng m}^{-3}$ ). Dashed line indicates the model to observed ratio of 1. The dotted lines show Gaussian fits to the probability density function.

mean CCN simulated across the observations by only 8%. However, the mechanism and rate by which carbonaceous combustion aerosol become CCN active needs to be explored in future work.

#### 4.2 Contribution of carbonaceous combustion aerosol to global CCN

Our model simulations suggest that carbonaceous combustion aerosol contributes 52–64% to simulated global mean surface level CCN concentrations (at 0.2% supersaturation

typical of stratocumulus clouds), with a greater contribution of 67–75 % over land compared to 34–50 % over oceans (Table 4, with the upper and lower estimates due to uncertainty in size distribution of emitted carbonaceous combustion aerosol). Over the most polluted continental regions carbonaceous combustion aerosol contributes up to 80 % of CCN. This large contribution of anthropogenic primary emissions to simulated CCN is in agreement with previous model estimates (Pierce et al., 2007; Merikanto et al., 2009), but has never previously been tested against a dataset of observed CCN.

### 4.3 The radiative effect of carbonaceous combustion aerosol

We then used the evaluated model to calculate the top-of-atmosphere direct and indirect radiative effect of eliminating carbonaceous combustion aerosol emissions. The aerosol direct effect (ADE) and cloud-albedo AIE were calculated by comparing the model simulation which included carbonaceous combustion aerosol emissions (simulation 2) to simulations where carbonaceous combustion aerosol emissions had been removed (simulations 8 and 9). In simulation 8 we removed all carbonaceous combustion aerosol emissions (no\_CCA). In simulation 9 we removed only pollution (fossil fuel and biofuel) carbonaceous combustion aerosol emissions (no\_ff\_bf\_CCA). These additional model experiments are needed to calculate the aerosol radiative effect because aerosol microphysics responds to the removal of carbonaceous combustion aerosol creating a non-linear response of aerosol concentrations to emission reductions, as we describe below. We do not calculate the cloud lifetime (second indirect) effect or semi-direct effects and so we are unable to estimate the full climate impact of carbonaceous combustion aerosol.

#### 4.3.1 Aerosol direct effect

We estimated the ADE of carbonaceous combustion aerosol using the GLOMAP simulated aerosol burden together with the AeroCom multi-model mean burden and AeroCom multi-model mean ADE (Forster et al., 2007; Schultz et al., 2006). This simple method of calculating the ADE gives an order of magnitude estimate. For BC the AeroCom mean burden is 0.125 Tg and the AeroCom mean ADE is  $+0.25 \text{ W m}^{-2}$  resulting in a radiative perturbation per unit atmospheric mass for BC of  $2.0 \text{ W m}^{-2} \text{ Tg}^{-1}$ . For POM the AeroCom mean burden is 0.66 Tg and the AeroCom mean ADE is  $-0.13 \text{ W m}^{-2}$  resulting in a radiative perturbation per unit atmospheric mass for POM of  $-0.197 \text{ W m}^{-2} \text{ Tg}^{-1}$ . In GLOMAP the BC burden is 0.08 Tg (Table 4) resulting in a ADE of  $+0.16 \text{ W m}^{-2}$ . The POM burden in GLOMAP is 0.55 Tg resulting in a ADE of  $-0.11 \text{ W m}^{-2}$ .

The ADE calculated above and those from AeroCom include all carbonaceous combustion aerosol (fossil fuel, bio-

fuel and wildfire). For only fossil fuel carbonaceous combustion aerosol emissions, the ADE from the AeroCom simulations is  $+0.12 \text{ W m}^{-2}$  due to BC and  $-0.03 \text{ W m}^{-2}$  due to POM. That is for fossil fuel carbonaceous combustion aerosol emissions, BC dominates the ADE (by a factor of 4). We find the same in the GLOMAP simulations. Pollution carbonaceous combustion aerosol (fossil fuel and biofuel emissions) results in an ADE of  $+0.08 \text{ W m}^{-2}$  due to BC and  $-0.03 \text{ W m}^{-2}$  due to POM.

#### 4.3.2 Aerosol indirect effect

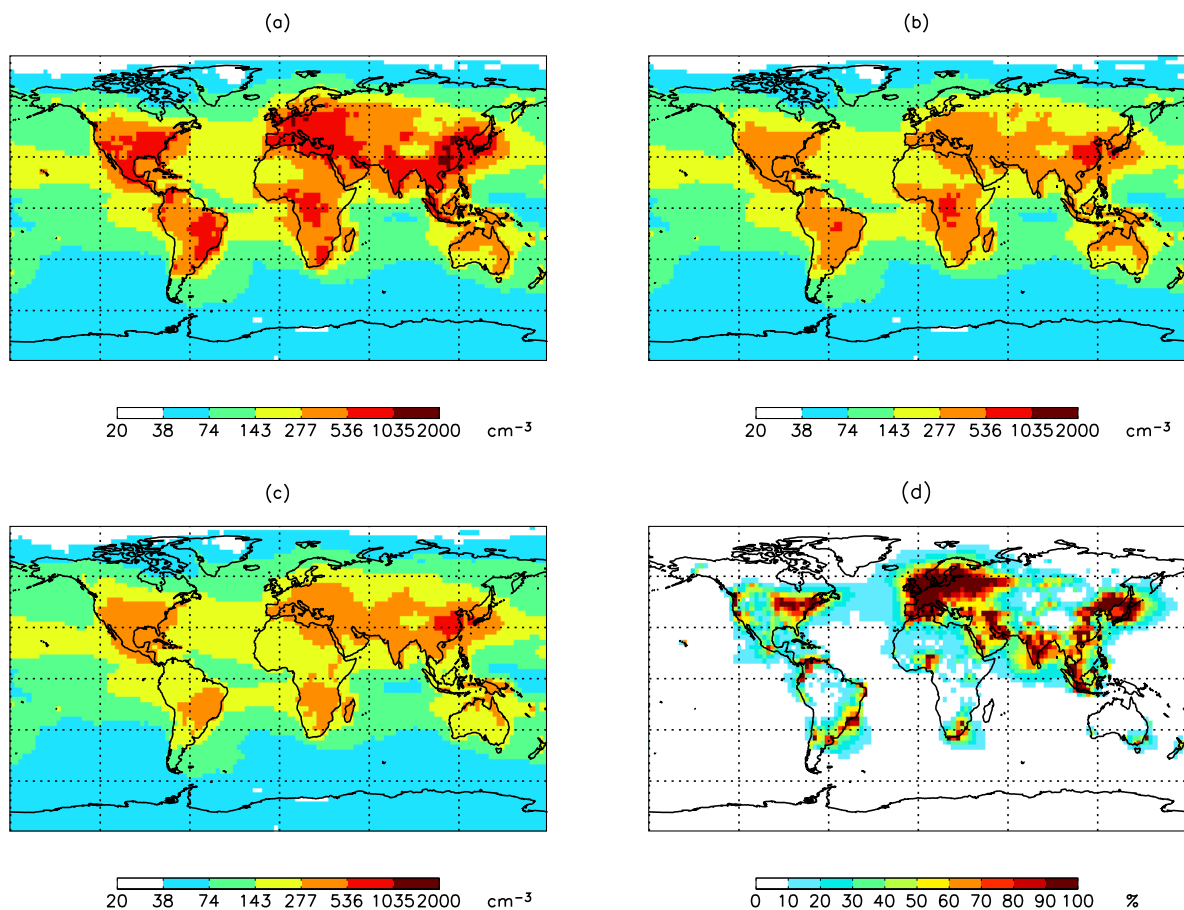
The AIE is the mechanism by which aerosol alters cloud properties (Forster et al., 2007). Here we calculate cloud-albedo AIE which is the change in cloud albedo due to changes in aerosol. This has also been referred to as the first AIE (e.g., Ramaswamy et al., 2001) and the cloud-albedo effect (e.g., Lohmann and Feichter, 2005).

First we calculated cloud droplet number concentrations (CDNC) using the mechanistic parameterisation of cloud drop formation (Nenes and Seinfeld, 2003) as described by Pringle et al. (2009). We have shown previously that GLOMAP reproduces realistic CDNC (Merikanto et al., 2010) and Pringle et al. (2009) showed that the model can capture observed relationships between particle number and CDNC.

We calculated CDNCs for a range of atmospherically relevant in-cloud updraft velocities ( $0.1$  to  $0.5 \text{ m s}^{-1}$ ) and hence realistic supersaturations. Figure 4 shows the global distribution of simulated CDNCs and Table 4 shows global annual mean CDNC (at  $0.4 \text{ m s}^{-1}$ ). Carbonaceous combustion aerosol from pollution sources increases CDNC over Europe, US and Asia more than 100 % (maximum 300 %) and by 10–20 % over much of the North Atlantic. At atmospheric pressures where low cloud typically occurs (800–950 mb), zonal mean annual average all-sky CDNC are  $50$ – $250 \text{ cm}^{-3}$  (Fig. 5), a range in agreement with previous simulations (e.g., Chen and Penner, 2005).

There are important microphysical responses that occur when carbonaceous combustion aerosol is removed which act to reduce the impact of emissions reduction on CDNC. The presence of carbonaceous combustion aerosol actually leads to a 5 % reduction in CDNC in the upper troposphere (Fig. 5d) because it acts as a condensational sink for sulfuric acid vapour leading to reduced new particle formation and growth. As a result of atmospheric transport, this effect leads to a 1–5 % reduction in CDNC in the remote oceanic BL and south of  $50^\circ \text{ S}$ . However, this effect is more than compensated for by direct emissions of carbonaceous combustion aerosol and global mean CDNC in low-level clouds (800–950 hPa) increases by 38 %.

The contribution of carbonaceous combustion aerosol to CDNC was then used to quantify the AIE. We calculated the cloud-albedo AIE at the top of the atmosphere by comparing the cloud albedo calculated from a perturbed model



**Fig. 4.** Simulated all-sky annual mean cloud droplet number concentrations (CDNC) at the surface. **(a)** With carbonaceous combustion aerosol, **(b)** without pollution (fossil fuel and biofuel) carbonaceous combustion aerosol emissions, **(c)** without carbonaceous combustion aerosol emissions. **(d)** Percentage change in CDNC due to pollution carbonaceous combustion aerosol emissions. All results are for a cloud updraft velocity of  $0.4 \text{ m s}^{-1}$ .

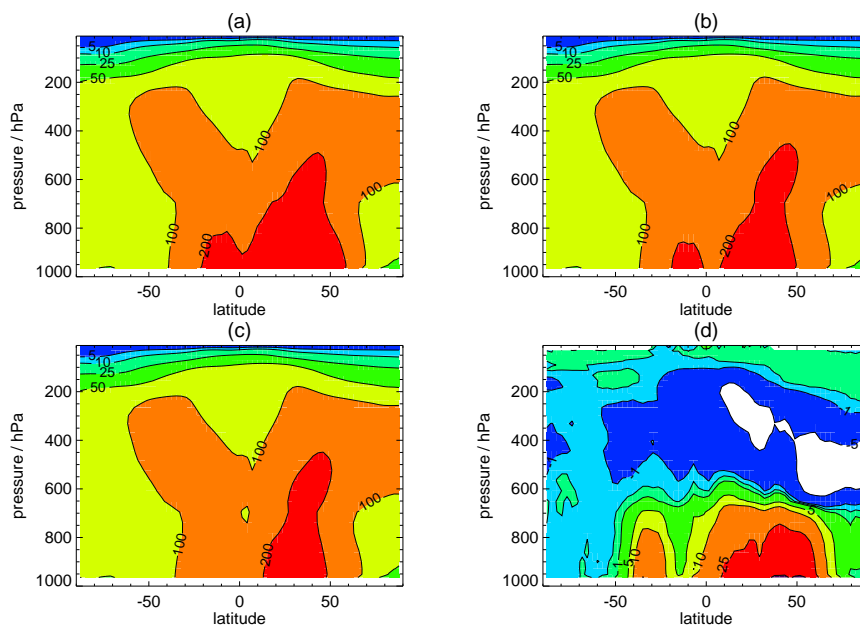
simulation to the cloud albedo calculated from an unperturbed simulation. The unperturbed simulation includes carbonaceous combustion aerosol emissions (CCA). In the perturbed simulations emissions of carbonaceous combustion aerosol had been removed.

We used monthly mean climatological cloud fields and surface albedo (averaged over the period 1983–2005) from the International Satellite Cloud Climatology Project (ISCCP) (Rossow and Schiffer, 1999), together with the off-line version of the Edwards and Slingo (1996) radiative transfer model. This model uses 9 bands in the longwave and 6 bands in the shortwave and a delta-Eddington 2 stream scattering solver at all wavelengths. In our climatology, the clouds were added to three unique vertical levels, corresponding to low and middle and high clouds. Water vapour, temperature and ozone data are based on ECMWF reanalysis data (see Rap et al., 2010 for details). For the unperturbed and perturbed runs, cloud effective drop radius  $r_e$  (in  $\mu\text{m}$ ) for low and mid level water clouds was calculated from the

GLOMAP CDNC (in  $\text{cm}^{-3}$ ) and ISCCP derived liquid water paths (LWP, in  $\text{g m}^{-2}$ ), using the Bower et al. (1994) parameterisation, namely:

$$r_e = 100 \times [\text{LWP}/(\Delta z) \times 3/(4\pi \times \rho_w \times \text{CDNC})]^{1/3},$$

where  $\Delta z$  is the cloud thickness, which in our climatology is roughly 1400 m and 2900 m for low and middle clouds, respectively and  $\rho_w$  (in  $\text{g cm}^{-3}$ ) is the density of liquid water. Only water clouds were modified. Note that in its derivation of LWP, ISCCP assumes a constant effective radius of  $10 \mu\text{m}$ . This creates an inconsistency between our method and the original ISCCP retrieval. To investigate this our results were compared to an alternative approach, where a control effective radius of  $r_{e1} = 10 \mu\text{m}$  was employed in the unperturbed experiment. For the perturbed experiment the effective radius  $r_{e2}$  was scaled to account for the drop volume change (needed to maintain the same water content) caused from the GLOMAP CDCN change, i.e.  $r_{e2} =$



**Fig. 5.** Simulated zonal mean all-sky annual average cloud droplet number concentrations (CDNC) (in  $\text{cm}^{-3}$ ) for a range of model simulations. **(a)** Including carbonaceous combustion aerosol emissions, **(b)** without pollution (fossil fuel and biofuel) carbonaceous combustion aerosol emissions, **(c)** without carbonaceous combustion aerosol emissions, **(d)** percentage change in zonal mean CDNC due to pollution carbonaceous combustion aerosol (contour intervals:  $-5\%$ ,  $-1\%$ ,  $0\%$ ,  $1\%$ ,  $5\%$ ,  $10\%$ ,  $25\%$ ,  $50\%$ ).

$r_{\text{el}} \times (\text{CDNC}_1/\text{CDNC}_2)^{1/3}$ . Both approaches gave very similar answers, suggesting the methodology is robust.

We evaluated the sensitivity of our calculated AIE due to likely uncertainties in two parameters (Table 5):

1. Updraft velocity: the global distribution of cloud updraft velocity is uncertain. For this reason we calculated the AIE at 5 different updraft velocities ( $0.1$  to  $0.5 \text{ m s}^{-1}$ ). At faster cloud updraft velocities ( $0.2$  to  $0.5 \text{ m s}^{-1}$ ) uncertainty in the updraft velocity changes the calculated AIE by less than  $15\%$ . Slower updraft velocities introduce up to a factor 2 uncertainty in the AIE. The standard deviation in annual global mean AIE calculated across the cloud updraft velocities is  $0.08 \text{ W m}^{-2}$  for all carbonaceous combustion aerosol and  $0.03 \text{ W m}^{-2}$  for fossil fuel and biofuel carbonaceous combustion aerosol.
2. Size distribution of emitted carbonaceous combustion particles: to test this sensitivity we used simulation small.CCA as the unperturbed simulation in place of CCA. In this simulation carbonaceous combustion aerosol are assumed to be emitted at smaller sizes (see Table 3). For the perturbed simulation we used no\_CCA as before. When carbonaceous combustion aerosol is emitted at these smaller sizes the AIE of all carbonaceous combustion aerosol is  $-1.08 \text{ W m}^{-2}$ . The standard deviation across the five cloud updraft velocities was  $0.11 \text{ W m}^{-2}$ .

We therefore found that the dominant source of uncertainty in our calculation of the AIE is the uncertainty in the size distribution of emitted carbonaceous combustion aerosol (lower and upper estimates:  $-0.34 \text{ W m}^{-2}$  and  $-1.08 \text{ W m}^{-2}$ , respectively) rather than the uncertainty in supersaturation or cloud updraft (range:  $-0.2 \text{ W m}^{-2}$  to  $-0.39 \text{ W m}^{-2}$ ). Including the light absorption by BC would not change our AIE by more than  $0.07 \text{ W m}^{-2}$  (Chuang et al., 2002). Additional sources of uncertainty will be due to uncertainty in the cloud retrievals from the ISCCP. ISCCP is likely to underestimate the amount of low-level cloud (Weare, 2000), so our estimate of AIE is likely to be biased slightly low.

Figure 6 shows the AIE due to pollution carbonaceous combustion aerosol emissions. Regional radiative effects as large as  $-5 \text{ W m}^{-2}$  are calculated within and downwind of polluted regions. Figure 7 shows the annual average zonal mean AIE due to carbonaceous combustion aerosol. At latitudes between  $35^\circ \text{ S}$  and  $55^\circ \text{ N}$  we calculate a zonal mean radiative effect at the top of the atmosphere as large as  $-1.5 \text{ W m}^{-2}$  (when we assume smaller sized carbonaceous combustion particles) in agreement with Chen and Penner (2005).

We calculated the average AIE across the five updraft speeds assuming that each updraft speed occurs with equal probability. When we assumed the larger emission size of carbonaceous combustion aerosol we calculated that the global annual mean AIE due to all carbonaceous combustion

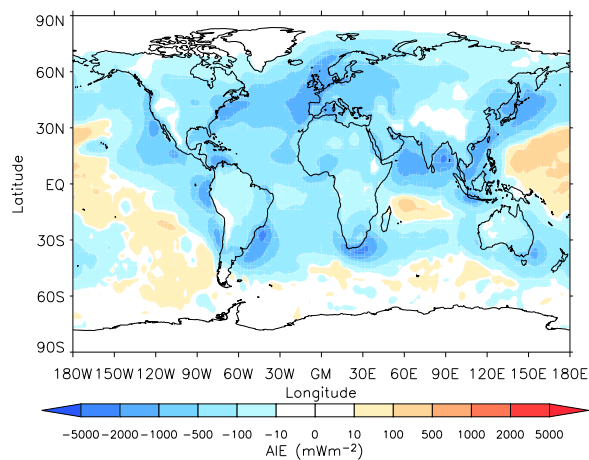
**Table 5.** Calculated global mean top-of-atmosphere aerosol indirect effect (AIE) due to carbonaceous combustion aerosol for a range of cloud updraft velocities. Model experiments as described in Table 3. The perturbed and unperturbed simulations are detailed in the table.

Updraft velocity ( $\text{m s}^{-1}$ )	AIE/ $\text{W m}^{-2}$		
	CCA versus no_CCA	small_CCA versus no_CCA	CCA versus no_ff_bf_CCA
0.1	-0.20	-0.88	-0.19
0.2	-0.34	-1.10	-0.22
0.3	-0.38	-1.15	-0.25
0.4	-0.39	-1.15	-0.25
0.5	-0.39	-1.13	-0.25
MEAN	-0.34	-1.08	-0.23

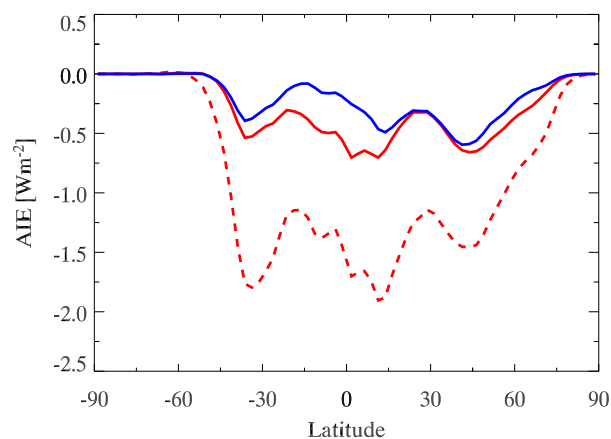
aerosol is  $-0.34 \text{ W m}^{-2}$ . We calculated that the global mean AIE due to pollution sources (fossil fuel and biofuel) carbonaceous combustion aerosol is  $-0.23 \text{ W m}^{-2}$ . In comparison, the AIE calculated by our model for all anthropogenic aerosol is  $-1.2 \text{ W m}^{-2}$ . The small size of emitted fossil fuel carbonaceous combustion aerosol compared to those from wildfire and biofuel sources means that they contribute more to CDNC and AIE per unit mass of emission: whilst pollution sources account for only 31 % of the total emitted mass of carbonaceous combustion aerosol (Table 2) they contribute 75 % of the enhancement to CDNC and two-thirds of the AIE (Tables 4 and 5). Our calculated AIE is smaller than the recent estimate from Chen et al. (2010) of  $+0.31 \text{ W m}^{-2}$  for a 50 % reduction in carbonaceous combustion aerosol emissions, but is the first to have been evaluated using a global dataset of cloud-forming particles.

## 5 Conclusions

We have synthesised observations reported in the published literature to produce a worldwide dataset (277 mean observations from 55 published studies) of cloud condensation nuclei (CCN) concentrations. We used the dataset along with the GLOMAP global aerosol microphysics model to explore the contribution of carbonaceous combustion aerosol to CCN. We find that the model is biased low (normalised mean bias =  $-77\%$ ) unless carbonaceous combustion aerosol is able to act as CCN. Uncertainty in the emitted size distribution of carbonaceous combustion aerosol results in a substantial uncertainty in the contribution of this aerosol source to global CCN. When we use the emission size suggested by AeroCom (Dentener et al., 2006) carbonaceous combustion aerosol contributes 64 % to simulated global CCN concentrations. Using a larger emission size (Stier et al., 2005) reduces the calculated contribution of carbonaceous combustion aerosol to global CCN to 52 %.



**Fig. 6.** Annual mean net (long wave and short wave) top of atmosphere cloud-albedo aerosol indirect effect (AIE) due to pollution (fossil fuel and biofuel) carbonaceous combustion aerosol for a cloud updraft velocity of  $0.4 \text{ m s}^{-1}$ .



**Fig. 7.** Zonal annual mean cloud albedo aerosol indirect effect (AIE) due to carbonaceous combustion aerosol. The AIE is shown for all carbonaceous combustion aerosol (red line) and for pollution (fossil fuel and biofuel) carbonaceous combustion aerosol (blue line). The red dashed line shows the AIE for all carbonaceous combustion aerosol when we assume that they are emitted at smaller particle sizes (small\_CCA). See Table 3 for description of model experiments.

We calculated the first (cloud albedo) aerosol indirect effect due to carbonaceous combustion aerosol. We do not calculate the second (cloud lifetime) aerosol indirect effect or semi-direct effects. Therefore our study should not be used to calculate the overall impact of carbonaceous combustion aerosol on climate. Furthermore, since different aerosol effects are unlikely to linearly combine care should be taken when comparing the forcing numbers calculated here with those from other studies. We calculated a global mean top of atmosphere first aerosol indirect effect due to carbonaceous

combustion aerosol (from fossil fuel, biofuel and wildfire) of  $-0.34 \text{ W m}^{-2}$  when we assumed particles are emitted at larger sizes and  $-1.08 \text{ W m}^{-2}$  when we assumed the smaller emitted size. This large sensitivity to particle size underlines the importance of improving emission databases to include the emitted particle size distribution and the need to understand sub-grid evolution of particle size distributions from emission source to the regional scale (Pierce et al., 2009).

Different emission sources emit carbonaceous combustion particles with different size distributions. The smaller particle size of fossil fuel carbonaceous combustion emissions means that they contribute more to CCN concentrations than biomass burning emissions per unit mass of emission. We find that whilst pollution (fossil and biofuel) emission sources only account for 31% of the total emitted mass of carbonaceous combustion aerosol they account for 75% of the simulated enhancement in cloud droplet number concentrations (CDNC). This greater contribution of pollution sources to CDNCs means that they contribute substantially to the overall AIE due to carbonaceous combustion aerosol. We calculated that carbonaceous combustion aerosol from pollution sources result in a aerosol indirect effect of  $-0.23 \text{ W m}^{-2}$  (when we assume particles are emitted at larger sizes) which is two thirds of the total aerosol indirect effect we attribute to all carbonaceous combustion aerosol. Model studies that calculate the AIE of carbonaceous combustion aerosol but do not simulate the aerosol size distribution (e.g., Unger et al., 2010) may overestimate the AIE attributed to biomass burning sources and underestimate the AIE attributed to fossil fuel sources.

Previous discussion of reductions in black carbon (BC) emissions to mitigate climate change have emphasised the value of cutting emissions where the mass of BC is high relative to other aerosol components (Grieshop et al., 2009; Ramana et al., 2010). For example, some fossil fuels (residential burning of solid fuels and diesel engines) emit a high proportion of BC compared to biofuel and biomass burning, making them attractive for emissions reductions to slow global warming. While consideration of the BC mass fraction may be sufficient for estimating the direct aerosol forcing of carbonaceous combustion aerosol, our study shows that mitigation strategies need to take account of the impact on the size distribution and number concentration of emitted carbonaceous combustion aerosol and the fact that BC and primary organic matter are present in the same particle, which shifts the technological challenge considerably. Many fossil fuel sources emit large numbers of small carbonaceous combustion aerosol which have a substantial impact on cloud albedo. Reductions in carbonaceous combustion aerosol emissions to slow global warming would be premature before these particle number concentration effects are quantified, taking into account the non-linear response of atmospheric CCN to changes in emissions, which are potentially substantial (Merikanto et al., 2009).

Some previous studies (Jacobson, 2002, 2004, 2006, 2010) that have attempted to include a diverse range of aerosol direct, indirect and semi-direct effects have concluded that carbonaceous combustion aerosols have a net positive warming impact on climate. It is now necessary to evaluate the predictions of such models against new datasets of cloud drop forming particles that have been synthesised here.

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