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## Early View

### Original Research Article

# Using Hyperpolarised Xenon MRI to Explore Breathlessness in Long COVID

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# Using Hyperpolarised Xenon MRI to Explore Breathlessness in Long COVID

Results from the Hyperpolarised Xenon Magnetic Resonance Pulmonary Imaging In Patients with Long-COVID (EXPLAIN study)

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## Take Home Message

Breathlessness is not caused by hidden damage affecting the lungs in the majority of Long Covid sufferers. Although subtle reductions in gas transfer were observed in 13%, overall, this study highlights the complexity of dyspnoea and importance of rehabilitative approaches.

## Abstract

**Background:** Breathlessness is a common symptom in Long COVID (LC). Using hyperpolarised xenon MRI ( $^{129}\text{Xe}$ -MRI), we assessed whether this symptom could be attributed to abnormalities in the alveolar-capillary membrane not detected by standard investigations. We focused on never-hospitalised individuals without an identified cause for breathlessness.

**Methods:** In this prospective, multicentre study, we compared  $^{129}\text{Xe}$ -MRI, lung function, exercise capacity, and symptom questionnaires in LC patients with breathlessness (BLC) to those without breathlessness (NBLC) and healthy controls. Primary outcome was whether BLC demonstrated measurable impairments in gas exchange focusing on dissolved-phase  $^{129}\text{Xe}$ -MRI metrics: red-blood cell to membrane ratio (RBC:M) and red-blood cell to gas ratio (RBC:Gas). We also explored associations between symptoms and physiological measures.

**Results:** Of 269 participants recruited, 196 were included in the analysis (109 BLC, 43 NBLC, 44 controls), with age and sex well matched across groups. BLC had a significantly longer interval from infection to imaging (median 632 days;  $p < 0.001$ ). No significant differences in global or regional RBC:M or RBC:Gas were observed across groups. BLC showed lower  $\text{FEV}_1$ , FVC, TLCO, and KCO z-scores compared to controls, though >90% of values remained within normal range. A subset of BLC participants with low TLCO (14/109) showed reduced  $^{129}\text{Xe}$ -MRI metrics and higher breathlessness scores.

**Interpretation:** Most non-hospitalised LC participants exhibited no detectable pulmonary abnormalities, including those with breathlessness. However, approximately 13% of breathless individuals demonstrated minor reductions in TLCO and  $^{129}\text{Xe}$ -MRI gas exchange suggesting a potential pulmonary contribution for symptoms in this subgroup.

## Introduction

Post-COVID-19 condition, or Long COVID, is estimated to affect two million people living in the UK.<sup>1</sup> It is characterised by a wide range of symptoms although breathlessness, fatigue, and brain fog are most frequently described (*Office of National Statistics, April 2024*). The mechanisms driving symptoms are complex and, to date, remain poorly understood, but carry a large healthcare burden.<sup>2</sup> Understanding the causes of breathlessness post COVID-19 infection is an important therapeutic priority.

Long COVID (LC) is broadly defined by the persistence of symptoms after 12 weeks from the acute infection.<sup>3</sup> The severity of initial symptoms does not predict the development of LC and many patients managed in the community experienced a relatively mild acute COVID-19 illness. Hospital admission is often determined by the requirement for oxygen therapy and patients typically have evidence of pneumonic changes on lung imaging during the acute phase. These changes can take several months to resolve, and in some cases, result in a fibrotic ‘footprint’ of the acute infection causing persistent lung function impairment and exercise intolerance.<sup>4,5</sup> In contrast, patients who managed their infection in the community rarely have imaging undertaken during their acute illness and chest x-ray and computed tomography (CT) to investigate ongoing breathlessness after the infection are normal in most cases.<sup>6</sup> Despite this, up to 50% of LC sufferers report breathlessness, and in some it can be the main symptom limiting the return to functional activity.<sup>1</sup>

Hyperpolarised xenon MRI ( $^{129}\text{Xe}$ -MRI) is a novel imaging modality that is safe, reproducible and can provide quantitative metrics.<sup>7,8</sup> It does not involve radiation exposure. The technique involves inhaling xenon-129 ( $^{129}\text{Xe}$ ), an inert gas that has been hyperpolarised to transiently increase signal inside the MRI scanner. The gas mirrors the flow of oxygen through the bronchial tree, across the alveolar-capillary membrane, into the pulmonary vasculature. Delays in the diffusion of gas through the membrane due to abnormalities at this interface, or abnormalities in the pulmonary vasculature, can be quantified with dissolved-phase imaging.<sup>9</sup> After inhalation,  $^{129}\text{Xe}$  diffuses from the alveoli (gas phase) into the alveolar-capillary membrane. A small percentage of this then diffuses into the blood stream where it transiently binds with haemoglobin in red blood cells (RBCs).  $^{129}\text{Xe}$  exhibits different resonance frequencies in the gas phase, tissue membrane/plasma phase and on binding within RBCs and so the ratio of signal delivered to the RBCs compared to the signal measured in the gas or membrane phase can be assessed (Figure 1). These RBC:Membrane (RBC:M), Membrane:Gas (M:Gas) and RBC:Gas ratios provide functional measurements of gas exchange at a regional level, providing detailed spatial information about membrane and vascular integrity not necessarily identifiable using global summary measures such as carbon monoxide gas transfer.<sup>10</sup> RBC:M provides a measure of gas transfer efficiency across the membrane and into the capillaries, M:Gas provides a measure of xenon uptake in the membrane and RBC:Gas assesses gas transfer from alveolus to the RBC and therefore provides a broader measurement of the gas exchange properties of the lung.

Previous work has shown impaired  $^{129}\text{Xe}$ -MRI gas transfer in both hospitalised and non-hospitalised LC patients which fails to normalise over time.<sup>11–14</sup> We reported pilot  $^{129}\text{Xe}$ -

MRI findings of non-hospitalised LC patients infected at the start of the 2020 COVID-19 pandemic with persistent breathlessness and reported significant functional abnormalities.<sup>15</sup>

In this study, we again focused on breathlessness, this time in a larger Long COVID cohort. We used <sup>129</sup>Xe-MRI to help determine whether this key symptom is driven by abnormalities not readily detectable by conventional physiology and imaging tests. We aimed to establish whether abnormalities observed were specific to those with breathlessness or also identified in those with fatigue-predominant presentations without reported breathlessness. Finally, we investigated whether abnormalities observed correlated with lung function tests and measures of dyspnoea and exercise capacity.

## Materials and Methods

Participants were diagnosed with Long COVID by a clinical specialist in a dedicated clinic and had symptoms for a minimum of three months. With the exception of first-wave participants for whom SARS-CoV2 testing was not readily available, others had a positive PCR or rapid antigen test during their index infection. The symptom of breathlessness was determined at the time of the consultation by the referring physician undertaken at the time of referral to the study. Control participants formed two groups: 1) LC with typical symptoms but without breathlessness (NBLC); 2) participants who had proven COVID-19 infection more than three months previously and had fully recovered. The second control group were recruited by word of mouth and poster advertisement. Written informed consent was obtained from all participants.

Participants who had identifiable explanations for breathlessness were excluded from the study. This included those with CT scores >5 (detailed below), uncontrolled asthma, obstructive spirometry ( $FEV_1/FVC < 0.7$ ), current smokers, smoking history >10 pack years and clinically significant cardiorespiratory pathology were all exclusion criteria. Contraindications for <sup>129</sup>Xe-MRI followed those of conventional MRI: claustrophobia, MRI-incompatible implanted devices or metal, and prohibitive BMI.

## Study design

This was a prospective, observational, multi-centre cohort study enrolling non-hospitalised LC patients from four dedicated post-COVID-19 centres in the UK (Oxford, Sheffield, Cardiff, and Manchester) between May 2022 to March 2024. All study procedures were undertaken in Oxford and Sheffield sites and within 6 weeks of <sup>129</sup>Xe-MRI. This study was designed and implemented with the assistance of a Long COVID Public and Patient Involvement (PPI) group based at the Oxford site. It was approved by the Research Ethics Committee and informed consent was provided by all participants.

Demographic details and comorbidities were collected for all participants. LC participants completed the following patient-reported outcome measures: Dyspnoea-12 (D-12), Functional Assessment of Chronic Illness Therapy–Fatigue (FACIT-F), Generalised Anxiety Disorder 7-item scale (GAD-7), and EuroQoL visual analogue score (VAS).

Pulmonary function tests (PFTs) included forced expiratory volume in one second (FEV<sub>1</sub>), forced vital capacity (FVC), and gas transfer (transfer factor of the lung for carbon monoxide (TLCO), alveolar volume (Va) and carbon monoxide transfer coefficient (KCO)). Lung function test data are presented as both % predicted and z-scores derived from the European Respiratory Society and Global Lung Function Initiative online calculator.<sup>16,17</sup> z-scores below 1.64 were considered abnormal. Exertional dyspnoea was assessed by a one-minute sit-to-stand test (STST), with the number of repetitions recorded alongside modified BORG dyspnoea score (mBORG), heart rate, and oxygen saturations pre- and post-exertion.

The study was funded by the National Institute for Health and Care Research (NIHR) and approved by the South Central Research Ethics Committee (21/SC/0398). It was registered on International Standard Randomised Controlled Trial Number (ISRCTN14304264).

## Imaging Protocols

<sup>129</sup>Xe dissolved phase imaging was acquired at either 3T (Oxford) or 1.5T (Sheffield) following inhalation of one-litre maximum of mixture of <sup>129</sup>Xe and nitrogen from functional residual capacity. Further details are provided in the OLS.

Maps of <sup>129</sup>Xe RBC:M, M:Gas and RBC:Gas were constructed for each subject. Gas transfer ratios acquired at 1.5T and 3T were combined using correction factors based on scanning 14 healthy volunteers at 1.5 and 3T. Age and sex adjusted z-scores were calculated for each mean metric based on published data.<sup>7</sup> Further details on gas polarisation, image acquisition, and post-processing are provided in the OLS.

Regional metrics of gas transfer (RBC:M, RBC:Gas) were calculated by dividing whole lung masks evenly in the inferior-superior axis into three regions (lower, middle, and upper lungs). Gas transfer maps were also divided evenly in the anterior-posterior axis into two regions corresponding to anterior and posterior lung.

Research CT scanning was performed following one-litre inspiration (for potential comparative purposes with <sup>129</sup>Xe-MRI) with a slice thickness of 0.625–1.000mm. Participants who had had a clinical CT to investigate breathlessness did not have a repeat research CT due to the initial scan being unremarkable and additional radiation exposure from a repeat CT scan unjustified. CTs were scored to measure presence of interstitial abnormality, detailed in the OLS. The images were reviewed by a senior radiologist blinded to research data

## Statistical analysis

Analyses were conducted using STATA v18.0. Normality was assessed visually; non-parametric models were used if assumptions were violated. Data are presented as mean (SD) [min, max] for normally distributed and median (IQR) [min, max] for non-normally distributed variables. Group comparisons used ANOVA for normally distributed continuous variables, Kruskal-Wallis for non-normal data, and Chi-square or Fisher's exact test for categorical variables.

MRI metrics were summarised by group and compared using linear regression models adjusted for age and sex, unless z-scores already accounted for these. Missing data were not imputed and arose from incomplete questionnaires, inability to perform tests, or MRI quality control (due to low image quality, incorrect acquisition of scan parameters, or image artefacts).

No multiplicity correction was applied, given the exploratory nature of the analysis. A two-sided p-value <0.05 was considered significant.

The proportion of subjects with  $^{129}\text{Xe}$ -MRI abnormalities (z-score < -1.64) was summarised by group (breathless and non-breathless vs controls) and compared using logistic regression, adjusted for age and sex. Model outputs included group difference estimates, 95% CIs, and P-values.

Correlations were assessed between (i) D-12 and FACIT-F, GAD-7, EuroQoL-VAS, and (ii) RBC:Gas, RBC:M and M:Gas z-scores and TLCO, KCO, Va, spirometry z-scores, BMI, and D-12. Pearson or Spearman coefficients were used based on distribution normality.

## Results

### Recruitment

269 participants were enrolled into the study. 24 were withdrawn due to: withdrawal of consent (5), symptom resolution (2), loss of contact (10), and abnormal CT chest findings (7). Following  $^{129}\text{Xe}$ -MRI scan acquisition, 49 were excluded from the final analysis due to: current smoker status (2), obstructive spirometry (FEV<sub>1</sub>/FVC ratio <0.7) (26), inability to complete PFTs (1) and image acquisition/quality issues (20). 196 participants were included in the final  $^{129}\text{Xe}$ -MRI analysis (109 BLC, 42 NBLC and 44 controls) (Figure 2).

### Study group characteristics

Age, gender, BMI, or past smoking status were not significantly different between breathless Long COVID (BLC), non-breathless LC (NBLC) and control participants. There was a longer time period from infection to MRI scanning in the BLC group compared with NBLC and control participants (607 days versus 375 and 232 days, respectively). The incidence of asthma and diabetes were similar between groups.

No statistically significant differences were found between the BLC and NBLC groups using questionnaires for fatigue (FACIT-F), anxiety (GAD-7), and general health status

(EuroQoL-VAS). The Dyspnoea was measured with the D-12, which was higher in the BLC than the NBLC group although there was considerable overlap in the groups and only 13 (30.2%) NBLC participants reported a score of 0 (no breathlessness) (Table 1).

Combining all LC participants, there was a positive correlation in breathlessness measured by D-12 score with fatigue (FACIT-F), anxiety (GAD-7), and health status (EQ5D-VAS) (Figure S1).

## <sup>129</sup>Xe-MRI analysis

### *Global analysis*

We analysed global measures of gas exchange using <sup>129</sup>Xe-MRI. We found no significant differences between BLC, NBLC, and control participants in RBC:Gas, RBC:M or M:Gas z-scores (Figures 3–4 and Table 2). The percentage of participants with z-scores < -1.64 ('abnormal') did not differ for either RBC:M z-scores (18%, 19%, and 23% for Controls, BLC and NBLC, respectively) or RBC:Gas z-scores (16%, 23%, and 20%, respectively). Although the graphs demonstrated that there was a non-significant trend towards lower z-scores in the BLC and NBLC subgroups with a greater proportion having < -1.64 z-scores compared with controls. To assess regional heterogeneity in <sup>129</sup>Xe distribution, we also measured the coefficient of variation for both RBC:Gas, RBC:M and M:Gas and found no significant differences between groups.

There was a positive correlation between RBC:Gas z-score with laboratory measures of gas transfer, TLCO, and KCO z-score ( $r=0.404$  and  $0.246$ , respectively, both  $p<0.001$ ). However, RBC:M z-score was positively correlated with TLCO z-score ( $r=0.201$ ,  $p=0.001$ ) but not KCO z-score ( $r=0.089$ ,  $p=0.103$ ). RBC:M z-score but not RBC:Gas z-score correlated with BMI and Va. Neither RBC:M and RBC:Gas z-scores were found to correlate with spirometry values or D-12 scores (Table S1).

### *<sup>129</sup>Xe-MRI regional analysis*

To determine if there were regional abnormalities that might be masked by global scores, the lungs of each participant were divided into upper, middle, and lower zones and analysed individually (Figure S2). Separately, anterior and posterior lung divisions were also analysed. No significant differences were observed between any of these groups (Table S2).

## Lung function

The majority of participants had lung function values within normal range, although the group mean z-scores for FEV<sub>1</sub> and FVC were both statistically lower in the BLC group than the control group (FEV<sub>1</sub>  $p=0.023$  and FVC  $p=0.043$ , respectively, Figure 5). Overall, only seven (6.4%) of BLC participants had a z-score less than -1.64 (i.e. below the lower limit of normal) for FEV<sub>1</sub> and three (2.7%) had a z-score below -1.64 for FVC.

Group mean TLCO % predicted and z-scores were all within the normal ranges across all groups but were lower in BLC compared to NBLC and controls (BLC 91.2%, NBLC 96.8%,

100.5%;  $p < 0.001$  and BLC -0.7, NBLC -0.3, controls 0.0;  $p < 0.001$ , respectively) (Table 3). Mean % predicted TLCO lies within normal range at 91% in the BLC group. The data on lung volume ( $V_a$ ) demonstrates a similar distribution to the gas transfer values, although in this case, the differences between groups were not statistically significant (Figure 5e). The proportion of participants with TLCO z-score  $< -1.64$  was higher in the BLC but not significantly different from the NBLC and control groups (BLC - 14 (13%), NBLC – three (7%), controls – two (4.5%),  $p = 0.279$ ).

To examine whether the characteristics of BLC participants with lower TLCO z-score ( $< -1.64$ ,  $n = 14$ ) differed from those with normal values, we compared clinical and physiological data, and found that these participants had higher D-12 scores (mean 22.3 vs 13.6), lower  $V_a$  (-1.4 versus -0.8,  $p = 0.020$ ) and statistically lower RBC:Gas z-scores (-0.8 versus -0.7,  $p = 0.008$ ) and RBC:M z-scores (-1.0 versus -0.9,  $p = 0.049$ ). (Table S3).

### Exercise tests and physiological data

Pre-exertion resting mBORG scores were higher in BLC compared to NBLC and controls and remained significantly higher in the BLC participants post-exertion (Fig S3 a-b, both  $p < 0.001$ ). Table S4 demonstrated that BLC participants performed fewer STS repetitions than both NBLC participants and controls ( $p < 0.001$  for both absolute number and percentile accounting for age and sex). No differences were seen in oxygen saturations and heart rate pre- and post-STS between the groups.

## Discussion

This is the first large-scale multicentre study to comprehensively investigate whether lung abnormalities are associated with breathlessness in never-hospitalised Long COVID (LC) patients. Previous studies using  $^{129}\text{Xe}$ -MRI in post-COVID-19 and pulmonary fibrosis populations have demonstrated that this modality can detect functional abnormalities at the level of the alveolar-capillary membrane not easily identified with conventional tests. Longitudinal  $^{129}\text{Xe}$ -MRI studies in other diseases have shown the technique to be highly reproducible and capable of detecting early changes in disease state.<sup>18,19</sup> In this study, we used  $^{129}\text{Xe}$ -MRI alongside established respiratory investigations to assess the lungs of breathless and non-breathless LC patients, aiming to understand the relationship between symptoms and lung function and to identify potential underlying pathophysiological processes affecting the lung parenchyma.

Our results show that in the majority of never-hospitalised LC participants, gas transfer measurements using  $^{129}\text{Xe}$ -MRI were similar to those of fully recovered controls. In a subgroup of breathless LC participants ( $n = 14$ ; 12.9%) with modest reductions in TLCO, we found that TLCO correlated with lower RBC:Gas and RBC:M z-scores and higher

breathlessness scores, suggesting that a small subset of LC patients may have underlying abnormalities contributing to their symptoms.

In a pilot study, significant reductions in RBC:M scores were observed in 54% (n=6) of breathless LC participants.<sup>15</sup> In contrast, the EXPLAIN study found mild reductions in RBC:M z-scores (<1.64) in only 20% of breathless participants, and no significant difference in the prevalence of RBC:M or RBC:Gas abnormalities between breathless LC participants and controls. These differences may relate to sample size and timing of recruitment.<sup>14,15</sup> Earlier studies enrolled participants during the initial waves of the pandemic, when infection severity was higher and residual lung damage more likely to be detected on <sup>129</sup>Xe-MRI. Although EXPLAIN included participants from early waves, most were recruited during later phases, when COVID-19 generally caused milder manifestations.<sup>20</sup> LC participants were diagnosed following evaluation in dedicated clinics according to NICE criteria.<sup>3,21</sup> Questionnaires related to fatigue, breathlessness, and health status confirmed substantial symptom burden, indicating that these findings are likely representative of the broader Long COVID population.<sup>1,6</sup>

Lung function values were generally lower in the predefined breathless LC group compared with non-breathless LC and control participants. However, most z-scores remained within the internationally standardised 'normal range,' indicating that observed differences were unlikely to explain the breathlessness reported. While some lung function metrics showed statistically significant differences, these are unlikely to be clinically meaningful or account for symptoms in most breathless LC participants. Pre-COVID lung function was unknown, limiting causal interpretation, although groups were matched for age, gender, BMI, smoking status, and comorbidities, reducing potential confounding. Interestingly, lower lung volumes in some LC individuals have also been observed in other non-hospitalised LC cohorts.<sup>22</sup>

Comparing breathless participants with TLCO z-scores <-1.64 to those within the normal range revealed some notable differences. Participants with low TLCO had higher D-12 scores, indicating greater breathlessness, and lower RBC:Gas values. Given the heterogeneous pathophysiology of LC, it is plausible that those with low TLCO represent a subgroup in which lung damage contributes to symptoms. These participants also had lower KCO and alveolar volume (Va), suggesting that gas transfer abnormalities reflect changes in both ventilated airspace and efficiency of gas exchange between alveoli and pulmonary capillaries.<sup>15</sup> However, caution is warranted in interpreting these findings, as the absolute differences were modest and unlikely to account for the excessive dyspnoea reported. Due to the absence of longitudinal data, it cannot be determined whether impaired gas transfer recovers over time.

The sit-to-stand (STS) test demonstrated that both breathless and non-breathless LC participants performed fewer repetitions than controls, indicating reduced exercise

capacity. Deconditioning has been identified as a major factor in exercise limitation among LC populations.<sup>23</sup> The mBORG dyspnoea score, commonly used to quantify breathlessness after exercise, was elevated in about one-third of breathless participants pre-STS.<sup>24</sup> Lung function measures derived from <sup>129</sup>Xe-MRI and traditional pulmonary function tests cannot account for this degree of breathlessness. Alternative/additional explanations include breathing pattern disorder (BPD), fatigue, subclinical ventilation abnormalities, deconditioning, anxiety, weight gain, and pain.<sup>6,25-27</sup> Breathlessness and reduced exercise capacity may also relate to metabolic or structural abnormalities outside the lungs. Small studies have linked exercise intolerance to impaired oxygen extraction by skeletal muscle and demonstrated structural and metabolic changes in peripheral muscles of LC participants.<sup>28,29</sup>

This study has limitations. Participants were referred by clinical teams and defined as 'breathless' or 'non-breathless' following assessment. Breathlessness scores (D-12, mBORG) obtained on the day of research visits showed overlap between groups, with some 'non-breathless' participants reporting elevated scores. No correlation was observed between D-12 scores and <sup>129</sup>Xe-MRI metrics (Table 3), highlighting the difficulty of using such a complex and multifaceted symptom to differentiate groups. Several previous studies have also demonstrated poor correlation between breathlessness and objective respiratory pathology.<sup>30-32</sup> A key strength of this study is recruitment from a real-world clinical setting based on self-reported symptoms, reinforcing the finding that the majority of participants do not exhibit lung parenchymal abnormalities explaining their breathlessness.

<sup>129</sup>Xe-MRI is a promising tool for detecting subclinical respiratory disease. The lack of significant differences between groups provides reassurance that lung pathology is not a primary driver of breathlessness for most LC participants. Nevertheless, its use remains largely within research, and its clinical utility and limitations are still emerging. Traditional lung function z-scores are derived from thousands of healthy participants, whereas this study's z-scores were based on 62 volunteers, limiting adjustment for demographic effects on gas transfer metrics.<sup>7,33</sup> Larger studies are needed to establish normative <sup>129</sup>Xe-MRI data across age, gender, and ethnicity. Additionally, correction factors used to adjust for field-strength differences may not fully account for technical variability, although statistical findings for RBC:M and RBC:Gas were consistent when analysed separately at different field strengths (Tables S5-6), supporting the robustness of the conclusions.

In summary, this study did not identify significant gas transfer abnormalities affecting the alveolar-capillary membrane in Long COVID participants. The combination of novel functional imaging and traditional investigations provides evidence that occult lung abnormalities do not account for breathlessness in the majority of LC patients.

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|                                                              | <b>Control subjects<br/>(N=44)</b> | <b>Breathless Long<br/>COVID (N=109)</b> | <b>Non-breathless<br/>Long COVID (N=43)</b> | <b>P-value*</b>  |
|--------------------------------------------------------------|------------------------------------|------------------------------------------|---------------------------------------------|------------------|
| <b>Age (years)</b>                                           | 45.7 (13.4)                        | 46.9 (12.8)                              | 45.9 (10.8)                                 | 0.821            |
| <b>(SD) [range]</b>                                          | [23.3 to 72.6]                     | [18.8 to 81.4]                           | [22.1 to 64.1]                              |                  |
| <b>BMI (kg/m<sup>2</sup>)</b>                                | 28.4 (5.8)                         | 28.4 (6.4)                               | 27.7 (5.1)                                  | 0.802            |
|                                                              | [19.1 to 45.5]                     | [16.4 to 49.5]                           | [20.8 to 40.0]                              |                  |
| <b>Ethnicity, n (%)</b>                                      |                                    |                                          |                                             | p(exact) = 0.015 |
| <b>White</b>                                                 | 38 (86.4%)                         | 94 (86.2%)                               | 43 (100.0%)                                 |                  |
| <b>Non-white</b>                                             | 6 (13.6%)                          | 15 (13.8%)                               | 0 (0.0%)                                    |                  |
| <b>Sex, n (%)</b>                                            |                                    |                                          |                                             | p = 0.156        |
| <b>Female</b>                                                | 34 (77.3%)                         | 71 (65.1%)                               | 25 (58.1%)                                  |                  |
| <b>Male</b>                                                  | 10 (22.7%)                         | 38 (34.9%)                               | 18 (41.9%)                                  |                  |
| <b>CT score<sup>‡</sup></b>                                  | 0 (0 to 0)                         | 0 (0 to 0)                               | 0 (0 to 0)                                  | 0.195            |
|                                                              | [0 to 3]                           | [0 to 4]                                 | [0 to 2]                                    |                  |
| <b>Missing, n (%)</b>                                        | 0 (0.0%)                           | 1 (0.9%)                                 | 0 (0.0%)                                    |                  |
| <b>Time from<br/>infection to CT<br/>(days)<sup>‡</sup></b>  | 246 (183 to 505)                   | 440 (245 to 749)                         | 329 (220 to 632)                            | 0.026            |
|                                                              | [110 to 1319]                      | [28 to 1489]                             | [25 to 1275]                                |                  |
| <b>Missing, n (%)</b>                                        | 0 (0.0%)                           | 2 (1.8%)                                 | 0 (0.0%)                                    |                  |
| <b>Time from<br/>infection to MRI<br/>(days)<sup>‡</sup></b> | 234 (180 to 512)                   | 632 (349 to 893)                         | 352 (212 to 756)                            | <0.001           |
|                                                              | [109 to 1319]                      | [112 to 1489]                            | [120 to 1275]                               |                  |
| <b>Missing, n (%)</b>                                        | 0 (0.0%)                           | 1 (0.9%)                                 | 0 (0.0%)                                    |                  |
| <b>Vaccinated, n (%)</b>                                     |                                    |                                          |                                             | p(exact) = 0.045 |
| <b>No</b>                                                    | 0 (0.0%)                           | 8 (7.3%)                                 | 0 (0.0%)                                    |                  |
| <b>Yes</b>                                                   | 44 (100.0%)                        | 101 (92.7%)                              | 43 (100.0%)                                 |                  |
| <b>Smoking, n (%)</b>                                        |                                    |                                          |                                             | p = 0.729        |
| <b>Former</b>                                                | 10 (22.7%)                         | 29 (26.6%)                               | 9 (20.9%)                                   |                  |
| <b>Diabetes, n (%)</b>                                       |                                    |                                          |                                             | p(exact) = 0.797 |
| <b>No</b>                                                    | 43 (97.7%)                         | 104 (95.4%)                              | 41 (95.3%)                                  |                  |
| <b>Yes</b>                                                   | 1 (2.3%)                           | 5 (4.6%)                                 | 2 (4.7%)                                    |                  |
| <b>Asthma, n (%)</b>                                         |                                    |                                          |                                             | p(exact)=0.386   |
| <b>Childhood only</b>                                        | 4 (9.1%)                           | 9 (8.3%)                                 | 2 (4.7%)                                    |                  |
| <b>No</b>                                                    | 33 (75.0%)                         | 86 (78.9%)                               | 39 (90.7%)                                  |                  |
| <b>Dyspnoea-12<sup>‡</sup></b>                               | NA                                 | 13 (9 to 19)                             | 3 (0 to 6)                                  | <0.001           |
|                                                              |                                    | [1 to 36]                                | [0 to 27]                                   |                  |
| <b>FACIT F</b>                                               | NA                                 | 21 (11)                                  | 23 (10)                                     | 0.413            |
|                                                              |                                    | [2 to 52]                                | [9 to 49]                                   |                  |
| <b>GAD-7<sup>‡</sup></b>                                     | NA                                 | 6 (1 to 11)                              | 5 (3 to 7)                                  | 0.591            |
|                                                              |                                    | [0 to 21]                                | [0 to 20]                                   |                  |
| <b>EuroQoL VAS</b>                                           | NA                                 | 53.2 (19.1)                              | 55.5 (17.4)                                 | 0.520            |
|                                                              |                                    | [0.0 to 95.0]                            | [20.0 to 90.0]                              |                  |
| <b>Missing, n (%)<br/>for questionnaires</b>                 | NA                                 | 27 (24.8%)                               | 5 (11.6%)                                   |                  |

\*Median, interquartile range and minimum and maximum presented for non-normally distributed continuous variables

**Table 1. The demographics and health characteristics for control, breathless Long COVID, and non-breathless Long COVID participants included within the study. Symptom-based questionnaires were evaluated in**

breathless and non-breathless LC participants only. Data are presented as mean (SD) [min, max] unless otherwise stated. Group comparisons based on ANOVA for normally distributed continuous variables, Kruskal-Wallis for non-normally distributed continuous variables, and chi-square or Fisher's exact test for categorical variables. BMI – Body mass index; Dyspnoea-12 – breathlessness questionnaire; FACIT-F – Functional Assessment of Chronic Illness Therapy – Fatigue; GAD-7 – Generalised Anxiety Disorder-7; and EQ5D VAS – EuroQol Visual Analogue Scale 'Health Today'.

|                                                 | <b>Control subjects<br/>(N=44)</b>      | <b>Breathless Long<br/>COVID (N=109)</b> | <b>Non-breathless Long<br/>COVID (N=43)</b> | <b>P-value</b> |
|-------------------------------------------------|-----------------------------------------|------------------------------------------|---------------------------------------------|----------------|
| <b>RBC:Gas mean</b>                             | 0.0028 (0.0010)<br>[0.0013 to 0.0053]   | 0.0027 (0.0010)<br>[0.0007 to 0.0058]    | 0.0030 (0.0012)<br>[0.0012 to 0.0064]       | 0.366          |
| <b>RBC:Gas<br/>standard<br/>deviation</b>       | 0.0010 (0.0004)<br>[0.0005 to 0.0026]   | 0.0009 (0.0003)<br>[0.0003 to 0.0025]    | 0.0010 (0.0005)<br>[0.0004 to 0.0025]       | 0.362          |
| <b>RBC:Gas<br/>coefficient of<br/>variation</b> | 0.36 (0.09)<br>[0.21 to 0.64]           | 0.36 (0.12)<br>[0.22 to 1.07]            | 0.33 (0.06)<br>[0.22 to 0.46]               | 0.170          |
| <b>RBC:Gas z-score</b>                          | -0.63 (1.00)<br>[-2.41 to 1.52]         | -0.80 (1.05)<br>[-3.28 to 2.37]          | -0.55 (1.30)<br>[-2.53 to 2.68]             | 0.403          |
| <b>RBC:M mean</b>                               | 0.35 (0.08)<br>[0.23 to 0.53]           | 0.35 (0.09)<br>[0.16 to 0.62]            | 0.36 (0.10)<br>[0.19 to 0.72]               | 0.824          |
| <b>RBC:M standard<br/>deviation</b>             | 0.09 (0.03)<br>[0.05 to 0.18]           | 0.08 (0.02)<br>[0.05 to 0.17]            | 0.08 (0.02)<br>[0.05 to 0.17]               | 0.434          |
| <b>RBC:M<br/>coefficient of<br/>variation</b>   | 0.27 (0.08)<br>[0.12 to 0.43]           | 0.26 (0.07)<br>[0.14 to 0.46]            | 0.24 (0.06)<br>[0.13 to 0.37]               | 0.153          |
| <b>RBC:M z-score</b>                            | -0.51 (1.00)<br>[-2.01 to 2.15]         | -0.62 (1.17)<br>[-3.58 to 2.63]          | -0.66 (1.30)<br>[-3.47 to 2.88]             | 0.821          |
| <b>M:Gas mean</b>                               | 0.0085 (0.0019)<br>[0.0058 to 0.0140]   | 0.0083 (0.0023)<br>[0.0035 to 0.0166]    | 0.0091 (0.0028)<br>[0.0046 to 0.0184]       | 0.195          |
| <b>M:Gas coefficient<br/>of variation</b>       | 0.2349 (0.0635)<br>[0.1464 to 0.4732]   | 0.2367 (0.0707)<br>[0.1543 to 0.6640]    | 0.2224 (0.0403)<br>[0.1650 to 0.3587]       | 0.264          |
| <b>M:Gas Z-score</b>                            | -0.2607 (0.9033)<br>[-1.5244 to 2.3799] | -0.3396 (1.0756)<br>[-2.6099 to 3.6530]  | 0.0463 (1.3399)<br>[-2.1109 to 4.4811]      | 0.154          |
| <b>Missing, n (%)</b>                           | 1 (2.3%)                                | 0 (0.0%)                                 | 0 (0.0%)                                    |                |

**Table 2: Global <sup>129</sup>Xe-MRI measurements in control subjects, breathless, and non-breathless Long COVID participants. RBC:Gas, RBC:M and M:Gas z-score and coefficient of variation measurements displayed. Summaries presented as mean, mean standard deviation, and minimum and maximum. P-values derived from ANOVA, adjusted for age and sex unless already adjusted via z-score.**

| <i>Measure</i>                                | <i>Control subjects<br/>(N=44)</i> | <i>Breathless Long<br/>COVID (N=109)</i> | <i>Non-Breathless Long<br/>COVID (N=43)</i> | <i>P-value*</i>  |
|-----------------------------------------------|------------------------------------|------------------------------------------|---------------------------------------------|------------------|
| <b><i>FVC z-score</i></b>                     | 0.4 (1.0)<br>[-1.5 to 2.9]         | 0.0 (1.0)<br>[-2.1 to 2.6]               | 0.4 (0.9)<br>[-1.2 to 3.3]                  | <b>0.043</b>     |
| <b><i>FVC % predicted (%)</i></b>             | 105.4 (11.9)<br>[80.4 to 131.8]    | 100.8 (12.9)<br>[73.7 to 132.6]          | 105.1 (12.7)<br>[84.8 to 145.2]             | 0.053            |
| <b><i>FEV<sub>1</sub> z-score</i></b>         | 0.3 (0.8)<br>[-1.2 to 2.1]         | -0.0 (0.9)<br>[-2.7 to 1.9]              | 0.3 (1.0)<br>[-1.3 to 4.0]                  | <b>0.023</b>     |
| <b><i>FEV<sub>1</sub> % predicted (%)</i></b> | 103.7 (10.2)<br>[82.7 to 126.5]    | 99.6 (12.0)<br>[65.8 to 125.3]           | 104.6 (12.8)<br>[84.1 to 150.6]             | <b>0.0128</b>    |
| <b><i>TLCO z-score</i></b>                    | -0.0 (1.0)<br>[-2.9 to 1.7]        | -0.7 (0.9)<br>[-3.3 to 1.5]              | -0.3 (0.9)<br>[-2.1 to 1.8]                 | <b>&lt;0.001</b> |
| <b><i>TLCO % predicted (%)</i></b>            | 100.5 (13.5)<br>[66.0 to 125.2]    | 91.2 (12.8)<br>[58.1 to 124.4]           | 96.8 (13.3)<br>[73.3 to 132.9]              | <b>&lt;0.001</b> |
| <b><i>KCO z-score</i></b>                     | 0.4 (1.0)<br>[-1.2 to 3.3]         | 0.0 (1.0)<br>[-3.7 to 2.3]               | 0.2 (0.9)<br>[-1.5 to 2.5]                  | 0.059            |
| <b><i>KCO % predicted (%)</i></b>             | 106.8 (15.8)<br>[84.9 to 149.6]    | 100.7 (13.5)<br>[53.8 to 131.4]          | 102.9 (13.0)<br>[78.7 to 136.2]             | 0.050            |
| <b><i>Va z-score</i></b>                      | -0.5 (1.0)<br>[-2.8 to 1.3]        | -0.8 (1.0)<br>[-3.5 to 1.7]              | -0.6 (0.9)<br>[-3.3 to 1.5]                 | 0.103            |
| <b><i>Va % predicted (%)</i></b>              | 94.3 (11.4)<br>[69.1 to 115.4]     | 90.9 (11.1)<br>[62.3 to 122.4]           | 94.0 (10.0)<br>[67.6 to 118.0]              | 0.111            |
| <i>Missing, n (%)</i>                         | 0 (2.3%)                           | 1 (0.9%)                                 | 0 (0%)                                      |                  |

**Table 3: Lung function measures by group with tests of group differences. Both z-scores and % predicted values are displayed. Summaries presented as mean, mean standard deviation, and minimum and maximum. \*P-values derived from ANOVA. FVC - Forced Vital Capacity; FEV<sub>1</sub> - Forced Expired Volume in one second; TLCO - Transfer factor for Lung carbon monoxide; KCO - carbon monoxide transfer coefficient; Va - Alveolar Volume.**

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## Figure Captions

### Figure 1. Diagram showing a dissolved phase $^{129}\text{Xe}$ spectra.

$^{129}\text{Xe}$  exhibits a different resonant frequency in the membrane and red blood cells (RBCs) allowing 3D volumetric images of  $^{129}\text{Xe}$  in the gas, membrane, and RBC compartments to be reconstructed. Voxel-wise maps of RBC:Gas, RBC:M and M:Gas are then calculated from the fraction of signal seen in the RBC phase compared to the gas and membrane phase respectively.

### Figure 2. Study profile illustrating participant recruitment, reasons for exclusion and numbers included in analyses.

Patients recruited from four centers but all study procedures undertaken in Oxford or Sheffield (Cardiff and Manchester participants, respectively). Patients with obstructive spirometry ( $\text{FEV}_1/\text{FVC}$  ratio of  $<0.7$ ) were excluded as this could be an explanation for symptoms. PFT – pulmonary function tests;  $^{129}\text{Xe}$ -MRI – Hyperpolarised xenon MRI;  $\text{FEV}_1$  - Forced Expired Volume in one second; FVC - Forced Vital Capacity; BLC – breathless Long COVID; NBLC – non-breathless Long COVID.

### Figure 3. Pulmonary $^{129}\text{Xe}$ -MRI image maps

- Figure to demonstrate gas, membrane and red blood cell (RBC)  $^{129}\text{Xe}$ -MRI image maps and how the ratios of RBC:Gas, RBC:M and M:Gas are calculated from gas and RBC measurements and membrane and RBC uptake, accordingly.
- Representative image maps of the RBC:M, RBC:Gas and M:Gas mean ratio maps of a control (top), breathless Long COVID (middle), and non-breathless Long COVID (bottom) participant.

### Figure 4. $^{129}\text{Xe}$ -MRI gas transfer parameters

- RBC:M, b. RBC:Gas and c. M:Gas z-scores in control subjects, breathless, and non-breathless Long COVID groups.

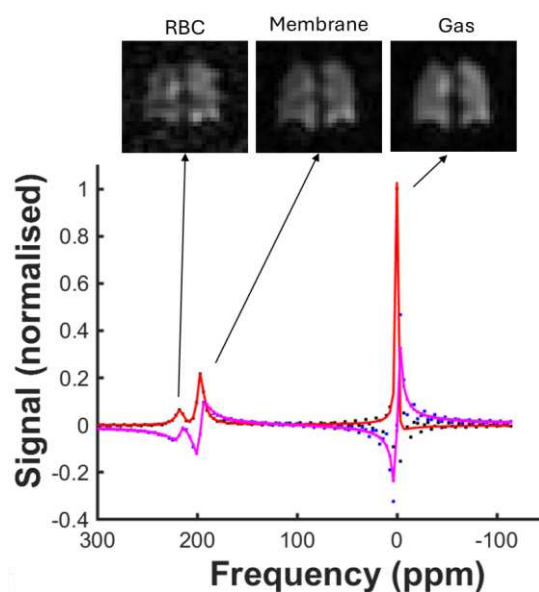
ANOVA for overall comparisons between groups, and linear regression for pairwise comparisons. Horizontal dashed lines represent  $\pm 1.64$  (i.e. the 90% normal range, values above 1.64 represent the top 5% and below -1.64 the bottom 5%) and  $\pm 1.96$  (the 95% normal range, the top and bottom 2.5%).

### Figure 5. Lung function z-scores presented by group.

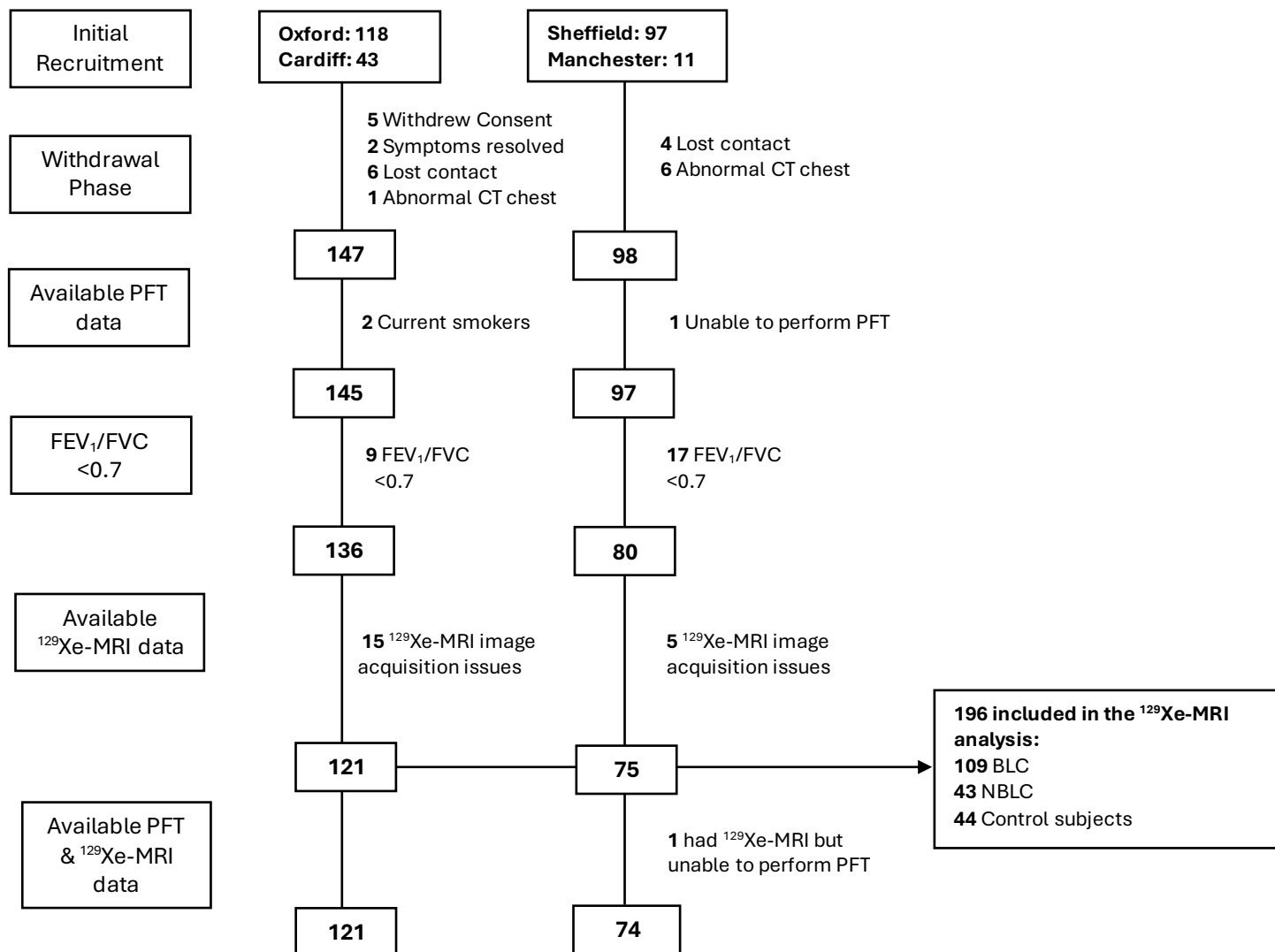
- $\text{FEV}_1$ , b. FVC, c. TLCO, d. KCO, and e.  $V_a$ .

Horizontal dashed lines represent  $\pm 1.64$  (i.e. the 90% normal range, values above 1.64 represent the top 5% and below -1.64 the bottom 5%) and  $\pm 1.96$  (the 95% normal range, the top and bottom 2.5%). P-values are given from an ANOVA model.

$\text{FEV}_1$  - Forced Expired Volume in one second; FVC - Forced Vital Capacity; TLCO - Transfer factor for Lung carbon monoxide; KCO - carbon monoxide transfer coefficient;  $V_a$  - Alveolar Volume.

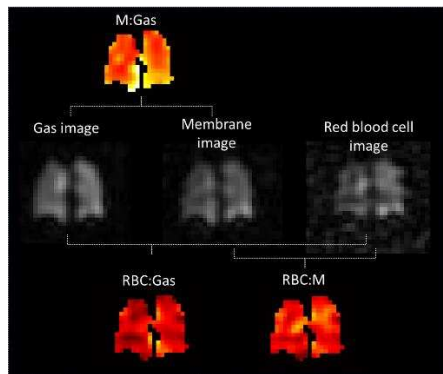


**Figure 1.** Diagram showing a dissolved phase  $^{129}\text{Xe}$  spectra.  $^{129}\text{Xe}$  exhibits a different resonant frequency in the membrane and red blood cells (RBCs) allowing 3D volumetric images of  $^{129}\text{Xe}$  in the gas, membrane, and RBC compartments to be reconstructed. Voxel-wise maps of RBC:Gas, RBC:M and M:Gas are then calculated from the fraction of signal seen in the RBC phase compared to the gas and membrane phase respectively.



**Figure 2. Study profile illustrating participant recruitment, reasons for exclusion and numbers included in analyses.** Patients recruited from four centres but all study procedures undertaken in Oxford or Sheffield (Cardiff and Manchester participants, respectively). Patients with obstructive spirometry (FEV<sub>1</sub>/FVC ratio of <0.7) were excluded as this could be an explanation for symptoms. PFT – pulmonary function tests; <sup>129</sup>Xe-MRI – Hyperpolarised xenon MRI; FEV<sub>1</sub> - Forced Expired Volume in one second; FVC - Forced Vital Capacity; BLC – breathless Long COVID; NBLC – non-breathless Long COVID.

a.



b.

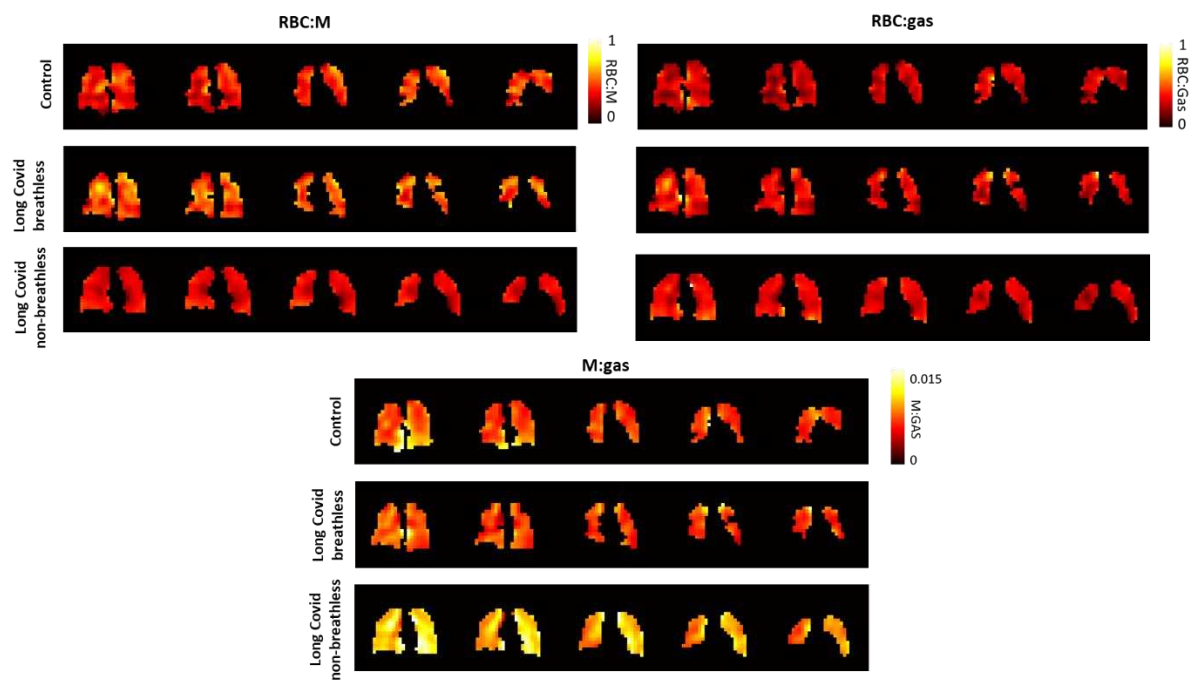
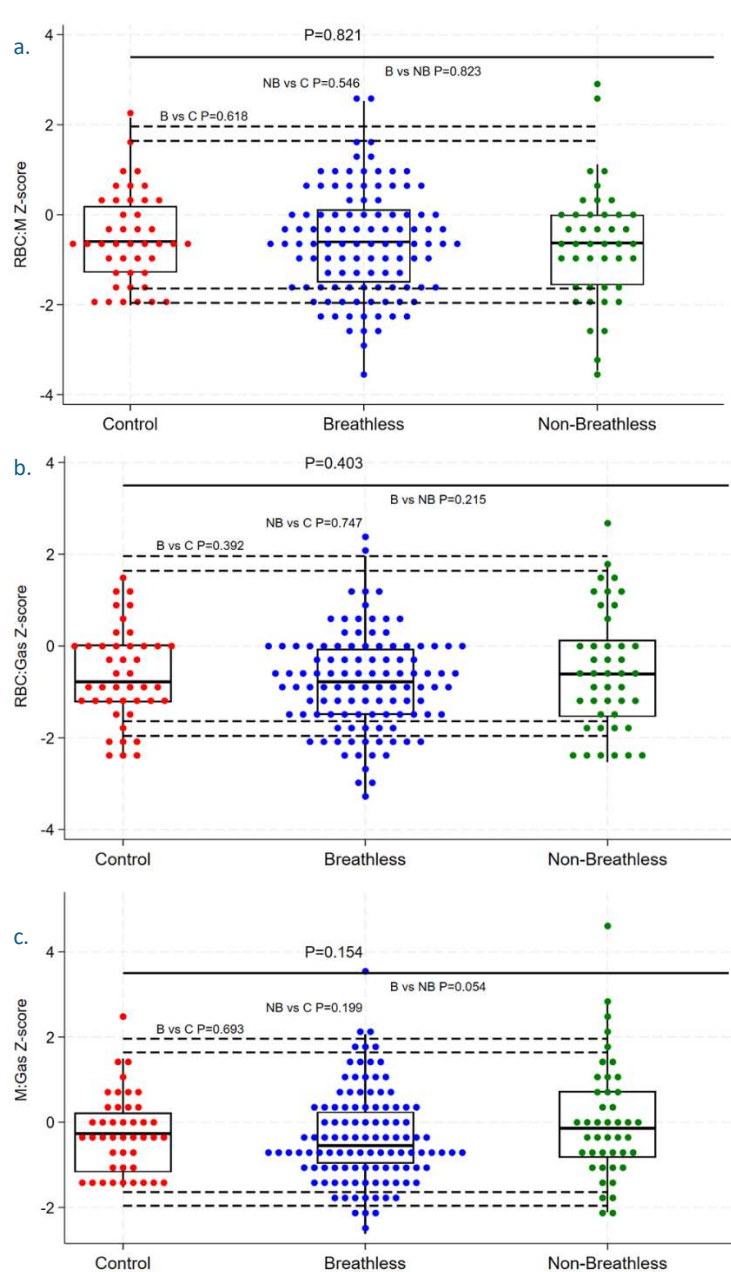
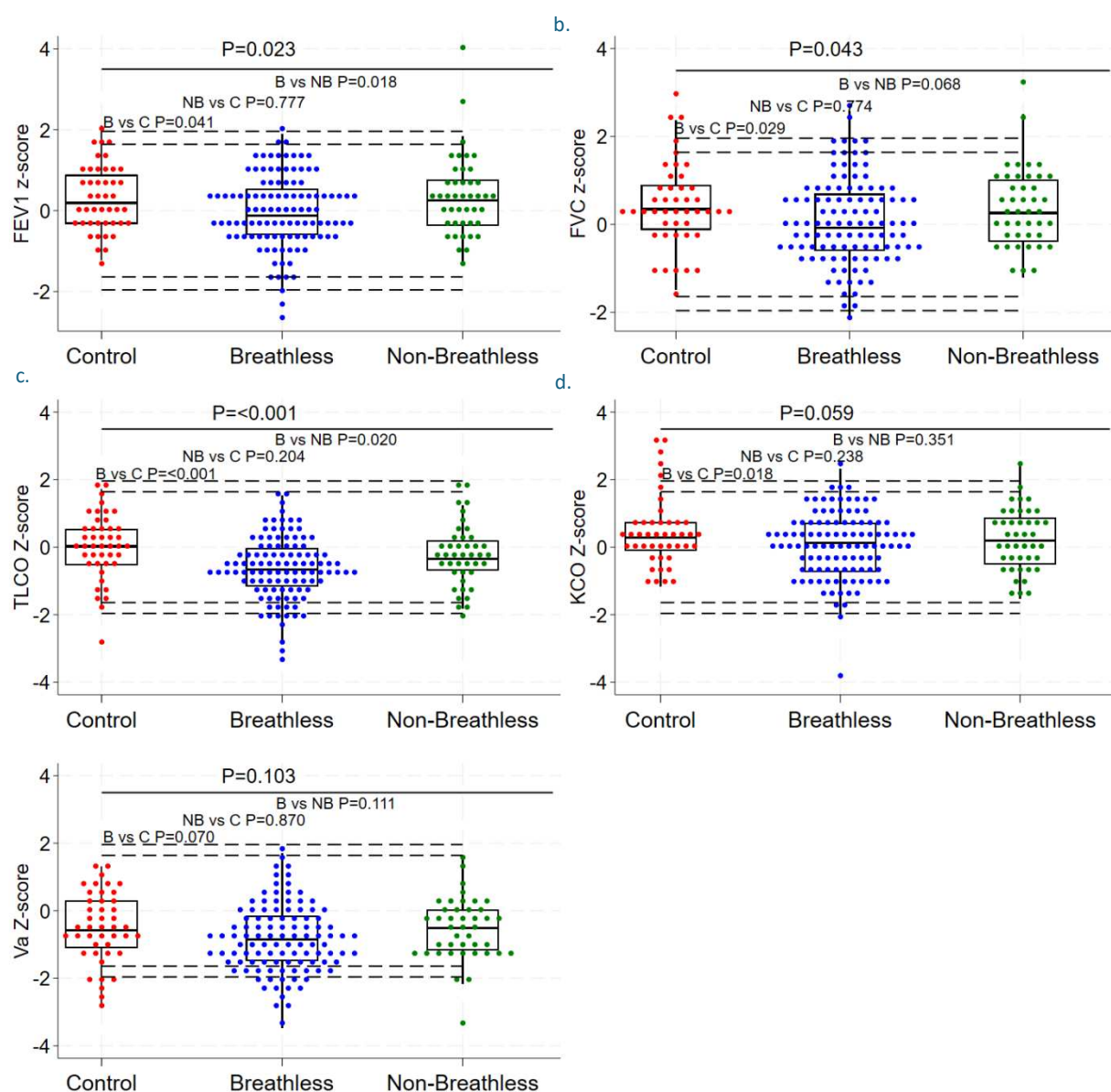


Figure 3: a) Figure to demonstrate gas, membrane and red blood cell (RBC)  $^{129}\text{Xe}$ -MRI image maps and how the ratios of RBC:Gas, RBC:M and M:Gas are calculated from gas and RBC measurements and membrane and RBC uptake, accordingly. b) Representative image maps of the RBC:M, RBC:Gas and M:Gas mean ratio maps of a control (top), BLC (middle), and NBLC (bottom) participant.



**Figure 4: a) RBC:M, b) RBC:Gas and c) M:Gas z-scores in controls, breathless, and non-breathless Long COVID groups. ANOVA for overall comparisons between groups, and linear regression for pairwise comparisons. Horizontal dashed lines represent  $\pm 1.64$  (i.e. the 90% normal range, values above 1.64 represent the top 5% and below -1.64 the bottom 5%) and  $\pm 1.96$  (the 95% normal range, the top and bottom 2.5%).**



**Figure 5. Lung function z-scores presented by group. a) FEV<sub>1</sub>, b) FVC, c) TLCO, d) KCO, and e) Va. Horizontal dashed lines represent  $\pm 1.64$  (i.e. the 90% normal range, values above 1.64 represent the top 5% and below -1.64 the bottom 5%) and  $\pm 1.96$  (the 95% normal range, the top and bottom 2.5%). P-values are given from an ANOVA model. FEV<sub>1</sub> - Forced Expired Volume in one second; FVC - Forced Vital Capacity; TLCO - Transfer factor for Lung carbon monoxide; KCO - carbon monoxide transfer coefficient; Va - Alveolar Volume.**

## Supplementary material

### Methods

#### CT scoring

The following approach was used to visually score CT scans. The presence of ground glass opacification, reticulation, traction bronchial dilatation and honeycombing was assessed and CT images were scored as per the clinical standard of CT COVID-19 severity scoring system.<sup>1</sup> Each lung lobe was assessed individually, and the percentage involvement of the lobe was noted based on visual assessment, scoring 1 if < 5% area involved, 2 if 5–25% area involved, 3 if 25–50% area involved, 4 if 50–75% area involved, and 5 if > 75% area involved. Maximum CT severity score was 25 provided by the sum of the individual lobar scores.

#### Sit-to-stand test

The number of sit-to-stand repetitions was also reported in percentile (2.5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, 97.5<sup>th</sup>) using the reference values by age group published by Strassmann et al.<sup>2</sup>

#### <sup>129</sup>Xe-MRI

##### *Polarisation of xenon*

<sup>129</sup>Xe gas (86% <sup>129</sup>Xe, Spectra Gases Inc.) was polarised as previously described.<sup>3,4</sup> Briefly, <sup>129</sup>Xe gas was polarised by rubidium vapour spin exchange optical pumping (SEOP), and cryogenically accumulated in 1-L doses using an in-house polariser (POLARIS, Sheffield) in Sheffield and in Oxford a commercial polariser (Model 9300, Polarean).

A 1L maximum of mixture of <sup>129</sup>Xe and nitrogen was inhaled from functional residual capacity.<sup>5</sup> For subjects scanned in Sheffield, the <sup>129</sup>Xe dose was titrated for subjects with height <160cm dose.<sup>6</sup> For subjects scanned in Oxford, 1L dose was used for all subjects.

##### *Hyperpolarised xenon MRI Oxford and Sheffield centres*

MRI was performed at 1.5T (General Electric Healthcare, WI, SIGNA Artist) in Sheffield and 3T (SIGNA Premier) in Oxford with imaging performed on a dedicated <sup>129</sup>Xe thorax transmit receive coil (CMRS in Sheffield and Pulseteq in Oxford) and the <sup>1</sup>H body coil (GE Healthcare).

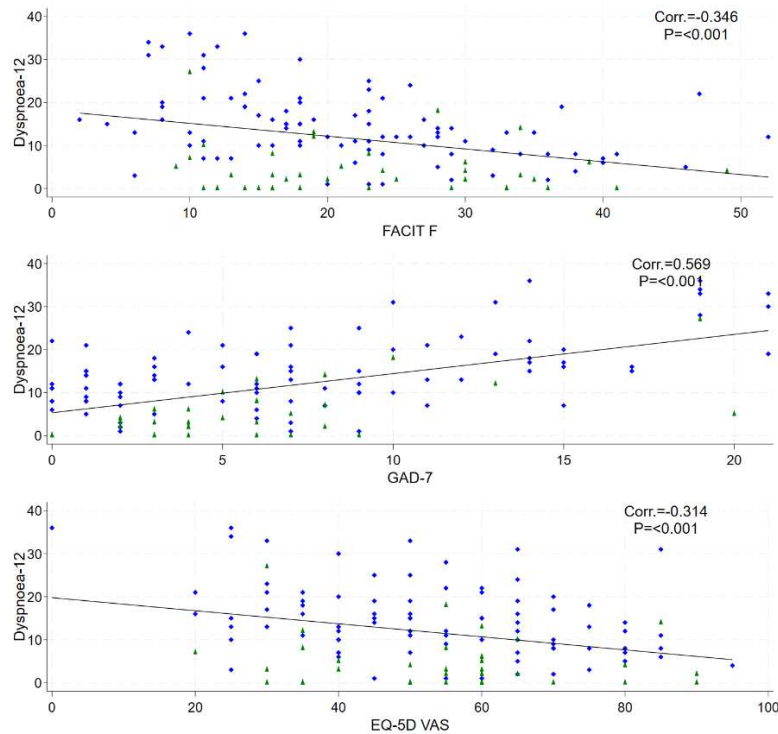
Dissolved phase <sup>129</sup>Xe spectroscopic images were acquired using a 3D 4-echo flyback radial acquisition as previously described (image resolution=2.0cm in all dimensions, reconstructed isotropic resolution of 1.25cm, repetition time per spoke = 15ms, flip angle per excitation on dissolved and gas phases = 22 and 0.22 degrees, respectively, total scan time = 14s).<sup>7</sup>

Gas, Membrane (M), and Red Blood Cell (RBC) images were reconstructed, and ratiometric maps (RBC:M, RBC:Gas and M:Gas) were constructed. The mean and coefficient of variation of each map was calculated. Z-scores were calculated for each mean metric based on published data from 62 healthy participants scanned at 1.5 T (including 24 healthy participants from this study).<sup>8</sup> Gas transfer ratios acquired at 1.5T and 3T were combined using correction factors based on scanning 14 healthy volunteers at 1.5 and 3T.

Regional metrics of gas transfer (RBC:M, RBC:Gas, M:Gas) were calculated by dividing whole lung maps evenly in the inferior-superior axis into three regions (lower, middle, and upper lungs). Gas transfer maps were also divided evenly in the anterior-posterior axis into two regions corresponding to anterior and posterior lung.

## Results

### Correlation between Dyspnoea-12 and patient-reported outcome measures



**Figure S 1.** Graphs showing the correlations between a) FACIT-F b) GAD-7 and c) EQ5D VAS scores and Dyspnoea-12 score in all long COVID (LC) participants. Higher D-12 values indicate higher breathlessness scores, lower FACIT-F scores indicate worse fatigue ratings, increased GAD-7 scores indicate higher anxiety scores, higher EQ-5D VAS scores indicate better perceived health. Blue markers represent the breathless and green the non-breathless LC groups.

**FACIT-F** – Functional Assessment of Chronic Illness Therapy – Fatigue; **GAD-7** – Generalised Anxiety Disorder-7; and **EQ-5D VAS** – EuroQol Visual Analogue Scale ‘Health Today’.

## Regional metrics of RBC:Gas z-score

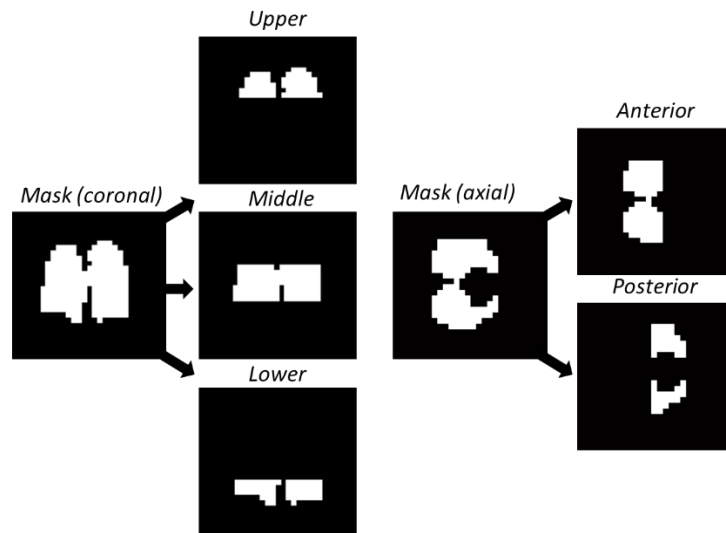


Figure S 2. The lungs were divided into upper, middle and lower areas, as well as anterior and posterior regions. Regional metrics of gas transfer (RBC:M, RBC:Gas and M:Gas z-scores) were calculated within each area to assess for evidence of regional abnormalities.

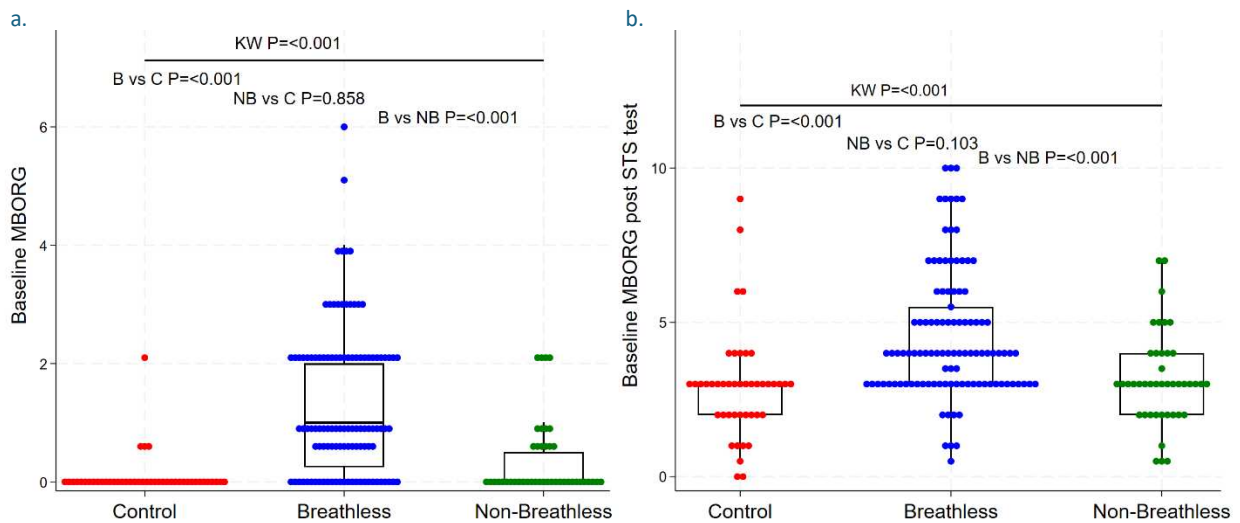


Figure S 3. Comparison of mBORG scores pre and post sit-to-stand test. a) Baseline and b) post STS change in modified BORG Dyspnoea score (mBORG) between controls, breathless (BLC), and non-breathless Long COVID (NBLC) participants.

| Variable                       | Age and sex adjusted<br>Correlation, P-value with<br>RBC:Gas | Age and sex adjusted<br>Correlation, P-value with<br>RBC:M | Age and sex adjusted<br>Correlation, P-value with<br>M:Gas |
|--------------------------------|--------------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|
| <i>FEV<sub>1</sub> z-score</i> | 0.100, p=0.151                                               | 0.040, P=0.467                                             | 0.102, P=0.161                                             |
| <i>FVC z-score</i>             | 0.062, p=0.373                                               | 0.055, P=0.315                                             | 0.035, P=0.624                                             |
| <i>TLCO z-score</i>            | <b>0.304, p&lt;0.001</b>                                     | <b>0.185, P=0.001</b>                                      | 0.119, P=0.100                                             |
| <i>Va z-score</i>              | 0.080, p=0.249                                               | <b>0.121, P=0.025</b>                                      | -0.050, P=0.487                                            |
| <i>KCO z-score</i>             | <b>0.247, p&lt;0.001</b>                                     | 0.089, P=0.103                                             | <b>0.171, P=0.018</b>                                      |
| <i>BMI</i>                     | 0.002, p=0.978                                               | <b>-0.128, P=0.025</b>                                     | <b>0.185, P=0.014</b>                                      |
| <i>Dyspnoea-12</i>             | -0.076, p=0.372                                              | -0.050, P=0.455                                            | -0.063, P=0.488                                            |

**Table S 1: Table to show the relationship between the RBC:Gas, RBC:M and M:Gas with lung function measurements, BMI and the Dyspnoea-12 questionnaire. Correlations estimated from a regression adjusted for age and sex. FEV<sub>1</sub> - Forced Expired Volume in one second; FVC - Forced Vital Capacity; TLCO - Transfer factor for Lung carbon monoxide; KCO - carbon monoxide transfer coefficient; Va - Alveolar Volume, BMI - Body mass index.**

| RBC:Gas<br>z-score       | Controls<br>(N=44)              | Breathless Long<br>COVID (N=109) | Non-breathless<br>Long COVID(N=43) | p-value* |
|--------------------------|---------------------------------|----------------------------------|------------------------------------|----------|
| <b>Lower z-score</b>     | -0.32 (0.90)<br>[-1.88 to 1.98] | -0.48 (0.92)<br>[-2.38 to 2.88]  | -0.27 (1.07)<br>[-1.89 to 2.93]    | 0.40     |
| <b>Mid z-score</b>       | -0.71 (0.81)<br>[-2.30 to 0.83] | -0.79 (0.92)<br>[-2.66 to 2.07]  | -0.59 (1.10)<br>[-2.43 to 2.62]    | 0.52     |
| <b>Upper z-score</b>     | -0.63 (1.05)<br>[-2.92 to 2.04] | -0.72 (1.08)<br>[-3.36 to 2.58]  | -0.47 (1.33)<br>[-2.81 to 3.05]    | 0.47     |
| <b>Anterior z-score</b>  | -0.54 (0.74)<br>[-1.90 to 1.56] | -0.59 (0.76)<br>[-2.14 to 2.10]  | -0.48 (0.90)<br>[-2.02 to 1.84]    | 0.72     |
| <b>Posterior z-score</b> | -0.60 (0.97)<br>[-2.45 to 1.30] | -0.74 (1.01)<br>[-3.16 to 2.42]  | -0.48 (1.28)<br>[-2.58 to 2.87]    | 0.38     |
| <b>Missing, n(%)</b>     | 1 (2.3%)                        | 0 (0.0%)                         | 0 (0.0%)                           |          |
| <b>RBC:M z-score</b>     |                                 |                                  |                                    |          |
| <b>Lower z-score</b>     | 0.34 (0.08)<br>[0.22 to 0.53]   | 0.34 (0.08)<br>[0.16 to 0.59]    | 0.35 (0.10)<br>[0.16 to 0.67]      | 0.81     |
| <b>Mid z-score</b>       | 0.35 (0.08)<br>[0.22 to 0.50]   | 0.35 (0.09)<br>[0.16 to 0.60]    | 0.35 (0.11)<br>[0.18 to 0.72]      | 0.94     |
| <b>Upper z-score</b>     | 0.37 (0.08)<br>[0.22 to 0.58]   | 0.37 (0.09)<br>[0.18 to 0.66]    | 0.38 (0.11)<br>[0.20 to 0.78]      | 0.83     |
| <b>Anterior z-score</b>  | 0.35 (0.08)<br>[0.20 to 0.52]   | 0.35 (0.09)<br>[0.17 to 0.60]    | 0.35 (0.10)<br>[0.19 to 0.67]      | 0.99     |
| <b>Posterior z-score</b> | 0.36 (0.08)<br>[0.25 to 0.54]   | 0.35 (0.09)<br>[0.16 to 0.63]    | 0.36 (0.10)<br>[0.18 to 0.75]      | 0.84     |
| <b>Missing, n(%)</b>     | 1 (2.3%)                        | 0 (0.0%)                         | 0 (0.0%)                           |          |
| <b>M:Gas z-score</b>     |                                 |                                  |                                    |          |
| <b>Lower z-score</b>     | -0.21 (0.86)<br>[-1.43 to 1.47] | -0.30 (1.02)<br>[-2.34 to 3.68]  | 0.04 (1.17)<br>[-1.90 to 3.32]     | 0.18     |
| <b>Mid z-score</b>       | -0.31 (1.09)<br>[-1.83 to 2.91] | -0.42 (1.30)<br>[-3.20 to 4.68]  | 0.08 (1.63)<br>[-2.55 to 5.65]     | 0.12     |
| <b>Upper z-score</b>     | -0.27 (0.98)                    | -0.35 (1.05)                     | 0.02 (1.35)                        | 0.18     |

|                          |                                 |                                 |                                |      |
|--------------------------|---------------------------------|---------------------------------|--------------------------------|------|
| <b>Anterior z-score</b>  | [-1.63 to 3.56]<br>-0.20 (0.94) | [-2.52 to 3.25]<br>-0.31 (1.02) | [-2.12 to 4.90]<br>0.06 (1.34) | 0.16 |
| <b>Posterior z-score</b> | [-1.51 to 3.43]<br>-0.28 (0.85) | [-2.48 to 4.30]<br>-0.35 (1.03) | [-1.91 to 4.92]<br>0.05 (1.28) | 0.10 |
| <b>Missing, n(%)</b>     | 1 (2.3%)                        | 0 (0.0%)                        | 0 (0.0%)                       |      |

**Table S 2: Regional RBC:Gas, RBC:M and M:Gas z-scores in controls, breathless Long COVID and non-breathless Long COVID. RBC:Gas, RBC:M and M:Gas z-scores examined separately in the lower, mid and upper lung zones and anterior and posterior portions of lung. Summaries presented as mean, standard deviation and minimum and maximum. \*P-values derived from ANOVA.**

#### Characteristics of breathless patients with TLCO z-score >-1.64 and <-1.64

| Outcome                        | BLC with TLCO >-1.64<br>(N=94) | BLC with TLCO <-1.64<br>(N=14) | p-value          |
|--------------------------------|--------------------------------|--------------------------------|------------------|
| <b>FVC z-score</b>             | 0.1 (0.9)<br>[-2.1 to 2.6]     | -0.3 (1.0)<br>[-1.9 to 1.9]    | 0.20             |
| <b>FEV<sub>1</sub> z-score</b> | -0.0 (0.9)<br>[-2.7 to 1.9]    | -0.3 (1.0)<br>[-1.9 to 1.8]    | 0.33             |
| <b>KCO z-score</b>             | 0.2 (0.8)<br>[-1.5 to 2.3]     | -1.1 (1.1)<br>[-3.7 to 1.4]    | <b>&lt;0.001</b> |
| <b>Va z-score</b>              | -0.8 (1.0)<br>[-2.9 to 1.7]    | -1.4 (1.0)<br>[-3.5 to 0.6]    | <b>0.02</b>      |
| <b>BMI (kg/m<sup>2</sup>)</b>  | 28.3 (6.0)<br>[17.2 to 49.5]   | 30.1 (7.9)<br>[16.4 to 40.9]   | 0.31             |
| <b>Smoking history, n (%)</b>  | 23 (24.5%)                     | 6 (42.9%)                      | p(exact) = 0.20  |
| <b>Dyspnoea-12</b>             | 14 (8)<br>[1 to 36]            | 22 (10)<br>[2 to 36]           | <b>0.001</b>     |
| <b>Missing, n (%)</b>          | 17 (18.1%)                     | 3 (21.4%)                      |                  |
| <b>FACIT F</b>                 | 22 (10)<br>[2 to 52]           | 18 (18)<br>[7 to 47]           | 0.34             |
| <b>Missing, n (%)</b>          | 17 (18.1%)                     | 3 (21.4%)                      |                  |
| <b>GAD-7</b>                   | 6 (6)<br>[0 to 2]              | 10 (6)<br>[0 to 19]            | <b>0.04</b>      |
| <b>Missing, n (%)</b>          | 8 (8.5%)                       | 2 (14.3%)                      |                  |
| <b>Baseline mBORG</b>          | 1.2 (1.2)<br>[0.0 to 6.0]      | 1.8 (1.5)<br>[0.0 to 4.0]      | 0.11             |
| <b>Missing, n (%)</b>          | 1 (1.1%)                       | 0 (0.0%)                       |                  |
| <b>mBORG post STS test</b>     | 4.4 (1.9)<br>[1.0 to 10.0]     | 5.5 (2.8)<br>[0.5 to 10.0]     | 0.08             |
| <b>Missing, n (%)</b>          | 2 (2.1%)                       | 0 (0.0%)                       |                  |

|                                               |                              |                              |              |
|-----------------------------------------------|------------------------------|------------------------------|--------------|
| <b>Number STS movements patient completed</b> | 27.6 (10.3)<br>[9.0 to 71.0] | 22.1 (7.0)<br>[11.0 to 40.0] | 0.06         |
| Missing, n (%)                                | 2 (2.1%)                     | 0 (0.0%)                     |              |
| <b>RBC:Gas z-score</b>                        | -0.7 (1.0)<br>[-3.3 to 2.4]  | -0.8 (1.2)<br>[-2.9 to 2.0]  | <b>0.01</b>  |
| <b>RBC:M z-score</b>                          | -0.9 (1.2)<br>[-3.9 to 2.5]  | -1.0 (1.2)<br>[-3.9 to 2.5]  | <b>0.049</b> |
| <b>M:Gas z-score</b>                          | -0.3 (1.0)<br>[-2.6 to 2.2]  | -0.7 (1.5)<br>[-2.2 to 3.7]  | 0.16         |

**Table S 3: A comparison of the characteristics of BLC with a TLCO z-score below -1.64 and above -1.64 including lung function values, questionnaires, exercise data, and <sup>129</sup>Xe-MRI findings. NBLC and controls not analysed due to low numbers (n=3 and 2, respectively). Summaries presented as mean, standard deviation and minimum and maximum. P-values derived from ANOVA for between group comparisons. TLCO - Transfer factor for Lung carbon monoxide; FVC - Forced Vital Capacity; FEV<sub>1</sub> - Forced Expired Volume in one second; KCO - carbon monoxide transfer coefficient; Va - Alveolar Volume; BMI - Body mass index; FACIT-F - Functional Assessment of Chronic Illness Therapy - Fatigue; GAD-7 - Generalised Anxiety Disorder-7; STS - 1-minute Sit-to-stand test.**

| <b>Outcome</b>                            | <b>Control subjects<br/>(N=44)</b> | <b>Breathless Long<br/>Covid (N=109)</b> | <b>Non-breathless<br/>Long Covid (N=43)</b> | <b>P-value<sup>†</sup></b> |
|-------------------------------------------|------------------------------------|------------------------------------------|---------------------------------------------|----------------------------|
| <b>Number STS repetitions</b>             | 39.2 (13.5)<br>[20 to 68]          | 26.8 (10.1)<br>[9 to 71]                 | 31.3 (12.2)<br>[8 to 59]                    | <b>&lt;0.001</b>           |
| <b>STS Percentile (n<sup>th</sup>)</b>    | 25 (2.5 to 56.3)<br>[2.5 to 97.5]  | 2.5 (2.5 to 2.5)<br>[2.5 to 97.5]        | 2.5 (2.5 to 25.0)<br>[2.5 to 75.0]          | <b>&lt;0.001</b>           |
| <b>mBORG pre-STSTest</b>                  | 0 (0 to 0)<br>[0 to 2]             | 1 (0.2 to 2)<br>[0 to 6]                 | 0 (0 to 0.5)<br>[0 to 2]                    | <b>&lt;0.001</b>           |
| <b>mBORG post-STSTest<sup>‡</sup></b>     | 3 (2 to 3)<br>[0 to 9]             | 4 (3 to 5.5)<br>[0.5 to 10]              | 3 (2 to 4)<br>[0.5 to 7]                    | <b>&lt;0.001</b>           |
| <b>Oxygen saturation pre-STSTest (%)</b>  | 98 (1.4)<br>[94 to 100]            | 97.8 (1.7)<br>[92 to 100]                | 97.5 (1.7)<br>[94 to 100]                   | 0.420                      |
| <b>Oxygen saturation post-STSTest (%)</b> | 97.4 (1.6)<br>[93 to 100]          | 97.3 (2.1)<br>[85 to 100]                | 97.8 (1.3)<br>[95 to 100]                   | 0.247                      |
| <b>Heart rate pre-STSTest (bpm)</b>       | 75.4 (13.5)<br>[52 to 112]         | 78.4 (13.4)<br>[50 to 120]               | 74.7 (12.2)<br>[54 to 104]                  | 0.207                      |
| <b>Heart rate post-STSTest (bpm)</b>      | 100.5 (85.5 to 116)<br>[64 to 152] | 101 (91 to 113)<br>[55 to 160]           | 90 (81 to 115)<br>[56 to 171]               | 0.368                      |
| Missing, n (%)                            | 0 (0%)                             | 2 (1.8%)                                 | 0 (0%)                                      |                            |

<sup>‡</sup>Median and interquartile range presented for non-normally distributed continuous variables

<sup>†</sup>ANOVA used for normally distributed variables and Kruskal-Wallis for non-normally distributed variables.

**Table S 4: Summary of number of repetitions completed during the 1-minute sit-to-stand test (STS) across groups, plus measures of breathlessness (mBORG), oxygen saturations, and heart rate pre-and post the STS test. Summaries presented**

as mean, standard deviation and minimum and maximum except for non-normally distributed continuous variables<sup>‡</sup>. mBORG – modified BORG dyspnoea score; bpm – beats per minute.

|                                                   | <b>Control subjects<br/>(N=27)</b> | <b>Breathless Long<br/>COVID (N=66)</b> | <b>Non-breathless<br/>Long COVID (N=28)</b> | <b>P-value*</b>  |
|---------------------------------------------------|------------------------------------|-----------------------------------------|---------------------------------------------|------------------|
| <b>Age (years)</b>                                | 48.2 (14.8)<br>[27.4 to 72.6]      | 50.0 (12.6)<br>[23.3 to 81.4]           | 46.8 (10.0)<br>[28.5 to 63.7]               | 0.510            |
| <b>BMI (kg/m<sup>2</sup>)</b>                     | 29.9 (6.3)<br>[19.4 to 45.5]       | 29.9 (6.6)<br>[16.4 to 49.5]            | 27.5 (4.6)<br>[20.8 to 37.1]                | 0.209            |
| <b>Sex, n (%)</b>                                 |                                    |                                         |                                             | p = 0.696        |
| <b>Female</b>                                     | 20 (74.1%)                         | 43 (65.2%)                              | 19 (67.9%)                                  |                  |
| <b>Male</b>                                       | 7 (25.9%)                          | 23 (34.8%)                              | 9 (32.1%)                                   |                  |
| <b>Time from<br/>infection (days)<sup>‡</sup></b> | 418 (206 to 628)<br>[110 to 1319]  | 574 (347 to 900)<br>[125 to 1489]       | 298 (195 to 518)<br>[141 to 1064]           | <b>0.004</b>     |
| <b>Smoking, n (%)</b>                             |                                    |                                         |                                             | p(exact) = 0.265 |
| <b>Former</b>                                     | 6 (22.2%)                          | 20 (30.3%)                              | 4 (14.3%)                                   |                  |
| <b>FEV<sub>1</sub> z-score</b>                    | 0.27 (0.79)<br>[-1.21 to 1.67]     | -0.04 (0.94)<br>[-2.66 to 1.83]         | 0.43 (1.04)<br>[-1.28 to 4.02]              | 0.063            |
| <b>FVC z-score</b>                                | 0.28 (0.95)<br>[-1.48 to 2.86]     | -0.02 (0.96)<br>[-2.10 to 2.36]         | 0.36 (0.94)<br>[-1.20 to 3.26]              | 0.146            |
| <b>TLCO z-score</b>                               | -0.31 (0.90)<br>[-2.93 to 1.39]    | -0.99 (0.83)<br>[-3.33 to 0.41]         | -0.47 (0.88)<br>[-2.09 to 1.23]             | <b>&lt;0.001</b> |
| <b>KCO z-score</b>                                | 0.51 (1.09)<br>[-1.16 to 3.10]     | -0.17 (1.05)<br>[-3.68 to 1.51]         | 0.07 (0.98)<br>[-1.51 to 2.46]              | <b>0.020</b>     |
| <b>Va z-score</b>                                 | -0.96 (0.93)<br>[-2.78 to 1.26]    | -1.03 (0.94)<br>[-3.46 to 1.36]         | -0.69 (0.94)<br>[-3.25 to 1.51]             | 0.270            |
| <b>CT score</b>                                   | 0.0 (0.0 to 0.0)<br>[0.0 to 3.0]   | 0.0 (0.0 to 1.0)<br>[0.0 to 4.0]        | 0.0 (0.0 to 0.0)<br>[0.0 to 2.0]            | 0.134            |

|                                             |                                 |                                 |                                 |                  |
|---------------------------------------------|---------------------------------|---------------------------------|---------------------------------|------------------|
| <b>RBC:M z-score</b>                        | -0.67 (0.90)<br>[-2.01 to 1.61] | -0.75 (1.18)<br>[-3.58 to 2.63] | -0.62 (1.24)<br>[-3.21 to 2.68] | 0.866            |
| <b>RBC:Gas z-score</b>                      | -0.84 (1.14)<br>[-2.41 to 1.52] | -1.08 (1.14)<br>[-3.28 to 2.37] | -0.86 (1.27)<br>[-2.53 to 2.68] | 0.558            |
| <b>M:Gas z-score</b>                        | -0.28 (1.06)<br>[-1.52 to 2.38] | -0.49 (1.15)<br>[-2.61 to 3.65] | -0.24 (1.32)<br>[-2.11 to 4.48] | 0.552            |
| Missing, n (%)<br>for <sup>129</sup> Xe-MRI | 1 (3.7%)                        | 0 (0.0%)                        | 0 (0%)                          |                  |
| <b>Dyspnoea-12<sup>‡</sup></b>              | NA                              | 14 (11 to 20)<br>[1 to 36]      | 3 (0 to 6)<br>[0 to 14]         | <b>&lt;0.001</b> |
| Missing, n (%)                              | NA                              | 8 (12.1%)                       | 3 (10.7%)                       |                  |

\* Median, interquartile range and minimum and maximum presented for non-normally distributed continuous variables

<sup>‡</sup>ANOVA used for normally distributed variables and Kruskal-Wallis for non-normally distributed variables.

**Table S 5: Demographics, pulmonary function tests, Dyspnoea-12, CT, and global <sup>129</sup>Xe-MRI (using 3T scanner) measurements across groups in the Oxford and Cardiff cohort (all study procedures undertaken in Oxford). Summaries presented as mean, standard deviation and minimum and maximum except for non-normally distributed continuous variables<sup>‡</sup>. BMI – Body mass index; FEV<sub>1</sub> - Forced Expired Volume in one second; FVC - Forced Vital Capacity; TLCO - Transfer factor for Lung carbon monoxide; KCO - carbon monoxide transfer coefficient; Va – Alveolar Volume; CT – Computed Tomography.**

|                                         | <b>Control subjects<br/>(N=17)</b> | <b>Breathless Long<br/>COVID (N=43)</b> | <b>Non-breathless<br/>Long COVID (N=15)</b> | <b>P-value*</b>  |
|-----------------------------------------|------------------------------------|-----------------------------------------|---------------------------------------------|------------------|
| <b>Age (years)</b>                      | 41.8 (10.2)<br>[23.3 to 60.5]      | 42.2 (11.7)<br>[18.8 to 69.1]           | 44.3 (12.4)<br>[22.1 to 64.1]               | 0.802            |
| <b>BMI (kg/m<sup>2</sup>)</b>           | 26.2 (4.2)<br>[19.1 to 34.4]       | 26.3 (5.3)<br>[16.6 to 41.5]            | 28.2 (6.1)<br>[21.1 to 40.0]                | 0.433            |
| <b>Sex, n (%)</b>                       | <b>p(exact) = 0.042</b>            |                                         |                                             |                  |
| <b>Female</b>                           | 14 (82.4%)                         | 28 (65.1%)                              | 6 (40.0%)                                   |                  |
| <b>Male</b>                             | 3 (17.6%)                          | 15 (34.9%)                              | 9 (60.0%)                                   |                  |
| <b>Time from<br/>infection (days) ‡</b> | 183 (172 to 237)<br>[130 to 472]   | 723 (442 to 910)<br>[189 to 1144]       | 645 (400 to 892)<br>[235 to 1336]           | <b>&lt;0.001</b> |
| Missing, n (%)                          | 0 (0.0%)                           | 2 (4.2%)                                | 0 (0.0%)                                    |                  |
| <b>Smoking, n (%)</b>                   | <b>p(exact) = 0.451</b>            |                                         |                                             |                  |
| <b>Former</b>                           | 4 (23.5%)                          | 8 (18.6%)                               | 5 (33.3%)                                   |                  |
| <b>FEV<sub>1</sub> z-score</b>          | 0.34 (0.85)<br>[-0.85 to 2.11]     | -0.04 (0.86)<br>[-2.39 to 1.89]         | 0.20 (0.91)<br>[-1.04 to 2.60]              | 0.297            |
| <b>FVC z-score</b>                      | 0.63 (0.94)<br>[-1.04 to 2.36]     | 0.14 (0.97)<br>[-1.82 to 2.58]          | 0.35 (0.92)<br>[-0.67 to 0.80]              | 0.203            |
| <b>TLCO z-score</b>                     | 0.49 (0.86)<br>[-1.75 to 1.72]     | -0.12 (0.81)<br>[-1.50 to 1.53]         | 0.18 (0.80)<br>[-0.67 to 1.84]              | <b>0.038</b>     |
| <b>KCO z-score</b>                      | 0.33 (1.00)<br>[-0.90 to 3.29]     | 0.32 (0.76)<br>[-1.04 to 2.32]          | 0.42 (0.75)<br>[-0.83 to 1.45]              | 0.925            |
| <b>Va z-score</b>                       | 0.18 (0.78)<br>[-1.58 to 1.30]     | -0.53 (1.02)<br>[-2.80 to 1.70]         | -0.27 (0.75)<br>[-1.27 to 1.27]             | <b>0.031</b>     |
| Missing, n (%)<br>for all PFTs          | 0 (0.0%)                           | 1 (2.3%)                                | 1 (6.7%)                                    |                  |
| <b>CT score</b>                         | 0.0 (0.0 to 0.0)<br>[0.0 to 0.0]   | 0.0 (0.0 to 0.0)<br>[0.0 to 1.0]        | 0.0 (0.0 to 0.0)<br>[0.0 to 0.0]            | 0.683            |
| Missing, n (%)                          | 0 (0.0%)                           | 1 (2.1%)                                | 0 (0%)                                      |                  |
| <b>RBC:M z-score</b>                    | -0.27 (1.12)<br>[-1.95 to 2.15]    | -0.41 (1.14)<br>[-2.34 to 2.52]         | -0.75 (1.43)<br>[-3.47 to 2.88]             | 0.511            |
| <b>RBC:Gas z-score</b>                  | -0.30 (0.64)<br>[-1.16 to 1.17]    | -0.36 (0.72)<br>[-2.18 to 1.31]         | 0.03 (1.20)<br>[-1.85 to 1.73]              | 0.291            |
| <b>M:Gas z-score</b>                    | -0.23 (0.63)<br>[-1.46 to 0.67]    | -0.10 (0.92)<br>[-1.79 to 1.90]         | 0.59 (1.25)<br>[-1.73 to 2.79]              | 0.030            |
| <b>Dyspnoea-12‡</b>                     | NA                                 | 14 (8 to 19)<br>[1 to 28]               | 4 (0 to 5)<br>[0 to 18]                     | <b>0.002</b>     |
| Missing, n (%)                          | NA                                 | 19 (44.2%)                              | 2 (13.3%)                                   |                  |

\* Median, interquartile range and minimum and maximum presented for non-normally distributed continuous variables

‡ANOVA used for normally distributed variables and Kruskal-Wallis for non-normally distributed variables.

**Table S 6: Demographics, pulmonary function tests, Dyspnoea-12, CT, and global <sup>129</sup>Xe-MRI (using 1.5T scanner) measurements across groups in the Sheffield and Manchester cohort (all study procedures undertaken in Sheffield). Summaries presented as mean, standard deviation and minimum and maximum except for non-normally distributed continuous variables‡. BMI – Body mass index; FEV<sub>1</sub> - Forced Expired Volume in one second; FVC - Forced Vital Capacity; TLCO - Transfer factor for Lung carbon monoxide; KCO - carbon monoxide transfer coefficient; Va – Alveolar Volume; PFTs – Pulmonary function tests; CT – Computed Tomography.**

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