

1. CCD optimized biomass production in *Chlorella* sp. KLSc59.
2. Cellulase-assisted sonication enhanced omega-3 extraction efficiency.
3. Integrated cultivation and extraction substantially increased omega-3 yield.
4. Nitrogen reduction and increased light altered fatty acid profiles.
5. The developed strategy provides a basis for scalable omega-3 bioprocesses.

# 1 Maximizing Omega-3 Production in *Chlorella* sp. KLSc59 through Central Composite 2 Design-Driven Cultivation and Cellulase-Assisted Extraction

## 3 4 ABSTRACT

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6 *Chlorella* sp. KLSc59 is a promising freshwater microalga for omega-3 oil production, but  
7 its application is limited by low biomass yield and inefficient lipid recovery. This study developed  
8 an integrated strategy to improve biomass production and omega-3 recovery. Carbon and nitrogen  
9 source screening in modified Tris-Acetate-Phosphate (TAP) medium identified acetic acid  
10 (CH<sub>3</sub>COOH) and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) as the best-performing carbon and nitrogen  
11 sources. Central Composite Design (CCD) was then applied to optimize CH<sub>3</sub>COOH and NH<sub>4</sub>NO<sub>3</sub>  
12 concentrations and pH. The resulting M2 formulation (33.9 mM CH<sub>3</sub>COOH, 16.1 mM NH<sub>4</sub>NO<sub>3</sub>,  
13 pH 6.6) gave the highest specific growth rate (0.20 h<sup>-1</sup>) and biomass concentration (1.16 g L<sup>-1</sup>)  
14 among the tested cultivation conditions. A second CCD was applied to optimize cell disruption by  
15 varying cellulase loading and sonication duration, identifying D6 (1,000 International Units (IU)  
16 g<sup>-1</sup> DCW cellulase; 150 s sonication) as the best-performing extraction condition within the tested  
17 range for total and omega-3 fatty acid recovery. Among the tested comparative conditions,  
18 M2CaD6 yielded the highest omega-3 content and yield, increasing from 0.37 to 6.22 μg mg<sup>-1</sup>  
19 DCW and from 0.74 to 14.90 mg L<sup>-1</sup>, respectively, corresponding to 17- and 20-fold increases over  
20 the previous TAP-CaCl<sub>2</sub> cultivation and extraction method (PD0). Reducing NH<sub>4</sub>NO<sub>3</sub> in M2 by  
21 half increased linoleic acid content, whereas higher light intensity (50 μmol m<sup>-2</sup> s<sup>-1</sup>) reduced  
22 cellular total fatty acid accumulation. Overall, coordinated optimization of cultivation, CaCl<sub>2</sub>  
23 induction, and enzymatic–mechanical disruption improved omega-3 production from *Chlorella*  
24 sp. KLSc59 and provides a basis for further process development.

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26 **Keywords:** *Chlorella* sp. KLSc59; microalgae; omega-3 fatty acids; Central Composite Design;  
27 calcium induction; enzymatic cell disruption; lipid extraction

## 1. INTRODUCTION

Omega-3 polyunsaturated fatty acids (PUFAs), particularly eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), have been extensively studied for cardiometabolic and anti-inflammatory benefits. Meta-analyses have shown that higher EPA and DHA consumption is associated with reduced triglyceride levels (Wang et al., 2023) and modest reductions in blood pressure (Miller et al., 2014), while systematic reviews indicate potential effects on inflammatory and oxidative-stress biomarkers (Natto et al., 2019; Innes and Calder, 2020). Increasing global demand for omega-3 oils has raised sustainability and safety concerns related to fish-derived sources, including overexploitation of marine fish stocks (FAO, 2024) and contamination risks in seafood and fish oils (Visciano, 2024). Microalgae constitute the primary producers of long-chain omega-3 PUFAs in aquatic food webs and therefore represent a sustainable alternative source for omega-3 oils in food, animal feed, and nutraceutical applications (Adarme-Vega et al., 2012; Hixson et al., 2015). Among them, *Chlorella* spp. are promising industrial candidates due to their rapid growth and adaptability to diverse cultivation modes (Moradi-Kheibari et al., 2022). Their lipid profiles are dominated by C16 and C18 fatty acids, including palmitic acid (C16:0) and  $\alpha$ -linolenic acid (ALA; C18:3 n-3), which are relevant to nutritional and biofuel applications (Zibarev et al., 2024). *Chlorella* can be cultivated under photoautotrophic, mixotrophic, or heterotrophic conditions using organic carbon sources, enabling flexible process design and integration with low-cost or waste-derived substrates (Manhaeghe et al., 2020; Perez-Garcia, 2011a; Perez-Garcia, 2011b). However, long-chain omega-3 polyunsaturated fatty acids, particularly EPA and DHA, are typically associated with specific, predominantly marine microalgae, indicating that omega-3 production in *Chlorella* may require careful strain selection and targeted process optimization (Adarme-Vega et al., 2012). Consequently, maximizing omega-3 oil yields from *Chlorella*-based bioprocesses necessitates coordinated optimization of upstream biomass production and downstream cell disruption and lipid extraction strategies (Oh et al., 2022; Geada et al., 2023).

Carbon and nitrogen availability strongly influence microalgal growth, biomass composition, productivity, and overall process economics (Nayak et al., 2019; Maltsev et al., 2023). During nutrient-replete growth, nitrogen supports protein synthesis, pigment accumulation, and rapid cell division (Maltsev et al., 2023), whereas nitrogen limitation suppresses protein and pigment biosynthesis and redirects cellular carbon toward neutral lipid storage, primarily as triacylglycerols (TAGs), with species- and stress-dependent changes in fatty acid composition (Mujtaba et al., 2012; Wang et al., 2019b; Liu et al., 2016). Strategies such as two-stage nitrogen step-down cultivation, optimization of nitrogen source and concentration, and manipulation of salinity and light intensity have been employed to enhance lipid accumulation (Mujtaba et al., 2012; Nayak et al., 2019; Shi et al., 2020). However, stress-induced lipid accumulation is often accompanied by reduced biomass productivity, limiting gains in total lipid and omega-3 PUFA yields (Wang et al., 2019b; Liu et al., 2016; Adams et al., 2013). Consequently, developing scalable cultivation strategies that balance high biomass production with targeted omega-3 enrichment remains a key challenge (Adams et al., 2013; Liu et al., 2016; Shi et al., 2020). The use of readily assimilable organic carbon sources such as acetate and glycerol enables mixotrophic or heterotrophic cultivation, which can enhance *Chlorella* biomass and lipid accumulation compared with photoautotrophic growth (Liang et al., 2009; Xie et al., 2020; Chen and Walker, 2011). In parallel, nitrogen sources, including ammonium, nitrate, and urea, influence growth performance, pigment content, and lipid accumulation and composition in green microalgae (González-Garcinuño et al., 2014; Ziganshina et al., 2022). While several studies have combined

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4 75 carbon-nitrogen strategies to enhance lipid productivity, strain-specific optimization for omega-3  
5 76 production remains limited, and systematic investigations into carbon-nitrogen combinations  
6 77 targeting omega-3 profiles are lacking.

8 78 Beyond cultivation, downstream processing presents a major bottleneck for omega-3  
9 79 recovery from *Chlorella*, as its robust and chemically resistant cell wall restricts lipid accessibility  
10 80 (Oh et al., 2022; Weber, 2022). The cell walls of green coccoid microalgae contain  
11 81 polysaccharides, complex carbohydrates, and glycoproteins, and, in some taxa, highly resistant  
12 82 aliphatic biopolymers such as algaenan (Chen et al., 2018; Zych, 2022). Inadequate cell disruption  
13 83 can significantly reduce lipid extraction efficiency (Chen et al., 2018; Oh et al., 2022). Mechanical,  
14 84 chemical, and biological pretreatments, including bead milling, high-pressure homogenization,  
15 85 sonication, alkaline or acid treatments, and enzymatic hydrolysis, vary in energy demand,  
16 86 scalability, and impact on product quality (Günerken et al., 2015; Oh et al., 2022). Enzyme-assisted  
17 87 pretreatment using cellulases has been shown to enhance lipid extraction by partially hydrolyzing  
18 88 carbohydrate-rich wall components in *Chlorella* and other green algae (Zuorro et al., 2019; Liang  
19 89 et al., 2012), while combined strategies integrating enzymatic hydrolysis with moderate  
20 90 mechanical disruption can further improve extraction efficiency while reducing energy input  
21 91 (Alavijeh et al., 2020; Rahman et al., 2022; Liu et al., 2022b). Because ultrasonic treatment may  
22 92 generate heat and reactive species that compromise oxidation-sensitive PUFAs, enzymatic  
23 93 pretreatment coupled with milder sonication is often preferred (Liu et al., 2022b; Gerde et al.,  
24 94 2012). The effectiveness of such approaches depends on strain-specific cell wall properties and  
25 95 cultivation conditions, and optimal parameters for omega-3 extraction from *Chlorella* remain  
26 96 poorly defined (Zuorro et al., 2019; Rahman et al., 2022; Liu et al., 2022b). CCD-based response  
27 97 surface methodology (RSM) offers a powerful tool to optimize both upstream cultivation and  
28 98 downstream extraction while minimizing experimental burden and revealing key variable  
29 99 interactions. Previous studies have shown that CCD is effective for optimizing microalgal  
30 100 cultivation variables and medium composition, resulting in improved biomass production and  
31 101 target metabolite accumulation under mixotrophic conditions (Do et al., 2022; Rincon et al., 2024).  
32 102 Specifically, CCD has been used to optimize nutrient and cultivation parameters for enhanced  
33 103 biomass and lutein production in *Chlorella sorokiniana* (Do et al., 2022) and to identify medium  
34 104 compositions that support high biomass and lipid-related performance in *Chlorella vulgaris*  
35 105 (Rincon et al., 2024). In downstream processing, CCD has also been applied to optimize ultrasonic  
36 106 pretreatment and extraction parameters, leading to substantially improved lipid recovery (Ellison  
37 107 et al., 2019; Hadrich et al., 2018). However, integrated CCD-based optimization specifically  
38 108 targeting omega-3-rich oil production remains limited.

39 109 Previous studies demonstrated that *Chlorella* sp. KLS59 produces ALA and detectable  
40 110 levels of EPA and DHA under TAP cultivation, with omega-3 yields enhanced by calcium chloride  
41 111 (CaCl<sub>2</sub>) supplementation (Preechaphonkul et al., 2024; Shim et al., 2020). Building on this  
42 112 foundation, this study aimed to develop an integrated CCD-guided cultivation and cellulase-  
43 113 assisted extraction strategy to enhance omega-3 oil yield from *Chlorella* sp. KLS59, by  
44 114 optimizing carbon and nitrogen sources, refining disruption conditions, and evaluating combined  
45 115 cultivation and lipid extraction approaches relative to previously reported protocols  
46 116 (Preechaphonkul et al., 2024).

## 47 117 48 118 **2. MATERIAL AND METHODS**

### 49 119 **2.1 Algal Strain and Cultivation Conditions**

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4 120 The green microalga *Chlorella* sp. KLSc59 was isolated and taxonomically characterized  
5 121 as previously described by Sirawattanamongkol et al. (2020). Stock cultures were maintained in  
6 122 TAP medium and periodically subcultured to ensure sustained exponential growth. Cultivation  
7 123 experiments were conducted in 100-mL Erlenmeyer flasks containing 50 mL of medium,  
8 124 incubated at 30°C under continuous white light with orbital shaking at 130 rpm. All media were  
9 125 sterilized by autoclaving at 121°C for 15 minutes prior to use. For carbon- and nitrogen-source  
10 126 screening experiments (Section 2.3), cultures were inoculated to an initial optical density at 750  
11 127 nm (OD<sub>750</sub>) of 0.05 to facilitate comparative evaluation of growth under different nutrient  
12 128 conditions. For biomass optimization using CCD (Section 2.4) and all subsequent lipid extraction  
13 129 experiments (Sections 2.5-2.6), cultures were inoculated at an initial OD<sub>750</sub> of 0.10 to ensure  
14 130 consistency across experiments and to obtain sufficient biomass for further analysis.

15 131 Carbon- and nitrogen-source screening and CCD-based biomass optimization experiments  
16 132 were performed under continuous white light at approximately 50  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ , whereas  
17 133 the subsequent comparative cultivation and extraction-related experiments were conducted under  
18 134 approximately 5  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  to maintain consistency with the cultivation framework  
19 135 adopted from the previous KLSc59 study. Screening and biomass-stage CCD experiments were  
20 136 used to select cultivation inputs for biomass optimization, while the subsequent comparative  
21 137 experiments were conducted under the low-light framework adopted from the previous KLSc59  
22 138 study to evaluate integrated production strategies.

## 2.2 Culture Media and Reagents

23 141 TAP medium was prepared according to standard TAP formulation described by Andersen  
24 142 (2005), Gorman and Levine (1965), Harris (1989), and Sueoka (1960). Carbon-free TAP (TAP-C)  
25 143 and nitrogen-free TAP (TAP-N) were prepared to assess the influence of specific nutrients on  
26 144 biomass production. TAP-C was formulated by omitting acetic acid from the standard TAP recipe,  
27 145 whereas TAP-N was prepared by replacing ammonium chloride with sodium chloride and  
28 146 ammonium molybdate with sodium molybdate at equivalent molar concentrations in order to  
29 147 maintain ionic strength while eliminating combined nitrogen sources.

30 148 For carbon-source screening, TAP-C was supplemented with individual carbon sources at  
31 149 concentrations ranging from 10 to 40 mM, including acetic acid, glycerol, ethanol, sodium  
32 150 carbonate, acetone, *n*-propanol, and propionic acid. Volatile carbon sources, including ethanol,  
33 151 acetone, and *n*-propanol, were sterilized by filtration through 0.22- $\mu\text{m}$  PTFE membrane filters and  
34 152 aseptically added to autoclaved TAP-C medium. Nitrogen-source screening was performed by  
35 153 supplementing TAP-N with ammonium chloride, ammonium nitrate, ammonium sulphate,  
36 154 potassium nitrate, sodium nitrate, sodium nitrite, thiourea, or urea at concentrations ranging from  
37 155 10 to 40 mM. Standard TAP medium, TAP-C, and TAP-N were used as controls.

38 156 For calcium induction experiments, analytical-grade  $\text{CaCl}_2$  was prepared as a sterile stock  
39 157 solution and added to cultures at a final concentration of 10 mM. Commercial cellulase (CTi and  
40 158 Science Co., Ltd., CAS 9012-54-8) was utilized for enzymatic cell disruption. Methanol,  
41 159 chloroform, and hexane (HPLC grade) were used for lipid extraction.

## 2.3 Comparison of Carbon and Nitrogen Sources

42 161 Cultures were inoculated to an initial OD<sub>750</sub> of 0.05 and incubated for 7 days under the  
43 162 cultivation conditions described in section 2.1. Cell growth was monitored daily by measuring  
44 163 OD<sub>750</sub>. Specific growth rates ( $\mu$ ,  $\text{h}^{-1}$ ) were calculated during the exponential phase using the  
45 164 equation  $\mu = (\ln X_2 - \ln X_1)/(t_2 - t_1)$ , where  $X_1$  and  $X_2$  represent the optical densities at times  $t_1$   
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4 166 and  $t_2$ , respectively (Sekine et al., 2020). Carbon and nitrogen sources that produced the highest  
5 167 specific growth rates and consistent growth profiles were selected for subsequent CCD-based  
6 168 optimization.  
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## 9 170 **2.4 Optimization of Biomass Production Using CCD**

10 171 Based on the screening results, acetic acid and ammonium nitrate were selected as the  
11 172 carbon and nitrogen sources, respectively, for biomass production. A three-factor CCD was  
12 173 employed to optimize biomass production in modified TAP medium by evaluating the effects of  
13 174 acetic acid concentration (A), ammonium nitrate concentration (B), and initial pH (C), with an  
14 175 axial distance of 1.6817. The CCD data for biomass production were fitted to the second-order  
15 176 polynomial regression model described in Section 2.9. The coded and actual levels of each variable  
16 177 and the experimental design matrix were specified for the biomass-stage CCD. The biomass-stage  
17 178 CCD comprised 15 design points, including one center-point condition (M15). All CCD  
18 179 conditions, including the center point, were evaluated in biological triplicate. Initial pH values  
19 180 were adjusted using 1 M HCl or NaOH solutions prior to autoclaving. Standard TAP medium was  
20 181 used as a reference control.

21 182 For each CCD condition, cultures were inoculated to an initial  $OD_{750}$  of 0.10 and incubated  
22 183 for 7 days. Optical density was measured daily to generate growth curves. Specific growth rate  
23 184 and doubling time ( $T_d$ ) were calculated from the exponential growth phase described in section  
24 185 2.3. Dry cell weight (DCW,  $g L^{-1}$ ) was determined by harvesting culture on day 7 via centrifugation  
25 186 (4,500 rpm, 5 min), rinsed pellets with distilled water, and dried at 60°C until a constant weight  
26 187 was achieved. Total chlorophyll content was quantified spectrophotometrically from 90% (v/v)  
27 188 methanol extracts using the equations described by Ritchie (2008). CCD data were utilized to  
28 189 generate response surface plots illustrating the effects of acetic acid concentration, ammonium  
29 190 nitrate concentration, and pH on specific growth rate. For CCD-based statistical modelling of the  
30 191 biomass-stage experiments, specific growth rate was used as the primary modeled response. Dry  
31 192 cell weight and total chlorophyll content were evaluated as supporting biomass-related outcomes  
32 193 for interpretation of the selected cultivation condition.  
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## 34 195 **2.5 Cultivation Conditions for Omega-3 Enhancement and Comparative Production**

35 196 Multiple cultivation and extraction strategies were established to compare omega-3  
36 197 production under different upstream and downstream conditions, including the previously reported  
37 198 TAP-based protocol (Preechaphonkul et al., 2024), the optimized cultivation medium developed  
38 199 in this study, and the optimized cell disruption and lipid extraction method identified in this work.  
39 200 In the previous condition (P), cells were cultured in TAP medium supplemented with 10 mM  
40 201  $CaCl_2$  throughout the culture period. Biomass from this condition was extracted using either the  
41 202 original extraction method (PD0) or the optimized cell disruption and lipid extraction method  
42 203 developed in this study (PD6).  
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44 205 Additional cultivation strategies integrating TAP or M2 medium,  $CaCl_2$  induction, and the  
45 206 optimized D6 extraction were performed. TAPD6 consisted of cells cultivated in TAP medium  
46 207 without  $Ca^{2+}$  supplementation and extracted using D6. For TAPCaD6, cells were cultivated in TAP  
47 208 medium until day 1 (early stationary phase), followed by supplementation with 10 mM  $CaCl_2$  and  
48 209 an additional 5 days of cultivation prior to extraction using D6. M2CaD6 consisted of cells  
49 210 cultivated in the optimized M2 medium (CCD condition M2: 33.9 mM acetic acid, 16.1 mM  
50 211 ammonium nitrate, initial pH 6.6) until day 1 (early stationary phase), followed by  $CaCl_2$  induction  
51 (10 mM) and an additional 5 days of cultivation before extraction using D6.  
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4 212 To evaluate the effects of nitrogen limitation and increased light intensity, two stress  
5 213 conditions were developed. In M2CaND6, the ammonium nitrate concentration in M2 medium  
6 214 was reduced by 50% (from 16.1 to 8.05 mM), while CaCl<sub>2</sub> induction and cultivation duration  
7 215 remained unchanged. In M2CaNLD6, the same nitrogen-reduced medium was used with CaCl<sub>2</sub>  
8 216 induction, and light intensity was 50 μmol photons m<sup>-2</sup> s<sup>-1</sup>. Biomass from all conditions was  
9 217 harvested on the final cultivation day and subjected to D6 extraction. Total fatty acid content, fatty  
10 218 acid composition, omega-3 yield, total lipid weight, and cellular morphology were subsequently  
11 219 analyzed. These comparative conditions were selected to evaluate representative cultivation-  
12 220 extraction workflows relative to the previous KLSc59 protocol and to the process modifications  
13 221 developed in the present study.  
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## 15 223 **2.6 Optimization of Cell Disruption and Lipid Extraction Using CCD**

16 224 A two-factor CCD was applied to optimize cell disruption and lipid extraction by  
17 225 evaluating the effects of cellulase loading (A) and sonication time (B), with an axial distance of  
18 226 1.414. The CCD data for extraction optimization were fitted to the second-order polynomial  
19 227 regression model described in Section 2.9, and model performance and adequacy were evaluated  
20 228 in the subsequent statistical analysis. The coded and actual levels of these variables and the  
21 229 experimental matrix were specified for the extraction-stage CCD. The extraction-stage CCD  
22 230 comprised 9 design points, including one center-point condition (D9). All CCD conditions,  
23 231 including the center point, were evaluated in biological triplicate. Cells cultivated in the optimized  
24 232 M2 medium were harvested by centrifugation (4,500 rpm, 5 min), washed with distilled water, and  
25 233 resuspended in 10 mM acetate buffer (pH 5.0). Aliquots corresponding to 100 mg DCW were  
26 234 transferred to reaction tubes and supplemented with cellulase according to the CCD design.  
27 235 Enzymatic hydrolysis was carried out at 50°C for 10 min to partially degrade carbohydrate-rich  
28 236 cell wall components, based on manufacturer recommendations and preliminary optimization.  
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30 238 Lipid extraction was performed using a modified Bligh and Dyer method (Bligh and Dyer,  
31 239 1959). Chloroform:methanol (1:1, v/v) was added at four times the suspension volume, and the  
32 240 samples were vortex-mixed prior to sonication. Sonication was conducted according to the CCD  
33 241 design (0–300 s, pulse mode 1 s on/1 s off) using a probe sonicator (VCX 500, 500 W, 20 kHz;  
34 242 Sonics & Materials, Inc., Newtown, CT, USA). Following centrifugation (4,500 rpm for 5 min,  
35 243 25°C), the lower chloroform phase was carefully collected and evaporated under a gentle nitrogen  
36 244 stream to obtain crude lipid extracts. Extracted lipids were weighed and used for FAME  
37 245 preparation and GC-FID analysis.  
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## 39 247 **2.7 Preparation of FAME and GC-FID Analysis**

40 248 Dried lipid extracts were reconstituted in 1 mL of hexane and vortexed for 1 min.  
41 249 Transesterification was initiated by adding 200 μL of 25% (w/v) sodium methoxide in methanol,  
42 250 followed by incubation at 50°C for 5 min. After cooling to room temperature, samples were  
43 251 centrifuged at 6,500 rpm for 5 min, and the clear upper phase containing FAMEs was collected for  
44 252 further analysis.  
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46 254 Fatty acid analysis was performed using a gas chromatograph equipped with a flame  
47 255 ionization detector (Nexis GC-2030, Shimadzu Corporation, Japan). FAMEs were separated on a  
48 256 DB-23 capillary column (30 m x 0.25 mm i.d., 0.25 μm film thickness; Agilent, USA). The oven  
49 257 temperature was initially maintained at 50°C for 0.5 minutes, increased to 150°C at 25°C min<sup>-1</sup>,  
50 258 raised to 174°C at 2.3°C min<sup>-1</sup>, and finally increased to 220°C at 2.0°C min<sup>-1</sup>, where it was held  
51 259 for 3 min. Injector and detector temperatures were set according to the manufacturer's  
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4 258 recommendation. Helium was used as the carrier gas, while nitrogen served as the makeup gas.  
5 259 Individual fatty acids were identified by comparing their retention times with those of the Supelco  
6 260 37 Component FAME Mix. Relative fatty acid composition was determined by peak area  
7 261 normalization and expressed as the percentage of total detected fatty acids. Total fatty acid content  
8 262 ( $\mu\text{g mg}^{-1}$  DCW) and yield ( $\text{mg L}^{-1}$ ) were calculated from the sum of all quantified FAMES detected  
9 263 in each sample and normalized to dry cell weight and culture volume, respectively. Fatty acids  
10 264 were categorized as saturated (SAT: C14:0, C16:0, C17:0, C18:0), monounsaturated (MUFA:  
11 265 C16:1, C17:1, C18:1), linoleic acid (LA, C18:2 n-6), and omega-3 fatty acids (C18:3 n-3, C20:5  
12 266 n-3, C22:6 n-3). SAT, MUFA, LA, and omega-3 fatty acids were reported separately for  
13 267 compositional analysis.  
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## 15 269 **2.8 Microscopic and Cellular Analysis**

16 270 Microscopic observations were conducted on samples collected on the final day of  
17 271 cultivation for each experimental condition. Aliquots of culture were loaded onto a hemacytometer  
18 272 and examined using a bright-field microscope equipped with a digital camera. High magnification  
19 273 images were captured to evaluate cell morphology and aggregation behavior.

20 274 For quantitative cell size analysis, at least 30 individual cells per condition were randomly  
21 275 selected from micrographs, and cell diameters were measured using the calibrated hemacytometer  
22 276 scale. Mean cell diameter and standard deviation were calculated to compare cell-size distributions  
23 277 across cultivation conditions.  
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## 25 279 **2.9 Statistical Analysis**

26 280 All experiments were performed in triplicate, and data were presented as mean  $\pm$  standard  
27 281 deviation. Statistical significance among treatments was assessed using one-way analysis of  
28 282 variance (ANOVA) at a 95% confidence level. When significant differences were detected ( $p <$   
29 283  $0.05$ ), Tukey's honestly significant difference (HSD) post hoc test was applied.

30 284 For CCD-based optimization, the experimental data were fitted to a second-order  
31 285 polynomial regression model using the following equation:  
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$$33 287 Y = \beta_0 + \sum_{i=1}^k \beta_i x_i + \sum_{i=1}^k \beta_{ii} x_i^2 + \sum_{i < j} \beta_{ij} x_i x_j \quad (1)$$

34 288 where Y is the predicted response,  $\beta_0$  is the intercept,  $\beta_i$  represents the linear coefficients,  
35 289  $\beta_{ii}$  represents the quadratic coefficients,  $\beta_{ij}$  represents the interaction coefficients, and  $x_i$  and  $x_j$   
36 290 are the independent variables.  
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38 292 For the biomass-stage CCD, specific growth rate was used as the modeled response,  
39 293 whereas total fatty acid content was used as the modeled response for the extraction-stage CCD.  
40 294 Model adequacy was evaluated using ANOVA, including overall model significance and lack-of-  
41 295 fit testing. The coefficient of determination ( $R^2$ ), adjusted  $R^2$ , root mean square error (RMSE), and  
42 296 coefficient of variation (CV%) were used to assess model performance. Residual plots, histogram,  
43 297 and Q-Q plot were additionally examined to assess residual behavior. CCD model fitting and lack-  
44 298 of-fit analyses were performed in JMP software (version 19.1.1), while residual diagnostics were  
45 299 additionally examined using JASP software (version 0.95.1). Full ANOVA tables, model  
46 300 coefficients, model adequacy statistics, and residual diagnostics were compiled for both CCD  
47 301 models.  
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### 3. RESULTS AND DISCUSSION

#### 3.1 Effect of carbon and nitrogen sources on biomass production

Carbon and nitrogen availability strongly influence microalgal growth and metabolism, particularly under mixotrophic conditions (Cecchin et al., 2018). In standard TAP medium, acetic acid (17 mM) serves as a primary organic carbon source, whereas carbon-free TAP (TAP-C) was used as a negative control. Screening of multiple carbon sources (10-40 mM) revealed that acetic acid supported markedly superior growth compared with carbonate, alcohols, ketones, and glycerol (Fig. 1A-C). Cultures supplied with acetic acid rapidly entered stationary phase within 24 h and exhibited the highest specific growth rates ( $\mu = 0.09-0.12 \text{ h}^{-1}$ ), whereas other carbon sources (ethanol, propanol, acetone, glycerol) supported only limited growth ( $\mu = 0.02-0.06 \text{ h}^{-1}$ ), comparable to TAP-C (Fig. 1A-B). By day 7, acetate-grown cultures were visibly denser and darker green (Fig. 1C), consistent with higher biomass accumulation.

The superior performance of acetic acid is attributed to its role as a readily assimilable  $\text{C}_2$  substrate that is rapidly converted to acetyl-CoA and funneled into central carbon metabolism, specifically the TCA cycle and glyoxylate pathway, thus providing energy and biosynthetic precursors essential for biomass formation (Cai et al., 2022; Cecchin et al., 2018). In *Chlorella*, acetate utilization is intimately related to glyoxylate cycle stimulation; particularly, isocitrate lyase (ICL), a key enzyme in this cycle, is upregulated in acetate-adapted cells (John and Syrett, 1967). This induction indicates that when acetate is the predominant carbon source, *Chlorella* effectively diverts carbon flux at the isocitrate branch point from the complete TCA cycle to the glyoxylate pathway. ICL converts isocitrate to succinate and glyoxylate, bypassing the  $\text{CO}_2$ -releasing decarboxylation steps of the TCA cycle. This preserves carbon skeletons for biosynthesis and biomass accumulation. The higher ICL level in acetate-adapted cells implies that the glyoxylate cycle is a crucial metabolic mechanism for effective growth on a  $\text{C}_2$  substrate like acetate (John and Syrett, 1967). Carbonate, on the other hand, is an inorganic carbon source that promotes development mostly by photosynthetic inorganic carbon assimilation (Calvin cycle), rather than directly providing reduced carbon (Shelp and Calvin, 1980). Under limited light/ $\text{CO}_2$  fixation, it is expected to perform similarly or slightly better than the organic carbon-free control. In contrast, the investigated  $\text{C}_2/\text{C}_3$  alcohols and ketone (ethanol, propanol, and acetone) require additional oxidation processes and exhibit solvent toxicity before being incorporated into central metabolism, resulting in lower growth performance compared to acetate (Catalanotti et al., 2013; Miazek et al., 2017). Finally, while glycerol can facilitate mixotrophic growth in certain *Chlorella* strains, its absorption and catabolic efficiency are dependent on species/strain variations and may be influenced by concentration and transport limitations, potentially explaining the restricted growth observed in comparison to acetate (Liang et al., 2009; Heredia-Arroyo et al., 2011; Perez-Garcia et al., 2011a).

Nitrogen-sources screening showed that potassium nitrate, urea, sodium nitrate, ammonium sulphate, ammonium chloride, and ammonium nitrate supported comparable growth across all tested concentrations, yielding similar specific growth rates ( $\mu = 0.12-0.13 \text{ h}^{-1}$ ) and dark green culture by day 7 (Fig. 2A-C). In contrast, nitrogen-free TAP (TAP-N) resulted in significantly reduced growth ( $\mu = 0.07 \text{ h}^{-1}$ ) (Fig. 2B). This comparable performance reflects the metabolic versatility of *Chlorella* in nitrogen assimilation;  $\text{NH}_4^+$  is directly assimilated, whereas  $\text{NO}_3^-$  utilization requires inducible nitrate reductase and is tightly regulated by ammonium availability. Notably, *Chlorella* grown on ammonium nitrate preferentially assimilates  $\text{NH}_4^+$ , with nitrate assimilation resuming following ammonium depletion (Morris and Syrett, 1963; Syrett and Morris, 1963). Urea also supported growth, consistent with early biochemical studies

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4 349 demonstrating urea assimilation by *Chlorella* cells. (Hattori, 1957). In contrast, thiourea and  
5 350 sodium nitrite resulted in markedly reduced growth compared with the aforementioned nitrogen  
6 351 sources and even lower growth than TAP-N (Fig. 2A), with  $\mu$  values ranging from 0.03 to 0.05 h<sup>-1</sup>  
7 352 (Fig. 2B). The pale and comparatively clear cultures observed on day 7 cultures further confirmed  
8 353 growth inhibition (Fig. 2C). In *Chlorella fusca*, thiourea uptake occurs via proton co-transport,  
9 354 potentially imposing energetic and proton-balance constraints that limit efficient nitrogen  
10 355 assimilation (Rees and Syrett, 1984). In *Chlorella vulgaris*, nitrite addition has been reported to  
11 356 suppress growth even when total nitrogen levels are maintained, indicating nitrite-induced stress  
12 357 (Pozzobon et al., 2021).

13 358 Although multiple nitrogen sources supported comparable growth, ammonium nitrate was  
14 359 chosen for subsequent cultivation. Ammonium nitrate supplies two nitrogen atoms at different  
15 360 redox states (NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup>), enabling rapid ammonium assimilation followed by nitrate  
16 361 utilization once ammonium becomes limiting (Syrett and Morris, 1963). Importantly, mixed  
17 362 NH<sub>4</sub><sup>+</sup>/NO<sub>3</sub><sup>-</sup> assimilation can mitigate pH fluctuations associated with nitrogen uptake, as the  
18 363 opposing proton fluxes partially counterbalance one another, thereby stabilizing culture pH at high  
19 364 growth rates (Scherholz and Curtis, 2013). Accordingly, acetic acid and ammonium nitrate were  
20 365 selected to formulate a modified TAP medium for subsequent experiments.  
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### 22 367 **3.2 Biomass-Stage Optimization for Biomass Production**

23 368 Following preliminary screening, acetic acid and ammonium nitrate were identified as  
24 369 suitable carbon and nitrogen sources, respectively, for *Chlorella* sp. KLS59. A three-factor CCD  
25 370 was used to optimize biomass production by varying acetic acid (10-40 mM), ammonium nitrate  
26 371 (10-40 mM), and initial pH (6.0-9.0) in modified TAP medium, with standard TAP serving as the  
27 372 reference control. The CCD comprised 15 experimental conditions (M1-M15), informed by  
28 373 preliminary data and literature. Growth profiles revealed that several conditions, particularly M2,  
29 374 M4, M6, M10, and M11, promoted rapid biomass accumulation within the first 24 h relative to  
30 375 TAP (Fig. 3A). These conditions exhibited significantly improved kinetic parameters compared  
31 376 with the TAP control ( $\mu = 0.15 \pm 0.01$  h<sup>-1</sup>; Td = 4.57 ± 0.19 h), with M2, M4 and M10 showing  
32 377 the highest growth rates ( $\mu = 0.18$ -0.20 h<sup>-1</sup>; Td = 3.51-3.77 h) (Fig. 3C-D). Distinct color variations  
33 378 among day-7 cultures (Fig. 3B) suggest differential physiological responses, including changes in  
34 379 pigment composition and intracellular organization, consistent with the metabolic adaptability of  
35 380 *Chlorella* during mixotrophic and nitrogen-responsive conditions (Cecchin et al., 2018; Markou  
36 381 et al., 2017; Liu et al., 2022a).

37 382 Despite rapid early growth, certain conditions (notably M4, M6, and M10) exhibited late-  
38 383 stage declines in optical density and total chlorophyll content by day 7, relative to TAP. This  
39 384 pattern suggests a transition from early proliferation to stress-limited growth, likely driven by  
40 385 condition-specific constraints related to pH, carbon availability, and nitrogen assimilation (Qiu et  
41 386 al., 2017; Markou et al., 2017; Liu et al., 2022a). Integrated evaluation of  $\mu$ , Td, DCW, and total  
42 387 chlorophyll content identified M2 as the best-performing condition under the tested conditions.  
43 388 M2 (33.9 mM acetic acid, 16.1 mM ammonium nitrate, initial pH 6.6) achieved the highest specific  
44 389 growth rate ( $\mu = 0.20 \pm 0.01$  h<sup>-1</sup>), shortest doubling time (Td = 3.51 ± 0.10 h), highest DCW (1.16  
45 390 g L<sup>-1</sup>), and highest total chlorophyll content (16.09 mg L<sup>-1</sup>), all significantly exceeding the other  
46 391 CCD conditions and the TAP control (Fig. 3C-F).

47 392 To further evaluate the combined effects of acetic acid concentration (A), ammonium  
48 393 nitrate concentration (B), and initial pH (C) on specific growth rate, the CCD data were analyzed  
49 394 using a second-order polynomial regression model. The overall model was significant (F = 36.81,  
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4 395  $p < 0.0001$ ), with an  $R^2$  of 0.9045 and an adjusted  $R^2$  of 0.8799, indicating that the model explained  
5 396 a large proportion of the variation in the response. The RMSE was 0.0092, corresponding to a CV  
6 397 of approximately 5.95%. Among the fitted terms, A, C, AB, AC, BC and  $C^2$  were significant.  
7 398 However, the lack-of-fit test was also significant ( $p < 0.0001$ ), indicating that although the  
8 399 quadratic model captured most of the variation in specific growth rate, it did not fully describe the  
9 400 response surface across the investigated design space. This limitation should be considered when  
10 401 interpreting the biomass-stage CCD model. The fitted regression equation for specific growth rate  
11 402 was:

$$\mu \text{ (h}^{-1}\text{)} = 0.1630 + 0.0189(A) - 0.0026(B) - 0.0130(C) - 0.0039(AB) - 0.0103(AC) - 0.0038(BC) - 0.0034(A^2) + 0.0023(B^2) - 0.0082(C^2) \quad (2)$$

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The positive coefficient of A suggested that increasing acetic acid concentration promoted growth within the tested range, whereas the negative coefficients of C, AC, and  $C^2$  indicated that pH and its interaction structure contributed negatively to specific growth rate within the investigated region. The superior performance of M2 likely reflects a favorable balance among carbon supply, nitrogen availability, and pH. Acetate provides a reduced carbon source readily assimilated as acetyl-CoA, supporting biomass formation under mixotrophic conditions. In *Chlorella*, acetate metabolism involves glyoxylate-cycle activity, particularly ICL, facilitating incorporation of acetate-derived carbon into biomass precursors (John and Syrett, 1967; Cecchin et al., 2018). Moreover, the supplemented acetic acid and ammonium nitrate in M2 corresponded to an estimated molar C:N ratio of approximately 2.1:1, supplying sufficient carbon skeletons and nitrogen for sustained protein and chlorophyll synthesis without inducing late-stage imbalance (Cecchin et al., 2018; Markou et al., 2017). The initial pH of 6.6 in M2 likely provided a favorable balance between inorganic carbon availability and acetate tolerance. Relative to more alkaline conditions, pH 6.6 would increase dissolved  $\text{CO}_2$  availability and support RuBisCO carboxylation. However, because lower pH increases the fraction of undissociated acetic acid, this benefit is interpreted as a compromise between  $\text{CO}_2$  supply and acid-associated stress rather than a simple minimization of both constraints (Dickson et al., 2007; Zeebe and Wolf-Gladrow, 2001; Giordano et al., 2005; Lacroux et al., 2021). Additionally, mixed  $\text{NH}_4^+/\text{NO}_3^-$  assimilation can stabilize culture pH through counterbalancing proton fluxes, an advantage emphasized for high-density algal cultivation (Scherholz and Curtis, 2013). M2 was selected from the biomass-stage CCD conducted at  $50 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  and was subsequently carried forward to the comparative production experiments performed under the low-light framework adopted from the previous KLSc59 study. The present results therefore support M2 as the best-performing cultivation condition within the biomass-stage optimization context, while its performance across different light regimes remains to be verified.

In contrast, late-stage decline in certain CCD conditions reflected specific factor-dependent response patterns, particularly M4 (33.9 mM acetic acid, 33.9 mM ammonium nitrate, pH 6.6), M6 (33.9 mM acetic acid, 16.1 mM ammonium nitrate, pH 8.4), and M10 (40.0 mM acetic acid, 25.0 mM ammonium nitrate, pH 7.5). Elevated initial pH (M6) likely reduced  $\text{CO}_2$  availability and increased energetic costs for pH homeostasis, limiting growth and pigment maintenance at later phases, consistent with reported alkaline stress responses in *Chlorella* (Qiu et al., 2017; Guckert and Cooksey, 1990). High acetic acid levels in M10 may accelerate early mixotrophic growth (Lacroux et al., 2021) but subsequently induce organic-acid stress, influenced by the undissociated acid fraction and dependent on both acetic acid concentration and pH (Lacroux et al., 2020;

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4 441 Lacroux et al., 2021). Similarly, late-stage inhibition under the high ammonium nitrate condition  
5 442 (M4) may reflect nitrogen-associated pH imbalance in mixotrophic *Chlorella*, as ammonium-  
6 443 dominated assimilation can contribute to culture pH shifts, whereas balanced  $\text{NH}_4^+/\text{NO}_3^-$   
7 444 utilization has been proposed to minimize pH fluctuations in high-density algal cultivation  
8 445 (Scherholz and Curtis, 2013), consistent with reports of ammonium-associated inhibition of  
9 446 photosynthesis in *Chlorella* (Wang et al., 2019a).

10 447 The second-order polynomial regression model and response surface analysis generally  
11 448 supported these observations (Fig. 3G), indicating acetic acid concentration as the dominant  
12 449 positive factor influencing growth, with favorable performance observed within the tested  
13 450 conditions, particularly at pH 6.0-7.0 and acetic acid concentrations above 30 mM. Nitrogen  
14 451 effects were comparatively moderate, consistent with partial buffering by mixed  $\text{NH}_4^+/\text{NO}_3^-$   
15 452 assimilation (Lacroux et al., 2020; Scherholz and Curtis, 2013).  
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### 20 454 **3.3 Extraction-Stage Optimization for Fatty Acid Extraction and Omega-3 Recovery**

21 455 Following biomass optimization under M2 growth conditions, cell disruption and lipid  
22 456 extraction were optimized using a two-factor CCD by varying cellulase activity (500-1,000 IU g<sup>-1</sup>  
23 457 DCW) and probe-sonication duration (50-300 s). These variables were selected because lipid  
24 458 recovery from *Chlorella* is often limited by its robust, polysaccharide-rich cell wall, and enzymatic  
25 459 pretreatment can reduce mechanical energy requirements while enhancing solvent access to  
26 460 intracellular lipids (Günerken et al., 2015; Oh et al., 2022). Cellulase partially hydrolyzes cell-wall  
27 461 polysaccharides, increasing permeability and facilitating lipid release (Cho et al., 2013), whereas  
28 462 sonication provides cavitation-driven shear forces that rupture weakened cell  
29 463 envelopes. However, excessive sonication may generate localized heating and free radicals,  
30 464 accelerating PUFA oxidation and degradation (Gerde et al., 2012; Günerken et al., 2015).  
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32 466 Across the nine disruption conditions (D1-D9), the relative fatty acid class distribution  
33 467 remained largely conserved (Fig. 4A), with LA (~34%) predominating, followed by SAT (~31%),  
34 468 total omega-3 (~18%), and MUFA (~17%), while the omega-3 fraction was dominated by ALA,  
35 469 with EPA and DHA detected at lower levels. In contrast, biomass-normalized fatty acid contents  
36 470 varied substantially: total fatty acid contents ranged from 17.34 to 39.16  $\mu\text{g mg}^{-1}$  DCW, whereas  
37 471 total omega-3 contents ranged from 2.09 to 4.23  $\mu\text{g mg}^{-1}$  DCW (Fig. 4B). Analysis of the  
38 472 individual omega-3 components revealed that ALA predominated, whereas EPA and DHA were  
39 473 present only at lower levels (Fig. 4C). Although D2 and D6 produced comparable total fatty acid  
40 474 recoveries, D6 achieved the highest omega-3 content ( $4.23 \pm 0.64 \mu\text{g mg}^{-1}$  DCW) and was  
41 475 therefore selected as the best-performing condition within the tested range.

42 476 To further evaluate the combined effects of cellulase loading (A) and sonication duration  
43 477 (B) on total fatty acid content, the CCD data were analyzed using a second-order polynomial  
44 478 regression model. The overall model was significant ( $F = 21.47$ ,  $p < 0.0001$ ), with an  $R^2$  of 0.8364  
45 479 and an adjusted  $R^2$  of 0.7975, indicating that the model explained a substantial proportion of the  
46 480 variation in the response. The RMSE was 3.2126, corresponding to a CV of approximately  
47 481 12.53%. Among the fitted terms, A, AB, and  $A^2$  were significant, whereas B and  $B^2$  were not  
48 482 significant. In addition, the lack-of-fit test was not significant ( $p = 0.0848$ ), supporting the  
49 483 adequacy of the fitted quadratic model within the investigated design space. The fitted regression  
50 484 equation for total fatty acid content was:

$$51 \text{ Total fatty acid content } (\mu\text{g mg}^{-1} \text{ DCW}) = 22.3414 + 5.7506A - 0.0553B - 4.6340AB + 2.5013A^2 \\ 52 + 1.2112B^2 \quad (3)$$

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5 488 The positive coefficient of A indicated that cellulase loading positively influenced total  
6 489 fatty acid extraction efficiency within the tested range, while the significant interaction term (AB)  
7 490 suggested that the effect of cellulase depended on the applied sonication time.

8 491 Response-surface modeling placed D2 and D6 in the high-recovery region observed within  
9 492 the investigated design space (Fig. 4D), indicating a strong positive effect of cellulase loading.  
10 493 Although the fitted surface suggested favorable performance at high cellulase loading and  
11 494 relatively short sonication, this region was interpreted cautiously, as optimization prioritized  
12 495 omega-3 yield and reproducibility rather than total fatty acids alone. Enzymatic wall weakening  
13 496 followed by moderate sonication may improve disruption efficiency and solvent penetration, while  
14 497 more severe sonication conditions have been associated with localized heating and oxidative  
15 498 degradation of PUFAs (Gerde et al., 2012; Günerken et al., 2015). D6 appears to represent a  
16 499 practical balance in which elevated cellulase improves cell-wall permeability and lipid  
17 500 accessibility (Cho et al., 2013; Zuorro et al., 2019), while moderate sonication likely facilitated  
18 501 lipid release under the tested conditions. This interpretation is consistent with previous reports that  
19 502 enzyme-assisted pretreatment can enhance lipid extraction in *Chlorella*, whereas excessive  
20 503 sonication may compromise PUFA integrity (Cho et al., 2013; Gerde et al., 2012). However, no  
21 504 direct oxidation measurements were performed in the present study. Therefore, the current results  
22 505 do not provide direct evidence that D6 preserved PUFA integrity relative to more severe sonication  
23 506 conditions. Accordingly, D6 (1,000 IU g<sup>-1</sup> DCW cellulase; 150 s sonication) was selected as the  
24 507 best-performing condition within the tested range, providing high total fatty acid recovery, the  
25 508 highest omega-3 content, and low variability.

### 3.4 Effects of cultivation and disruption strategies on fatty acid and omega-3 production

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27 510 To benchmark the optimized workflow against the previous protocol and evaluate stress-  
28 511 driven responses, fatty acid and omega-3 production was compared across cultivation and cell  
29 512 disruption strategies in *Chlorella* sp. KLSc59. This design aimed to isolate the effects of (i)  
30 513 cultivation optimization, (ii) cell disruption and lipid extraction efficiency, and (iii) physiological  
31 514 responses to nitrogen limitation and elevated light intensity. Stress testing was motivated by  
32 515 evidence that *Chlorella* remodels lipid metabolism under nitrogen depletion and/or high light,  
33 516 promoting TAG accumulation, altering desaturation profiles, and inducing thylakoid and redox-  
34 517 associated responses (Goncalves et al., 2013; Guo et al., 2015; Vijayan et al., 2024; Wang and  
35 518 Miao, 2022).

36 519 To assess disruption efficiency, PD0 and PD6 were compared under identical baseline  
37 520 cultivation (P). With equal biomass input, D6 recovered ~2.3-fold higher total fatty acid content  
38 521 than D0 (Fig. 5A) and showed a 1.8-fold increase in average omega-3 recovery, although the latter  
39 522 was not statistically significant. This improvement reflects enhanced cell-wall weakening and lipid  
40 523 accessibility in D6, consistent with reports linking improved disruption to increased lipid  
41 524 extractability in *Chlorella* (Günerken et al., 2015; Oh et al., 2022). Unlike the reference D0  
42 525 protocol relying solely on prolonged ultrasonication, followed by chloroform-methanol extraction  
43 526 using a separatory funnel and subsequent base-catalyzed transesterification (Preechaphonkul et al.,  
44 527 2024), D6 employs enzyme-assisted pretreatment followed by controlled probe sonication,  
45 528 providing a mechanistic basis for its superior fatty acid recovery.

46 529 To assess Ca<sup>2+</sup> adaptation, TAPD6 and TAPCaD6 were compared. CaCl<sub>2</sub> supplementation  
47 530 significantly increased total fatty acid accumulation while leaving omega-3 content unchanged,  
48 531 but it altered fatty acid composition, notably increasing linoleic acid (Fig. 5A). Ca<sup>2+</sup>-supplemented  
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4 533 cultures also exhibited pronounced cell aggregation (Fig. 5E), consistent with divalent-cation-  
5 534 induced flocculation in *Chlorella* systems (Vandamme et al., 2012). Such aggregation  
6 535 may generate localized microenvironments that influence lipid distribution, and Ca<sup>2+</sup> exposure  
7 536 may also be associated with membrane-related remodeling and altered unsaturated fatty acid  
8 537 profiles (Shim et al., 2020). Within the TAP-based comparison, these results suggest that CaCl<sub>2</sub>  
9 538 treatment was associated with altered fatty acid accumulation and composition, particularly  
10 539 increased linoleic acid.

11 540 Combining the optimal cultivation medium (M2), Ca<sup>2+</sup> adaptation, and D6 disruption  
12 541 (M2CaD6) yielded the highest total fatty acid content ( $32.23 \pm 2.15 \mu\text{g mg}^{-1}$  DCW), representing  
13 542 a ~2.3-fold increase over TAPD6 (Fig. 5A). M2CaD6 also achieved the highest omega-3  
14 543 accumulation ( $6.22 \pm 0.42 \mu\text{g mg}^{-1}$  DCW) and omega-3 yield ( $14.90 \pm 0.95 \text{ mg L}^{-1}$ ), corresponding  
15 544 to ~17-fold and ~20-fold increases over the original TAP-CaCl<sub>2</sub> protocol (PD0) (Preechaphonkul  
16 545 et al., 2024) in omega-3 content and yield, respectively (Fig. 5A-B). Total lipid content peaked at  
17 546 22.63% DCW, consistent with the highest crude lipid yield ( $542.24 \text{ mg L}^{-1}$ ) among all tested  
18 547 conditions (Fig. 5D). When resolved into individual omega-3 components, the fatty acid profile  
19 548 was dominated by ALA, whereas EPA and DHA were detected at lower levels (Fig. 5C). When  
20 549 comparing CaCl<sub>2</sub>-containing conditions, it should be noted that the CaCl<sub>2</sub>-induced workflows  
21 550 evaluated in the present study (TAPCaD6 and M2CaD6) were designed with delayed CaCl<sub>2</sub>  
22 551 induction after the early growth phase, allowing biomass accumulation to proceed before induction  
23 552 treatment. This process configuration differed from the previously reported protocol (P), in which  
24 553 CaCl<sub>2</sub> was present throughout cultivation. Accordingly, these conditions are more appropriately  
25 554 interpreted as distinct process configurations than as directly equivalent physiological treatments.  
26 555 The marked improvement observed in M2CaD6 is therefore most reasonably understood as the  
27 556 outcome of the integrated workflow, although the specific contribution of each component cannot  
28 557 be quantitatively partitioned from the present design.

29 558 Table 1 provides a comparative benchmark of omega-3 performance in selected *Chlorella*  
30 559 studies. Despite methodological differences among studies, the M2CaD6 workflow showed  
31 560 competitive omega-3 content and yield, supporting the value of integrating cultivation  
32 561 optimization with cellulase-assisted extraction. In contrast to many previous studies that focused  
33 562 primarily on either cultivation performance or extraction improvement, the present workflow  
34 563 combined both stages within a single process framework. Cross-study comparison of extraction  
35 564 performance remains difficult because strain background, cultivation conditions, extraction  
36 565 protocols, and analytical methods differ substantially among studies. Previous CCD- and RSM-  
37 566 based studies likewise support the relevance of this integrated strategy, with cultivation-stage  
38 567 optimization improving biomass-related performance in *Chlorella* (Do et al., 2022; Rincon et al.,  
39 568 2024) and extraction-stage optimization enhancing lipid recovery in related systems (Hadrich et  
40 569 al., 2018). Recent *Chlorella*-based strain-improvement studies further suggest that process  
41 570 optimization alone may not fully define the upper limit of long-chain omega-3 production. In  
42 571 *Chlorella vulgaris*, chemical mutagenesis combined with adaptive laboratory evolution was  
43 572 reported to enhance EPA and DHA yields by 485% and 161%, respectively (Zhang et al., 2025).  
44 573 Likewise, UV mutagenesis followed by H<sub>2</sub>O<sub>2</sub> treatment in *Chlorella* sp. was reported to enhance  
45 574 EPA and DHA content together with overall omega-3 fatty acid levels (Sivaramakrishnan and  
46 575 Incharoensakdi, 2023). Although these studies are not directly comparable with the present  
47 576 workflow in terms of strain background, intervention strategy, and reported response metrics, they  
48 577 indicate that future strain-improvement approaches may further extend the EPA/DHA potential of  
49 578 KLS<sub>c59</sub> beyond what can be achieved by cultivation and extraction optimization.

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4 579 To further probe stress-induced redirection of lipid metabolism, nitrogen was halved  
5 580 (M2CaND6) or combined with increased light intensity (M2CaNLD6). Nitrogen reduction did not  
6 581 improve total fatty acid content relative to M2CaD6 but shifted fatty acid composition toward  
7 582 SAT, MUFA, and LA with reduced omega-3 content, consistent with nitrogen-stress-driven lipid  
8 583 remodeling and altered desaturation dynamics (Hu et al., 2008; Wang and Miao, 2022; Vijayan et  
9 584 al., 2024). The marked increase in LA, a precursor for omega-3 biosynthesis, suggests potential  
10 585 downstream bottlenecks or timing effects in desaturation and elongation pathways.

11 586 Under combined nitrogen reduction and high light (M2CaNLD6), fatty acid content per  
12 587 DCW decreased substantially (Fig. 5A), yet rapid growth resulted in the highest fatty acid yield  
13 588 ( $128.18 \pm 4.94 \text{ mg L}^{-1}$ ) and an omega-3 yield ( $14.22 \pm 0.29 \text{ mg L}^{-1}$ ) comparable to that of M2CaD6  
14 589 (Fig. 5B). Despite a relatively low lipid fraction (7.6% DCW), M2CaNLD6 still produced a  
15 590 substantial crude lipid yield of  $407.21 \text{ mg L}^{-1}$  (Fig. 5D). These results reflect a biomass-driven  
16 591 trade-off in which rapid growth partially compensated for reduced lipid accumulation per unit  
17 592 biomass under the combined nitrogen-reduction and high-light condition, consistent with previous  
18 593 reports that light intensity can influence the balance between proliferation and lipid storage in  
19 594 microalgae (Nzayisenga et al., 2020). This response may reflect multiple, non-exclusive  
20 595 mechanisms, including dilution of cellular lipid content by rapid biomass accumulation, altered  
21 596 carbon partitioning under high light, and possible oxidative stress. However, no direct  
22 597 measurements of reactive oxygen species (ROS) formation or lipid peroxidation were performed  
23 598 in the present study. Morphological differences observed under M2CaNLD6 (Fig. 5E) may reflect  
24 599 stress-associated physiological remodeling that coincided with reduced lipid accumulation per  
25 600 cell, consistent with nitrogen-stress-induced thylakoid and lipid remodeling reported in *Chlorella*  
26 601 (Vijayan et al., 2024; Wang and Miao, 2022). However, light effects remain context-dependent,  
27 602 as increased lipid accumulation has also been reported under nutrient-rich conditions (Palikrousis  
28 603 et al., 2024). Overall, M2CaD6 was the best-performing condition, achieving omega-3  
29 604 accumulation per biomass while sustaining high omega-3 yield. Although M2CaNLD6 showed  
30 605 lower cellular lipid content, its omega-3 yield remained comparable, suggesting that biomass-  
31 606 driven strategies can compensate for reduced cellular lipid content under stress.

32 607 Direct cross-stage comparison between the biomass-stage optimization results and the  
33 608 subsequent comparative cultivation experiments should be interpreted cautiously, because the  
34 609 biomass-stage CCD was conducted under  $50 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ , whereas most comparative  
35 610 cultivation conditions in Section 3.4 were evaluated under  $5 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ . Within Section  
36 611 3.4, the main comparative conditions (PD0, PD6, TAPD6, TAPCaD6, M2CaD6, and M2CaND6)  
37 612 were assessed under the same low-light framework, whereas M2CaNLD6 was intentionally  
38 613 evaluated under higher light intensity as a stress condition. Comparison between M2CaND6 and  
39 614 M2CaNLD6 is also limited because light intensity and nitrogen reduction were changed  
40 615 simultaneously rather than independently in a factorial design. Therefore, the observed differences  
41 616 between these two conditions are more appropriately interpreted as reflecting the combined effect  
42 617 of light intensity and nitrogen reduction. Although the present study does not establish whether  
43 618 the selected carbon and nitrogen sources, or the M2 formulation, would remain best-performing  
44 619 under an alternative light regime, the favorable growth responses observed during the screening  
45 620 and biomass-stage optimization experiments suggest that this nutrient combination may remain  
46 621 supportive of biomass production beyond the tested experimental framework.

47 622 In the present study,  $\text{CaCl}_2$  induction and nitrogen reduction were examined as selected  
48 623 comparative conditions, whereas cellulase loading and sonication duration were optimized within  
49 624 the tested CCD range.  $\text{CaCl}_2$  induction was examined at a single concentration (10 mM), and  
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4 625 nitrogen reduction was tested at one step-down level (50% of the original ammonium nitrate  
5 626 concentration), corresponding to selected experimental levels. Further studies using wider ranges  
6 627 of CaCl<sub>2</sub> concentration, nitrogen reduction, and extraction variables would strengthen process  
7 628 evaluation. An independent exhaustive solvent-extraction baseline was not established in the  
8 629 present study. Therefore, the extraction results should be interpreted primarily as comparative  
9 630 recovery within the tested extraction framework. Nevertheless, the observed differences among  
10 631 the tested conditions still support the comparative performance advantage of D6 under the present  
11 632 experimental conditions. The stress responses were assessed only at the end point, without direct  
12 633 mechanistic measurements to resolve nitrogen status, carbon partitioning, oxidative status, or  
13 634 photosynthetic dynamics. Time-resolved experiments in controlled photobioreactor systems will  
14 635 therefore be important for clarifying the mechanisms underlying omega-3 remodeling in *Chlorella*  
15 636 sp. KLS59.

16 637 From a scale-up perspective, the acetate-based M2 strategy could potentially be adapted to  
17 638 fed-batch or semi-continuous operation, because controlled supplementation of organic carbon  
18 639 may support biomass accumulation while improving process stability in mixotrophic *Chlorella*  
19 640 systems (Xie et al., 2020; Dragone, 2022). Previous studies have shown that mixotrophic  
20 641 cultivation with organic carbon supplementation can improve *Chlorella* biomass productivity, and  
21 642 semi-continuous cultivation has been demonstrated as a practical strategy for maintaining stable  
22 643 production performance (Xie et al., 2020; Chen et al., 2019). The light conditions used in the  
23 644 present study were selected to support stage-specific process comparison and stress evaluation at  
24 645 laboratory scale, and should not be interpreted as direct operating targets for industrial  
25 646 photobioreactors. Further validation under reactor-relevant light delivery conditions will therefore  
26 647 be required to evaluate the transferability of the present workflow to large-scale cultivation. At the  
27 648 same time, the direct large-scale application of standard TAP-based media may be constrained by  
28 649 medium cost, and further optimization of medium composition will likely be required to improve  
29 650 economic feasibility. In this context, the present study provides useful direction by demonstrating  
30 651 that acetic acid, ammonium nitrate, and pH, together with CaCl<sub>2</sub> adaptation, significantly  
31 652 influenced both biomass accumulation and intracellular fatty acid profiles in *Chlorella* sp.  
32 653 KLS59. These findings suggest that future medium development should not only aim to reduce  
33 654 formulation cost, but also retain those key factors that govern biomass productivity and omega-3-  
34 655 associated lipid accumulation. Although the present workflow substantially improved omega-3  
35 656 content and yield relative to the previous KLS59 protocol, the resulting production levels should  
36 657 still be interpreted as laboratory-scale outcomes, and further increases in volumetric productivity  
37 658 would be required before industrial feasibility can be assessed.

38 659 Likewise, the performance of the cellulase-assisted sonication strategy has important  
39 660 implications for process development at larger scale. Enzymatic pretreatment likely reduced the  
40 661 structural resistance of the carbohydrate-rich cell wall, thereby decreasing the mechanical severity  
41 662 required for subsequent disruption, which may help reduce the potential risk of oxidative  
42 663 degradation of polyunsaturated fatty acids during extraction (Lee et al., 2017; Liu et al., 2022). In  
43 664 this context, the combination of cellulase with moderate sonication may offer a more practical  
44 665 balance than reliance on prolonged or high-intensity sonication alone, because excessive  
45 666 mechanical input can increase energy demand and may promote local heating and oxidative  
46 667 degradation of sensitive fatty acids (Lee et al., 2017; Liu et al., 2022). Nevertheless, cellulase  
47 668 loading remains an important economic consideration for process translation, and further  
48 669 optimization will be required to balance enzyme dosage, extraction efficiency, and operating cost  
49 670 under scaled-up conditions (Lee et al., 2017; Dragone, 2022). The cellulase loading used here  
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4 671 should be interpreted as a laboratory-scale process condition selected to maximize recovery within  
5 672 the tested design space, rather than as an economically validated dosage for large-scale operation.  
6 673 Because enzymatic hydrolysis efficiency depends jointly on enzyme dosage and incubation time,  
7 674 future scale-up studies may benefit from re-optimizing this trade-off by evaluating whether lower  
8 675 cellulase loading can be compensated by longer hydrolysis time under reactor-relevant conditions.  
9 676 Beyond process development, the present extraction workflow may also serve as a useful  
10 677 laboratory framework for improving comparative fatty-acid profiling and omega-3  
11 678 characterization in *Chlorella* biomass.  
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#### 15 680 4. CONCLUSION

16 681 This study established a strain-specific integrated bioprocess for enhancing omega-3 oil  
17 682 production and recovery from *Chlorella* sp. KLSc59. Acetic acid and ammonium nitrate were  
18 683 identified as the best-performing carbon and nitrogen sources under the tested screening  
19 684 conditions. The CCD-guided biomass-stage optimization identified M2 (33.9 mM acetic acid, 16.1  
20 685 mM ammonium nitrate, pH 6.6) as the best-performing cultivation condition within the  
21 686 investigated design space. A second CCD-based analysis identified D6 (1,000 IU g<sup>-1</sup> DCW  
22 687 cellulase; 150 s sonication) as the best-performing disruption condition within the tested range,  
23 688 with improved fatty acid recovery under the tested extraction conditions. The combination of M2  
24 689 cultivation, CaCl<sub>2</sub> induction, and D6 extraction (M2CaD6) gave the highest cellular omega-3  
25 690 content (6.22 µg mg<sup>-1</sup> DCW) and yield (14.90 mg L<sup>-1</sup>), representing ~17-fold and ~20-fold  
26 691 increases over the PDO protocol, respectively. Stress experiments showed that nitrogen reduction  
27 692 mainly altered fatty acid composition, whereas nitrogen reduction combined with higher light  
28 693 intensity enhanced total fatty acid yield despite lower lipid content per unit biomass. Overall  
29 694 omega-3 enhancement was driven predominantly by ALA, suggesting limited conversion to EPA  
30 695 and DHA. Further time-course profiling and strain-improvement approaches are therefore  
31 696 recommended.  
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37 697 Supplementary data associated with this article are available in the online version and  
38 698 include additional methodological and statistical details supporting the CCD analyses.  
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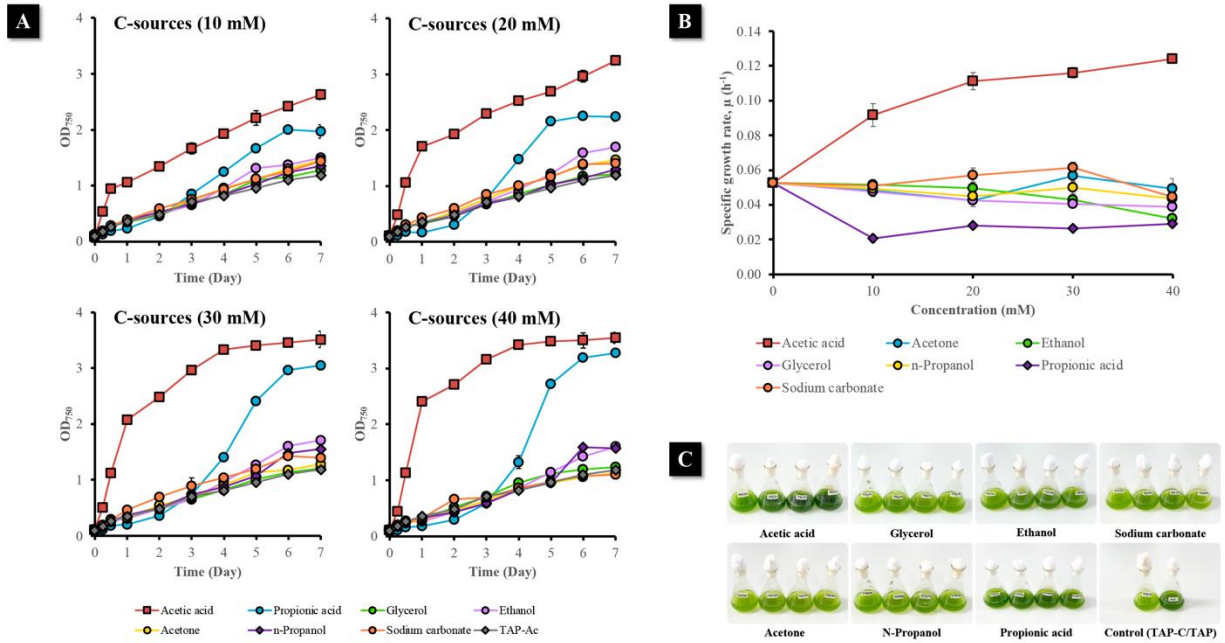
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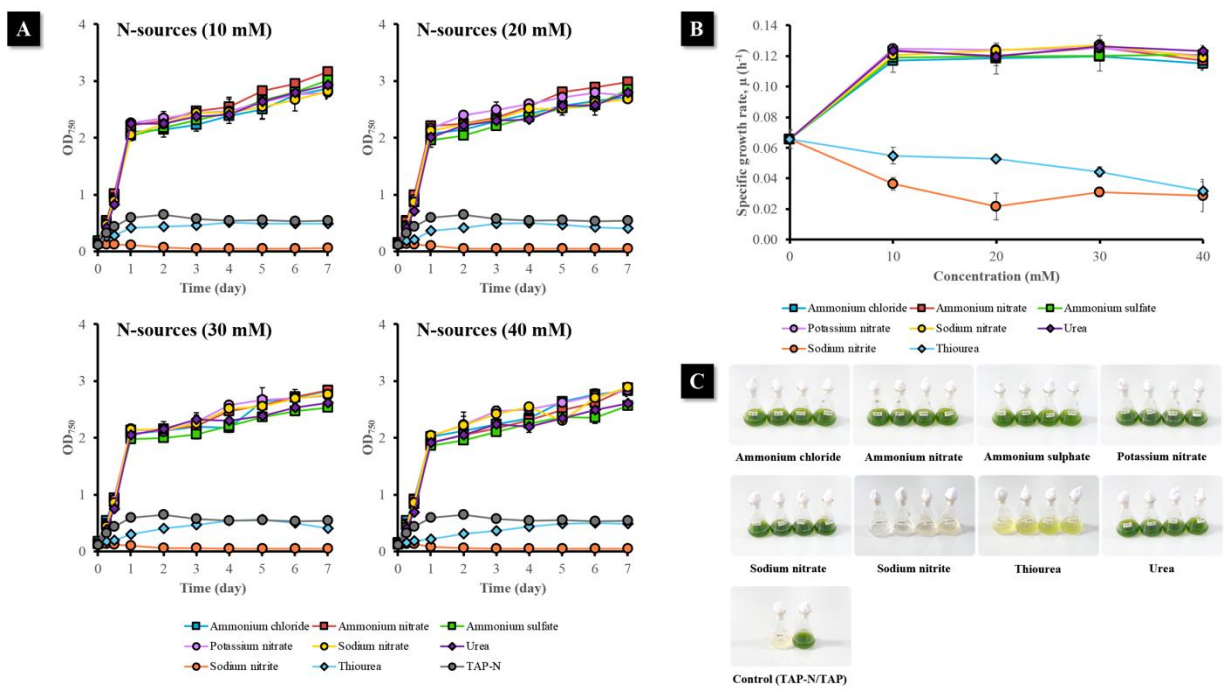
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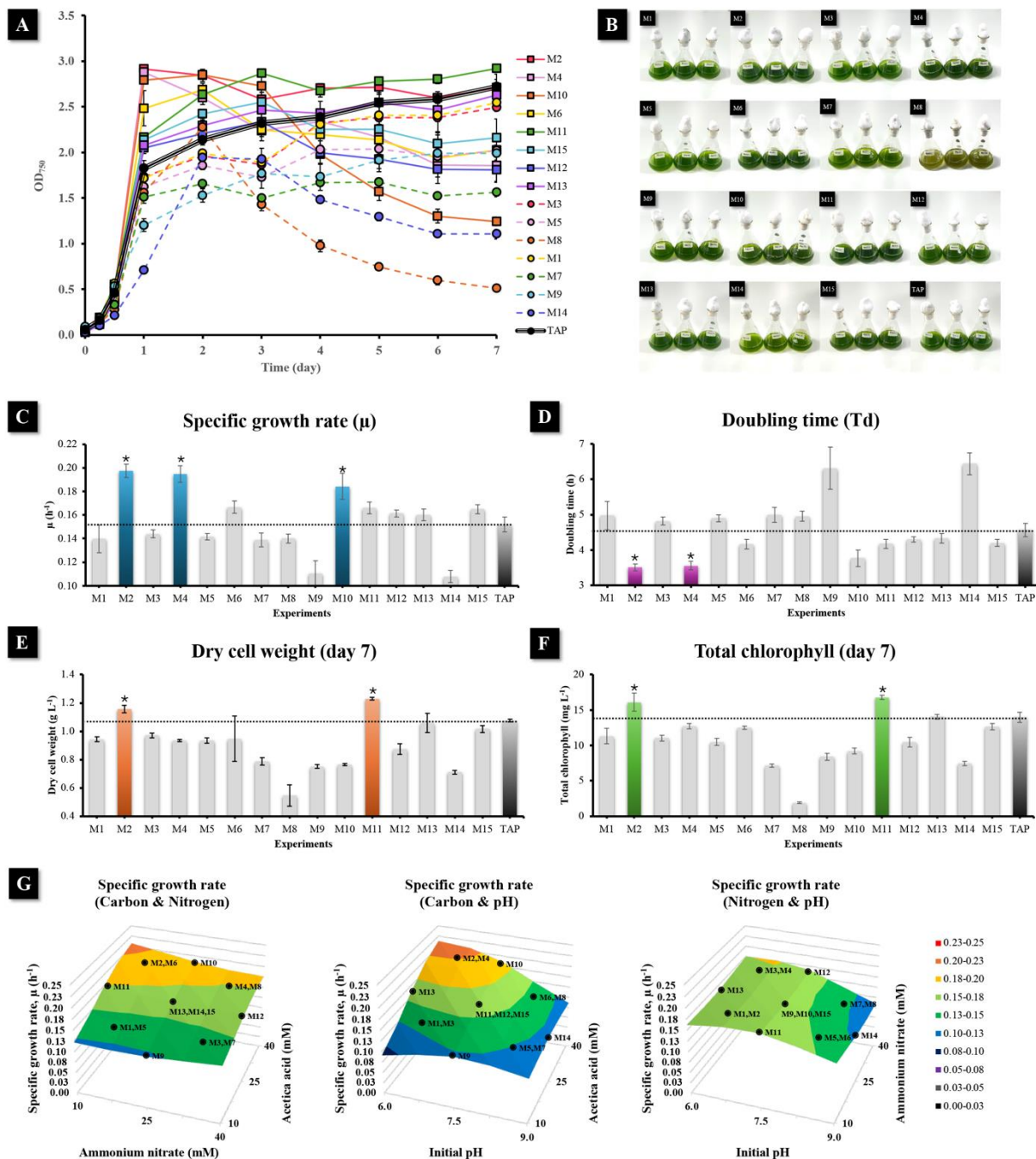
**Fig. 1** Carbon source optimization for microalgal cultivation: (A) Growth curves at different carbon concentrations (10, 20, 30, and 40 mM), (B) Specific growth rate at various carbon concentrations, and (C) Visual appearance of cultures on day 7. Error bars represent means  $\pm$  standard deviations from biological triplicates.

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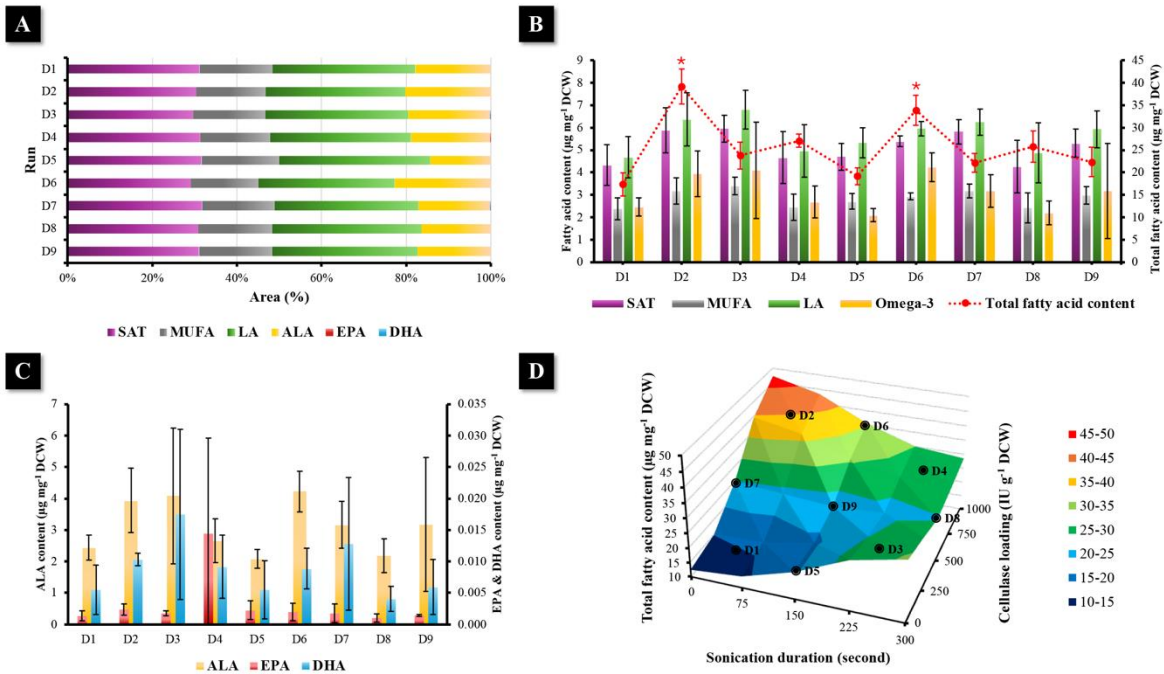
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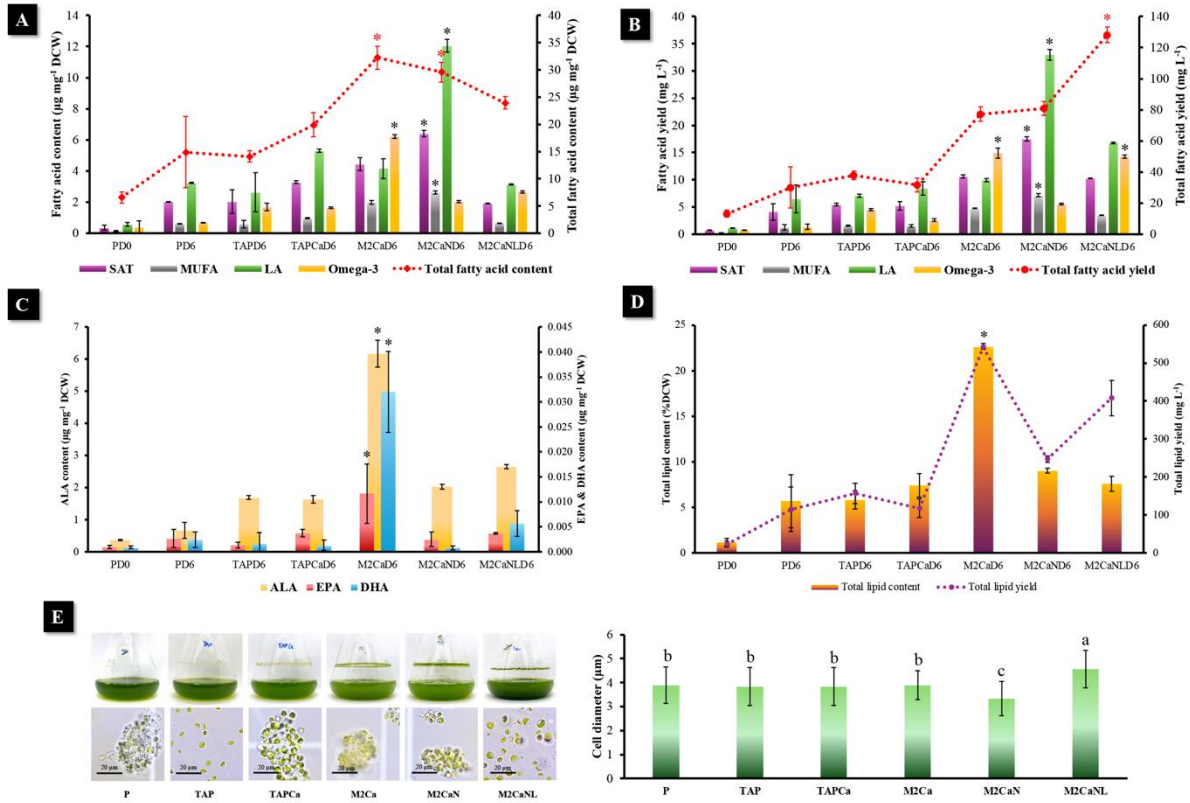
**Fig. 2** Nitrogen source optimization for microalgal cultivation: (A) Growth curves at different nitrogen concentrations (10, 20, 30, and 40 mM), (B) Specific growth rate at various nitrogen concentrations, and (C) Visual appearance of cultures on day 7. Error bars represent means  $\pm$  standard deviations from biological triplicates.



**Fig. 3** Optimization of biomass growth using CCD. (A) Growth curves of *Chlorella* sp. KLSc59 under CCD conditions (M1-M15) over 7 days, monitored by OD<sub>750</sub>. (B) Visual appearance of cultures on day 7. (C) Specific growth rate. (D) Doubling time. (E) Dry cell weight on day 7. (F) Total chlorophyll content on day 7. (G) Response surface plots showing the interaction effects of acetic acid concentration, ammonium nitrate concentration, and pH on specific growth rate, with observed experimental data points overlaid on the fitted surfaces. Error bars represent means  $\pm$  standard deviations from biological triplicates. Asterisks (\*) indicate the condition(s) belonging to the best-performing Tukey group within each panel ( $p < 0.05$ ).



**Fig. 4** Optimization of cell disruption and lipid extraction using CCD. (A) Fatty acid profiles of *Chlorella* sp. KLSc59 under different conditions (D1-D9), expressed as area percentage (%), including SAT, MUFA, LA, and the individual omega-3 fatty acids ALA, EPA, and DHA. (B) Total fatty acid content and selected fatty acid contents (SAT, MUFA, LA, and total omega-3) under each disruption condition. (C) Contents of the individual omega-3 fatty acids ALA, EPA, and DHA under each disruption condition; ALA is shown on the left y-axis, whereas EPA and DHA are shown on the right y-axis. (D) Response surface plots showing the interaction effects of cellulase loading and sonication duration on total fatty acid content, with observed experimental data points overlaid on the fitted surfaces. Error bars represent means  $\pm$  standard deviations from biological triplicates. Asterisks (\*) indicate the condition(s) belonging to the best-performing Tukey group within each panel ( $p < 0.05$ ).



**Fig. 5** Comparison of fatty acid, omega-3, and crude lipid production of *Chlorella* sp. KLS59 under different cultivation and disruption strategies. (A) Selected fatty acid class contents (SAT, MUFA, LA, and total omega-3) and total fatty acid content. (B) Selected fatty acid class yields (SAT, MUFA, LA, and total omega-3) and total fatty acid yield. (C) Individual omega-3 fatty acid contents (ALA, EPA, and DHA). (D) Total lipid content and total lipid yield. (E) Bright-field micrographs and mean cell diameter on the final cultivation day. Error bars represent means  $\pm$  standard deviations of biological triplicates. Different letters indicate Tukey groupings among all conditions within each panel ( $p < 0.05$ ), whereas asterisks (\*) mark the condition(s) belonging to the best-performing Tukey group in that panel.

**Table 1** Comparative benchmark of omega-3 content and yield reported for selected *Chlorella* studies under different cultivation and extraction strategies.

<i>Chlorella</i> Species	Culture Medium / Conditions	Cell Disruption & Lipid Extraction	omega-3 content (%TFA)	omega-3 Content ( $\mu\text{g mg}^{-1}$ DCW)	omega-3 yield ( $\text{mg L}^{-1}$ )	Total Fatty Acid Content ( $\mu\text{g mg}^{-1}$ DCW)	Cultivation Time	Reference
<i>Chlorella</i> sp. KLS59	Modified TAP (M2) + 10 mM $\text{CaCl}_2$ adaptation (M2CaD6)	Cellulase + sonication (D6; 1,000 IU $\text{g}^{-1}$ DCW cellulase; 150 s sonication) + GC analysis	19.30%	$6.22 \pm 0.42$	$14.90 \pm 0.95$	$32.23 \pm 2.15$	6 days	This study
<i>Chlorella vulgaris</i> INETI 58	Sorokin and Krauss medium; 25 °C; low light ( $150 \mu\text{E m}^{-2} \text{s}^{-1}$ ); bubbling filtered air	Total lipids by Soxhlet with petroleum ether after 4 N HCl acid digestion; FAMES by Acid catalysis (acetylchloride:methanol) at 80 °C for 1 h	19.95%	6.96	NR	34.89	NR (Harvested at stationary growth phase)	Batista et al. (2013)
<i>Chlorella</i> sp. (Commercial)	Grown autotrophically in open freshwater ponds (South China)	Folch method; cells were milled with mini bead beater	23.34% (only ALA)	$11.6 \pm 0.2$ (ALA)	NR	49.7 (Calculated from SFA + USFA)	NR	Darwish et al. (2020)
<i>Chlorella vulgaris</i> (Strain CCALA 256)	Heterotrophic: Modified BBM + 15 g/L Glucose; 28 °C; dissolved oxygen tension was kept above 75%; aeration (4 L $\text{min}^{-1}$ ); pH 7; 300 rpm	Freeze-dried; direct trans-esterification	19.7% (only ALA)	15.76 (ALA)	NR	80	7 days	Canelli et al. (2020)

**Note:** NR, not reported; ALA, indicates that only ALA was reported as the omega-3 component. Some absolute values were calculated from reported composition and/or total fatty acid content.