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Koutroukas, V., Thomas, J., Martine-Edith, G. et al. (2026) Gold and Clarke questionnaires identify different people with insulin-treated diabetes and impaired awareness of hypoglycaemia in almost 60% of cases: A post hoc analysis from the Hypo-METRICS Study. Diabetes, Obesity and Metabolism. ISSN: 1462-8902

<https://doi.org/10.1111/dom.70869>

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ORIGINAL ARTICLE OPEN ACCESS

Gold and Clarke Questionnaires Identify Different People With Insulin-Treated Diabetes and Impaired Awareness of Hypoglycaemia in Almost 60% of Cases: A Post Hoc Analysis From the Hypo-METRICS Study

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Received: 18 February 2026 | **Revised:** 21 April 2026 | **Accepted:** 1 May 2026

Keywords: continuous glucose monitoring (CGM) | hypoglycaemia | type 1 diabetes | type 2 diabetes

ABSTRACT

Aims: Gold and Clarke questionnaires are used to identify impaired awareness of hypoglycaemia (IAH) and severe hypoglycaemic event (SHE) risk in people with diabetes (pwD). We explored their overlap, including Clarke's Hypoglycaemia Awareness Status (Clarke-HAS) subfactor, and subsection differences in SHE incidence (Gold, Clarke, overlap).

Materials and Methods: This post hoc analysis of the Hypo-METRICS study recruited pwD on insulin (type 1: T1D; type 2: T2D) with ≥ 1 hypoglycaemic event in the previous 3 months. IAH was defined as Gold ≥ 4 , Clarke ≥ 4 , Clarke-HAS ≥ 2 .

Results: Gold and Clarke were completed by 232 pwT1D and 285 pwT2D (age: 47 vs. 62 years, $p < 0.001$; HbA1c: 56 mmol/mol (7.3%) vs. 57 mmol/mol (7.4%), $p = 0.03$). IAH prevalence in T1D vs. T2D was 21% vs. 26% ($p = 0.1$), 14% vs. 18% ($p = 0.2$), and 41% vs. 48% ($p = 0.2$) according to Gold, Clarke and Clarke-HAS. The overlap between Gold ≥ 4 , Clarke ≥ 4 was 40% (T1D: 45%; T2D: 37%); between Gold ≥ 4 , Clarke-HAS ≥ 2 it was 45% (T1D: 43%; T2D: 47%). The overlap between Gold ≥ 4 , Clarke ≥ 4 was 41% vs. 39% in CGM vs. non-CGM users (T1D: 50% vs. 35%; T2D: 32% vs. 40%); between Gold ≥ 4 , Clarke-HAS ≥ 2 it was 39% vs. 53%

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(T1D: 38% vs. 54%; T2D: 40% vs. 53%). In the IAH sample, SHE rate was lower in people found with IAH by Gold vs. Clarke, 9% vs. 53%, and in those assessed by Gold-Clarke overlap vs. Clarke, 20% vs. 53% (all $p < 0.001$).

Conclusions: Gold and Clarke show moderate consistency (37%–54%) in identifying IAH in insulin-treated diabetes, with/without CGM use, each associated with different SHE rates, indicating the two questionnaires measure different, independent aspects of IAH.

1 | Introduction

Hypoglycaemia is an important limiting factor in optimising glycaemic management in diabetes [1], to minimise long-term complications [2]. Recurrent events can blunt counterregulatory symptom and hormone responses to subsequent events, which in some individuals can lead to impaired awareness of hypoglycaemia (IAH) [3]. IAH, defined as the diminished ability to perceive the onset of hypoglycaemia [4], is associated with increased risk of severe hypoglycaemia in people with type 1 diabetes (pwT1D) [5] and insulin-treated type 2 diabetes (pwT2D) [6]. IAH is thought to affect up to 25% of pwT1D [5, 7, 8], and about 10% of those with insulin-treated T2D [6, 9], with evidence from randomised control trials showing that continuous glucose monitoring (CGM) decreases the incidence of severe hypoglycaemia [10].

The Gold measure [11] and the Clarke questionnaire [12] in its updated format [13] were developed in the 1990s as tools for assessing hypoglycaemia awareness in pwT1D. Gold comprises of a single question, with a response option ranging from 1 to 7; Clarke consists of 8 questions, with total score ranging from 0 to 7, with scores ≥ 4 indicating IAH on both measures. Using principal component analysis, in 2020, Sepúlveda and associates highlighted that Clarke incorporates two dimensions: “hypoglycaemia awareness” (questions 1, 2, 5/6, 7, 8) and “severe hypoglycaemia experience” (questions 3 and 4). For the first dimension, the Clarke Hypoglycaemia Awareness Status (Clarke-HAS) subscale, the authors suggested a score ≥ 2 as indicative of IAH [14]. A later study suggested a value of ≥ 2.5 in pwT2D [15].

Clarke and Gold have been found to highly correlate with one another [16, 17]; both showed a moderately negative relationship with adrenaline responses (Clarke: $r = -0.51$, Gold: $r = -0.50$) and total symptom responses (Clarke: $r = -0.59$, Gold: $r = -0.57$) during clamp-induced hypoglycaemia in T1D [18]. However, Rubin and associates found that 32% of participants were classified inconsistently by Clarke/Gold questionnaires, although the classification accuracy increased in both extremes of hypoglycaemia awareness [18]. IAH with either measure was associated with lower ratings for autonomic symptoms in daily life in T1D [17]. IAH by either measure is associated with increased severe hypoglycaemia risk [10].

More recently, CGM metrics such as time below range (TBR) are widely used to identify risk of hypoglycaemia but, actually, very few studies link this to SHE risk. Two recently published studies [19, 20] show that high TBR is only associated with increased risk of SHE in the presence of IAH, based on Gold measure. Accurately identifying people with IAH thus remains important for clinical management even with current technology. Given the importance of these questionnaire-based measures of IAH, we explored whether Gold, Clarke, and Clarke-HAS measures

identify the same individuals with the same awareness status by investigating their overlap and correlations in IAH classification, using data from the Hypo-METRICS study [21]. Subsequently, severe hypoglycaemia incidence across all IAH classification subgroups was explored.

2 | Methods

2.1 | Research Design

This was a post hoc analysis of data collected as part of the Hypo-METRICS study, a 10-week multinational observational study (ClinicalTrials.gov (NCT04304963)) conducted at nine clinical sites across Austria, Denmark, France, the Netherlands, and U.K.; data were collected between Oct 2020 and Oct 2022. The study protocol was approved by ethics committees in each country [21]. Written informed consent was obtained from all participants.

2.2 | Study Participants and Design

Hypo-METRICS recruited 602 adults aged between 18 and 85 years with T1D and insulin-treated pwT2D with ≥ 1 hypoglycaemic event in the 3 months prior to study enrolment. Key inclusion criteria were being an adult (aged 18–85 years), living with T1D or T2D, taking ≥ 1 insulin injection per day, and having experienced ≥ 1 episode of hypoglycaemia in the 3 months prior to study enrolment. Key exclusion criteria were an estimated glomerular filtration rate of $< 30 \text{ mL/min/1.73 m}^2$ and hybrid-closed loop use. The trial protocol has been published previously [21].

2.3 | Measures

Hypoglycaemia awareness status was assessed at enrolment by the Gold question and the Clarke survey. Clarke and Clarke-HAS scores were calculated by combining the answers to Clarke questions ‘1–8’ and ‘1’, ‘2’ and ‘5–8’, respectively [14]. IAH was defined as Gold ≥ 4 , Clarke ≥ 4 , and Clarke-HAS ≥ 2 .

2.4 | Statistical Analysis

Descriptive analysis was used for participants’ socio-demographic and clinical characteristics. Categorical variables were reported as frequencies, percentages and continuous variables as medians and interquartile range to account for the skewness of our data.

We identified proportion of participants with IAH using each measure (Gold, Clarke, Clarke-HAS) and explored classification differences for the overall sample and by subgroups (diabetes type and mode of glucose monitoring); we additionally

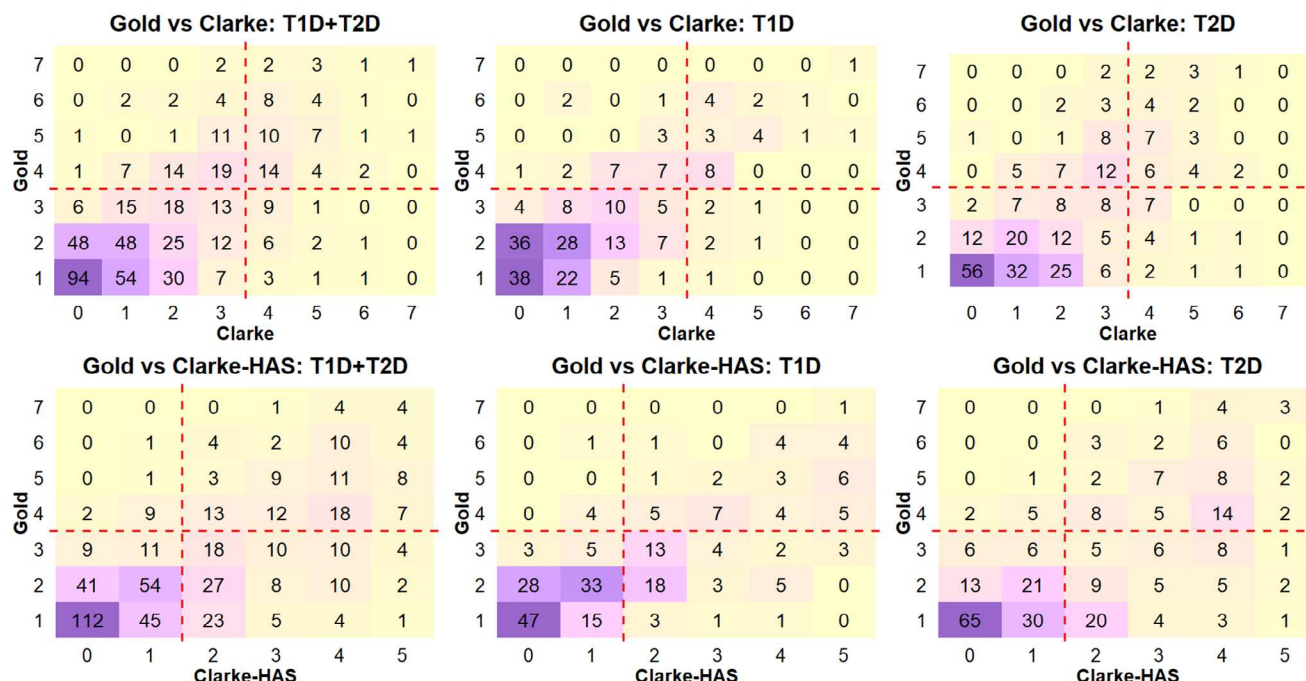


FIGURE 2 | Venn diagram matrix on the overlap between Gold and Clarke, and between Gold and Clarke-HAS in the entire cohort and diabetes subgroups.

3.1.3 | SHEs in the Year Prior to the Study: IAH and NAH

In the total sample (T1D+T2D, CGM+SMBG), there was no difference in the proportion of SHEs during the 12 months prior to the study between those identified with IAH by *Gold only* (6/64) vs. *Gold and Clarke* (12/59), 9% vs. 20% ($p=0.14$; BH $p>0.9$). There was a statistically significant difference between those with IAH by *Gold only* (6/64) vs. *Clarke only* (20/38), 9% vs. 53% respectively ($p<0.001$; BH $p<0.001$), and between those with IAH by *Clarke only* vs. *Gold and Clarke*, 53% vs. 20% ($p<0.001$; BH $p=0.01$) (Table 2).

3.1.3.1 | T1D. In CGM users, *Gold and Clarke-HAS* identified more people (8/28) with ≥ 1 SHE compared with *Clarke-HAS only* (3/42), 29% vs. 7%, ($p=0.02$; BH $p=0.1$). No other comparison between individual measures or their combinations yielded any statistically significant differences.

In *SMBG* users, we did not find any statistically important differences, although the subgroup sizes were quite small.

3.1.3.2 | T2D. Conversely, it was in the *CGM* group that we did not find any statistically important differences, with the subgroup sizes being quite small.

In SMBG users, *Gold only* identified fewer people (1/22) with ≥ 1 SHE compared with *Clarke only* (18/23), 5% vs. 78% ($p < 0.001$; BH $p < 0.001$); similar results were found between *Gold and Clarke* (3/21) vs. *Clarke only* (18/23), 14% vs. 78% ($p < 0.001$; BH $p < 0.001$), and also between *Gold and Clarke-HAS* (4/38) vs. *Clarke-HAS only* (19/43), 11% vs. 44% respectively, ($p < 0.001$; BH $p = 0.01$) No other comparison between individual

measures or their combinations yielded any statistically significant differences.

For exploratory reasons, we repeated the same analysis using a cutpoint of ≥ 5 for Gold as suggested by the authors of the original paper [11], and ≥ 5 for Clarke as suggested by Matus and associates [23]. We did not find a better discriminatory value among the questionnaires (Table S2).

3.1.4 | Correlation Analysis

Table S3 shows the correlation matrices for Gold, Clarke, and Clarke-HAS continuous scale measures for the total sample, T1D, and T2D.

Between Gold and Clarke, in the entire cohort, the correlation was $\rho=0.61$ overall, with similar coefficients observed when stratified by diabetes type (0.62 in T1D, 0.63 in T2D; all $p<0.001$).

Between Gold and Clarke-HAS, correlation coefficients were similar in magnitude. In the entire cohort, the correlation was $\rho=0.65$ overall, $\rho=0.70$ in T1D, and $\rho=0.62$ in T2D (all $p<0.001$).

4 | Discussion

This study highlights considerable discordance between people identified with IAH using widely used assessment tools that are recommended by guidelines such as the National Institute for Health and Care Excellence [24] or the American Diabetes Association [25]. These differences are more prominent in CGM users with insulin-treated T2D.

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and the only one so far based on FDA criteria, offers a more nuanced question structure potentially allowing for a more accurate categorisation [42]. A Hypo A-Q subscale cut-off score of ≥ 12 indicates impaired awareness [23]. Additionally, even for the purposes of a yes/no assessment, the cut-offs of ≥ 4 for Gold or Clarke may not be correct, with Matus and associates having found ≥ 5 as the optimal cut-off for Clarke in clamp-induced hypoglycaemia [23], whilst, as previously mentioned, a cut-off of ≥ 2.5 for Clarke-HAS has been reported effective in pwT2D [15].

We acknowledge the limitations presented as a result of small subgroup sizes when comparing proportion of SHEs at subsections (based on diabetes type, glucose monitoring modality, different IAH measure). For people assessed with intact awareness by any measure, roughly 10% of them reported SHEs, which agrees with rates recently presented in a narrative review about IAH [10]. Those with IAH had a slightly higher rate of SHEs in the year prior irrespective of which measure was used [10]. The reality is that we do not know why some people are identified with IAH with one questionnaire and not with the other. These measures' and their combinations' predictive reliability need to be explored in prospective databases in users of diabetes technology.

5 | Conclusion

Gold and Clarke questionnaires, widely used to identify people with IAH and risk of severe hypoglycaemia, classify different people as having IAH in approximately 60% of cases. As such, the use of each one in isolation may miss some people at risk of severe hypoglycaemia. The heatmaps suggest that in people with very good awareness or unawareness either measure suffices; the real challenge is in reliably identifying people in the middle of the spectrum. More detailed questionnaires, such as Clarke or Hypo A-Q may prove more useful in this group. A belt and braces approach may be to use both Gold and Clarke; alternatively, a trichotomous grading of awareness may offer a more granular approach to quantifying risk of hypoglycaemia. While these questionnaires do not measure adrenaline response, they do identify those with reduced perception of hypoglycaemia, and those who have increased SHE risk resulting from higher hypoglycaemia frequency, despite widespread efforts to use technology and metrics such as TBR to stratify risk; this work highlights the need for updating these historical questionnaires for the modern era.

Author Contributions

V.K. and P.C. developed the plan for the manuscript, with input and advice from the remaining coauthors. V.K. generated the tables and the figures, and prepared the manuscript draft, with critical feedback from the remaining coauthors. V.K. and A.T. conducted the correlation analysis. V.K. conducted the analysis on the proportion of SHEs per IAH group. J.T., G.M.-E., A.T., P.D., U.S., C.M., S.H., J.K.M., U.P.-B., B.E.d.G., E.R., M.L.E., R.J.M., J.S., F.P., S.A.A., and P.C. prepared subsequent revisions with feedback from all coauthors. All authors approved the final draft of the manuscript. V.K. is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Acknowledgements

We thank the Hypo-RESOLVE Patient Advisory Committee for their support in the development of the Hypo-METRICS study. We thank all participants in the Hypo-METRICS study. We acknowledge the hard work and dedication of all staff at the study sites, in particular, Andrew Pernet, Amy Aldridge, Marcia Henderson-Wilson (Kings College London), Pernille Banck-Petersen, Rikke Carstensen, Charlotte Hansen (Nordsjællands Hospital Hillerød), Sharon Caunt, Sue Hudson, Chloe Husband, Fahad Arshad (University of Sheffield), Anne Farret, Anne-Marie Marteil-Oudrer, Manal Shbat, Lisa Crespy, Jenny Constanza Thibout (University of Montpellier), Namam Ali, Evertine Abbink, Anja Rasing, Jesper Walburgh Schmidt, Daniel Loewenstein, Renate Huijsmans, Pieter Drijver (Radboud University Medical Centre), Daniel Chapman, Jane Kennet (University of Cambridge), Anna Barnett, and Jackie Lindsay (University of Dundee).

Funding

This work was supported by the Innovative Medicines Initiative 2 Joint Undertaking (JU) under grant agreement 777460. JU receives support from the European Union's Horizon 2020 Research and Innovation Programme and European Federation of Pharmaceutical Industries and Associations and T1D Exchange, Juvenile Diabetes Research Foundation, International Diabetes Federation, and The Leona M. and Harry B. Helmsley Charitable Trust. The industry partners supporting JU include Abbott Diabetes Care, Eli Lilly, Medtronic, Novo Nordisk, and Sanofi-Aventis. Abbott Diabetes Care provided the continuous glucose monitors used in the study. This analysis has been delivered through the National Institute for Health and Care Research (NIHR) Leicester BRC. The views expressed are those of the author(s) and not necessarily those of the National Health Service, NIHR, the Department of Health and Social Care or the JU.

Conflicts of Interest

V.K. has received funding from KelCon GmbH to attend ATTD 2024. He is also the recipient of YDEF-Lilly scholarships to attend EASD 2024 and ATTD 2026, and attended the EASD Robert Turner course 2025, partially funded by Lilly. J.J.C.T. has no disclosures to declare. G.E.-M. has no disclosures to declare. A.T. has no disclosures to declare. P.D. has spoken at educational symposia sponsored by Novonordisk, Grünenthal and Menarini Pharmaceuticals (Ireland) and has served on an advisory board for Dexcom. U.S. has no disclosures to declare. C.L.M. has received research funding and equipment from Dexcom Inc. S.H. undertakes consultancy with Eli Lilly, Novo Nordisk, Zealand Pharma, Vertex, Zucara, Medtronic and receives research support from Dexcom Inc. J.K.M. is a member of advisory boards of Abbott Diabetes Care, Becton-Dickinson, Biomea Fusion, Dexcom, Eli Lilly, Embecta, Insulet, Medtronic, Novo Nordisk A/S, Pharmasens, Roche Diabetes Care, Sanofi-Aventis, Tandem. She has received speaker honoraria from A. Menarini Diagnostics, Abbott Diabetes Care, Buzud, Dexcom, Embecta, Eli Lilly, Novo Nordisk A/S, Roche Diabetes Care, Sanofi, Sinocare, Viatris and Ypsomed. She is also a shareholder of decide Clinical Software GmbH and elyte Diagnostics and serves as CMO of elyte Diagnostics. U.P.-B. has received consulting fees from Abbott, support for attending conferences from Novo Nordisk and Sanofi, and trial supplies from Novo Nordisk. B.E.d.G. has no disclosures to declare. E.R. declares consultant/speaker fees from A. Menarini Diagnostics, Abbott, Air Liquide SI, Astra-Zeneca, Becton-Dickinson, Boehringer-Ingelheim, Cellnovo, Dexcom Inc., Eli-Lilly, Hillo, Insulet Inc., Johnson & Johnson (Animas, LifeScan), Medtronic, Medirio, Novo-Nordisk, Roche, and Sanofi-Aventis and research support by Abbott, Dexcom Inc., Insulet Inc., Roche, and Tandem Diabetes Care. M.L.E. has received personal fees from Abbott Diabetes Care, Eli Lilly and Company, Medtronic, Dexcom, Novo Nordisk, Astra Zeneca, and Zucara. The University of Cambridge has received salary support for M.L.E. from the National Health Service in the East of England through the Clinical Academic Reserve. R.J.M. has no disclosures to declare. J.S. reports honoraria (to research group) from Sanofi and Vertex for service on advisory boards.

F.P. has received funding for research from Novo Nordisk, Eli Lilly, and Sanofi-Aventis Deutschland GmbH. S.A.A. has served on an advisory board for Vertex Pharmaceuticals and has spoken at educational symposia sponsored by Vertex and by Sanofi. No other potential conflicts of interest relevant to this article were reported. P.C. has received personal fees Abbott Diabetes Care, Insulet, Dexcom, Novo Nordisk, AstraZeneca, Medtronic, Roche Diabetes Care, and Sanofi Diabetes and research funding support from Abbott Diabetes Care, Medtronic, and Novo Nordisk.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Peer Review

The peer review history for this article is available at <https://www.webofscience.com/api/gateway/wos/peer-review/10.1111/dom.70869>.

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Supporting Information

Additional supporting information can be found online in the Supporting Information section. **Figure S1:** Venn diagram matrix demonstrating the overlap between Gold and Clarke in CGM vs. SMBG users, in the entire cohort and diabetes subgroups. **Figure S2:** Venn diagram matrix demonstrating the overlap between Gold and Clarke-HAS in CGM vs. SMBG users, in the entire cohort and diabetes subgroups. **Table S1:** Observed agreement and Cohen κ between Gold/Clarke and Gold/Clarke-HAS within the total sample (T1D + T2D, T1D, and T2D), subsectioned by modality of glucose monitoring (CGM + SMBG, CGM, and SMBG users). **Table S2:** Difference in the number and proportion of participants with ≥ 1 severe hypoglycemic event (SHE) in the year prior to the study within the total sample (T1D + T2D, T1D, and T2D), subsectioned by modality of glucose monitoring (CGM + SMBG, CGM, and SMBG users), between those with Gold ≥ 5 & Clarke ≤ 4 (Gold ≥ 5 only), Clarke ≥ 5 & Gold ≤ 4 only (Clarke ≥ 5 only), and Gold ≥ 5 & Clarke ≥ 5 (Gold/Clarke overlap) combined; the same analysis was extended to the redacted version of the Clarke survey (Clarke-HAS ≥ 2). Benjamini-Hochberg (BH) correction has been applied for multiple comparisons. If no individuals were identified in an overlapping section, statistical analysis was not possible and results are presented as NA. **Table S3:** Spearman's correlation coefficients between Gold and Clarke, and between Gold and Clarke-HAS.