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Effect of the oxidation-induced fragmentation of primary particles on soot oxidation reactivity

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Abstract: Experiments were performed on a CH₄ lean premixed flame to better understand the effect of the oxidation-induced fragmentation of primary particles on soot oxidation reactivity. An aerosol generator was used to homogeneously disperse diesel soot into the flame. Because minimal soot was formed in this flame itself, the information about diesel soot fragmentation was readily obtained. The thermophoretic and probe sampling techniques were used to obtain soot particles at various heights above the burner. The particle size distribution, oxidation reactivity, nanostructure and carbon chemical state of each sample were characterized. The soot reactivity was evaluated in terms of activation energy. The results indicate a higher extent of aggregate fragmentation occurs at moderate soot burnout percentages and that the internal structure in soot is not destroyed during aggregate fragmentation. At higher soot burnout percentages, the internal burning produces more primary particle fragmentation, so that the soot particles exhibit an increase in fringe tortuosity and decreases in fringe length and sp²/sp³ hybridization ratio. These variations in physicochemical properties increase the oxidation reactivity of the soot particles.

Keywords: Soot oxidation; Fragmentation; Primary particle; Oxidation reactivity; Soot property

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21 **1. Introduction**

22 Recently, there has been significant interest in the oxidation-induced soot fragmentation
23 because this phenomenon is related not only to soot oxidation but also to soot particle number
24 concentration [1,2]. Neoh et al. [3,4] first reported the evidence of soot fragmentation in a two-
25 stage burner when approximately 70–80% soot was burnt out. They stated that the soot
26 fragmentation led to a noticeable increase in ultrafine particles. Soot fragmentation was also
27 observed by Garo et al. [5], who demonstrated a sharp increase in the number concentration of soot
28 particles as a result. Puri et al. [6] discovered that the fragmentation of soot aggregates increased
29 the number concentration of soot particles in the upstream of a laminar ethylene diffusion flame.
30 Echavarria et al. [7,8] and Ghiassi et al. [9,10] studied the soot fragmentation using a scanning
31 mobility particle sizer. Their experimental results showed a substantial increase in the quantity of
32 the particles smaller than 10 nm following the soot fragmentation in the oxidation region.

33 A better understanding of soot fragmentation process can also permit optimization of the
34 existing models for predicting the size distribution and number concentration of oxidized soot
35 particles [2,8,10]. Harris et al. [11] reported that the addition of a soot fragmentation event to the
36 Schmoluchowski aerosol coagulation mode greatly increased the prediction of the size distribution.
37 Zhang et al. [12] demonstrated that without taking into account the fragmentation event, the model
38 prediction of soot particle mobility diameter during oxidation was different from the experimental
39 results. Sirignano et al. [13,14] claimed that soot fragmentation had a pronounced effect on the
40 ultimate number concentration of soot particles.

41 The oxidation-induced soot fragmentation can occur via two mechanisms: aggregate
42 fragmentation and primary particle fragmentation [2,7,9,10]. Aggregate fragmentation results from

43 the diffusion of oxygen into the regions where primary particles make contact with one another to
44 oxidize the bridges holding the primary particles, thus inducing the breakup of aggregates [2,15,16].
45 In contrast, during primary particle fragmentation, oxygen diffuses into primary particles to
46 promote internal burning, after which these particles break up into smaller fragments [2,15].
47 Aggregate fragmentation does not destroy the fringe structure in soot [9,16], whereas primary
48 particle fragmentation inevitably alters the structural properties of soot particles [7,15]. Because
49 soot reactivity strongly depends on its structure [17-20], this structural alteration can affect soot
50 oxidation reactivity.

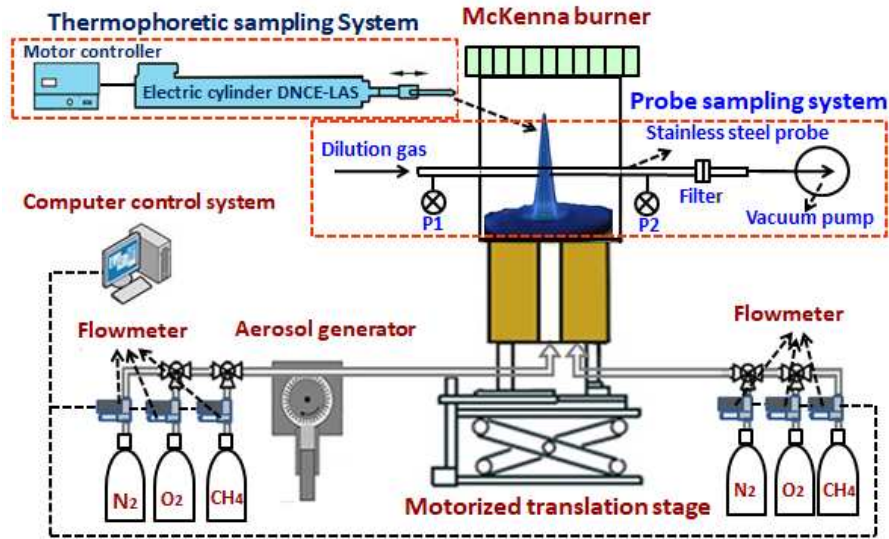
51 The sooting process in flames is a complex process during which soot oxidation and formation
52 occur simultaneously. The coexistence of oxidation and formation makes it difficult to explore
53 solely the soot oxidation process, and so there have been few reports related to the effect of the
54 oxidation-induced fragmentation of soot particles on oxidation reactivity. In the present study,
55 diesel soot particles were homogeneously dispersed into CH₄ lean premixed flame via an aerosol
56 generator. Because minimal soot was formed in this flame itself, the information about the
57 oxidation-induced fragmentation of the diesel soot was readily obtained. In addition, the reactivity
58 and structural properties of the diesel soot during oxidation process were examined to assess the
59 effect of primary particle fragmentation on soot reactivity.

60 **2. Experimental**

61 *2.1. Burner and sampling system*

62 Figure 1 shows the schematic diagram of the experimental setup. A water-cooled McKenna
63 burner (Holthius and Associates) with a center tube (12.7 mm inner diameter) and a bronze porous
64 plug (75 mm inner diameter) was used in this work. The center tube supplied a CH₄/O₂/N₂ gas

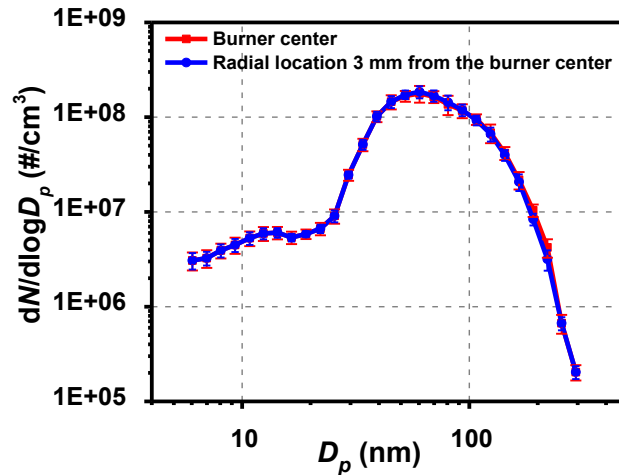
65 mixture at a cold flow rate of 65 cm/min to generate a CH₄ lean premixed flame. This same mixture
66 was passed through the external porous plug at a cold flow rate of 4 cm/min to produce a pilot
67 flame that stabilized the central flame. The equivalence ratios of both the center and pilot flames
68 were set at 0.9. Soot collected from diesel engine exhaust and thoroughly ground in an agate mortar
69 was fed into flame by an aerosol generator (Palas RGB-1000-GD), because it can generate solid
70 aerosols steadily at very low feed rate. In the aerosol generator, a rotating brush was used to
71 disperse the soot sample into the CH₄/O₂/N₂ stream. During test, a high rotating speed of the brush
72 (1200 rpm) and a small feed rate of the soot (1.5 mg/min) were used to ensure the dispersion
73 homogeneity of the soot. To validate the feasibility of this method, further analysis was carried out
74 using the scanning mobility particle sizer. At HAB = 0 mm, the particle size distributions (PSDs)
75 at the burner center and at a radial location of 3 mm from the burner center were measured before
76 the flame was ignited. It can be seen from Fig. 2 that the PSDs are almost the same at the two
77 measurement points, indicating that the soot particles were homogeneously dispersed into the
78 CH₄/O₂/N₂ stream. The burner was mounted on a motorized translation stage with a positional
79 accuracy of ±0.005 mm to allow the sampling location to be adjusted along the axial direction of
80 the flame.



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Fig. 1. Schematic diagram of the experimental setup.



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Fig. 2. Particle size distributions (PSDs) at HAB = 0 mm.

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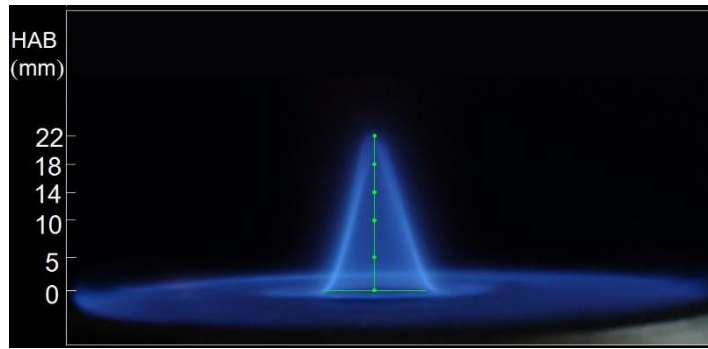
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The visible flame height was 22 mm, as shown in Fig. 3, and soot samples were collected at a series of height above burner (HAB) values along the axis of the central premixed flame. Details regarding the sampling positions and flame temperatures are provided in Table 1. The flame temperatures along the axial direction were measured using an R-type thermocouple (Pt/Pt-13% Rh) with a 30 μm wire and a bead diameter of approximately 150 μm . The temperatures obtained were corrected for radiative heat loss according to the method reported in [21,22], and the uncertainty in the measurement of flame temperature was determined to be less than ± 50 K. The temperature measurement process was elaborated in the Supplementary material.

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Fig. 3. A photographic image of the flame with soot addition during the experimental trials.

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Table 1 Experimental sampling conditions

Sampling positions HAB(mm)	4	8	12	14	16	18	22
Flame temperature at sampling position (K)	1644	1607	1586	1574	1561	1537	1490

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Both thermophoretic and probe sampling systems were used to acquire the soot particles at various HABs. The thermophoretic sampling system included an advanced linear electric cylinder (FESTO, Germany) capable of rapid and precise reciprocating motion with an acceleration and speed of 120 m/s^2 and 3 m/s , respectively, and the relative residence time of sampling soot particles was 30 ms . A self-closing tweezer (N5, Switzerland) was mounted on the piston rod to conveniently fix and detach the C/Cu grid that was used to collect soot particles. The probe sampling system was used to obtain sufficient soot for further analysis. The probe in this system had a diameter of 3.175 mm and a wall thickness of 0.125 mm and was placed horizontally above the burner. The probe had a 0.15 mm sampling orifice that faced downward toward the incoming combustion gases and was connected to a vacuum pump that assisted in the collection of soot particles onto a Teflon filter. Nitrogen was supplied during the sampling process at 29.6 L/min to quench the chemical reactions of the flame gases as they were drawn into the sampling tube [23]. To avoid clogging the sampling orifice of the probe sample system, the time for the sampling

110 procedure was set to 3 min. For each sampling point, the sampling procedure was repeated 5-20
111 times, depending on the HAB.

112 2.2. Soot characterization

113 2.2.1. Scanning mobility particle sizer (SMPS)

114 The PSDs over the range from 5.6 to 560 nm as well as particle number concentrations were
115 determined using an SMPS (TSI 3090) at a 10Hz data collection frequency. The SMPS sampling
116 system is similar to that of Zhao et al. [23]. The dilution ratio ranged from 10^2 to 10^3 to quench the
117 chemical reactions and minimize soot particle coagulation and losses in the sampling tube [8,23].
118 The diffusion coagulation and losses along the sampling tube were corrected based on the
119 procedure proposed by Minutolo et al. [24]. Based on the SMPS sizes and particle number
120 concentrations, the soot mass concentrations were calculated according to the method in [25-27].
121 Detailed calculation process was provided in the Supplementary material.

122 2.2.2. Thermogravimetric (TG) analysis

123 The soot reactivity was assessed by non-isothermal TG tests using a TG analyzer (Mettler-
124 Toledo TGA/DSC1). Prior to each experiment, the soot sample was heated at 500 °C under a 60
125 ml/min nitrogen flow for 60 min to drive off volatile compounds. Subsequently, the temperature
126 was reduced to 200 °C, and the sample was then heated at 5 °C /min to 800 °C in a 60 ml/min flow
127 of ultrahigh purity air. The TG test was repeated three times for each soot sample to calculate the
128 experimental uncertainty.

129 2.2.3. High-resolution transmission electron microscopy (HRTEM)

130 HRTEM (JEOL EM-2010F) with a point resolution of 0.248 nm operating at 200 kV was
131 employed to obtain soot morphology and HRTEM images at a magnification of 40,000× and

132 400,000 \times , respectively. The HRTEM images obtained were digitized, and a lattice fringe analysis
133 was performed using an automated fringe image processing system to quantitatively assess the
134 nanostructure parameters, including the fringe length (the graphene layer dimension) and tortuosity
135 (the ratio of the actual fringe length to the straight-line distance). This fringe image processing
136 system involved separate steps of image normalization, region of interest selection, segmentation,
137 enhancement, Gaussian lowpass filter, binary image conversion, skeletonization, post-processing
138 and quantitative analysis of nanostructure parameters. Fringe length was calculated by counting
139 the number of pixels along a fringe and computing the length using a calibrated pixel size. Fringe
140 tortuosity was obtained by calculating the ratio of the fringe length to the straight-line distance
141 between the endpoints of the fringe. More details on the determination of the nanostructure
142 parameters were reported by Yehliu et al. [28].

143 *2.2.4. X-ray diffraction (XRD)*

144 The crystallite parameters of the soot particles were evaluated using a Rigaku D/MAC/max
145 2500v/pc powder XRD with Cu K α radiation (40 kV, 200 mA, $\lambda = 1.5406 \text{ \AA}$). In preparation for
146 analysis, soot particles were pressed into a groove in a quartz block. A scan range of 5 $^{\circ}$ –110 $^{\circ}$ was
147 used with a scan step size of 0.02 $^{\circ}$ and a scan speed of 10 s/step. A silicon standard was employed
148 to correct diffraction peaks broadening as a result of various instrumental factors.

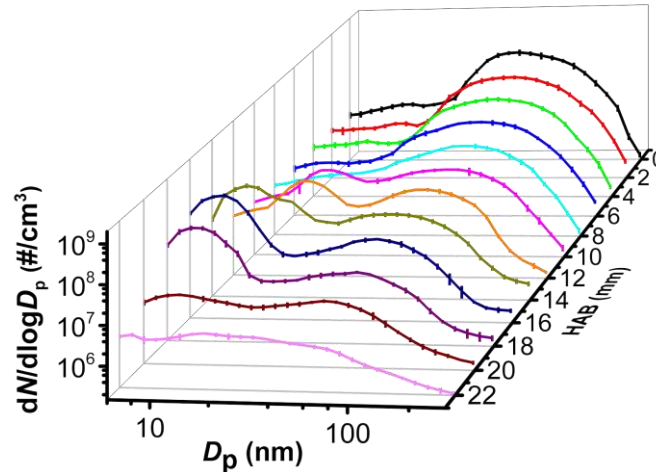
149 *2.2.5. X-ray photoelectron spectroscopy (XPS)*

150 The carbon chemical state of soot samples was determined using XPS. XPS spectra were
151 recorded by a PerkinElmer PHI-1600 ESCA spectrometer using an Mg Ka X-ray source. The
152 binding energies were calibrated using the C1s peak of contaminant carbon at a binding energy of
153 284.6 eV as an internal standard. XPS data from three different sections of the soot particles were

154 averaged, and the uncertainty was less than 6%.

155 3. Results and discussion

156 3.1. Oxidation-induced soot fragmentation



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158
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Fig. 4. Particle size distributions (PSDs) as functions of the height above the burner (HAB). The error bars indicate the standard error.

160

The PSDs of the soot samples were used to characterize the soot oxidation behaviors.

161

According to the proposal by Desantes et al. [29], the soot particles with mobility diameter (D_p) \leq

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30 nm are defined as the nucleation mode, while those with $D_p > 30$ nm are defined as accumulation

163

mode. Figure 4 shows the PSDs at various HABs. In the HAB range of 0–8 mm, the PSDs are

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unimodal and are dominated almost entirely by the accumulation mode particles. As the HAB

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increases from 8 to 18 mm, large numbers of nucleation mode particles are formed so that the PSDs

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gradually become bimodal. In the upper regions of the flame, the number concentrations of both

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nucleation and accumulation mode particles slowly decrease with increases in the HAB, and the

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majority of the soot particles are burnt out at HAB = 22 mm. To assess whether the particles

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generated by the CH₄ combustion in the flame have an effect on the PSDs, the integrated number

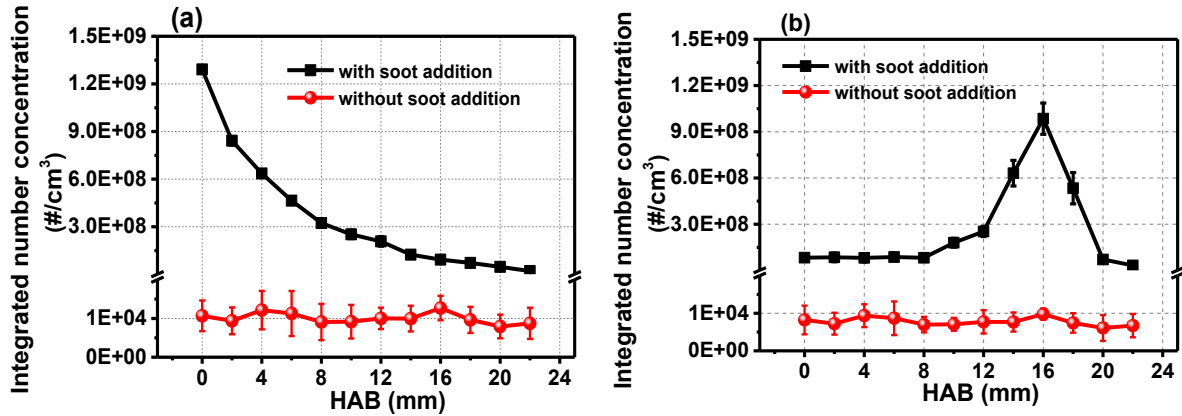
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concentrations of the particles in the flames with and without soot addition are calculated and

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shown in Fig. 5. It is obvious that the integrated number concentrations for both nucleation and

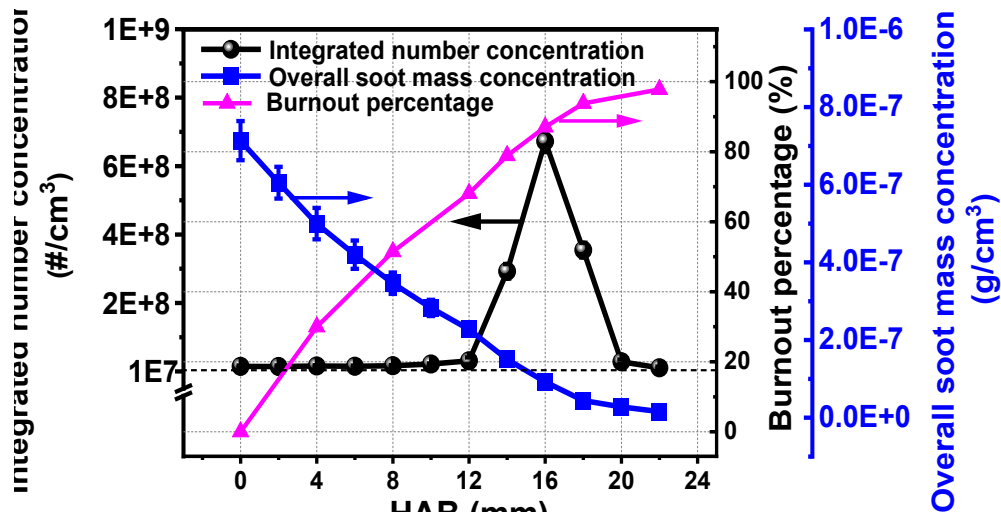
172 accumulation mode particles in the flame with soot addition are approximately 3–5 orders of
 173 magnitude higher than those without soot addition. Thus, the particles generated from the flame
 174 itself can be considered negligible in this analysis.



175
 176 **Fig. 5.** Integrated number concentrations at various heights above the burner (HABs) for (a)
 177 accumulation and (b) nucleation mode particles. The error bars indicate the standard error.

178 Figure 5 also demonstrates that the integrated number concentrations of accumulation mode
 179 particles decrease with increases in the HAB, due to the elimination of many accumulation mode
 180 particles by partial and/or complete oxidation. However, the integrated number concentrations of
 181 nucleation mode particles plateau and remain relatively constant in the HAB range of 0–8 mm.
 182 This phenomenon suggests that a certain extent of soot fragmentation has already taken place in
 183 the lower regions of the flame, which compensates for the reduction in the quantity of nucleation
 184 mode particles caused by soot oxidation. As the HAB increases from 8 to 16 mm, the significant
 185 increase in the number concentrations of nucleation mode particles indicates the occurrence of a
 186 high extent of soot fragmentation. With further increases in the HAB, the number concentrations
 187 of nucleation mode particles show a sharp decrease as a consequence of the soot burnout and the
 188 reduction in soot fragmentation. Ghiassi et al. [9,30] and Sirignano et al. [2] pointed out that the
 189 concentration of ultrafine particle ($D_p < 10$ nm) was associated with the fragmentation of the
 190 primary particles. On this basis, the present work uses the ultrafine particle concentration to

191 estimate the extent of primary particle fragmentation. Figure 6 shows the integrated number
 192 concentrations of ultrafine particles, overall soot mass concentrations and the overall soot burnout
 193 percentages at various HABs. It is seen that when the HAB increases from 12 to 16 mm
 194 (corresponding to 68–87% soot burnout), the soot fragmentation results in a nearly 22-fold increase
 195 in the number concentration, despite a significant reduction of overall soot mass concentration.
 196 The present results are in agreement with prior reports by Neoh et al. [3,4] and Garo et al. [5], who
 197 revealed the presence of ultrafine particles at 70–80% soot burnout. They attributed this behavior
 198 to the fragmentation or breakup of primary particles caused by internal burning.



199
 200 **Fig. 6.** Integrated number concentrations of ultrafine particles ($D_p < 10$ nm), overall soot mass
 201 concentrations and overall soot burnout percentages at various heights above the burner (HABs). The
 202 error bars indicate the standard error.

203 To examine the possibility that numerous ultrafine particles are generated as a consequence
 204 of internal burning, the primary particle diameters with oxidation are calculated and shown in Fig.
 205 7. Also, the theoretical models for constant diameter burning and constant density burning (or
 206 external/shrinking burning) are shown in Fig. 7. The constant density burning can be expressed as
 207 [17]:

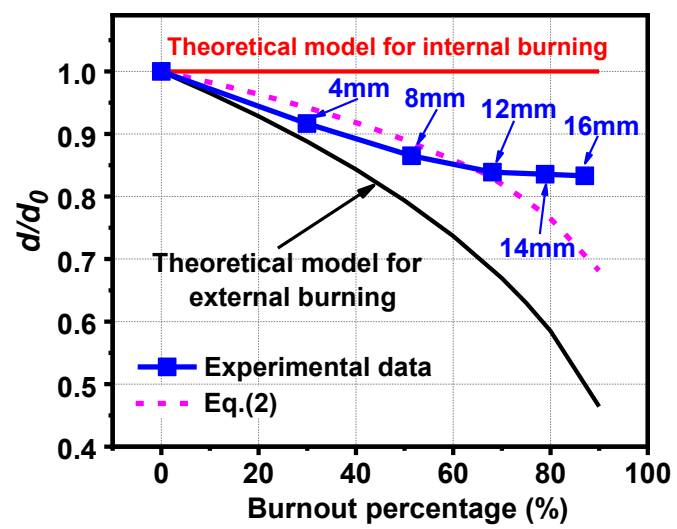
208
$$\frac{d(X)}{d_0} = (1 - X)^{1/3} \quad (1)$$

209 where d_0 , $d(X)$, and X are the initial particle diameter, the particle diameter at a given conversion
210 level, and the conversion level, respectively. Ishiguro et al. [31] examined the microstructural
211 changes of diesel soot during oxidation, and their data can be fitted with good accuracy using the
212 following equation [32]:

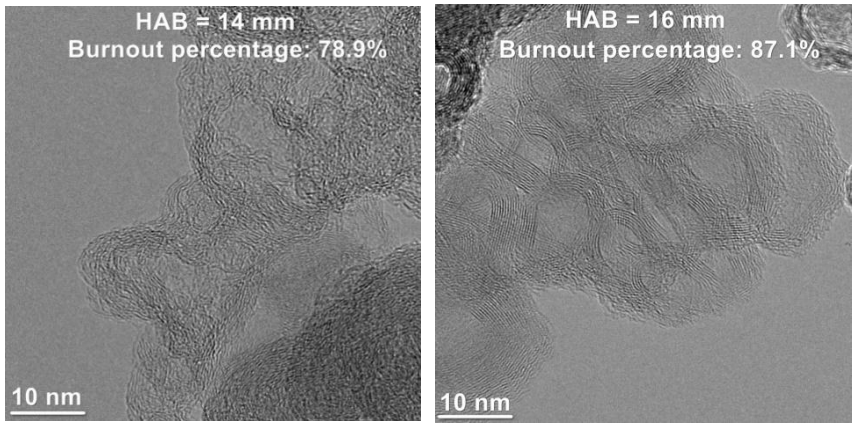
$$213 \quad \frac{d(X)}{d_0} = (1 - X)^{1/6} \quad (2)$$

214 Eq. (2) is the reference condition under which the contributions of internal and external
215 burning to the total oxidized soot mass are comparable [1,32]. For comparison purposes, Eq. (2) is
216 also presented in Fig. 7. It is seen that at $HAB < 12$ mm, the soot particles lie in the region where
217 external burning is dominant. However, as the HAB increases from 12 mm to 16 mm, the soot
218 particles shift toward the region where internal burning is dominant. In addition, it is interesting to
219 note that no noticeable variation in d/d_0 is identified from $HAB = 12$ mm to 16 mm although the
220 corresponding burnout percentages increase from 68.1% to 87.1%, suggesting a great extent of
221 internal burning within this range of HABs. From the results above, it can be inferred that the
222 internal burning is responsible for the appearance of ultrafine particles at high burnout percentages.
223 Moreover, the HRTEM analysis of soot particles was carried out to provide additional evidence for
224 the occurrence of internal burning. The examples of HRTEM images of soot particles at different
225 HABs are given in Fig. S2 of the Supplementary material. At $HAB = 14$ and 16 mm, a substantial
226 quantity of soot particles are readily identified to have hollow interiors with thicker boundaries, as
227 shown in Fig. 8. In addition, typical HRTEM images of the pristine soot are shown in Fig. 9. The
228 absence of hollow structure in the pristine soot affirms that the hollow structure observed in Fig. 8
229 is formed during soot oxidation process. Previous studies [15,17,33,34] confirmed that such hollow
230 capsule structure for soot particles was a result of internal burning. The above results testify the

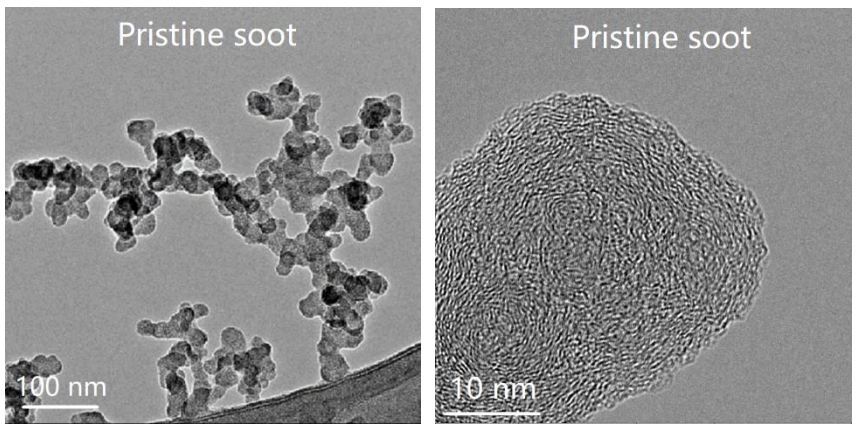
231 occurrence of primary particle fragmentation in this flame. The effects of this fragmentation on the
 232 reactivity and properties of soot particles will be discussed in the following sections.



233
 234 **Fig. 7.** Reduction in soot particle diameters during the oxidation of soot particles.



235
 236 **Fig. 8.** HRTEM images of the soot particles with hollow capsule structure.



237
 238 **Fig. 9.** Typical HRTEM images of the pristine soot.

239

240 3.2. Soot oxidation reactivity

241 The soot oxidation reactivity was assessed in terms of the apparent activation energy (E_a). A
242 high E_a indicates low oxidation reactivity and vice versa. E_a was obtained according to the
243 Arrhenius equation:

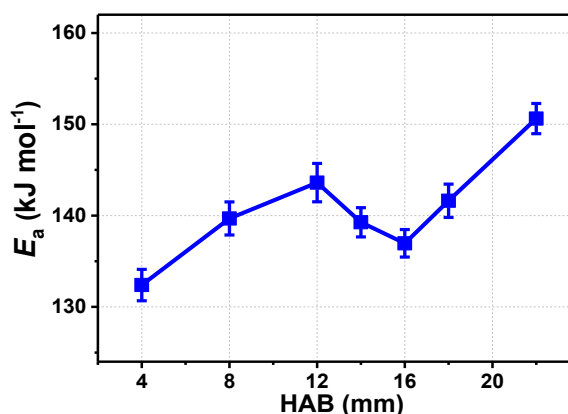
$$244 \quad -\frac{dm}{dt} = k \cdot m^n = Ae^{-\frac{E_a}{RT}} m^n \quad (3)$$

245 where m , t , k , T and A are the real time sample mass that is undergoing reaction, the reaction time,
246 the reaction rate constant, the absolute temperature of the sample and the frequency factor,
247 respectively. The variable n is the reaction order and an assumption of $n=1$ was made in this study
248 [35,36].

249 Figure 10 shows the E_a as a function of the HAB. When the HAB increases from 4 to 12 mm,
250 the increased E_a suggests that the soot particles have a decrease in oxidation reactivity. In the range
251 of HAB from 12 to 16 mm, the soot particles exhibit a sharp decrease in E_a , indicating an increase
252 in soot reactivity. With further increases in the HAB, the increased E_a manifests a decrease in soot
253 oxidation reactivity. Comparing Figs. 6 and 10 suggests that this unexpected increase in soot
254 reactivity is closely related to the formation of ultrafine particles.

255 In Section 3.1, these ultrafine particles were shown to result from the internal burning.
256 Previous studies confirmed that the internal burning could change soot structure. For instance,
257 Song et al. [37] examined the oxidation behavior of the soot generated from neat biodiesel, and
258 found that following the removal of the inner core through internal burning, the soot structure
259 tended to rearrange and coalesce to form a ribbon-like morphology with much flatter and longer
260 layers. Al-Qurashi and Boehman [17] reported that the diesel soot generated from 20% exhaust gas
261 recirculation exhibited dual burning modes with oxidation: external burning and internal burning,

262 and the internal burning resulted in an increase in interlayer spacing. Given that soot reactivity
263 depends on soot properties, the increased soot reactivity reflected in Fig. 10 suggests that the
264 internal burning results in soot particles with different properties that enhance reactivity.



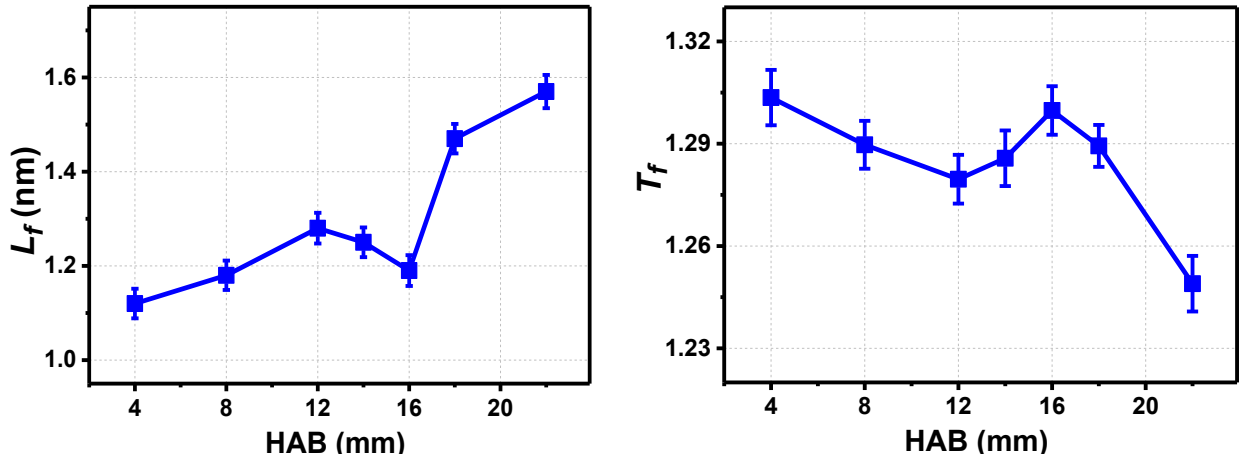
265 **Fig. 10.** Activation energy (E_a) as a function of the height above the burner (HAB).
266 The error bars indicate the standard error.
267

268 3.3. Soot property

269 HRTEM was used to evaluate the nanostructural parameters of soot particles, including fringe
270 length and tortuosity. For each sample, more than 150 primary soot particles from different
271 aggregates were randomly selected for these assessments to ensure statistical significance. The
272 average values of fringe length (L_f) and tortuosity (T_f) are plotted against HAB in Fig. 11. A gradual
273 increase in L_f and a decrease in T_f are observed in the HAB range of 4–12 mm, suggesting that the
274 soot evolves towards a better graphitic organization. Such structural evolution is closely associated
275 with the following processes: (1) high-temperature flame environment promotes preferential
276 oxidation of the outermost short and amorphous carbon layers [37]; (2) crystallites grow via the
277 incorporation of existing graphene layers and non-organized carbon, and the wavy layers become
278 much flatter and longer after rearrangement and coalescence of the crystallite layers [37,38].
279 Previous studies confirmed that the edge carbon atoms in soot had a higher affinity to form bonds
280 with chemisorbed oxygen due to the availability of unpaired sp^2 electrons, while the carbon atoms

281 in the basal planes formed chemical bonds with adjacent carbon atoms based on shared π electrons
282 [39-41]. The carbon atoms at the edges of the graphene sheets were reported to be 100–1000 times
283 more reactive than those in the basal plane [39]. A large L_f value for soot structure corresponds to
284 a lower ratio of edge-to-basal carbon atoms, and thereby is associated with lower reactivity. In
285 addition, a small T_f reflects presence of fewer odd-membered rings or sp^3 hybridized carbon atoms
286 in soot, both of which are more reactive toward oxidation than hexagonal rings containing only sp^2
287 hybridized carbon atoms [19,42,43]. Thus, the soot shows a decreased reactivity in the HAB range
288 of 4–12 mm, as manifested by the increased E_a values in Fig. 10.

289 As the HAB increases further from 12 to 16 mm, the L_f values decrease while the T_f values
290 increase. These unusual changes in L_f and T_f can be ascribed to the fragmentation of primary
291 particles. During the fragmentation process, the lamella inevitably break up such that the fringe
292 length is shortened [44]. Because the fringes with smaller radii have commensurately higher bond
293 strain, there is a corresponding increase in fringe tortuosity [45]. As mentioned above, the decrease
294 in L_a and increase in T_f enhance the soot reactivity, and thus the E_a values in Fig. 10 show a decrease
295 from HAB = 12 to 16 mm. In the HAB range from 16 to 22 mm, the fragments of primary particles
296 (i.e. the ultrafine particles) with shorter fringe length and higher tortuosity are burnt out more
297 rapidly, leading to an increase in L_f and a decrease in T_f as shown in Fig. 11.



298
299 **Fig. 11.** Mean fringe length (L_f) and tortuosity (T_f) as functions of HAB. The error bars indicate
300 the standard error.

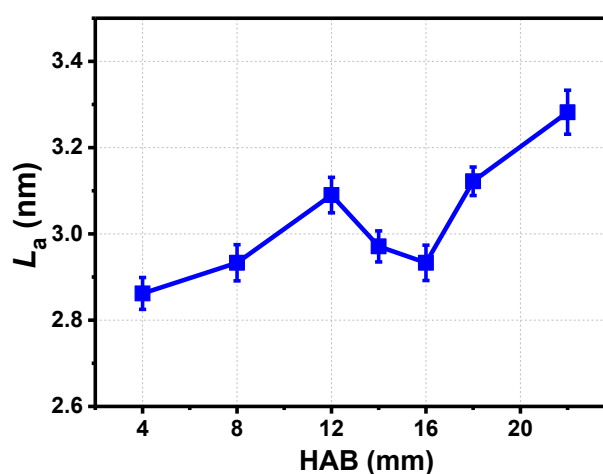
301 To validate the results of HRTEM analysis, the crystallite width (L_a) for soot particles was
302 determined using XRD. The XRD patterns were fitted using a Gaussian function to obtain the full
303 width at half maximum (FWHM), and the angles of (100) and (002) peaks were determined using
304 the method in [46,47]. The L_a was calculated from the position of the (100) peak using the Scherrer
305 formula:

$$306 \quad L_a = \frac{K_a \lambda}{B_{100} \cos \theta_{100}} \quad (4)$$

307 where K_a is a constant with a value of 1.84, λ is the wavelength, θ_{002} and θ_{100} are the Bragg's angles,
308 and B_{100} is the FWHM for the (100) peak. Gaussian curves were fitted to the (002) and (100) peaks
309 to obtain the Bragg's angles and FWHM using Origin 9.0 software.

310 Figure 12 shows the L_a values obtained from the XRD data of the soot samples at various
311 HABs. The L_a and L_f have a strong linear correlation, as indicated in Fig. S3 of the Supplementary
312 material. Comparing Figs. 11 and 12 highlights that the results obtained from both XRD and
313 HRTEM analyses show similar trends when increasing the HAB. Such a similarity confirms the
314 validity of the HRTEM analysis. Close inspection of Figs. 11 and 12 shows that there is a difference
315 in the data obtained from XRD and HRTEM. This phenomenon has already been addressed in

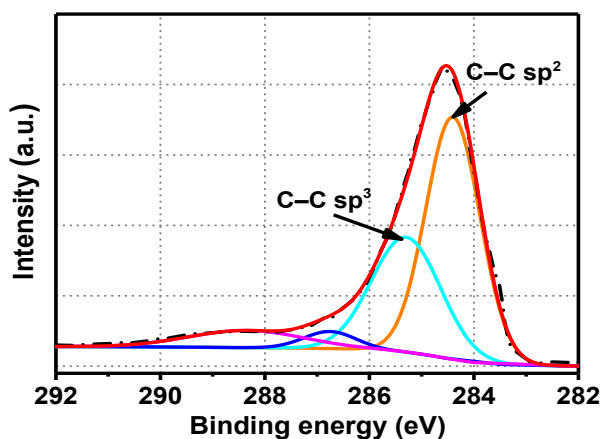
316 several studies [20,43,47,48]. The peak intensity in the XRD pattern is sensitive to large crystallites.
317 Even though the soot contains a small proportion of large crystallites, they may have a large
318 contribution to the peak intensity. Consequently, XRD is likely to overestimate the crystallite size
319 [47,48]. On the other hand, HRTEM provides images that are essentially two-dimensional
320 projections of three-dimensional soot particles. The quantitative analysis of these images may not
321 give entirely accurate results [20,47]



322
323 **Fig. 12.** Crystallite width (L_a) as functions of HAB. The error bars indicate the standard error.

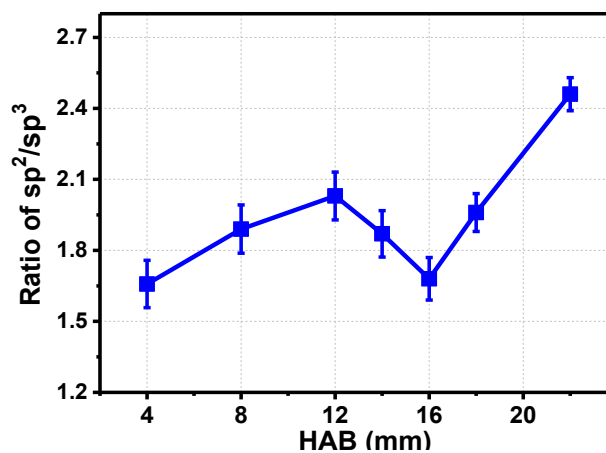
324 The chemical state of the carbon in soot samples was characterized using XPS, and the narrow
325 scan of C1s peak for the soot sample is shown in Fig. 13. To quantify the relative content of sp^2
326 and sp^3 hybridized carbon atoms, the C 1s peaks at 284.6 eV were deconvolved according to the
327 method proposed in [49-51]: the sp^2 hybridized carbon at 284.4 eV, and the sp^3 hybridized carbon
328 at 285.3 eV. The sp^2 hybridized carbon atom corresponds to graphitic carbon within the basal
329 planes, whereas the sp^3 hybridized carbon atom is a class of defects that can disrupt the sp^2
330 hybridized network and require bond terminations other than adjacent π -bonded carbon atoms.
331 These sp^3 carbon atoms reduce the long-range order and are considered to represent defect sites
332 [49,52]. Thus, a lower sp^2/sp^3 ratio indicates a more amorphous structure in the soot [53]. Figure

333 14 plots the sp^2/sp^3 hybridization ratio as a function of the HAB. The ratio is seen to increase in
 334 the HAB range of 4–12 mm, because of crystallite growth and preferential oxidation. That is, the
 335 preferential oxidation of amorphous and disordered carbon layers reduces the proportion of sp^3
 336 hybridized carbon [54], while crystallite growth enhances the amount of sp^2 hybridized carbon
 337 [54,55]. The sp^2/sp^3 ratio is reduced upon increasing the HAB from 12 to 16 mm. This phenomenon
 338 is closely associated with the fragmentation of primary particles because the breakup of lamella in
 339 the soot tends to increase the number of sp^3 hybridized carbon atoms while decreasing the fraction
 340 of sp^2 hybridized carbon atoms [53]. As the HAB further increases from 16 to 22 mm, the rapid
 341 burnout of the primary particle fragments increases the sp^2/sp^3 ratio.



342
 343

Fig. 13. Typical XPS C 1s narrow spectra for a soot sample.

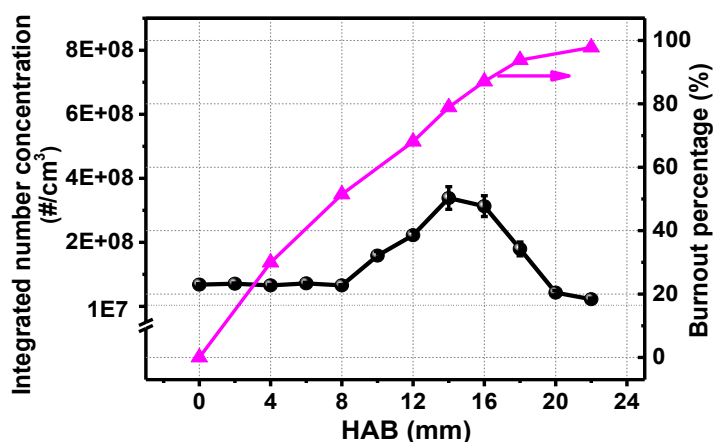


344
 345
 346

Fig. 14. Ratio of sp^2/sp^3 hybridization as a function of the HAB. The error bars indicate the standard error.

347 3.4. Perspective on the aggregate fragmentation

348 The results of the present work indicate that a high extent of primary particle fragmentation
349 occurs in the HAB range of 12–16 mm, and as a consequence, generates numerous ultrafine
350 particles. However, it can be seen from Fig. 5 that there is still an observable increase in the number
351 concentrations of nucleation mode particles in the HAB range of 8–12 mm. Because the number
352 concentration of ultrafine particles ($D_p < 10$ nm) remains relatively constant in this HAB range (see
353 Fig. 6), it is apparent that this increase in the number concentration can be attributed to the
354 formation of the soot particles with $10 < D_p < 30$ nm. Figure 15 shows the integrated number
355 concentrations of these soot particles and the overall soot burnout percentages at various HABs. It
356 is obvious that there is a significant increase in the integrated number concentration when the HAB
357 increases from 8 to 14 mm, which suggests the occurrence of soot fragmentation.



358 **Fig. 15.** Integrated number concentrations of the particles with $10 < D_p < 30$ nm and the overall soot burnout
359 percentages at various heights above the burner (HABs). The error bars indicate the standard error.
360

361 In contrast to the aforementioned primary particle fragmentation, this fragmentation takes
362 place at moderate burnout percentages in the vicinity of 50%. Furthermore, the size of the resulting
363 soot particles is much larger than that of the ultrafine particles resulting from primary particle
364 fragmentation. These differences infer that this process occurs via aggregate fragmentation rather

365 than primary particle fragmentation. Close inspection of Fig. 15 shows that the number
366 concentration is increased by a factor of 3.4 when increasing the HAB from 8 to 12 mm, reflecting
367 a higher extent of soot fragmentation. However, the shapes of the L_f , T_f and sp^2/sp^3 ratio profiles
368 do not change in this range of HAB, as shown in Figs. 11 and 14, indicating that this fragmentation
369 has no impact on soot structure. Given that aggregate fragmentation does not destroy the internal
370 structure in soot [16], these results can also provide evidence to support the conjecture above. In
371 addition, Figs. 11 and 14 show that there are strong variations in soot structure at $HAB > 12$ mm,
372 where the aggregate fragmentation still proceeds. It should be noted that this structural variation is
373 not caused by aggregate fragmentation but rather by primary particle fragmentation.

374 **4. Conclusions**

375 In this study, diesel soot was homogeneously dispersed into a CH_4 lean premixed flame to
376 explore the soot oxidation-induced fragmentation and the effect of primary particle fragmentation
377 on soot reactivity. During soot oxidation process, soot fragmentation is dominated by two
378 mechanisms, that is, fragmentation of aggregates and fragmentation of primary particles. A higher
379 extent of aggregate fragmentation takes place at moderate soot burnout percentages ($\sim 50\%$), and
380 as a consequence, increases the number concentration of the soot particles with $10 < D_p < 30$ nm.
381 The aggregate fragmentation has little impact on soot structure and reactivity.

382 At higher soot burnout percentages ($\sim 68\text{--}87\%$), a substantial quantity of soot particles have
383 hollow interiors with thicker boundaries, which is attributed to O_2 penetrating the soot
384 nanostructure and causing internal burning. The internal burning induces the occurrence of primary
385 particle fragmentation, and as a consequence, a great number of ultrafine particles ($D_p < 10$ nm) are
386 generated. In addition, the fragmentation of primary particles decreases the fringe length and

387 sp^2/sp^3 hybridization ratio, and increase the fringe tortuosity in soot structure. Such structural
388 variations enhance soot reactivity. As the primary particle fragments (i.e., the ultrafine particles)
389 burn out, the soot particles exhibit a decrease in oxidation reactivity, together with increases in
390 fringe length and sp^2/sp^3 hybridization ratio and a decrease in fringe tortuosity.

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