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1 **Clinician and patient experiences with opportunistic offer of HPV self-testing**
2 **in Aotearoa New Zealand primary care clinics: interview and survey findings**

3

4 **Authors:** Karen Bartholomew, Anna Maxwell, Lily P. H. Yang, Phyu Sin Aye, Leanne Young, Cleo
5 Neville, Jane Grant, Susan M. Sherman, Collette Bromhead, Sue Crengle, Kate Moodabe, Georgina
6 McPherson, Nina Scott, Deralie Flower, Richard Massey, Jyoti Kathuria, Pania Coote, Wendy Burgess

7

8 **Author affiliations**

9 Dr Karen Bartholomew (Karen.Bartholomew@TeWhatuOra.govt.nz)
10 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
11 Auckland, New Zealand

12

13 Ms Anna Maxwell (akmaxwell58@gmail.com)
14 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
15 Auckland, New Zealand

16

17 Ms Lily P. H. Yang (lily.yang@TeWhatuOra.govt.nz)
18 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
19 Auckland, New Zealand

20

21 Dr Phyu Sin Aye (phyusin.aye@TeWhatuOra.govt.nz)
22 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
23 Auckland, New Zealand

24

25 Dr Leanne Young (leanne.young@TeWhatuOra.govt.nz)

26 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
27 Auckland, New Zealand
28
29 Ms Cleo Neville (cleo.neville@TeWhatuOra.govt.nz)
30 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
31 Auckland, New Zealand
32
33 Ms Jane Grant (Jane.Grant@TeWhatuOra.govt.nz)
34 Child, Youth and Women, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora,
35 Auckland, New Zealand
36
37 Professor Susan M. Sherman (susan.sherman@sheffield.ac.uk)
38 School of Psychology, University of Sheffield, Sheffield, England
39
40 Dr Collette Bromhead (c.bromhead@massey.ac.nz)
41 Molecular Pathology, School of Health Sciences, Massey University, Palmerston North, New Zealand
42
43 Professor Sue Crengle (sue.crengle@otago.ac.nz)
44 Ngāi Tahu Māori Health Research Unit, University of Otago, Dunedin, New Zealand
45
46 Ms Kate Moodabe (kate@totalhealthcare.org.nz)
47 Total Healthcare, Auckland, New Zealand
48
49 Dr Georgina McPherson (Georgina.McPherson@TeWhatuOra.govt.nz)
50 Women's Health, Waitematā District, Health New Zealand | Te Whatu Ora, Auckland, New Zealand
51

52 Nina Scott (Nina.Scott@waikatodhb.health.nz)

53 Hauora Māori Services, Health New Zealand | Te Whatu Ora, Hamilton, New Zealand

54

55 Dr Deralie Flower (DeralieF@adhb.govt.nz)

56 Obstetrics and Gynaecology, Te Toka Tumai Auckland District, Health New Zealand | Te Whatu Ora,

57 Auckland, New Zealand

58

59 Dr Richard Massey (Richard.Massey@pathlab.co.nz)

60 Pathology Associates New Zealand, Tauranga, New Zealand

61

62 Dr Jyoti Kathuria (Jyoti.Kathuria@middlemore.co.nz)

63 Women's Health, Counties Manukau District, Health New Zealand | Te Whatu Ora, Auckland, New

64 Zealand

65

66 Pania Coote (paniacoot@gmail.com)

67 Māori Monitoring Equity Group (National Screening Programmes), AwhiMai Consultancy, Bluff, New

68 Zealand

69

70 Dr Wendy Burgess (Wendy.Burgess@waitematadhb.govt.nz)

71 Women's Health, Waitematā District, Health New Zealand | Te Whatu Ora, Auckland, New Zealand

72

73 **Corresponding author**

74 Leanne Young (leanne.young@tewhatauora.govt.nz) ORCID 0000-0002-5350-4443

75 Health Gain Development, Planning, Funding and Outcomes, Health New Zealand | Te Whatu Ora

76 Q4 Building, Level 2, Smales Farm

77 74 Taharoto Road

78 Takapuna

79 Auckland 0622

80 New Zealand

81

82 **Abstract**

83 **Background**

84 To support the introduction of human papillomavirus (HPV) self-testing in the New Zealand National
85 Cervical Screening Programme, we conducted an implementation study aimed to explore the
86 acceptability and feasibility of opportunistically offering HPV self-testing in the general practice from
87 both clinician and participant perspectives with a home testing option and centralised follow-up.

88 **Methods**

89 Primary care clinicians trained to offer the HPV self-test were invited to semi-structured interviews
90 exploring their perception of receptivity to the opportunistic offer and challenges and enablers to
91 implementation. Reflexive thematic analysis was undertaken on transcripts. Participants (aged 30-69
92 years) were sent a link to an online survey after HPV result notification. Survey results were analysed
93 using descriptive statistics with an inductive approach to analysis of free text responses. Participant
94 recruitment and data collection occurred between November 2021 and January 2024.

95 **Results**

96 Of the 40 clinicians trained to offer HPV self-testing, 12 primary care clinicians from six ethnically
97 diverse primary care sites in Auckland completed an interview. 'Positive reception' was the strongest
98 theme with clinicians reporting that overwhelmingly, participants were receptive to the HPV self-test
99 offer. The four enabler themes were: 'supportive practice systems', 'importance of the discussion',

100 'options for testing and 'specialised support and consistency'. Key challenge themes in implementing
101 opportunistic self-testing were 'competing demands' and 'communicating what it's all about'.
102 Of the 3524 study participants, 394 responded to the survey. Most (93%) found the amount of
103 information they received about HPV self-testing 'about right' and were comfortable in their
104 decision to self-test (86%). Considering their next cervical screening, more respondents preferred
105 home-based self-testing options than self-testing at a clinic (46% versus 37%).

106 **Conclusion**

107 Offering the HPV self-test opportunistically to people due for screening when they visited their GP
108 for any reason was generally well received and feasible for clinic staff. The option to take kits home
109 for sampling was an enabler of participation. Supportive systems and resources for clinicians will be
110 important if opportunistic HPV self-testing is offered more widely in primary care, including further
111 consideration of a central specialist team to follow-up and support home testing and participants
112 with HPV detected results.

113

114

115 **Trial registration**

116 This study did not reach the ICJME or WHO criteria for clinical trial registration.

117

118

119 **Keywords**

120 Cervical screening; human papillomavirus (HPV); self-sampling; at-home testing; primary care;
121 clinician perspectives, participant perspectives, Māori health, Pacific health, health inequity;
122 implementation science.

123 **Introduction**

124 In September 2023 the National Cervical Screening Programme (NCSP) in Aotearoa New Zealand
125 (New Zealand) transitioned from cytology to human papillomavirus (HPV) testing as the primary
126 screen, with the option of self-testing using a vaginal swab. The screening age range is 25-69 years
127 with a routine recall interval of 5 years (1). New Zealand has longstanding disparities in screening
128 rates, reflected in high cervical cancer rates among Māori, the Indigenous population, Pacific and
129 under-screened people (≥ 2 years since due date) (2-4). Cervical screening is mainly accessed through
130 an appointment at a general practice (GP) clinic, and with a small number of community provider
131 outreach services. The HPV self-test is well-suited to being offered 'opportunistically' when eligible
132 patients present to their GP for any reason. Opportunistic HPV self-testing is potentially an
133 important strategy that could reach many of those who are due for screening or have never been
134 screened who visit a primary care provider.

135 Previous research among primary care clinicians in Australia and the US has shown that
136 opportunistic integration of HPV self-testing into the GP encounter facilitated uptake, and clinicians
137 considered it an important strategy for reducing barriers and screening disparities (5-9). A large-
138 scale trial of opportunistic HPV self-testing with 'non-attenders' in ethnically diverse London
139 practices (YouScreen) found it both feasible and acceptable, with a small increase in screening
140 coverage in participating clinics (10).

141 In New Zealand, there is an established role of nurses in primary care who are accredited to take
142 cervical samples. As well as having a role in the renewed programme as 'HPV screen takers', health
143 professionals who can facilitate HPV testing are well placed to provide opportunistic HPV self-testing
144 in GP clinics. While for most people the HPV self-test itself is straightforward to perform, integrating
145 opportunistic self-testing into the workflow of a busy clinic is likely to come with significant logistical,
146 resource and communication considerations. Additionally, for those who have HPV detected results,

147 skilled communication is needed to ensure that they understand their result and complete the
148 recommended follow-up (11, 12).

149 As part of a broader research programme on the implementation of HPV self-testing, funded by the
150 New Zealand Ministry of Health to help inform the programme change, we trialed opportunistic
151 offer of HPV self-testing in primary care, together with result notification and management by a
152 centralised nurse-led coordination team. Participation and test completion rates have been reported
153 previously (13). Consideration of health professional and consumer perspectives has been shown to
154 be important for implementing health services that align with patient needs (14). Therefore, this
155 study, aimed to understand the acceptability and feasibility of opportunistic offer of HPV self-testing
156 in general practice clinics from both a health professional and participant perspective.

157

158

159 **Method**

160 *Study design and setting*

161 This mixed methods study involved interviews and surveys. Participant recruitment took place in six
162 GP clinics from November 2021 to September 2023 in Auckland, New Zealand, stopping just prior to
163 the change in the NCSP to primary HPV screening. The GP clinics, which were part of a metropolitan
164 primary healthcare organisation (PHO), were selected for the high proportions of enrolled Māori and
165 Pacific people living in areas associated with high levels of socioeconomic deprivation and overall
166 low cervical screening participation. Clinics in this PHO offer a mix of appointment and unbooked
167 services. In New Zealand, PHOs provide primary healthcare services to their enrolled patients
168 through general practices.

169 *Clinician and participant study populations*

170 Forty clinical staff (9 GPs and 31 nurses, most of whom were experienced cervical screen takers)
171 were trained to offer HPV self-tests using specifically designed credentialing modules. Modules
172 provided knowledge on HPV and self-testing, the HPV swab (13), laboratory reporting format, screen
173 taker requirements, study procedures and pathways, results management, clinical scenarios and
174 frequently asked questions. The clinic nurses had support from a central team of cervical screening
175 research nurses that acted as an advisory resource and took responsibility for HPV result notification
176 and management.

177 The potentially eligible population (presenting to one of the six participating clinics in the study
178 period and due screening) comprised 9,292 people (Māori 14.8%; Pacific 41.8%; Asian 35.6%;
179 European/Other 7.8%). Those aged 30-69 years who were due for cervical screening and without a
180 history of high-grade abnormalities were identified on the practice management system (PMS)
181 dashboard when they presented to a participating clinic. In some clinics those due screening
182 received a PMS-generated text message about the self-test availability while they were in the clinic

183 waiting room. Potentially eligible participants attending the clinic were given a study brochure and
184 verbal explanation of the HPV self-test and answered further eligibility questions (e.g. for
185 gynaecological symptoms). Those who consented to participate were encouraged to complete the
186 self-test in the consultation room or clinic bathroom. If they preferred, they could take a test kit
187 home, returning their sample to the clinic or a laboratory collection centre. Details about the HPV
188 test, study participant data management system and results management have been reported
189 previously (13).

190 *Clinician interviews*

191 Clinicians trained to offer the self-test were invited to an interview at the end of the study (October
192 – November, 2023). A semi-structured interview schedule was developed with open-ended
193 questions that explored the following broad domains: receptivity to the opportunistic offer;
194 challenges to the offer and uptake of the self-test; enablers to the offer and uptake of the self-test;
195 and their experience of the central specialised support and results follow-up model (see Additional
196 file 1 Interview Questions).

197 Written consent was obtained from clinicians. Interviews took place in GP clinic rooms and, with
198 permission, were audio recorded and transcribed verbatim. One participant declined audio
199 recording and detailed notes were taken during the interview. Analysis was undertaken using Braun
200 and Clarke’s six-phase framework for thematic analysis (15). Following repeated reading to gain an in
201 depth understanding (Step 1), the interview transcripts were coded line by line, using a tabular
202 format in Microsoft Word by one of the research team (AM) (Step 2). Codes were examined for
203 common meanings or ideas and grouped (Step 3). Draft themes were developed from the grouped
204 codes, reviewed by discussion with a small group of team members, to understand meanings in
205 relation to the interview schedule and overall research questions (Step 4). Following this process the
206 themes were checked back with the codes and original data set to confirm the final themes (and

207 subthemes), and names and descriptions of the themes (Step 5). Illustrative quotes were assigned to
208 each theme (and subtheme) and are described in the results section (Step 6).

209 *Self-testing participant surveys*

210 Two cross-sectional online surveys were created in the Qualtrics^{XM} platform, one for participants
211 with HPV not detected (90% of participants), and one for participants with HPV detected test results.
212 Study participants with HPV not detected results were sent a link to the online survey as part of their
213 negative test result text message (from November 2021 – September 2023). Participants with an
214 HPV detected result were sent a survey link one day after a phone call from the study nurse
215 discussing HPV management recommendations and support, to capture understanding and concerns
216 soon after the conversation (from November 2021 – January 2024). Survey questions were further
217 developed from surveys used in our previous HPV studies and pre-tested with the eligible
218 demographic group (16, 17). Both surveys included questions on the information participants were
219 given about the HPV self-test, how comfortable they felt with their decision to have the self-test,
220 and their test preferences when next due for cervical screening. Those who received an HPV
221 detected result were also asked about their understanding of the test result, how worried they felt
222 about their test result and their main concern, how comfortable they felt about attending a follow-
223 up, and what would help them to attend a follow-up smear or colposcopy (see Additional file 2
224 Survey Questions). Both surveys contained demographic questions on self-identified ethnicity and
225 age group in four categories. Results were extracted into Excel for analysis (18). Descriptive analysis
226 was performed on quantitative survey responses to present numbers and percentages for individual
227 subgroups and overall. Chi-squared tests were used to determine the statistical significance of the
228 differences. A p-value of <0.05 was considered statistically significant. The analyses were conducted
229 using Excel (18) and Stata 18 (19). A research question-led inductive thematic approach to analysis of
230 the free text responses was undertaken by LYa (20). After repeated reading and familiarisation with
231 the transcripts, data was coded inductively using a tabular format in Word and codes of similar
232 meaning were grouped into themes of relevance to providing answers to the research question.

233 Codes and themes were discussed and finalised with a small group of team members. The findings
234 were summarised descriptively with illustrative quotes.

235 *Ethics and approvals*

236 Ethical approval was obtained as part of approval for the wider research project from the New
237 Zealand Health and Disability Ethics Committee, reference number 21/STH/141. Approval for data
238 access was obtained from the NCSP and from the National Kaitiaki Group, which oversees the use of
239 data from wāhine Māori (Māori women) from the NCSP Register. This study adhered to the
240 Declaration of Helsinki.

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246 **Results**

247 ***Clinician interviews***

248 Twelve clinicians completed post-study interviews (30% response rate, n=40), which lasted 30-60
249 minutes. All six participating clinics were represented among interviewees. Eleven interviewees were
250 practice nurses, ten of whom were trained smear takers, and one was a GP. While age and ethnicity
251 were not uniformly collected, the participating clinicians were predominantly female and of Asian
252 ethnicity.

253 Themes are discussed using sample quotes under each of the domains of receptiveness, challenges
254 and enablers as covered in the interview schedule and linked to the research question.

255 Receptiveness to the offer

256 Three main themes were identified from clinicians' accounts of the response to the opportunistic
257 HPV self-test offer: 'positive reception', 'hesitancy' and 'hefer or decline'.

258 '*Positive reception*' was a dominant theme. Clinicians reported that overwhelmingly, those attending
259 the clinic were receptive to being offered the HPV self-test opportunistically if they were due
260 screening and were generally 'happy to do it.' There were two subthemes in relation to this
261 receptivity.

262 '*Autonomy and convenience*': clinicians reported that participants appeared to value the sense of
263 autonomy provided by the self-test and the convenience of being able to complete cervical
264 screening when attending the clinic for any reason:

265 *When they find out that they can do this test by themselves, they're quite delighted.* [Practice
266 Nurse 0023]

267 *They can do it within two minutes... while they're waiting for the doctor... and just do it in our*
268 *bathroom.* [Practice Nurse 0012]

269 *'Different to the smear'*: many of the clinicians described how offering the self-test contrasted with
270 their previous experience approaching people about a clinician-taken smear test, which had often
271 encountered resistance. Several commented on the participation of those who had repeatedly
272 declined cervical screening:

273 *Before when we offered the smear, mostly they said, 'Uh, no, I don't want to... I didn't have a*
274 *shower, I didn't...', you know, there's a lot of excuses, but now... [Practice Nurse 0026]*

275 *'Hesitancy'*: While less common than positive reception, clinicians also described reluctance from
276 some to doing a self-test, with two subthemes related to the test and testing environment.

277 *'Comfort with time and place'* reflects concerns and preferences for when, where and how the test
278 was done, particularly about 'feeling safe' to do it in the clinic facilities. There were often limited
279 spaces available to do the test, and some participants were uncomfortable with using the clinic
280 bathroom due to hygiene concerns, lack of privacy or a sense of safety from a cultural perspective.

281 *Some patients they say 'Oh, this means I just go into your toilet and do it?' and you can see*
282 *their face and they're thinking... 'Oh my goodness... it's not really comfortable'. [Practice*
283 *Nurse 0012]*

284 *'Uncertainty about the test'*: A few clinicians encountered hesitancy about the new self-test for
285 cervical screening, particularly among older participants, both confidence in its accuracy and 'fear of
286 doing it wrong':

287 *Some of them are maybe a bit sceptic[al] in terms of how it will change the smear in*
288 *comparison to just doing the swab. What will it test? [Practice Nurse 0014]*

289 *'Defer or decline'*: Clinicians also encountered a few who were not at all receptive to the invitation to
290 do a self-test. Two subthemes indicated the range among these responses:

291 *'Personal readiness'*: some participants appeared not ready or willing to engage in decision making
292 about cervical screening at the time, due either to personal factors, such as feeling unwell, tired or

293 menstruating, or situational factors that made the opportunistic offer impractical, such as 'being in a
294 rush' or having children with them:

295 *Some of them will want to think about it. They say, no, we're gonna come back.* [Practice
296 Nurse 0022]

297 *Sometimes they come with the kids, so it's hard to do them.* [Practice Nurse 0022]

298 'Not for me': While interviewees generally reported that few strongly declined the self-test offer
299 (perception of the proportion of declines was variable), some described instances where participants
300 believed they didn't need the test because of their age or not being sexually active or had a previous
301 negative experience with screening.

302 *There were one or two quite strong ones - said they didn't need the test.* [Practice Nurse
303 0016]

304 Challenges

305 Clinicians encountered some challenges in implementing opportunistic self-testing. Two themes
306 were identified: 'competing demands' and 'communicating what it's all about'.

307 'Competing demands' of other clinical priorities was a strong theme. Many of the clinicians described
308 having to prioritise clinical tasks, some highlighting cervical screening in the context of 'so much
309 screening' required or described how 'being rushed' worked against taking time to present the
310 screening in a way that would be receptive to participants. Time constraints were greatly
311 exacerbated by the COVID-19 restrictions in the early part of the study period.

312 *So this is one of the five or six other screening activities that I have to tick off. Okay, that
313 doesn't always happen... especially on the weekends when we're short staffed... and
314 occasionally, I might miss offering that option.* [GP 0027]

315 'Communicating what it's all about': Patients were not expecting to discuss cervical screening at
316 their appointment and clinicians described challenges explaining the new HPV self-test 'so they

317 know what it's all about'. This was made more challenging by the linguistic diversity among clinic
318 patients and by varying levels of health literacy.

319 *Most of the patients know about smear tests... the word itself, they know it's related to*
320 *cancer. We say we are now testing the virus itself that's causing cancer, then they have lots*
321 *of questions, or sometimes they were just staring at you. [Practice Nurse 0012]*

322 *Some patients maybe don't have the medical background to understand what we mean by*
323 *the virus, to understand the difference. [Practice Nurse 0012]*

324 Enablers

325 Four themes were evident in relation to what facilitated the self-test offer and readiness to
326 participate: 'supportive practice systems', 'importance of good discussion', 'options for testing' and
327 'specialised support and consistency'.

328 Clinicians identified a range of 'supportive practice systems', including both technological aids and
329 staff management factors, that assisted them with opportunities to offer the HPV self-test.

330 The PMS dashboard highlighting patients due for screening was seen as a successful initiative that
331 facilitated quick identification of potentially eligible patients:

332 *That dashboard really helped - the red sign will show if they were overdue, we just didn't*
333 *have to do so much opening of files to see when the last test was. [Practice Nurse 0013]*

334 In clinics where a PMS-generated text message was sent to those due for screening while they were
335 in the waiting room, clinicians reported that they found it a useful opener that prompted a
336 conversation about cervical screening:

337 *So women will just come in, they will say 'I've got a text message'. And then we'll talk about*
338 *it. So that's when we will offer it. [Practice Nurse 0026]*

339 Other interviewees highlighted clinic process and management factors – such as the patient triage
340 system and supportive teamwork – that increased opportunities for offering the self-test:

341 *The doctors were really supportive... we tailed [the offer of the self-test] onto the end of the*
342 *doctor's consultation. [Practice Nurse 0020]*

343 *'Importance of the discussion':* Many of the clinicians talked about the value of face-to-face
344 discussion for explaining the self-test, and taking time to achieve a good understanding:

345 *I find that if you take time, and explain clearly, women are more receptive of it. [GP 0027]*

346 Placing the cervical screening discussion in the context of other screening and prevention discussions
347 was helpful. One interviewee elaborated on this:

348 *So preventative screening is something I try to do with every consultation... that includes the*
349 *smoking status update as well as the alcohol intake kind of thing: 'Hey, do you mind if I take*
350 *a few minutes to talk about screening?' And I haven't come across a woman that says no.*

351 [GP 0027]

352 Reassurance and support were key components of a good discussion, relating both to accuracy of
353 the test and providing reassurance that a self-test could be done correctly. Written information
354 about the self-test played a more supportive role to discussion, although a few clinicians described
355 how the pictorial instructions, and demonstration with a swab, were helpful to support
356 understanding of how to do the test:

357 *I actually opened the swab and showed them where the line is and, once they saw the line,*
358 *they realised that, you know, that long swab didn't have to go up forever. [Practice Nurse*
359 *0020]*

360 Being able to offer 'options for testing' was clearly a facilitator of uptake, both the offer of nurse-
361 supported testing and particularly the option of home testing.

362 *We did have a few ladies that we had to do it for them... or we guide them through it, we*
363 *stay in the room with them, and then we let them know how to do it. [Practice Nurse 0014]*

364 *When they say they don't have time, we will say, 'Oh, you can actually take this kit home'.*

365 [Practice Nurse 0023]

366

367 Clinicians reported that the most common reason for taking a kit home test was discomfort with
368 testing in the clinic. Taking the sample in their own space at home provided a greater sense of
369 comfort, safety or hygiene: 'they feel safer to do it at home'. The option of taking a kit home was also
370 helpful to mitigate the time constraints where patients were 'rushing in and rushing out', had
371 children with them, or wanted more time to read through the information.

372 Finally, the 'specialised support and consistency' provided by the centralised specialist nurse team
373 was an enabling theme. While a few clinicians commented on role change confusion, because as a
374 smear taker, you deal with the results as they come to you', in general, they saw the support of the
375 specialised team as advantageous. Perceived benefits were not only from a resource perspective –
376 result notification (to participants by the central team) was one less task for clinicians – but they also
377 valued the team's clinical and communication expertise, particularly where clinic staff felt 'confused
378 about clinical guidelines' or 'not very good with explaining' results. One interviewee expressed a
379 strong preference for an ongoing centralised model to ensure consistent and reliable screening
380 practices, and consistent management of screening results.

381 *I think it's a great advantage to have because, if you take all smear takers, everyone's ability to*
382 *read results in time and knowledge of where to do what when, there's a huge variation. And*
383 *we can mitigate that by having a centralised trained team that knows who to screen and how*
384 *to screen, then we can manage results a lot more safely and more proactively... [GP 0027]*

385

386 **Participant survey**

387 Overall, 11.2% (n=394) of all participants with an HPV self-test result (n=3524) responded to the
388 online survey (December 2011 - September 2023). The response rate was higher in those with an

389 HPV detected result (33.4%; n=112 of 335) than in those with an HPV not detected result (8.9%;
390 n=282 of 3159) ($p<0.001$). The respondents were reasonably diverse in terms of self-reported
391 ethnicity: Māori (15%), Pacific (28%), Asian (38%), European/Other (13%) and not answered (7%).
392 There was a similar number of respondents across each of three age bands from 30-59 years (24% to
393 28% each), with fewer (16%) aged ≥ 60 years (see Additional file 3). Compared to the self-tested
394 participants, there was a lower proportion of Pacific and a higher proportion of European/Other
395 ethnicity groups and lower proportion of the 30–39-year age group in the survey respondents’
396 sample ($p<0.05$). Additionally, there was a lower proportion of HPV not detected, and a higher
397 proportion of HPV detected participants in the survey sample compared to the self-tested
398 participants ($p<0.05$) (see Additional file 3).

399 *Amount of information on self-testing*

400 Most (93%, n=365) respondents stated that the amount of information they received about the HPV
401 self-test was ‘about right’. A key theme from the free text responses was ‘appreciation of the
402 explanation’:

403 *I was well informed of my choices.* [Pacific participant, 60-69 years]

404 A small proportion of respondents wanted to know more, with a theme ‘Specifics about the test’,
405 such as the accuracy or benefits of the HPV self-test.

406 *Comfort level with decision to self-test*

407 The majority (86%, n=337) of survey respondents were comfortable with their decision to do the
408 HPV self-test. Of the survey respondents who provided free text comments most were highly
409 favourable regarding their experience of the opportunistic self-test, with the main theme ‘ease and
410 autonomy’:

411 *It was easy, quick and private.* [Pacific participant, 50-59 years]

412 *I was surprised with this such an easy self-test.* [European/Other participant, 40-49 years]

413 *Didn't hesitate when the nurse told me about this self-test because I get to do it myself*
414 *whereas before I don't go to my cervical appointments.* [Pacific participant, 50-59 years]

415 Themes from the more ambivalent comments were 'uncertainties about the test', such as concern
416 about whether they had performed it correctly and the longer testing interval, and 'location of
417 testing', several respondents expressed discomfort with testing in the clinic bathroom:

418 *As an obese woman I did have a little trouble getting in a position to do the test, I couldn't do*
419 *it sitting as directed in the instructions.* [Māori participant, 50-59 years]

420 *Please provide a stretcher or bed while doing the self-test in the clinic.* [Pacific participant,
421 60-69 years]

422 *Didn't feel very confident. I hope I did it correctly as next is due in 5 years.* [Asian participant,
423 60-69 years].

424 Furthermore, free text response from participants who tested at home (n=30) were universally
425 positive with dominant themes of 'relief and dignity' and 'convenience':

426 *I sincerely appreciated the option to 'self-test' in the comfort and privacy of my own home... I*
427 *didn't have to go through the emotions of discomfort and feeling whakama of exposing my*
428 *tinana (body), but rather proud that I was in control... Mihi maioha (thank you) for restoring*
429 *my dignity and mana (power/spiritual power).* [Māori 50-59 years]

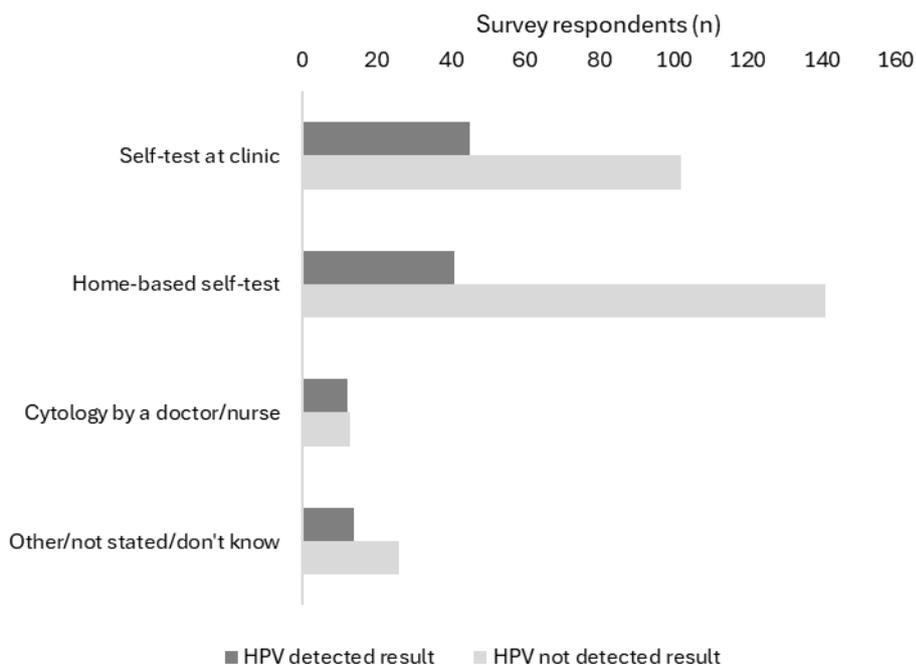
430 *Simple to do at home... no time off work to go into a clinic and just drop off at the local lab*
431 *on the way to work.* [Māori participant, 50-59 years]

432 *It's in my own comfort space.* [Pacific participant, 40-49 years]

433 *Next test preference*

434 When asked about their next cervical screening, most survey respondents (84%, n=329) stated a
435 preference for the HPV self-test. Overall, 37% (n=147) of respondents to this question specified a

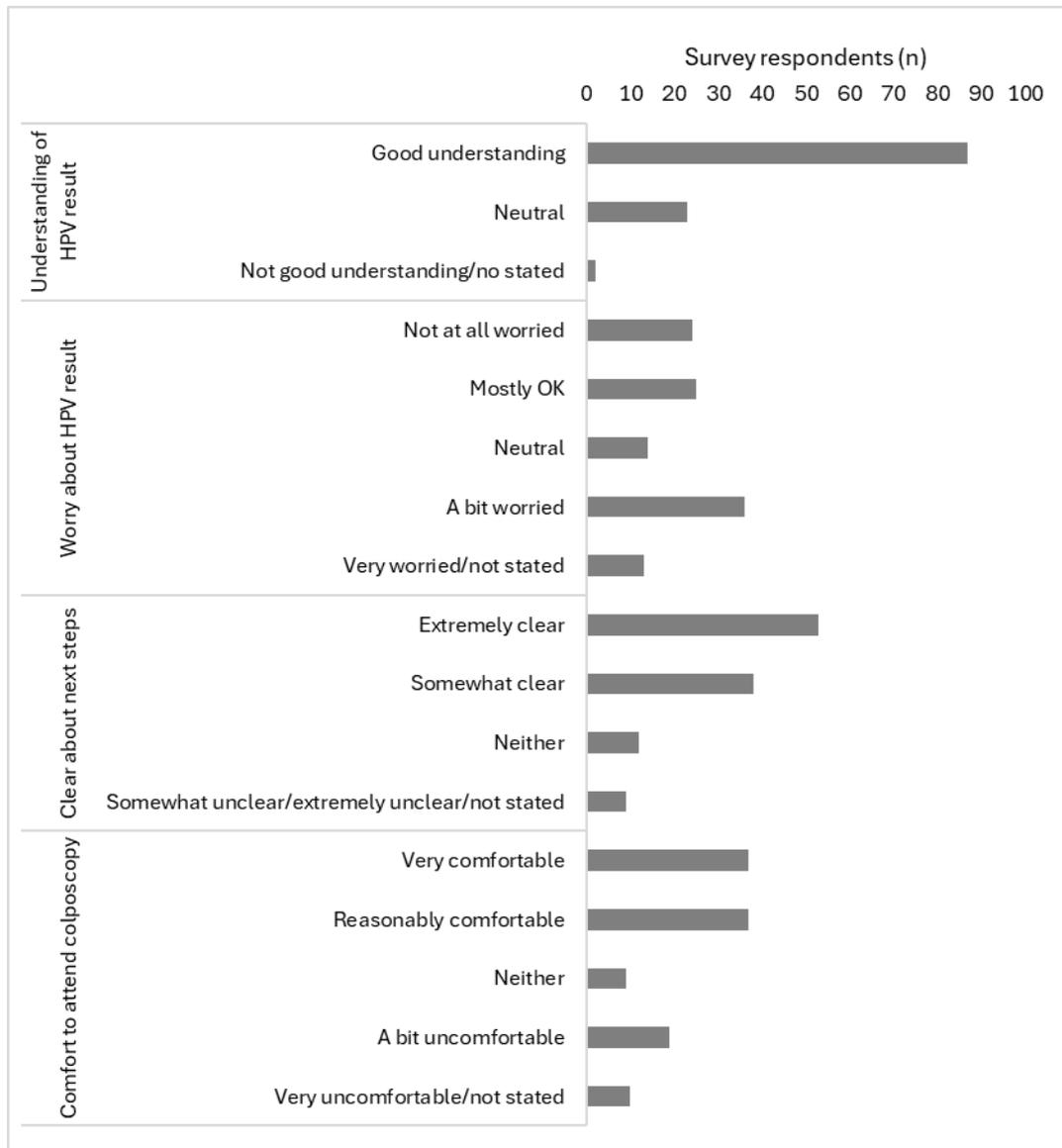
436 preference to do the self-test at a clinic, 32% (n=124) a mailed test kit to do at home, and 15%
 437 (n=58) to pick up a test kit from a clinic or pharmacy to do at home (see Additional file 4).
 438 When restricted to participants' preferences for self-test at a clinic or home-based self-testing for
 439 their next test, a slightly higher proportion of Māori participants (51%, n=25 of 49) preferred a self-
 440 test at a clinic, while more European/Other participants (67%, n=30 of 45) preferred home-based
 441 self-testing; however, this difference was not significant (p=0.515). While more participants with
 442 HPV not detected results (58%, n=141 of 243) showed a preference for home-based self-testing, a
 443 larger proportion of HPV detected participants (52%, n=45 of 86) preferred a self-test at a clinic
 444 (Figure 1); these results were not statistically different (p=0.097).



445
 446 **Figure 1.** Comparison of the number of next test preferences of HPV detected (n=112) and HPV not
 447 detected respondents (n=282).

448 *Understanding of HPV result*

449 Of the respondents to the survey with HPV detected results, 78% (n=87) reported having good
 450 understanding of their test result after a cervical screening nurse had discussed it with them, and
 451 21% (n=23) were neutral (Figure 2).



452

453

454 **Figure 2.** Number of HPV detected survey participants responding to questions on ‘understanding of
 455 HPV result’, ‘worry about HPV results’, ‘clarity about next steps’ and ‘comfort level to attend
 456 colposcopy’ (Māori n=15, Pacific n=36, Asian n=33, European/Other n=14, not stated n=14, total

457 n=112). To protect participant confidentiality and privacy, values of less than 6 are combined with at
458 least one other value.

459 *Worry about HPV detected result*

460 Regarding their level of concern about the HPV detected result, of the 110 respondents to this
461 question, 10% (n=11) reported being very worried, 33% (n=36) a bit worried, 13% (n=14) were
462 neutral, 23% (n=25) were mostly OK, and 22% (n=24) were not at all worried (Figure 2). The
463 dominant theme from the reasons for worry about HPV detected results was ‘anxiety about cancer’,
464 with a sub-theme relating to the additional burden of uncertainty and ‘having something else to
465 worry about’:

466 *I'm also glad that I have done the test and the outcome did get me worried a bit. As long as I*
467 *follow through with all my tests, I can feel better about myself and choices I make. [Māori*
468 *participant, 40-49 yrs]*

469 *I'm thinking of my children. [Pacific participant, 50-59 years]*

470 *Not knowing how long I had the virus, how I got it and when will it go away. [Asian*
471 *participant, 50-59 years]*

472 *Clarity and comfort with follow-up testing*

473 Most respondents with HPV detected (81%, n=91 of 112) felt clear about ‘what happens next’
474 (Figure 2). Most (66%, n=74) felt comfortable about attending a colposcopy, with those who were
475 less comfortable most commonly selecting ‘more information about what to expect’ (n=19).

476

477 **Discussion**

478 Our study explored both clinician and participant perspectives of implementing opportunistic offer
479 of HPV self-testing in a primary care setting serving a diverse and under-screened population, with a
480 centralised follow-up team. The study was conducted prior to the New Zealand implementation of

481 primary HPV screening with offer of self-testing and helped inform the decision to support this policy
482 change. COVID-19 restrictions took place during the study timeframe.

483 *Receptivity*

484 According to clinicians, most participants were very receptive to being offered the HPV self-test
485 when they attended the GP clinic for any reason. This finding, together with positive reports about
486 the offer from most survey respondents, supported quantitative findings from the main study
487 indicating that HPV self-testing offered opportunistically was broadly acceptable (13). Recent
488 international reviews and meta-analyses have reported on the relative success of HPV self-test
489 invitation strategies involving a face-to-face invitation (21-24). Compared to other approaches,
490 direct offer of the HPV self-test in primary care clinics has the benefit of an in-person explanation in
491 a generally trusted setting that can support uptake of the offer. However, receptivity must be
492 considered within the overall context of known systemic access barriers to primary care that
493 disproportionately impact Māori wāhine (25).

494 *Patient-centred barriers and enablers*

495 The clinicians reported that clear, unhurried, kanohi ki te kanohi (face-to-face) discussion was a key
496 factor supporting understanding and participation in the HPV self-test, and that putting the self-test
497 offer in the context of other screening and prevention discussions was helpful. Survey participants
498 reported a good understanding of self-testing, including clear follow-up steps from nurse
499 communication, which likely supported their comfort level with self-testing (16). The importance of
500 the clinician-patient relationship, good communication and adequate information are similar themes
501 found in other qualitative studies of HPV self-testing in Australian and New Zealand primary care
502 settings (5, 26). Clinicians in our study attended in-depth training on offering the HPV self-test,
503 including scenarios of difficult or uncertain situations. Communication challenges reported by the
504 clinicians often related to the diversity of levels of health literacy among the patient population,
505 suggesting that 'layered' communications in a range of formats and level of detail are needed.

506 Clinician cultural safety and competence^a have been identified as significant barriers in primary
507 health care (27, 28). A previous study on the acceptability of self-testing among never or under-
508 screened Māori wāhine found culturally competent engagement was an important factor influencing
509 uptake (29). Continuing to strive for alignment with *tikanga* (cultural protocols and processes) in
510 mainstream primary health services as well as workforce diversity, representing the population
511 being served, and culturally safe health interactions to reduce trauma are key ways of addressing
512 inequity (30-32).

513 Clinicians reported that some participants were not comfortable with the time and place for self-
514 testing at the clinic or with the clinic facilities for comfort or accessibility. Participants in this and
515 similar studies have commented on clinic bathrooms being cramped, feeling ‘unhygienic’, not
516 sufficiently private, or culturally not feeling like a safe place for self-sampling (23). For Māori, the
517 womb (*te whare tangata*, the house in which human life grows), can have particular significance and
518 sacredness (31). Research among Māori wāhine and Indigenous Australian populations has shown
519 that self-testing increased body autonomy (26, 30). This and other cultural factors, including
520 connections to the body and *whenua* (land) for Māori wāhine, together with cultural safety are likely
521 to impact the safety and comfort of the testing environment and overall trust and willingness to
522 participate in cervical screening (31, 33, 34). These aspects need to be considered within the wider
523 context of structural barriers to healthcare for Māori, which include colonisation and breaches of *Te*
524 *Tiriti o Waitangi* (the founding agreement of Aotearoa New Zealand) (25). Cultural considerations
525 are also important for Pacific populations (35). Furthermore, personal or situational circumstances
526 made self-testing onsite difficult for some participants. The option to take a self-test kit home was a
527 mitigating strategy. Despite the initial offer to test in the clinic, clinicians reported that the option to

^aWe utilise the Curtis et al (28) definitions of cultural safety and cultural competence in use in New Zealand. Cultural safety refers to the ongoing responsibility that healthcare professionals and organisations have to critically examine and address the influence of their own culture - including power, privileges, biases, attitudes and prejudices - and the potential impact these may have on patient interactions and delivery of health services with the goal of developing culturally safe care as defined by patients and the community. Cultural competence describes the cultural knowledge, skills and ways of working that health professionals need to provide high quality healthcare that is equitable for all populations.

528 take kits home supported participation, providing a greater sense of comfort, safety or convenience.
529 While all were offered the self-test in a clinic, nearly half of our survey respondents preferred to do a
530 home-based sample when they are next due screening. This result supported our previous study
531 findings (13) and other studies that have found ‘home’ to be the preferred setting for cervical self-
532 sampling, including for wāhine Māori (16, 29, 36-38). Additionally, non-speculum clinician-collected
533 samples could be an option for those who fear incorrectly administering the self-test or have
534 difficulty collecting a self-sample due to physical impairments or disabilities (39).

535 *Clinician implementation barriers and enablers*

536 From the clinician interviewee accounts, the opportunistic offer of the HPV self-test was feasible to
537 integrate into the clinic workflow. Nevertheless, they encountered some implementation challenges.
538 There was a strong theme of competing demands on clinicians, not least from requirements to
539 undertake opportunistic screening for other conditions, and these time constraints in busy clinics are
540 likely to have made the offer to all potentially eligible patients challenging. This finding aligns with
541 other studies that reported or anticipated competing priorities within consultations as a barrier to
542 implementing opportunistic offer of the self-test (40, 41). In contrast, other clinician implementation
543 barriers reported were gaps in knowledge and understanding and mixed attitudes to self-testing,
544 specifically two thirds of GPs and nurses were either neutral or preferred sample collection by
545 clinicians, in a study among primary healthcare staff (GPs, nurses and other health care workers) in
546 Australia (42). In our study, the self-test was mostly delivered by nurse cervical screen takers, either
547 before or after the consultation with the doctor, countering some concern that opportunistic self-
548 sampling might cause workflow disruptions or shorten the patient-doctor encounter time (9). The
549 interviews in our study also indicated that well-designed technological aids and supportive staff
550 management processes can be employed to support opportunistic offer and uptake of the test.
551 These included a PMS dashboard identifier of those eligible for a self-test and the text message
552 icebreakers.

553 *Centralised support team*

554 Clinicians appreciated the support and management of results by the central specialist nurse
555 research team. Our survey finding that an HPV detected result is worrying for many participants is
556 consistent with other studies finding that anxiety, shame, or fear of cancer were associated with an
557 HPV detected test result (11, 43). Support for a centralised team as an ongoing service model was
558 partly based on its advantages as an experienced team of cervical screening specialists offering safe,
559 consistent and proactive results management. Participant experience of results communication and
560 follow-up appeared to support this perception, and a high level of results follow-up (95.7%) was
561 achieved in our study (13).

562 Another key service role of the central team was follow-up of kits taken home. Follow-up of samples
563 not returned has been anticipated as a challenging area in the new HPV self-test era and there is an
564 identified need for robust support systems (8, 44) that could have benefits for both clinicians and
565 patients.

566 Overall, next test preference was for self-testing at home in our study and a previous New Zealand
567 study (16), however, HPV detected participants most frequently preferred self-testing at a clinic
568 whereas self-testing at home was preferred by HPV non-detected participants in our study,
569 although, these were not significantly different.

570 *Strengths*

571 This investigation into the experiences of clinicians and participants enriches the quantitative
572 findings from our study of opportunistic HPV self-testing (13) and has been shown to be important
573 to ensure health services meet patient needs (14). We identified real-world challenges and enablers
574 for clinicians working in busy clinics with culturally and linguistically diverse populations. Many of
575 those presenting to the practice were overdue for cervical screening and were a priority group for

576 increasing participation. We were able to explore their receptiveness to opportunistic offer, some of
577 the barriers to uptake of the test in clinic and some pragmatic enablers.

578

579 *Limitations*

580 Several factors may limit the generalisability of our study to other primary care clinics. The ethnicity
581 and socioeconomic status of the patient population in the participating clinics does not reflect all
582 populations in New Zealand and the response from participants and challenges faced by nurses may
583 be different in other regions. The age and ethnic group of clinicians was not systematically collected.
584 The PHO incorporated opportunistic interventions into their patient consultation model prior to the
585 study, whereas this way of working may be less readily accommodated in clinics that do not operate
586 in this way (25, 45). The study was conducted during the COVID-19 pandemic, which was likely to
587 have exacerbated staffing shortages and pressures. Despite measures taken to support survey
588 participation, the response rate was low (11.2% of participants with a test result), particularly for
589 those with HPV not detected results who comprised 90% of participants invited to the survey.
590 Finally, the study took place prior to the national roll-out of HPV self-testing, with the intent of
591 informing programme change, along with additional studies from our research programme and
592 other groups (46). Testing for HPV with a self-swab was a significant departure from previous
593 experience of cervical screening, requiring in-depth first-time explanations. It was also offered as a
594 research study. The response from patients may be different once the test is more established as
595 usual care.

596

597 **Conclusion**

598 The insights from clinicians and participants involved in implementing opportunistic offer of the HPV
599 self-test in GP clinics support the acceptability of this approach and its feasibility for clinic staff. Most
600 participants were comfortable with communication about self-testing and follow-up and decision to
601 self-test. There were some indications of preference at the next test for home-testing by HPV non-

602 detected and clinic testing by HPV detected participants. Wider implementation of the opportunistic
603 offer of HPV self-testing in New Zealand primary care could increase screening coverage among
604 those not currently accessing screening. Flexibility in the choice of taking kits home for sampling is
605 an important enabler to participation. The study highlights the importance of resources and systems
606 to support clinicians in primary care to offer the HPV self-test opportunistically. Further investigation
607 into a centralised specialist team model, to provide support and follow-up of those who take a kit
608 home and potentially overseeing HPV results management, could be useful for future programme
609 planning.

610

611 **List of abbreviations**

612	GP	General Practitioner
613	HPV	Human papillomavirus
614	NCSP	National Cervical Screening Programme
615	PHO	Primary healthcare organisation
616	PMS	Practice management system

617

618

619 **Figures**

620 Figure 1. Comparison of the number of next test preferences of HPV detected (n=112) and HPV non-
621 detected respondents (n=282).

622 Figure 2. Number of HPV detected survey participants responding to questions on 'understanding of
623 HPV result', 'worry about HPV results', 'clarity about next steps' and 'comfort level to attend
624 colposcopy' (Māori n=15, Pacific n=36, Asian n=33, European/Other n=14, Not stated n=14 Total
625 n=112). To protect participant confidentiality and privacy, values of less than 6 are combined with at
626 least one other value.

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772 **Declarations**

773 **Ethics approval and consent to participate**

774 This study was approved by the New Zealand Health and Disability Ethics Committee (HDEC),
775 reference number 21/STH/141. Data access was approved by the NCSP programme and by the
776 National Kaitiaki Group, which oversees the use of data from wāhine Māori (Māori women) from the
777 NCSP Register. The study was approved through localities research office approvals in the three
778 Auckland districts where the study was conducted. A Māori data sovereignty assessment was
779 conducted and approved as part of ethics and localities approval. A privacy and security assessment
780 was conducted and approved. All individuals in the study provided informed consent. This study
781 adhered to the Declaration of Helsinki.

782

783 **Consent for publication**

784 Not applicable.

785

786 **Availability of data and materials**

787 The data used and analysed during the current study contain identifiable individual patient
788 information, including that of Māori. The data are not publicly available due to the data
789 confidentiality and privacy restrictions and Māori data sovereignty considerations but are available
790 from the corresponding author on reasonable request and corresponding approvals.

791

792 **Competing interests**

793 The authors declare that they have no competing interests.

794

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799

800 **Author contributions**

801 Conceptualisation – KB, AM, CB, JG, KM

802 Methodology - KB, AM, CB, JG, SS

803 Software – AM, JG, CN, LYa

804 Validation – CN, LYa

805 Formal analysis – AM, LYa, CN, PSA

806 Investigation – SC, CB, GM, JK, DF, RM, JG

807 Resources - KB

808 Data curation – LYa, CN

809 Writing - original draft – AM, LYo, LYa, SS, KB, CN, CB

810 Writing - review and editing – all authors

811 Visualisation – CN, LYa, CB, PSA, LYo

812 Supervision – JG, SC, KB, PC, WB, CB, GM, RM, AM, DF, JK, KM, SS

813 Project administration – KB, AM, JG

814 Funding acquisition – KB

815

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