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A randomised controlled trial of Acceptance and Commitment Therapy plus usual care in comparison to usual care alone for reducing anxiety in older people with treatment-resistant generalised anxiety disorder (CONTACT-GAD): trial protocol

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1 **A randomised controlled trial of Acceptance and Commitment Therapy plus usual**
2 **care in comparison to usual care alone for reducing anxiety in older people with**
3 **treatment-resistant generalised anxiety disorder (CONTACT-GAD): Trial protocol**
4

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28 **Abstract**

29

30 **Background:** Generalised anxiety disorder (GAD) is the most common anxiety disorder in
31 older people and is characterised by excessive anxiety and worry that is experienced as
32 being difficult to control. Current recommended first-line treatments for GAD include
33 pharmacotherapy and psychological therapy, but some people experience GAD that does
34 not respond to these treatments. Such treatment-resistant GAD (TR-GAD) is associated with
35 numerous negative outcomes in older people. However, evidence-based guidance on how to
36 manage TR-GAD in older people is lacking. Previous research suggests that Acceptance
37 and Commitment Therapy (ACT), tailored to the needs and preferences of older people with
38 TR-GAD, may help reduce anxiety in this population.

39 **Aims:** To determine the clinical and cost-effectiveness of tailored ACT plus usual care (UC)
40 in comparison to UC alone for reducing anxiety in older people with TR-GAD.

41 **Methods:** The CONTACT-GAD trial is an international, multi-centre, parallel, two-arm RCT
42 with a 9-month internal pilot phase. 296 individuals aged ≥ 60 years with TR-GAD will be
43 recruited from primary and secondary care services (and their equivalent in Australia) and
44 via self-referral at approximately 11 UK sites and 4 Australian sites. TR-GAD will be defined
45 as GAD that has failed to respond adequately to pharmacotherapy and/or psychotherapy, as
46 described in step 3 of the UK's stepped care model for GAD (and its equivalent in Australia).
47 Participants will be randomly allocated to receive up to 14 one-to-one sessions of ACT with a
48 booster session at approximately 3-months post-intervention plus UC or UC alone by an
49 online randomisation system. Participants will complete outcome measures at baseline and
50 6- and 12-months post-randomisation. The primary outcome will be anxiety at six months.
51 Secondary outcomes will include quality of life, depression, psychological flexibility, resource
52 use, health-related quality of life, capability, adverse events, satisfaction with therapy,
53 personally meaningful behaviour change and engagement in activities. Outcome assessors

54 will be blind to treatment allocation. Primary analyses will be by intention-to-treat, with data
55 being analysed using multi-level modelling.

56 **Discussion:** The CONTACT-GAD trial will provide much needed evidence on the
57 management of TR-GAD in older people.

58 **Trial registration:** ISRCTN Registry, ISRCTN85462326, registered 04/01/2023,
59 <https://www.isrctn.com/ISRCTN85462326>

60 **Protocol version:** 3.0 (09/05/2025)

61

62 **Keywords:** older people, generalised anxiety disorder, treatment resistant, Acceptance and
63 Commitment Therapy, RCT

64

65 Abstract (max. 350 words): 350 words

66 Main text: 7387 words

67

68 **Introduction**

69 **Background and rationale**

70 Generalised anxiety disorder (GAD) is the most frequently occurring anxiety disorder in later
71 life, with prevalence rates of up to 11% being observed in this population (1). It is
72 characterised by excessive worry and anxiety, experienced as being difficult to control, and
73 is accompanied by a range of symptoms, including irritability, fatigue and a sense of dread or
74 unease (2). It frequently persists for many years and is linked to numerous negative
75 outcomes, including poorer quality of life, and increased disability and use of healthcare
76 services (3). It is frequently comorbid with other psychiatric disorders, including depression
77 and other anxiety disorders, which further exacerbate negative outcomes (4).

78

79 Current clinical guidance recommends a stepped-care approach to the management of GAD
80 within the UK (5). This ranges from: a) identification and assessment in Step 1; b) low-

81 intensity psychological interventions such as guided cognitive behavioural therapy (CBT)
82 self-help in Step 2; c) pharmacotherapy (such as selective serotonin reuptake inhibitors)
83 and/or high-intensity, psychological interventions (either CBT or applied relaxation) in Step 3;
84 and d) referral to specialist mental health services in Step 4, where treatment options include
85 a combination of treatments from previous Steps. However, it has been estimated that up to
86 40% of people experience anxiety disorders, including GAD, that do not respond to such
87 first-line treatments (6). Unfortunately, evidence-based guidance on the management of
88 treatment-resistant GAD (TR-GAD) in older people is lacking due to the limited studies in this
89 area (7). This prompted the National Institute for Health and Care Research (NIHR) to issue
90 a commissioned call for a randomised controlled trial (RCT) to evaluate the clinical and cost-
91 effectiveness of a psychological intervention for older people with TR-GAD. This protocol
92 describes an RCT that was developed in response to this commissioned call (8).

93

94 A form of psychological therapy that may be particularly suitable for older people with TR-
95 GAD is Acceptance and Commitment Therapy (ACT) (9). ACT is an acceptance-based
96 behavioural therapy with an evidence base in a range of mental and physical health
97 conditions relevant to older people with TR-GAD, including anxiety, depression and chronic
98 pain (10). It differs from other psychological therapies, such as conventional CBT and
99 applied relaxation, as it is focused on increasing personally meaningful behaviour in the
100 presence of distress and symptoms, rather than being focused on symptomatic reduction.
101 Although ACT and ACT-based approaches have been shown to be as effective as
102 conventional CBT and applied relaxation for GAD in working age adults (11–13), less is
103 known about its effectiveness in older people with GAD (14,15). A small, preliminary RCT
104 showed that ACT may be as beneficial as CBT in older people with GAD, but may confer
105 additional benefits with respect to treatment completion (16). A cluster RCT of older people
106 aged 55-75 years with mild to moderately severe anxiety symptoms reported that blended
107 ACT was as clinically and cost effective as CBT (17,18). However, these studies did not

108 specifically examine TR-GAD in older people and so whether ACT is effective for this
109 population is unknown.

110

111 In the only study, to the authors' knowledge, to have developed and evaluated a
112 psychological intervention specifically for older people with TR-GAD, we showed that ACT
113 was both feasible and acceptable for this population (19). In addition, signals of efficacy with
114 respect to reductions in anxiety, depression and psychological inflexibility (which ACT aims
115 to decrease) from baseline to 20 weeks were demonstrated, with a reliable change in anxiety
116 being seen in 45% of participants. However, whether these beneficial effects were due to
117 ACT was unclear since this was an uncontrolled feasibility study. Furthermore, whether this
118 approach is clinically and cost-effective in this population and whether any beneficial gains
119 are maintained beyond 20 weeks remains to be examined. Consequently, we will evaluate
120 the clinical and cost effectiveness of tailored ACT plus usual care (UC) in comparison to UC
121 alone for reducing anxiety in older people with TR-GAD at 6- and 12-months post-
122 randomisation.

123

124 **Objectives**

125 The objectives are to:

- 126 1. Adapt our previously developed intervention (19) and all study procedures for remote
127 delivery to increase accessibility.
- 128 2. Assess the clinical and cost effectiveness of ACT, tailored to the needs of older people
129 with TR-GAD, plus UC compared to UC alone for reducing anxiety in this population in
130 an RCT with a 9-month internal pilot phase.
- 131 3. Examine perceived mechanisms of impact, facilitators of and barriers to implementation,
132 and the context in which the intervention is delivered through qualitative and quantitative
133 data from older people with TR-GAD and trial therapists.

- 134 4. Make further refinements to the intervention based on qualitative and quantitative
135 findings, particularly with respect to implementation in clinical practice.
- 136 5. Engage the public, stakeholders and mental health services to ensure readiness for
137 implementation in clinical practice (if the intervention is found to be effective).

138

139 **Methods**

140 This protocol is reported in accordance with SPIRIT guidelines for clinical trial protocols (20)
141 and TIDIER guidelines for reporting of interventions (21). See Supplementary Files 1-3 for
142 corresponding checklists and trial registration details.

143

144 **Design**

145 This will be an international, multi-centre, outcome assessor-blind, parallel, two-arm RCT
146 with a 9-month internal pilot phase to assess the acceptability of randomisation and
147 feasibility of recruitment. The stop/go criteria for progression to the full RCT are listed in
148 Table 1.

149

150 **Setting**

151 Older people with TR-GAD will be recruited from primary care services (e.g., GP practices,
152 NHS Talking Therapies and third sector organisations that receive primary care referrals and
153 provide psychological therapies), secondary care services (e.g., community mental health
154 teams), and via self-referral. Participants in Australia will be recruited from equivalent
155 healthcare settings and providers. Participants will be recruited from approximately 11 UK
156 sites and 4 Australian sites.

157

158 **Eligibility criteria**

159 **Older people with TR-GAD**

160 *Inclusion criteria:*

- 161 1. Aged ≥ 60 years.
- 162 2. GAD diagnosis identified using the Mini-International Neuropsychiatric Interview (22).
- 163 3. Since there is no universally agreed definition of TR-GAD in older people, it will be defined
- 164 here as GAD that has failed to respond adequately (i.e., continued symptoms of GAD that
- 165 are still causing difficulties) to pharmacotherapy and/or psychotherapy treatment, as
- 166 described in step 3 of the UK's stepped-care model for GAD (5). Those who have been
- 167 offered treatment and did not want to start it or continue it and are still symptomatic will also
- 168 be included in this definition. An equivalent definition will be used in Australia. If a person
- 169 has remitted and then relapsed in relation to GAD, any treatment received prior to remission
- 170 will not be considered when deciding whether they meet criteria for TR-GAD.
- 171 4. Living in the community (i.e., domestic residences or assisted living facilities, but not care
- 172 homes).

173

174 *Exclusion criteria:*

- 175 1. Judged to lack capacity to provide fully informed consent to participate in the trial.
- 176 2. A diagnosis of dementia or intellectual disability using standard diagnostic guidelines or
- 177 clinically judged to have moderate or severe cognitive impairment.
- 178 3. A diagnosis of an imminently life-limiting illness where they would not be expected to
- 179 survive the duration of the trial.
- 180 4. Expressing suicidal ideation with active suicidal behaviours/plans and active intent, as
- 181 assessed using the Columbia-Suicide Severity Rating Scale Screener (23).
- 182 5. Currently receiving a course of formal psychological therapy delivered by a formally
- 183 trained psychologist or psychotherapist, or unwilling to refrain from engaging in formal
- 184 psychological therapy should they be randomly allocated to the ACT arm.
- 185 6. Self-report receiving ACT in the FACTOID feasibility study (19).
- 186 7. Having already been randomised in the CONTACT-GAD trial or living with another person
- 187 who has already been randomised in the trial.
- 188 8. Taking part in clinical trials of other interventions for GAD.

189

190 **Trial therapists**

191 *Inclusion criteria:*

192 1. Aged ≥ 18 years.

193 2. Therapists involved in delivering the intervention within the CONTACT-GAD trial.

194

195 **Intervention**

196 Participants will be offered up to 14 one-to-one sessions of tailored ACT, each lasting up to
197 one hour, over six months plus a booster session at approximately 3-months post-
198 intervention. There will be a phased ending to the sessions, such that they are approximately
199 weekly for the first 12 sessions and then approximately fortnightly thereafter, to facilitate
200 ending of sessions. Partners or family members will be invited to attend all sessions, with the
201 participant's consent. However, sessions will be focused on the participant rather than other
202 attendees. Sessions will be delivered face-to-face (within the outpatient clinic, GP surgery or
203 participant's home), or via video call or telephone (if video call is not available), depending
204 on participant preference, therapist availability and service restrictions. Sessions will be
205 delivered by Band 6-8 clinical psychologists, counselling psychologists, psychotherapists or
206 high-intensity CBT therapists (or their equivalent in Australia) who are based in primary or
207 secondary care services, with a minimum of one year of experience of delivering
208 psychotherapy interventions.

209

210 As shown in Table 2, sessions will focus on the six core processes in ACT. Suggested skills,
211 metaphors and/or experiential exercises, audio files and home practice tasks tailored to the
212 needs and preferences of older people with TR-GAD are specified in each session.
213 However, therapists are given the choice of what order to deliver the sessions in (based on
214 the case conceptualisation), which ACT metaphors and/or experiential exercises to use (and
215 personalise) in each session, and the pace of the sessions (based on individual needs and

216 preferences). The booster session at 3-months post-intervention will review ACT skills and
217 strategies discussed in the sessions and will be conducted after the outcome assessment at
218 6 months follow-up in order to avoid biasing outcomes at this timepoint.

219

220 Therapists will attend a 4-day experiential ACT training workshop, delivered via video call by
221 ACT-trained members of the research team with a minimum of five years of experience in
222 delivering ACT and training therapists to deliver ACT in clinical trials. Training will comprise a
223 combination of didactic learning through teaching and demonstrations, experiential learning
224 through personal experience of ACT metaphors and exercises, and practical learning
225 through roleplays with other therapists. Training will be supplemented by a therapist manual,
226 accompanying participant workbook and audio files and freely available online ACT
227 resources. Training will include interested Patient and Public Involvement (PPI)
228 representatives, where possible.

229

230 After completing training, therapists will be asked to practice delivering ACT to a service user
231 on their caseload, under supervision, before commencing intervention delivery (assuming
232 satisfactory competence in ACT delivery is achieved). Therapists will be invited to attend
233 fortnightly group supervision and consultation sessions via video call, though sessions will
234 be available on a weekly basis to make them as accessible as possible. This will be provided
235 by ACT-trained members of the research team with a minimum of five years of experience in
236 delivering ACT and supervising ACT within clinical trials. Therapists will also be able to
237 receive support through a secure, supervisor-moderated online forum. Approximately 12
238 months after completion of the initial training, therapists will attend a 1-day top-up training
239 course via video call to review and consolidate ACT skills.

240

241 Comparator

242 Participants in both arms will receive all aspects of UC, with the exception of courses of
243 formal psychological therapies for those randomly allocated to the ACT arm. UC will
244 comprise standard care as outlined in NICE Clinical Guideline 113 for GAD (5). It is likely
245 that this will comprise: i) pharmacotherapy managed by a GP (or an equivalent healthcare
246 provider in Australia); or ii) care by a GP (or an equivalent healthcare provider in Australia),
247 with a multidisciplinary team within secondary care providing input in the form of
248 assessment, psychotropic medication review and management, and case management (and
249 psychotherapy and/or occupational therapy for a smaller proportion of participants). UC in
250 Australia is similar to the UK and will comprise any or a combination of pharmacotherapy,
251 supportive counselling by allied health staff and psychological therapy of various modalities.

252

253 As some variations in UC are anticipated across participants and sites, this will be monitored
254 using a modified Client Service Receipt Inventory (CSRI) (24). Those randomly allocated to
255 the ACT arm will be asked to refrain from concurrent formal psychological therapies since
256 this may lead to conflicts in therapeutic approaches and goals. No other attempts will be
257 made to actively discourage participants from seeking treatment outside of the trial for
258 ethical reasons. All psychological and psychotropic pharmacotherapy will be monitored and
259 recorded throughout the course of the trial and additional exploratory data analyses
260 examining the impact of this will be undertaken, if necessary. Sensitivity analyses will
261 examine the consistency of outcomes across psychotropic medication use.

262

263 Outcomes

264 The primary outcome measure will be the Generalised Anxiety Disorder Assessment-7
265 (GAD-7) (25). This is a 7-item self-report measure of GAD, which is routinely used with
266 adults of all ages within primary and secondary care in the NHS. The GAD-7 will be

267 completed at baseline (0 months), following confirmation of eligibility and consent, 6 months
268 post-randomisation (the primary endpoint), and 12 months post-randomisation.

269

270 Secondary outcome measures will be completed at the same time points, unless otherwise
271 stated, and will include:

272 a) McGill Quality of Life Questionnaire-Revised (26): This is a self-report measure of quality
273 of life that has good psychometric properties. It comprises 14 items forming 4 subscales:
274 Physical (3 items), Psychological (4 items), Existential (4 items) and Social (3 items);

275 b) Geriatric Depression Scale-15 (27): A 15-item self-report measure of depression
276 developed specifically for older people;

277 c) Comprehensive Assessment of ACT processes (CompACT) (28): A 23-item self-report
278 measure of psychological flexibility, which ACT aims to develop. It has 3 subscales:
279 openness to experience (which explores one's willingness to experience thoughts, emotions,
280 sensations, etc), behavioural awareness (which assesses mindful awareness of one's
281 actions), and valued action (which examines engagement in meaningful activities);

282 d) Health and social care resource use, including dose and frequency of prescribed and non-
283 prescribed medication: This will be captured using a modified CSRI (24);

284 e) EQ-5D-5L plus EQ-VAS (29): A 5-item self-report measure and visual analogue scale
285 measure of health-related quality of life. The former will be used to calculate utility scores for
286 quality-adjusted life years;

287 f) ICECAP-O (30): A 5-item self-report capability measure for older people, which captures
288 benefits to broader wellbeing than just health and will be used to calculate capability-
289 adjusted life years;

290 g) Adverse events (e.g. falls, new reports of suicidal ideation, deaths, hospitalisations, etc) at
291 6- and 12-months follow-up;

292 h) Satisfaction with ACT plus UC or UC alone: This will be assessed using the Client
293 Satisfaction Questionnaire-8 (31) and will be assessed in both arms at 6-months follow-up in
294 order to avoid unblinding of outcome assessors;

295 i) Goal-Based Outcomes tool (32): A self-reported, idiographic outcome measure will be
296 used to assess personally meaningful behaviour change. This asks a person to define three
297 personally meaningful behavioural goals and then rate their progress towards this goal on an
298 11-point Likert scale (from 0 = not met at all to 10 = fully met);

299 j) Cognitive & Leisure Activity Scale (33): A 16-item self-report measure that assesses
300 engagement in 16 types of activities, including cognitive, social, creative and spiritual
301 activities;

302 k) Adherence (i.e., session attendance after each session for those randomly allocated to
303 the ACT arm).

304

305 **Measures of bias**

306 Measures of bias will include:

307 a) *Expectations about treatment*: Prior to randomisation, older people with TR-GAD will be
308 asked to rate how much they expect their symptoms to improve and how much they expect
309 their life to improve if they receive ACT on a 5-point Likert scale from 0 (not at all) to 4
310 (completely). Therapists will be asked to rate the same questions after a participant's first
311 therapy session;

312 b) *Treatment preferences*: Prior to randomisation, older people with TR-GAD will be asked to
313 rate how much they would hope to receive ACT plus UC and how much they would hope to
314 receive UC alone on a 5-point scale from 0 (not at all) to 4 (completely);

315 c) *Contamination in the control arm*: Receipt of other forms of psychological and
316 pharmacological treatment for GAD outside of the trial will be recorded using the modified
317 CSRI. Additional exploratory data analysis will be undertaken if reported by a substantial
318 proportion of participants;

319 d) *Assessment of blindness of outcome assessors*: Outcome assessors will be asked to
320 declare if they have been unblinded (and how) at 6- and 12-months follow-up. Those who

321 have not been unblinded will be asked to guess whether they think participants were
322 allocated to the intervention or control arm.

323

324 **Treatment fidelity**

325 Treatment fidelity will be assessed in four areas:

326 a) *Training*: Training workshops will be videoed and an independent ACT therapist will
327 assess the fidelity of training to the ACT model. Therapists' knowledge of ACT will be
328 assessed through their responses to a clinical vignette-based exercise at the end of training;

329 b) *Treatment delivery*: All therapy sessions will be audio-recorded using an encrypted digital
330 voice recorder, and 10% of randomly selected sessions will be rated on an ongoing basis
331 throughout intervention delivery by an independent, experienced ACT therapist using the
332 ACT Fidelity Measure (34). The ACT-FM is a 25-item measure, which assesses ACT fidelity
333 in 4 domains (open response style, aware response style, engaged response style and
334 therapist stance). Scores for each subscale are summed in order to produce a total ACT
335 consistency score and a total ACT inconsistency score. In addition, adherence to the
336 treatment manual and therapy components will be measured using a checklist that therapists
337 complete at the end of each session, which will be adapted from previous work (19);

338 c) *Treatment receipt*: The Comprehensive Assessment of ACT processes (28) will be used
339 to measure changes in psychological flexibility in older people with TR-GAD. Engagement
340 with therapy will be defined by the number of sessions out of 14 attended: poor (0-3),
341 moderate (4-6), good (7-10), excellent (11-14);

342 d) *Treatment enactment*: An idiographic patient-reported outcome measure, the Goal-Based
343 Outcomes tool (32), will be used to assess personally meaningful behavioural changes.

344

345 **Participant timeline**

346 As shown in Figure 1, older people with TR-GAD will be involved in the RCT for
347 approximately 12 months (+/- 6 weeks) after randomisation.

348

349 Sample size

350 296 older people with TR-GAD (148 per arm) will be recruited from approximately 15 sites
351 (11 in the UK and 4 in Australia). This will allow detection of an effect size of 0.37 standard
352 deviations (SD), with a two-sided alpha of 5% and 90% power. This assumes: a) a
353 correlation of 0.55 between scores at 0- and 6-months, as seen in our previous feasibility
354 study (19); b) 20% attrition at 6-months (19); and c) an intraclass correlation coefficient of
355 5% among 30 therapists (two per site) in the intervention arm, similar to previous studies
356 (35). In order to maintain a 1:1 allocation per arm, the sample size will be modified to 148
357 participants per arm, which is sufficient to maintain 90% power.

358

359 Our effect size of 0.37 SD is based on the fact that: a) improvements of 3-4 GAD-7 units are
360 regarded as clinically important changes to individual patients (36–39); and b) a change of
361 approximately 2 GAD-7 units (0.4 SD) would mean an additional 15% of people having a
362 clinically important improvement of 3 units compared with UC, based on Normal
363 distributional theory. This is similar to the 0.40-0.46 SD difference observed in systematic
364 reviews of ACT for mental and physical health conditions and CBT for GAD (40–42). Our
365 effect size has been reduced from 0.4 to 0.37 SD in order to compensate for the inclusion of
366 people with limited or no spoken English necessitating the use of an interpreter, which may
367 affect engagement with ACT.

368

369 Recruitment*370 Older people with TR-GAD*

371 Potentially eligible participants will be identified and approached about the trial through one
372 of four routes: a) local clinicians or clinical team administrators from GP surgeries, NHS
373 Talking Therapies services and Community Mental Health Teams (or their equivalent in
374 Australia); b) searches of GP electronic medical records (or their equivalent in Australia) and

375 postal invitations to identified potentially eligible participants; c) self-referral through
376 community and online advertisements; and d) clinical databases (in which people have
377 already given consent for research contact) and research databases (including Join
378 Dementia Research and the NIHR Be Part of Research Volunteer Service in the UK).

379

380 Many older people who meet diagnostic criteria for GAD are referred to primary and
381 secondary care services with a diagnosis of major depression and comorbid anxiety or
382 mixed anxiety and depression rather than GAD. Consequently, clinicians in the services
383 noted above or a member of the local or central research team will pre-screen potential
384 participants who are referred with these diagnoses (rather than GAD) using the Generalized
385 Anxiety Disorder-2 (GAD-2), if they provide verbal consent to this. The GAD-2 is a 2-item
386 questionnaire used to identify GAD in primary care (43). If a potential participant scores ≥ 2
387 points on this scale (44), they will be asked to complete the Patient Health Questionnaire-2
388 (PHQ-2). This is a 2-item questionnaire used to identify depression in primary care (45). If
389 the PHQ-2 total score is higher than the GAD-2 total score then they will be asked whether
390 the symptoms of depression or GAD are most distressing, severe or of most concern to
391 them. If symptoms of GAD are most distressing, severe or of most concern to them, or if
392 symptoms of GAD and depression are equally problematic, then the study will be further
393 discussed with them.

394

395 Once potentially eligible participants have been identified and verbal consent for contact has
396 been obtained, a member of the local or central research team will discuss the trial with
397 them, either in person or via video call, telephone or email. If they express an interest in
398 participating in the trial, they will be asked to verbally consent to completing the GAD-2
399 screening questionnaire, if not already completed. If they score ≥ 2 points on the GAD-2 and
400 they continue to express an interest in participating in the trial then they will be given a
401 Participant Information Sheet. If they are still interested in participating in the trial, the
402 member of the local or central research team will arrange a screening appointment, either in

403 person or via telephone or video call. During this appointment, fully informed written consent,
404 audio-recorded verbal consent (via telephone or video call) or digital consent (via email or
405 Qualtrics) to take part in the trial will be sought. Following this, eligibility for inclusion in the
406 study will be determined through a screening interview.

407

408 Those who speak English as a second language or who speak no English necessitating the
409 use of an interpreter will not be excluded. However, they will complete study procedures and
410 outcome measures through interpreters, where necessary. Participant-facing documents
411 such as the Participant Information Sheet, consent form, recruitment leaflet and recruitment
412 poster will be translated into languages other than English where possible.

413

414 *Trial therapists*

415 Participants will be recruited from the group of trial therapists who will be involved in
416 delivering the intervention to older people with TR-GAD. They will be approached about
417 completing a qualitative satisfaction questionnaire by a member of the central research
418 team. Other procedures will be similar to those described above.

419

420 **Randomisation**

421 Eligible participants with TR-GAD will be randomised in a 1:1 ratio to one of two arms (ACT
422 plus UC or UC alone) using a web-based, centralised randomisation system hosted by the
423 Sheffield Clinical Trials Research Unit (SCTRU). Randomisation will be stratified by
424 recruitment site. The concealed allocation sequence will be hosted by the SCTRU in
425 accordance with their standard operating procedures (SOPs) and will be held on a secure
426 server. Access to the concealed allocation sequence will be restricted to those with
427 authorisation. A SCTRUI statistician will set up the randomisation system, but neither
428 statistician nor other trial team members will be able to view the randomisation list during the

429 trial. Eligible participants will be randomised once fully informed consent has been provided
430 and baseline measures have been collected.

431

432 **Blinding**

433 At least one trial statistician will be blinded to allocation during the trial. It is intended that the
434 outcome assessor will be blind to treatment allocation for the duration of the trial, while older
435 people with TR-GAD, trial therapists and clinicians will be aware of this. Only the Data
436 Monitoring and Ethics Committee (DMEC) will have access to unblinded data at their request
437 during the trial. Any instances of accidental unblinding will be recorded at 6- and 12-months
438 follow-up.

439

440 **Data collection**

441 Fully informed consent will be obtained from all participants prior to any data collection. For
442 older people with TR-GAD, data pertaining to socio-demographic and clinical characteristics
443 will be collected at screening and baseline (see Figure 1). Data collection will be conducted
444 in person (at home or in clinic) or via video call, telephone, online via Qualtrics or post at 0
445 months, 6 months post-randomisation (+/- 6 weeks) and 12 months post-randomisation (+/-
446 6 weeks) by a blind outcome assessor. Table 3 lists exceptions to this. Mode of
447 administration will be recorded at each time point. Numerous strategies will be used to
448 promote participant retention, including the provision of non-contingent vouchers for
449 completion of outcome measures at follow-up.

450

451 All older people with TR-GAD will be invited to complete an anonymous qualitative
452 satisfaction questionnaire at 6-month follow-up via post, email or online via Qualtrics (or
453 verbally via telephone, video call or face-to-face interview if necessary). Any questionnaires
454 completed verbally will be conducted by an independent member of the local or central
455 research team to avoid unblinding of outcome assessors. There will also be separate

456 versions of the questionnaire for the intervention arm and UC arm to avoid unblinding of
457 outcome assessors. Those in the intervention arm will be asked questions in relation to the
458 acceptability of ACT and its suitability and relevance to older people with TR-GAD, perceived
459 benefits and limitations of the intervention, perceived mechanisms of impact, facilitators of
460 and barriers to implementing the intervention in their everyday lives, and recommendations
461 for revising the intervention. Those in the UC arm will be asked questions in relation to the
462 psychological aspects of their usual care. Questions will focus on what kind of formal and
463 informal psychological support they received (if any), what was helpful and what was not,
464 and what they felt would have been helpful.

465

466 All trial therapists will also be invited to complete an anonymous qualitative satisfaction
467 questionnaire at the end of delivering ACT in the trial. This will collect brief data on socio-
468 demographic and professional characteristics. It will then ask a combination of closed and
469 open questions in relation to how ACT was delivered in practice, facilitators of and barriers to
470 implementing the intervention in the NHS, and recommendations for revising the
471 intervention.

472

473 **Data management**

474 Study-specific procedures for data management will be detailed in a data management plan.
475 Data collection, management and analysis will be overseen by SCTRU, who will ensure that
476 the trial is undertaken according to SCTRU SOPs and Good Clinical Practice guidelines.
477 Data will be collected and retained in accordance with the UK's Data Protection Act (2018),
478 which complies with the Australian Privacy Principles (APP) set out in the Australian Privacy
479 Act (1988).

480

481 Participants will be assigned unique identification codes, which will be used in all data
482 storage and will not contain any names or other personally identifiable information. Case

483 report forms will not bear the participant's name or other personal identifiable data. Any
484 personally identifiable information (such as contact details) will be stored in locked cabinets.
485 No identifiable Australian patient data will be shared with the UK team. Confidentiality will be
486 kept unless there is evidence of risk of harm to self or others.

487

488 Qualtrics will be used as a digital option to collect informed consent and trial data. Qualtrics
489 has obtained ISO 27001, ISO/IEC 27017, ISO/IEC 27018 and ISO 9001 security
490 certifications, which are internationally recognised, best practice frameworks for information
491 security management systems. The SCTRU's web-based data management system,
492 Prospect, will be used to store trial data in a PostgreSQL database on virtual servers hosted
493 by Corporate Information and Computing Services at the University of Sheffield. Prospect
494 uses industry standard techniques to provide data security, including password
495 authentication and encryption using Secure Sockets Layer/Transport Layer Security.
496 Australian participants will be asked to consent to their personal and research data being
497 transferred to and stored by the University of Sheffield within Prospect.

498

499 Verbal consent for trial participation, audio content of therapy sessions and verbal responses
500 to qualitative satisfaction questionnaires (for those unable to complete a written version of
501 this) will be audio recorded using encrypted digital voice recorders or Microsoft Teams
502 recording functionality. Audio files will be uploaded to a secure server using University
503 College London's Data Safe Haven, which satisfies the highest level of security
504 requirements of NHS trusts. They will then be transferred and stored on UCL's password
505 protected secure electronic network. Australian participants will be asked to consent to their
506 audio files being transferred to and stored by University College London's Data Safe Haven.

507

508 In line with the sponsor's data protection policy, UK study documentation and
509 pseudonymised data will be securely kept for a period of 10 years following completion of

510 the study. Australian study documentation and pseudonymised data stored in Australia will
511 be securely kept for a period of 15 years following completion of the study.

512

513 **Statistical methods**

514 A statistical analysis plan will be developed, reviewed and approved by the Trial Steering
515 Committee (TSC) prior to data analysis. The primary outcome will be analysed using multi-
516 level modelling, which will include fixed effect covariates (treatment arm and baseline score)
517 and a random effect covariate (therapist) to account for potential clustering. Separate
518 analyses will be conducted at 6-months (primary analysis timepoint) and 12-months follow-
519 up. The difference between treatment arms in mean GAD-7 total score and its 95%
520 confidence interval will be quantified by the model coefficient. Primary analyses will be by
521 intention to treat, but additional sensitivity analyses will assess the impact of session uptake
522 using complier-average causal effect (CACE) analyses to model the average treatment
523 effect among those who were considered “compliant” with ACT. For the purpose of trial data
524 analysis, completion of seven sessions will be regarded as a minimum number allowable for
525 an adequate exposure to treatment in the protocol, with participants that receive fewer than
526 seven sessions being a deviation from this. As the minimum dose can vary across
527 participants, this will be assessed further using a CACE analysis in which treatment outcome
528 will be examined in relation to the number of sessions received. In addition, sensitivity
529 analyses will examine the consistency of outcomes across sites, baseline GAD severity, age
530 at first onset and baseline psychotropic medication use.

531

532 Secondary outcomes will be analysed in a similar manner to the primary outcome. Additional
533 exploratory analyses will be undertaken to assess the consistency of treatment effects
534 across a variety of subgroups. These will include treatment preference and expectations,
535 psychiatric comorbidity, limited or no spoken English skills, country of recruitment and mode

536 of therapy delivery. The impact of contamination (e.g. psychological therapy in the control
537 arm) will be assessed in a per-protocol analysis (46).

538

539 It is expected that there will be missing outcome data for some participants, either due to
540 study withdrawal, loss to follow up or death. The number of missing values will be
541 summarised by treatment arm, time point and reason. Multiple imputation using Rubin's
542 rules (47) will be implemented for the primary endpoint. Adverse events will be summarised
543 in terms of the number and percentage of participants experiencing each event and the
544 number of events by treatment arm.

545

546 **Economic evaluation**

547 A health economic analysis plan will be developed, reviewed and approved by the TSC prior
548 to data analysis. A within-trial cost-utility analysis will present the incremental costs per
549 quality-adjusted life year gained of older people with TR-GAD receiving tailored ACT plus
550 UC compared to UC alone from an NHS and social care perspective. Costs will be estimated
551 on a per-participant basis and will include costs for delivering the intervention. The modified
552 CSRI will be used to collect data on health and social care resource use. Unit costs will be
553 derived from relevant national sources and will include NHS reference costs and Personal
554 Social Service Research Unit costs (48). The standard version of the EQ-5D-5L will be used
555 to collect patient reported health status. Values for EQ-5D-5L for England will be used based
556 on NICE advice at the time of analysis, which may either be to use the value set currently in
557 collection or a mapping approach. These will be calculated using the area under the curve
558 method. Appropriate multiple imputation techniques will be implemented where data on the
559 EQ-5D-5L or resource use are missing. Differences in costs and quality-adjusted life years
560 between the treatment arms will be described and the incremental cost effectiveness ratio,
561 with associated uncertainty, will be calculated.

562

563 Clinical effectiveness data will be used to judge whether there is evidence of continued
564 benefit from the treatment at 12 months and any evidence of a waning of effect. This will
565 determine if there are grounds to extrapolate the analysis beyond the 12 months observed
566 period using a simple decision model to estimate costs and benefits. This may be important
567 since continued health benefits are unlikely to be matched by increased costs, given the
568 upfront costs of providing the intervention. The time period for the model or appropriate
569 methods for extrapolation cannot be determined at this stage. Any model based
570 extrapolation will adhere to standard methods to reflect uncertainty including probabilistic
571 sensitivity analysis and one-way/multi-way analyses. A separate analysis of over-the-counter
572 medication will also be conducted in order to assess whether there are significant
573 differences between treatment arms. A sensitivity analysis including these costs will be
574 conducted if differences are non-negligible. Similar analyses will be conducted for capability-
575 adjusted life years from the ICECAP-O.

576

577 With respect to the pooling of UK and Australian data, the base case analysis will pool data
578 on both outcomes and resource use from all participating sites in the UK and Australia as
579 usual care and health systems are considered to be similar in both countries and resource
580 use is expected to be comparable. UK-specific unit costs and UK/England EQ-5D index
581 scores will be applied to the participant level data and the analysis will proceed on the full
582 dataset, maximising use of the trial data. Multilevel modelling of costs and outcomes will be
583 used in a sensitivity analysis, to explore the potential impact of clustering at the national
584 and/or therapist level. An exploratory analysis of treatment effect will be conducted by
585 country of recruitment.

586

587 **Mixed-methods process analysis**

588 An informal mixed-methods process analysis will be conducted to examine perceived
589 mechanisms of impact, facilitators of and barriers to implementation, and contextual factors.

590 Qualitative data from the qualitative satisfaction questionnaire, completed by older people
591 with TR-GAD at 6-months follow-up and trial therapists at the end of their involvement in the
592 study, will be transcribed verbatim and anonymised to maintain confidentiality. Data will be
593 analysed iteratively using focussed thematic analysis (49,50). Two members of the research
594 team will independently code initial questionnaires using the computer programme, NVivo,
595 before constructing an analytical framework around: i) the acceptability, suitability,
596 relevance, perceived benefits and limitations, perceived mechanisms of impact, and
597 facilitators of and barriers to implementation of ACT for older people with TR-GAD for those
598 in the intervention arm; and ii) the psychological support received, what was felt was
599 needed, and the helpfulness of psychological support for those in the usual care arm. The
600 analytical framework will be applied to the remaining questionnaires, with themes and
601 subthemes being refined as necessary. Ideas about themes and relationships will be
602 discussed with PPI representatives. Findings will be used to make further refinements to the
603 intervention, particularly with respect to implementation in clinical practice.

604

605 Quantitative data relevant to the process analysis will focus on four key areas: intervention
606 uptake, treatment fidelity, reach and outcomes. Data collected on number of sessions
607 attended, modality of sessions, use of interpreters and reasons for non-attendance will be
608 analysed to explore what contextual factors (such as participant sociodemographic and
609 clinical characteristics at baseline) may influence uptake of the intervention. Data collected
610 on ACT consistency and inconsistency scores from the ACT Fidelity Measure will be
611 analysed to explore what contextual factors (such as therapist characteristics at baseline
612 and mode of delivery) may influence treatment fidelity. Sociodemographic data from the trial
613 will be analysed to explore reach and uptake in eligible populations in diverse settings and
614 identify any under-represented populations through comparison with Office of National
615 Statistics area level census data. Sensitivity analyses and additional exploratory analyses
616 will identify what contextual factors (such as clinical characteristics at baseline) are
617 associated with variations in primary and secondary outcome data.

618

619 Trial oversight

620 The study will be conducted in line with the Helsinki Declaration. North London NHS
621 Foundation Trust (formerly Camden and Islington NHS Foundation Trust) is the nominated
622 sponsor and will lead research governance. The study will be conducted in accordance with
623 the protocol, SCTRU SOPs and Good Clinical Practice. Three committees will govern the
624 conduct of the trial: the TMG, TSC and DMEC. The TMG will comprise co-applicants,
625 collaborators, PPI representatives, and trial staff. It will initially meet monthly via video call
626 and then every 2-3 months as the trial progresses. The independent TSC will comprise
627 academic clinicians, a statistician, a health economist and PPI representatives, while the
628 independent DMEC will comprise academic clinicians and a statistician. Both groups will
629 meet every 6-12 months to review progress and monitor the trial, with safety data
630 additionally being reviewed by the DMEC.

631

632 Safety

633 Adverse Events (AEs) and Serious Adverse Events (SAEs) can be reported by trial sites at
634 any stage of trial participation, including by participants at 6- and 12-months follow-up, in
635 accordance with SCTRU SOPs. An AE will be defined as any untoward medical occurrence
636 in a trial participant with TR-GAD. Categories of AEs and SAEs are shown in Table 4. All
637 SAEs will be reported to the SCTRU and the sponsor within 24 hours of discovery at the trial
638 site. SAEs will be rated in terms of seriousness, intensity, frequency, relationship to the
639 intervention and expectedness. Those deemed both “unexpected” and “related” to the
640 intervention will be reported to the REC within 15 days of being reported to the trial team. In
641 addition, the Australian research team will report SAEs for participants recruited from
642 Australian sites to their Research Governance Office within 72-hours, in line with National
643 Health and Medical Research Council requirements. Compensation to UK and Australian

644 participants who suffer harm from participation in the trial will be available through insurance
645 held by North London NHS Foundation Trust and Macquarie University, respectively.

646

647 **Ethics**

648 The trial has been approved by the West of Scotland Research Ethics Committee and
649 Health Research Authority (22/WS/0186) in the UK and the Human Research Ethics
650 Committee in Australia (520231567953925). Any amendments to the trial protocol will be
651 approved by the sponsor and communicated to the Health Research Authority and all sites.
652 Recruitment will only commence at a site when: a) written confirmation of capability and
653 capacity (or equivalent organisation approval in Australia) has been provided by the site, b)
654 the site has completed a Site Initiation Visit; and c) the sponsor (or its delegated
655 representative) has issued the green light to commence recruitment at the site.

656

657 Older people with TR-GAD and trial therapists will be consented in line with the Mental
658 Capacity Act (2005) and SCTRU SOPs. All participants will be asked to provide fully
659 informed written consent, audio-recorded verbal consent (if being obtained by telephone or
660 video call) or digital consent (via email or an online consent form via Qualtrics) to take part in
661 the trial. No trial procedures will be conducted prior to participants giving consent to
662 participate in the trial. Participants will be made aware that participation is voluntary and they
663 may withdraw from the intervention and/or the trial at any time, without having to give a
664 reason and without it affecting their care or legal rights. They will also be made aware that
665 they may be withdrawn from the trial if participation is no longer in their best interests.
666 Participants will be made aware that if they choose to withdraw from the trial and not
667 complete further follow-up assessments, any data already provided by them will remain in
668 the full dataset for intent-to-treat analysis.

669

670 **Patient and public involvement**

671 Older people with lived experience of TR-GAD were involved in our previous FACTOID
672 feasibility study and in the design of the CONTACT-GAD trial. They will continue to be
673 involved in the trial in numerous ways. A PPI group comprising approximately 6-7 older
674 people with lived experience of GAD will meet approximately every 6 months in the first 2
675 years of the study and annually thereafter via video call. They will discuss a range of issues,
676 including study progress, recruitment strategies, study materials, and interpretation and
677 dissemination of findings. Interested PPI representatives will also be invited to engage in a
678 range of other activities, including: a) attending Trial Management Group (TMG) and Trial
679 Steering Committee (TSC) meetings; b) participating in training of therapists from a lived
680 experience perspective; c) participating in presentations about key findings; and d) co-writing
681 articles about key findings for a public audience.

682

683 **Dissemination**

684 Dissemination to the academic and clinical community, service users and the broader public
685 will occur through: a) peer-reviewed, international, open-access academic journals (standard
686 author eligibility guidelines will be followed); b) blogs about key findings co-written with PPI
687 representatives and a summary of the research findings for interested trial participants; c)
688 academic conferences and local clinical conferences and meetings; d) talks to local service
689 user groups; e) social media (e.g., University media releases and University website); f) ACT
690 training and seminars; and g) the ISRCTN database.

691

692 **Conclusion**

693 Clear evidence-based guidance regarding the management of TR-GAD in older people is
694 lacking. This RCT will address this evidence gap by assessing the clinical and cost
695 effectiveness of tailored ACT plus UC compared to UC alone for reducing anxiety in older
696 people with TR-GAD. To our knowledge, this will be the first RCT to evaluate a form of

697 psychological therapy for older people with TR-GAD. It will also be the first RCT to examine
698 ACT, tailored to the specific needs and preferences of older people with TR-GAD, in this
699 population.

700

701 Although findings from this RCT will potentially provide much needed guidance to the NHS
702 regarding the management of TR-GAD in older people, there are a number of limitations.

703 The main limitation relates to the choice of control arm. On the one hand, the use of UC as
704 the comparator will enable ACT to be compared to what is currently available within the
705 NHS. However, on the other hand, the use of a non-active rather than active control means

706 that it will not be possible to determine whether any beneficial effects are due to non-specific
707 therapeutic factors such as the provision of social support or other factors such as
708 expectancy. Evidence that changes in psychological flexibility mediate treatment response at

709 6- and 12-months follow-up will help support the notion that any beneficial effects are due to
710 the intervention itself. However, the use of a talking placebo control, such as that used in a

711 previous RCT of Cognitive Behavioural Therapy for older people with depression (51), would
712 have enabled us to more clearly determine this. A related limitation is the fact that it will not

713 be possible to maintain double-blinding given that older people with TR-GAD will not be
714 blinded to treatment arm allocation. This means that blinded outcome assessors may be

715 inadvertently unblinded during outcome assessments at follow-up. Study procedures are in
716 place to minimise this risk as much as possible, but it may still bias results. Consequently,

717 this will be monitored and taken into account in statistical analyses, if necessary. A final
718 limitation is that outcome measures will be collected at baseline and 6- and 12-months

719 follow-up. Although this will help to inform us of the maintenance of treatment effects beyond
720 intervention delivery, it does mean that it will not be possible to examine longer-term

721 maintenance.

722

723 In conclusion, GAD is the most common anxiety disorder in older people. While guidance
724 exists for the management of GAD, less is known about the management of GAD that does

725 not respond to current first-line treatments, particularly in older people. We previously
726 showed that a form of psychological therapy, ACT, was both feasible to deliver and
727 acceptable to older people with TR-GAD in an uncontrolled feasibility study. We also showed
728 that it may help to reduce anxiety in this population. However, whether these benefits were
729 specifically due to ACT and whether this type of intervention is clinically and cost effective is
730 unknown. This RCT aims to address these uncertainties and, despite the limitations noted
731 above, provide crucial evidence-based guidance on the management of TR-GAD in older
732 people.

733

734 **List of abbreviations**

735	ACT	Acceptance and Commitment Therapy
736	AE	adverse event
737	CBT	Cognitive Behavioural Therapy
738	CSRI	Client Service Receipt Inventory
739	DMEC	Data Monitoring and Ethics Committee
740	GAD	generalised anxiety disorder
741	NIHR	National Institute for Health and Care Research
742	PPI	patient and public involvement
743	RCT	randomised controlled trial
744	SAE	serious adverse event
745	SCTRU	Sheffield Clinical Trials Research Unit
746	SOP	standard operating procedure
747	TMG	Trial Management Group
748	TR-GAD	treatment-resistant GAD
749	TSC	Trial Steering Committee
750	UC	usual care

751

752 Declarations**753 Ethics approval and consent to participate**

754 The trial has been approved by the West of Scotland Research Ethics Committee and
755 Health Research Authority (22/WS/0186) in the UK and the Human Research Ethics
756 Committee in Australia (520231567953925). All eligible participants will be invited to provide
757 fully informed written consent, in line with SCTRU's SOPs and as approved by the ethical
758 approval bodies noted above.

759

760 Consent for publication

761 Not applicable

762

763 Availability of data and materials

764 Details of how to access quantitative datasets generated and/or analysed during this trial will
765 be included in subsequent publications of results. Quantitative datasets will conform to ethics
766 and data governance requirements and be sufficiently de-identified for data-sharing.
767 Qualitative datasets will not be shared as it will not be possible to de-identify these data
768 sufficiently and retain data integrity. The full trial protocol is available at:

769 <https://www.fundingawards.nihr.ac.uk/award/NIHR134141>.

770

771 Competing interests

772 The authors declare that they have no competing interests.

773

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779 Department of Health and Social Care. The NIHR commissioned the research and initially
780 specified brief details in relation to the trial design, but was otherwise not involved in trial
781 design, data collection, data analysis, data interpretation or manuscript preparation. The trial
782 protocol has undergone full external peer review by the NIHR as part of the peer review
783 process. RG, RH, MS, GL, KW, AW and MBr are supported by the NIHR Biomedical
784 Research Centre at University College Hospitals London and Sheffield.

785

786 **Authors' contributions**

787 RG, VM, RH, JLW, MS, CG, DW, MBr, MB, AW, GL, KW, PW, DE and LM conceptualised
788 the idea and obtained funding for the trial. RG, JLW, MS, CG, PW, GL, KW, VW and RH
789 developed the intervention. MBu and MBr drafted the plans for statistical economic analyses
790 and AW drafted the plans for health economic analyses. RG and TC drafted the
791 protocol/manuscript, and all authors approved the protocol/manuscript.

792

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796 like to thank Jessica Belcher for contributing to gaining ethical approval from the Human
797 Research Ethics Committee in Australia.

798

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985 **List of figures, tables and supplementary files**

986 Figure 1: Timeline for older people with TR-GAD in the trial.

987

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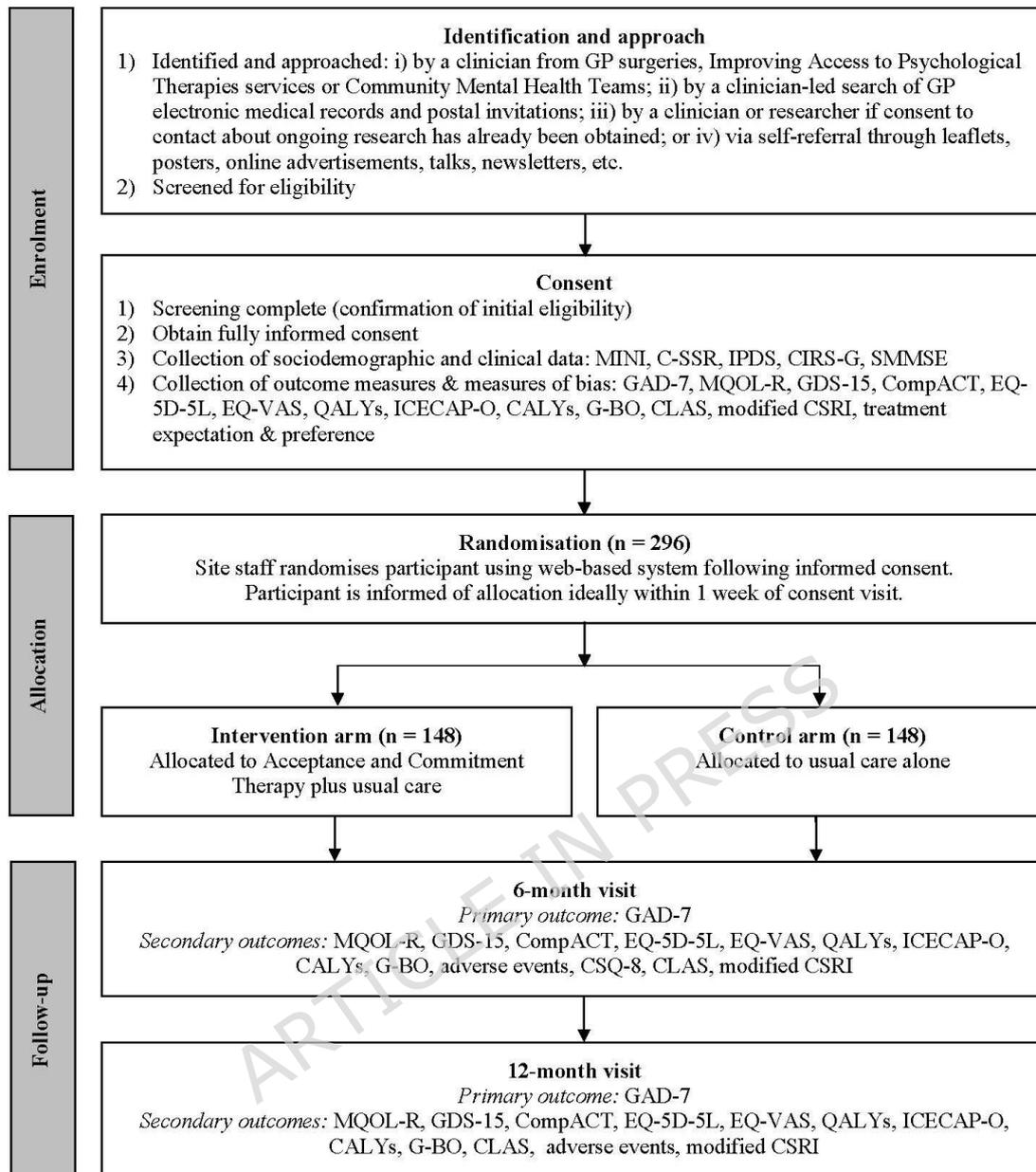
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993 Supplementary File 1: SPIRIT 2025 checklist.

994 Supplementary File 2: Template for intervention description and replication (TIDieR)
995 checklist.

996 Supplementary File 3: WHO Trial Registration Data Set.

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999 Figure 1: Timeline for older people with TR-GAD in the trial.

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1001 Notes: C-SSR = Columbia-Suicide Severity Rating Scale Screener, CALYs = Capability-
 1002 adjusted life years, CIRS-G = Cumulative Illness Rating Scale-Geriatrics, CLAS = Cognitive
 1003 & Leisure Activity Scale, CompACT = Comprehensive Assessment of ACT processes, CSQ-
 1004 8 = Client Satisfaction Questionnaire-8, CSRI = Client Service Receipt Inventory, EQ-5D-5L
 1005 = EuroQol-5 domains-5 levels, EQ-VAS = EuroQol visual analogue scale, G-BO = Goal-
 1006 Based Outcomes tool, GAD-7 = Generalised Anxiety Disorder Assessment-7, GDS-15 =

1007 Geriatric Depression Scale-15, ICECAP-O = ICEpop capability measure for older people,
1008 IPDS = Iowa Personality Disorder Screen, MINI = Mini-International Neuropsychiatric
1009 Interview, MQOL-R = McGill Quality of Life Questionnaire-Revised, QALYs = Quality-
1010 adjusted life years, SMMSE = Standardised Mini-Mental State Examination.

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1033 Table 1: Stop/go criteria for progression to the full RCT.

Progression criteria	Red: <50%	Amber: 50%-99%	Green: 100%
1. Trial recruitment % complete	<17% of total	17-32% of total	33% of total
2. Recruitment rate/site/month	<0.37/site/month	0.37-0.72/site/month	0.73/site/month
3. No. of sites opened	≤6	7-14	15
4. Total no. of participants recruited	<50	50-98	99
5. Completion of 7/14 sessions	<50%	50-99%	100%
6. % of sessions rated with a total ACT inconsistency score of <18 on the ACT Fidelity Measure	<50%	50-99%	100%

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1046 Table 2: Outline of the tailored ACT intervention for older people with TR-GAD.

Session ^a	Main focus of the session ^{b,c}	ACT metaphors and/or exercises
1	Assessment of current issues, goals for therapy and introduction to ACT.	1) Choice point model
2-13 ^d	Clarifying values (i.e., what a person wants to be doing and the way in which they want to be doing that).	1) Lifetime achievement award, Values list or Values questions
	Evaluating progress towards values (i.e., the degree to which the person is living their life in accordance with their values).	1) Pieces of the pie or Life compass
	Noticing the workability of focusing energy on 'feeling better' (i.e., trying to control, change, avoid or get rid of worry and anxiety).	1) (If time allows) Chinese finger trap exercise, Tug of war with a monster, Pushing paper exercise, Holding a book or Passengers on the bus
	Recognising the futility of focusing energy on 'feeling better' (i.e., noticing the paradox of emotional control and willingness as the alternative to control).	1) Polygraph machine 2) Willingness and anxiety dials, Chinese finger trap exercise, Tug of war with a monster, Pushing paper exercise, Holding a book or Passengers on the bus
Developing skills for being willing to experience difficult thoughts, feelings and sensations (i.e., introducing the notion of willingness as a choice and practicing opening up to difficult internal experiences).	1) Swamp metaphor or Ticket metaphor 2) (If time allows) Observe, breathe and open up, Physicalising exercise, Accepting all of you or Cactus exercise	

Session ^a	Main focus of the session ^{b,c}	ACT metaphors and/or exercises
	Noticing the workability of a lack of contact with the present moment (i.e., getting caught up in worrying about the future or ruminating about the past).	1) Tracking thoughts in time
	Developing present moment awareness (i.e., practicing skills for staying more connected with the present moment).	1) Tracking thoughts in time, Dropping anchor exercise, Mindful eating/drinking/walking, or Observe, breathe and open up
	Noticing the workability of fusion with thoughts, images and memories (i.e., buying into or getting hooked by thoughts, images and memories) and practicing skills for defusing from unhelpful thoughts, images and memories.	1) Think the opposite 2) "I'm noticing I'm having...", Imagine a thought on a computer screen, "Milk, milk, milk", Writing the thought in different colours/different styles/reverse order, or Singing or saying the thought in a silly voice
	Developing skills for defusing from unhelpful thoughts, images and memories (i.e., practicing skills for unhooking or stepping back from unhelpful thoughts, images and memories).	1) Leaves on a stream 2) (If time allows) "I'm noticing I'm having...", Imagine a thought on a computer screen, "Milk, milk, milk", Writing the thought in different colours/different styles/reverse order, or Singing or saying the thought in a silly voice
	Noticing the workability of being fused with labels or self-stories and	1) Labels exercise, House and furniture metaphor, Cup and contents

Session ^a	Main focus of the session ^{b,c}	ACT metaphors and/or exercises
	developing skills for defusing from them (i.e., practicing skills for holding labels or self-stories lightly rather than tightly).	metaphor, Connecting with the noticing you or Your kind friend
	Overcoming external barriers (e.g., physical health issues) using selection, optimisation and compensation principles.	1) Part 1 of Doing what matters exercise, incorporating strategies for selecting or adapting goals, optimising chances of achieving goals and compensating for deficits
	Choosing and taking action to 'live better' rather than 'feel better' (i.e., identifying ways to live their life in accordance with their values, alongside worry and anxiety).	1) Part 2 of Doing what matters exercise, focusing on setting values-based goals and actions and identifying strategies for managing internal barriers (e.g., worry, anxiety)
14	Reviewing aims of ACT and key skills and concepts, positively reinforcing behavioural changes and exploring how gains can be maintained	-
Booster ^e	As above	-

1047 Notes: ^aSessions are approximately weekly for the first 12 weeks and then approximately
1048 fortnightly thereafter. ^bTherapists are encouraged to bring in other ACT processes
1049 throughout each session, in addition to the main focus of the session. ^cFor those interested
1050 in withdrawing from or discontinuing medication, drugs and/or alcohol, the manual also
1051 includes an optional exercise focused on psychoeducation, identifying risks and benefits,
1052 and highlighting the best ways to withdraw from or discontinue medication, drugs and/or
1053 alcohol. Participants are advised to discuss any gradual withdrawal program with their

1054 psychiatrist and/or GP (or equivalent healthcare provider in Australia). ^dTherapists are given
1055 the choice of what order to deliver the sessions in, based on the case conceptualisation,
1056 which ACT metaphors or experiential exercises to use (and personalise), and the pace of the
1057 sessions, based on individual needs and preferences. ^eParticipants are offered a booster
1058 session approximately three months after the final session.

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1082 Table 3: Schedule of enrolment, interventions and assessments.

	Enrolment	Baseline	Allocation	6-months PR	12-months PR	Other
Timepoint		T0		T1	T2	
Enrolment:						
Eligibility screen	X					
Informed consent	X					
Allocation			X			
Interventions:						
ACT plus UC			←→			
UC alone			←→			
Assessments:						
<i>Older people with TR-GAD:</i>						
Sociodemographic & clinical data	X	X				
Generalised Anxiety Disorder Assessment-7 (primary)		X		X	X	
McGill Quality of Life Questionnaire-Revised		X		X	X	
Geriatric Depression Scale-15		X		X	X	
Comprehensive Assessment of ACT processes		X		X	X	
EQ-5D-5L plus EQ-VAS		X		X	X	
Quality-adjusted life years		X		X	X	
ICECAP-O		X		X	X	
Capability-adjusted life years		X		X	X	
Modified Client Service Receipt Inventory		X		X ^a	X ^a	
Goal-Based Outcomes tool		X		X	X	
Cognitive & Leisure Activity Scale		X		X	X	
Client Satisfaction Questionnaire-8				X		

	Enrolment	Baseline	Allocation	6-months PR	12-months PR	Other
Qualitative satisfaction questionnaire				X		
Adherence (i.e., session attendance in ACT arm only)						X ^b
Adverse & serious adverse events				X	X	X ^c
Treatment expectation		X ^d				
Treatment preference		X ^d				
<i>Trial therapists:</i>						
Sociodemographic data						X ^e
Qualitative satisfaction questionnaire						X ^e
<i>Outcome assessors:</i>						
Assessment of blindness				X	X	
Treatment fidelity:						
ACT Fidelity Measure (ACT arm only)						X ^f
ACT checklist (ACT arm only)						X ^b

1083 Notes: ACT = Acceptance and Commitment Therapy, PR = post-randomisation, UC = usual
1084 care. ^aAs the modified CSRI includes a question about psychological therapies received, this
1085 will be administered in one of four ways at follow-up to prevent potential unblinding of
1086 outcome assessors: i) returned via post to the central study team; ii) via online methods; iii)
1087 by telephone by the non-blind outcome assessor arranging the follow-up visit, with the rest of
1088 the assessment being completed by the blinded outcome assessor; or iv) at the end of the
1089 outcome assessment session at 12 months, after the outcome assessor has completed the
1090 unblinding question. ^bAfter each session. ^cSerious adverse events can be reported at any
1091 time. ^dCompleted after consent, but prior to randomisation, after participants are given a
1092 rationale for ACT. ^eCompleted at the end of involvement in the trial. ^fAssessed on an
1093 ongoing basis throughout intervention delivery in 10% of randomly selected sessions.

1094 Table 4: Definition of adverse events (AEs) and serious adverse events (SAEs) in the trial.

Type of event	Categories
AE	Any new co-morbid psychiatric condition reported.
	Any reported event that has significantly affected the psychological health status of the participant (e.g. a stressful life event such as a bereavement).
	New reports of suicidal ideation with or without active suicidal behaviour/plans, but without intent during the trial (i.e. not reported at baseline).
	Other
SAE ^a	New reports of suicidal ideation with active suicidal behaviour/plans and intent.
	Reports of physical self-harm.
	Requires unplanned in-patient hospitalisation ^b .
	Requires prolongation of existing hospitalisation ^b .
	Is life-threatening ^c .
	Results in persistent or significant disability or incapacity.
	Results in death.
	Considered medically significant by the investigator.

1095 ^aAll of the SAEs defined here will be classified as unexpected. ^bHospitalisation is defined as
1096 an inpatient admission, regardless of length of stay, even if the hospitalisation is a
1097 precautionary measure for continued observation. ^cA 'life-threatening' event refers to an
1098 event in which the participant was actually at risk of death at the time of the event.

1099