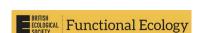
# RESEARCH ARTICLE



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# Savanna tree species show contrasting acclimation responses to elevated atmospheric CO<sub>2</sub> concentration and drought

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#### **Abstract**

- 1. Woody plant expansion into historically grass-dominated savannas is reshaping ecosystem structure and function, with consequences for biodiversity, nutrient cycling and land use. This shift is thought to be driven by the physiological responses of certain C<sub>3</sub> tree species to elevated atmospheric CO<sub>2</sub> concentrations (eCO<sub>2</sub>), modulated by water availability, among other constraints. However, the species-specific mechanisms regulating photosynthetic acclimation under these conditions remain unclear.
- 2. Using an Open-Top Chamber system, we examined the responses of five southern African C<sub>3</sub> tree seedlings to ambient (400 ppm) and elevated (550 ppm) CO<sub>3</sub> concentrations under contrasting soil water availability.
- 3. Our results revealed that Vachellia karroo, V. tortilis and V. sieberiana exhibited clear photosynthetic upregulation under eCO2, with increased photosynthetic capacity (carboxylation capacity and/or electron transport rate), particularly under well-watered conditions when stomatal conductance was highest. These responses diminished under water limitation but remained higher than in V. robusta and Senegalia burkei, which downregulated under eCO2 at high water availability and showed only modest improvements under dry conditions. Importantly, photosynthetic upregulation did not consistently translate into above-ground growth gains, with significant enhancement observed only in V. sieberiana under high water supply.
- 4. These divergent acclimation strategies highlight why some species emerge as dominant encroachers under rising CO2, while others remain constrained by stronger physiological limitations.

# **KEYWORDS**

climate change, gas exchange, photosynthetic acclimation, physiological resilience, woody plant encroachment

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# 1 | INTRODUCTION

Savannas are functionally defined as ecosystems where a continuous C₄ grass layer coexists with shade-intolerant trees (Ratnam et al., 2011). In most savannas, the herb layer is dominated by shadeintolerant C<sub>4</sub> grasses, whereas the woody layer consists predominantly of C3 trees, producing a discontinuous/open tree canopy (Bond & Midgley, 2012; Scholes & Archer, 1997). The discontinuous tree cover is often attributed to bottlenecks that limit the establishment of tree seedlings and their recruitment into adult-size classes (Bond & Midgley, 2012; Higgins et al., 2000; Scholes & Archer, 1997). Global increases in tree cover have been observed within these ecosystems (Scholes & Archer, 1997; Skowno et al., 2017). One possible driver is elevated atmospheric CO2 concentrations (eCO2), promoting the competitive ability of C<sub>3</sub> trees and releasing the bottlenecks that limit tree recruitment (Bond & Midgley, 2000; Raubenheimer & Ripley, 2022; Stevens et al., 2017). These changes may have longterm consequences, altering ecosystem structure and function, impacting biodiversity richness, ecosystem services and wildlife populations (Bora et al., 2021; Criado et al., 2020).

While increases in woody encroachment have been widely observed across savanna ecosystems, they are not driven uniformly by all tree species (Stevens et al., 2017). For instance, while Vachellia karroo and V. tortilis are commonly identified as dominant encroachers in South African grasslands (O'Connor et al., 2014; Wigley et al., 2009), often forming dense thickets, other congeners such as V. luederitzii, which is widespread in arid savannas, are less frequently associated with woody encroachment (Kellner et al., 2022; Skowno et al., 2017). These species-level differences suggest that certain trees may be more physiologically responsive to environmental change than others, but the mechanisms behind this remain poorly understood. One proposed explanation is the differential acclimation of photosynthetic capacity under eCO2, which influences how efficiently plants assimilate carbon and convert it into biomass. For instance, previous work on V. karoo has shown that it upregulates photosynthetic capacity in response to eCO<sub>2</sub> (Bellasio et al., 2021; Quirk et al., 2019; Raubenheimer & Ripley, 2022), that is, increases from the ambient-CO<sub>2</sub> baseline. This upregulation could explain its rapid growth rates, which contrasts with the common response of many tree species that downregulate photosynthesis under eCO<sub>2</sub> (Campany et al., 2017; Flexas et al., 2004; Ruiz-Vera et al., 2017).

Photosynthetic up- or downregulation can be determined by measuring the response of net leaf CO<sub>2</sub> assimilation (A) to intercellular CO<sub>2</sub> (Ci) concentrations (Farquhar & Sharkey, 1982; Flexas et al., 2004; Medrano et al., 2002). This method characterises key parameters, including the initial slope of the ACi response, which defines the maximum rate of carboxylation by Rubisco, ribulose-1,5-bisphosphate carboxylase/oxygenase (Vcmax) and the saturated slope, which determines the maximum rate of electron transport (Jmax). Increases in these parameters would indicate upregulation, while the converse would indicate downregulation. The actual prevailing photosynthetic rate, however, depends on Ci and whether photosynthesis is limited by Vcmax and/or Jmax (Huxman)

et al., 1998; Vu et al., 2006; Zheng et al., 2019). When Ci is low, photosynthesis is typically limited by Vcmax, while at higher Ci levels, it is constrained by Jmax. Therefore, understanding the limitations imposed by Vcmax and Jmax helps to elucidate the regulatory mechanisms of photosynthesis under different environmental conditions.

Growth under eCO<sub>2</sub> often results in the downregulation of photosynthesis due to an imbalance between the production of nonstructural carbohydrates and their export or utilisation in growth and respiration. This imbalance may be further constrained by nutrient availability, particularly when nitrogen or phosphorus is limiting (Campany et al., 2017; Kirschbaum, 2011; Zotz et al., 2005). The foliar accumulation of carbohydrates signals the repression of genes such as rbcS (the small subunit of Rubisco), leading to downregulation of photosynthesis and readjustment of the source-sink balance (Aranjuelo et al., 2005; Campany et al., 2017; Ruiz-Vera et al., 2017). Conversely, if sink strengths are adequate and not restricted by nutrient limitations, biochemical upregulation may occur, resulting in enhanced photosynthesis (Major et al., 2022; Ruiz-Vera et al., 2017). Over longer time-scales, however, CO2 fertilisation effects may diminish due to progressive nutrient limitation (PNL), as accumulating biomass increasingly restricts nitrogen and phosphorus availability (Jiang et al., 2020; Luo et al., 2004). Thus, PNL is likely to regulate both the persistence and the magnitude of eCO<sub>2</sub> responses in savannas.

Changes in soil water availability can also drive upregulation or downregulation of photosynthesis (Bota et al., 2004; Medrano et al., 2002). When drought limits stomatal conductance and Ci, there are direct biochemical alterations to photosynthesis that can decrease both Vcmax and Jmax (Flexas et al., 2004; Grassi & Magnani, 2005; Kohzuma et al., 2009; Medrano et al., 2002). However, under conditions of water availability, when stomatal conductance is high and when neither nutrients nor light limit photosynthesis, water-driven upregulation of photosynthesis can occur. This is characterised by an increase in leaf nitrogen as well as Rubisco and is associated with an overall increase in light harvesting and  $\mathrm{CO}_2$  assimilation capacity (Demmig-Adams et al., 2017).

Given the ecological consequences of woody expansion and the environmental changes driving it, there is a pressing need to understand the physiological mechanisms that enable certain tree species to respond more strongly to eCO2 and variable water availability. In this study, we investigated the responses of five southern African C<sub>3</sub> tree species to ambient (400 ppm) and elevated (550 ppm) CO<sub>2</sub> concentrations under contrasting soil water conditions, using a controlled Open-Top Chamber system at the Rhodes University Elevated CO<sub>2</sub> Facility (RUECF). We hypothesised that savanna tree species would differ in their capacity for photosynthetic acclimation to eCO<sub>2</sub>. In particular, we hypothesised that species previously observed to encroach (such as V. karroo, V. sieberiana and V. tortilis) would show stronger upregulation of photosynthetic capacity under eCO2, reflected in increases in Vcmax, Jmax and assimilation rate (A), particularly under well-watered conditions. Where sink capacity and water are sufficient, higher above-ground growth is expected, with growth advantages being species-dependent. In contrast, we expect that V. robusta and Senegalia burkei, which are not typically

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associated with encroachment, would show limited or negative responses under eCO2, possibly due to constraints in sink strength or resource allocation. We further anticipate that water limitation would suppress photosynthetic acclimation across all species, but that eCO<sub>2</sub> would partially alleviate drought-induced declines. Together, these patterns are expected to reveal species-specific physiological strategies linked to encroachment potential.

# MATERIALS AND METHODS

#### **Species selection** 2.1

Five C<sub>3</sub> tree species from the subfamily Mimosoideae were selected based on their prevalence in southern African savannas and contrasting responses to environmental change (Figure S4). V. karroo, V. tortilis and V. sieberiana have frequently been identified as dominant encroachers across a range of savanna ecosystems, often forming dense thickets under eCO2 or in response to land-use change (O'Connor et al., 2014; Stevens et al., 2017; Wigley et al., 2009). In contrast, V. robusta and Senegalia burkei are not typically associated with encroachment and are known to form sparse stands with slower growth or limited regeneration (Strauss & Packer, 2015; Rugemalila et al., 2017). Including these species allowed us to test whether physiological acclimation responses to CO2 and water availability align with known ecological dynamics. Further ecological traits and encroachment evidence are detailed in Table S2. We focused on the seedling stage (within the first year of growth), since seedlings are particularly susceptible to environmental stress, and both survival and growth at this stage represent key demographic bottlenecks influencing population dynamics and community structure (Bond, 2008; Walters & Reich, 2000; Wigley et al., 2010). All species are indigenous to the region and are commonly found in savanna ecosystems.

#### 2.2 **Experimental design and treatments**

We used a split-plot factorial design to test the effects of atmospheric CO<sub>2</sub> and soil water availability on seedling performance. CO<sub>2</sub>

**TABLE 1** Summary of experimental replication and treatment application scales.

Scale of inference	Scale at which the factor of interest was applied	Number of replicates at the appropriate scale
Species (physiological and growth traits)	Pot (individual seedling)	6 per species per chamber (30 pots per chamber)
Treatment effect ( $CO_2 \times$ water)	Chamber (CO <sub>2</sub> ) + pot within chamber (water)	16 chambers (8 aCO <sub>2</sub> , 8 eCO <sub>2</sub> ); within each chamber, 3 pots per species per water treatment
Per combination availability	Across chambers at a given ${\rm CO}_2$	Up to 24 individuals per species per water treatment; $n=10$ used for analyses

concentration was applied at the chamber (whole-plot) level, while water availability was manipulated within chambers at the pot (subplot) level. Sixteen open-top chambers (OTCs; 3m diameter, 2.8m high; Ripley et al., 2022) were randomly assigned to either ambient  $CO_2$  (a $CO_2$ , 400 ppm; n=8) or elevated  $CO_2$  (e $CO_2$ , 550 ppm; n=8), with no positional bias (Table 1). Each chamber contained 30 pots (one seedling per pot, six individuals per species), which were randomly assigned to well-watered or dry treatments, yielding three individuals per species per water treatment per chamber. Across chambers, this provided up to 24 individuals per species per water treatment (Figure S1). For physiological and growth measurements, we analysed a random subset of 10 individuals per species per CO<sub>2</sub>×water combination (Table 1).

Seedlings were grown in 4L cylindrical pots (diameter ~11.3 cm, height ~40cm) filled with homogenised savanna soil. Seeds were germinated in September 2021 in vermiculite and were transplanted in November of the same year. Pots were placed near the centre of each OTC to minimise edge effects such as shading and localised warming (Figure S1). Seedling assignment to pots and chambers was fully randomised at transplanting to avoid treatment bias and ensure even representation across the design.

Atmospheric CO2 within each OTC was continuously monitored with open-path infrared CO<sub>2</sub> sensors (GMP343, Vaisala, Finland). Concentrations were regulated by a proportional-integral-derivative (PID) controller and proportional valves (2873, Bürkert, Germany), with uniform air distribution maintained via a perforated diffuser connected to a canopy-level fan (Ripley et al., 2022). Daytime concentrations averaged 398 ppm (central 90%: 383-411 ppm) in aCO<sub>2</sub> chambers and 545 ppm (central 90%: 496-588 ppm) in eCO<sub>2</sub> chambers, representing ~150 ppm enrichment consistent with mid-21st century projections (IPCC, 2021).

Water availability was manipulated at the pot level. Wellwatered plants were maintained near field capacity through daily irrigation, while dry treatment plants received no routine irrigation. To prevent mortality during prolonged droughts, minimal watering was applied when severe wilting occurred. Treatments commenced in January 2022, once seedlings were fully established. Episodic rainfall, during the sampling period, contributed additional inputs producing a continuum of soil moisture across pots despite the nominal dry versus well-watered assignments (Figure S2). Over 12 months, rainfall totalled 301 mm, below the site's long-term mean (~466 mm; CHIRPS). Because rainfall affected all chambers equally, it was not included as a model covariate; its effects were instead captured by volumetric water content (VWC) measurements.

Microclimate was characterised using HygroVUE 5 sensors (Campbell Scientific) recording air temperature and relative humidity at 10-min intervals, allowing calculation of vapour pressure deficit (VPD). Soil moisture was monitored using 5TM sensors (METER Group, formerly Decagon), installed in four randomly selected pots per chamber (two per water treatment) and logged every 10 min. For leaf gas exchange, we matched each measurement to the instantaneous, treatment-level VWC from the relevant chamber. For growth analyses, we used the time-weighted mean VWC for each plant from transplanting to harvest. Within chambers, sensor values were averaged per water treatment, providing one VWC time series for each chamber × treatment combination (16 time series per treatment across the experiment; Figure S2).

# 2.3 | Measurements

Physiological responses were measured from 20 September to 17 October 2022, approximately 10 months after transplanting. The measurements were conducted using a block-randomised daily schedule that alternated chambers and water treatments across species, ensuring balanced sampling across dates and times (9:00 AM-3:00 PM). For a subset of plants from each species and treatment combination (n > 8) per species per treatment), we measured ACi response curves (net CO<sub>2</sub> assimilation rate, A, vs. intercellular  $CO_2$  concentration, Ci), stomatal conductance ( $g_{ST}$ ) and aboveground growth rates. Physiological measurements were conducted using a portable photosynthesis system (LI-6800; LI-COR Biosciences, Lincoln, NE, USA) equipped with an environmental control module. ACi curves were recorded across a range of soil volumetric water content (VWC; 3%-40%) to reflect conditions imposed by the experimental treatments. We analysed physiological responses against continuous VWC (instantaneous at the time of measurement). The plotted ACi curves were binned into droughted (0%-10% VWC) and well-watered (15%-30% VWC) classes; curves falling in the intermediate 10%-15% range were not included in the binned panels. Because not every plant measurement fell within those bins, the binned ACi had n≥5 but <8 per species per CO<sub>2</sub> level, whereas continuous VWC analyses used n > 8 per species per CO2 level.

Photosynthetic parameters were computed using the automated ACi response curve program in the LI-COR 6800 system. This  $\mathrm{CO}_2$  response protocol sequentially adjusted  $\mathrm{CO}_2$  concentrations while automatically logging data only after matching conditions were met, ensuring steady-state assimilation and stomatal conductance values at each point. This automated approach reduces observer bias, ensures consistent stabilisation criteria across all measurements and

improves the precision of curve fitting. The standard  $CO_2$  concentration sequence was 400, 300, 200, 100, 50, 400, 600, 800, 1000 and 1200 ppm for ambient-grown plants, with 550 ppm used instead of 400 ppm for  $eCO_2$ -grown plants. The selected  $CO_2$  range captured both the Rubisco-limited and RuBP-limited portions of the photosynthetic response. The  $CO_2$  concentration sequence used for ACi curves reached a maximum of 1200 ppm, which was sufficient to observe saturation of net assimilation across all species. Fully expanded, healthy leaves were selected to fully cover the cuvette area and measurements were performed under standardised cuvette conditions: PPFD=1500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, leaf temperature=25°C, fan speed 10,000 rpm and VPD < 1.6 kPa. Leaf area was quantified using scaled digital images processed in ImageJ (Fiji version 2016).

Curve fitting and parameter estimation were conducted in R using the 'plantecophys' package (Duursma, 2015), which implements the Farquhar-von Caemmerer-Berry photosynthesis model. From each fitted curve, Vcmax and Jmax were extracted. We did not estimate mesophyll conductance  $(g_m)$ ; that is, we used Ci-based fits (Cc=Ci). The CO<sub>2</sub> compensation point in the absence of dark respiration ( $\Gamma^*$ ), the Michaelis-Menten constants for Rubisco ( $K_c$ ,  $K_o$ ) and their temperature dependencies were held constant, calculated from leaf temperature using standard parameterisations implemented in 'plantecophys'. Photorespiratory O2 was set to 21 kPa, and leaf temperature was controlled at 25°C during measurements. For each Ci value, the corresponding net assimilation rate was calculated as the minimum of Rubisco-limited (A<sub>k</sub>) and RuBP-limited (A<sub>i</sub>) photosynthesis. Mean predicted rates and standard deviations were computed across Ci values to summarise each plant's photosynthetic response profile.

We classified species' responses under well-watered conditions (15–30% VWC) as follows. 'Upregulation' was assigned when eCO $_2$  produced (i) a significant positive effect on Jmax and/or Vcmax in mixed-effects models (CO $_2$  main effect>0 at p<0.05, or a positive CO $_2$ ×VWC interaction with a positive simple effect at 20% VWC), and (ii) an upward shift in photosynthesis at growth CO $_2$  supported by model estimates/post-hoc contrasts. 'Limited/no upregulation' indicates criteria were not met, and 'downregulation' indicates significant negative parameter shifts with lower photosynthesis at eCO $_2$  and high VWC.

After completing the physiological measurements, plants were harvested in November 2022 (n=10 for each treatment). The destructive harvest involved carefully cutting all above-ground leaves, stems and branches from each plant. To determine the dry biomass, the collected plant material was dried in a convection oven at a constant temperature of  $70^{\circ}$ C for a minimum of 72h (3 days), or until the samples reached a constant weight. The samples were then weighed using a precision balance to the nearest 0.01g. The growth rate of each plant was calculated by dividing the total above-ground dry biomass by the time elapsed from transplanting into pots in the OTC to the harvest date. We then quantified the above-ground growth rate as dry above-ground biomass accrued per unit time.

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All statistical analyses were conducted in R version 4.4.2 (R Core Team, 2024). Prior to formal analysis, all response variables were assessed for normality and homoscedasticity using Q–Q plots and residual-versus-fitted value plots. Where necessary, variables such as stomatal conductance and growth rate were log-transformed to improve model fit and stabilise variance.

To examine the effects of CO<sub>2</sub> concentration and soil water availability on physiological and growth responses, linear mixed-effects models were fitted using the 'Ime4' package (Bates et al., 2015). In the first set of models, CO2 treatment (ambient vs elevated), continuous VWC and species were included as fixed effects with their two- and three-way interactions (CO<sub>2</sub>×VWC×species; Supporting Information S1). Chamber number and date were included as random effects to account for shared environmental conditions experienced by plants within the same open-top chamber on different dates (Supporting Information S1). These models were run separately for each response variable: net photosynthetic rate, stomatal conductance, intercellular CO<sub>2</sub>, Vcmax, Jmax and above-ground growth rate. This approach enabled us to assess whether species responded differently to CO<sub>2</sub> and VWC. To present species-specific responses at each growth CO2, we evaluated simple effects by fitting the same model within each CO<sub>2</sub> level (equivalent to probing the CO<sub>2</sub>×VWC×species interaction at aCO<sub>2</sub> and eCO<sub>2</sub>). This allowed for a focused comparison of species performance under consistent CO2 conditions, while still accounting for the nested chamber design. Type II F-tests were used for hypothesis testing via the ANOVA function in the 'car' package (Fox & Weisberg, 2019), which provides

robust tests of marginal effects in unbalanced designs. When significant main or interaction effects were identified, post hoc pairwise comparisons were performed using the 'emmeans' package (Lenth, 2020), with Tukey's HSD correction for multiple comparisons. All statistical outputs were interpreted with attention to effect sizes and 95% confidence intervals.

# 3 | RESULTS

The tree species were sampled across VWC conditions ranging from 3% to 40% (Figure S2). In the watered treatment, VWC consistently remained above 15%, whereas the droughted treatment mainly experienced VWC levels between 3% and 10%, with the occasional increase of up to 15% due to infrequent and stochastic rainfall events (Figure S2).

When grown at aCO $_2$ , *V. sieberiana*, *V. tortilis* and *V. karroo* had significantly higher photosynthetic rates (22.9, 22.4 and 21.9  $\mu$ mol m $^{-2}$  s $^{-1}$ , respectively) than *V. robusta* (16.3  $\mu$ mol m $^{-2}$  s $^{-1}$ ) and *S. burkei* (15.3  $\mu$ mol m $^{-2}$  s $^{-1}$ ;  $F_{(1,58.73)}$ =350.15, p<0.001; Figures 1 and 2). As VWC decreased from 40% to 3%, the photosynthetic rate of all five species decreased, and there was a downward shift in the ACi curves (Figures 1 and 2). The slope of the response to VWC was similar across species ( $F_{(4,57.85)}$ =0.59, p=0.67), indicating that for any given VWC level, *V. sieberiana*, *V. tortilis* and *V. karroo* consistently maintained higher photosynthetic rates than *V. robusta* and *S. burkei* ( $F_{(4,58.57)}$ =28.25, p<0.001).

The effect of eCO $_2$  on photosynthesis showed different responses for all five species ( $F_{(4,33.96)}$ = 109.21, p < 0.001) and depended

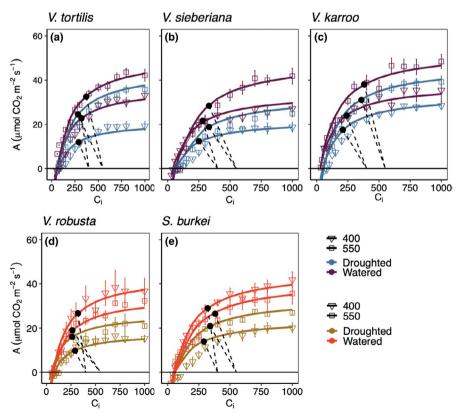


FIGURE 1 Observed ACi data (mean ± SE) overlaid with fitted Farguharmodel curves for five savanna tree (Vachellia karroo, V. robusta, V. tortilis, V. sieberiana and S. burkei) seedlings exposed to differing CO<sub>2</sub> concentrations and water treatments, showing stomatal limitations (dotted lines). Stomatal limitation at growth CO2 was computed for each curve as the difference between assimilation at the growth intercellular CO<sub>2</sub> (Ci) and assimilation at the growth atmospheric CO2 (Ca). The top row panels represent species showing photosynthetic upregulation under eCO<sub>2</sub> and watered conditions, while the bottom panels denote species with limited or downregulation.

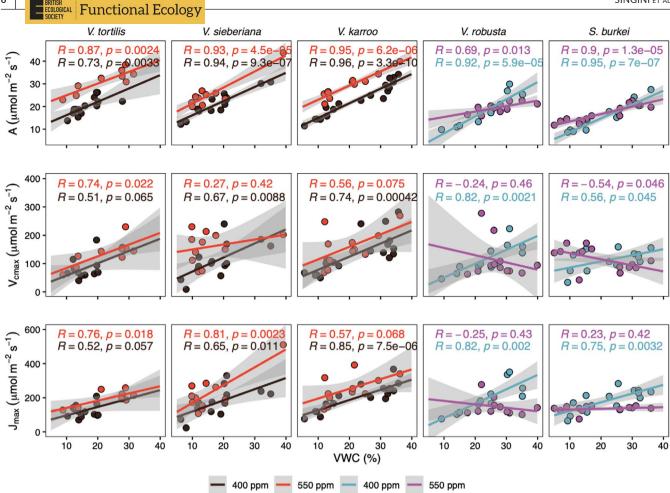


FIGURE 2 Linear regressions of five savanna tree seedlings ( $Vachellia\ karroo$ ,  $V.\ robusta$ ,  $V.\ tortilis$ ,  $V.\ sieberiana$  and  $S.\ burkei$ ) showing the net photosynthesis rate (A at growth  $CO_2$ ), maximum carboxylation rate (Vcmax) and maximum electron transport rate (Jcmax) across volumetric soil water content (Vcmax) at growth  $CO_2$  is the measured assimilation recorded during the ACi protocol at the plant's prevailing growth  $CO_2$  under saturating light. Orange and brown points and lines represent species showing photosynthetic upregulation under  $CCO_2$  and watered conditions, while blue and purple lines denote species with limited or downregulation.

on soil water availability ( $F_{(4,37.11)} = 5.72$ , p < 0.01; Figure 2). Under high VWC, eCO2 led to higher photosynthetic rates in V. sieberiana, V. tortilis and V. karroo but lower photosynthetic rates in V. robusta and S. burkei  $(F_{(4,33,96)} = 109.21, p < 0.001)$ , that is, well-watered V. sieberiana, V. tortilis and V. karroo showed an upward shift in the ACi curve at eCO2 while well-watered V. robusta and S. burkei showed a downward shift in the ACi curve (Figure 1). As VWC decreased, photosynthesis also decreased across all species. However, under extremely dry conditions (<10% VWC), all the five species benefitted from eCO<sub>2</sub> and were able to maintain higher photosynthetic rates than at ambient levels (Figure 2). Therefore, at eCO<sub>2</sub>, V. sieberiana, V. tortilis and V. karroo exhibited upregulation across varying soil water conditions which alleviated the constraints of drought, but V. robusta and S. burkei only benefited from eCO2 when drought-stressed, and downregulated at high CO<sub>2</sub> and high VWC (Figure 1). Overall, eCO<sub>2</sub> enabled V. sieberiana, V. tortilis and V. karroo to mitigate the effects of decreasing VWC more than V. robusta and S. burkei.

Vcmax showed distinct responses to VWC and  ${\rm CO_2}$  levels across species (Figure 2). In V. karroo, Vcmax increased significantly with

VWC  $(F_{(1,23.0)}=19.19,\ p<0.001)$ , while eCO $_2$  and the interaction term were not significant (p>0.17; Table S1). In *V. sieberiana*, both VWC  $(F_{(1,20.54)}=6.54,\ p=0.019;$  Figure 2) and CO $_2$   $(F_{(1,8.81)}=6.06,\ p=0.037)$  had significant effects on *V*cmax, whereas the interaction was not significant (p=0.23; Table S1). *V. tortilis* also exhibited a significant increase in *V*cmax with VWC  $(F_{(1,11.94)}=10.76,\ p=0.007;$  Figure 2), but not in response to CO $_2$  or the interaction (p>0.25; Table S2). In contrast, *S. burkei* and *V. robusta* showed significant VWC $\times$ CO $_2$  interactions (p<0.05; Table S1), with no main effects. In these species, Vcmax declined under eCO $_2$  at high VWC. Thus, Vcmax responses aligned with the direction of ACi curve shifts observed across species.

Jmax showed similar differences among species (Figure 2). In V. karroo, Jmax increased significantly with VWC ( $F_{(1,24.98)}$ =28.12, p<0.001) and also with eCO $_2$  ( $F_{(1,5.22)}$ =7.67, p=0.038) but with no interaction. V. sieberiana exhibited the same pattern; both VWC ( $F_{(1,20.54)}$ =23.66, p<0.001) and CO $_2$  ( $F_{(1,8.81)}$ =6.48, p=0.032) had significant effects, with no interaction (p>0.05; Table S1). In V. tortilis, Jmax also increased significantly with VWC ( $F_{(1,11.94)}$ =10.89,

p=0.006), although neither CO $_2$  nor the interaction was significant (p>0.05; Table S1). In *S. burkei* and *V. robusta*, all terms were significant (p<0.05), with both species showing Jmax decline under eCO $_2$  at high VWC but increased at low VWC. These results demonstrate that Jmax was positively regulated by VWC and CO $_2$  in *V. karroo*, *V. sieberiana* and *V. tortilis*, while photosynthetic upregulation in *S. burkei* and *V. robusta* was more constrained due to a strong interaction between water and CO $_2$  concentrations.

Stomatal conductance  $(g_{\rm ST})$  also exhibited distinct species-specific responses to VWC and atmospheric  ${\rm CO}_2$  concentration (Figure 3). In *V. karroo*,  $g_{\rm ST}$  increased significantly with rising VWC ( $F_{(1,24,98)}=11.43,\ p=0.002$ ), while  ${\rm CO}_2$  and the interaction term had no significant effects (p>0.4; Table S1). In *V. sieberiana*, neither VWC ( $F_{(1,17.54)}=0.34,\ p=0.57$ ),  ${\rm CO}_2$  ( $F_{(1,11.71)}=3.56,\ p=0.084$ ) nor their interaction ( $F_{(1,17.81)}=0.32,\ p=0.58$ ) was statistically significant, although a trend of reduced  $g_{\rm ST}$  under eCO $_2$  was noted. In *V.* 

tortilis, none of the terms were significant; however,  $g_{\rm ST}$  tended to be lower at eCO $_2$  ( $F_{(1,3.93)}$ =6.37, p=0.066; Table S1), suggesting a potential CO $_2$  sensitivity. In *S. burkei*,  $g_{\rm ST}$  increased significantly with VWC ( $F_{(1,19.18)}$ =8.13, p=0.01), while CO $_2$  and the interaction were not significant (p>0.05; Figure 3). Similarly, in V. robusta,  $g_{\rm ST}$  was unaffected by either VWC, CO $_2$  or their interaction (p>0.1; Table S1). These results suggested that water availability was the primary determinant of  $g_{\rm ST}$  in V. karroo and S. burkei, while eCO $_2$  exerted a more uniform, but often non-significant, suppressive effect across all species.

Intercellular CO $_2$  concentration (Ci) patterns were consistent with ACi curve trends (Figure 3). In V. tortilis, Ci increased significantly under eCO $_2$  and high VWC ( $F_{(1,4.11)} = 25.44$ , p = 0.007), while in S. burkei, a significant VWC effect ( $F_{(1,22.79)} = 10.60$ , p = 0.004) and a marginally significant interaction ( $F_{(1,22.94)} = 3.05$ , p = 0.094; Figure 3) were evident. On the contrary, Ci did not change significantly in

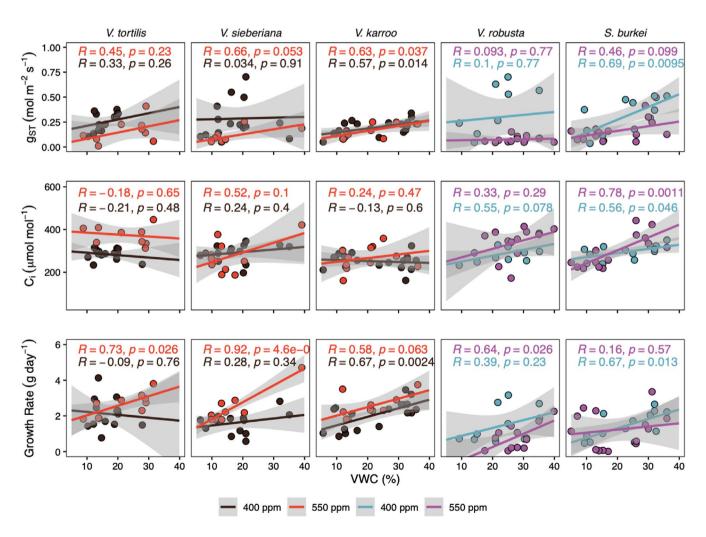


FIGURE 3 Linear regressions of five savanna tree seedlings ( $Vachellia\ karroo,\ V.\ robusta,\ V.\ tortilis,\ V.\ sieberiana$  and  $S.\ burkei$ ) showing stomatal conductance ( $g_{ST}$ ), intercellular CO $_2$  concentration (Ci) and above-ground growth rate versus volumetric water content (VWC) at CO $_2$  concentrations of 400 and 550 ppm.  $g_{ST}$  values were extracted from the ACi response curves measured at the plant's respective growth CO $_2$  concentration (either 400 or 550 ppm) under saturating light. Growth rate (above-ground biomass gain per unit time) is related to each plant's mean VWC (time-averaged chamber-treatment VWC from transplanting to harvest). Orange and brown points and lines represent species showing photosynthetic upregulation under eCO $_2$  and watered conditions, while blue and purple lines denote species with limited or downregulation.

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FIGURE 4 Conceptual summary of observed physiological responses illustrating the influence of atmospheric CO2 concentration (400 and 550 ppm) and soil water availability (high vs low VWC) on key photosynthetic parameters (maximum carboxylation rate of Rubisco— Vcmax, maximum electron transport rate—Jmax, stomatal conductance— $g_{ST}$  and intercellular  $CO_2$  concentration relative to ambient—Ci/Ca), as well as photosynthetic rate (A) and growth rate (GR), in encroaching and non-encroaching savanna tree species. The model is derived from observed statistical trends across species in the experimental dataset (see Table S1). Blue arrows indicate positive effects, red arrows indicate negative effects and dashed arrows represent a non-significant effect. Arrow thickness denotes the relative magnitude of the response.

response to VWC,  $CO_2$ , or their interaction (p > 0.32; Table S1) in V. karroo, V. sieberiana and V. robusta. These results indicated that biochemical capacity rather than CO2 diffusion was the primary constraint on photosynthesis in most species (Figure S3). However, where Ci increased under eCO2, as in V. tortilis, the observed photosynthetic enhancement was likely driven by improved internal CO<sub>2</sub> availability.

Above-ground growth responses only partially reflected physiological patterns (Figure 3). In V. karroo, the growth rate increased significantly with rising VWC ( $F_{(1,24,98)} = 15.21$ , p < 0.001), with a marginally positive  $CO_2$  effect ( $F_{(1.5.22)}$ =4.34, p=0.089) and no interaction (Table S1). V. sieberiana showed significant effects of VWC  $(F_{(1.20.57)} = 18.13, p < 0.001), CO_2 (F_{(1.10.44)} = 14.29, p < 0.01)$  and their interaction ( $F_{(1,20,40)}$  = 8.70, p < 0.01). Although the CO<sub>2</sub> main effect was negative (Table S1), the positive interaction indicates that eCO<sub>2</sub> enhanced growth under high water availability, consistent with the increased photosynthetic capacity under these conditions. V. tortilis showed no significant effects, although small increases at eCO<sub>2</sub> were observed (p > 0.05; Figure 3; Table S1). S. burkei did not exhibit significant responses to any factor, and V. robusta showed a marginal effect of VWC ( $F_{(1,15.92)}$ =4.38, p=0.053) and a significant reduction in growth at eCO<sub>2</sub> ( $F_{(1.8.74)} = 6.76$ , p = 0.03; Table S1). Although above-ground growth rates generally increased with rising VWC in V. karroo and V. sieberiana, only V. sieberiana exhibited a significant above-ground growth response to eCO2 and only under high water availability. In the other species, enhanced photosynthetic capacity under eCO2 did not consistently translate into increased above-

To integrate the observed physiological and growth patterns, we present a summary diagram (Figure 4) derived from model estimates and binned ACi responses (Table S1; Figures 1-3). The figure compiles, for each species group, the direction and relative magnitude of

responses in A, Vcmax,  $J_{max}$ ,  $g_{ST}$ , Ci/Ca and above-ground growth under aCO2 and eCO2 at high and low VWC. Encroaching species (V. karroo, V. sieberiana and V. tortilis) are shown with the set of parameter shifts observed in Figures 1-3, and non-encroaching species (V. robusta and S. burkei) with their corresponding shifts; arrow thickness and sign reflect the estimated effect sizes and directions reported in Table S1.

# DISCUSSION

Using a comparison of five widespread southern African C<sub>3</sub> savanna tree species, we assessed the effects of anticipated future CO2 levels and water availability on seedling performance. Our findings revealed distinct species-level differences in photosynthetic acclimation and growth, pointing to divergent strategies that may underpin shifts in savanna composition and function as atmospheric CO<sub>2</sub> concentrations and rainfall regimes change. As hypothesised, under current aCO2 conditions and adequate water availability, V. sieberiana, V. tortilis and V. karroo exhibited higher net photosynthetic and growth rates compared to V. robusta and S. burkei. These differences were underpinned by higher values of Vcmax and/or Jmax, despite relatively similar intercellular CO2 concentrations, indicating that V. sieberiana, V. tortilis and V. karroo possess greater intrinsic biochemical capacity for carbon fixation. As water availability declined, photosynthetic rates decreased in all species; however, V. sieberiana, V. tortilis and V. karroo maintained relatively higher assimilation rates across the soil water gradient. Their ability to sustain high Vcmax and/or Jmax values under drought points to greater physiological resilience, likely contributing to their broader distribution in both mesic and semi-arid regions (Bellasio et al., 2021; Buitenwerf et al., 2012; Telford et al., 2023).

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These species also showed strong responses to eCO<sub>2</sub>, particularly under well-watered conditions. V. sieberiana significantly increased Jmax and Vcmax under eCO<sub>2</sub>, with corresponding increases in photosynthesis and growth. In V. karroo, upregulation under eCO<sub>2</sub> manifested via increased Jmax (not Vcmax) and higher photosynthesis at growth CO<sub>2</sub> under high VWC, consistent with an electrontransport-driven response. V. tortilis also benefited from eCO<sub>2</sub>, albeit to a lesser degree (Figure 2). Their enhanced performance across both high and low VWC conditions suggests that these species may be particularly well positioned to thrive in future savannas subject to rising CO<sub>2</sub> and episodic water availability.

In contrast, S. burkei and V. robusta showed limited or negative responses to eCO2 under high water availability, with declines in both Vcmax and Jmax indicative of photosynthetic downregulation (Figures 1 and 2). This likely reflects source-sink imbalances, where assimilated carbon is not effectively utilised or exported (Campany et al., 2017; Sala et al., 2012). Interestingly, under drought, these same species exhibited modest improvements in photosynthesis at eCO2, suggesting that increased CO2 may partially alleviate diffusional limitations under stress (Flexas et al., 2004). However, this drought-associated response was not sufficient to overcome their generally lower physiological plasticity and constrained growth, particularly in well-watered conditions.

While eCO<sub>2</sub> increased leaf-level photosynthesis and capacities (Vcmax, Jmax) in several species, this did not consistently translate into higher above-ground growth across species or moisture levels (Figure 3). This decoupling between photosynthesis and aboveground RGR at the seedling stage is consistent with shifts in carbon allocation and utilisation: additional assimilate under eCO2 can be directed below-ground (roots/rhizosphere) (Case et al., 2020: Jiang et al., 2020; Lewis et al., 2021; McDowell et al., 2008), stored as non-structural carbohydrates (Jiang et al., 2020; Li et al., 2018; Sala et al., 2012) or offset by higher respiration (Drake et al., 2011), particularly when water or nutrients constrain structural shoot growth. Below-ground allocation may also enhance associations with soil mutualists such as mycorrhizas and rhizobia, which increase nutrient acquisition and can strengthen sink demand (Case et al., 2020; Jiang et al., 2020). Because our growth metric is above-ground only, such re-partitioning would reduce apparent RGR gains even when photosynthesis increases. Consequently, we interpret the eCO2 benefit as physiological potential that translates into growth conditionally, most clearly in V. sieberiana at high VWC, rather than a general growth advantage among encroaching species.

While our approach focused on species-level responses, the emerging physiological patterns also lend support to a functional distinction between potential encroachers and non-encroachers (Figure 4). V. karroo, V. sieberiana and V. tortilis exhibited the traits of encroaching species: sustained photosynthetic capacity across water gradients, responsiveness to eCO2, and robust growth performance (Raubenheimer & Ripley, 2022; Telford et al., 2023). These species are also well-documented encroachers in both empirical field studies and meta-analyses (Table S2), and their geographical

spread across African savannas (Figure S4) reflects their ecological competitiveness. In contrast, S. burkei and V. robusta exhibited limited plasticity, greater sensitivity to high CO2 levels and constrained growth, aligning with traits of non-encroachers (Rugemalila et al., 2017). These divergent responses may not only reflect differences in acclimation capacity but could also be rooted in underlying ecological preferences or phylogenetic constraints. For instance, V. robusta is often associated with riparian habitats (Rugemalila et al., 2017), which may predispose it to narrower physiological tolerance ranges. Meanwhile, S. burkei, which belongs to a different genus than the other study species (Kyalangalilwa et al., 2013), may exhibit distinct trait syndromes that limit its responsiveness to eCO<sub>2</sub> and water stress.

Together with the synthesis provided in Figure 4, these findings highlight how encroaching species consistently exhibit greater acclimation potential under rising CO2 and variable water conditions, reinforcing their capacity to dominate under future climate scenarios. This framework suggests that species-level differences in acclimation potential may explain observed encroachment trends in empirical datasets and highlights the importance of incorporating species traits into ecosystem modelling (Figure 4). As atmospheric CO2 continues to rise, species such as V. sieberiana may gain a further competitive edge, not only through increased photosynthesis and growth but by reaching fire-escape thresholds more rapidly and establishing dominance in both mesic and arid savanna systems. This aligns with projections from dynamic vegetation models, which predict that eCO2 will favour tree recruitment in savannas (Higgins & Scheiter, 2012; Scheiter & Higgins, 2009). These shifts, although driven by only a few woody species with the physiological capacity to respond to CO2, could result in the gradual replacement of open-canopy savannas by more densely wooded systems, ultimately reducing grass layer cover and altering fire regimes, herbivore dynamics and ecosystem services (Bond & Midgley, 2000; Staver et al., 2011).

Finally, while our study captures the key physiological mechanisms underlying species-specific responses to eCO2 and variable soil water availability, it is important to recognise that broader ecosystem outcomes will be shaped by multiple interacting factors. These include nutrient availability, plant-microbe associations, disturbance regimes and interspecific competition, all of which may further modulate photosynthetic acclimation and growth (Bora et al., 2021; Sala et al., 2012). As such, predicting the precise consequences of CO<sub>2</sub> enrichment for savanna vegetation requires comprehensive, long-term research and models that integrate these complex relationships.

By offering species-level insights into the mechanisms that drive photosynthetic upregulation and growth under future CO2 and water regimes, this study contributes to a growing body of evidence calling for greater functional resolution in vegetation models. The clear divergence in response among co-occurring species highlights the limitations of grouping trees into broad functional types without accounting for underlying physiological variation. Incorporating these encroacher versus non-encroacher traits into global models

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will improve our ability to forecast savanna dynamics and develop adaptive strategies for conservation and land management in a rapidly changing climate.

#### **AUTHOR CONTRIBUTIONS**

Edith J. Singini collected and analysed data and led the writing of the manuscript. Brad S. Ripley conceived, designed and contributed to manuscript editing. Sally Archibald supervised the research and provided critical revisions to the manuscript. Colin P. Osborne funded the study, contributed to the study design and provided substantial input during the manuscript review process.

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# CONFLICT OF INTEREST STATEMENT

The authors declare that they have no known financial or nonfinancial competing interests that could have directly or indirectly influenced the work reported in this paper.

# DATA AVAILABILITY STATEMENT

Data were deposited in the Dryad Digital Repository: https://doi.org/10.5061/dryad.pc866t238 (Singini et al., 2025).

# STATEMENT ON INCLUSION

This study was conducted in South Africa and includes contributions from both locally based researchers and an international collaborators. Three of the four authors (Edith J. Singini, Brad S. Ripley and Sally Archibald) are based in South Africa, ensuring that local ecological context and perspectives were integrated throughout the research process. All authors were involved from the early stages of study design and analysis. Where appropriate, we cited relevant literature from the region to acknowledge and build on existing local scientific contributions.

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# SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

**Figure S1:** Experimental design and setup. (A) Schematic representation of the factorial design combining two CO<sub>2</sub> treatments (ambient: 400 ppm; elevated: 550 ppm) with two water regimes (well watered and droughted). (B) Photograph of the experimental setup inside the open-top chamber facility (Rhodes University Elevated CO<sub>2</sub> Facility), showing potted seedlings under treatment conditions.

Grass species were also included in the full experiment, but are not presented in this study.

**Figure S2:** Average soil volumetric water content (%) across 1 year (2022) in pots exposed to ambient and elevated  $CO_2$  concentrations. Points represent means  $\pm$  SD (n=16 sensors per treatment).

**Figure S3:** Stomatal limitation (SL) under droughted and well-watered conditions, and relative stomatal (RSL) and relative metabolic limitations (RML) across five savanna tree species (*Vachellia tortilis, V. sieberiana, V. karroo, V. robusta* and *Senegalia burkei*) grown under ambient (400 ppm) and elevated (550 ppm)  $CO_2$ . SL under drought and SL under well-watered conditions represent the percentage reduction in photosynthesis resulting from stomatal closure under their respective water treatments. RSL and RML were calculated following Ripley et al. (2007) to quantify the proportional contribution of stomatal and metabolic processes to photosynthetic limitation under drought. Error bars indicate  $\pm$  standard error of the mean (n=6).

Figure S4: Geographic distribution of *Vachellia tortilis*, *V. sieberiana* and *V. karroo* (known encroaching species), and *V. robusta* and *Senegalia burkei* (non-encroaching species) across Africa. Species occurrence points represent GPS coordinates obtained from the Global Biodiversity Information Facility (GBIF), and only terrestrial records within the African continent are shown.

**Table S1:** Results from mixed effects models testing the effects of volumetric soil water content (VWC) and  $\mathrm{CO}_2$  concentration, as well as their interaction, on photosynthetic rate (A), maximum carboxylation rate (Vcmax), maximum electron transport rate (Jmax), stomatal conductance ( $g_{\mathrm{ST}}$ ), intercellular  $\mathrm{CO}_2$  (Ci) and above-ground growth rate (GR) in *Vachellia karroo*, *V. sieberiana*, *V. tortilis*, *V. robusta* and *Senegalia burkei*. Interaction terms were initially included in all models and were then removed when results were non-significant. The models were then re-run without the interaction term.

**Table S2:** Encroachment status, supporting growth traits and literature-based evidence for five savanna tree species evaluated in this study. The encroacher classification is based on documented increases in population density or range expansion, often in response to land-use changes or CO<sub>2</sub> enrichment. Non-encroachers are characterised by stable or declining populations and limited colonisation capacity under similar conditions.

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