

1 Review

2 **Brain-Computer Interface Robotics for Hand Rehabilitation**

3 **After Stroke: A Systematic Review**

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Abstract

Background: Hand rehabilitation is core to helping stroke survivors regain activities of daily living. Recent studies have suggested that the use of electroencephalography-based brain-computer interfaces (BCI) can promote this process. Here, we report the first systematic examination of the literature on the use of BCI-robot systems for the rehabilitation of fine motor skills associated with hand movement and profile these systems from a technical and clinical perspective.

Methods: A search for January 2010-October 2019 articles using Ovid MEDLINE, Embase, PEDro, PsycINFO, IEEE Xplore and Cochrane Library databases was performed. The selection criteria included BCI-hand robotic systems for rehabilitation at different stages of development involving tests on healthy participants or people who have had a stroke. Data fields include those related to study design, participant characteristics, technical specifications of the system, and clinical outcome measures.

Results: 30 studies were identified as eligible for qualitative review and among these, 11 studies involved testing a BCI-hand robot on chronic and subacute stroke patients. Statistically significant improvements in motor assessment scores relative to controls were observed for three BCI-hand robot interventions. The degree of robot control for the majority of studies was limited to triggering the device to perform grasping or pinching movements using motor imagery. Most employed a combination of kinaesthetic and visual response via the robotic device and display screen, respectively, to match feedback to motor imagery.

Conclusion: 19 out of 30 studies on BCI-robotic systems for hand rehabilitation report systems at prototype or pre-clinical stages of development. We identified large heterogeneity in reporting and emphasise the need to develop a standard protocol for assessing technical and clinical outcomes so that the necessary evidence base on efficiency and efficacy can be developed.

Background

There is growing interest in the use of robotics within the field of rehabilitation. This interest is driven by the increasing number of people requiring rehabilitation following problems such as stroke (with an ageing population), and the global phenomenon of insufficient numbers of therapists able to deliver rehabilitation exercises to patients [1,2]. Robotic systems allow a therapist to prescribe exercises that can then be guided by the robot rather than the therapist. An important principle within the use of such systems is that the robots assist the patient to actively undertake a prescribed movement rather than the patient's limb being moved passively. This means that it is necessary for the system to sense when the patient is trying to generate the required movement (given that, by definition, the patient normally struggles with the action). One potential solution to this issue is to use force sensors that can detect when the patient is starting to generate the movement (at which point the robot's motors can provide assistive forces). It is also possible to use measures of muscle activation (EMGs) to detect the intent to move [3]. In the last two decades there has been a concerted effort by groups of clinicians, neuroscientists and engineers to integrate robotic systems with brain signals correlated with a patient trying to actively generate a movement, or imagine a motor action, to enhance the efficacy and effectiveness of stroke rehabilitation- these systems fall under the definition of Brain Computer Interfaces, or BCIs [4].

BCIs allow brain state-dependent control of robotic devices to aid stroke patients during upper limb therapy. While BCIs in their general form have been in development for almost 50 years [5] and were theoretically made possible since the discovery of the scalp-recorded human electroencephalogram (EEG) in the 1920s [6], their application to rehabilitation is more recent [7–9]. Graimann et al. [10] defined a BCI as an artificial system that provides direct communication between the brain and a device based on the user's intent; bypassing

the normal efferent pathways of the body's peripheral nervous system. A BCI recognises user intent by measuring brain activity and translating it into executable commands usually performed by a computer, hence the term "brain-computer interface".

Most robotic devices used in upper limb rehabilitation exist in the form of exoskeletons or end-effectors. Robotic exoskeletons (i.e., powered orthoses, braces) are wearable devices where the actuators are biomechanically aligned with the wearer's joints and linkages; allowing the additional torque to provide assistance, augmentation and even resistance during training [11]. In comparison, end-effector systems generate movement through applying forces to the most distal segment of the extremity via handles and attachments [11].

Rehabilitation robots are classified as Class II-B medical devices (i.e., a therapeutic device that administers the exchange of energy, mechanically, to a patient) and safety considerations are important during development [12,13]. Most commercial robots are focused on arms and legs, each offering a unique therapy methodology. There is also a category of device that target the hand and finger. While often less studied than the proximal areas of the upper limb, hand and finger rehabilitation are core component in regaining activities of daily living (ADL) [14]. Many ADLs require dexterous and fine motor movements (e.g. grasping and pinching) and there is evidence that even patients with minimal proximal shoulder and elbow control can regain some hand capacity long-term following stroke [15].

The strategy of BCI-robot systems (i.e. systems that integrate BCI and robots into one unified system) in rehabilitation is to recognise the patient's intention to move or perform a task via a neural or physiological signal, and then use a robotic device to provide assistive forces in a manner that mimics the actions of a therapist during standard therapy sessions [16]. The resulting feedback is patient-driven and is designed to aid in closing the neural loop from

intention to execution. This process is said to promote use-dependent neuroplasticity within intact brain regions and relies on the repeated experience of initiating and achieving a specified target [17,18]; making the active participation of the patient in performing the therapy exercises an integral part of the motor re-learning process [19,20].

The aforementioned scalp-recorded EEG signal is a commonly used instrument for data acquisition in BCI systems because it is non-invasive, easy to use and can detect relevant brain activity with high temporal resolution [21,22]. In principle, the recognition of motor imagery (MI), the imagination of movement without execution, via EEG can allow the control of a device independent of muscle activity [10]. It has been shown that MI-based BCI can discriminate motor intent by detecting event-related spectral perturbations (ERSP) [23,24] and/or event-related desynchronisation/synchronisation (ERD/ERS) patterns in the μ (9-11 Hz) and β (14-30 Hz) sensorimotor rhythm of EEG signals [24]. However, EEG also brings with it some challenges. These neural markers are often concealed by various artifacts and may be difficult to recognise through the raw EEG signal alone. Thus, signal processing (including feature extraction and classification) is a vital part of obtaining a good MI signal for robotic control. A general pipeline for EEG data processing involves several steps. First, the data undergo a series of pre-processing routines (e.g., filtering and artifact removal) before feature extraction and classification for use as a control signal for the robotic hand. There are variety of methods to remove artifact from EEG and these choices depend on the overall scope of the work [25]. For instance, Independent Component Analysis (ICA) and Canonical Correlation Analysis (CCA) can support real-time applications but are dependent on manual input. In contrast, regression and wavelet methods are automated but support offline applications. There also exist automated and real-time applications such as adaptive filtering or using blind source separation (BSS) based methods. Recently, the research

community has been pushing real-time artifact rejection by reducing computational complexity e.g. Enhanced Automatic Wavelet-ICA (EAWICA) [26], hybrid ICA - Wavelet transform technique (ICA-W) [27] or by developing new approaches such as adaptive denoising frameworks [28] and Artifact Subspace Reconstruction (ASR) [29]. Feature extraction involves recognising useful information (e.g., spectral power, time epochs, spatial filtering) for better discriminability among mental states. For example, the common spatial patterns (CSP) algorithm is a type of spatial filter that maximises the variance of band pass-filtered EEG from one class to discriminate it from another [30]. Finally, classification (which can range from linear and simple algorithms such as Linear Discriminant Analysis (LDA), Linear Support Vector Machine (L-SVM) up to more complex techniques in deep learning such as Convolutional Neural Networks (CNN) and Recurrent Neural Networks (RNN) [31,32] involves the translation of these signals of intent to an action that provides the user feedback and closes the loop of the motor intent-to-action circuit.

The potential of MI-based BCIs has gained considerable attraction because the neural activity involved in the control of the robotic device may be a key component in the rehabilitation itself. For example, MI of movement is thought to activate some of the neural networks involved in movement execution (ME) [33–36]. The resulting rationale is that encouraging the use of MI could increase the capacity of the motor cortex to control major muscle movements and decrease the necessity to use neural circuits damaged post-stroke. The scientific justification for this approach was first provided by Jeannerod [36] who suggested that the neural substrates of MI are part of a shared network that is also activated during the simulation of action by the observation of action (AO) [36]. These ‘mirror neuron’ systems are thought to be an important component of motor control and learning [36] - hence the belief that activating these systems could aid rehabilitation. The use of a MI-BCI to control a

robot in comparison to traditional MI and physical practice provides a number of benefits to its user and the practitioner. These advantages include the fact that the former can provide a more streamlined approach such as sensing physiological states, automating visual and/or kinaesthetic feedback and enriching the task and increasing user motivation through gamification. There are also general concerns around the utility of motor imagery without physical movement (and the corresponding muscle development that comes from these) and it is possible that these issues could be overcome through a control strategy that progressively reduces the amount of support provided by the MI-BCI system and encourages active motor control [37,38].

A recent meta-analysis of the neural correlates of action (MI, AO and ME) quantified ‘conjunct’ and ‘contrast’ networks in the cortical and subcortical regions [33]. This analysis, which took advantage of open-source historical data from fMRI studies, reported consistent activation in the premotor, parietal and somatosensory areas for MI, AO and ME. Predicated on such data, researchers have reasoned that performing MI should cause activation of the neural substrates that are also involved in controlling movement and there have been a number of research projects that have used AO in combination with MI in neurorehabilitation [39–41] and motor learning studies [42,43] over the last decade.

One implication of using MI and AO to justify the use of BCI approaches is that great care must be taken with regard to the quality of the environment in which the rehabilitation takes place. While people can learn to modulate their brain rhythms without using motor imagery and there is variability across individuals in their ability to imagine motor actions, MI-driven BCI systems require (by design at least) for patient to imagine a movement. Likewise, AO requires the patients to clearly see the action. This suggests that the richness and vividness of

the visual cues provided is an essential part of an effective BCI system. It is also reasonable to assume that feedback is important within these processes and thus the quality of feedback should be considered as essential. Afterall, MI and AO are just tools to modulate brain states [40] and the effectiveness of these tools vary from one stroke patient to another [44]. Finally, motivation is known to play an important role in promoting active participation during therapy [20,45]. Thus, a good BCI system should incorporate an approach (such as gaming and positive reward) that increases motivation. Recent advances in technology make it far easier to create a rehabilitation environment that provides rich vivid cues, gives salient feedback and is motivating. For example, the rise of immersive technologies, including virtual reality (VR) and augmented reality (AR) platforms [46,45,47], allows for the creation of engaging visual experiences that have the potential to improve a patient's self-efficacy [48] and thereby encourage the patient to maintain the rehabilitation regime. One specific example of this is visually amplifying the movement made by a patient when the movement is of limited extent so that the patient can see their efforts are producing results [49].

In this review we set out to examine the literature to achieve a better understanding of the current value and potential of BCI-based robotic therapy with three specific objectives:

- (1) Identify how BCI technologies are being utilised in controlling robotic devices for hand rehabilitation. Our focus was on the study design and the tasks that are employed in setting up a BCI-hand robot therapy protocol.
- (2) Document the readiness of BCI systems. Because BCI for rehabilitation is still an emerging field of research, we expected that most studies would be in their proof-of-concept or clinical testing stages of development. Our purpose was to determine the limits of this technology in terms of: (a) resolution of hand MI detection and (b) the degree of robotic control.

(3) Evaluate the clinical significance of BCI-hand robot systems by looking at the outcome measures in motor recovery and determine if a standard protocol exists for these interventions.

It is important to note that there have been several recent reviews exploring BCI for stroke rehabilitation. For example, Monge-Pereira et al. [50] compiled EEG-based BCI studies for upper limb stroke rehabilitation. Their systematic review (involving 13 clinical studies on stroke and hemiplegic patients) reported on research methodological quality and improvements in the motor abilities of stroke patients. Cervera et al. [51] performed a meta-analysis on the clinical effectiveness of BCI-based stroke therapy among 9 randomised clinical trials (RCT). McConnell et al. [52] undertook a narrative review of 110 robotic devices with brain-machine interfaces for hand rehabilitation post-stroke. These reviews, in general, have reported that such systems provide improvements in both functional and clinical outcomes in pilot studies or trials involving small sample sizes. Thus, the literature indicates that EEG-based BCI are a promising general approach for rehabilitation post-stroke. The current work complements these previous reports by providing the first systematic examination on the use of BCI-robot systems for the rehabilitation of fine motor skills associated with hand movement and profiling these systems from a technical and clinical perspective.

Methods

Protocol Registration

Details of the protocol for this systematic review were registered on the International Prospective Register of Systematic Reviews (PROSPERO) and can be accessed at www.crd.york.ac.uk/PROSPERO (ID: CRD42018112107).

Search Strategy and Eligibility

An in-depth search of articles from January 2010 to October 2019 was performed on Ovid MEDLINE, Embase, PEDro, PsycINFO, IEEE Xplore and Cochrane Library. Only full-text articles published in English were selected for this review. Table 1 shows the combination of keywords used in the literature searching.

Table 1. Keyword Combinations

Set 1 (OR)		Set 2 (OR)		Set 3 (OR)
Brain-computer interface/BCI		Stroke (rehabilitation/		Robotic (exoskeleton/
Electroencephalography/EEG		therapy/treatment/recovery)		orthosis)
Brain-machine interface/BMI		Motor (rehabilitation,		Powered (exoskeleton/
Neural control interface	AND	therapy/treatment/recovery)	AND	orthosis)
Mind-machine interface		Neurorehabilitation		Robot
		Neurotherapy		Device
		Hand (rehabilitation/therapy/		
		recovery/exercises/movement)		

The inclusion criteria for the articles were: (1) publications that reported the development of an EEG-based BCI; (2) studies targeted towards the rehabilitation of the hand after stroke; (3) studies that involved the use of BCI and a robotic device (e.g., exoskeleton, end-effector type, platform-types, etc.); (4) studies that performed a pilot test on healthy participants or a clinical trial with people who have had a stroke. The articles were also screened for the

following exclusion criteria: (1) studies that targeted neurological diseases other than stroke; (2) studies that used other intention sensing mechanisms (electromyography/EMG, electrooculography/EOG, non-paretic hand, other body parts, etc.).

Two authors performed independent screenings of titles and abstracts based on the inclusion and exclusion criteria. The use of a third reviewer was planned a priori in cases where a lack of consensus existed around eligibility. However, consensus was achieved from the first two authors during this stage. Full-text articles were then obtained, and a second screening was performed until a final list of studies was agreed to be included for data extraction.

Data Extraction

The general characteristics of the study and their corresponding results were extracted from the full-text articles by the reviewers following the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) checklist. Data fields were extracted and categorised as follows:

- Participant characteristics: sample population, healthy or stroke patients, handedness, age, sex, acute or chronic stroke classification, and mean duration since stroke
- Study design: general description of study design, experimental and control groups
- Task design: description of the task instructed, and stimuli presentation (cue and feedback modalities, i.e.: visual, kinaesthetic, auditory, etc.)
- Technical specifications of the system: EEG system used (including number of channels), robot device used (e.g. hand exoskeleton, end-effector, etc.), actuation mode, and control strategy

- Main outcomes of the study: clinical outcomes (for studies involving stroke patients), classification accuracies (participant, group and study-levels), other significant findings

This data extraction strategy allowed us to further evaluate the technology and clinical use of the BCI-robot systems used in this study.

Technology Evaluation

EEG Acquisition

The signal acquisition element of an EEG-based BCI is critical to its success in recognising task-related intent. To better understand current practice, we gathered the type of electrode used (i.e., standard saline-soaked, gel or dry electrodes), the number of channels and its corresponding placement in the EEG cap. To illustrate where signals are recorded from, we plotted the frequency with which electrodes were used across studies on a topographical map using the 10-20 international electrode placement system.

Signal Processing

We evaluated the signal processing strategies used by each study looking specifically at the feature extraction and classification techniques within the data pipeline. For the studies that reported classification accuracies (i.e., comparing the predicted class against the ground truth), we were able to compare their results among the current state-of-the-art classification accuracies published in literature.

Robot-Assisted Rehabilitation

As the receiving end of the BCI pipeline and the provider of kinaesthetic feedback to the user, the robot-assisted device for hand rehabilitation plays a key role in providing the

intervention in this therapy regimen. The robot components were evaluated based on their actuation type, targeted body-part (i.e., single-finger, multi-finger, whole hand), and control strategy. We also reported on commercially available systems, which having passed a series of regulatory processes making them fit for commercial use, were classified as gold standard devices.

Technological Readiness

We assessed the development stages of the system as a whole by performing a Technological Readiness Assessment (TRA). Using this strategy, we were able to determine the maturity of the systems through a Technology Readiness Level (TRL) scale of 1-9 and quantify its implementation in a research or clinical setting [56]. Since a BCI-robot for rehabilitation can be categorised as a Class II-B medical device we have adapted a customised TRL scale to account for these requirements [56]. The customised TRL accounts for prototype development and pilot testing in human participants (TRL 3), safety testing (TRL 4-5), and small scale (TRL 6) to large scale (TRL 7-8) clinical trials. Performing a TRA on each device should allow us to map out where the technology is in terms of adoption and perceived usefulness. For example, if most of the studies have used devices that have TRL below the clinical trials stage (TRL 6-8), then we can have some confidence that said BCI-robot system is not yet widely accepted in the clinical community. In this way we can focus on questions that improve our understanding on the factors that impede its use as a viable therapy option for stroke survivors.

Clinical Use

Clinical Outcomes Measures

For studies involving stroke patients, clinical outcomes were obtained based on muscle improvement measures such as Fugl-Meyer Motor Assessment Upper Extremity (FMA-UE) scores [53], Action Research Arm Test (ARAT) scores [54], United Kingdom Medical Research Council (UK-MRC) muscle grade [55], Grip Strength (GS) Test and Pinch Strength (PS) Test scores (i.e., kilogram force collected using an electronic hand dynamometer) among others.

Physiotherapy Evidence Database (PEDro) Scale for Methodological Quality

A methodological quality assessment was also performed for clinical studies based on the PEDro Scale [57]. This scale evaluates studies with a checklist of 11 items based on experts' consensus criteria in physiotherapy practice. The complete details of the criteria can be found online [58]. A higher score in the PEDro scale (6 and above) implied better methodological quality but are not used as a measure of validity in terms of clinical outcomes. Pre-defined scores from this scale were already present in studies appearing in the PEDro search. However, studies without PEDro scores or are not present in the PEDro database at all had to be manually evaluated by the authors against the 11-item checklist (five of seven studies).

Results

Search Results

Figure 1 shows the study selection process and the number of articles obtained at each stage.

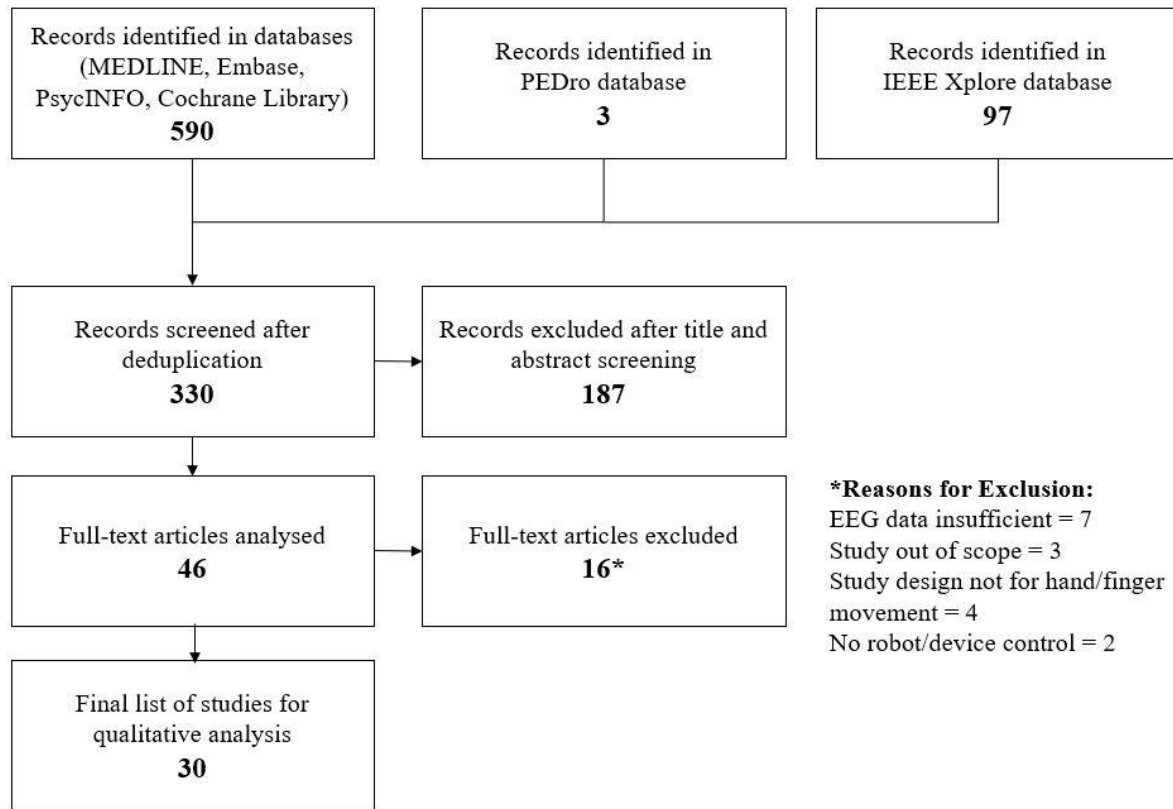


Figure 1. Study Selection Flowchart

A total of 590 studies were initially identified. After deduplication, 330 studies underwent title and abstract screening. Forty six studies passed this stage and among these, 16 were removed after full-text screening due to the following reasons: insufficient EEG and robotic data [59–65], the study was out of scope [66–68], the study design was not for hand/finger movement [69–72], no robot or mechatronic device was involved in the study [73,74]. A final sample of 30 studies were included in the qualitative review. Among the 30 studies, 11 [75–85] were involved in testing the BCI-hand robot system on chronic and subacute stroke

patients ([75,80] are RCTs) while the rest involved testing on healthy participants [86–104].

Table 2 shows a summary of the relevant data fields extracted from these studies.

[Table 2 Around Here]

Studies with Healthy Participants (Prototype Group)

The studies which involved pilot testing on healthy human participants had a combined total of 207 individuals (sample size ranging from 1-32) who had no history of stroke or other neurological diseases. Right-handed individuals made up 44.24% of the combined population while the other 55.76% were unreported. These studies aimed to report the successful implementation of a BCI-robot system for hand rehabilitation and were more heterogeneous in terms of study and task designs than those studies that involved clinical testing. The most common approach was to design and implement a hand orthosis controlled by MI which accounted for 9 out of the 19 studies and were measured based on classification accuracy during the calibration/training period and online testing. Li et al. [88] and Stan et al. [94] also aimed to trigger a hand orthosis but instead of MI, the triggers used by Li et al. is based on an attention threshold while Stan et al. used a vision-based P300 speller BCI. Bauer et al. [97] compared MI against ME using a BCI-device while Ono et al. [100] studied the implementation of an action observation strategy with a combined visual and kinaesthetic feedback or auditory feedback. Five more studies [91,95,96,98,99] focused on varying the feedback while two more [89,101] assessed the performance and safety of a hybrid BCI with EMG, EOG or both.

Studies with Stroke Patients (Clinical Group)

A total of 208 stroke patients (with sample size varying 3-74) were involved in the 11 clinical studies. One study [75] reported a 3-armed RCT with control groups as device-only and SAT while another study [80] was a multi-centre RCT with sham as the control group. Five studies were uncontrolled – where the aims were either to study classification accuracies during sessions [76], to monitor clinical outcomes improvement from Day 0 until the end of the programme [77,85] or both [79,82]. Two studies [83,84] compared effects of the intervention against SHAM feedback. Another study [78] compared the classification accuracies of healthy and hemiplegic stroke patients against two BCI classifiers while the remaining study [81] compared classification accuracies from stroke patients who receive congruent or incongruent visual and kinaesthetic feedback.

Technology Evaluation

EEG Acquisition

The EEG acquisition systems involved in the studies ranged from low-cost devices having few electrode channels (2-15 gel or saline-soaked silver/silver chloride [Ag/AgCl] electrodes) to standard EEG caps that had higher spatial resolution (16-256 gel or saline-soaked Ag/AgCl electrodes). The placement of EEG channels was accounted for by studies involving MI (N=21). This allowed us to determine the usage frequency among electrodes and is presented in Figure 2 as a heat map generated in R Studio (using the packages: “akima”, “ggplot2” and “reshape2”) against the 10-20 international electrode placement system.

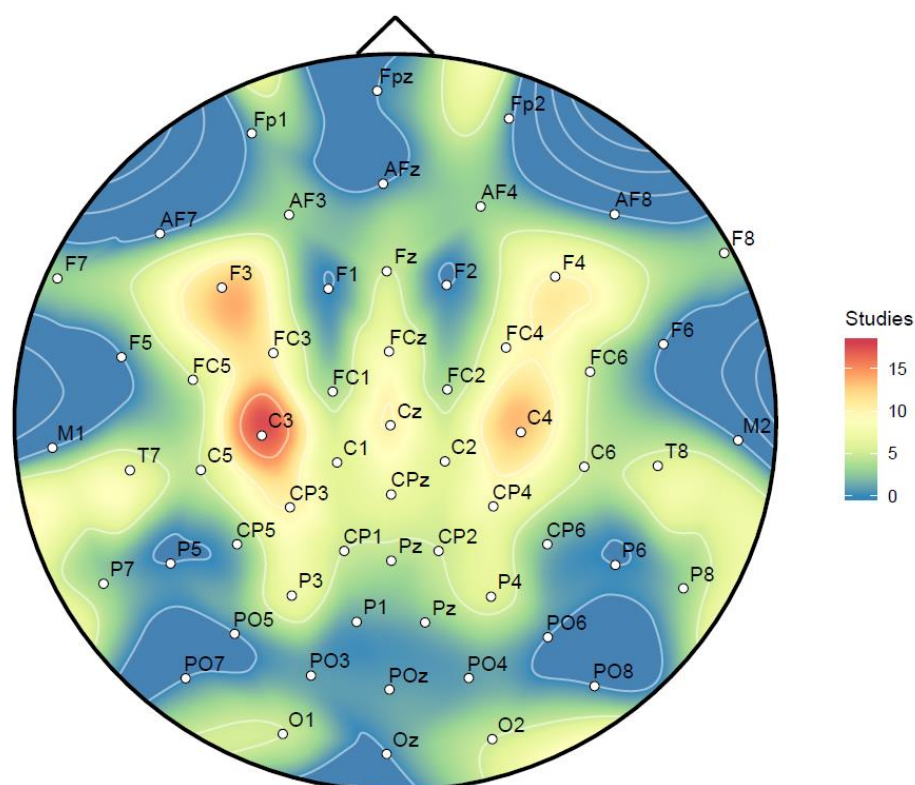


Figure 2. EEG Channel Usage across Motor Imagery Studies (N=21)

It can be seen that the EEG channels used for MI studies are concentrated towards electrodes along the central sulcus (C) region and the frontal lobe (F) region of the placement system where the motor cortex strip lies. Among these, C3 (N=17) and F3 (N=14) were mostly used, presumably because a majority of the participants were right-handed. The next most frequent were C4 (N=13) and the electrodes F4, Cz and CP3 (N=10).

Signal Processing: Feature Extraction and Classification

In the EEG-based BCI studies examined, it was found that the feature extraction and classification techniques were variable between systems. Table 3 provides a summary of pre-processing, feature extraction and classification techniques across the studies. There was a wide variation in the implemented signal processing strategies, but a unifying theme across

386 studies was the attempt to: (i) discriminate mental states recorded in EEG across different
387 manual tasks; (ii) classify the different states to produce a viable signal.

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389 **Table 3. BCI Feature Extraction and Classification**

Study	Pre-Processing	Feature Extraction	Classification	Hand Task
Ang et al. [75]	Band-pass (0.05-40 Hz)	Filter Bank Common Spatial Pattern (FBCSP) algorithm [105]	Calibration model (unspecified)	MI vs rest
Barsotti et al. [76]	Band-pass (8-24 Hz)	ERD (β and μ - decrease), CSP	SVM with linear kernel	MI vs rest
Bauer et al. [97]	Band-pass (6-16 Hz using zero- phase lag FIR	ERD (β -decrease)	Linear autoregressive model based on Burg Algorithm	MI vs rest
Bundy et al. [77]	Unspecified	ERD (β and μ - decrease)	Linear autoregressive model	MI (affected, unaffected) vs rest
Chowdhury et al. [78]	Band-pass (0.1 Hz-100 Hz), Notch (50 Hz)	CSP Covariance- based, ERD/ERS (β and μ -change)	SVM with linear kernel, Covariate Shift Detection (CSD)-based Adaptive Classifier	left vs right MI
Coffey et al. [92]	Band-pass (0.5 Hz-30 Hz), Notch (50 Hz)	CSP Covariance- based	Linear Discriminant Analysis (LDA) classifier	MI vs rest
Diab et al. [103]	Unspecified	Time epochs (unspecified)	Artificial Neural Network (ANN)-based Feed Forward Back Propagation	Non-MI open vs closed
Frolov al. [80]	Band-pass (5-30 Hz), FIR (order 101), IIR notch Chebyshev type I filter (50 Hz)	Time epochs (10 s)	Bayesian-based EEG covariance classifier [106]	MI (affected, unaffected) vs rest
Ono et al. [81]	Band-pass (0.5- 30 Hz), notch (50 or 60 Hz)	Time epochs (700 ms), ERD (μ - decrease)	Linear Discriminant Analysis (LDA) classifier	MI vs rest

Ramos-Murguialday et al. [95]	Unspecified	Time epochs (5 s), Spatial filter, ERD/ERS (β and μ -change)	Linear autoregressive model	MI vs rest
Vukelic and Gharabaghi [99]	High-pass (unspecified)	ERD (β -decrease)	Linear autoregressive model based on Burg Algorithm	MI vs rest
Witkowski et al. [101]	Band-pass (0.4-70 Hz), Laplacian filter	ERD/ERS (β and μ -change)	Linear autoregressive model based on Yule-Walker algorithm	MI vs rest

SVM = Support Vector Machines, FIR = Finite Impulse Response, IIR = Infinite Impulse Response

While classification accuracy is contingent on the number of mental state classes the system is trying to discriminate, classification accuracies do provide a comparable metric among BCI systems. In our review, we found high variation in the reported mean classification accuracies among the BCI systems in this study (i.e., 2-class left-hand and right-hand classification)-ranging from 40% (below chance-level) [80–82] up to 95% [88,93,103,104]. For reference, two recent reviews on the state-of-the-art in classification accuracies for motor imagery BCI find ranges between 63-97% [107] and 68-90% [108].

Robot-Assisted Rehabilitation

Robotic hand rehabilitation systems provide kinaesthetic feedback to the user during BCI trials. Most of these devices are powered by either DC motors, servomotors or pneumatic actuators that transmit energy via rigid links or Bowden cables in a tendon-like fashion. The studies in this review included single-finger [84–86], multi-finger [82] (including EMOHEX [78,79,87]), full hand gloves [88,89] (including mano: Hand Exoskeleton [90] and Gloreha [91]) and full arm exoskeletons with isolated finger actuation (BRAVO-Hand [76]). Nine of the studies [77,87,88,90,92–96] presented their novel design of a hand rehabilitation device within the article while some reported on devices reported elsewhere (i.e., in a previous study of the group

or a research collaborator). Two commercially-available devices were also used: AMADEO (Tyromotion, Austria) is an end-effector device used in 3 studies [97–99], and Gloreha (Idrogenet, Italy) is a full robotic hand glove used by Tacchino et al. [91]. AMADEO and Gloreha are both rehabilitation devices that have passed regulatory standards in their respective regions. AMADEO remains the gold standard for hand rehabilitation devices as it has passed safety and risk assessments and provided favourable rehabilitation outcomes. The International Classification of Functioning, Disability and Health (ICF) provides three specific domains that can be used to assess an intervention of this kind: improving impairments, supporting performance of activities and promoting participation [109,110]. In this case, a gold standard device not only prioritises user safety (established early in the development process) but also delivers favourable outcomes in scales against these domains. Figure 3 shows the main types of robotic hand rehabilitation devices.

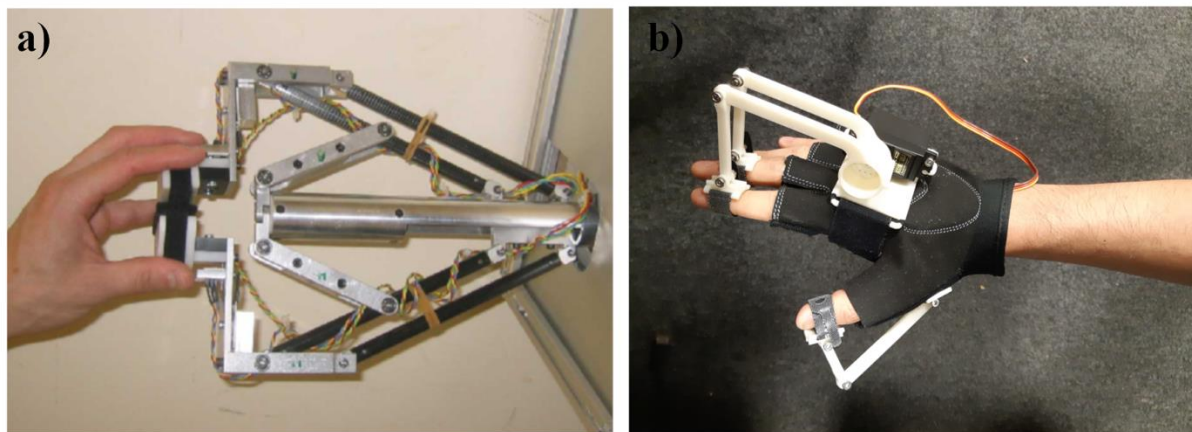


Figure 3. Robotic hand rehabilitation devices: a) An end-effector device (Haptic Knob) used in one of the extracted studies [75,111], b) a wearable hand exoskeleton/orthosis

Technology Readiness Assessment

A Technology Readiness Assessment (TRA) [56] was performed for each study and the Technology Readiness Levels (TRL) are presented in Table 4. While some of the system components (especially among robotic devices) were commercially available (having TRL

9+), we performed a TRA on the whole system (the interaction between BCI and robotics) to provide an evaluation of its maturity and state-of-the-art development with regard to rehabilitation medicine. We further assessed the TRL of each system at the time of the publication and its subsequent development.

Table 4. Technology Readiness Assessment of the BCI-Hand Robot Systems

Levels	Description	Studies
TRL 1	- Lowest level of technological readiness - Literature reviews and initial market surveys - Scientific application to defined problems	
TRL 2	- Generation of hypotheses - Development of research plans and/or protocols	
TRL 3	- Testing of hypotheses – basic research, data collection and analysis - Testing of design/prototype – verification and critical component specifications - Initial proof-of-concept in limited amount of laboratory/animal models	Most studies from the prototype group (N=18) [86–100,102–104]
TRL 4	- Proof-of-concept of device/system in defined laboratory/animal models - Safety testing – problems, adverse events and potential side effects	Witkowski et al., 2014 [101]
TRL 5	- Comparison of device/system to other existing modalities or equivalent devices/systems - Further development – testing through simulation (tissue or organ models), animal testing - Drafting of Product Development Plan	Barsotti et al., 2015 [76], Ono et al., 2016 [81], Chowdhury et al., 2018-b [78], Tsuchimoto et al., 2019 [84]

TRL 6	Small scale clinical trials (Phase 1) – under carefully controlled and intensely monitored clinical conditions	Carino-Escobar et al., 2019 [85], Chowdhury et al., 2018-c [79], Norman et al., 2018 [82], Wang et al., 2018 [83]
TRL 7	Clinical trials (Phase 2) – safety and effectiveness integration in operational environment	Ang et al., 2014 [75], Frolov et al., 2017 [80]
TRL 8	- Clinical trials (Phase 3) – evaluation of overall risk-benefit of device/system use - Confirmation of QSR compliance - Awarding of PMA for device/system by CDRH or equivalent agency	
TRL 9	The device/system may be distributed/marketed	

QSR = Quality System Requirements, PMA = Premarket Approval, CDRH = Center for Devices and Radiological Health

Clinical Use

Clinical Outcomes Measures

Most of the studies adopted FMA-UE, ARAT and GS measurements to assess clinical outcomes. Six studies [75,77,79,80,83,85] reported patient improvement in these measures when subjected to BCI-hand robot interventions; in contrast with their respective controls or as recorded through time in the programme. For Ang et al. [75], FMA-UE Distal scores were reported in weeks 3, 6, 12 and 24 and the BCI-device group (N=6) yielded the highest improvement in scores across all time points as compared to the device only (N=8) and SAT (N=7) groups. Bundy et al. [77] reported an average of 6.20±3.81 improvement in the ARAT scores of its participants (N=10) in the span of 12 weeks while Chowdhury et al. [79] reported a group mean difference of +6.38 kg (p=0.06) and +5.66 (p<0.05) in GS and ARAT scores, respectively (N=4). Frolov et al.'s [80] multi-centre RCT reported a higher improvement in

the FMA-UE Distal, ARAT Grasp and ARAT Pinch scores of the BCI-device group (N=55) when compared to the control/SHAM group (N=19), but not in the ARAT Grip scores where the values are both equal to 1.0 with $p < 0.01$ for the BCI-device group and $p = 0.045$ for the control.

Physiotherapy Evidence Database (PEDro) Scale for Methodological Quality

For the studies that had a clinical testing component, a methodological quality assessment by the PEDro Scale was performed. Two studies which appeared on the PEDro search [75,80] had predetermined scores in the scale and were extracted for this part while the rest were manually evaluated by the authors. Table 5 shows the results of the methodological quality assessment against the scale. Note that in the PEDro Scale, the presence of an eligibility criteria is not included in the final score.

Table 5. Methodological Quality of Clinical Studies based on PEDro Scale

Criteria		Ang et al.	Barsotti et al.	Bundy et al.	Carino-Escobar et al.	Chowdhury-b	Chowdhury-c	Frolov et al.	Norman et al.	Ono et al.	Tsuchimoto et al.	Wang et al.
1	Eligibility criteria*	1	1	1	1	1	1	1	1	0	1	1
2	Random allocation	1	0	0	0	0	0	1	0	0	1	1
3	Concealed allocation	0	0	0	0	0	0	0	0	0	1	1
4	Baseline comparability	1	0	1	0	1	1	1	0	0	0	0
5	Blind subjects	0	0	0	0	0	0	0	0	0	1	1
6	Blind therapists	0	0	0	0	0	0	0	0	0	1	0
7	Blind assessors	1	0	0	0	0	0	1	0	0	0	1
8	Adequate follow-up	1	1	1	1	1	1	0	1	1	1	1
9	Intention-to-treat analysis	0	0	1	1	1	1	0	1	0	0	0
10	Between-group comparisons	1	0	0	0	1	0	1	0	1	1	1
11	Point estimates and variability	1	1	1	1	1	0	1	1	1	1	1

	Total	6	2	4	4	5	3	5	4	3	7	7
466												
467	*Not included in the final score											

Discussion

To the best of our knowledge, this is the first systematic examination of BCI-driven robotic systems specific for hand rehabilitation. Through undertaking this review we found several limitations present from the studies identified and we examine these in more detail here and provide recommendations for future work in this area.

To provide clarity on the state of the current development of BCI-hand robot systems, we looked into the maturity of technology used in each study as determined by its readiness level (TRL). All but one in the prototype group was rated as having TRL 3 while the clinical group was more varied in their TRL (ranging from 5-7). The system used by Witkowski et al. [101], a prototype study, was rated TRL 4 due to the study being performed on the basis of improving and assessing its safety features. It is also worth noting that while a formal safety assessment was not performed for the TRL 3 prototypes of Stan et al. [94], Randazzo et al. [90] and Tacchino et al. [91], safety considerations and/or implementations were made; a criterion to be satisfied before proceeding to TRL 4. The system used by Chowdhury et al. is a good example of improving a TRL from 5 to 6 with a pilot clinical study published within the same year [78,79]. The two systems used in the RCT studies by Ang et al. [75] and Frolov et al. [80] achieved the highest score (TRL 7) among all of the studies which also meant that no BCI-hand robot system for stroke rehabilitation has ever been registered and commercially-released to date. This suggests that such systems lack the strong evidence that would propel commercialisation and technology adoption.

Heterogeneity in the study designs was apparent in both the clinical and prototype groups. The lack of control groups and random allocation in clinical studies (e.g., only 2 out of 7 studies are in the huge sample size RCT stage) made us unable to perform a meta-analysis of

effects and continue the study by Cervera et al [51] with a focus on BCI-hand robot interventions. Results from the methodological quality assessment showed that only two studies [83,84] had a score of 7 in the PEDro scale. Although non-conclusive, these results support the notion that most of the studies are not aligned with the criteria of high-quality evidence-based interventions.

Almost all the clinical studies (except for Carino-Escobar et al. [85] and Frolov et al. [80]) limited their recruitment to chronic stroke patients. The reason may be due to the highly variable rates of recovery in patients at different stages in their disease [112]. Baseline treatments were also not reported among the clinical studies. Instead, the BCI-robot interventions were compared to control groups using standard arm therapy; an example of this was done by Ang et al. [75]. The heterogeneity of experimental designs reported in this review raises the need to develop clearly defined protocols when conducting BCI-hand robot studies on stroke patients. Until new systems have been assessed on this standard, it will be difficult to generate strong evidence supporting the effectiveness of BCI-robotic devices for hand rehabilitation.

In the development of any BCI-robotic device there are several design and feature considerations that need to be made to ensure that the systems are both fit for purpose and acceptable to the end-user. These design considerations must go beyond the scope of understanding the anatomy of the hand and the physiology of motor recovery in response to therapy. Feedback from stroke patients should also be an essential part of this design process. Among the extracted studies, we surveyed the extent of end-user involvement in the initial stages of development (i.e., through consultations, interviews and therapy observations) and we found that there were no explicit statements about these in the reports. We recommend, as

good practice, for future work in this area to report the type and degree of patient and/or physician involvement in device development to allow reviewers and readers to more readily gauge the potential usability of the system.

We were able to profile the BCI-hand robot systems regarding their technical specifications and design features. In hardware terms, a BCI-hand robot system involves three major components: (1) An EEG data acquisition system with several electrodes connected to a signal amplifier; (2) A computer where raw EEG data is received then processed by filters and classifiers and where most of the cues and feedback during training is presented via a visual display; (3) a robotic hand rehabilitation system for providing the physical therapy back to the user.

The majority of the studies (N=19) used a BCI solely based on EEG while the rest were combined with other sensors: EEG with EMG [75,78,87,91,95–98], EEG with force sensors [79] and an EEG-EMG-EOG hybrid system [89,101]. The purpose of this integration is mainly to improve signal quality by accounting for artifact or to provide added modalities. Action potentials such as those caused by ocular, muscular and facial movements interfere with nearby electrodes and the presence of an added electrophysiological sensor accounting for these would enable the technician to perform noise cancellation techniques as a first step in signal processing.

The choice of EEG system as well as the type of electrodes provides a technical trade-off and affects the session both in terms of subjective experiences (i.e., ease-of-use, preparation time, cleaning, comfortability) and data performance. Due to the presence of a conducting gel/solution, standard “wet” electrodes provide a degree of confidence in preventing signal

disruption within a short duration usually enough for a standard stroke therapy session. However, this also makes the setup, use and cleaning in the experiment more challenging, non-ambulatory and reliant on a specialised laboratory setup [10]. Conversely, dry electrodes offer an accessible, user-friendly and portable alternative by using dry metal pins or coatings that comb through hair and come in contact directly with the scalp. The signal fidelity of dry electrodes is still a matter of debate in the BCI community. A systematic comparison between dry passively-amplified and wet actively-amplified electrodes reported similar performance in the detection of event-related potentials (ERP) [113]. However, for a study involving dry active electrodes [114], high inter-electrode impedance resulted in increased single-trial and average noise levels as compared to both active and passive wet electrodes. In classifying MI, movement-related artifacts adversely affect active dry electrodes, but these can be addressed through a hybrid system of other physiological sensors to separate sources [115].

Almost all of the studies included used a standard EEG system with “wet” electrodes (e.g., g.USBamp by g.tec and BrainAmp by Brain Products) while three used Emotiv EPOC+, a semi-dry EEG system that uses sponge conductors infused with saline solution. While the use of dry electrodes has been observed in pilot and prototype studies of BCI-hand robot systems [67,64,93,102] and other motor imagery experiments [116–119], no dry EEG system was used in the final 30 studies that tested healthy or stroke participants. It is expected that as dry EEG systems continue to improve, their use in clinical studies of BCI will also become increasingly prominent.

The degree of BCI-robotic control for the majority of the studies (N=26) was limited to triggering the device to perform grasping (opening and closing of hand) and pinching (a thumb-index finger pinch or a 3-point thumb-index-middle finger pinch) movements using

MI and other techniques. A triggered assistance strategy provides the minimum amount of active participation from the patient in a BCI-robot setup [37]. The main advantages of this is that it is easy to implement; requiring less computational complexity in signal processing. However, a higher spatial or temporal volitional control over the therapeutic device increases its functionality and can be used to develop more engaging tasks for the stroke therapy. Among the studies, no robotic control setup was able to perform digit-specific MI which corresponds to the spatial aspects of volitional control. This is a limitation caused by the non-invasive setup of EEG and is due to the low spatial resolution brought by the distances between electrodes [120]. The homunculus model, a representation of the human body in the motor strip, maps the areas of the brain where activations have been reported to occur for motor processes. The challenge of decoding each finger digit MI in one hand is that they only tend to occupy a small area in this strip. Hence even the highest resolution electrode placement system (i.e., the five percent or 10-5 system – up to 345 electrodes) would have difficulties accounting for digit-specific MI for BCI. In contrast to EEG, electrocorticography (ECoG) have been used to detect digit-specific MI. The electrodes of ECoG come in contact directly with the motor cortex and is an invasive procedure; making it non-ideal for use in BCI therapy [121].

It is worth noting however that some studies were successful in implementing continuous control based on ERD/ERS patterns. A continuous control strategy increases the temporal volitional control over the robot as opposed to triggered assistance where a threshold is applied, and the robot finishes the movement for the participant. Bundy et al. [77] and Norman et al. [82] were both able to apply continuous control of a 3-DOF pinch-grip exoskeleton based on spectral power while Bauer et al. [97] provided ERD-dependent control of finger extension for an end-effector robot. These continuous control strategies have been

shown to be very useful in BCI-hand robots for assistive applications (i.e., partial or full device dependence for performing ADL tasks [122]). Whether this type of control can significantly improve stroke recovery is still in question as the strategy of robots for stroke rehabilitation can be more classified as a therapeutic “exercise” device.

Signal processing and machine learning play a vital role in the development of any EEG-based BCI. The pre-processing techniques (e.g., filtering, artifact removal), types of features computed from EEG, and the classifier used in machine learning can significantly affect the performance of the robotic system in classifying the user’s intent via MI [123]. False classification, especially during feedback, could be detrimental to the therapy regime as it relates to the reward and punishment mechanisms that are important in motor relearning [124]. For example, false negatives hinder the reward strategy that is essential to motivate the patient while false positives would also reward the action with the wrong intent. In this review, a critical appraisal of the signal processing techniques was done on each system to recognise the best practices involved. The current list of studies has revealed that approaches to develop MI-based EEG signal processing are highly diverse in nature, which makes it difficult to compare across the systems and hinders the development of new BCI systems informed by the strengths and weaknesses of existing state-of-the-art systems. The diversity in the design process can be beneficial to develop complex MI EEG-based BCI systems to achieve high efficiency and efficacy. However, such newly developed systems should be open sourced and easily reproducible by the research community to provide valid performance comparisons and drive forward the domain of robotic-assisted rehabilitation.

In addition to MI, other strategies for robotic control were reported. Diab et al. [103] and King et al. [104] both facilitated the movements of their respective orthoses by physical

practice while Stan et al. [94] utilised a P-300 evoked potential speller BCI, where the user visually focused on a single alphanumerical character situated in a grid. The chosen character then corresponded to a command for the hand orthosis thereby producing the desired stimulus for the patient. While the latter study reported 100% accuracy rate in terms of intention and execution, the EEG channels were situated in the visual cortex rather than the motor strip which deviates from the goal of activating the desired brain region for plasticity. This highlights a broader issue on the intent behind a BCI-robotic system. Given that any potential signal that can be reliably modulated by a patient can be used to trigger a robot, and that such an approach would be antithetical to the goal of many MI-based systems, engineers may consider how they can tailor their systems to ensure that the appropriate control strategy (and corresponding neural networks) are implemented by a user (e.g. by taking a hybrid approach that includes EMG and force sensors).

In order to facilitate hand MI and account for significant time-points in the EEG data, all the studies employed a cue-feedback strategy during their trials. 19 of the studies presented a form of visual cue while the rest, except for two unspecified [84,102], involved cues in auditory (“bleep”) [91,95–98], textual [93,94,104] or verbal [103] forms. As for the provision of a matching sensory feedback, 16 studies presented a combination of kinaesthetic and visual feedback with some also providing auditory feedback during successful movement attempts. All the studies provided kinaesthetic feedback through their robotic devices. Some systems with visual feedback, such as Wang et al. [83], Li et al. [88], Chowdhury et al. in both of their clinical studies [78,79] and Ono et al. in their clinical [81] and pilot testing experiments [100], used a video of an actual hand performing the desired action. Ang et al. [75] and Stan et al. [94], in a different strategy, provided visual feedback through photo manipulation and textual display, respectively. While these two studies reported promising

results, it should also be considered that such cue and feedback types (including Graz visualisations and auditory forms) are non-representative of hand movement and may not provide the same stimuli as an anthropomorphic representation of a hand moving its desired course. This may be essential when we base principles of stroke recovery in alignment with how MI correlates with AO – an underlying theme of the motor simulation theory proposed by Jeannerod [36]. Figure 4 shows how different kinds of visual cue and feedback can be presented to participants to help facilitate MI.

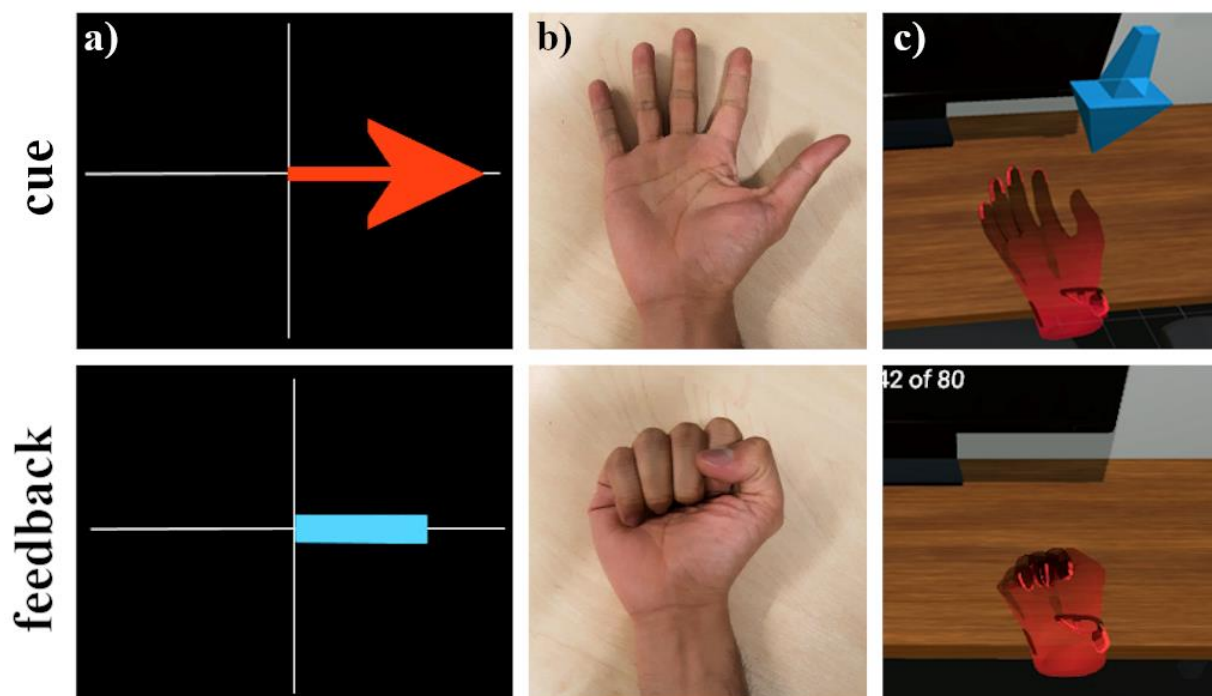


Figure 4. Visual cue and feedback during MI trials in different conditions. (a) Graz MI visualisations, (b) video recordings of hand movement and (c) virtual hand representation through VR/AR

Future Directions

There is clearly great potential for the use of BCI-hand robots in the rehabilitation of an affected hand following stroke. Nevertheless, it is important to emphasise that there is currently insufficient evidence to support the use of such systems within clinical settings. Moreover, the purported benefits of these systems rest on conjectures that require empirical evidence. In other words, there are grounds for supposing that MI could be useful within these rehabilitation settings but no supporting evidence. This systematic review has also revealed that there are a number of technological limitations to existing BCI-hand robotic systems. We stress an urgent need to address these limitations to ensure that the systems meet the minimum required levels of product specification (in measuring brain activity, processing signals, delivering forces to the hand and providing rich feedback and motivating settings). We question the ethics or usefulness of conducting clinical trials with such systems until they can demonstrate minimum levels of technological capability. We consider below what standards these systems should obtain before subjecting them to a clinical trial and discuss might constitute an acceptable standard for a clinical trial.

Ideal Setup for a BCI-hand Robot

We summarise the information revealed via the systematic review about what constitutes an acceptable setup for a BCI-hand robot for stroke rehabilitation. We focus on improving individual components in data acquisition, data processing, the hand rehabilitation robot, and the visual cue and feedback environment. Table 6 presents the features and specifications of a fully integrated acceptable system.

Table 6. Exemplary Features and Specifications of Future BCI-Hand Robot Systems

Component	Features and Specifications
Data Acquisition System and Software	• Dry EEG system with 8-16 channels, comfortable and easy to use • Inclusion of other bio-signal sensors such as EMG, EOG, force, accelerometers to remove artifacts and improve classification • Robust and reliable signal processing software: machine learning-based algorithms that discriminate brain states such as MI or evoked potentials with high classification accuracies ($\geq 95\%$) and lower calibration times
Hand Robot	• Safe, comfortable and aligned with the hand's range of motion • Effective in providing kinaesthetic feedback • Use of back-drivable or soft actuators that effectively assist movement without additional injury • Multiple levels of safety and emergency features (mechanical, electronic, software), clear and obvious operation
Visual Cue and Feedback	• Provide rich visual cue and feedback to intended tasks, geometric representation of the hand (video or simulated environment), can be in multiple platforms such as display monitors or VR/AR on a head-mounted device • Gamification of therapy exercises to provide an engaging regime to stroke patients

The implementation of these features in an ideal BCI-robot setup needs to be weighed against socioeconomic factors in healthcare delivery for it to be considered market ready. An ideal BCI system should primarily provide above chance-level classification after the first session on the first day of therapy. Ideally, the classification algorithm should also translate and adapt to following sessions or days; reducing the number of training sessions and focusing on the main therapy tasks. An alternative approach is to focus on making the setup an engaging experience. In other words, the delivery of intervention can be started immediately when the patient wears the EEG cap and runs the BCI system. For the hand robot system, more

straightforward criteria can be followed with the existence of the numerous design protocols, regulation standards and assessment matrices mentioned in this review. Nevertheless, end-user involvement in the design with the prioritisation of safety while allowing the most natural hand movement and ROM as possible is the recommended goal.

Ideal Setup for Clinical Trials

We also propose a set of specialised criteria for BCI-hand robot systems in addition to the standard motor improvement scores (e.g. ARAT, FMA-UE) evaluated during clinical trials. Firstly, classification accuracies between intended and interpreted actions from the data acquisition and software component should always be accounted to track the effectiveness of BCI in executing the clinical task. In addition to this, system calibration and training procedures, especially its duration, should be detailed in the protocol to document the reliability of the classification algorithm. There is not much to consider in the use of robotic devices as they are most likely to be mature (if not yet commercially available) before being used as the hardware component in the study. However, the devices' functionality (i.e., task to be performed, degree of control and motion, actuation and power transmission etc.) should always be stated as they contribute to the evaluation of interactions between other components in the system. Lastly, controls for the clinical study must always be included, even with small-scale patient studies. As discussed in this article, these controls may be in the form of sham, standard arm therapy (SAT), standard robotic therapy, congruency feedback and quality of stimuli among others. Having regarded and implemented these criteria would help homogenise the clinical data for future meta-analyses, strengthen evidence-based results and provide a reliable way of documentation for individual and/or interacting components.

Proposed roadmap

We suggest that the immediate focus for BCI-controlled robotic device research should be around the engineering challenges. It is only when these challenges have been met that it is useful and ethical to subject the systems to clinical trials. We recommend that the challenges be broken down into the following elements: (1) data acquisition; (2) signal processing and classification; (3) robotic device; (4) priming and feedback environment; (5) integration of these four elements. The nature of these challenges means that a multidisciplinary approach is required (e.g. the inclusion of psychologists, cognitive neuroscientists and physiologists to drive the adoption of reliable neural data acquisition). It seems probable that progress will be made by different laboratories tackling some or all of these elements and coordinating information sharing and technology improvements. Once the challenges have been met (i.e. there is a system that is able to take neural signals and use these to help drive a robotic system capable of providing appropriate forces to the hand within a motivating environment) then robust clinical trials can be conducted to ensure that the promise of this approach does translate into solid empirical evidence supporting the use of these systems within clinical settings.

Declarations

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Availability of Data and Materials

A full database of selected studies including those extracted during the search and selection process is available from the corresponding author on reasonable request.

Authors' Contributions

PDEB and ECS performed the initial search and screening of the studies. MA performed the analysis of signal processing techniques. AA, AEJ and RJH made contribution to the robotics, design and other engineering aspects of the current work. FM provided analysis related to EEG and BCI. MMW contributed to the clinical and overall direction of the review. PDEB, FM and MMW were the major contributors to the writing of the manuscript. All authors read and approved the final manuscript.

Competing Interests

The authors declare that they have no competing interests.

Ethics Approval and Consent to Participate

Not applicable.

Consent for Publication

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Table 2. Summary of Studies

Authors	Participants	Study Design	Task Design	BCI-Hand Robot	Main Outcomes
Studies involving stroke patients					
Ang et al., 2014 [75]	N=27 (7F:14M) Moderate to severe impairment of UE function Mean age: 54.2y Mean stroke duration: 385.1 days	3-armed RCT of motor function with MI-BCI-device as intervention Control groups: device only (Haptic Knob), SAT	Photo manipulation: hand opening and closing, pronation and supination Cue: visual (photo) Feedback: visual (photo) and kinaesthetic	EEG: 27 channels to classify ERD/ERS and coupled with EMG to confirm MI Device: Haptic Knob, 2-DOF for hand grasping and knob manipulation Actuation: DC brushed motors with linear belt drive Control: trigger	Clinical outcome measure: FMMA Distal, improvement in weeks 3, 6, 12, 24 BCI-device group = 2.5±2.4, 3.3±2.3, 3.2±2.7, 4.2±3.1 Device only group = 1.6±2.5, 2.9±3.0, 2.5±2.6, 2.5±3.0 SAT group = 0.4±1.1, 1.9±1.9, 1.0±1.3, 0.3±2.1
Barsotti et al., 2015 [76]	N=3 (1F:2M) Chronic stroke survivors with right arm hemiparesis Mean age: 62±12y	Probing MI classification by BCI training, time-frequency analysis and robot trajectories Uncontrolled	Reaching-grasping-releasing Cue: visual Feedback: kinaesthetic Minimum time required to perform MI = 2s	EEG: 13 channels to classify ERD Device: BRAVO 2-DOF hand orthosis attached to full UE exoskeleton Actuation: DC motors with rigid links Control: trigger	Mean classification accuracy during BCI training = 82.51±2.04% Average delay from visual cue to robot initiation = 3.45±1.6s Average delay due to patient's ability to start MI = 1.45s
Bundy et al., 2017 [77]	N=10 Chronic hemiparetic stroke with moderate to severe UE hemiparesis Mean age: 58.6±10.3y	Motor function evaluation before and after intervention by MI-BCI from unaffected hemisphere	Opening of affected hand Cue: visual Feedback: visual and kinaesthetic	EEG: 8 channels to classify ERD Device: 3-pinch grip, 1-DOF hand exoskeleton	Clinical outcome measure: ARAT Score, improvement from baseline to completion (12 weeks) Mean ± SD = 6.20±3.81

Bundy et al. (cont'd)		Uncontrolled		Control: continuous depending on spectral power	Note: 5.7 ARAT Score is the minimal clinically important difference in chronic stroke survivors
Carino-Escobar et al. 2019 [85]	N=9 (4F:5M) Subacute ischaemic stroke Mean age: 59.9±2.8y Mean stroke duration: 158(±74)-185(±73) days	Determine longitudinal ERD/ERS patters and functional recovery with BCI-robot Uncontrolled	Extension-flexion of hand fingers Cue: visual (Graz MI) Feedback: visual and kinaesthetic	EEG: 11 channels to classify ERD/ERS Device: hand finger orthosis Actuation: DC motor with screw system for linear displacement, flexible links Control: trigger	FMA-UE: N=3 reported equal or higher than 3 score gains, N=3 no score gains, Mean longitudinal ERD/ERS: beta bands have higher association with time since stroke onset than alpha, and strong association with UL motor recovery
Chowdhury et al., 2018-b [78]	N=20 10 healthy and 10 hemiplegic stroke patients Mean age (healthy, stroke): 41±9.21y, 47.5±14.23y	Probe non-adaptive classifier (NAC) vs. Covariate Shift adaptive classifier (CSAC) of MI in EEG Control group: healthy participants	Extension-flexion of hand fingers Cue: visual Feedback: visual and kinaesthetic	EEG: 12 channels with EMG to classify ERD/ERS Device: EMOHEX 3-finger, 3-DOF each, exoskeleton (thumb, index, middle) Actuation: servomotors with rigid links Control: trigger	Mean classification accuracies during BCI training: Healthy group: calibration = 78.50±9.01%, NAC = 75.25±5.46%, CSAC = 81.50±4.89% Patient group: calibration = 79.63±13.11%, NAC = 70.25±3.43%, CSAC = 75.75±3.92%
Chowdhury et al., 2018-c [79]	N=4 (2F:2M) Chronic hemiplegic stroke patients, right-handed, left hand impaired Mean age: 44.75±15.69y Mean stroke duration: 7 ±1.15mo	Motor function evaluation by using active physical practice followed by MI-BCI-controlled device intervention Uncontrolled	Extension-flexion of hand fingers Cue: visual Feedback: visual and kinaesthetic	EEG: 12 channels with force sensors to classify ERD/ERS Device: EMOHEX 3-finger, 3-DOF each, exoskeleton (thumb, index, middle) Actuation: servomotors with rigid links Control: trigger	Classification accuracies of 4 participants: P01 = 81.45±8.12%, P02 = 70.21±4.43%, P03 = 76.88±4.49%, P04 = 74.55±4.35% Clinical outcome measures: GS and ARAT Scores, improvement from baseline to completion (6 weeks) GS scores: group mean difference = +6.38 kg, p=0.06

Chowdhury et al., 2018-c (cont'd)					ARAT scores: group mean difference = +5.66, p<0.05
Frolov et al., 2017 [80]	N=74 (26F:48M) BCI 55: Control 19 Subacute or chronic stroke with mild to hemiplegic hand paresis, right-handed	Multi-centre RCT of MI-BCI-controlled hand exoskeleton Control group: SHAM	3 Tasks: (1) motor relaxation, (2) imagery of left-hand opening, (3) imagery of right-hand opening Cue: visual Feedback: visual and kinaesthetic	EEG: 30 channels to classify the three mental tasks by Bayesian classifier based on covariance matrices Device: hand exoskeleton by Neurobotics, Russia Actuation: pneumatic motors with spring flexors Control: trigger	Mean classification accuracy during BCI training = 40.6% Clinical outcome measures: FMMA Distal and ARAT Scores, improvement in 10 days of training FMMA Distal = 2.0, p<0.01 (BCI) and 1.0, p=0.046 (control) ARAT Grasp = 3.0, p<0.01 (BCI) and 1.0, p=0.0394 (control) ARAT Grip = 1.0, p<0.01 (BCI) and 1.0, p=0.045 (control) ARAT Pinch = 1.0, p<0.01 (BCI) and 0.0, p=0.675 (control)
Norman et al., 2018 [82]	N=8 (All male) Chronic cortical and subcortical single haemorrhagic or ischaemic stroke (at least 6 months) Mean age: 59.5±11.8y	Implementation of sensorimotor rhythm (SMR) control on robot-assistive movement Uncontrolled	Extension of hand finger Cue: visual Feedback: visual and kinaesthetic	EEG: 16 channels mapping SMR changes Device: FINGER robot Actuation: Linear servo-tube actuator with rigid links Control: Visual - continuous (colour change respective to SMR), Robot - trigger	Mean classification accuracies: 8 participants: 83.1%, 76.3%, 73.3%, 68.2%, 74.5%, 86.5%, 47.9%, 40.0% Box and blocks test (BBT): At screening: mean score = 14.3±10.0, mean change after therapy = 4.3±4.5 (range 0-12). Higher score changes in participants who demonstrated SMR control but not significant (p=0.199)
Ono et al., 2016-a [81]	N=21 (9F:12M) Chronic stroke patients with hemiplegic hands Mean age: 57.9±2.4y	Probe congruent vs. incongruent MI feedback strategies Control groups: congruent (synchronous proprioceptive and visual feedback) and	Grasping of a tennis ball with a hand Cue: visual (video of hand performing action) Feedback: visual and kinaesthetic	EEG: 9 channels to classify ERD Device: Power Assist Hand - Team ATOM, Atsugi, Japan	Mean classification accuracies: Congruent feedback = 56.8±5.2%, chance level=36.4±4.5% Incongruent feedback = 40.0±3.5%, chance level 35.4±4.5%

Ono et al., 2016-a (cont'd)		incongruent (proprioceptive feedback given 1s after visual)		Actuation: pneumatic motors with rigid links Control: trigger	
Tsuchimoto et al., 2019 [84]	N=18 (3F:14M) Chronic haemorrhagic or ischaemic stroke (from 2mo onwards) Mean age: 58±10y	Implementation of MI- controlled robotic orthosis as neurofeedback Control: SHAM	Extension of hand finger Cue: unspecified Feedback: kinaesthetic and electrical stimulation	EEG: 5 channels to classify MI Device: robotic finger orthosis Actuation: servo motors with rigid links Control: trigger	Significant time-intervention interaction in the ipsilesional sensorimotor cortex. Higher coactivation of sensory and motor cortices for neurofeedback group in the ipsilesional sensorimotor cortices as compared to SHAM
Wang et al., 2018 [83]	N=24 (4F:20M) Chronic stroke patients with paralysed hands Mean age: 54±9y	Implementation of action observation and motor imagery (AO+MI) with kinaesthetic feedback Control: SHAM	Hand grasping Cue: visual (video of hand action / textual cues in SHAM group) Feedback: visual and kinaesthetic	EEG: 16 channels to classify ERD Device: robot hand Control: Trigger	AO+MI with kinaesthetic feedback group showed significant improvements in FMA-UE across longitudinal evaluation [$\chi^2(2) = 7.659$, $p = 0.022$], no significant difference in SHAM group [$\chi^2(2) = 4.537$, $p = 0.103$]
Authors	Participants	Study Design	Task Design	BCI-Hand Robot	Main Outcomes
Studies involving healthy participants					
Bauer et al., 2015 [97]	N=20 (11F:9M) Right-handed Mean age: 28.5±10.5y	Study on MI as compared to motor execution (ME) using BCI-device	Opening of left hand Cue: auditory Feedback: kinaesthetic	EEG: 31 channels to detect ERD, with EMG to classify MI from execution and account for tonic contraction Device: Amadeo, Tyromotion, Austria Control: discontinuation of ERD stops finger extension	Principal component analyses (between MI and execution) generated coefficients for the visual (VIS) and kinaesthetic (KIS) imagery scale, BCI-robot performance (BRI), tonic contraction task (MOC) and visuomotor integration task (VMI). VIS and KIS yielded high coefficients on MI while MOC and VMI yield high coefficients on ME. BRI show high coefficient yields on both MI and ME.

Cantillo-Negrete et al., 2015 [86]	N=1	Design and implementation of a MI-controlled hand orthosis	Extension-flexion of right-hand finger Cue: visual (modified Graz) Feedback: kinaesthetic	EEG: 11 channels to detect MI Device: 1-DOF hand finger orthosis Actuation: DC motor with screw system for linear displacement, flexible links Control: trigger	Classification accuracy = 78%
Chowdhury et al., 2015-a [87]	N=6 Age range: 20-30y	Study of cortico-muscular coupling in robotic finger exoskeleton control	Extension-flexion of hand fingers Cue: visual Feedback: kinaesthetic	EEG: 10 channels with EMG to classify MI Device: 3-finger, 3-DOF each, exoskeleton (thumb, index, middle) Actuation: servomotors with rigid links Control: trigger	Mean classification accuracies: passive execution = 69.17%, hand execution = 71.25%, MI = 67.92%
Coffey et al., 2014 [92]	N=3 (All male) Right-handed Age range: 24-28y	Design and implementation of a MI-controlled hand orthosis	Hand digit and wrist contraction and extension Cue: visual (Graz MI) Feedback: kinaesthetic	EEG: 27 channels to classify MI Device: hand glove controlled by Arduino Actuation: pneumatic Control: trigger	Glove inflation-deflation cycle = 22s Classification accuracies of 3 participants: A = 92.5%, B = 90.0%, C = 80.0%
Diab et al., 2016 [103]	N=5	Design and implementation of EEG-triggered wrist orthosis with accuracy improvement	Hand opening and closing Cue: verbal instruction Feedback: kinaesthetic	EEG: 14 channels to detect hand movement-related EEG Device: actuated Talon wrist orthosis Actuation: linear Control: trigger	Mean classification accuracies: simulation studies = 95%, online BCI training = 86%

Fok et al., 2011 [102]	N=4	Design and implementation of a MI-controlled hand orthosis	Hand opening and closing Cue: unspecified Feedback: visual (cursor movement) and kinaesthetic	EEG: 14 channels to detect MI-related ERD Device: actuated Talon wrist orthosis Actuation: linear actuator Control: trigger	EEG signals from imagined hand movement was correlated with the contralesional hemisphere and utilised to trigger the actuation of orthosis ERD was detected from 12 Hz bin power of EEG during move condition
Li et al., 2019 [88]	N=14 (4F:10M) Mean age: 23.8±0.89y	Design and implementation of an attention-controlled hand exoskeleton with rigid-soft mechanism	Hand grasping Cue: visual (video of hand action) Feedback: kinaesthetic	EEG: 3 channels to map signals relative to attention Device: hand exoskeleton Actuation: linear actuator with rigid-soft mechanism Control: Trigger	Mean classification accuracy: 95.54% actuation success rate against the attention threshold
Holmes et al., 2012 [93]	N=6 (All male, young adults)	Design and implementation of a MI-controlled hand orthosis	Hand opening and closing Cue: textual Feedback: kinaesthetic	EEG: 14 channels to detect hand movement-related EEG Device: ExoFlex Hand Exoskeleton controlled by Arduino Actuation: linear actuator connected to chained links that flex Control: trigger	Classification accuracies of 6 participants: T001 = 95%, T002 = 98%, D001 = 91%, U001 = 93%, E001 = 87%, E002 = 86%
King et al., 2011 [104]	N=1 (Female) 24y	Contralateral control of hand orthosis using EEG-based BCI	Right hand idling and grasping Cue: textual	EEG: 63 channels to control contralateral hand movement Device: hand orthosis	Offline classification accuracy = 95.3±0.6%, $p < 3.0866 \times 10^{-25}$

King et al. (cont'd)			Feedback: visual and kinaesthetic	Actuation: servomotors attached to Bowden cables as tendons Control: trigger	Average lag from voluntary contractions to BCI-robot control = 2.24 ± 0.19 s (after 5 sessions)
Naros et al., 2016 [98]	N=32 (16F:16M) Mean age: 25.9 ± 0.5 y	2x2 factorial design with parameters: adaptive classifier threshold and non-adaptive classifier threshold, contingent feedback and non-contingent feedback	Opening of right hand Cue: auditory Feedback: kinaesthetic	EEG: 32 channels to detect ERD, with EMG to classify MI (FC3, C3, CP3 used) Device: Amadeo, Tyromotion, Austria Control: trigger	Significant enhancement in group 1 (adaptive classifier + contingent feedback), $p=0.0078$ Significant reduction in group 4 (non-adaptive classifier + non-contingent feedback), $p=0.0391$ Motor performance improvement over baseline from first and last tasks, significant results: Group 1 (adaptive classifier + contingent feedback), $p=0.0313$ Group 4 = (non-adaptive classifier + non-contingent feedback), $p=0.0411$
Ono et al., 2018-b [100]	N=28 Right-handed except 1	Implementation of an action observation strategy with visual and proprioceptive, or auditory feedback to MI Control group: SHAM	Grasping of a tennis ball with a hand Cue: visual (video of hand performing action) Feedback: visual, kinaesthetic and auditory	EEG: 9 channels to classify ERD Device: Power Assist Hand - Team ATOM, Atsugi, Japan Actuation: pneumatic motors with rigid links Control: trigger	AO+MI + proprioceptive and visual feedback: Mean MI-ERD powers of correct feedback vs SHAM provide significant interaction, $F_{1,17}=6.618$, $p=0.020$ (6 days) Statistically significant increase in MI-ERD power in correct feedback group over baseline, $p=0.012$ (6 days)
Stan et al., 2015 [94]	N=9	Trigger a hand orthosis using a P300 speller BCI	Spell E (enable), A (hand opening) and B (hand closing) in P300 speller BCI to perform hang grasping, moving and releasing objects Cue: textual (spelling)	EEG: 8 channels focusing on visual cortex Device: hand orthosis Actuation: 2 servomotors and	Mean classification accuracies: 100% (on 6 th letter flash during calibration)

Stan et al. (cont'd)			Feedback: visual (textual) and kinaesthetic	current feedback circuitry Control: trigger	
Ramos-Murguialday et al., 2012 [95]	N=23 Mean age (contingent positive, contingent negative, SHAM): 26.6±4y, 26.5±5y, 26.2±2y	Probing MI with proprioceptive feedback Experimental groups: contingent positive, contingent negative feedback Control group: SHAM	5 tasks: MI without direct control, MI with direct control, passive, active, rest Cue: auditory Feedback: visual and kinaesthetic	EEG: 61 channels with EMG to classify ERD/ERS Device: hand orthosis Actuation: DC motor M-28 with a worm gearhead and Bowden cables for each finger Control: trigger	Contingent positive feedback provided higher BCI performance during MI without feedback than contingent negative and SHAM; and higher during MI with or without feedback as compared to rest
Ramos-Murguialday and Birbaumer, 2015 [96]	N=9 Right-handed Mean age: 26.6±4y	Detect oscillatory signatures of motor tasks during EEG	5 tasks: MI without direct control, MI with direct control, passive, active, rest Cue: auditory Feedback: visual and kinaesthetic	EEG: 61 channels with EMG to classify ERD/ERS Device: hand orthosis Actuation: DC motor M-28 with a worm gearhead and Bowden cables for each finger Control: trigger	Significant change in power in all frequency ranges during MI with direct control before trial initiation Kinaesthetic feedback increased significant changes in alpha and beta power; therefore, increasing BCI performance
Randazzo et al., 2018 [90]	N=9 (2F:7M) Mean age: 23±5y	Design and implementation of a hand orthosis with testing of kinaesthetic effects in EEG	4 tasks: rest (REST), exoskeleton-induced hand motions (EXO), MI of right hand (MI), exoskeleton-induced hand motions plus MI (MIEXO) Cue: visual Feedback: kinaesthetic	EEG: 16 channels to detect MI Device: mano hand exoskeleton Actuation: linear servomotors attached to Bowden cables as tendons Control: passive (exoskeleton not dependent on MI to	Mean classification accuracies among groups: (vs REST) MI = 63.02±5.91%, EXO = 69.64±5.74%, MIEXO = 72.19±6.57% MIEXO vs EXO = 69.91±9.86% Chance level at 95% confidence = 58% (N=50 trials)

Randazzo et al. (cont'd)				move during MIEOXO task)	
Tacchino et al., 2017 [91]	N=8 (7F:1M) Right-handed Mean age: 26.3±1.9y	2x2 factorial design with parameters: glove, no glove, active movement, passive movement	Opening and closing of hand, 4 tasks: (A) glove with active movement, (B) glove with passive movement, (C) no glove with active movement, (D) no glove and no movement Cue: auditory Feedback: kinaesthetic	EEG: 19 channels with EMG to detect ERD/ERS (C3, F3, Cz used) Device: Gloreha hand rehabilitation glove Actuation: electric actuators with Bowden cables on each finger Control: passive (glove not dependent on brain- state during tasks)	Statistically significant ERD changes in beta and mu bands were observed to initiate earlier in tasks A and C (involves active movement) Stronger and longer ERD was observed in tasks A and B (involves robotic assistance) suggesting reinforced afferent kinaesthetic feedback
Vukelic and Gharabaghi, 2015 [99]	N=11 (4F:7M) Right-handed Mean age: 25.83±3.1y	Assessment sensorimotor activity during MI with either visual or kinaesthetic feedback	Right hand opening Cue: visual (coloured cursor ball) Feedback: visual and kinaesthetic (separated by experimental groups)	EEG: 128 channels to detect ERD/ERS during MI (F3, CP3, C3 used) Device: Amadeo, Tyromotion, Austria Control: trigger	MI + kinaesthetic feedback group resulted in higher beta ERS (p=0.02) during rest and higher beta ERD (p=0.04) during MI Kinaesthetic feedback provides higher stability and sustained beta ERD activity than visual feedback
Witkowski et al., 2014 [101]	N=12 (4F:8M) Right-handed Mean age: 28.1±3.63y	Assessment performance and safety of EEG-EOG hybrid BCI	Right hand grasping Cue: visual (coloured squares and arrows) Feedback: kinaesthetic	EEG: 5 channels with EOG and EMG to detect ERD during MI Device: HX hand exoskeleton Actuation: DC motors with Bowden cables for thumb and index fingers Control: trigger	Mean classification accuracies: EEG only = 63.59±10.81% EEG/EOG hybrid = 60.77±9.42% Mean safety criterion violations during rest: EEG only = 45.91±26.8% EEG/EOG hybrid = 10.14±0.3%

1083 **List of Abbreviations**

1084	ADL	Activities of Daily Living
1085	ANN	Artificial Neural Network
1086	AO	Action Observation
1087	AR	Augmented Reality
1088	ARAT	Action Research Arm Test
1089	BCI	Brain-Computer Interface
1090	BMI	Brain-Machine Interface
1091	CDRH	Center for Devices and Radiological Health
1092	CNN	Convolutional Neural Network
1093	CSD	Covariate Shift Detection
1094	CSP	Common Spatial Pattern
1095	DC	Direct Current
1096	ECoG	Electrocorticography
1097	EEG	Electroencephalography
1098	EMG	Electromyography
1099	EOG	Electrooculography
1100	ERD	Event-Related Desynchronisation
1101	ERP	Event-Related Potential
1102	ERS	Event-Related Synchronisation
1103	ERSP	Event-Related Spectral Perturbation
1104	FBCSP	Filter Bank Common Spatial Pattern
1105	FIR	Finite Impulse Response
1106	FMA-UE	Fugl-Meyer Motor Assessment – Upper Extremity
1107	GS	Grip Strength

1108	IIR	Infinite Impulse Response
1109	LDA	Linear Discriminant Analysis
1110	ME	Motor Execution
1111	MI	Motor Imagery
1112	PEDro	Physiotherapy Evidence Database
1113	PMA	Premarket Approval
1114	PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-Analysis
1115	PROSPERO	International Prospective Register of Systematic Reviews
1116	PS	Pinch Strength
1117	QSR	Quality System Requirement
1118	RCT	Randomised Clinical Trial
1119	RNN	Recurrent Neural Network
1120	SAT	Standard Arm Therapy
1121	SVM	Support Vector Machine
1122	TRA	Technology Readiness Assessment
1123	TRL	Technology Readiness Levels
1124	UK-MRC	United Kingdom Medical Research Council
1125	VR	Virtual Reality