

Ferri- and ferro-electric switching in spontaneously chiral polar liquid crystals

Received: 25 February 2025

Accepted: 28 July 2025

Published online: 13 August 2025

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The recent discovery of spontaneous chiral symmetry breaking has demonstrated the possibility of discovering the exotic textures of ferromagnetic systems in liquid crystalline fluid ferro-electrics. We show that the polar smectic mesophase exhibited by the first molecule discovered to exhibit a spontaneously chiral ferroelectric nematic phase is also helical has a strongly varied textural morphology depending in its thermal history and phase ordering. Electro-optic studies demonstrate that the two spontaneously chiral phases exhibit field-induced phase transitions. For the nematic variant, this process is threshold-less and has no hysteresis, while for the smectic it has a clear threshold and shows hysteresis meaning this phase exhibits pseudo-ferrielectric switching, the first of its kind for ferroelectric nematic like phases. We show that helix formation can be both 1st and 2nd order, but when it is 1st it is accompanied by pre-transitional helix formation extending from the phase boundary into the preceding ferroelectric nematic phase.

Spontaneous symmetry breaking is a general phenomena where a symmetric system will undergo some transition to an asymmetric state where it is no longer invariant under some symmetry operation and has wide-ranging consequences for the natural world such as homochirality in biology¹, autocatalysis in chemistry², the Higgs mechanism in physics³ and even in the understanding of crowd control⁴. Liquid crystals can have various components of order (orientational, translational, bond orientation etc.) and, as such, symmetry breaking can have profound effects on the properties exhibited by the phase⁵. Ferroelectric liquid crystals are notable examples of symmetry breaking within soft matter research, resulting in a variety of phase structures with distinctly different properties. Chiral smectic C liquid crystals (SmC*) form a tilted helical structure where the molecular ordering of dipole moments associated with the chiral centre break mirror symmetry, resulting in an orthogonal polar phase that can be made ferroelectric upon proper confinement^{6,7}. Bent core liquid crystals can spontaneously break inversion symmetry forming phase structures containing layer polarisations of various types^{8–10} as well as also spontaneously breaking chiral symmetry where the layer polarisation rotates around a helix¹¹. The twist bend nematic (N_{TB})¹² and associated smectics^{13,14} are other examples of chiral symmetry breaking, forming phases that have degenerate left and right handed heliconic molecular

organisation, resulting in phases that are both chiral and locally polar^{9,15,16}.

In 2017, the ferroelectric nematic phase (N_F) phase was discovered^{17–19} in which the molecules spontaneously align syn-parallel to each other thus breaking inversion symmetry and resulting in longitudinally polar phases^{20–30}. It has been shown that the N_F phase can form twisted domains³¹ which can occur spontaneously even with degenerate anchoring orientations²⁷ to reduce the electrostatic cost of polarisation^{27,32}. Further investigations into novel N_F compounds led to the discovery of additional longitudinally polar phases^{33–40} with two in particular that demonstrated broken inversion symmetry and also distinct spontaneously chiral heliconic molecular organisation^{36,41}.

The so called ferroelectric twist bend nematic (N_{TBF}) phase^{41,42} (also referred to as ^{HC}N_F⁴³) and the polar helical smectic C (SmC_P^H) phase³⁶ both spontaneously form heliconic ordering of the LC molecules with a temperature-dependent pitch around ~ 400–1000 nm, resulting in selective reflection of visible light. Notably, below the N_{TBF} phase a further phase transition into a tilted smectic phase of unknown structure was observed⁴¹. This raises the question: does the helix unwind in some fashion to form a non-helical ferroelectric SmC phase, as has been recently discovered^{37–39}, or does the helix remain, meaning the phase is actually a SmC_P^H phase?

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In this paper we demonstrate this to be the latter case, showing that this unknown smectic phase is a $\text{SmC}_\text{P}^\text{H}$ phase (we will refer to the $\text{SmC}_\text{P}^\text{H}$ phase in **2** briefly as SmC_F throughout the first section of this article) showing continual existence of the heliconic structure and that the texture and defects of the $\text{SmC}_\text{P}^\text{H}$ phase vary strongly on the phase preceding it. We demonstrate that while both heliconic phases show field-induced phase transitions into their non-helical and non-tilted counterparts, the $\text{SmC}_\text{P}^\text{H}$ exhibits hysteresis of this behaviour, indicating ferroelectric switching, while the N_TBF does not. We also show that further transitions types into the $\text{SmC}_\text{P}^\text{H}$ phase are possible and that these are accompanied by pre-transitional helix formation.

Results

Materials

Table 1 shows the chemical structures and phases transitions of the materials presented here. Details of chemical synthesis and analysis (NMR, HRMS) can be found in the ESI. All four compounds detailed here contain a 1,3-dioxane ring and so will show isomerisation from the equatorial trans state to the axial trans state just as for the classic ferroelectric mesogen DIO⁴⁴. While for DIO this isomerisation will occur above ~140 °C, the increased size of the molecules here increases the energy barrier for the isomerisation to occur. Our experiments suggest that quickly heating to ~150 °C does not result in a reduction in transition temperatures, but sustained time above this temperature results in a reduction in all transition temperatures, indicative of isomerisation. Compounds **1**³⁶ and **2**⁴¹ have been characterised previously and so their characterisation via Differential Scanning Calorimetry (DSC), X-ray, and Ps measurements are included in the ESI, while compounds **3** and **4** are discussed in the final section of this manuscript.

Polarised optical microscopy

Compound **1** melts into the SmA_F phase and in cells prepared with either parallel or anti-parallel rubbed surfaces, forms blocky textures when viewed using polarised optical microscopy (POM) (Fig. S1a). From azimuthal rotation of the cell with respect to the polarisers, it is clear that most of the blocky domains are orientated with the director close to the rubbing direction but with some slight in plane ϕ rotation diving the texture into domains. The blocky texture results from the restriction of splay deformations by the electrostatic cost of polarisation splay and the restriction of bend deformation by the formation of layers^{33,45}. Heating into the SmA phase retains these domains (Fig. S1b), but they are lost upon reaching the N phase where a uniform planar texture is obtained. Upon cooling back into the SmA_F phase a monodomain texture is obtained (Fig. S1c) regardless of cell thickness. No evidence of director twist^{27,31,46} is found even in cells using anti-parallel rubbed planar alignment layers.

Immediately upon transitioning to the $\text{SmC}_\text{P}^\text{H}$ phase, striations appear parallel to the rubbing direction with an average periodicity of around 1.2 μm at 80 °C (Fig. 1). While the average periodicity of these striations is generally independent of cell thickness, the periodicity takes a greater possible range of values for increasing cell thickness. Upon cooling, these striations behave quite differently depending on cell thicknesses. For thin cells (1.6 μm) the striation periodicity decreases to around 0.8 μm by crystallisation at around 55 °C (Fig. 1). For thicker cells (5 μm) the striations initially decrease in periodicity before larger less uniformly shaped striations grow in to become the dominant texture (Fig. 1b). For 10 μm thick cells the non-uniform striations grow into thick and distinct defect lines, though these do not have to encompass a full domain and do not show alternative optical activity upon slight de-crossing of the polarisers (Fig. 1c). 20 μm thick cells behave similarly to the 10 μm thick cell, except the temperature threshold for the defect lines is much closer to the SmA_F – $\text{SmC}_\text{P}^\text{H}$ transition (Fig. 1d). These striations are clearly distinct from alternating polarisation domains³⁶ and form even when a monodomain SmA_F

texture aligned under an in-plane electric field is cooled into the $\text{SmC}_\text{P}^\text{H}$ phase. They are also retained upon applying a weak in-plane electric field parallel to the polarisation direction.

The smectic phase, SmC_F , exhibited by **2** shows quite different behaviour where in cells of 1.6, 5, 10, and 20 μm thickness the texture is consistently grainy and nondescript (Fig. 1e) when the sample is cooled from the preceding N_TBF phase resulting in significant light scattering and low light transmission though the cell (Fig. 1i). The SmC_F phase in compound **2** is monotropic and the texture exhibited by the phase on melting directly from the crystal phase is distinctly different (Fig. 1f). The melt texture is paramorphotic of the crystal phase but does not show any of the defects and scattering found in the phase on cooling. On heating the melted SmC_F texture to ~10 °C below the transition into the N_TBF the defects found on cooling begin to form and the transmission through the sample drops significantly, but does decrease to the values obtained on cooling. While selective reflection is difficult to be observed on cooling a faint blue colour can be seen in the SmC_F phase with the naked eye on heating, suggesting that this phase does retain the helical structure of the preceding N_TBF phase.

If the SmC_F phase is helical than the differences in optical textures on heating/cooling could result from the fact that the greatest change in pitch length (i.e., likely close to the phase transition) occurs in the same temperature regime as the scattering texture forms. These defects would then be the result of plastic deformation of the pitch though contraction and dilation⁴⁷. However, so far the scattering texture have precluded optical measurement of any pitch length in the SmC_F phase. We also note that the scattering behaviour of **2** in the SmC_F phase is not isolated to cell confinement geometries and shows the same highly scattering and opaque appearance when filled into capillaries or as bulk samples in droplet form or in vials, demonstrating that it is not a result of confinement.




Binary phase diagram between **1** and **2**

Construction of binary phase diagrams is a well-established technique in liquid crystal science to demonstrate whether two materials possess the same phase by establishing miscibility between the two materials across the full phase diagram⁴⁸ and has been well applied to the N_F phase already⁴⁹. Binary mixtures of **1** and **2** were created and the resulting phase diagram shown in Fig. 1j. From the binary phase diagram of **1** and **2** the $\text{SmC}_\text{P}^\text{H}$ phase is completely miscible with the SmC_F phase demonstrating that the SmC_F phase is heliconic that the two phases are structurally the same. The studies presented so far have shown the SmC_F found in **2**⁴¹ is the same as as the $\text{SmC}_\text{P}^\text{H}$ phase reported by us³⁶ and so we shall refer to the SmC_F phase of **2** as a $\text{SmC}_\text{P}^\text{H}$ phase from here on.

The apolar-polar transition temperature (whether this is N – N_S or SmA – SmA_F) is roughly linear with concentration while the transition to helical order, whether this is nematic of smectic type, is actually stabilised with a peak at around 30% of **1** for both the N_TBF and the $\text{SmC}_\text{P}^\text{H}$ phase indicating that the molecular origins of ferroelectric order vs spontaneously chiral differ for these systems. It also points to the possibility of using mixtures in the future to construct systems with wide phase existence for application purposes. We note that the peak of chiral phase stability also roughly coincides with the point at which the transition pattern switches between N_F – N_TBF – $\text{SmC}_\text{P}^\text{H}$ to SmA_F – $\text{SmC}_\text{P}^\text{H}$. It is also this point where the texture and scattering behaviour changes from the highly scattering uncharacteristic texture to the striated texture. Seemingly, the key difference is the fact that the SmC_F texture on cooling is from the N_TBF phase, while the $\text{SmC}_\text{P}^\text{H}$ texture is cooled from a SmA_F phase.

The formation of the $\text{SmC}_\text{P}^\text{H}$ phase clearly differs depending on the phase preceding it and here we speculate on the origin of the difference in textures. There could be a significantly larger change in pitch at the N_TBF – SmC_F transition vs the SmA_F – $\text{SmC}_\text{P}^\text{H}$, though this feels unlikely due to our expectation that the temperature dependence of

Table 1 | Transition temperatures (T) and associated enthalpies of transition (ΔH) for compounds 1–4 determined by DSC on cooling at a rate of 10 °C min⁻¹

No.		Melt	SmC _P ^H -SmA _F	SmA _F -SmA	SmA-N	SmC _P ^H -N _F	SmC _P ^H -N _{TBF}	N _{TBF} -N _F	N _F -N _S	N _S -N	N-Iso
1		T (°C)	100.9	129.7	154.3	-	-	-	-	-	225.6
		ΔH (kJ/mol)	31.1	0.6	0.1	-	-	-	-	-	1.0
2		T (°C)	64.0	-	-	-	91.6	104.4 ^a	142.6	146.4	225.1
		ΔH (kJ/mol)	19.0	-	-	-	0.1	-	0.4	0.01	1.1
3		T (°C)	93.8	-	-	-	90.6	-	178.4	179.5 ^a	213.7
		ΔH (kJ/mol)	19.2	-	-	-	0.6	-	0.7	-	1.3
4		T (°C)	99.8	-	-	-	-	-	119.3	126.7	233.8
		ΔH (kJ/mol)	25.1	-	-	-	-	-	0.2	0.01	1.6

^a determined via POM observations as no corresponding peak was observed in DSC.

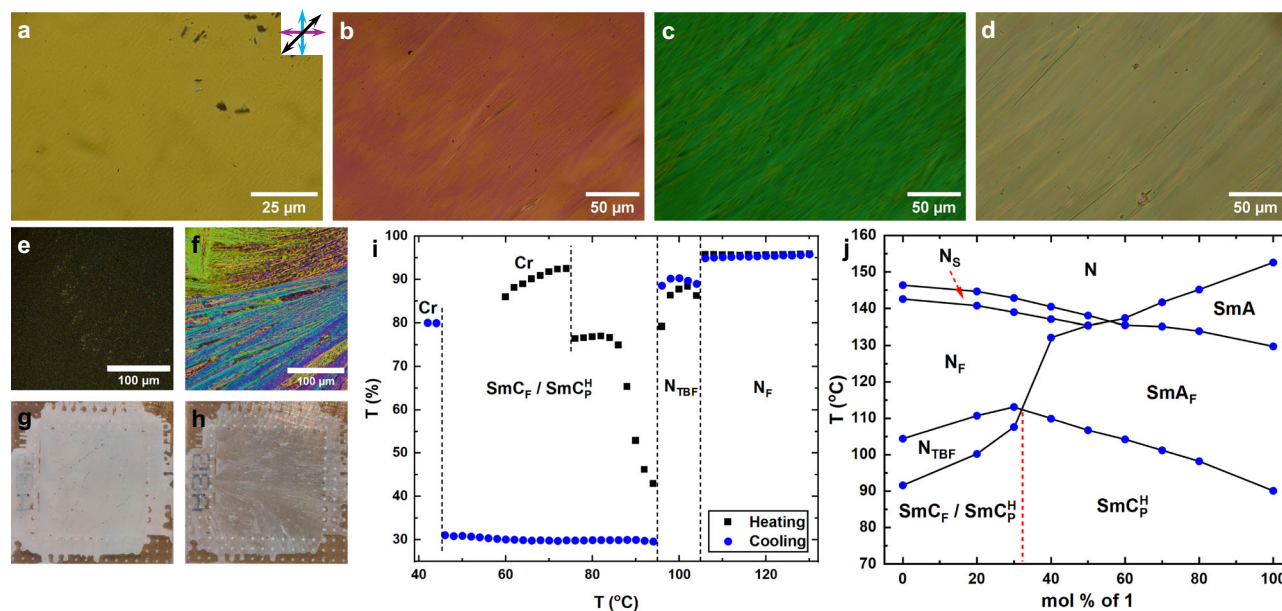


Fig. 1 | Optical properties of the compound 1 and 2 and phase diagram between them. POM images taken for compound **1** at 80 °C filled into parallel rubbed, planar aligned cells with a cell gap of **a** 1.6 μm, **b** 5 μm, **c** 10 μm and **d** 20 μm. POM images of **2** at 80 °C filled into parallel rubbed, planar aligned cell with a cell gap of 5 μm on **e** heating directly after melting from the crystal phase and **f** subsequent cooling after heating to the nematic phase. Corresponding macroscopic texture of an entire 4 μm cell filled with **2** at 80 °C on **g** heating and **h** cooling. The brown

background colour comes from the hot stage plate. **i** Transmission intensity of white light through a sample of **2** in a 5 μm thick planar aligned parallel rubbed cell. **j** Binary phase diagram between **1** and **2** with 100% compound **1** on the right and 100% compound **2** on the left. The red vertical dashed line marks the point where the SmC_p^H phase switches polymorphism from the highly scattering to the striated, as discussed in the text. For all POM images polariser axis' and rubbing direction are marked by the blue, purple and black arrows, respectively.

the pitch at the $\text{SmA}_F - \text{SmC}_p^H$ transition will at least be somewhat similar to that at the $N_F - N_{\text{TBF}}$ transition i.e., a steep temperature dependence⁴¹. We do note a very slight unwinding of the helix just before the $N_{\text{TBF}} - \text{SmC}_F$ transition (Fig. S13). This is different from the much more significant unwinding of the helix observed for the transition from an N_{TBF} phase to a non-helical SmC_p phase⁴² where the helix unwinds critically.

An alternative is that forming layers in a system with an existing helical structure could result in significant defect formation due to potential deformation of the helix to form the regularly spaced smectic layers, although this does not seem to occur for the apolar twist bend nematic and associated smectic phases^{14,50,51}, though the much smaller pitch, lack of polarity and vastly different origins (electric vs elastic) make this a less than optimal comparison.

Another alternative is that the mechanism of phase structure formation in the SmC_p^H could vary significantly depending on the phase preceding it. This will mostly likely be true to some extent as observation of the temperature-dependent tilt implies that the transition to the SmC_p^H phase from the SmA_F is only 2nd order while the $N_{\text{TBF}} - \text{SmC}_F$ transition is weakly first-order, however, whether this explains the differences in textures vs some alternative pitch defect effect remains to be determined. It could also be reminiscent to the formation of chevron defects in the SmC^* phase where cooling from a SmA forms chevron structures due to surface pinning⁵² which can be somewhat reduced when formed from a De Vries SmA phase where the randomly orientated molecular tilt reduces chevron formation⁵³.

Electro-optics and ferroelectric switching

Current response measurements. The current response of the SmC_p^H of **1** in a 4 μm cell with out of plane bare ITO electrodes is not a single peak, indicating complete reversal of the polarisation. Instead, the current response shows a small peak pre-polarity reversal and a larger peak post-polarity reversal (Fig. 2a). As such, in the original publication³⁶, the phase was given the subscript designation of “P” to

indicate polar, as the exact nature of the polarity was unclear from those initial measurements. We suggested that the small peak is associated with the emergence of molecular tilt. From the ground state at $E = 0 \text{ V}/\mu\text{m}$, increasing the electric field results in the first large peak corresponding to the full reversal of the polarisation vector from a SmC_p^H state to a non-tilted, non-helical SmA_F state. The small peak is then the reformation of the tilt and helix. The peak cannot be due to variation in the helical pitch length as this would not modulate the polarisation beyond a small variation due to changes in the region of partial pitch that may form due to the degenerate anchoring condition of the bare ITO electrode. Variation in the tilt angle, however, would modulate the effective polarisation observed upon switching. Throughout this next section, we shall refer to the smaller peak as the “tilt” peak and the larger one as the “polarisation reversal” peak.

The following discussion is given in context of the current response corresponding to a negative to positive application of electric field. Perhaps unsurprisingly, the SmC_p^H phase of **2** shows a similar current response to that of **1** in terms of a tilt peak and a polarisation reversal peak (Fig. 2b), although the response's do differ in several ways. For **1** the tilt peak initially occurs at a more negative electric field ($0.43 \text{ V}/\mu\text{m}$) than for **2** ($0.1 \text{ V}/\mu\text{m}$) and is distinctly separate from the polarisation reversal peak. For **2** the peak appears to grow out of the main reversal peak seen for the N_{TBF} phase as it moves to increasingly negative voltages. We interpret this as a consequence of their different phase transitions. Presumably, for **1** in the SmC_p^H phase, the viscosity is similar to other smectic phases even close to the $\text{SmA}_F - \text{SmC}_p^H$ transition, while for **2** the viscosity close to the $N_{\text{TBF}} - \text{SmC}_p^H$ transition is lower, having just transitioned from the lower viscosity nematic phase. For both samples, the peaks develop very similarly. Both the tilt peak and the polarisation reversal peak broaden and move to longer time-scales, though this timescale shift is much more apparent for the polarisation reversal peak, which may indicate that the tilt peak is less affected by the viscous-dynamics of the phase.

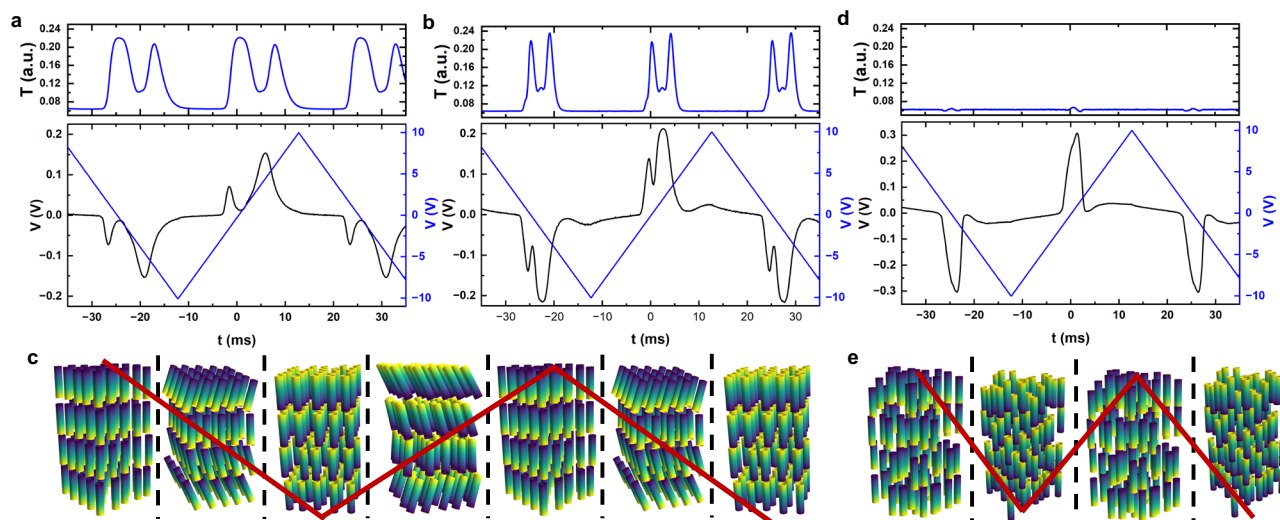


Fig. 2 | Current response of compounds 1 and 2 in their helical phases. Electro-optic and current response to an 20 Hz, 10 V_{RMS} applied triangle wave for **a** compound 1 at 75 °C, **b** compound 2 at 75 °C. **c** shows a schematic diagram for the stationary structures formed within the switching process of compound 1 and 2 in the SmC_p^{H} phase. **d** Electro-optic and current response to an 20 Hz, 10 V_{RMS} applied triangle wave for compound 2 at 99 °C. **e** Shows a schematic diagram for the

stationary structures formed within the switching process of compound 2 in the N_{TBF} phase. Each compound is filled into a 4 μm thick cell with bare ITO electrodes. The top portion of the graphs shows the transmission through the cell placed with between crossed polarisers as detected by a photo-diode while the bottom portion is the current response. Within each schematic diagram the red line indicates the applied voltage.

The electro-optic response was measured simultaneously to the current response by measuring the transmission of 589 nm light through the sample set between crossed polarisers (Fig. 2). Again, as for the current response, there are similarities and differences. Both samples show changes in the transmission that couple to the tilt peak or the polarisation reversal peak prohibiting either peak being predominately due to ion flow and accumulation. The electro-optic response is horn like for both samples, although clearly much sharper for **2** indicative of the lower field thresholds for the optical changes, resulting in a narrowed peak. The horn shape itself can be understood by considering that transmission through a birefringent LC slab is given by:

$$\frac{T}{T_0} = \sin^2 2\phi \sin^2 \left(\frac{\pi \Delta n d}{\lambda} \right) \quad (1)$$

where T/T_0 is the transmission ratio, ϕ is the angle between director and polariser, Δn is the birefringence, d the cell thickness and λ the wavelength of light. In the SmA_F state at high electric field, the transmission is a minimum as the sample has homeotropic alignment and the effective birefringence of the sample is close to zero. Reducing the electric field leads to reformation the tilt and regaining of the SmC_p^{H} state. The out of plane field means the homeotropic alignment is retained, and so the effective birefringence is low, leading to high transmission. As the field moves towards 0 $\text{V}/\mu\text{m}$ the homeotropic alignment is lost, resulting in an increased birefringence and a loss in transmission. Increasing the field beyond the polarisation reversal peak results in a flip of the molecules and a field-induced phase transition into the SmA_F state, reducing the birefringence to zero. It does not seem that the polarisation reversal motion from a SmC_p^{H} state to SmA_F state involves an intermediate SmC_p^{H} state with reversed polarisation.

For the N_{TBF} phase the current response does not show a tilt peak and only shows a single polarisation reversal peak (Fig. 2c). However, it has been shown that the tilt in the N_{TBF} phase can be manipulated⁴¹ making the lack of an obvious tilt peak surprising. This will be revisited later in the manuscript. The electro-optic response is also strange with no significant change in transmission as a function of the applied triangle wave. We expect that at high electric field the molecules are in

the homeotropic state giving low transmission, where only very small fields are required to maintain this state. At low fields the tilt is regained along with the helix, resulting in reduced transmission, which counteracts the increased transmission due to increased birefringence and loss of the homeotropic state.

In-plane electro-optics of the SmC_p^{H} phase. To confirm that the tilt peak is indeed associated with the reformation of molecular tilt POM observation and birefringence measurements of the in-plane switching mechanism is used. The birefringence was measured in the centre of the electrodes using a Berek Compensator with further details given in the SI. Due to the strong formation of defects in the SmC_p^{H} of **2** we instead will mostly focus on the SmC_p^{H} of **1**. **1** was filled into parallel rubbed 5.5 μm thick cell with two in-plane electrodes with a gap of 100 μm . The rubbing direction was parallel to the direction of the electric field across the electrode gap.

Applying a weak electric field (0.225 $\text{V}/\mu\text{m}$) opposite to the initial director orientation results in the formation of elongated diamond-shaped domains of reversed director (Fig. 3a). These domains grow perpendicular to the applied field until the entire active area is filled (0.3 $\text{V}/\mu\text{m}$). They can extend beyond the active area similar to the N_F phase and in direct contrast to that of the SmA_F phase where polarisation reversal is confined to the active area³³ (Fig. 3a). This phenomena is likely due to the reduced field threshold for polarisation reversal of the SmC_p^{H} phase vs the SmA_F phase presumably allowing the resulting depolarisation field to propagate beyond the active area. We note that the diamond domains of reversed polarisation that form outside the active area tend to form on the side opposite to the direction of the applied field, though this does not mean that cannot form in the region in the direction of applied field. Increasing the field beyond the switching threshold sees a continuous gain in birefringence and a removal of the striations (Fig. 3a). The final texture is uniform in colour with a birefringence value similar to that of the SmA_F phase at the $\text{SmA}_F - \text{SmC}_p^{\text{H}}$ transition. Flickering undulations in the texture can be observed, indicating some hydrodynamic flow due to the applied field, but these do not have any obvious pattern to them. The edges of the active area show slightly different birefringence colours due to the non-uniformity of the field in those areas. Removal

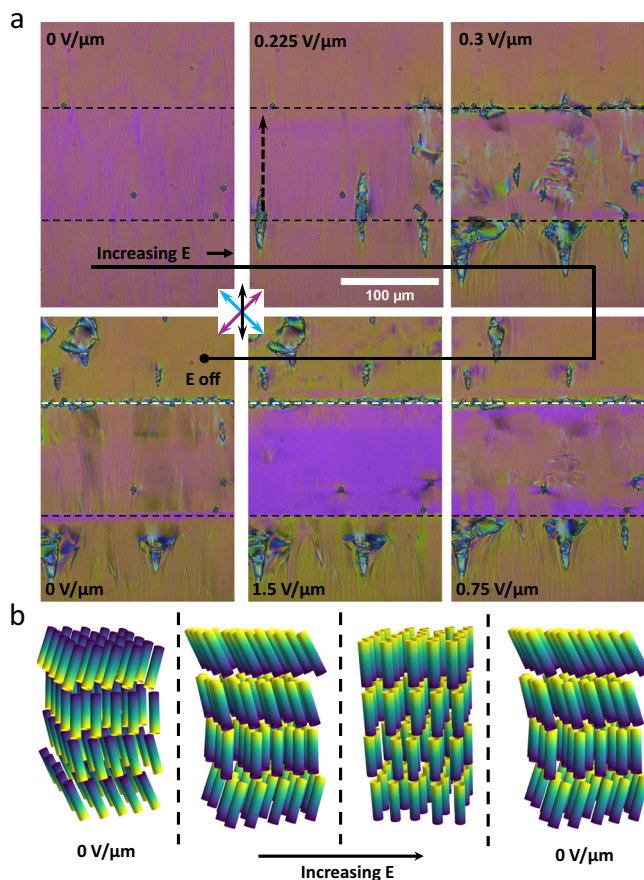


Fig. 3 | Inplane electro-optics of 1 with an anti-parallel field. **a** POM images of compound 1 at 75 °C in the SmC_P^H phase in an IPS cell under applied field. The horizontal dashed lines indicate the limits of the active area, while the vertical dashed arrow indicates the electric field direction. The cell is 5.5 μm thick with parallel rubbed planar alignment. The gap between the two electrodes is 100 μm and the DC field is initially applied anti-parallel the the initial polarisation state. For all POM images, polarisor axes and rubbing direction are marked by the blue, purple and black arrows, respectively. **b** Schematic diagrams of the different states in the switching profile are shown for the IPS cell as described in the text. Only half the pitch length is shown for the helical states.

of the field results in a texture that is reminiscent of the initial texture though some of the reversed polarisation domains remain outside of the active area as well as a domain line along the edges of the active area, indicating the different polarisation domains. The complete process is shown in Fig. 3b. The sample starts in the SmC_P^H state. Further increase of the electric field reorients the polarisation direction while leaving the helix intact (though we do not speculate on any changes to the helical pitch here). Further increasing the field causes a transition into the non-tilted SmA_F state. Removal of the field reforms the tilt and helix.

In-plane electro-optics of the N_{TBF} phase. The current response of the SmC_P^H phase clearly shows a second peak, which we have shown to be related to tilt reformation, indicating that the larger polarisation reversal peak also contains a field-induced phase transition back into the SmA_F phase. The N_{TBF} phase is also heliconical, but its current response does not show a second peak to associate with any field-induced transition. To investigate whether full tilt removal is still possible, we probe the N_{TBF} phase via in-plane switching observations in the same 5.5 μm thick parallel rubbed cells as for the SmC_P^H phase.

The transition to the N_F phase to the N_{TBF} phase is clear by the formation of stripes parallel to the rubbing direction (Fig. 4a). As the

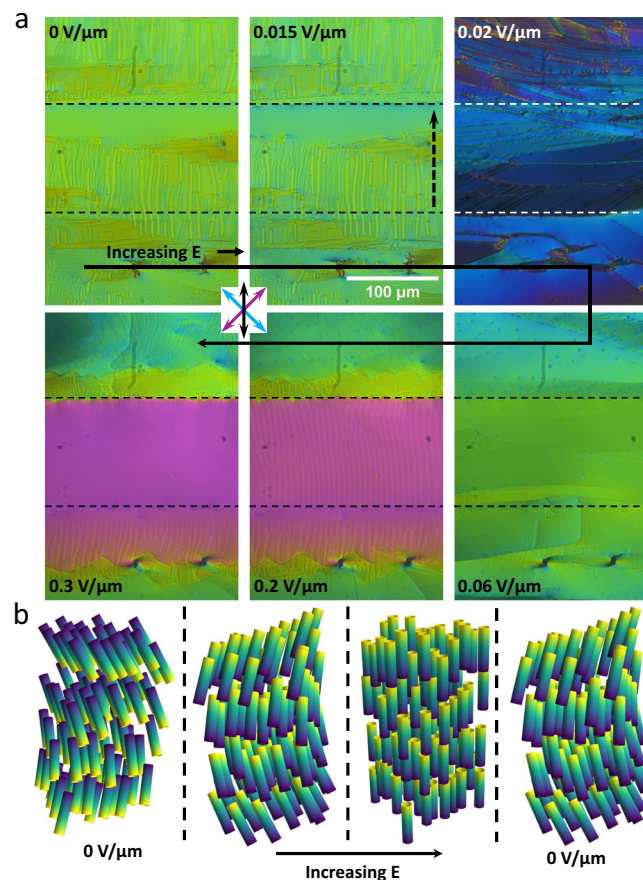


Fig. 4 | Inplane electro-optics of 2 with an anti-parallel field. **a** POM images of compound 2 at 100 °C in the N_{TBF} phase in an IPS cell under application of a DC electric field. The horizontal dashed lines indicate the limits of the active area, while the vertical dashed arrow indicates the electric field direction. The cell is 5.5 μm thick with parallel rubbed planar alignment. The gap between the two electrodes is 100 μm and the DC field is initially applied anti-parallel the the initial polarisation state. For all POM images polarisor axes and rubbing direction are marked by the blue, purple and black arrows, respectively. **b** Schematic diagrams of the different states in the switching profile are shown for the IPS cell as described in the text. Only half the pitch length is shown for the helical states.

temperature is decreased, these stripes split along the rubbing direction into regions of stripe domains and uniform texture. Since these stripes are parallel to the rubbing direction and thus parallel to the helicoidal axis, they are not pitch repeat units. These are also not regions of opposite polarity as they remain under application of a moderate in-plane dc field and a strong field removes them without evidence of polarisation reversal.

For the N_{TBF} phase the direction of the in-plane polarity can be switched by a dc in-plane electric field, just as for the N_F ^{21,31,54,55} although the switching is somewhat different. At low dc fields (below ~0.02 V/μm) there is a small reduction in birefringence without significant disruption of the texture (Fig. 4a), consistent with the N_F phase where this behaviour has been associated with increased director twist⁵⁵. At a critical voltage (~0.02 V/μm), there is a significant reduction in birefringence and the sample breaks into many domains (Fig. 4a). Slight uncrossing of the polarisers does not reveal any difference in optical activity of these domains. As the voltage is increased further (up to 0.06 V/μm) these domains coalesce and there is a steady increase in birefringence up to the amount of the unperturbed N_{TBF} state with no signs of the undulations found in that unperturbed state (Fig. 4a). Further increase in the voltage sees an increase in the birefringence beyond the unperturbed state and eventually (above 0.15 V/

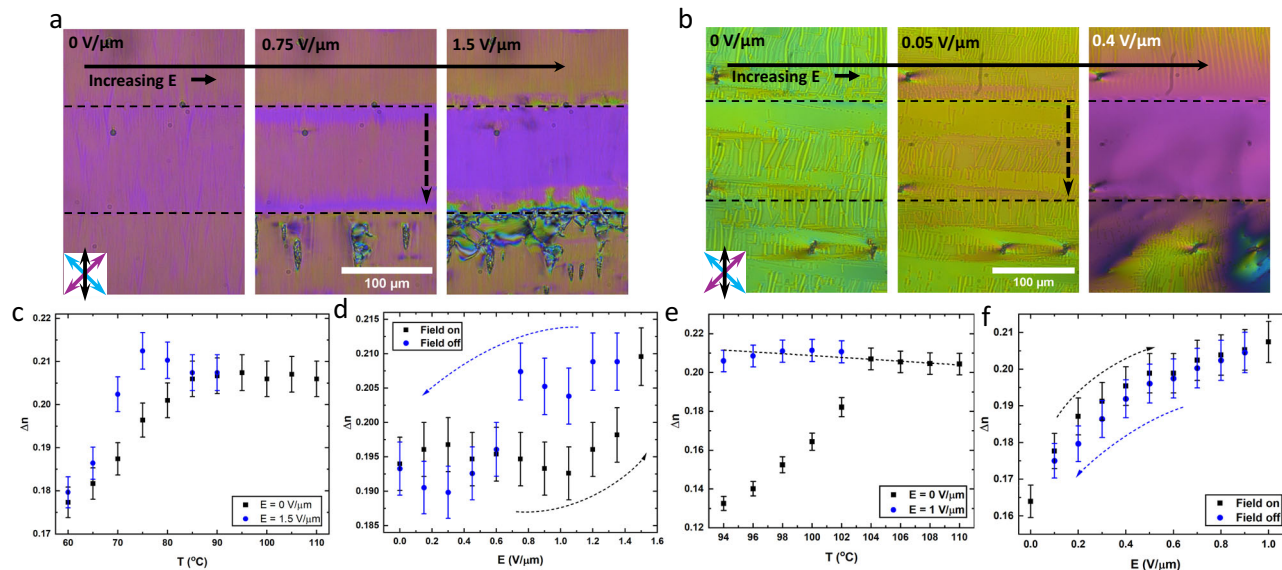


Fig. 5 | Inplane electro-optics of 1 and 2 with a syn-parallel field. POM images of **a** compound **1** at 75 °C in the SmC_p^{H} phase and **b** compound **2** at 100 °C in the N_{TBF} phase both an IPS cell under application of a DC electric field where the cell is 5.5 μm thick with parallel rubbed planar alignment. Here, the DC field is applied syn-parallel to the polarisation direction. For all POM images polarisor axis' and rubbing

direction are marked by the blue, purple and black arrows, respectively. Temperature dependence of the birefringence of **c** **1** and **e** **2** both with and without an applied DC field. Electric field dependence of the birefringence of **d** compound **1** at 75 °C and **f** compound **2** at 100 °C, indicating the hysteresis of the tilt removal for the SmC_p^{H} phase and the lack of hysteresis for the N_{TBF} phase.

μm) the undulations return before finally (around 0.3 $\text{V}/\mu\text{m}$) obtaining a uniform N_F like texture with a birefringence similar to that of the N_F phase just before transition to the N_{TBF} phase. Just as for the SmC_p^{H} phase, the effect of the in-plane field can extend beyond the active area. However, due to the lower threshold for switching in the N_{TBF} phase, the full polarisation reversal spreads significantly beyond the active area and it is just the increase of birefringence that is limited to the out of active area region opposite the applied field. The complete process of the N_{TBF} phase is shown in Fig. 3b. The sample starts in the N_{TBF} state where increasing the electric field reorients the polarisation direction while leaving the helix intact. Further increasing the field causes a transition into the non-tilted N_F state. Removal of the field reforms the tilt and helix. It is clear that both the SmC_p^{H} and N_{TBF} phases can undergo a field-induced phase transition the non-tilted state, which by necessity would remove the helix entirely.

Ferrielectric switching in the SmC_p^{H} phase. Applying a electric field anti-parallel to the polarisation axis of both the SmC_p^{H} and N_{TBF} phases results in full reversal of the polarisation direction. Further increase in the field results in an increase in birefringence, where this second stage is due to the reduction in molecular tilt. If the electric field is applied syn-parallel to the polarisation direction, no reversal in the polarisation direction is observed. However, an increase in birefringence, associated with the tilt removal, is still observed for both phases (Fig. 4). If the field is removed the tilt is reformed and a drop in birefringence back to the original value is observed. For the SmC_p^{H} phase of **1**, full tilt removal could only be completed in a specific temperature window close to the $\text{SmA}_F - \text{SmC}_p^{\text{H}}$ transition (Fig. 5a) due to an increase in field threshold for this effect. Presumably, if higher electric fields were achieved, either through higher voltages or a narrower active area, full tilt removal could be completed for the entire phase window. For the N_{TBF} phase of **2** full tilt removal could be completed for the entire phase (Fig. 5b). We also note that if an in-plane field is applied to the SmC_p^{H} phase of **2** a uniform birefringent texture could be obtained (Fig. S3) which reverted back to the defect texture upon removal of the field indicating that tilt removal is possible here too. The hysteresis of tilt removal and switching behaviour is clear also in out of plane

measurements (Fig. S4). where tilt is clearly recovered only at lower field strength values than it is lost.

To investigate the threshold and field dependence of the tilt removal, we measure birefringence as a function of applied field. This is measured in the very centre of the active area where the in-plane field is most parallel to the cell plane. For the SmC_p^{H} phase of **1**, we see that the birefringence only increases after some critical value, where it sharply increases up to a value slightly beyond that of the untitled SmA_F phase. We attribute this increase beyond the SmA_F value either due to a increase in alignment quality over that of the SmA_F or some electrically modified order parameter effect⁵⁶. When the field is reduced the increased birefringence is retained to lower voltages than the threshold for tilt removal indicating a hysteresis in the tilt removal (Fig. 5c). For analogous measurements on the N_{TBF} phase of **2** the tilt removal is threshold-less, despite the presence of an alignment layer, and has no evidence of hysteresis (Fig. 5d). The threshold-less nature of the change in tilt is due to the avoidance of bound charges that would form in the bulk. Instead, the tilt is maintained homogenous across the active area and bound charges are expelled to the electrodes, similar to director oscillations in the N_F phase⁵⁷. The obtained birefringence is slightly higher than the N_F phase however, not as significant as for the SmC_p^{H} phase.

The helix in the SmC_p^{H} and N_{TBF} phases allows for partial polarisation compensation and so removal of the tilt results in an increase in polarisation. A ferroelectric phase has two stable states at $E = 0$, while an anti-ferroelectric phase would only have a single stable state⁵⁸. A ferrielectric phase has two stable states at $E = 0$, but two separate sub-lattices of non-equal polarisation⁵⁹. These sub-lattices can be reversed going through a field-induced phase transition to the ferroelectric state at high electric field. As a result a ferrielectric phase has three hysteresis loops in its P-E curve. The SmC_p^{H} phase has three separate hysteresis components (polarisation reversal and then tilt hysteresis in both the positive and negative polarisation states) and so has a pseudo-ferrielectric switching response. However, it is not a true ferrielectric phase as its ground state is ferroelectric like with all of its constituent dipoles orientated along the same direction. The N_{TBF} phase has the same ground state as the SmC_p^{H} but does not exhibit

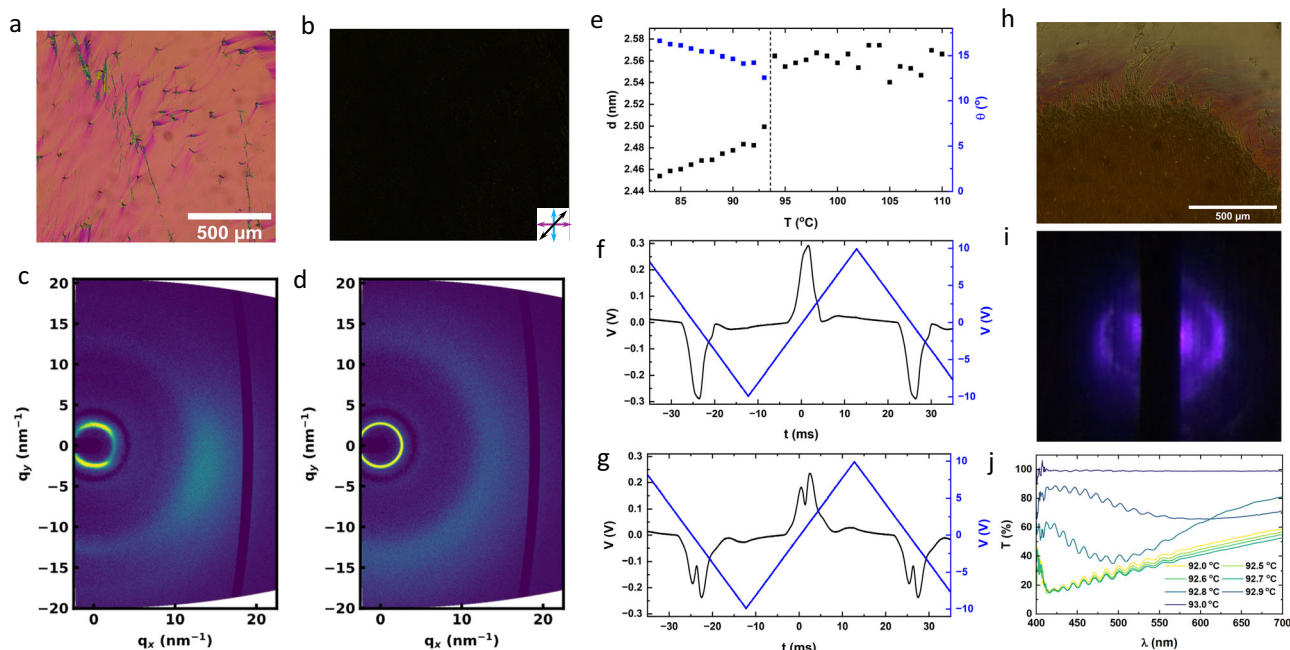


Fig. 6 | Physical properties of compound 3. POM images in the **a** N_F and **b** SmC_P^H phases of **3** in a 5 μm planar aligned parallel rubbed cell. Arrows indicate direction of polarisers and rubbing direction. 2D X-ray scattering images of **3** in the **c** N_F and **d** SmC_P^H phases. **e** Temperature dependence of the d-spacing and molecular tilt of **3**. Current responses for **3** in the **f** N_F and **g** SmC_P^H phases. **h** Microscopy image of **3**

with the polariser inserted parallel to the rubbing direction (no analyser) of the boundary between the N_F phase (top) and SmC_P^H phase (bottom) showing selective reflection in the boundary region. **i** diffraction pattern from the boundary region demonstrating a pitch of $\sim 800 \mu m$. **j** Transmission spectrum from the boundary region of image (a).

hysteresis in its tilt removal and so only exhibits ferroelectric switching with an additional pseudo-paraelectric component at higher electric fields. We note that this pseudo-ferrielectricity is reminiscent of the concept of reducible and irreducible ferrielectricity in solid state materials⁶⁰.

Beyond the N_{TBF} phase, current responses consisting of a small peak pre-polarity reversal and a subsequent larger peak have been observed for the non-helical SmC_P phase^{37,39,61}, though this is apparently not always observed³⁸. This peak has been associated with tilt reformation and indicates the possibility of a pseudo-ferrielectric responses for the the non-helical SmC_P phase.

Pre-transitional helix formation

We have demonstrated how variation of the phase ordering from $SmC_P^H - N_{TBF} - N_F$ to $SmC_P^H - SmA_F$ varies the properties of the SmC_P^H phase. Both of the aforementioned transitions to heliconic order are 2nd order indicated by their lack of enthalpy from DSC and their continuous, rather than discontinuous, tilt dependence at the transition to heliconic order (Fig. S11). Variation in fluorination is a now well established technique to obtained various polar phases^{30,42,62} and so changing the chemical structure to that of compound **3** (Table 1) results in a phase order of $N_F - SmC_P^H$. POM observations reveal the $SmC_P^H - N_F$ transition on cooling occurs as a wave edged with dendritic tendrils (Fig. 6a, b). The transition front moves across the cell, forming a similar scattering texture as observed for **2**, nucleating from specific points across the cell. This is contrary to the smooth uniform change in texture as observed for the $N_{TBF} - N_F$ transition of **2**. On heating the scattering texture melts with a sharp boundary between SmC_P^H and N_F domains.

X-ray scattering measurements show a large jump in tilt angle formation up to $\sim 12^\circ$ molecular tilt immediately at the transition, indicating a strong first-order character (Fig. 6c–e). We also observe a comparatively large enthalpy compared to the lack of enthalpy for the 2nd order transitions $SmC_P^H - SmA_F$ and $N_{TBF} - N_F$ as well as the 1st order $SmC_P^H - N_{TBF}$ transition. All of this points to the $SmC_P^H - N_F$ transition

of **3** as being the first 1st order transition to spontaneous heliconic order. Current response measurements show a single polarisation reversal peak for the N_F phase (Fig. 6f). Upon transition into the SmC_P^H phase the peak splits into two with a smaller tilt peak at pre-polarity voltages and larger polarisation reversal peak (Fig. 6g), which moves to longer time scales with reducing temperature. The larger initial size of the tilt peak is owed to the first-order nature of the transition leading to an discontinuous large change in the molecular tilt and so a larger change in polarisation upon tilt reformation.

If the boundary between the N_F and SmC_P^H domains is observed on cooling, a narrow region of $\sim 300 \mu m$ width exists where selective reflection can be seen (Fig. 6h). Across this boundary layer the colour varies from red to purple, indicating an increasing in bandgap wavelength from the SmC_P^H domain to the N_F domain. Measuring the wavelength of the selective reflection directly shows it does indeed shift rapidly though the visible window in $0.2^\circ C$ indicating rapid change of the helical pitch in this region (Fig. 6j). Direct remeasurements of the pitch via laser diffraction confirm this indicating a change in pitch from $920 \mu m$ to less than $600 \mu m$ within that same $0.2^\circ C$ window (Fig. 6i). We considered whether this is a narrow temperature window of N_{TBF} phase existence, however on heating the region of selective reflection and light diffraction due to the helical pitch is not observed (Supplementary Videos 1 and 2). We interpret this as either the SmC_P^H phase front growing across the cell and forming initially in a thin area nearer the base due to any vertical temperature gradients, which then produces the selective reflection and pitch. Alternatively, this is a pre-transitional effect where the helix in the SmC_P^H acts in induce some helical ordering in the neighbouring N_F regions. As this is not the lowest free energy state for the N_F phase this helix unwinds as distance from the phase front is increased until it smoothly merges with the non-helical N_F regions.

Further changes in the fluorination pattern results in compound **4**, however, this results in a destabilisation of any smectic or helical phases and instead only an enantiotropic N_F phases is observed. We also note the strong destabilisation of the apolar-polar transition by -

20 °C. This is explained as a decreased spatial uniformity of the alternating charge regions across the molecule preventing reducing the strength of the lateral interactions that promote polar phases^{25,30}.

Conclusions

The discovery of spontaneous chiral symmetry breaking in the ferroelectric nematic realm^{36,41} is extremely exciting due to the potential for replicating the behaviours seen in hard matter systems such as ferromagnets in fluid soft matter systems. Here we have demonstrated that the SmC_F phase found in **2**⁴¹ is helical and is in fact a SmC_P^H phase, as observed for **1**³⁶. We show that there is at least three phase pathways to the SmC_P^H (we expect that there could well be more pathways than the three presented here). Namely SmC_P^H – SmA_F , SmC_P^H – N_{TBF} and SmC_P^H – N_F and that each leads to an array of different polymorphs and interesting properties.

We account for the double peak structure of the current response for the SmC_P^H phase, demonstrating that the smaller pre-polarity peak is associated with reformation of the tilt and show how the behaviour of this tilt peak is influenced by the phase preceding it. The tilt peak of the SmC_P^H phase is indicative of field-induced phase transitions to the SmA_F state. This phase transition shows clear hysteresis, indicating that it is pseudo-ferrielectric due to the increase in polarisation that occurs by removing molecular tilt from a partially compensated helical phase.

Looking forward, spontaneous symmetry breaking due to polar order may yield interesting new phase types and states when superimposed on more complex liquid crystal organisations, for example, the twisted polar smectic structures described recently by Nishikawa et al.⁴⁶.

Methods

Chemical synthesis

All chemicals and solvents were purchased from commercial suppliers and used as received. Reactions were performed in standard laboratory glassware at ambient temperature and atmosphere and were monitored by TLC with an appropriate eluent and visualised with 254 nm light. Chromatographic purification was performed using a CombiFlash NextGen 300+ System (Teledyne Isco) with a silica gel stationary phase and a hexane/ethyl acetate gradient as the mobile phase, with detection made in the 200–800 nm range. Full details are given in the Supplementary Information.

Thermal analysis

DSC measurements were performed using a TA Instruments Q2000 DSC instrument (TA Instruments, Wilmslow UK), equipped with a RCS90 Refrigerated cooling system (TA Instruments, Wilmslow, UK). The instrument was calibrated against an Indium standard, and data were processed using TA Instruments Universal Analysis Software. Samples were analysed under a nitrogen atmosphere, in hermetically sealed aluminium TZero crucibles (TA Instruments, Wilmslow, UK).

Optical microscopy

Polarised light optical microscopy (POM) was performed using a Leica DM2700P polarised light microscope (Leica Microsystems (UK) Ltd., Milton Keynes, UK), equipped with 10× and 50× magnification, and a rotatable stage. A Linkam TMS 92 heating stage (Linkam Scientific Instruments Ltd., Redhill, UK) was used for temperature control, and samples were studied sandwiched between two untreated glass coverslips. Images were recorded using a Nikon D3500 Digital Camera (Nikon UK Ltd., Surbiton, UK), using DigiCamControl software.

X-ray scattering

X-ray scattering measurements, both small angle (SAXS) and wide angle (WAXS), were recorded using an Anton Paar SAXSpoint 5.0 beamline machine. This was equipped with a Primux 100 Cu X-ray

source with a 2D EIGER2 R detector. The X-rays had a wavelength of 0.154 nm. Samples were filled into either thin-walled quartz capillaries or held between Kapton tape.

Current response

Spontaneous polarisation measurements were undertaken using the current reversal technique, using a Agilent 33220A signal generator and a RIGOL DH04204 high-resolution oscilloscope, with temperature control via an Instec HCS402 hot stage.

Birefringence measurements

Birefringence was measured using a Berek compensator mounted in a Leica DM 2700 P polarised optical microscope. The birefringence was measured in the centre of the active ITO area for the IPS cells used here. Error bars were assessed by repeating the measurement several times and using the average to obtain a statistical error.

Transmission spectra

A microscope equipped coupled to an Avantes AvaSpec-2048 XL spectrometer, which used to record transmission spectra of samples. The rubbing direction was set parallel to the light polarization direction with no analyser inserted. A spectra from the phase above the spontaneously chiral phases was used as the reference state of each sample.

Pitch measurements

The pitch was measured by illumination of the sample from the bottom via a 405 nm laser. The angle of diffraction was measured on a flat screen set 1.8 cm away from the sample.

Data availability

The data associated with this paper are openly available from the University of Leeds Data Repository at: <https://doi.org/10.5518/1643>. Source data are provided with this paper.

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Acknowledgements

R.J.M. thanks UKRI for funding via a Future Leaders Fellowship, grant number MR/W006391/1, and the University of Leeds for funding via a University Academic Fellowship. The SAXS/WAXS system used in this work was funded by EPSRC via grant number EP/X0348011. R.J.M. gratefully acknowledge support from Merck KGaA. Computational work was performed on ARC4 and AIRE, part of the high-performance computing facilities at the University of Leeds.

Author contributions

J.H. performed the experiments, conducted the analysis and wrote the first draft. C.J.G. synthesised the material and collected chemical characterisation data. R.J.M. secured funding. All authors contributed to reviewing and editing the final manuscript.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information The online version contains supplementary material available at <https://doi.org/10.1038/s41467-025-62684-z>.

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Peer review information *Nature Communications* thanks Oleg Lavrentovich, and the other, anonymous, reviewers for their contribution to the peer review of this work. A peer review file is available.

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