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6 Do the existing quality of life tools appropriately

7 measure oral health related quality of life in head and

8 neck cancer? A scoping review

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22 Abstract

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24 Background: Head and neck cancers and their respective treatments have a profound impact on the quality of
25 life. Many oropharyngeal and oral cancers likely have implications for oral health-related quality of life
26 (OHRQoL). Research investigating tools to measure OHRQoL is lacking.

27 Method: We conducted a scoping review to ascertain the available tools for measuring OHRQoL in head and
28 neck cancer patients. The primary objective was to compile a summary of the existing tools and determine their
29 completeness, validity, and reliability.

30 Results: The literature search yielded 1239 articles. Thirty-one studies were included in the review. Multiple
31 tools were identified. None of the tools assessed all potential OHRQoL impacts, and none had undergone
32 comprehensive testing using a range of assessments. The majority of the tools did not adhere to published
33 guidance, with only the EORTC tools citing methodological guidance in their survey tool development
34 protocols. All tools achieved recommended readability scores in English.

35 Discussion: Due to methodological flaws in the evidence base, it was not possible to definitively establish the
36 completeness of any available tool. There was discordance between tools regarding the relevant
37 OHRQoL impacts. Several tools failed to assess accepted domains of OHRQoL, calling into question their
38 concordance with the construct of OHRQoL. In addition, there was a lack of adherence to published standards
39 regarding both the construction and testing methods for quality of life instruments. Studies reporting on
40 OHRQoL in head and neck cancer may, therefore, not comprehensively assess the actual impacts of the disease
41 and its treatment.

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Introduction

Mouth and oropharyngeal cancer is the 16th most common cancer globally.[1] All the treatment modalities for HNC can profoundly impact patients' daily function and quality of life (QoL). These treatments can result in altered structure, mobility, function and appearance of oro-facial structures.

There is increasing research and clinical focus towards the importance of survivorship and QoL. Numerous studies have investigated methods to improve QoL by minimising the oral side effects of HNC treatment, and by rehabilitating as early as possible.[2–4] Despite the increasing focus on QoL, the currently reported treatment outcomes focus on functional oral outcomes, such as chewing, rather than all domains of oral health related quality of life (OHRQoL).[5,6]

There is no consensus on the optimal tool for assessing OHRQoL in HNC patients. Whilst quantitative methods can assess tool validity and reliability, they may miss important aspects of the patient experience. Thus, quantitative *and* qualitative techniques are needed for a comprehensive evaluation. A qualitative scoping review of these methods is suggested. This contrasts with a pre-existing published article focussing on validation of published tools.[5]

Aims

This scoping review aims to systematically investigate the evidence base regarding OHRQoL reporting tools in HNC.

The objectives of this scoping review are to:

1. Identify patient reporting tools for OHRQoL for use following curative treatment for HNC patients
2. Ascertain which, if any, instruments are validated to investigate OHRQoL in HNC
3. Ascertain which items and domains of OHRQoL each tool assesses and whether *any* tool comprehensively assesses all potential items and all domains of OHRQoL
4. Determine areas where further research is required to better understand the oral health experiences of HNC patients

The primary research question has been developed utilizing the PCC (patient/population, concept, context) framework, which is recommended by the Joanna Briggs Institute[7]: the population (patients treated for HNC), the concept (oral health related quality of life), and the context (curative treatment). The primary research question is: Are any of the QoL tools reported by the literature appropriate, valid, or reliable for measuring OHRQoL in patients treated curatively for head and neck cancer?

Methodology

The methodological development is outlined in the published development protocol.[8] This guided selection of a scoping review combined with a narrative synthesis as an appropriate method to provide a holistic analysis

75 of the available tools and evidence base.[9] In accordance with the Arskey and O'Malley framework, as well as
 76 guidance from the Joanna Briggs Institute, we did not conduct a formal quality appraisal of the literature but
 77 rather performed a qualitative analysis of the methodological quality of studies.[10]

78 The literature search used pre-determined search criteria (Table 1). Information specialists supported the
 79 development of the search strategy, including search piloting and cross-referencing. As OHRQoL is an
 80 emerging concept in HNC research, not all studies investigating the patient's oral health experience explicitly
 81 describe OHRQoL. Therefore, the search strategy included terms directly and indirectly related to OHRQoL.

Patient experience	OHRQoL	Disease (Cancer)
<ul style="list-style-type: none"> • Appearance OR *esthetic • Chewing OR eating OR oral function • Speech OR speaking OR phonation OR vocal* OR phonetic* • Swallow* • Pain OR tenderness or sinusitis • Dry mouth OR xerostomia OR • Teeth OR denture 	<ul style="list-style-type: none"> • (Oral health OR dental health) AND <ul style="list-style-type: none"> • (patient report* OR experience OR qualitative OR thematic OR phenominolog* OR interview OR questionnaire) <p>QoL tools:</p> <ul style="list-style-type: none"> • "EORTC" OR "UW QoL" OR "UW-QoL" OR "UWQoL" OR "University of Washington" OR "QL-5D-5L" OR "QL 5D 5L" OR "PCI" OR "patient concerns inventory" OR "patient reported" OR "Vanderbilt" OR "VHNSS" OR "LENT-SOMA" OR "LENT SOMA" OR "LENTSOMA" OR "QoL" OR "QoL" OR "quality adjusted life year*" OR "QALY*" OR "LORQ" OR "Liverpool Oral Health" OR "QLQ-OH*" 	<ul style="list-style-type: none"> • Cancer OR malignan* OR neoplas* OR tumour OR squamous cell OR SCC AND <ul style="list-style-type: none"> • head OR neck OR oral OR tongue OR mouth OR maxilla* OR mandib* OR salivary OR gingiv*

82 Table 1 – Summary of the search terms utilised. These were modified based on the requirements of the search
 83 engine to maximise the quality of the search (for example, in the use of Boolean search terms)

84 Relevant Mesh terms and ICD-10 codes were included. The following databases were assessed: National
 85 Library of Medicine (via PubMed), Scopus, and OVID, incorporating the Embase, PsycINFO, CENTRAL, and
 86 Cochrane databases.

87 A grey literature search was performed.

88 Inclusion criteria:

1. **Population:** studies which develop or test tools to measure QoL for patients treated curatively for HNC
2. **Concept:** studies reporting on the construction of, or results from, a tool used to report on OHRQoL
3. **Context:** studies investigating cases of curative treatment of HNC only
4. Primary research studies only including qualitative, quantitative and mixed research methodologies
5. No date restriction

Exclusion criteria:

1. Not reporting on curatively treated HNC
2. Not developing/testing QoL tools
3. Not published in the English language

Search results were screened iteratively (title, then abstract, then full text) with blinding, using Rayyan AI[11]. Data extraction was performed using Microsoft® Excel . The domains measured were informed by the Consensus-based Standards for the selection of health Measurement Instruments (COSMIN) and the Evaluating the Measurement of Patient-Reported Outcomes (EMPRO) tools. Each tool was also analysed for readability using published methods[12] (Flesch-Kincaid) to assess compliance with recommendations for public publications.[12]

The data synthesis summarized the literature and focused on the theoretical constructs underpinning QoL tools to determine their scientific robustness and the need for further research. We assessed the construction and testing of the tools, stakeholder involvement and the external validity of methods.

Results

Literature search and screening

The search identified 1239 articles, resulting in 534 articles following de-duplication. There was 96% agreement between reviewers regarding article inclusion. All conflicts over article inclusion were resolved by clarifying the study population and methodology. We identified 392 articles (73.4%) focused on QoL but did not develop/test a QoL tool. Article screening is summarised in Figure 1. Study authors were contacted where required (e.g. abstract/poster) however, two papers were not retrieved.[13,14]

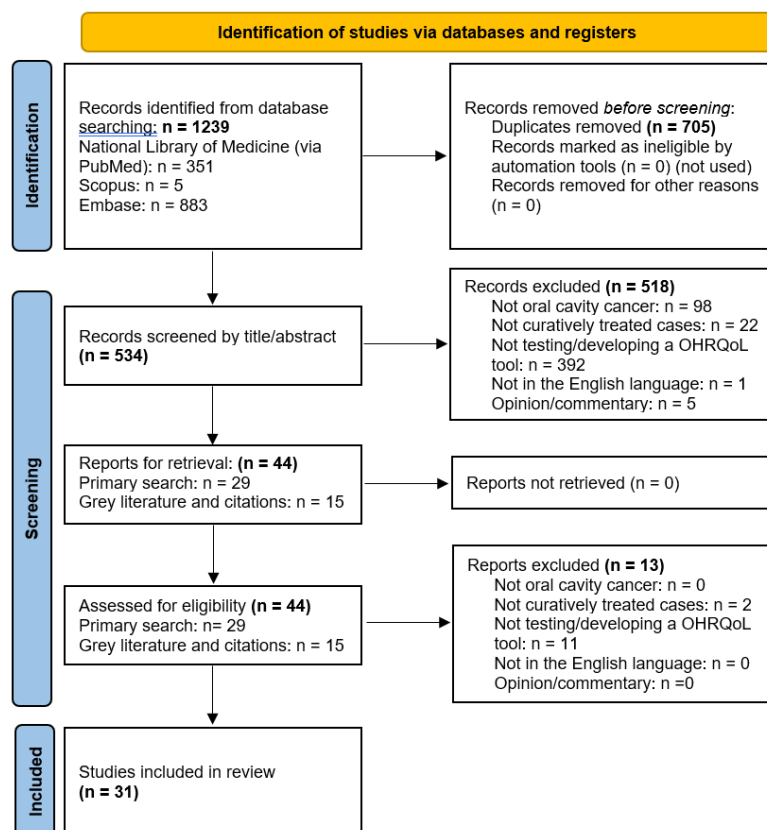


Figure 1 - A PRISMA flowchart of the article selection process.

Summary of articles

A total of 31 articles were included in this review. A range of articles were identified:

1. Four review studies
 - a. Systematic review of QoL outcomes following fibula flap [15]
 - b. Systematic review studies reporting on the EORTC QLQ-H&N35[16]
 - c. Scoping review of the validity/reliability of tools measuring oral function after radiotherapy[5]
 - d. A systematic review of humanistic outcomes in oral cancer patients[13]
2. Two cohort studies testing QoL tools (total patients = 200) [17,18]
3. Twenty-four cross-sectional studies translating or testing QoL tools (total patients = 4463) [6,14,27–36,19,37–40,20–26]
4. One publication reported on multiple individual studies developing and testing the QLQ-OH17 (total patients = 151) [41]

Table 2 summarizes studies, Table 3 outlines tool validation and reliability tests, and Table 4 details the items and domains addressed by the tools.

Study number	Type	Study authors	Year	Country	Yes	No	Reference	Population (cancer type)	Tools
2	Peer review publication	Stancic, Dobson, Kozmar, Madarovic, Mijatovic, Djordjevic, Jovanovic, Vojcic	2024	Serbia	Translation, transcultural adaptation, and validation of the Serbian version of the University of Washington Quality of Life multidimensional EORTC QLQ – a pilot study	Translate, culturally adapt, and assess the psychometric properties of UW-QLQ in Serbian	30	HNC - Radiotherapy treatment	OHF-14, ERQ
6	Peer review publication	Verschuik, Rosdrikskes, Veldbock-de Leeuw, Jansen, Lemmens, Tenaers, Spinkelman	2021	Netherlands	Mastication, swallowing, and saliva flow in patients with head and neck cancer: objective tests versus patient reported outcomes	Assess association between objective tests and patient reported outcomes	142	HNC	QLQ-H&N35, SWAL-QoL, GRX
7	Peer review publication	Qasim, Raza, Saeed, Asim, Siddiqui, Abbas, Tameer, Khan	2024	Pakistan	Oral health related quality of life in head and neck cancer survivors after the first year following treatment: a cross-sectional study in Karachi, Pakistan	Assess OHRQoL and its associated with SES, OH practice, OH beliefs, oral mucositis grade	79	HNC	QLQ-H&N35
10	Conference abstract	Akru, Vaidyanudi	2019	India	Evaluating humanistic outcomes for oral cancer patients in India: a systematic literature	Review the scientific literature for last 15 years measuring humanistic outcomes of Oral Cancer survivors in India	43 studies	Oral cancer	ASSENTED INFORMATION FIRST AUTHOR CONTACTED 387054
12	Peer review publication	Hjermstad, Begemann, Bjorke, Fahren, Holmström, Hestad, Hestad, Gulliksen, Foss, Nilsen, Gulliksen, Nilsen, Tønnesen, Lønne, Tønne, Ørstavik, Hestad, EORTC QLQ-H&N35	2016	Norway	International field testing of the psychometric properties of the EORTC quality of life module for oral health: the EORTC QLQ-OH15	Phase IV study testing the psychometric properties of QLQ-OH15	72	HNC	QLQ-C30, QLQ-OH15
13	Conference abstract	Kokkula, Deng, Epstein, Mijatovic, Rask, Dietrich, Murphy	2013	USA	Validation of late oral health outcomes, an oral health subscale of the Vanderbilt Head and Neck Symptom Survey in post-radiation therapy head and neck cancer patients	Validation of the oral health subscale of the VNHS 2.0	30	HNC - Chemoradiotherapy treatment	VNHS 2.0
15	Peer review publication	Rabin, Khouri, Neumann, Murphy, Dietrich	2019	USA	Vanderbilt head and neck symptom survey version 2.0: Clinical and research utility for identification of symptom clusters and changes in symptoms over time	Identify symptom clusters, and assess reliability and validity of the VNHS 2.0 to change	130	HNC - Some data sources included radiotherapy treatment only	VNHS 2.0
16	Peer review publication	Veld, Jager, Chhangur, Desmet, Luyckx, Schuitzen	2023	Netherlands	Oral Functioning Questionnaire in Patients with Head and Neck Cancer: A Scoping Review	Map the available questionnaires for radiotherapy treated HNC patients	N/A	HNC - Radiotherapy treatment	FACT H&N, UW-QLQ, UW-QLQ, RTOG, QLQ-C30, QLQ-H&N35, QLQ-H&N43, QLQ-OH15, QLQ-OH17, VNHS 1.0, VNHS 2.0, EQ-5D-5L, QoL, HNC, QoL, HNC, LORQ, OHIP-14, LORQ
18	Peer review publication	Kongstam, Pavesund, Aleskjöld, Hjermstad, Lellou	2022	Switzerland	Translation and validation of Sinhala version of modified EORTC QLQ-OH15 in oral cancer patients who receive radiotherapy with or without chemotherapy in Sri Lanka	Translate and validate QLQ-OH15 to Sinhala	45	Oral cancer - Radiotherapy treatment	QLQ-C30, QLQ-OH15
19	Peer review publication	Patterson, Pasch, Francis, Hernandez, McCarthy, Shah	2019	USA	A Systematic review of validated tools assessing functional and aesthetic outcomes following fibula flap reconstruction of the mandible	Evaluate the assessment of patient reported outcomes in the literature	N/A	HNC - Segmental mandibulectomy and FFP	UW-QLQ, OHF-14, OHF-48, QLQ-H&N35, HOS SF-36
20	Peer review publication	Hagi, Ishikawa, Ryu, Nomura, Takano, Saito	2018	Japan	Maxillofacial prosthetic treatment factors affecting oral health-related quality of life after surgery for patients with oral cancer	Assess the factors influencing OHF-SF scores before and after provision of prostheses	50	Oral cancer - Surgical treatment	OHF-48
21	Peer review publication	Engelen, Bauman, Boeckhorst, Hayman	2018	Netherlands	Translation, cross-cultural adaptation, and validation of the Liverpool Oral Rehabilitation Questionnaire (LORQ) into the Dutch language	Translation and validation of LORQ 3.0	138	Unclear - patients presenting to the department for dentures	LORQ 3.0, OHF-14
24	Peer review publication	Barnico, Cavallito, Pava, Murphy, Pava	2015	Brazil	The Vanderbilt Head and Neck Symptom Survey Brazilian Portuguese version 2.0 (VNHS 2.0): psychometric properties for patients with head and neck cancer who have undergone radiotherapy	Evaluate the psychometric properties of VNHS 2.0	241	HNC - Radiotherapy treatment	QLQ-C30, QLQ-H&N35, VNHS 2.0
25	Peer review publication	Kokkula, Deng, Epstein, Mijatovic, Rask, Dietrich, Murphy	2014	USA	Associations of oral health items of the Vanderbilt Head and Neck Symptom Survey with a dental health assessment	Association between OHRQoL findings and clinical findings	30	HNC - Chemoradiotherapy treatment	VNHS 2.0
27	Peer review publication	Hjermstad, Begemann, Bjorke, Fahren, Holmström, Hestad, Gulliksen, Foss, Nilsen, Gulliksen, Nilsen, Tønnesen, Lønne, Tønne, Ørstavik, Hestad, EORTC QLQ-H&N35	2012	Norway	The EORTC QLQ-OH17: A supplementary module to the EORTC QLQ-C30 for assessment of oral health and quality of life in cancer patients	To develop an OHRQoL tool	18, 133	Varied	QLQ-OH17
28	Peer review publication	Pava-Badan, Butcherworth, Dawson, Lowe, Rogers	2008	UK	The further development and validation of the Liverpool Oral Rehabilitation Questionnaire (LORQ) version 3.0: a cross-sectional survey of patients referred to a dental hospital for removable prosthesis replacement	To develop an OHRQoL tool	104	Unclear - patients presenting to the department for dentures	LORQ 3.0, OHF-14
29	Peer review publication	Sherman, Simonson, Adams, Vandi, Owens, Hanna	2000	USA	Assessing Quality of Life in Patients With Head and Neck Cancer	Cross-validation of the European Organization for Research and Treatment of Cancer (EORTC) Quality of Life Head and Neck Module (QLQ-H&N35)	120 (20 HNC)	HNC and comparison non-malignant population	QLQ-C30, QLQ-H&N35
31	Peer review publication	Zahid, Martins, Zahid, Asim, Ismail, Hassan, Bhattacharya, Jilani, Asad, Akhtar, Siddiqui, Asim, Ahmad	2022	Pakistan	Psychometric properties of the Urdu version of the EORTC QLQ-H&N35 (European organization for research and treatment of cancer head and neck module quality of life tool)	Translate, and validate the EORTC H&N35 in Urdu	250	HNC - Primary oral (20%)	QLQ-C30, QLQ-H&N35, RS-14, H&N35
32	Peer review publication	Murphy, Dietrich, Wells, Dwyer, Rabin, Silver, Gilbert, Chung, Condel, Bailey, Terrough, Straat, Netherland	2009	USA	Reliability and validity of the Vanderbilt head and neck symptom survey: a tool to assess symptom burden in patients treated with chemoradiation	Validation of the VNHS 1.0	232	HNC - Chemoradiotherapy treatment	VNHS 1.0
33	Peer review publication	Cooperstein, Gilbert, Epstein, Dietrich, Bond, Rabin, Wells, Condel, Bailey, Terrough, Straat, Netherland	2012	USA	Vanderbilt head and neck symptom survey version 2.0: report of the development and initial testing of a subscale for assessment of oral health	Development of the OHRQoL tool	70	HNC - Radiotherapy treatment	VNHS 2.0
34	Peer review publication	Jayasinghe, Rajapaksa, Arinamin	2009	Sri Lanka	Health-related quality of life in patients with H&N cancer in Sri Lanka: psychometric properties of the Sinhala version of the EORTC QLQ-H&N35	Translate QLQ-H&N35	196	HNC	QLQ-C30, QLQ-H&N35
35	Peer review publication	Hajmomeni, Faghihi, Tadmor, Kurni, Hosain, Fediun, Bortolotto, Arinamin	2015	Iran	Oral health-related quality of life in patients with cancer: cultural adaptation and the psychometric testing of the Persian version of EORTC QLQ-OH17	To validate the Persian version of the QLQ-OH17	729	HNC	QLQ-C30, QLQ-OH17
36	Peer review publication	Liu, Guo, Wu, Bai, Li, Guo, Yu, Xiao, Bai, He, Zheng, Chen	2016	China	Reliability, validity and responsiveness of the Mandarin Simplified Chinese version of the EORTC QLQ-OH15 among cancer patients	Translate, and validate the Mandarin version of QLQ-OH15	383	HNC	QLQ-C30, QLQ-OH15
37	Peer review publication	Bjorke, Hjermstad, Einarsson, Gulliksen, Bruun, Einarsson, Bjorke, Lønne, Fahren, Jansen, Westin, Kasa, EORTC QLQ-OH15	1999	Norway	Quality of Life in Head and Neck Cancer Patients: Validation of the European Organization for Research and Treatment of Cancer Quality of Life Questionnaire-H&N35	Develop and validate QLQ-H&N35	500	HNC	QLQ-C30, QLQ-H&N35
38	Peer review publication	Bjorke, Gulliksen, Fahren, Hjermstad, Fjellhaugen, Condel, Einarsson, Hestad, Hestad, Gulliksen, Nilsen, Tønnesen, Lønne, Tønne, Ørstavik, Hestad, EORTC QLQ-H&N35	2000	Norway	A 12 country field study of the EORTC QLQ-C30: version 3.0 and the head and neck cancer specific module (EORTC QLQ-H&N35) in head and neck patients	Test the validity and reliability of the QLQ-H&N35	622	HNC	QLQ-C30, QLQ-H&N35
39	Peer review publication	Singer, Arnold, Chien, Pava, Gulliksen, Hjermstad, Gulliksen, Schmidt, Lønne, Gulliksen, Kasa, Holmström	2013	Germany	Performance of the EORTC questionnaire for the assessment of quality of life in head and neck cancer patients: EORTC QLQ-H&N35: a methodological review	Assess the range, availability, psychometric properties, and acceptance of the QLQ-H&N35	136 papers	HNC - various	QLQ-C30, QLQ-H&N35
40	Peer review publication	Barnico, Cavallito, Pava, Norrie, Pava	2014	Brazil	Translation and cross-cultural adaptation into Brazilian Portuguese of the Vanderbilt Head and Neck Symptom Survey version 2.0 (VNHS 2.0) for the assessment of oral symptoms in head and neck cancer patients submitted to radiotherapy	Translate and validate the Portuguese version of the VNHS 2.0	37	HNC	VNHS 2.0
42	Peer review publication	Pava-Badan, Cawood, Howell, Lowe, Rogers	2004	UK	The Liverpool Oral Rehabilitation Questionnaire: a pilot study	Pilot questionnaire	61	Attention to oral rehabilitation clinic	LORQ, QLQ-H&N35
43	Peer review publication	Hampshire, Zentgraf, Smith, Hagen, Lerner, Strong, Shah, Spitz, Holland	1996	USA	Quality of life of maxillofacial patients using an obturator prosthesis	Unclear: the article tests the OPS and its association with patient recovery, psychological adjustment to disease state, and marital health function	47	HNC - Obturator	OPS
44	Peer review publication	Kozmuc, Balk, Erilgin, Yildiz, Pater	2016	Turkey	Predictors of obturator functioning and satisfaction in Turkish patients using an obturator prosthesis after maxillofacial	Translate and test validity of OPS	41	HNC - Obturator	OPS
45	Peer review publication	Chen, Qu, Pava, Davies	2023	Ireland	The Oral Symptom Assessment Scale (OSAS): criterion validation with the EORTC QLQ-OH15 and reliability testing	Test criterion validity and reliability	54	Advanced cancer/palliative	OSAS QLQ-OH15

Table 2 – Summary of the articles accepted into the scoping review

Identified QoL tools

We identified a range of tools being used to assess patient-reported outcomes in HNC. The tools identified can be characterised as:

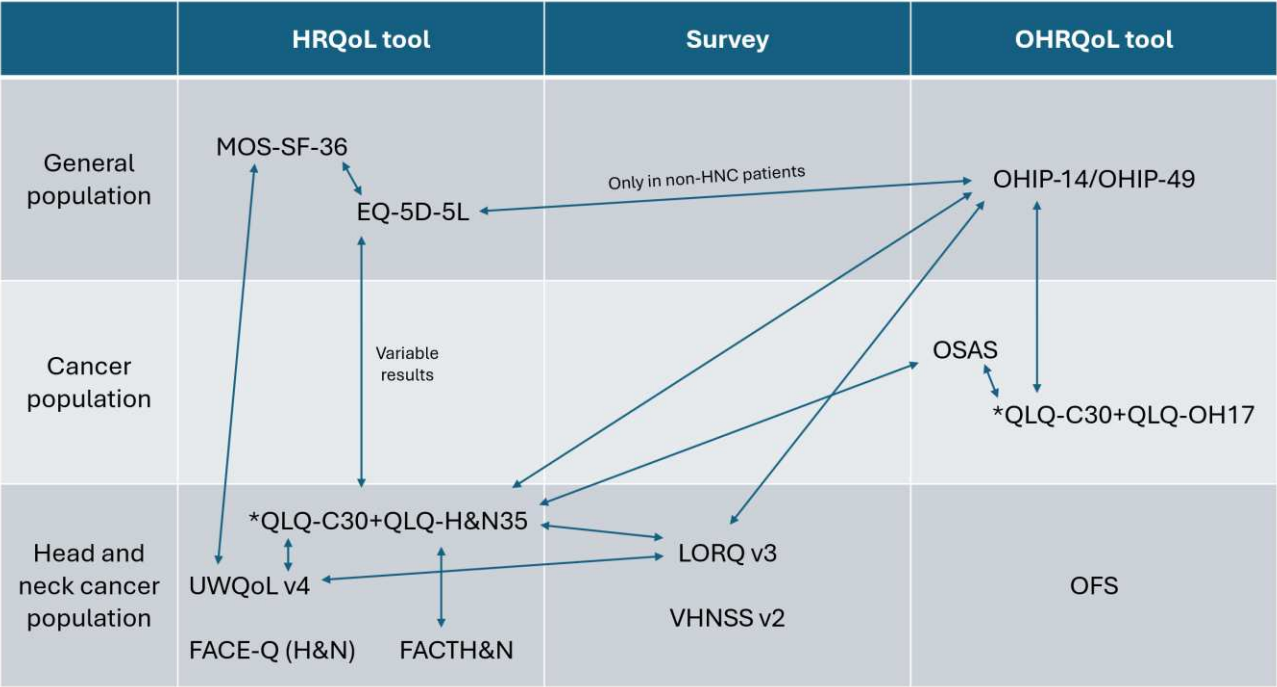
1. General population tools (GT), cancer-specific tools (CT), or HNC-specific tools (HNT)

2. Health related QoL (HRQoL) tool, OHRQoL tool, or patient (symptom) survey

Information related to the development methodology, testing, availability, and readability of tools is summarized in tables 2-4. We have not performed a quality analysis of studies. Thus, caution should be exercised when interpreting findings; they merely represent an attempt to perform a type of testing/development, rather than the quality of said methods.

Nineteen tools were identified. These include the EORTC core (QLQ-C30), head and neck (QLQ-H&N35 and QLQ-H&N43), and oral health tools (QLQ-OH15 and QLQ-OH17); OHIP tools (14 and 49); LORQ v1.0-3.0,

147 VHNSS 1.0-2.0, OFS, OSAS, FACE-Q, FACT H&N, UWQoL, EQ-5D-5L v4, and MOS SF-36. The LORQ
 148 and VHNSS represent patient surveys to assess oral rehabilitation and patient symptoms, whilst the remainder
 149 of the tools represent either HRQoL or OHRQoL tools. In addition, the EORTC tools are designed for use in
 150 combination with one another (core item list with additional modules). The tools identified alongside the
 151 existence of studies to achieve concurrent validity between questionnaires are summarised in Figure 2.



* = an EORTC module used in conjunction with the core items (QLQ-C30)

153 Figure 2 - The tools identified are grouped based on the tool type and population they have been designed for.
 154 Arrows indicate the presence of published peer-reviewed studies reporting on the concurrent validity between
 155 questionnaires. OSAS is designed for the advanced and palliative care cancer population only.

156 Characteristics of the identified tools

157 Method of tool construction

158 The tool development method influences the likelihood of a tool being complete, appropriate, acceptable and
 159 usable. Stakeholders such as the target population and treating clinicians should be included at multiple stages
 160 of research and should form the theoretical grounding for the items in a tool.[42] The development methods for
 161 questionnaires varied but were often poorly described.

162 EORTC and OHIP were the only tools that adhered to recommendations regarding stakeholder involvement.
 163 This involvement extended beyond patient interviewing, for example, with the use of respondent validation.
 164 Other methods used to develop QoL tools included professional opinion, expert consensus, focus groups,
 165 symptom/experience surveys, topic prioritization cards, and literature reviews (see Table 2).

The EORTC modules, VHNSS, LORQ, UWQoL, and EQ series tools all underwent four distinct phases of tool development and have been revised through multiple published iterations. EORTC is the only HNT that has undergone all four recommended phases of tool development.[42] The EORTC group were the only group to reference published standards for QoL tool development.[43]

Completeness (domains and items)

The domains of OHRQoL are oral function, orofacial pain, appearance, and psychosocial impact. Treatment expectation is also sometimes included. There is no independent and objective measure of the completeness of an OHRQoL tool, as this will fundamentally be scenario-dependent. We developed a completeness matrix by combining accepted OHRQoL items with HNC-specific oral health items identified from included studies (see Table 4). In this process, all 600 items from the identified QoL tools were collated, de-duplicated and grouped into clusters relating to health impacts, thus forming 19 potentially relevant oral health impacts. None of the tools measured all potentially relevant items. Oral health and function domains were the most assessed, whilst treatment expectation and satisfaction were the least assessed domains. HRQoL tools more commonly assessed environment impacts, whereas OHRQoL tools focussed on social/emotional domains. Self-perceived dental health was assessed by the EORTC H&N and OH modules, the OHIP tools, OSAS, and VHNSS 2.0. Spacing between teeth was only assessed by the OHIP tools.

A failure of tools to measure all potential items does not indicate with certainty that they are incomplete, but rather that there is ambiguity in this regard. This can be further investigated through the use of mixed-methods studies to determine the oral health impacts that are the most relevant and important in the representation of the patient experience.

Validity and reliability

None of the tools identified had undergone comprehensive assessment of validity and reliability as recommended by COSMIN (Table 3). Whilst content validity testing was performed for most tools, this was often not repeated when tools were translated or adapted for use in other cultures. Assessment of content validity should involve patient/clinician stakeholders who can input on acceptability, comprehensiveness, relevance of items, clarity of wording and ambiguity of items[42]; this was only performed in the HNC cohort for the EORTC tools.

The target population varied between tools. Consequently, the validation of some tools was limited to specific populations, resulting in case-specific validity. The OFS is inherently limited to cases of maxillectomy restored with an obturator. The OSAS was developed and tested for all advanced cancers; it was unclear whether validation in curative oral cavity cancer cases was performed. The VHNSS tools were developed for cases treated with radiotherapy. The EQ-5D-5L is a generic tool, however several studies reported failure to achieve construct validity as well as a lack of sensitivity in HNC cases.

Most studies focussed on evaluating criterion validity, construct validity, test-retest reliability, and internal consistency. Split-half reliability was performed for one tool group (OHIP). None of the tools have sufficient validity and reliability testing to meet the COSMIN criteria.[44–46]

202 Availability

203 All tools were available online alongside licensing information, which enabled free use for non-commercial
204 purposes, including academic research. Modification of tools is not permitted.

Language translations and cultural adaptation were available for most tools; however, content validity was rarely re-evaluated in this context. Many tools were constructed in Western developed countries with subsequent translation and cultural adaptation. Cultural acceptability, administrative burden, and language bias were not reported for any of the tools.

209 Readability

210 The Flesch-Kincaid readability score of tools ranged from 1.2 to 6.7. A score below eight is recommended for
211 public documentations in developed countries.[47]

The readability score and burden of a questionnaire are influenced by its design. The number of items used in tools varied between 5 (EQ series) and 102 (FACE-Q). All tools utilised a horizontal scale to determine the strength of a measure. This varied from a 3-point problem-based verbal rating scale to a 10-point Likert scale. Some tools also employed dichotomous answering systems.

Type	Year	Site	Study ID	Study title	Number of test participants	Any adverse events?	Randomisation	Stratification	Time offset	Clinical trial ID	Country	Primary endpoint	Country	Comparator	Test treatment	Interventive component	Significant results	Response rate	Estimated cost (£)	Language	Cohort size	Interpretability	Confidence interval	R ²	Score	Ref. Source	
OHQoL	QPS	HMC	QH specific	Expert consensus	Unknown	Unknown	Unknown	Unknown	1986	N/A	No	No	Yes	No	Yes	No	No	Yes	Mechanism study only	Multiple	Multiple	Unknown	Unknown	0.5	57.8		
OHQoL	QLO-QH-1	HMC	QH specific	Literature review, professional interviews and patient interview	Specialist clinicians, patients	Involvement in all phases of questionnaire development	Yes	2016	OSAS, QHP-14, QHP-4B	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Multiple	Multiple	Yes	Unknown	0.2	82.8		
OHQoL	QLO-QH-7	HMC	QH specific	Literature review, professional interviews and patient interview	Specialist clinicians, patients	Involvement in all phases of questionnaire development	Yes	2012	OSAS, QHP-14, QHP-4B	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Multiple	Multiple	Yes	Unknown	0.6			
OHQoL	QHP-14	ST	QH specific	Patient interviews, joint comparisons	Individuals general population	Individuals general population	Yes	1987	QHP-4B, QHP-Eiken, QLO-CSD-QH-QH2, LORQ, EQ-D-S	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Yes	Unknown	0.2	74.7		
OHQoL	QHP-4B	ST	QH specific	Patient interviews, joint comparisons	Individuals general population	Individuals general population	Yes	1994	QHP-14, QHP-Eiken, QLO-CSD-QH-QH2, LORQ, EQ-D-S	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Yes	Unknown	0.2	74.7		
OHQoL	OSAS	Advanced palliative services	QH specific	Literature review and expert opinion	Specialist clinicians	Feedback on scoring	N/A	2021	QLO-QH1	Yes	Yes	No	No	Yes	No	No	No	Palliative care only	N/A	N/A	Unknown	0.7	74.7				
Old concerns inventory	LORQ v1	HMC	QH specific	Expert consensus	Unknown	Unknown	No	2004	Unlabeled	Unknown	Unknown	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	
Old concerns inventory	LORQ v2	HMC	QH specific	Expert consensus	Unknown	Unknown	No	2004	Unlabeled	Unknown	Unknown	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	Unlabeled	
Old concerns inventory	LORQ v3	HMC	QH specific	Expert consensus	Unknown	Unknown	Unknown	2006	QHP-14, WHOQOL, QLO-HMCDS	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Unknown	Unknown	0.7	75.8		
Old concerns inventory	VHRES 2.0	HMC	QH specific	Literature review, expert consensus including physicians, dentists and patients	Specialist clinicians, patients	Involvement in all phases of questionnaire development	No	2011	N/A	Yes	Yes	Yes	Yes	No	No	No	No	Radiotherapy cases only	Multiple	Multiple	Unknown	Unknown	0.9	83.3			
Old concerns inventory	VHRES 1.0	HMC	General	Symptom survey	Specialist clinicians	Symptom survey	No	2008	N/A	Yes	Yes	Yes	Yes	No	No	No	No	Radiotherapy cases only	N/A	N/A	Unknown	Unknown	0.9	83.3			
QIL	FACE-Q	HMC	General	Qualitative interviews, literature review, expert opinion	Citizens	Semi-structured interviews	Unknown	2016	N/A	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Unknown	Unknown	1.2	90.1		
QIL	FACT-HN	HMC	General	Interviews, professional opinion	Specialist clinicians, patients	Unknown	Unknown	1986	HCAD, QLO-CR, QLO-HADS	No	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Multiple	Multiple	Unknown	Unknown	1.8	91.6		
QIL	QLO-CSD	HMC	General	Literature review, professional interviews and patient interview	Specialist clinicians, patients	Involvement in all phases of questionnaire development	Yes	1993	QHP-14, QHP-4B, LORQ, EQ-D-S, in combination with EQEC HHS level	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Yes	Unknown	0.1	74.8			
QIL	QLO-HADS	HMC	General	Literature review, professional interviews and patient interview	Specialist clinicians, patients	Involvement in all phases of questionnaire development	Yes	1989	OSAS, QHP-14, QHP-4B, LORQ, EQ-D-S	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Yes	Unknown	1.4	82.8			
QIL	QLO-HANQ	HMC	General	Literature review, professional interviews and patient interview	Specialist clinicians, patients	Involvement in all phases of questionnaire development	Yes	2014	OSAS, QHP-14, QHP-4B, LORQ, EQ-D-S	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Multiple	Multiple	Yes	Unknown	1.5	82.2			
QIL	UW-QIL	HMC	General	Literature review, professional interviews and patient interview	Specialist clinicians, patients	Unknown	Yes	1989	LORQ, QLO-CR, MOS SF-36	Yes	Yes	Yes	Yes	No	Yes	Yes	No	Yes	Yes	Multiple	Multiple	Yes	Unknown	0.4	71.6		
QIL	EQ-D-S	ST	General	Stakeholder focus groups	Individuals general population	Unknown	Yes	2011	UW-QIL, QLO-CR, QLO-HADS, QHP v1 and HMC populations only	No	No	No	No	No	No	No	No	Construct validity not achieved in studies from 2005, poor sensitivity (Gower 2018)	Multiple	Multiple	Yes	Unknown	7	96.3			
QIL	MDA BP	ST	General	Literature review, professional interviews and patient interview	Unknown	Unknown	Unknown	1982	EQ-D-S, UW-QIL	No	No	No	No	No	No	No	No	No	No	No	Multiple	Multiple	Yes	Unknown	4.1		

Table 3 - a summary of the types of QoL identified alongside the method of construction and testing methods reported in the published literature for each tool.

248 The literature search identified a range of tools, including those designed specifically for HNC patients and
249 others intended for broader cancer cohorts. Most HNTs focused on measuring functional impacts like eating,
250 speaking, and swallowing, and often overlooked the domains of treatment expectation, environment, and
251 social/emotional well-being. These additional domains are crucial for gaining a more comprehensive
252 understanding of QoL and are well-recognized as important aspects of OHRQoL.[49]

253 The items assessed by different tools vary, further suggesting that they are missing key items. Impacts related
254 to the dentition (such as dental appearance, function, and dental pain) were frequently missing, particularly from
255 HRQoL tools. This contrasts with the literature indicating that dental concerns are common in the HNC
256 population.[50] Some of the identified tools measured all domains of OHRQoL, but they failed to measure
257 specific items that are likely important to HNC patients. One GT tool (OHIP-14), for example, provides a
258 holistic measurement of OHRQoL for the general population, encompassing all accepted domains of OHRQoL.
259 However, it doesn't assess dry mouth, altered salivary consistency, difficulty opening the mouth, and altered
260 facial appearance, and may therefore fail to achieve this same level of holistic measurement in the HNC
261 population.

262 It is proposed that incomplete questionnaires can achieve criterion and construct validity if the qualitative
263 grounding for questionnaire construction is flawed or missing.[42] Some of these missing factors may have
264 little impact on overall health experiences, or other, more general questions may capture their impact. For
265 example, while questions related to dry mouth are missing from OHIP-14, the oral health impacts of dry mouth
266 may be captured by questions such as: "Have you had to interrupt meals because of problems with your teeth,
267 mouth or dentures?". Consequently, it is unclear whether the available QoL tools capture the *salience* of the oral
268 health experience of HNC through the range of items they assess. Thus, further research should aim to resolve
269 ambiguity regarding the relevant oral health impacts in contemporary HNC patients, using the existing QoL
270 tools as a reference.

271 One qualitative research study (with preliminary findings) indicates that multiple potential oral health impacts
272 are not assessed by the identified QoL tools.[51] Despite this, the importance of the reported impacts on the
273 overall OHRQoL experience of HNC patients is unknown. Consequently, the importance of these impacts on
274 the validity of QoL tools is uncertain. It is important that a questionnaire doesn't aim to assess all oral health
275 impacts. The purpose of a QoL tool is to assess the health impacts that are of greatest relevance and importance
276 to provide an overarching score that is representative of the patient experience. Consequently, QoL should
277 undergo a phase of item list refinement. A QoL tool that is excessively long is less likely to be completed
278 accurately, and may not be completed at all due to the burden associated with this. Despite this, there is potential
279 for excessively short questionnaires to miss important health impacts. Item list refinement is often completed
280 using a number of methods including stakeholder consensus, and evaluation of the psychometric properties of
281 the QoL tool.

282 A OHRQoL questionnaire must be able to evidence precision and responsiveness. The feasibility of this depends
283 on the definition (and acceptance) of a minimum important clinical difference within the HNC population. To
284 facilitate this process, the development of QoL tools must include normative data for comparison in relation to
285 outcomes. However, there is no consensus on what “normative” relates to in the context of the HNC population.

286 The impact of missing QoL domains differs significantly from that of missing items. The omission a domain
287 may result in a tool which fails to holistically assess QoL. Only the OHIP and EORTC H&N questionnaires
288 measure all domains of OHRQoL. All other identified questionnaires, including the EORTC QLQ-C30 in
289 combination with the EORTC QLQ-OH15/17, do not measure all domains of OHRQoL.

290 The utilisation of a range of methodologies in phases of tool development is recommended.[45,48] This
291 facilitates iterative development/testing of the tool, including the relevance/salience of items,
292 interpretability/readability of questions, and length/structure. Failure to follow this can result in a tool that does
293 not represent key aspects of the patient experience.[42,45] Furthermore, the patient involvement process must
294 be performed with a robust methodology to minimise bias and ensure the sample is representative and of
295 sufficient size. Many of the identified tools were developed without robust stakeholder involvement. Future
296 research should explore the relevance and wording of questionnaire items regarding their appropriateness and
297 relevance.

298

299 Conclusion

300 Our findings suggest that the existing tools have reported most items relevant to OHRQoL in HNC. Despite
301 this, none of these tools comprehensively assess all the potential impacts, and none have undergone
302 comprehensively testing (as per COSMIN criteria). The EORTC OH questionnaires are the only tools designed
303 to assess OHRQoL with testing in HNT. However, they fail to assess all OHRQoL domains. Due to the dearth
304 of evidence, there is no definitive indication that any one tool is optimal for assessing OHRQoL in HNC patients.
305 Consequently, the primary objectives of further research should involve assessing the completeness and
306 appropriateness of tools to measure OHRQoL in the HNC population.

307

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