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Abstract	035
Accurate seasonal prediction of the Indian Ocean Dipole (IOD) is crucial given its	036
socioeconomic impacts on countries surrounding the Indian Ocean. Using hind-	037
casts from the Met Office Global Seasonal Forecasting System (GloSea6), coupled	038
mean state biases in the western and eastern equatorial Indian Ocean (WEIO	
and EEIO) and their impacts on IOD prediction are examined.	039
Results show that GloSea6 exhibits a pronounced cold bias in the EEIO that	040
rapidly develops after the monsoon onset in boreal summer (JJA, July-August) and pareits into autumn (SON Sontember Neuropher). This cold bias is linked to	041
and persists into autumn (SON, September-November). This cold bias is linked to erroneous easterlies and a shallow thermocline, likely associated with the monsoon	042
circulation. The seasonal evolution and relative timing of the precipitation biases,	043
such that they develop through JJA in the EEIO but follow in the WEIO in	044
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047	SON, suggests that the EEIO plays the leading role in the development of coupled
048	feedbacks that lead to the large dipole pattern of coupled biases.

Analysis of skill metrics for the IOD shows that GloSea6 achieves a high anomaly ocrrelation coefficient at short lead times, though it tends to overestimate IOD amplitude. This overestimation is larger in the eastern IOD pole than in the western pole and is likely linked to the poor representation of the evolution of the sea surface temperature anomalies in the EEIO during IOD events in SON. This study highlights the crucial role of regional biases, particularly in the EEIO, in shaping IOD variability and demonstrates that addressing such biases in GloSea6 could improve IOD prediction.

Keywords: Seasonal forecast, Mean state bias, Indian Ocean Dipole, IOD prediction

#### 1 Introduction

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094 The tropical Indian Ocean and its interaction with the atmosphere modulate regional 095 and global climate, and exhibit multiple modes of climate variability on intraseasonal-096 to-interannual timescales (Schott et al, 2009). The Indian Ocean Dipole (IOD) is the 097 dominant coupled mode of interannual variability of sea surface temperature (SST) 098 across the equatorial Indian Ocean (Saji et al, 1999; Webster et al, 1999). It is char-099 acterised by cool SST anomalies in the eastern equatorial Indian Ocean (EEIO) and 100warm anomalies in the western equatorial Indian Ocean (WEIO) during its positive 101 phase, while the opposite pattern of SST anomalies occurs during its negative phase. 102Positive IOD events have been shown to increase flooding in East Africa (Wang and 103Cai, 2020; Wainwright et al, 2021; Schwarzwald et al, 2023), and monsoon rainfall in 104India (Ashok et al, 2001; Hrudya et al, 2021) and Australia (Ashok et al, 2003; Saji 105and Yamagata, 2003; Ashok et al, 2007; Cai et al, 2012; Liguori et al, 2022; Karre-106 vula et al, 2024). A recent study by Karrevula et al (2024) using the North American 107Multi-Model Ensemble seasonal forecasting system found that warming in the central 108Indian Ocean, driven by strong equatorial easterlies, plays a crucial role in modulating 109the frequency of extreme positive IOD events and their impact on summer monsoon 110 precipitation from June to November. 111

The relationship between the IOD and the South Asian summer monsoon is com-112plex and influenced by a range of coupled processes. While positive IOD events are 113often associated with enhanced monsoon rainfall over parts of India, the telecon-114nection is modulated by several factors including equatorial Indian Ocean dynamics, 115land-atmosphere interactions, and regional atmosphere circulation (Bollasina and 116Ming, 2013; Annamalai et al, 2017; Crétat et al, 2017; Cherchi et al, 2021). Given the 117 importance of regional climate and weather patterns influenced by the IOD, its accu-118 rate representation in models is crucial for producing reliable climate forecasts and 119future projections. Furthermore, since the IOD interacts with the El Niño-Southern 120Oscillation (ENSO), accurately capturing the observed IOD characteristics is essen-121tial for improving forecasts of climate impacts on a global scale. (Crétat et al, 2017; 122McKenna et al, 2020). 123

Despite the socio-economic significance of the tropical Indian Ocean, the region suffers 124large mean state biases in general circulation models (GCMs) used for climate projec-125tions and seasonal forecasts (Li et al, 2015; Johnson et al, 2017; McKenna et al, 2020; 126Long et al, 2020; Marathe et al, 2021; Martin et al, 2021; Wang et al, 2021). System-127atic biases during SON (September-November), when the IOD typically peaks and 128 has significant regional climate impacts, have been found in the previous and latest 129generations of coupled GCMs that contribute to the Coupled Model Intercomparison 130Project (CMIP) (Li et al, 2015; Annamalai et al, 2017; Wang et al, 2021; Long et al, 1312020). Earlier studies suggest that coupled biases over the equatorial Indian Ocean 132originate from spring and summer seasons, and are linked to biases in the simula-133tion of the South Asian monsoon (e.g. Bollasina and Ming, 2013; Prodhomme et al, 1342014; Li et al, 2015; Annamalai et al, 2017). Li et al (2015) found that these biases 135emerge during JJA, where a weakened South Asian monsoon leads to a warm SST bias 136over the western equatorial Indian Ocean, which is then amplified into SON via the 137138

139Bjerknes feedback. On the other hand, Annamalai et al (2017) found that the equatorial Indian Ocean bias originates earlier, in April–May, when easterly wind stress 140141bias begins to develop across the equatorial Indian Ocean through to the JJA and SON seasons, peaking in November. This easterly wind stress bias from April-May 142143initiates a warm SST bias in the western Indian Ocean that persists into JJA, ultimately influencing the summer monsoon. A more recent study by Long et al (2020) 144 demonstrated the source of the positive IOD-like pattern of the mean state biases in 145precipitation and SST across the equatorial Indian Ocean is linked to the warm SST 146147bias in the western Indian Ocean, which is influenced by the South Asian summer 148monsoon circulation during JJA (June-August). This warm SST bias amplifies into 149SON via the positive Bjerknes feedback, a process driven by the zonal SST gradient across the equatorial Indian Ocean that strengthens low-level easterly winds and 150151reinforces the west-east temperature gradient. The strong ocean-atmosphere coupling 152associated with the South Asian summer monsoon dominates the low-level circulation in the Indian Ocean during JJA, shaping the typical seasonal cycle of the IOD, 153154which is observed to develop in JJA, peak in SON, and decay in boreal winter (DJF, December-February; Saji et al. 1999). Consequently, JJA and SON are key seasons 155156for examining the predictability of the IOD and the development of coupled Indian Ocean biases. While the IOD typically develops during boreal summer and peaks in 157autumn, some events may begin earlier during boreal spring, with possible links to 158159Indo-Pacific Ocean interactions. For example, Annamalai et al (2003) suggest that equatorial Pacific SST anomalies can remotely initiate EEIO cooling and wind-driven 160161upwelling off the coast of Sumatra, potentially triggering IOD events that are later 162sustained by local ocean-atmosphere feedbacks during JJA.

In a recent study, Mayer et al (2024) showed that several current seasonal forecast-163164ing systems, provided by the Copernicus Climate Change Service (C3S, 2018), share 165common mean state easterly wind and cold SST biases in the EEIO. For example, the 166 fifth-generation European Centre for Medium-Range Weather Forecasts (ECMWF) 167seasonal forecast system (SEAS5) exhibits an easterly wind bias in the EEIO which 168develops within the first few days of the forecast and amplifies via coupled feedbacks, leading to a cold SST bias in the region (Mayer et al, 2022). On seasonal timescales, 169170Mayer et al (2024) attributed the cold bias to strong equatorial easterlies that induce 171a local easterly wind bias and shallow thermocline in the EEIO. This cold SST bias, 172arising from wind-induced upwelling, is further worsened by a shallow thermocline 173bias that already features in the EEIO oceanic initial conditions used. 174Previous studies have shown that simulated mean state biases in the tropical Indian 175Ocean result in errors in the representation of the IOD (Zhao and Hendon, 2009; Shi 176et al, 2012; Johnson et al, 2017; Hirons and Turner, 2018; Wang et al, 2021). A mean 177 state bias in the zonal SST gradient along the equatorial Indian Ocean, associated

with a steep west-east upward tilt in the thermocline, leads to larger IOD amplitude
compared to observations in climate and forecast models (Zhao and Hendon, 2009;
Wang et al, 2021). This is because a shallower thermocline in the mean state over the
EEIO leads to local EEIO SSTs that are more susceptible to wind anomalies during
IOD development, resulting in erroneous IOD SST anomalies (Johnson et al, 2017).

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The development of such mean state biases in the equatorial Indian Ocean, along 185with poor initialisation of the subsurface ocean, have been shown to limit IOD pre-186dictability (Zhao and Hendon, 2009; Liu et al, 2023). Liu et al (2023) assessed the IOD 187 predictability across two generations of seasonal forecast models, with the upgraded 188 version demonstrating improved skillful prediction of the IOD of up to 6 months lead 189time, with a better simulated IOD spatial pattern and SST interannual variability, 190compared to its predecessor. The previous version exhibited a positive IOD-like bias 191in SST and zonal wind, resulting in stronger than observed cooling in the EEIO that 192extended too far west, accompanied by weak warming in the WEIO, during positive 193IOD events. They concluded that such a mean state bias in the tropical Indian Ocean 194led to an underestimation of the SST variability in the WEIO. 195

While some studies have focused on the sources of mean state biases in the equatorial 196Indian Ocean and others on the predictability of the IOD, very few have specifically 197linked these mean state biases to their impact on the prediction of the IOD. For 198 example, although many of the aforementioned studies have highlighted persistent 199positive IOD-like biases in SST, circulation, and precipitation within coupled GCMs, 200most have not explored their effects on regional SST variability in the WEIO and 201EEIO, which are key poles of the IOD, and linked them to IOD prediction. Therefore, 202 203outstanding questions remain, that we aim to address in this study:

• How do mean state biases in the atmosphere and subsurface ocean evolve in the WEIO and EEIO?

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• What influence do the WEIO and EEIO regional biases have on the representation and predictability of the IOD?

In this study, we assess the performance of the UK Met Office Global Seasonal Fore-<br/>casting System version 6 (GloSea6) in simulating the mean state and climate variability209210211212212213214214214

The remainder of this paper is structured as follows: a description of the forecast 215system, the observational data used, and the statistical methods applied is featured in 216Section 2. Section 3 contains the analysis of the development of mean state biases in 217218SST, circulation and precipitation in JJA and SON, over the large-scale Indian Ocean, 219including the WEIO and EEIO. In Section 3, we further examine the coupled nature of 220the biases, by investigating the subsurface ocean compared to observations, evaluate the representation of the IOD spatial pattern and SST variability, and examine the 221prediction skill of SST anomalies associated with the IOD. Section 4 summarises the 222results and concludes the paper. 223

#### 2 Data and Methods

#### 2.1 Model description

GloSea6 is an ensemble prediction system that is fully coupled with atmosphere, land surface, ocean, and sea-ice components. GloSea6 in Global Configuration 3.2 (GC3.2) 230

231consists of the following components: the Met Office Unified Model (UM) Global Atmosphere version 7.2, the Nucleus for European Modeling of the Ocean Global Ocean 232233version 6.0, the Joint U.K. Land Environment Simulator Global Land version 8.0, and 234the Los Alamos Sea Ice Model Global Sea ice version 8.1. The atmosphere and land 235models are based on Walters et al (2019), and the ocean and sea ice models are based on Storkey et al (2018) and Ridley et al (2018), respectively. The atmospheric model 236237resolution is N216, corresponding to horizontal grid spacings of approximately 70 km 238in the tropics, with 85 vertical model levels extending up to 85 km. The ocean model 239has a horizontal resolution of 25 km, equivalent to  $0.25^{\circ}$  (ORCA025), with 75 vertical 240levels. MacLachlan et al (2015) provide detailed model information on GloSea5, an ear-241lier version of GloSea6 with the same atmospheric horizontal resolution. Both versions 242of GloSea produce sub-seasonal to seasonal forecasts for operational use, alongside 243corresponding hindcasts, and employ the same Stochastic Kinetic Energy Backscatter (SKEB) scheme to generate perturbations between ensemble members initialised 244from the same analysis (Bowler et al, 2009). The SKEB scheme introduces small, ran-245246dom perturbations to the wind field during model integration to represent uncertainty from unresolved sub-grid processes, re-injecting a portion of the kinetic energy lost 247248through the semi-Lagrangian advection scheme, thereby increasing ensemble spread and improving the representation of forecast uncertainty. 249

250In this study, monthly operational hindcasts are analysed to examine the Indian Ocean 251climate variability, and predictability of the IOD. GloSea6 uses a lagged initialisation 252approach to represent uncertainties in the initial conditions, with hindcasts initialised 253on the 1st, 9th, 17th, and 25th of every month from 1993 to 2016. Within the GloSea6 254system, each start date has seven ensemble members, resulting in a total of 28 members 255each month. Ensemble members initialised on the 1st of the month are integrated 256longer for seven complete calendar months, including the month of initialisation, while 257those initialised on the 9th, 17th and 25th produces forecasts for six complete months. 258Lead time in this study is defined as the number of calendar months elapsed since 259forecast initialisation. Forecasts at 0-month lead time (LM0) refer to the first complete 260calendar month of forecast output. Therefore, for GloSea6 hindcasts initialised on the 2611st of the month, LM0 corresponds to that same calendar month, as the forecast 262begins on day one and spans the entire month. In contrast, for hindcasts initialised 263later in the month (on the 9th, 17th, or 25th), LM0 corresponds to the following 264calendar month, as GloSea6 outputs forecasts as monthly means starting from the first completed calendar month after initialisation. For example, LM0 for a 1st February 265266start date corresponds to February, while LM0 for 9th, 17th, and 25th February start 267dates corresponds to March. Accordingly, monthly climatologies are constructed by 268averaging forecasts for the same calendar month across all relevant start dates. For 269instance, the March SST climatology at LM0 includes March forecasts initialised on 2709th, 17th, and 25th February, and 1st March, averaged over all years from 1993 to 2712016.

272 To assess the seasonal mean by lead time, monthly hindcasts with the same lead time 273 are averaged to produce a hindcast seasonal mean. For example, the JJA mean at 274 LM0 is created by averaging the first month of forecasts for June, July, and August. 275 Likewise, the SON mean at a 0-month lead time is an average of the forecasts for 276 September, October, and November, with each forecast started at the beginning of<br/>each month. By using this method, the influence of model drift is expressed equally<br/>in all three months.277<br/>278<br/>278

#### 2.1.1 Observational datasets

The fifth-generation ECMWF reanalysis (ERA5; Hersbach et al, 2020) at horizontal resolution  $0.25^{\circ} \ge 0.25^{\circ}$ , is used for comparison with model output for dynamic fields such as 10m and 850 hPa winds. For precipitation fields, the Global Precipitation Climatology Project (GPCP) dataset at  $2.5^{\circ} \ge 2.5^{\circ}$  horizontal resolution, with monthly version 2.3 (Adler et al, 2003) and the Tropical Rainfall Measuring Mission (TRMM) Multi-satellite Precipitation Analysis monthly product, 3B43, constructed by the National Aeronautics and Space Administration at  $0.25^{\circ} \ge 0.25^{\circ}$  horizontal resolution are used.

For verification with GloSea6 SST outputs, monthly SST from the Met Office Hadley Centre Sea Ice and Sea Surface Temperature (HadISST) dataset (Rayner et al, 2003) and National Oceanic and Atmospheric Administration Optimum Interpolation Sea Surface temperature version 2 (OISSTv2) monthly data are used (Reynolds et al, 2007). The ECMWF Ocean Reanalysis System 5 (ORAS5) is used for comparison against the GloSea6 ocean potential temperature in the subsurface (Zuo et al, 2019).

#### 2.2 Methods

The pattern correlation coefficient (PCC) and root mean square error (RMSE) are calculated with respect to observations to quantify the performance of GloSea6 in simulating the Indian Ocean mean climate and variability. PCC measures the degree of similarity between the spatial patterns of the observed and simulated fields, while RMSE measures the magnitude of the difference in simulation relative to observations. To assess the statistical significance of the difference between the simulated and observed Indian Ocean mean states, the paired Student's t-test (Wilks, 2011) is performed on the hindcast ensemble mean and observations.

307 Observed and predicted IOD events are identified using the Dipole Mode Index (DMI), 308 which is defined by the west-east gradient of SST anomalies between the western 309 equatorial Indian Ocean (WEIO; 50–70°E, 10°S-10°N) and eastern equatorial Indian 310 Ocean (EEIO; 90–110°E, 10°S-0°) (Saji et al, 1999). SST anomalies of the DMI time-311 series are calculated relative to the full validation hindcast period of 1993 to 2016. 312 To quantify the performance of GloSea6 in predicting the IOD, deterministic met-313rics such as the anomaly correlation coefficient (ACC) and root-mean-square error 314(RMSE) are evaluated. These metrics are calculated between the observed and pre-315dicted SST anomaly time series of the DMI. To compare the IOD variability between 316GloSea6 and observations, the amplitude ratio is computed, defined as the ratio of 317the standard deviation of the predicted DMI to that of the observed DMI (e.g. John-318 son et al. 2019; Wedd et al. 2022). An amplitude ratio < 1 indicates that the model 319 underestimates IOD variability compared to observations, while a ratio > 1 suggests 320 that the model overestimates it.

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#### 323 **3 Results**

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In this section, the ability of GloSea6 to capture the observed climatological JJA and SON mean states, in the atmosphere and subsurface ocean, is assessed. Given the importance of JJA and SON on the seasonality of the development and maturity of the IOD, respectively, we evaluate the simulated seasonal evolution of coupled processes with respect to observations. Specifically, we examine the biases related to monsoon circulation in JJA that influence the coupled ocean-atmosphere Bjerknes feedback across the equatorial Indian Ocean in SON.

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## 333 3.1 Development of coupled ocean-atmosphere biases in JJA and SON

335 Figure 1 compares the JJA and SON mean state biases in SST, precipitation, and 336 850 hPa winds at LM0 (0-month lead time) and LM2 (2-month lead time), showing 337 how these biases differ between seasons and how they change with increasing lead 338 time. Across the equatorial Indian Ocean, GloSea6 exhibits a predominantly warm 339 SST bias, with a small but significant cold bias over the EEIO during JJA at LM0 340 (Fig. 1a). As lead time increases to LM2, this JJA SST bias intensifies into a distinct 341and significant dipole pattern, characterised by a warm SST bias in the WEIO and a 342cold SST bias in the EEIO (Fig. 1b). The SON SST bias follows a similar evolution: 343 starting with a significant warm bias across much of the tropical Indian Ocean, which 344is largest over the EEIO at LM0 (Fig. 1c). By LM2, this bias develops into a dipole 345pattern resembling that of JJA at LM2, with pronounced warming in the WEIO and 346cooling in the EEIO (Fig. 1d). Although the evolution of SST bias into a dipole pattern 347 is similar for JJA and SON with increasing lead time, the magnitude of the warming 348 in the WEIO and cooling in the EEIO at LM2 is notably larger in JJA compared to 349SON. At LM4 and LM6, the dipole structure of the JJA and SON SST biases becomes 350well established across the equatorial Indian Ocean (not shown). 351

The dipole pattern of JJA and SON SST bias at LM2 resembles the SST anomalies 352typically observed during a positive IOD event (Saji et al, 1999). Previous studies 353(e.g., Johnson et al, 2017; Martin et al, 2021; Mayer et al, 2024) found a similar pos-354itive IOD-like pattern of JJA mean SST bias in GloSea5 and SEAS5 hindcasts. In 355GloSea6, the JJA and SON biases in precipitation and lower-tropospheric circulation 356(Figs. 1e-h) are consistent with the changes in SST biases as lead time increases. A 357 dry bias over India in JJA (a known problem in the GloSea forecast model; Johnson 358 et al, 2017, Martin et al, 2021; Keane et al, 2024) worsens from LM0 to LM2, while 359a dipole between excessive rainfall in the central Indian Ocean and a dry bias in the 360 EEIO, off the coast of Sumatra, increases (Fig. 1e; Fig. 1f). Similarly, the SON biases 361in precipitation and circulation over the equatorial Indian Ocean show comparable 362 changes, with significantly strengthened southeasterlies and a dry bias in the EEIO, 363alongside a wet bias in the WEIO by LM2 (Figs. 1e-h). However, it is notable that the 364SON precipitation bias is larger in the WEIO at LM2, despite responding to a smaller 365 magnitude of SST bias, compared to the JJA precipitation bias at the same lead time. 366 This may be related to the significantly stronger easterlies in the central equatorial 367 368

Indian Ocean in SON compared to JJA at LM2, which likely enhances low-level con-369 vergence in the WEIO (Fig. 1f; Fig. 1h). A positive IOD-like precipitation pattern, 370 with a wet western and central equatorial Indian Ocean and a dry EEIO, is estab-371 lished at LM2 in JJA and SON. These features are likely associated with the Bjerknes 372 coupled feedback, where excessive easterly winds in the equatorial Indian Ocean are 373 coupled with biased dipole patterns in SST and precipitation. For instance, the signif-374 icant erroneous southeasterly flow off the coast of Sumatra enhances upwelling, which 375 cools the SST further in that region, reinforcing the dipole pattern. The interactions 376 between SST, winds, and precipitation leads to a coupled feedback loop that amplifies 377 the initial biases and their associated patterns. 378

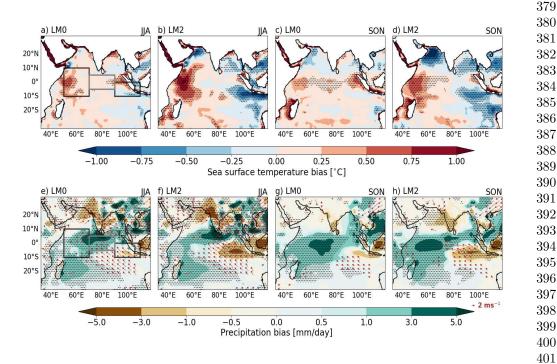


Fig. 1 Climatological JJA and SON mean biases in GloSea6 for a-d) SST, and e-f) precipitation and 850 hPa winds at 0-month (1st month of the forecast; LM0) and 2-months lead time (3rd month of the forecast; LM2). GloSea6 SST, precipitation and low-level winds are compared against HadISST, GPCP and ERA5, respectively, from 1993-2016. Black boxes show the western  $(50-70^{\circ}\text{E}, 10^{\circ}\text{S}-10^{\circ}\text{N})$  and eastern  $(90-110^{\circ}\text{E}, 10^{\circ}\text{S}$  to equator) poles of the IOD. Grey box shows the central equatorial Indian Ocean  $(70-90^{\circ}\text{E}, 5^{\circ}\text{S}-5^{\circ}\text{N})$ , used to capture a metric of zonal wind. Black stipples on the SST panels indicate regions where mean-state SST biases are statistically significant at the 95% confidence level, based on a paired Student's t-test. On the precipitation panels, stipples indicate significance of mean-state precipitation biases, and the overlaid 850 hPa wind vectors are shown only where they are also significant at the same confidence level.

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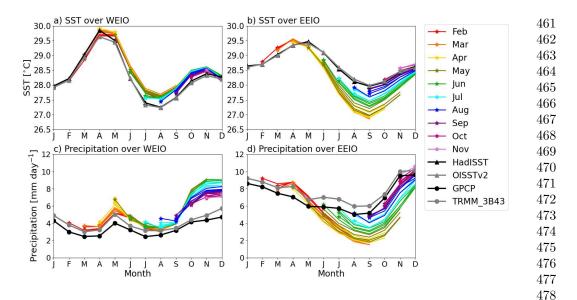
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To investigate the interplay between SSTs, precipitation, and the subsurface ocean, and to further examine how ocean-atmosphere biases evolve from months to seasons ahead in the tropical Indian Ocean, quantities were averaged over the WEIO and 413 414

EEIO regions. Analysis was performed on hindcast ensemble means initialised betweenFebruary and November.

417Figure 2 shows the predicted climatological seasonal cycles of SST and precipitation compared to observations over the WEIO and EEIO. The SST in the WEIO (Fig. 2a) 418 419generally tends to be initialised systematically warmer than observations from May onwards in contrast to the EEIO (Fig. 2b). The EEIO SST bias initially shows warm-420421 ing for February-April start dates, but then rapidly develops into a cold bias from 422May onwards, persisting through JJA during the boreal summer monsoon and into 423SON when initialised from May-August starts. The distinct EEIO cold bias is much 424 larger in magnitude than the warm bias in the WEIO, and is notably larger when 425initialised from February to July compared to the relatively smaller cold bias that 426develops following August and September initialisations. Forecasts running through 427a larger portion of the JJAS season tend to suffer a worse bias. Together with the 428 circulation bias seen off Sumatra in Figures 1e-h, this finding suggests that the north-429ern hemisphere monsoon in JJA strongly influences the evolution of the SST bias 430in the EEIO. This indicates a strong seasonal dependence in the development of the EEIO SST bias. As in the case of the EEIO cold SST bias, hindcasts started from 431432May-August show rapid growth of dry bias into the SON months (Fig. 2d), showing a strong seasonal dependence. The dry bias for hindcasts initialised in the autumn 433434is much smaller, after the withdrawal of the boreal summer monsoon. Meanwhile in 435the WEIO (Fig. 2c), large precipitation biases do not begin to develop until autumn, 436coinciding with the positive IOD-like precipitation pattern of wet bias in the WEIO 437and dry bias in the EEIO during SON, which is also consistent with Figure 1h. The 438more pronounced SST bias in the EEIO and the relative timing of the precipitation 439biases between the EEIO and WEIO, such that the biases develop through summer in 440 the EEIO but only begin in the autumn in the WEIO, suggest that the EEIO plays 441 a leading role in the development of the overall SST bias pattern. We note that the 442observational uncertainty in precipitation is generally larger compared to SST due 443 to the highly variable nature of precipitation, which may contribute to some of the 444 discrepancies seen in these biases. For instance, GPCP and TRMM\_3B43 show a discrepancy of approximately 0.5–1 mm/day from January-September in the WEIO and 445 EEIO (Fig. 2c) in contrast to the small and negligible monthly differences between 446 447HadISST and OISSTv2 throughout the year.

448In the central equatorial Indian Ocean, easterly wind biases in near-surface 10m zonal 449 winds and zonal wind stress develop in late spring, then rapidly intensify through JJA, and peak in SON (Fig. 3a-b). In particular, GloSea6 exhibits a weak easterly wind 450451stress bias in March-April when initialised in February and March. As a result, the 452eastward-flowing Wyrtki jets remain relatively well developed in March and April for 453these early initialisations, compared to ERA5 (Fig. 3c). These jets are strong equatorial 454ocean currents that transport mass and heat in the upper ocean from the western 455to the eastern Indian Ocean biannually, during the spring and autumn intermonsoon 456seasons, driven primarily by westerly winds (Schott and McCreary, 2001). Therefore, 457the opposing easterly wind stress bias acts to suppress these eastward-flowing Wyrtki 458Jets, which is particularly evident for hindcasts initialised in April and May. The 459easterly wind bias is especially strong in May, resulting in considerably weaker Wyrtki 460



**Fig. 2** Monthly evolution of climatological a-b) SST (against HadISST and OISSTv2) and c-d) precipitation (against GPCP and TRMM\_3B43) over the WEIO and EEIO in GloSea6 hindcasts initialised from February to November over the 1993-2016 hindcast period. Solid coloured lines represent the monthly ensemble means of seven members for each start date. Four solid lines represent the 1st, 9th, 17th and 25th start dates of each month on which GloSea6 is initialised every month.

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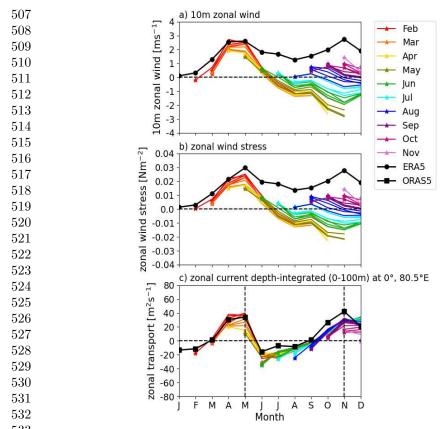
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jets relative to ORAS5. Notably, despite differences in the magnitude of the easterly wind stress bias in April–May across different initialisation months, this bias rapidly intensifies from May to June following the onset of the summer monsoon, and continues to strengthen through JJA and into SON.

488The timing of the evolution of the biases in the equatorial Indian Ocean therefore 489appears to follow the sequence of substantial EEIO SST and precipitation biases from May (Fig. 2b, Fig. 2d). This is followed by the rapid growth of the erroneous zonal SST 490491gradient, characterised by a larger cold bias in the east than the smaller warm bias in 492 the west (Fig. 2a-b), and the central equatorial Indian Ocean wind biases in JJA (Fig. 493 3a-b), and then the WEIO precipitation biases in SON (Fig. 2c). This structure of the coupled biases indicates that they arise from Bjerknes feedback in the equatorial 494495 Indian Ocean, emerging from the atmospheric bias in the EEIO driving substantial 496 SST and thermocline depth biases in the region, which in turn increases the zonal SST gradient across the equatorial Indian Ocean and strengthens the easterlies in the 497498central equatorial IO, which leads to large precipitation bias in the WEIO.

Given the focus on the EEIO and the suspicion that the circulation bias, related to the boreal summer monsoon, plays a crucial role in driving the IOD-like SST response, the evolution of near-surface winds and thermocline depth across the basin is examined. Figure 4 shows the development of coupled mean state biases in 10m zonal wind (u10m) and thermocline depth (using the 20°C isotherm as a proxy) across the equatorial Indian Ocean for May-November initialisations. The range of start months, from May to November, is chosen to examine how the biases in the subsurface ocean 505 506



533Fig. 3 Monthly evolution of climatological a) 10m zonal wind (against ERA5), b) zonal wind stress 534(against ERA5) over the central equatorial Indian Ocean (70-90°E, 5°S-5°N), as marked in Figure 1, which depicts the region used for capturing the metric for zonal winds, and c) the Wrytki jet, mea-535sured as the depth-integrated (0-100m) of zonal current (against ORAS5) at 0°, 85°E, adapted from 536Annamalai et al (2017), in GloSea6 hindcasts initialised from February to November over the 1993-5372016 hindcast period. Solid coloured lines represent the monthly ensemble means of seven members for each start date. Four solid lines represent the 1st, 9th, 17th and 25th start dates of each month on 538which GloSea6 is initialised every month. Dashed vertical lines during May and November illustrate 539the time when the Wyrtki jet peaks in ORAS5. 540

542 evolve from the pre-monsoon period through to the end of the autumn season, the 543 period across which we have shown the biases in SST and precipitation to develop 544 most rapidly.

Hindcasts initialised from May exhibit anomalous 10m easterly winds originating in 545the eastern half of the basin, and shallower thermocline depth in the EEIO from June 546onwards (Fig. 4a; Fig. 4b), which indicates a coupled feedback that leads to upwelling 547of deeper, cooler water to the surface, resulting in colder SSTs than observations. 548Johnson et al (2017) found similar characteristics of the anomalous SST and circulation 549over the Indian Ocean in GloSea5, which showed that this coupled mean state bias in 550the IO is related to the anomalous upward tilt of the thermocline to the east compared 551to observations. 552

The easterly wind bias strengthens and extends westward after the boreal summer 553monsoon onset in June, reaching a maximum in boreal autumn, likely influenced 554by the monsoon circulation bias along the Sumatran coast (Fig. 4a). In hindcasts 555starting from May-September, the strengthening of erroneous easterlies in the central 556equatorial Indian Ocean during SON leads to the deepening of the thermocline in 557the west and shoaling in the east compared to observations (Fig. 4: Fig. 4b), via the 558positive Bjerknes feedback. The coupled feedback, with an erroneous upward tilt of the 559thermocline toward the EEIO, relates to the large cold and dry biases there in SON. 560Hindcasts initialised in August-November show biases in thermocline depth reducing 561across the equatorial Indian Ocean from December to February of the following year. 562The comparison of JJA and SON mean state biases in GloSea6 reveals a predominantly 563warm SST bias across the equatorial Indian Ocean, developing into a distinct dipole 564pattern with a warm (wet) bias in the WEIO and cold (dry) bias in the EEIO as lead 565time increases in JJA and SON from LM0 to LM2 (Fig. 1). Investigating the evolution 566 of coupled biases in the WEIO and EEIO showed that the boreal summer monsoon 567circulation bias in the EEIO during JJA likely influences the growth of the overall 568dipole pattern of biases in SST, precipitation, and the subsurface ocean into SON 569(Fig. 2; Fig. 4). The seasonal evolution of coupled regional biases in the equatorial 570Indian Ocean begins with a cold SST and dry bias in the EEIO in JJA (Fig. 2b; Fig. 5712d), accompanied by erroneous zonal 10m easterly winds and a shallower thermocline 572depth (Fig. 4a; Fig. 4b). This is followed by the strengthening of 850 hPa (not shown) 573and 10m (Fig. 4a) easterly zonal wind biases through the JJAS months over the 574central equatorial Indian Ocean, and by a wet precipitation bias in the WEIO in SON 575576 (Fig. 2c). These biases reflect a positive IOD-like pattern, amplified by the Bjerkness feedback, linking SST, wind, and precipitation biases, and highlight the strong seasonal 577dependence of the coupled biases in the equatorial Indian Ocean. 578

#### 3.2 Representation of SST variability over the Indian Ocean and the IOD

In the previous section, JJA and SON biases in the atmosphere and subsurface ocean over the WEIO and EEIO were assessed. Here, the influence of these coupled mean state biases on the simulated interannual variability over the equatorial Indian Ocean, including IOD characteristics, is examined. 579 580

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Figure 5 shows the forecast DMI compared against observations for different lead 587 times. The correlation between the observed and GloSea6 DMI at LM0 and LM2 is 588 generally well forecast, with ACC values of 0.80 and 0.71, respectively, exceeding the 589commonly used ACC threshold of 0.5 (e.g., Zhao and Hendon, 2009; Song et al, 2022). 590An ACC of 0.5 is used to indicate moderate forecast skill, which is comparable to using 591the climatological average as the forecast. In comparison to the ACC skill at LM0 and 592LM2, the forecast skill of the predicted DMI at LM4 and LM6 is relatively lower. At 593LM0, GloSea6 predicts stronger positive and negative IOD events compared to LM2, 594LM4, and LM6. For example, the magnitudes of the negative and positive IOD events 595 observed in 1996 and 1997, respectively, are overestimated at LM0 compared with 596longer lead times. This is reflected in the measure of the predicted IOD amplitude, 597 defined as the standard deviation of the GloSea6 DMI, with the highest value of 0.38 598

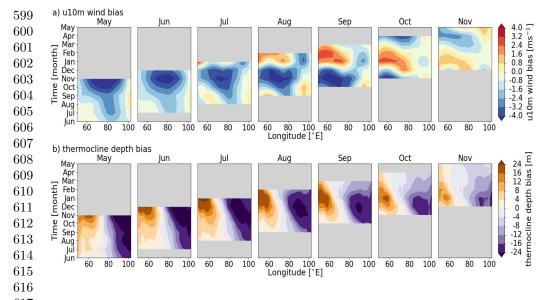


Fig. 4 Hovmöller diagram (time versus longitude) of climatological monthly mean biases in a) 10m
zonal wind (compared against ERA5) and b) thermocline (20°C isotherm) depth (against ORAS5),
latitudinally averaged 5 °S-5 °N, initialised from May to November (columns) from 1993-2016. Ensemble mean of 28 ensemble members from four initialised runs (1st, 9th, 17th, 25th) per month, each with
rensemble members. Panel subtitles indicate the hindcast initialisation months, and time increases
up the page in each case.

<sup>623</sup> °C at LM0. Calculating the ACC values and amplitudes for the DMI at the individual
<sup>624</sup> poles of the IOD reveals that the EEIO DMI has consistently lower ACC and higher
<sup>625</sup> IOD amplitude compared to the WEIO DMI for all lead times (LM0 to LM6) (not
<sup>626</sup> shown).

627 Examining the standard deviation of the DMI SST anomalies for SON, an important 628 season during which the IOD peaks, shows a large SON SST variability over the EEIO, 629 particularly over off the coasts of Sumatra and Java (Fig. 6). This suggests that the 630 larger IOD variability in the GloSea6 DMI compared to observations is likely due to 631 increased SON SST variability over the EEIO. A possible hypothesis is that the larger 632 SST variability in the EEIO in GloSea6 may be related to the erroneous easterlies 633 in the central equatorial Indian Ocean, which strengthen and extend westward after 634 the onset of the summer monsoon in June, peaking in SON (Fig. 4a). This hypothesis 635 is supported by the findings of Johnson et al (2017) who demonstrated that coupled 636 mean-state biases in the EEIO lead to errors in representing the IOD as a mode of 637 variability in GloSea5, thereby reducing its ability to predict the Indian monsoon 638 circulation. Here, we have shown that the strengthening of the easterly wind bias 639 during SON leads to a deepening of the thermocline in the west and shoaling in the east 640 (Fig. 4), reinforcing the already shallow SON climatological thermocline of GloSea6 in 641 the EEIO (not shown). The easterly wind bias, combined with a shallower thermocline 642 in the EEIO, suggests that even small fluctuations in wind are likely to quickly lead 643 to changes in upwelling. This may in turn lead to rapid adjustments in SST, as the 644

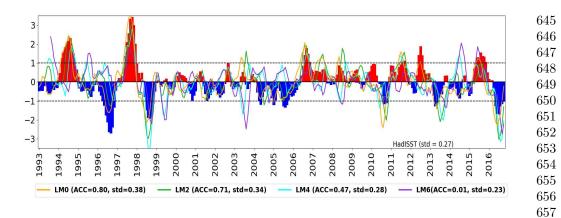


Fig. 5 Time series of monthly DMI in HadISST (bars) and GloSea6, normalised by its standard deviation, at 0-month (orange line), 2-month (green line), 4-month (cyan line) and 6-month (purple line) lead times from 1993 to 2016. The ACC between the observed and predicted DMI is included, and the standard deviation of the predicted DMI prior to normalisation is calculated. The observed and predicted DMI have been smoothed with a 3-month running mean.

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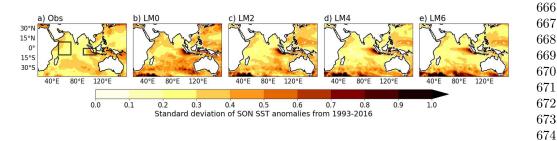
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thermocline tilt shoals in the east making the region particularly responsive to wind variations.



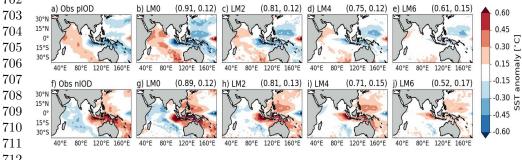
**Fig. 6** Spatial distribution of the standard deviation of SON SST anomalies, as a measure of SST variability, in a) HadISST and b-e) GloSea6 at LM0, LM2, LM4 and LM6.

To examine the representation of observed positive and negative IOD events, a composite analysis of the SON hindcast ensemble mean is performed. Here, positive and negative IOD events are classified when the observed normalised DMI time series exceeds 1 standard deviation for September-November (Fig. 5). During the full hindcast period of 1993-2016, seven positive and six negative IOD events are identified in the observations.

At LM0, it is evident that GloSea6 exhibits larger SST anomalies over the WEIO and EEIO compared to observations for both phases of the IOD (Fig. 7b; Fig. 7g). For instance, the simulated positive IOD event shows colder SSTs in the EEIO and warmer SSTs in the WEIO than observed, suggesting a stronger positive IOD. This likely relates to the SON mean state biases in SST and circulation, characterised by a positive IOD-like pattern, that may be amplified during a positive IOD event. 684685686687688689690 691Likewise, a stronger negative IOD event relative to observations is simulated at LMO, accompanied by a dipole pattern of colder anomalies in the WEIO and much warmer 692 693 SST anomalies in the EEIO than observed. Such large SST anomalies in the EEIO 694 persist at longer lead times of up to 4 months for a positive IOD and 6 months for a 695 negative IOD (Fig. 7d; Fig. 7j). Generally, the positive and negative IOD composites 696 of SON SST anomalies at LM0 exhibit large-scale patterns in the Indian Ocean that 697 are comparable to observations, with pattern correlations of 0.91 and 0.89, respectively 698 (Fig. 7a; 7b; 7f; 7g). Figure 7 shows that the pattern correlation decreases, while the 699 RMSE increases, with increasing lead time up to LM6 for both positive and negative 700 IOD composites.

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Fig. 7 Composite maps of SON SST anomalies of positive IOD events in a) HadISST and b-e) in
GloSea6 at 0 to 6-month lead times. Panels f-j) as in a-e) for composite maps of negative IOD events.
PCC and RMSE [°C] are calculated between HadISST and GloSea6, and shown in parenthesis at
the top right-hand corner of each panel. The positive IOD composite includes the years 1994, 1997,
2002, 2006, 2011, 2012, and 2015, while the negative IOD composite includes 1996, 1998, 2001, 2005,
2010, and 2016.

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Analysing the evolution of SST anomalies during SON for positive and negative IOD events reveals that these anomalies are poorly simulated in the EEIO compared to the WEIO from February to October start months. In Figure 8, we further examine the seasonal cycle of monthly SST anomalies at both poles for positive and negative IOD events. The simulated IOD SST anomalies are compared against two observational datasets (HadISST and OISSTv2). Notably, these datasets exhibit larger observational uncertainty in the EEIO than in the WEIO particularly during SON.

The seasonal cycle of SST anomalies over the WEIO generally match observations across different start months during the positive and negative IOD. GloSea6 is able to capture the observed warming in the WEIO during the development and mature phases of a positive IOD, specifically from June to November (Fig. 8a). Similarly, the observed cooling from June to November, associated with the evolution of SST anomalies in the WEIO during a negative IOD, is well represented (Fig. 8c).

733 In the EEIO, GloSea6 hindcasts started in February-May struggle to simulate the 734 observed evolution of the cold SST anomalies, associated with a positive IOD, from 735 June to November particularly in the SON months. These EEIO SST anomalies are r36 underestimated and do not reach the observed cold anomalies during SON, the mature

phase of the IOD (Fig. 8b). In contrast, when hindcasts are started in June-October,737the simulated EEIO SST anomalies in SON during a positive IOD are generally over-738estimated and are much colder than those in HadISST (Fig. 8b). The colder SON739EEIO SST anomalies simulated following September-November starts, compared to740HadISST, (Fig. 8b) are consistent with the larger SON EEIO SST anomalies at LM0741relative to HadISST in Figures 7 and 7b).742

A similar pattern of evolution occurs with the warm SST anomalies in the EEIO 743 during a negative IOD, where the observed warming is not well captured compared 744 to HadISST, with colder SST anomalies in June to November for February to March 745 starts, and warmer anomalies following June to October starts (Fig. 8d). Thus, it 746 is evident in Figures 8b and 8d, that the SST anomalies in the EEIO are poorly 747 represented during the development and peak of the positive and negative IOD events 748 when compared against HadISST. 749

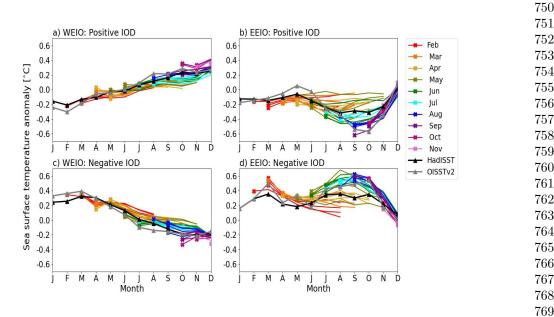


Fig. 8Monthly SST anomalies during positive (top) and negative (bottom) IOD events compared<br/>against HadISST (black line) and OISSTv2 (grey line) observations over the WEIO a, c) and EEIO<br/>b, d). Monthly anomalies are calculated by taking the difference against monthly climatological SST<br/>over the 1993-2016 hindcast period. Solid coloured lines represent the averaged SST anomaly of seven<br/>ensemble members for each start date initialised from February to November. Each month shows four<br/>solid lines to represent the 1st, 9th, 17th and 25th start dates.770

The precipitation and circulation anomalies associated with IOD SSTs for SON are shown in Figure 9. Consistent with the stronger positive IOD and negative IOD than observed at LM0, the precipitation anomalies over the WEIO tend to extend further into the central Indian Ocean, off the equator to the north near Sri Lanka, for both positive and negative IOD events. Although the low-level circulation anomalies have considerably weakened for positive and negative IOD events, the precipitation anomalies persist in the EEIO and extend into the central equatorial Indian Ocean up to 6 782

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783months following initialisation (Fig. 9e; Fig. 9j). The precipitation anomalies over the EEIO at LM6 coincide with the SST anomalies over the region at the same lead time 784 785(Fig. 7e; Fig. 7j). The pattern correlation of the SON precipitation anomalies compared to observations 786 787 weakens as lead time increases, similar to the SST anomalies shown in Figure 7. The 788 dipole spatial pattern of precipitation anomalies over the IOD poles, and a large region 789 of the Maritime Continent, shows comparable features. For instance, the magnitude 790and spatial distribution of precipitation over Indonesia and the Maritime Continent

791 closely resemble observations at LM0.

792 Results indicate that the ability of GloSea6 to simulate observed IOD SST variability 793 is strongest at short lead times, despite the larger monthly DMI amplitude and SON 794SST variability over the EEIO compared to HadISST (Fig. 5; Fig. 6). The high ACC 795of the DMI at LM0 and LM2, along with pattern correlations over 0.7 for SST and 796 precipitation anomalies (Fig. 5; Fig. 7; Fig. 9), suggests that GloSea6 may offer valu-797 able potential for forecasting the IOD at short lead times. This section has shown that 798 the large SON SST variability in the EEIO, compared to the WEIO (Fig. 6), likely 799 relates to the poor representation of the evolution of SON SST anomaly in the EEIO 800 during positive and negative IOD events relative to HadISST (Fig. 8).

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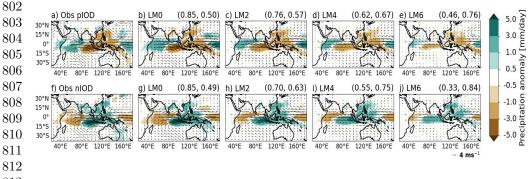


Fig. 9 As in Figure 7 but for SON precipitation (shaded) and wind (vectors) anomalies compared to GPCP precipitation and ERA5 winds, respectively. PCC and RMSE [mm/day] are calculated
between GPCP and GloSea6 precipitation and shown in parenthesis at the top right-hand corner of panels b-e) and g-j).

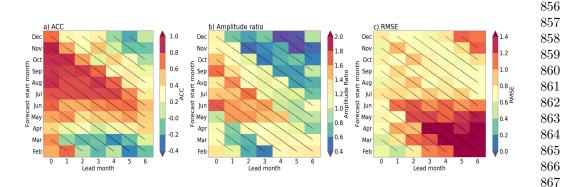
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### $\frac{819}{820}$ 3.3 Predictability of the IOD

The previous section showed the ability of GloSea6 to represent positive and negative IOD phases at their maturity in SON for lead times of up to 6 months. Here, we assess the predictability of the IOD during its developing and mature phases by examining the monthly SST anomalies of the DMI as a function of lead month and different initialisation times.

826 Figure 10a demonstrates that an IOD, in its developing and mature phases, can be 827 well predicted (defined by an ACC of 0.5 or higher) at up to 4–5-months lead time 828 when initialised in July. In addition, GloSea6 shows good predictive skill of the IOD at up to 6 months when initialised in June, following the onset of the boreal summer 829 monsoon. The mature phase of the IOD, which usually peaks during SON, can be 830 predicted as early as July. The high pattern correlation between the observed and 831 simulated composites of SON IOD SST anomalies at LM0, shown in Figure 7, is 832 consistent with the skillful prediction for the SON months at LM0 when initialised 833 in September-November (Fig. 10a). Another notable feature of the prediction skill 834 in GloSea6 is the winter predictability barrier in the decaying phase of the IOD, 835 indicated by the rapid decline of ACC skill in boreal winter when initialised in August-836 November. Such a feature has been found in a fully coupled forecast system (Luo 837 et al, 2007) regardless of the start month, and in a coupled GCM (Feng et al, 2014). 838 Another deterministic skill metric, the IOD amplitude ratio, is shown in Figure 10b. 839 As discussed in the previous section, GloSea6 simulates IOD events with amplitudes 840 that are strong compared to observations. Here, the amplitude ratio is determined as 841 the ratio of monthwise standard deviation of the predicted monthly DMI to that of the 842 observed standard deviation. Thus, an amplitude ratio of 1 indicates a perfect match 843 between GloSea6 and observations. Stronger than observed amplitude of the predicted 844 IOD, with ratios greater than 1, is simulated when started in June-September with 845 up to 2 months lead. Similar to the ACC skill, the amplitude ratio falls rapidly in 846 boreal winter for hindcasts initialised in August-December. Although GloSea6 predicts 847 strong IOD events in SON, the RMSE scores show low prediction errors, less than 848 0.5, when started in September-November (Fig. 10c). The highest prediction errors of 849 greater than 0.6 tend to be simulated for hindcasts started in February-May, which 850 may be attributed to the large mean state bias in SST that grow into SON over the 851852 EEIO following initialisation in spring shown in Figure 2b. An examination of ACC and RMSE skill scores of the separate poles of the IOD reveals that the EEIO DMI 853 has lower ACC and higher RMSE values than the WEIO DMI for up 4 months lead 854 time when initialised in July-September (not shown). 855



**Fig. 10** Skill metrics of the normalised monthly DMI as a function of lead month and forecast start months in a) ACC, b) amplitude ratio of the DMI predictions (ratio of the standard deviation of the GloSea6 DMI to that of the observed) and c) RMSE between the predicted and observed DMI. The dashed diagonal lines indicate consistent verification months following forecast initialisation.

872 Overall, while GloSea6 demonstrates strong prediction skills for IOD events, especially when initialised in late boreal summer or early autumn, it shows limitations in the 874

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875 boreal winter months. Specifically, GloSea6 demonstrates skillful prediction of the IOD during its developing and mature phases when initialised in July. In addition, 876 877 GloSea6 tends to predict stronger IOD events than observed, with amplitude ratios 878 higher than 1 for forecasts started between June and October. However, prediction 879 errors are higher for forecasts initialised in March-June.

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#### 881 4 Conclusion

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883 Despite the significance of the WEIO and EEIO as key regions of IOD SST variability, 884 very few studies have specifically explored the coupled mean state biases in these 885 regions and linked their impacts to IOD predictability (Zhao and Hendon, 2009; Shi 886 et al, 2012). The presence of these regional biases and their role in modulating local 887 climate and weather patterns over countries surrounding the Indian Ocean through 888 IOD atmospheric teleconnections highlights the importance of accurately representing 889 the underlying coupled processes in both the mean climate and variability in the 890 WEIO and EEIO. Most recent research has focused on mean state biases and their 891 sources across the broader equatorial Indian Ocean region, such as the persistent 892 positive IOD-like bias in SST, precipitation, and circulation which is well-documented 893 in coupled GCMs (Li et al, 2015; Long et al, 2020). In comparison, the evolution and 894 interannual variability of coupled biases in the WEIO and EEIO remain less studied. 895This study, therefore, focused on these regional biases, examining their evolution on 896 seasonal and interannual timescales and linking them to IOD SST variability and 897 prediction. The analysis of coupled initialised GloSea6 seasonal hindcasts aimed to 898 answer the questions presented at the start of the study.

899 a) How do mean state biases in the atmosphere and subsurface ocean evolve in the 900 WEIO and EEIO? The analysis focused on the evolution of coupled mean state biases 901 in JJA and SON, given their importance for IOD development and maturity, respec-902 tively. Both JJA and SON mean state biases in SST, precipitation, and 850 hPa winds 903at LM0 (0-month lead time) showed a predominantly warm SST bias across the equa-904 torial Indian Ocean, along with significant cold and southeasterly wind biases over 905the EEIO. This cold bias in the EEIO intensifies by LM2 (2-month lead time), form-906 ing a distinct dipole pattern with warming in the WEIO and cooling in the EEIO. At 907LM2, the related JJA and SON precipitation biases show a consistent dipole pattern, 908resembling a positive IOD with a wet bias in the WEIO and a dry bias in the EEIO. 909 Investigation of the seasonal cycles of SST and precipitation over the WEIO and EEIO 910 revealed a persistent WEIO warm bias throughout the year, in contrast to a EEIO 911cold bias that gradually increases in magnitude from JJA to SON. Correspondingly, 912 an EEIO dry precipitation bias rapidly develops in JJA and SON, which contrasts the 913WEIO wet precipitation bias that only peaks later in SON. Analysis of the seasonal 914 evolution of the biases in the atmosphere and subsurface ocean showed that the EEIO 915plays the leading role in the development of the large SST and precipitation biases in 916 SON, especially for forecasts initialised in May. The sequence begins with a circulation 917 bias in the EEIO during JJA, characterised by erroneous easterlies and a shallow 918thermocline, likely related to the boreal summer monsoon circulation. These biases 919 in the wind and thermocline lead to upwelling of cooler subsurface water, reinforcing 920

the cold SST bias and dry conditions in the EEIO in JJA. At the same time, the 850 921hPa and 10m easterly wind biases in the central equatorial Indian Ocean strengthen 922 through JJAS, amplifying into SON via the Bjerknes feedback. This, in turn, leads to 923 the intensification of the WEIO wet bias by SON. This seasonal sequence, beginning 924 with the monsoon-driven circulation bias in JJA in the EEIO and culminating in a 925large wet bias in the WEIO in SON, highlights the seasonal dependence of coupled 926 biases in these regions and the leading role of the EEIO in initiating coupled feedbacks 927 across the equatorial Indian Ocean. Notably, Karrevula et al (2024) demonstrated 928 using the North American Multi-Model Ensemble models that forecasts initialised in 929 May capture warming in the central Indian Ocean due to strengthened equatorial 930 easterlies, which they identified as critical in modulating the frequency of extreme 931positive IOD events and their impact on summer monsoon precipitation from June to 932 November. 933

#### b) What influence do the WEIO and EEIO regional biases have on the representation 934 and predictability of the IOD? 935

Results show that the GloSea6 DMI time series of monthly SST anomalies has a high 936 anomaly correlation coefficient compared to the HadISST DMI at short lead times 937 (LM0 and LM2). The high ACC skill of the predicted monthly DMI at LM0 is consis-938 tent with the high pattern correlation of over 0.8 between the observed and simulated 939 composites of SON SST and precipitation anomalies in both positive and negative 940 IOD events. However, results also showed that the amplitude of monthly DMI is larger 941 compared to HadISST from LM0 to LM4 (0-4 month lead times), indicating higher 942 IOD SST variability in GloSea6. Additionally, examining the separate poles of the 943944 IOD reveals lower ACC and higher IOD amplitude for the EEIO than the WEIO DMI for all lead times (0-6 month lead times). Investigating the SST variability in 945 SON, during which the IOD peaks, showed a larger SON SST variability in the EEIO 946compared to HadISST. A possible hypothesis is that the erroneous easterlies and shal-947 low thermocline depth in the EEIO make the region highly sensitive to small wind 948 fluctuations, which can rapidly alter upwelling and SST. This aligns with findings by 949 Johnson et al (2017), who showed that coupled mean-state biases in the EEIO lead to 950errors in representing the IOD as a mode of variability in GloSea5. The analysis of the 951seasonal cycle of SST anomalies over the WEIO and EEIO during positive and nega-952 tive IOD events showed a difference in how well GloSea6 captures the observed SST 953 anomalies in each region. In the EEIO, cold SST anomalies in SON are overestimated 954955 relative to HadISST, especially when initialised from June onwards. However, in the 956 WEIO, GloSea6 closely matches the observed evolution of warm SST anomalies into SON during the mature phase of a positive IOD, regardless of initialisation dates. 957 Assessing the predictability of GloSea6 showed considerable skill in forecasting the 958 IOD during its developing and mature phases, especially when initialised in June 959 and July. The model demonstrates skillful prediction of IOD SST anomalies in SON, 960 achieving an ACC of 0.5 or higher for forecasts started as early as July. Notably, the 961highest predictive skill for the IOD occurs when initialised between September and 962 November, coinciding with the peak of observed IOD events. Although GloSea6 shows 963 964 reasonable predictive skill for the IOD, it encounters a significant winter predictability barrier, resulting in a rapid decline in skill during the IOD's decaying phase. This 965 966

967 limitation has also been found in another fully coupled forecast system (Luo et al, 2007), regardless of the start month, and in a coupled GCM (Feng et al, 2014). GloSea6 968 969 has also been shown to overestimate the intensity of IOD events, particularly during 970 the development phase in JJA, as indicated by amplitude ratios exceeding 1 when 971 comparing the predicted DMI to the observed DMI. Additionally, RMSE scores of the GloSea6 DMI, calculated against HadISST, reveal large prediction errors for SON 972 973 when initialised in June. This suggests that the monsoon circulation in JJA likely 974 plays an important role in shaping the mean state and variability in the equatorial 975 Indian Ocean.

976 These results suggest that reducing regional coupled biases over the equatorial Indian 977 Ocean, particularly in the EEIO, could lead to improved IOD forecasts during SON in 978 GloSea6, potentially from as early as May. Our analysis highlights the strong influence 979 of atmospheric circulation biases during and after the onset of the summer monsoon 980 in driving surface cooling through wind-driven upwelling, particularly over the EEIO. 981 Further research could perform 'nudging' sensitivity experiments in the EEIO, such as 982 the technique implemented by Crétat et al (2017) and Martin et al (2021), to disen-983 tangle the local and remote contributions of the oceanic and atmospheric components 984 to the coupled processes in the Indian Ocean. Martin et al (2021) applied atmospheric 985 nudging by relaxing the winds and air temperature back to reanalysis at all model lev-986 els over the whole globe and chosen sub-domain regions that may be local and remote 987 sources of Indian Ocean systematic biases in the model.

988While this present study focused on regional processes within the Indian Ocean, addi-989tional sources of bias may arise from remote influences. For example, recent studies 990 have highlighted the potential role of the Southern Ocean in IOD variability and predictability (e.g. Zhang et al, 2020; Feba et al, 2021). Zhang et al (2020) propose a 991 992 mechanism in which cold SST anomalies and anomalous subtropical high pressure in 993 the southern Indian Ocean generate southeasterly winds that strengthen the monsoon 994off the coast of Sumatra during May-August, independent of ENSO. The enhanced 995southeasterly winds induce early SST cooling via upwelling and latent heat loss, ini-996tiating an early IOD onset over the eastern IOD pole. This mechanism highlights the importance of the summer monsoon atmospheric circulation over the EEIO as a criti-997 998 cal region in driving coupled processes that can influence the Indian Ocean mean state 999 and variability.

1000 In addition, we recognise the potential role of the equatorial Pacific Ocean and the 1001 representation of the Indonesian Throughflow that may influence the coupled biases in 1002 the Indian Ocean and IOD simulation in GloSea6. Annamalai et al (2003) suggest that 1003 equatorial Pacific SST anomalies can modulate EEIO conditions through changes in 1004 the Walker circulation during boreal spring, potentially triggering IOD events. More 1005 recently, McKenna et al (2020) found that coupled GCMs with warmer SSTs in the 1006 western Pacific tend to exhibit stronger IOD events. Further research is needed to 1007 explore these broader Indo-Pacific interactions that can influence IOD-like mean state 1008 biases and potentially impact IOD prediction in forecasts systems.

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Overall, this study highlights the importance of addressing regional biases in the 1013WEIO and EEIO is essential for improving IOD representation in coupled forecast sys-1014tems like GloSea6 to enhance the predictability of climate impacts over the countries 1015surrounding the Indian Ocean. 1016 1017 Acknowledgements. M.G. would like to acknowledge the funding, training, and 1018 support given by the SCENARIO Natural Environment Research Council (NERC) 1019 Doctoral Training Partnership and CASE funding from the UK Met Office. M.G. 1020would also like to acknowledge colleagues at the UK Met Office, H. Ellis and J.M. 1021Rodriguez, for their technical support. 1022 1023Declarations 10241025 • Funding 1026 This research has been supported by PhD studentship funding from the SCE-1027 NARIO NERC Doctoral Training Partnership (grant no. NE/S0077261/1) obtained 1028 by MG. A.G.T and L.C.H were supported by the National Centre for Atmospheric 1029 Science through the NERC National Capability International Programmes Award 1030 (NE/X006263/1). 1031Conflict of interest/Competing interests 1032The authors declare no competing interests. The authors have no relevant financial 1033 or non-financial interests to disclose. 1034• Data availability 1035Model data used in this study are available to research collaborators upon request. 1036• Code availability 1037 Due to intellectual property rights restrictions, we cannot provide either the source 1038code or the documentation papers for the Met Office Unified Model. 1039• Author contribution 1040 M.G. performed the data curation and analysis, and prepared the manuscript. 1041 A.G.T., L.C.H., C.W. and C.M. contributed to the supervision of the project and 1042interpreting results. All authors contributed to the writing and editing of the final 1043manuscript. 1044 1045 References 10461047 Adler RF, Huffman GJ, Chang A, et al (2003) The Version-2 Global Precipitation Cli-1048 matology Project (GPCP) Monthly Precipitation Analysis (1979–Present). Journal 1049of Hydrometeorology 4(6):1147–1167 10501051Annamalai H, Murtugudde R, Potemra J, et al (2003) Coupled dynamics over 1052the indian ocean: spring initiation of the zonal mode. Deep Sea Research Part 1053II: Topical Studies in Oceanography 50(12):2305–2330. https://doi.org/10.1016/ 1054S0967-0645(03)00058-4 105510561057 1058

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