



**UNIVERSITY OF LEEDS**

This is a repository copy of *Vertical Dynamic Impedance for Piles in Radially Weakened Soil*.

White Rose Research Online URL for this paper:

<https://eprints.whiterose.ac.uk/id/eprint/227882/>

Version: Accepted Version

---

**Article:**

Qu, L., Li, X., Kouroussis, G. et al. (5 more authors) (2025) Vertical Dynamic Impedance for Piles in Radially Weakened Soil. *International Journal for Numerical and Analytical Methods in Geomechanics*. ISSN 0363-9061

<https://doi.org/10.1002/nag.4001>

---

This is an author produced version of an article published in the *International Journal for Numerical and Analytical Methods in Geomechanics*, made available under the terms of the Creative Commons Attribution License (CC-BY), which permits unrestricted use, distribution and reproduction in any medium, provided the original work is properly cited.

**Reuse**

This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here:

<https://creativecommons.org/licenses/>

**Takedown**

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing [eprints@whiterose.ac.uk](mailto:eprints@whiterose.ac.uk) including the URL of the record and the reason for the withdrawal request.



[eprints@whiterose.ac.uk](mailto:eprints@whiterose.ac.uk)  
<https://eprints.whiterose.ac.uk/>

1                   **Vertical dynamic impedance for piles in radially weakened soil**

2                   **Liming Qu<sup>1</sup>, Xiong Li<sup>2</sup>, Georges Kouroussis<sup>3</sup>, Xiaoyan Zhao<sup>4\*</sup>, Yu Peng<sup>5</sup>,**  
3                   **Changjie Zheng<sup>6</sup>, Xuanming Ding<sup>7</sup>, David Connolly<sup>8</sup>**

4                   *1 Assistant professor, Faculty of Geosciences and Engineering, Sichuan Province*  
5                   *Engineering Technology Research Center of Ecological Mitigation of Geohazards in*  
6                   *Tibet Plateau Transportation Corridors, Southwest Jiaotong University, Chengdu*  
7                   *611756, China.*

8                   *2 Master student, Southwest Jiaotong University, Chengdu 611756, China.*

9                   *3 Professor, Faculty of Engineering, Department of Theoretical Mechanics, Dynamics*  
10                   *and Vibrations, Université de Mons, Belgium.*

11                   *4 Professor, College of Civil Engineering, Key Laboratory of New Technology for*  
12                   *Construction of Cities in Mountain Area, Chongqing University, Chongqing 400045,*  
13                   *China.*

14                   *5 Professor, Faculty of Geosciences and Engineering, Sichuan Province Engineering*  
15                   *Technology Research Center of Ecological Mitigation of Geohazards in Tibet Plateau*  
16                   *Transportation Corridors, Southwest Jiaotong University, Chengdu 611756, China.*  
17                   *Email: xyzhao\_swjtu@163.com. Corresponding author.*

18                   *6 Professor, Fujian Provincial Key Laboratory of Advanced Technology and*  
19                   *Informatization in Civil Engineering, School of Civil Engineering, Fujian University of*  
20                   *Technology, Fuzhou 350118, China.*

21                   *7 Postdoctoral fellow, Department of Civil and Environmental Engineering, The Hong*  
22                   *Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong, China*

23                   *8 Professor, School of Civil Engineering, University of Leeds, UK*

24  
25                   **Abstract:** This paper proposes an energy-based solution for estimating the dynamic  
26 impedance of a single pile in radially weakened soil. To do so, the surrounding soil is  
27 divided into discrete annular zones, in which the soil deformation is assumed to be the  
28 product of a series of decay functions related to the pile shaft displacement. Hamilton's  
29 energy principle and variation method are implemented to obtain the governing  
30 equations. Fixed-point iteration with Stifensen's technique is applied to maximise  
31 computational efficiency. A novel type of radial distribution based on Bessel functions  
32 is proposed to better match the soil property changes reported in experimental studies.  
33 The effect of three types of soil shear modulus radial distributions on pile stiffness and

34 damping are studied. The results show the proposed approach improves low-frequency  
35 prediction by mitigating the influence of boundary wave reflection. It is also found that  
36 the depth of soil degradation effects pile impedance, particularly for short piles in soft  
37 soil.

38 **Keywords:** Geotechnical piles; Pile dynamic impedance; Soil/structure interaction;  
39 Radially disturbed soil; Soil mechanics.

40

## 41 **1 Introduction**

42 Pile foundations are used to improve bearing capacity and reduce the settlement of  
43 engineered structures. Determining the vertical dynamic impedance is important for the  
44 optimal design of piles near earthquake fault-lines, blasts, or other sources of  
45 repeated dynamic loading. Dynamic pile interaction studies have received increasing  
46 attention in recent years (El Naggar, 2000; Anoyatis and Mylonakis, 2012; Zheng et al.,  
47 2017; Wu et al., 2022; Qu et al., 2023; Anoyatis et al., 2023). Three types of theoretical  
48 methods have been developed. The first type is the plane strain model benchmarked by  
49 Novak (1974) which ignored changes in vertical soil strain. The approach can obtain  
50 satisfactory results at high frequencies while often underestimates pile impedance at  
51 low frequencies. The second type is a Winkler model where the surrounding soil is  
52 simulated using distributed springs and dashpots, which is an extension of static  $Q$ - $z$   
53 model. The accuracy of the Winkler model usually requires model simplification or  
54 cumbersome experimental calibration (Gazetas et al., 1993). Moreover, it has  
55 limitations when considering vibration attenuation in the soil, or simulating radially  
56 disturbed soil. The third type is a three-dimensional continuum model that accounts for  
57 wave propagation in surrounding soil (Zheng et al., 2015; Gupta and Basu, 2018; Gan  
58 et al., 2020). Its potential for improved accuracy and flexibility means it has been  
59 frequently used for pile-soil interaction study (Militano and Rajapakse, 1999, Li et al.,  
60 2017; Li and Gao, 2019; Yang et al., 2023; Anoyatis et al., 2023).

61 Most past research has focused on the initial design piles, often neglecting soil  
62 degradation over time. However, during the operational stage, stiffness reduction may  
63 occur in the surrounding soil due to dynamic loading such as repeated railway  
64 vibrations, strong seismic forces and freeze-thaw cycles. In these cases, a radially  
65 weakened soil profile can develop, potentially compromising the service performance  
66 of the piles and the corresponding superstructure (Han, 1997; Yang et al., 2009; Dai et  
67 al., 2019). When modelling a reduced soil shear modulus near the pile, consideration  
68 must be made to prevent the wave reflections between the outermost annular zone and  
69 the inner adjacent zone (Han, 1997; Kanellopoulos and Gazetas, 2020). Techniques that  
70 neglect the mass of the boundary zone, and continuous variation with exponentially  
71 increasing shear modulus have proven effective in yielding smooth results. For example,  
72 using a plane strain model, El Naggar (2000) studied vertical and torsional soil reactions  
73 by defining an inner region that has concentric annual zones with increasing shear  
74 modulus and outer region that has constant modulus. Using the Winkler model and El  
75 Naggar's radial distribution of shear modulus, Cai et al. (2020) studied the influence of  
76 construction disturbance and underlying soil stiffness on pile head impedance. An  
77 alternative model was also developed Yang et al. (2009) by treating the lateral soil as a  
78 continuum while modelling the underlying soil using winker springs. Further,  
79 considering radial soil displacement, Dai et al. (2019) proposed a three-dimensional  
80 continuum for the vertical vibration of an end-bearing pile embedded in radially  
81 disturbed viscoelastic soil. It was found that pile dynamic impedances were mainly  
82 influenced by the soil closest to the pile.

83 These previous contributions adopted a hypothetical distribution of shear modulus  
84 and the disturbed range was assumed to be very limited (within one diameter from the  
85 pile edge). However, according to the results reported in Michaelides et al. (1987),

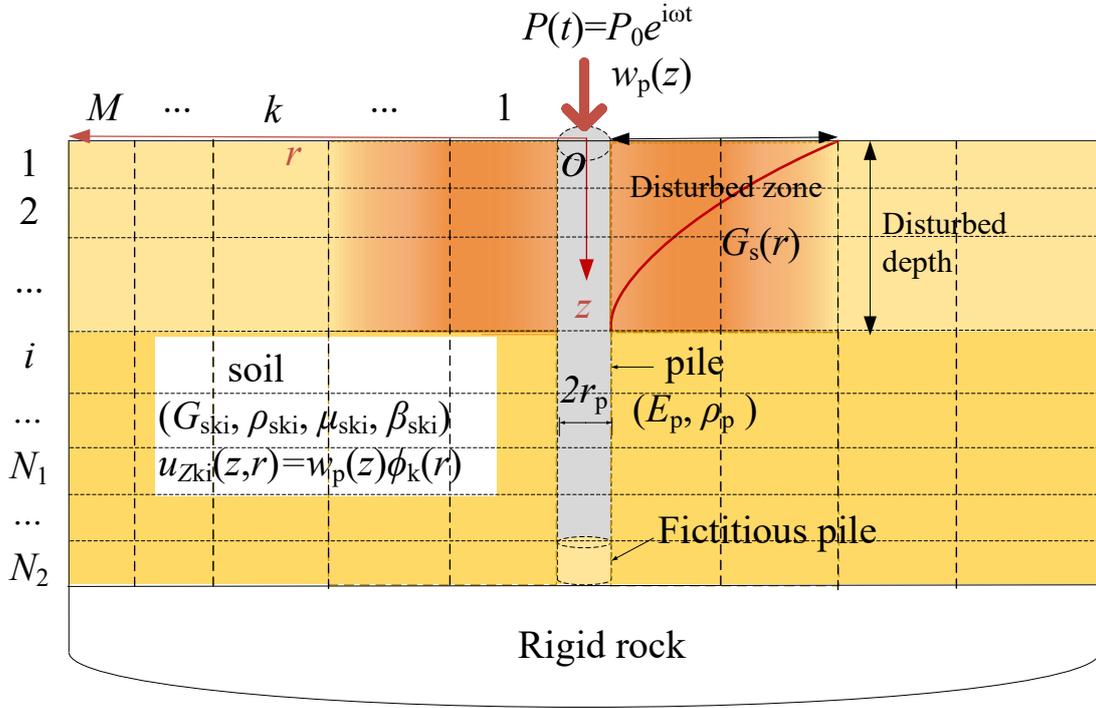
86 Michaelides et al. (1998), the disturbed distance may exceed 15 times diameter of the  
87 pile cross section. Moreover, past studies assumed that the depth of the disturb zone  
88 equals the pile length, which does not account for the influence of disturbed depth in  
89 the vertical direction. The effects the spatial distribution of the shear modulus that  
90 accounts the disturbed range on pile dynamic impedance remain insufficiently studied.

91 In this paper, the vertical dynamic response of piles in radially weakened soil are  
92 studied with the aid of Hamilton's Principle and the variation method. It is assumed the  
93 displacement of the pile-soil system is expressed as the product of pile displacement  
94 and multiple distinct decay functions, rather than relying on a single decay function for  
95 the entire surrounding soil, as was done in previous studies (Vallabhan and Mustafa,  
96 1996; Guo, 2000; Salgado et al., 2013; Qu et al., 2021). Stifensen's technique is  
97 employed to expedite the process of fixed-point iteration (Traub, 1964; Moccari and  
98 Lotfi, 2018). A novel form of shear modulus distribution, expressed in terms of Bessel  
99 functions and dependent on radial distance is proposed to mitigate the wave reflections  
100 from the boundary zone. The evolution of the disturbed range is found to play a  
101 significant role in pile head impedance.

## 102 **2 Model description**

103 The main objective of this study is to analyze the effects of radially disturbed soil  
104 on the dynamic impedance of piles subjected to axial loads. Fig. 1 shows the  
105 computational model of a vertically loaded circular solid pile wrapped by a series of  
106 concentric annular layers in the radial direction. Both the pile and soil are treated as  
107 continuum medium. The soil columns that are below the pile tip are treated as parts of  
108 a fictitious soil pile, meaning their lateral deformation is neglected. The Young's  
109 modulus, density, radius, cross-section area and the length of the pile are denoted by  
110  $E_p, \rho_p, r_p, A_p$  and  $L$ . The top and bottom depth of  $i_{th}$  soil layer in vertical direction are  
111 denoted by  $H_i$  and  $H_{i+1}$ . The inner and outer radius of the  $k_{th}$  soil ring in the radial  
112 direction are denoted by  $r_k$  and  $r_{k+1}$ . The pile-soil interface is in perfectly contact, and  
113 no physical slippage is allowed. The Young's modulus, density, hysteretic damping ratio

114 and Poisson's ratio of the soil are denoted by  $E_{ski}$ ,  $\rho_{ski}$ ,  $\beta_{ki0}$ , and  $\nu_{ski}$ . Young's  
 115 modulus, and Lamé's constants are expressed in complex form as:  
 116  $E_{ski}^* = E_{ski}(1 + 2i\beta_{ki0})$ ,  $G_{ski}^* = E_{ski}^*/[2(1 + \nu_{ski})]$ , and  $\lambda_{ski}^* = E_{ski}^*\nu_{ski}/[(1 + \nu_{ski})(1 - 2\nu_{ski})]$ . A  
 117 harmonic force  $F(t) = F_0 e^{i\omega t}$  is applied to the pile head, where  $F_0$ ,  $\omega$ , and  $t$  are the  
 118 amplitude, frequency and time of the force.  $i$  denotes the imaginary unit.



119

**Fig. 1** Axially loaded circular pile embedded in disturbed soil

120

121

### 122 3 Displacement model and Hamilton's principle in a pile-soil system

123

For the case of a vertically oscillating pile, the induced soil radial displacement  $u_r$   
 124 and circumferential displacement  $u_\theta$  are insignificant and can be neglected. The vertical  
 125 displacement  $u_{zki}(r, z, t)$  of the soil domain is assumed to have the following expression:

126

$$u_{zki}(r, z, t) = w_i(z, t) \phi_k(r) \quad (1)$$

127

where  $w_i(z, t)$  is the axial displacement of pile shaft  $w_p(z, t)$  and the vertical  
 128 displacement of the fictitious soil pile  $w_s(z, t)$ , when  $0 \leq z \leq L$  and when  
 129  $L < z \leq H_N$ , respectively.

130

The dimensionless function  $\phi_k(r)$  is introduced to evaluate the displacement  
 131 attenuation for the  $k$ th soil zone in the radial direction. The variation of soil displacement  
 132 along the depth is assumed to be constant, which produces a type of depth-average

133 model. Empirically, the variation in pile displacement along its cross section ( $r \leq r_p$ ) is  
 134 very limited. Thus, a one-dimensional shaft assumption is employed for both the real  
 135 pile and fictitious pile, which leads to the inherent boundary condition  $\phi_1(r)|_{0 \leq r \leq r_p} = 1$ .  
 136  $\phi_k(r)$  should decay into zero at the infinity from the pile axis, which has  $\phi_M(r)|_{r \rightarrow \infty} = 0$ .  
 137 Stress in a viscoelastic soil medium is written as:

$$138 \begin{bmatrix} \sigma_{zz} \\ \sigma_{rr} \\ \sigma_{\theta\theta} \\ \tau_{rz} \\ \tau_{z\theta} \\ \tau_{r\theta} \end{bmatrix} = \begin{bmatrix} \lambda_s^* + 2G_s^* & \lambda_s^* & \lambda_s^* & 0 & 0 & 0 \\ \lambda_s^* & \lambda_s^* + 2G_s^* & \lambda_s^* & 0 & 0 & 0 \\ \lambda_s^* & \lambda_s^* & \lambda_s^* + 2G_s^* & 0 & 0 & 0 \\ 0 & 0 & 0 & G_s^* & 0 & 0 \\ 0 & 0 & 0 & 0 & G_s^* & 0 \\ 0 & 0 & 0 & 0 & 0 & G_s^* \end{bmatrix} \begin{bmatrix} \varepsilon_{zz} \\ \varepsilon_{rr} \\ \varepsilon_{\theta\theta} \\ \gamma_{rz} \\ \gamma_{z\theta} \\ \gamma_{r\theta} \end{bmatrix} \quad (2)$$

139 Expressing the strain in the terms of displacement and calculating the strain energy  
 140 density  $J_{\text{soil}}$  of the soil produces the following:

$$141 \begin{aligned} J_{\text{soil}} &= (\sigma_{zz}\varepsilon_{zz} + \sigma_{rr}\varepsilon_{rr} + \sigma_{\theta\theta}\varepsilon_{\theta\theta} + \tau_{rz}\gamma_{rz} + \tau_{z\theta}\gamma_{z\theta} + \tau_{r\theta}\gamma_{r\theta}) / 2 \\ &= \frac{1}{2} \left[ (\lambda_s^* + 2G_s^*) \left( \phi \frac{\partial w_s}{\partial z} \right)^2 + G_s^* w_s^2 \left( \frac{d\phi}{dr} \right)^2 \right] \end{aligned} \quad (4)$$

142 Similarly, the strain energy density in pile  $J_{\text{pile}}$  can be written as:

$$143 J_{\text{pile}} = \frac{1}{2} E_p A_p \left( \frac{\partial w_p}{\partial z} \right)^2 \quad (5)$$

144 For an oscillating pile-soil system, the total energy ( $J$ ) that is composed of  
 145 kinematics energy  $T$ , potential energy  $U$  and external work  $W$  is given by the following:

$$\begin{aligned}
J &= T + U + W \\
&= \sum_{i=1}^{N_1} \left[ \int_{H_i}^{H_{i+1}} \frac{1}{2} \rho_p A_p \left( \frac{\partial w_{pi}}{\partial t} \right)^2 dz + \int_{H_i}^{H_{i+1}} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \int_0^{2\pi} \frac{1}{2} \rho_{ski} \phi_k^2 \left( \frac{\partial w_{pi}}{\partial t} \right)^2 r dr d\theta dz \right] \\
&+ \sum_{j=N_1+1}^{N_2} \left[ \int_{H_j}^{H_{j+1}} \frac{1}{2} \rho_{sj} A_p \left( \frac{\partial w_{sj}}{\partial t} \right)^2 dz + \int_{H_j}^{H_{j+1}} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \int_0^{2\pi} \frac{1}{2} \rho_{skj} \phi_k^2 \left( \frac{\partial w_{sj}}{\partial t} \right)^2 r dr d\theta dz \right] \\
146 \quad &+ \sum_{i=1}^{N_1} \int_{H_i}^{H_{i+1}} \frac{1}{2} E_p A_p \left( \frac{\partial w_{pi}}{\partial z} \right)^2 dz + F_0 u_z + \sum_{j=N_1+1}^{N_2} \int_{H_j}^{H_{j+1}} \frac{1}{2} (\lambda_{sj}^* + 2G_{sj}^*) A_p \left( \frac{\partial w_{sj}}{\partial z} \right)^2 dz \quad (6) \\
&+ \sum_{i=1}^{N_1} \int_{H_i}^{H_{i+1}} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \int_0^{2\pi} \frac{1}{2} \left[ (\lambda_{ski}^* + 2G_{ski}^*) \phi_k^2 \left( \frac{\partial w_{pi}}{\partial z} \right)^2 + G_{ski}^* w_{pi}^2 \left( \frac{d\phi_k}{dr} \right)^2 \right] r dr d\theta dz \\
&+ \sum_{j=N_1+1}^{N_2} \int_{H_j}^{H_{j+1}} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \int_0^{2\pi} \frac{1}{2} \left[ (\lambda_{skj}^* + 2G_{skj}^*) \left( \phi_k \frac{\partial w_{sj}}{\partial z} \right)^2 + G_{skj}^* w_{sj}^2 \left( \frac{d\phi_k}{dr} \right)^2 \right] r dr d\theta dz
\end{aligned}$$

147 where subscripts  $i$  and  $j$  represent the horizontal soil layer while subscript  $k$  represents  
148 the radial soil ring. Based on the Hamilton variational principle of a mechanical system,  
149 the energy function  $J$  from time  $t_1$  to  $t_2$  approaches the equilibrium state only when its  
150 variation sets the minimum value, which is:

$$151 \quad \delta J = \int_{t_1}^{t_2} (\delta T - \delta U + \delta W) dt = 0 \quad (7)$$

152 where  $\delta(\square)$  is the variational operator. Substituting the steady vibration condition  
153  $w(z, t) = w(z) e^{i\omega t}$  into Eq. (6), and Eq. (7), produces the following equation:

$$\begin{aligned}
\delta J = & \sum_{i=1}^{N_1} \left[ \omega^2 \rho_p A_p \int_{t_1}^{t_2} \int_{H_i}^{H_{i+1}} w_{pi} \delta w_{pi} dt dz + 2\pi \omega^2 \int_{t_1}^{t_2} \int_{H_i}^{H_{i+1}} \left( \sum_{k=1}^M \rho_{ski} \int_{r_k}^{r_{k+1}} \phi_k^2 r dr \right) w_p \delta w_{pi} dz dt \right] \\
& + \sum_{i=1}^{N_1} 2\pi \omega^2 \int_{t_1}^{t_2} \int_{H_i}^{H_{i+1}} \left( \sum_{k=1}^M \rho_{ski} \int_{r_k}^{r_{k+1}} r \phi_k \delta \phi_k dr \right) w_{pi}^2 dz dt + \sum_{j=N_1+1}^{N_2} \omega^2 A_p \int_{t_1}^{t_2} \int_L^\infty \rho_{sj} w_{sj} \delta w_{sj} dz dt \\
& - \sum_{i=1}^{N_1} 2\pi (\lambda_{si}^* + 2G_{ski}^*) \int_{t_1}^{t_2} \frac{dw_{pi}}{dz} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \phi_k^2 r dr \delta w_{pi} \Big|_{H_i}^{H_{i+1}} dt - \sum_{i=1}^{N_1} E_p A_p \int_{t_1}^{t_2} \left( \frac{dw_{pi}}{dz} \delta w_{pi} \Big|_{H_i}^{H_{i+1}} \right) dt \\
& - \sum_{i=1}^{N_1} 2\pi (\lambda_{si}^* + 2G_{ski}^*) \int_{t_1}^{t_2} \left[ \int_{H_i}^{H_{i+1}} \left( \frac{dw_{pi}}{dz} \right)^2 \left( \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \phi_k \delta \phi_k r dr \right) dz - \int_{H_i}^{H_{i+1}} \left( \frac{d^2 w_{pi}}{dz^2} \right) \delta w_{pi} \left( \sum_{k=1}^M \int_{r_k}^{r_{k+1}} r \phi_k^2 dr \right) dz \right] dt \\
& - \sum_{i=1}^{N_1} 2\pi \int_{t_1}^{t_2} \int_{H_i}^{H_{i+1}} \left\{ \sum_{k=1}^M G_{ski}^* \int_{r_k}^{r_{k+1}} \left[ r \left( \frac{d\phi_k}{dr} \right)^2 w_{pi} \delta w_{pi} - \left( r \frac{d^2 \phi_k}{dr^2} + \frac{d\phi_k}{dr} \right) \delta \phi_k w_{pi}^2 \right] dr \right\} dz dt \\
& - \sum_{i=1}^{N_1} 2\pi \int_{t_1}^{t_2} \left[ \int_{H_i}^{H_{i+1}} \left( \sum_{k=1}^M G_{ski}^* \int_{r_k}^{r_{k+1}} \frac{d\phi_k}{dr} r \delta \phi_k dr \right) w_{pi}^2 dz \Big|_{r_p}^\infty \right] dt + \sum_{i=1}^{N_1} E_p A_p \int_{t_1}^{t_2} \left( \int_{H_i}^{H_{i+1}} \frac{d^2 w_{pi}}{dz^2} \delta w_{pi} dz \right) dt \\
& + \sum_{j=N_1+1}^{N_2} 2\pi \omega^2 \int_{t_1}^{t_2} \int_{H_j}^{H_{j+1}} \rho_{skj} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} (w_{sj} r \phi_k^2 \delta w_{sj} + r \phi_k w_{sj}^2 \delta \phi_k) dr dz dt \\
& - \sum_{j=N_1+1}^{N_2} (\lambda_{skj}^* + 2G_{skj}^*) \int_{t_1}^{t_2} \left[ \left( A_p + 2\pi \int_{r_k}^{r_{k+1}} r \phi_k^2 dr \right) \frac{dw_{sj}}{dz} \delta w_{sj} \Big|_{H_j}^{H_{j+1}} - A_p \int_0^L \frac{d^2 w_{sj}}{dz^2} \delta w_{sj} dz \right] dt \\
& + \sum_{j=N_1+1}^{N_2} 2\pi (\lambda_{skj}^* + 2G_{skj}^*) \int_{t_1}^{t_2} \left[ \left( \sum_{k=1}^M \int_{r_k}^{r_{k+1}} r \phi_k^2 dr \right) \int_{H_j}^{H_{j+1}} \left( \frac{d^2 w_{sj}}{dz^2} \right) \delta w_{sj} dz - \sum_{k=1}^M \int_{r_k}^{r_{k+1}} r \phi_k \delta \phi_k dr \int_{H_j}^{H_{j+1}} \left( \frac{dw_{sj}}{dz} \right)^2 dz \right] dt \\
& + \sum_{j=N_1+1}^{N_2} 2\pi \int_{t_1}^{t_2} \left\{ \sum_{k=1}^M G_{skj}^* \int_{r_k}^{r_{k+1}} \left( r \frac{d^2 \phi_k}{dr^2} \right) dr \delta \phi_k \int_{H_j}^{H_{j+1}} w_{sj}^2 dz - \int_{H_j}^{H_{j+1}} \left[ \sum_{k=1}^M G_{skj}^* \int_{r_k}^{r_{k+1}} \left( \frac{d\phi_k}{dr} \right)^2 r dr \right] w_{sj} \delta w_{sj} dz \right\} dt
\end{aligned}$$

154

155

(8)

## 156 4 Governing equations and solving process

### 157 4.1 Pile and the soil column beneath the pile tip

158 Collecting the coefficients involved with  $w_i$  (including  $w_{pi}$  and  $w_{si}$ , see Eq. (2))  
159 from the variational formula in Eq. (8), the governing equation for the axially loaded  
160 pile can be obtained in the frequency domain as follows:

$$161 \quad \left( \bar{E}_i A + t_i \right) \frac{d^2 w_i}{dz^2} - \left[ k_i - (\alpha_i + \rho_i A_p) \omega^2 \right] w_i = 0 \quad (9)$$

162 where  $\bar{E}_i$  denotes the equivalent elastic modulus of the  $i$ th horizontal layer, and is

163 identical to  $E_p$  for  $0 \leq z \leq L$  and  $\lambda_{si}^* + 2G_{si}^*$  for  $L < z \leq H_N$ .  $\rho_i$  is identical to  $\rho_p$

164 for  $0 \leq z \leq L$  and  $\rho_s$  for  $L < z \leq H_N$ . Coefficients  $k_i, t_i, \alpha_i$  are related to soil  
 165 inhomogeneity and shear stress at the pile-soil interface, which can be calculated as:

$$166 \quad k_i = 2\pi \sum_{k=1}^M G_{\text{sik}}^* \int_{r_k}^{r_{k+1}} \left( \frac{d\phi_k}{dr} \right)^2 r dr \quad (10a)$$

$$167 \quad t_i = \pi \sum_{k=1}^M \left( \lambda_{\text{sik}}^* + 2G_{\text{sik}}^* \right) \int_{r_k}^{r_{k+1}} \phi_k^2 r dr \quad (10b)$$

$$168 \quad \alpha_i = 2\pi \sum_{k=1}^M \rho_{\text{sik}} \int_{r_k}^{r_{k+1}} \phi_k^2 r dr \quad (10c)$$

169 Derived from Eq. (9), the general solution of displacement  $w_i(z)$  and axial force  $Q_i(z)$   
 170 in the  $i^{\text{th}}$ -layered pile shaft are given by:

$$171 \quad w_i(z) = B_i e^{\lambda_i z} + C_i e^{-\lambda_i z}, \quad 1 \leq i \leq N \quad (11)$$

$$172 \quad Q_i(z) = -(E_i A + 2t_i) \frac{dw_i}{dz} = -B_i \zeta_i e^{\lambda_i z} + C_i \zeta_i e^{-\lambda_i z}, \quad 1 \leq i \leq N \quad (12)$$

173 where  $\lambda_i$  and  $\zeta_i$  are temporary variables that can be calculated as:

$$174 \quad \lambda_i = \sqrt{\frac{k_i - (\alpha_i + \rho_i A_p) \omega^2}{E_i A_p + 2t_i}} \quad (13)$$

$$175 \quad \zeta_i = \sqrt{[k_i - (\alpha_i + \rho_i A_p) \omega^2] (E_i A_p + 2t_i)} \quad (14)$$

176 A total of  $2N_2$  boundary conditions can be integrated using the continuity of  
 177 displacement and axial force between any two adjacent layers, force equilibrium at pile  
 178 head, and deformation constraint at the interface between fictitious pile and rigid rock:

$$179 \quad e^{\lambda_i H_i} B_i + e^{-\lambda_i H_i} C_i - e^{\lambda_{i+1} H_i} B_{i+1} - e^{-\lambda_{i+1} H_i} C_{i+1} = 0, \quad (1 \leq i \leq N_2 - 1) \quad (15a)$$

$$180 \quad -\zeta_i B_i e^{\lambda_i H_i} + \zeta_i C_i e^{-\lambda_i H_i} + \zeta_{i+1} e^{\lambda_{i+1} H_i} B_{i+1} - \zeta_{i+1} e^{-\lambda_{i+1} H_i} C_{i+1} = 0, \quad (1 \leq i \leq N_2 - 1) \quad (15b)$$

$$181 \quad -B_1 \zeta_1 e^{\lambda_1 z} + C_1 \zeta_1 e^{-\lambda_1 z} = F_0 \quad (15c)$$

$$182 \quad \frac{B_{N_2}}{C_{N_2}} = -e^{-2\lambda_{N_2} H_{N_2}} \quad (15d)$$

183 The impedance transfer method, Qu et al. (2021), is applied to find the analytical  
 184 solution of  $B_i$  and  $C_i$  for all soil layers:

$$185 \quad C_1 = \frac{F_0}{\zeta_1 \left(1 - \frac{B_1}{C_1}\right)} \quad (16a)$$

$$186 \quad \frac{B_i}{C_i} = \frac{-\frac{B_{i+1}}{C_{i+1}} \left(\frac{\zeta_{i+1}}{\zeta_i} + 1\right) e^{2\lambda_{i+1}z} + \frac{\zeta_{i+1}}{\zeta_i} - 1}{\frac{B_{i+1}}{C_{i+1}} \left(\frac{\zeta_{i+1}}{\zeta_i} - 1\right) e^{2(\lambda_{i+1} + \lambda_i)z} - \left(\frac{\zeta_{i+1}}{\zeta_i} + 1\right) e^{2\lambda_i z}}, \quad (1 \leq i \leq N_2 - 1) \quad (16b)$$

$$187 \quad C_{i+1} = C_i \frac{e^{(\lambda_i + \lambda_{i+1})H_i} \frac{B_i}{C_i} + e^{(\lambda_{i+1} - \lambda_i)H_i}}{e^{2\lambda_{i+1}H_i} \frac{B_{i+1}}{C_{i+1}} + 1} \quad (16c)$$

188 Finally, the dynamic impedance at the pile head can be obtained by:

$$189 \quad K_d(0) = \frac{-B_1 \zeta_1 + C_1 \zeta_1}{B_1 + C_1} \quad (17)$$

## 190 4.2 Attenuation function $\phi_k$

191 The energy portion  $J_a$  involved with the attenuation can be expressed as:

$$192 \quad \begin{aligned} \delta J_a = & 2\pi \sum_{i=1}^{N_1} \int_{t_1}^{t_2} \int_{H_i}^{H_{i+1}} \sum_{k=1}^M \left[ \int_{r_k}^{r_{k+1}} \omega^2 \rho_{ski} w_{pi}^2 - \left( \frac{dw_{pi}}{dz} \right)^2 (\lambda_{ski}^* + 2G_{ski}^*) \right] r \phi_k \delta \phi_k dr dz dt \\ & + 2\pi \sum_{i=1}^{N_1} \int_{t_1}^{t_2} \left[ \int_{H_i}^{H_{i+1}} \left[ \sum_{k=1}^M \int_{r_k}^{r_{k+1}} G_{ski}^* \left( r \frac{d^2 \phi_k}{dr^2} + \frac{d\phi_k}{dr} \right) \delta \phi_k dr \right] w_{pi}^2 dz \right] dt \\ & + 2 \sum_{j=N_1+1}^{N_2} \int_{t_1}^{t_2} \int_{H_j}^{H_{j+1}} \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \left[ \pi \omega^2 \rho_{skj} w_{sj}^2 + \left( \frac{dw_{sj}}{dz} \right)^2 \right] dz dt \\ & + 2\pi \sum_{j=N_1+1}^{N_2} \int_{t_1}^{t_2} \left[ \sum_{k=1}^M \int_{r_k}^{r_{k+1}} \int_{H_j}^{H_{j+1}} G_{skj}^* \left( r \frac{d^2 \phi_k}{dr^2} \right) w_{sj}^2 dz dr \delta \phi_k \right] dt \\ & = 0 \end{aligned} \quad (18)$$

193 Collecting the coefficients of  $\delta \phi_k$  from the variational formula in Eq. (18) and  
 194 considering the definition of a Bessel function, the governing equation of  $\phi_k$  and its  
 195 corresponding general solution can be obtained:

196 
$$\frac{d^2\phi_k}{dr^2} + \frac{1}{r} \frac{d\phi_k}{dr} - \beta_k^2 \phi_k = 0 \quad (19)$$

197 
$$\phi_k(r) = c_1^k I_0(\beta_k r) + c_2^k K_0(\beta_k r) \quad (20)$$

198 where:

199 
$$\beta_k = \sqrt{\frac{n_{s1k} - n_{s2k} \omega^2}{m_{sk}}} \quad (21)$$

200 
$$m_{sk} = \sum_{i=1}^{N_1} \int_{H_{i-1}}^{H_i} 2\pi G_{ski}^* w_{pi}^2 dz + \sum_{j=N_1+1}^{N_2} \int_{H_{j-1}}^{H_j} 2\pi G_{skj}^* w_{sj}^2 dz \quad (22a)$$

201 
$$n_{s1k} = \sum_{i=1}^{N_1} \int_{H_{i-1}}^{H_i} 2\pi (\lambda_{ski}^* + 2G_{ski}^*) \left( \frac{dw_{pi}}{dz} \right)^2 dz + \sum_{j=N_1+1}^{N_2} \int_{H_{j-1}}^{H_j} 2\pi (\lambda_{skj}^* + 2G_{skj}^*) \left( \frac{dw_{sj}}{dz} \right)^2 dz \quad (22b)$$

202 
$$n_{s2k} = \sum_{i=1}^{N_1} \int_{H_{i-1}}^{H_i} 2\pi \rho_{ski} w_{pi}^2 dz + \sum_{j=N_1+1}^{N_2} \int_{H_{j-1}}^{H_j} 2\pi \rho_{skj} w_{sj}^2 dz \quad (22c)$$

203 where  $I_0$  and  $K_0$  are the modified Bessel functions of the first and second kind of  
 204 zero order, respectively. The displacement and shear stress of adjacent annular soil  
 205 zones at the same depth are identical, which produces the following:

206 
$$\frac{G_{sik}^*}{G_{sik}^*} \frac{c_1^{k+1} \beta_{k+1} I_1(\beta_{k+1} r_{k+1}) - c_2^{k+1} \beta_{k+1} K_1(\beta_{k+1} r_{k+1})}{c_1^k \beta_k I_1(\beta_k r_{k+1}) - c_2^k \beta_k K_1(\beta_k r_{k+1})} = 1 \quad (23a)$$

207 
$$c_1^k I_0(\beta_k r_{k+1}) + c_2^k K_0(\beta_k r_{k+1}) = c_1^{k+1} I_0(\beta_{k+1} r_{k+1}) + c_2^{k+1} K_0(\beta_{k+1} r_{k+1}) \quad (23b)$$

208 where  $k=1, 2, \dots, M-1$ . Based on the transfer of shear stiffness, the relationship between

209  $c_1^k / c_2^k$  and  $c_1^{k+1} / c_2^{k+1}$  the ratio can be expressed as:

210 
$$\frac{c_1^k}{c_2^k} = \frac{\left[ \frac{c_1^{k+1}}{c_2^{k+1}} I_0(\beta_{k+1} r_{k+1}) + K_0(\beta_{k+1} r_{k+1}) \right] K_1(\beta_k r_{k+1}) + \frac{\beta_{k+1}}{\beta_k} \frac{G_{sik}^*}{G_{sik}^*} \left[ \frac{c_1^{k+1}}{c_2^{k+1}} I_1(\beta_{k+1} r_{k+1}) - K_1(\beta_{k+1} r_{k+1}) \right] K_0(\beta_k r_{k+1})}{\left[ \frac{c_1^{k+1}}{c_2^{k+1}} I_0(\beta_{k+1} r_{k+1}) + K_0(\beta_{k+1} r_{k+1}) \right] I_1(\beta_k r_{k+1}) - \frac{\beta_{k+1}}{\beta_k} \frac{G_{sik}^*}{G_{sik}^*} \left[ \frac{c_1^{k+1}}{c_2^{k+1}} I_1(\beta_{k+1} r_{k+1}) - K_1(\beta_{k+1} r_{k+1}) \right] I_0(\beta_k r_{k+1})} \quad (24)$$

212 Eq. (24) can be computed once the value of  $c_1^M / c_2^M$  is known. The inherent boundary

213 conditions of the decay function in section 3 can be expressed as:

$$214 \quad \frac{c_1^1}{c_2^1} I_0(\beta_1 r_p) + K_0(\beta_1 r_p) = \frac{1}{c_2^1} \quad (25a)$$

$$215 \quad c_1^M = 0 \quad (25b)$$

216 Transforming Eq. (23b) produces the following recursion formula between  $c_2^k$

217 and  $c_2^{k+1}$ :

$$218 \quad c_2^{k+1} = c_2^k \frac{\frac{c_1^k}{c_2^k} I_0(\beta_k r_{k+1}) + K_0(\beta_k r_{k+1})}{\frac{c_1^{k+1}}{c_2^{k+1}} I_0(\beta_{k+1} r_{k+1}) + K_0(\beta_{k+1} r_{k+1})} \quad (26)$$

219 The attenuation functions in different concentric soil zones will differ from one another  
220 when radial soil inhomogeneity occurs. Considering the situation of a single pile  
221 surrounded by two concentric soil zones, the expressions of  $c_2^1 = c_2^2 = 1/K_0(\beta_1 r_p)$  and  
222  $c_1^1 = c_1^2 = 0$  satisfy the equations of Eq. (23)-Eq. (26). In contrast with the coefficients  
223 in radially homogeneous soil as reported by Qu et al. (2021), the multiple attenuation  
224 functions in radially inhomogeneous soil can degrade into a single attenuation function  
225 in homogeneous soil.

226

## 227 **5 Solution technique**

228 Substituting Eq. (20) into Eq. (10) produces the direct expressions of  $k_i, t_i$  and  $\alpha_i$ :

$$\begin{aligned}
k_i = & \pi \sum_{k=1}^{M-1} G_{\text{sik}}^* (c_1^k)^2 \beta_k \left[ r_k^2 \beta_k I_0(\beta_k r_k)^2 - r_{k+1}^2 \beta_k I_0(\beta_k r_{k+1})^2 - 2r_k I_0(\beta_k r_k) I_1(\beta_k r_k) \right] \\
& + \pi \sum_{k=1}^{M-1} G_{\text{sik}}^* (c_1^k)^2 \beta_k \left[ -r_k^2 \beta_k I_1(\beta_k r_k)^2 + 2r_{k+1} I_0(\beta_k r_{k+1}) I_1(\beta_k r_{k+1}) + r_{k+1}^2 \beta_k I_1(\beta_k r_{k+1})^2 \right] \\
& + \pi \sum_{k=1}^{M-1} G_{\text{sik}}^* (c_2^k)^2 \beta_k \left[ r_k^2 \beta_k K_0(\beta_k r_k)^2 - r_{k+1}^2 \beta_k K_0(\beta_k r_{k+1})^2 + 2r_k K_0(\beta_k r_k) K_1(\beta_k r_k) \right] \\
229 \quad & - \pi \sum_{k=1}^{M-1} G_{\text{sik}}^* (c_2^k)^2 \beta_k \left[ r_k^2 \beta_k K_1(\beta_k r_k)^2 + 2r_{k+1} K_0(\beta_k r_{k+1}) K_1(\beta_k r_{k+1}) - r_{k+1}^2 \beta_k K_1(\beta_k r_{k+1})^2 \right] \\
& - \sqrt{\pi} \sum_{k=1}^{M-1} G_{\text{sik}}^* c_2^k c_1^k \left\{ G_2^2 \left( (\beta_k r_{k+1})^2, \left| \begin{array}{c} 1, \frac{3}{2} \\ 1, 2, 0, 0 \end{array} \right. \right) - G_2^2 \left( (\beta_k r_k)^2, \left| \begin{array}{c} 1, \frac{3}{2} \\ 1, 2, 0, 0 \end{array} \right. \right) \right\} \\
& + \pi G_{\text{siM}}^* (c_2^M)^2 \left[ r_M^2 \beta_M^2 K_0(\beta_M r_M)^2 + 2r_M \beta_M K_0(\beta_M r_M) K_1(\beta_M r_M) - r_M^2 \beta_M^2 K_1(\beta_M r_M)^2 \right]
\end{aligned} \tag{27a}$$

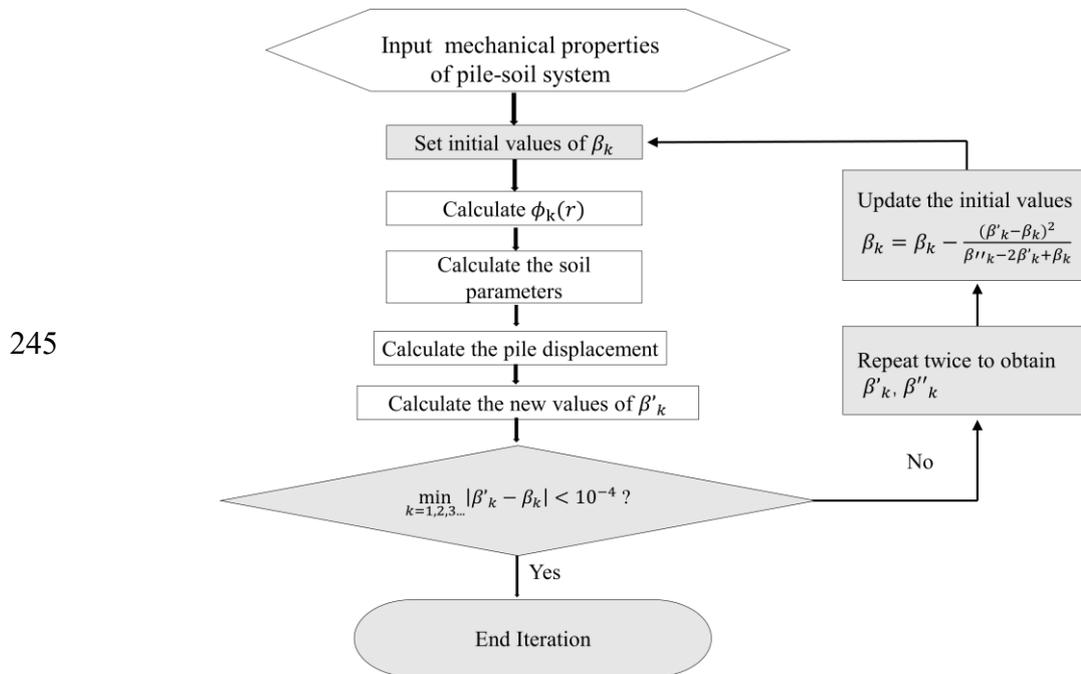
$$\begin{aligned}
t_i = & \frac{\pi}{2} \sum_{k=1}^{M-1} (\lambda_{\text{sik}}^* + 2G_{\text{sik}}^*) (c_1^k)^2 \left[ r_{k+1}^2 (I_0(\beta_k r_{k+1})^2 - I_1(\beta_k r_{k+1})^2) - r_k^2 (I_0(\beta_k r_k)^2 - I_1(\beta_k r_k)^2) \right] \\
& + \frac{\pi}{2} \sum_{k=1}^{M-1} (\lambda_{\text{sik}}^* + 2G_{\text{sik}}^*) (c_2^k)^2 \left[ r_{k+1}^2 (K_0(\beta_k r_{k+1})^2 - K_1(\beta_k r_{k+1})^2) - r_k^2 (K_0(\beta_k r_k)^2 - K_1(\beta_k r_k)^2) \right] \\
231 \quad & + \frac{\sqrt{\pi}}{2} \frac{1}{\beta_k} \frac{1}{\beta_k} \sum_{k=1}^{M-1} (\lambda_{\text{sik}}^* + 2G_{\text{sik}}^*) c_1^k c_2^k \left\{ G_1^2 \left( \beta_k r_{k+1}, \frac{1}{2} \left| \begin{array}{c} \frac{3}{2} \\ 1, 1, 0 \end{array} \right. \right) - G_1^2 \left( \beta_k r_k, \frac{1}{2} \left| \begin{array}{c} \frac{3}{2} \\ 1, 1, 0 \end{array} \right. \right) \right\} \\
& + \frac{\pi}{2} (\lambda_{\text{siM}}^* + 2G_{\text{siM}}^*) (c_2^M)^2 r_M^2 \left[ (K_1(\beta_M r_M)^2 - K_0(\beta_M r_M)^2) \right]
\end{aligned} \tag{27b}$$

$$\begin{aligned}
\alpha_i = & \pi \sum_{k=1}^{M-1} \rho_{\text{ski}} (c_1^k)^2 \left[ r_{k+1}^2 (I_0(\beta_k r_{k+1})^2 - I_1(\beta_k r_{k+1})^2) - r_k^2 (I_0(\beta_k r_k)^2 - I_1(\beta_k r_k)^2) \right] \\
& + \pi \sum_{k=1}^{M-1} \rho_{\text{ski}} (c_2^k)^2 \left[ r_{k+1}^2 (K_0(\beta_k r_{k+1})^2 - K_1(\beta_k r_{k+1})^2) - r_k^2 (K_0(\beta_k r_k)^2 - K_1(\beta_k r_k)^2) \right] \\
233 \quad & + \sqrt{\pi} \sum_{k=1}^{M-1} \rho_{\text{ski}} c_1^k c_2^k \frac{1}{(\beta_k)^2} \left\{ G_1^2 \left( \beta_k r_{k+1}, \frac{1}{2} \left| \begin{array}{c} \frac{3}{2} \\ 1, 1, 0 \end{array} \right. \right) - G_1^2 \left( \beta_k r_k, \frac{1}{2} \left| \begin{array}{c} \frac{3}{2} \\ 1, 1, 0 \end{array} \right. \right) \right\} \\
& + \pi \rho_{\text{siMi}} (r_M)^2 (c_2^M)^2 \left[ K_1(\beta_M r_M)^2 - K_0(\beta_M r_M)^2 \right]
\end{aligned} \tag{27c}$$

234 Where  $G_{\tilde{p}}^{\tilde{m}} \left( z, r \left| \begin{array}{c} a_1, \dots, a_{\tilde{n}}, a_{\tilde{n}+1}, a_{\tilde{p}} \\ b_1, \dots, b_{\tilde{m}}, b_{\tilde{m}+1}, b_{\tilde{p}} \end{array} \right. \right)$  represents MeijerG-Function. There are  $5k+3$

235 undetermined coefficients including  $k_i$ ,  $t_i$ ,  $\alpha_i$ ,  $m_{\text{sk}}$ ,  $n_{\text{slk}}$ ,  $n_{\text{s2k}}$ ,  $\beta_k$  and  $\phi_k$  that can be

236 solved through the corresponding  $5k+3$  equations. However, the undetermined  
 237 coefficients are intercoupled in Eq. (20), Eq. (21), Eq. (22a), Eq. (22b), Eq. (22c) Eq.  
 238 (27a), Eq. (27b), and Eq. (27c), which makes an explicit solution inconvenient. It is  
 239 observed that once the value  $\beta_k$  is known, the others can be readily solved. Here the  
 240 fixed-point iteration is applied to implement a rapid solution. A similar iterative  
 241 procedure was also reported in Vallabhan and Mustafa (1996) and in Gupta and Basu  
 242 et al. (2018). To speed up the process, Steffensen's method is employed in this study.  
 243 The flowchart is plotted in Fig. 2. Finally, the pile-head dynamic impedance can be  
 244 calculated through Eq. (17).



246 Fig. 2 Flowchart of the Steffensen's iteration method

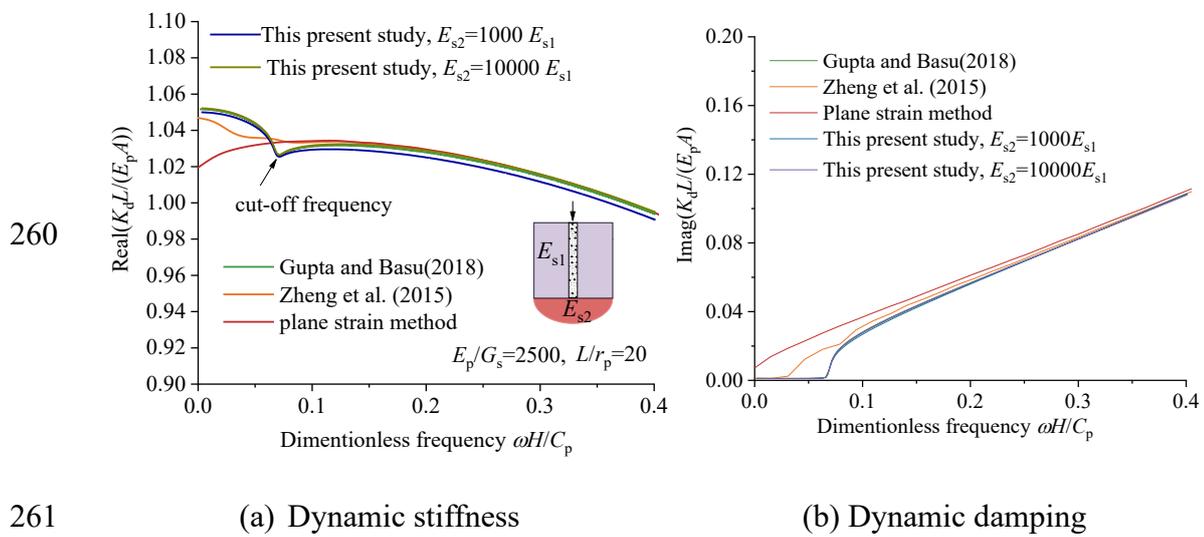
247

## 248 6 Comparison and validation with existing analytical solutions

### 249 6.1 Degenerate solution without radial soil inhomogeneity

250 In Fig. 3, the present solution is compared with the analytical results for the dynamic  
 251 impedance of end-bearing piles obtained by Zheng et al. (2015), Guta and Basu (2018),  
 252 and Novak's plane strain method. In this case,  $L/r_p=20$ ,  $E_p/G_s=2500$ ,  $\beta_0=0.02$ ,

253  $\rho_s / \rho_p = 0.88$ ,  $\nu_s = 0.3$ . To apply the present model, a soil profile with two horizontal  
 254 layers is chosen. For simulating the rigid base, the soil modulus of the lower soil layer  
 255 is taken as  $10^4 E_s$ . Fig. 3 shows that the present solution agrees well with Guta et al.  
 256 (2018), which is understandable because the radial soil displacement is also restricted  
 257 in their studies. Compared with the rigorous solution proposed by Zheng et al. (2015),  
 258 the present solution is capable of predicting one cut-off frequency, and the accuracy of  
 259 dynamic impedance is generally achieved in most engineering applications.

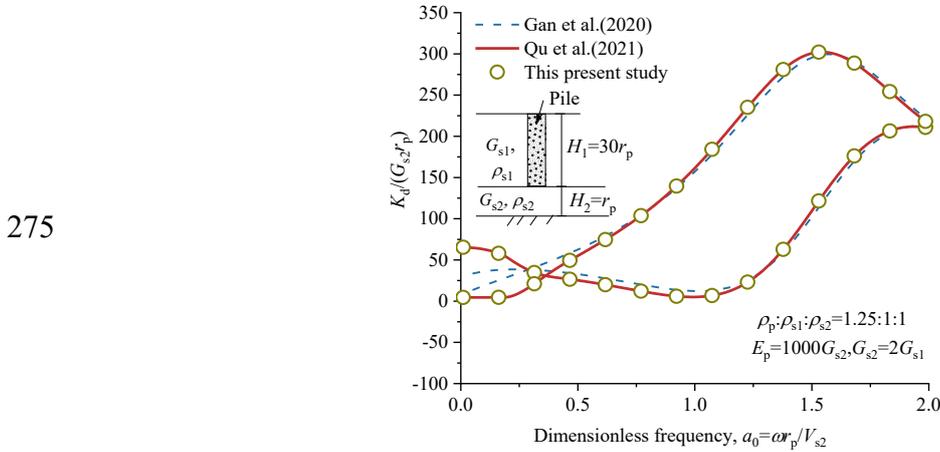


262 Fig. 3 Dynamic impedance of piles resting on a rigid base

263

264 Furthermore, Fig. 4 compares the dynamic pile head impedance in a two-layered  
 265 ground against the solution from Qu et al. (2021), and Gan et al. (2020). The present  
 266 method provides almost the same results with Qu et al. (2021) since both of them adopt  
 267 the energy-based variation method. Essentially, this present method is a natural  
 268 extension of Qu et al. (2021), while Gan et al. (2020) employs Hankel transformation  
 269 to solve elastodynamic governing equations. The results show that the pile impedances  
 270 calculated by the present study and Gan et al. (2020) agree very well for  $a > 0.5$ . Mild  
 271 differences in the low frequency range of  $0 < a < 0.5$ , can be attributed to the independent

272 thin layer assumption for the surrounding soil in Gan et al. (2020). The aforementioned  
 273 degenerate solutions confirm the effectiveness of the present method for layered soil  
 274 without radial inhomogeneity.

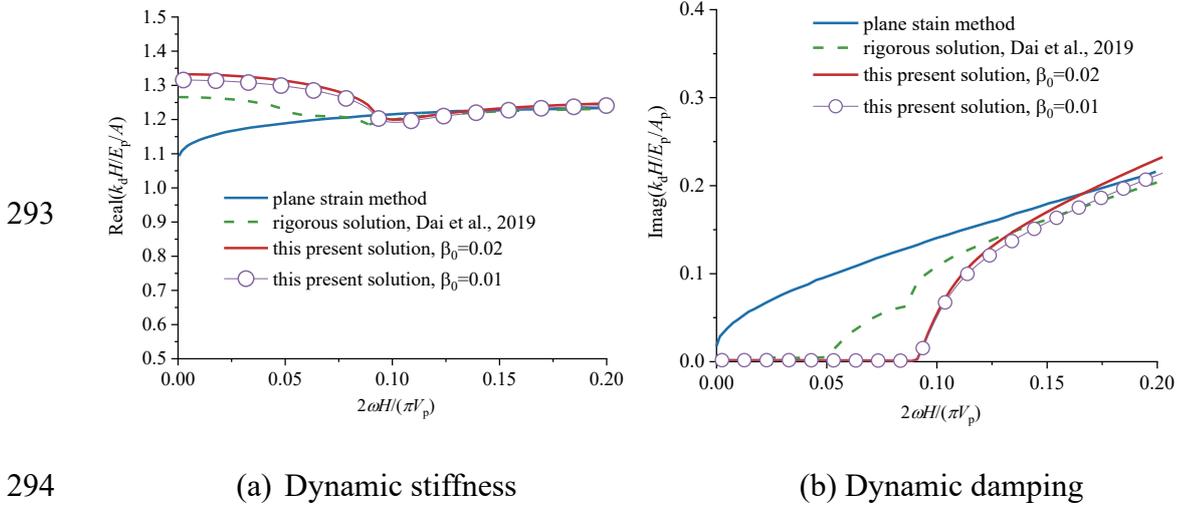


276 Fig. 4 Dynamic pile head impedance in a two-layered soil

277 **6.2 Comparison with existing solution considering radial soil inhomogeneity**

278 Dai et al. (2019) derived a solution for an end-bearing pile in a radially disturbed soil.  
 279 Their study considered radial soil displacement and thus predicted two cut-off  
 280 frequencies. Considering the same parameters adopted in Dai et al. (2019) ( $E_p/E_s=217$ ,  
 281  $\nu=0.25$ ,  $L/r_p=25$ ,  $\rho_s/\rho_p=0.72$ ,  $G_1/G_M=0.5$ ,  $D_1/D_M=1$ ), the pile head impedance from  
 282 this present solution is compared with Dai et al.'s method (2019) and the plane strain  
 283 method in Fig. 5. The disturbed zone is divided into four ranges:  $(r_p, 1.1r_p)$ ,  $(1.1r_p, 1.2r_p)$ ,  
 284  $(1.2r_p, 1.3r_p)$ ,  $(1.4r_p, 1.5r_p)$ . The soil within each range is assumed to be homogeneous,  
 285 and the shear modulus values in the four ranges follow a quadratic function. This  
 286 method demonstrates an ability to predict trends that align closely with the alternative  
 287 solution. Furthermore, the precision of this method is not significantly compromised,  
 288 even when only one cut-off frequency is used. Compared with Dai et al. (2019), the  
 289 present solution releases the constraint preventing deformation at pile tips, which  
 290 allows the consideration for a floating pile. Additional advantages of this present  
 291 solution include a more accurate representation of soil property variation and will be

292 discussed in the following sections.



295 Fig. 5 Comparison with existing solutions for pile head impedance in disturbed soil.

296 **7. Effects of radial distribution on dynamic impedance**

297 Increasing the shear strain will reduce soil stiffness and increase soil damping.  
 298 Determining the radial distribution of soil shear modulus around a pile is important for  
 299 estimating the damage in the surrounding soil. Thus, in this section, the limitations of  
 300 two existing types of radial distribution are summarized and a novel type proposed.

301 **Model 1:**

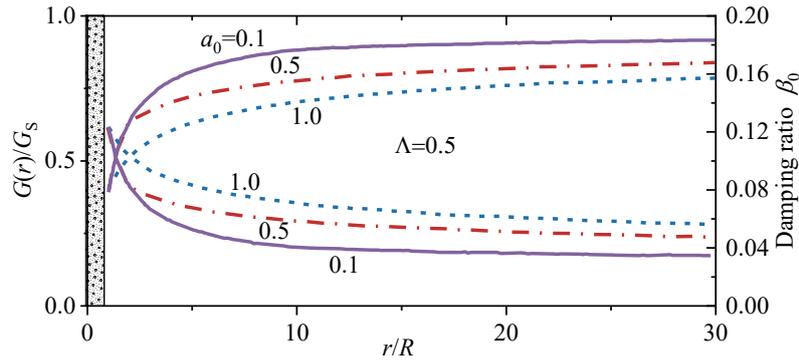
302  $I_p$  denotes the soil plastic index and  $\lambda$  denotes the fitting parameter for the non-  
 303 linear variation of shear modulus in Michaelides et al (1997).  $\Lambda$  is loading intensity  
 304 factor that is a function of the induced cyclic shear stress amplitude  $\tau_{c0}$ , divided by the  
 305 frictional capacity of the soil-pile interface:

306 
$$\Lambda = 2700 \frac{\tau_{c0}}{G_s} 10^{-1.4(I_p/\lambda)} \quad (29)$$

307 Michaelides et al (1997) proposed an approximate equation to connect the dynamic  
 308 shear modulus and shear stress amplitude:

309 
$$\frac{G_s(r)}{G_s} = 1 - \left[ \Lambda \frac{r_p}{r} f(a_0, r) \right]^{0.72} \quad (28)$$

310 where  $f(a_0, r)$  is dependent on the soil stress amplitude and is a function of frequency  
 311 and radial distance. As reported in Michaelides et al. (1997) and Michaelides et al.  
 312 (1998), the value of function  $f(a_0, r)$  in Eq. (28) is approximately 1.0 for a soil  
 313 experiencing low frequency loading. Fig. 6 is the typical radial distribution of shear  
 314 modulus  $G=G(r)$  when the loading intensity factor  $\Lambda$  is constant.



316 Fig. 6 Typical radial distribution of shear modulus  $G=G(r)$  with radial distance from  
 317 the pile (Michaelides et al., 1997)

318 In the model by Michaelides et al. (1997), the soil shear modulus sharply increases  
 319 near the pile and then slowly increases until the soil degradation effect tapers out. The  
 320 whole damage zone exceeds  $30r_p$  and the variation is fastest within  $2r_p$ . Michaelides et  
 321 al. (1998) used an inhomogeneous four-ring model to characterize the variation of the  
 322 shear modulus.

$$323 \quad G_s^*(r) = G_k^* [1 + 2iD_k] \left(\frac{r}{r_k}\right)^{m_k}, \quad \begin{cases} r_1 = r_p \\ r_2 = 2r_p \\ r_3 = 6r_p \\ r_4 = 30r_p \end{cases} \quad (30)$$

324 where it has:

$$325 \quad m_k = \log(G_{k+1} / G_k) / \log(r_{k+1} / r_k), k = 1, 2, 3 \quad (31a)$$

$$326 \quad D_k = 0.3 \times (0.6 + 0.4e^{-0.025/r_p}) [1 - 0.77G_k / G_4]^2 \quad (31b)$$

327 The values of  $G_k$  and  $D_k$  in Eq. (30) are obtained from curve fitting based on

328 dynamic soil properties. Empirical relationships exists between shear modulus  $G_k$  and  
 329 dynamic damping  $D_k$  from experimental data. In each angular zone, an exponential  
 330 function with three parameters is used, which indicates that at least 6 extra parameters  
 331 ( $G_k, m_k, k=1, 2, 3$ ) are necessary for determining the exact variation except for the  
 332 shear modulus and damping of the undisturbed soil  $G_4, D_4$ , and  $m_4$ . Introducing the  
 333 following there are still 6 parameters remaining.

334 **Model 2:**

335 EI Naggar (2000) proposed two power functions  $f_G(r)$  and  $f_D(r)$  for describing  
 336 the shear modulus and damping in radially inhomogeneous soil (Eq. (32)). This model  
 337 introduces the concept of disturbed range ( $r_M$ ) that assumes the soil zones  $r \geq r_M$  are  
 338 undisturbed. Let  $G_1 / G_M$  denote the extent of shear modulus disturbance at the pile-soil  
 339 interface compared with that in the undisturbed zone;  $D_1 / D_M$  denotes the  
 340 amplification extent of the damping ratio at the pile-soil interface and at the undisturbed  
 341 zone. An additional three parameters  $\bar{m}, \bar{n}, r_M$  control the shape of the radial variation.

$$342 \quad G_s(r) = \begin{cases} G_1(1 + 2iD_1), & r=r_0 \\ G_M f_G(r)(1 + 2if_D(r)D_M), & r_0 \leq r < r_M \\ G_M(1 + 2iD_M), & r \geq r_M \end{cases} \quad (32a)$$

$$343 \quad f_G(r) = \frac{G_1}{G_M} - \left(\frac{r-r_p}{r_M}\right)^{\bar{m}} \left(\frac{G_1}{G_M} - 1\right) \quad (32b)$$

$$344 \quad f_D(r) = \frac{D_1}{D_M} - \left(\frac{r-r_p}{r_M}\right)^{\bar{n}} \left(\frac{D_1}{D_M} - 1\right) \quad (32c)$$

345 **Model 3 (proposed in this study):**

346 Following the expression in Eq. 32(a), this study proposes a novel distribution by  
 347 assuming functions  $f_G$  and  $f_D$  in Eq. 32(b) and Eq. 32(c) have the following expressions:

348 
$$f_G(r) = \frac{1}{1 + \left(\frac{G_M}{G_1} - 1\right) \left[ \frac{H_0^2(a_0 r / (\eta_1 r_p))}{H_0^2(a_0 / \eta_1 \rho)} \right]^{\eta_1}} \quad (33a)$$

349 
$$f_D(r) = \frac{1}{1 + \left(\frac{D_M}{D_1} - 1\right) \left[ \frac{H_0^2(a_0 r / (\eta_2 r_p))}{H_0^2(a_0 / \eta_2 \rho)} \right]^{\eta_2}} \quad (33b)$$

350 
$$f_G(r) = \frac{1}{0.05} = \left\{ \left[ \frac{H_0^2(a_0 r / (\eta_1 r_p))}{H_0^2(a_0 / \eta_1 \rho)} \right]^{\eta_1} \right\}$$

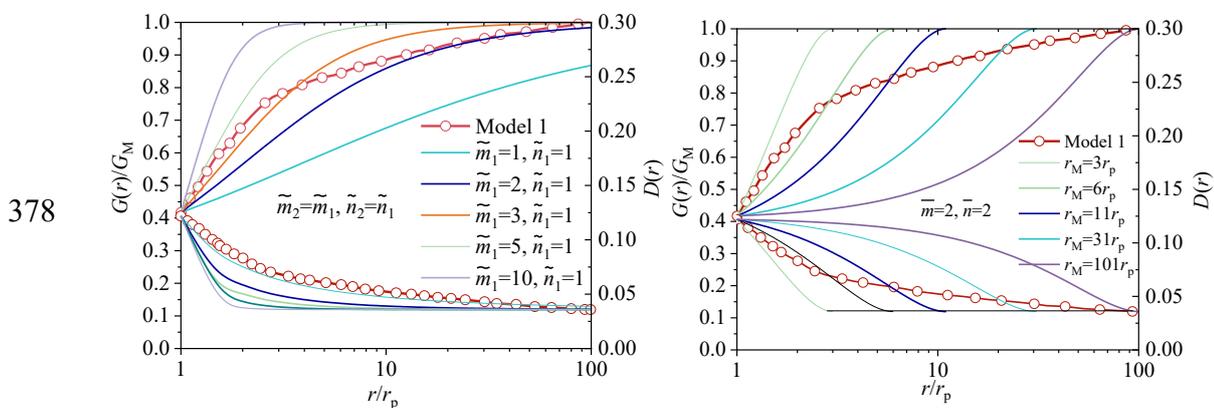
$$\ln \left[ \left(\frac{1}{0.05} - 1\right) / \left(\frac{G_M}{G_1} - 1\right) \right] = \frac{\eta_1}{\eta_1} \ln \frac{H_0^2(a_0 r / (\eta_1 r_p))}{H_0^2(a_0 / \eta_1 \rho)}$$

351 where parameters  $\eta_1$  and  $\eta_1 \rho$  control the disturbed range and variation shape of the  
 352 shear modulus while  $\eta_2$  and  $\eta_2 \rho$  control the soil damping ratio.  $a_0$  is nondimensional  
 353 frequency that has  $a_0 = \omega r_p / V_s$ . Due to the natural decay property of Bessel functions,  
 354 Eq. (33) can automatically satisfy the boundary conditions at pile-soil interface and  
 355 disturbed/undisturbed zone. Note also that Eq. (33) is inspired by the pile-induced soil  
 356 vibration attenuation  $\phi$  on the plane strain condition, and has the following Bessel-type  
 357 form:

358 
$$\phi = \frac{H_0^2(\omega r / V_s)}{H_0^2(\omega r_0 / V_s)} = \frac{H_0^2(a_0 r / r_p)}{H_0^2(a_0)} \quad (34)$$

359 Fig. 7 compares the radial distribution of dynamic properties in disturbed soil among  
 360 Model 1, Model 2 and Model 3 (this present model) when  $a_0=0.5$ . In Fig. 7(a) both  
 361 shear modulus and damping ratio recovers faster as  $r$  increases when the value of  $\eta_1$   
 362 and  $\eta_1 \rho$  increases. The disturbed range for shear modulus becomes narrower from 100  
 363  $r_p$  to around 1.5  $r_p$  when  $\eta_1$  increases from 2 to 50. The exact variation of  $G(r)$  is  
 364 between  $\eta_1=2$  and  $\eta_1=5$ , while the exact variation of  $D(r)$  recovers slower than that

365 at  $\tilde{m}_2=1$  &  $\tilde{n}_2=20$ . Note that the “exact” results are only from a special case when  $a_0$   
366  $=0.5$  and  $\Lambda=0.50$  (refer to Michaelides et al, 1997). For other cases, the radial  
367 distribution may be different in terms of frequency and damage extent at the pile-soil  
368 interface. In Fig. 7(b), it can be seen that the variations of  $G(r)$  and  $D(r)$  produced by  
369 Model 2 differ from the exact results. The dynamic properties from Model 2 recover  
370 slower near the pile and faster in the far field, meaning the results intersect with those  
371 curves from  $r_M=3r_p$  to  $r_M=101r_p$ . The radial variation of shear modulus in Model 2 even  
372 becomes a concave curve when  $r_M$  exceeds  $6r_p$ , which is the opposite from the exact  
373 results in Model 1. Model 2 can produce approximate shear modulus compared with  
374 Model 1 only in the narrow range of  $r < 3r_p$ . Besides that, the variation type in Model 2  
375 could not produce a smooth transition from the disturbed zone to the undisturbed zone.  
376 The results in Fig. 7(a) suggest that this present model can predict results comparable  
377 to Model 1.



379 (a) Comparison of Model 1 and Model 3 (b) Comparison between Model 1 and  
380 Model 2.

381 Fig. 7 Radial distribution of shear modulus and damping ratio in disturbed soil.

382  
383 Fig. 8 and Fig. 9 show the influence of the disturbed range  $r_M$  in Model 2 on the  
384 dynamic impedance of piles under floating and end-bearing conditions, respectively.

385  $\eta\phi = \eta\theta = 2$ . The values of  $G_1$ ,  $G_m$ , and  $D_1$ ,  $D_m$  are identical for the disturbed cases.

386 Evident fluctuation with frequency is observed in Fig. 8 for both the real part and

387 imaginary part of dynamic impedance of the floating pile. Such types of fluctuation

388 were also reported in Yang et al. (2009). For the disturbed cases, significant differences

389 can be found among  $r_M=5r_p$ ,  $r_M=10r_p$ , and  $r_M=100r_p$  when  $a_0<2.5$ . As  $a_0$  continues to

390 increase from 2.5, those differences tend to be insignificant. Furthermore, as the

391 disturbed range increases from  $2r_p$  to  $100r_p$ , the magnitude of fluctuation becomes

392 weaker. When  $r_M>30r_p$ , the influence of the disturbed range can be neglectable even

393 when the frequency is very low. Another observation in Fig. 8(a) is that the pile stiffness

394 for the disturbed case with  $r_M=2r_p$  increases by a minimum of 2.8% to a maximum of

395 7.1% compared to the undisturbed state when  $0<a_0<0.6$ . This seems to contradict the

396 concept that disturbed status should reduce pile stiffness when the loading frequency is

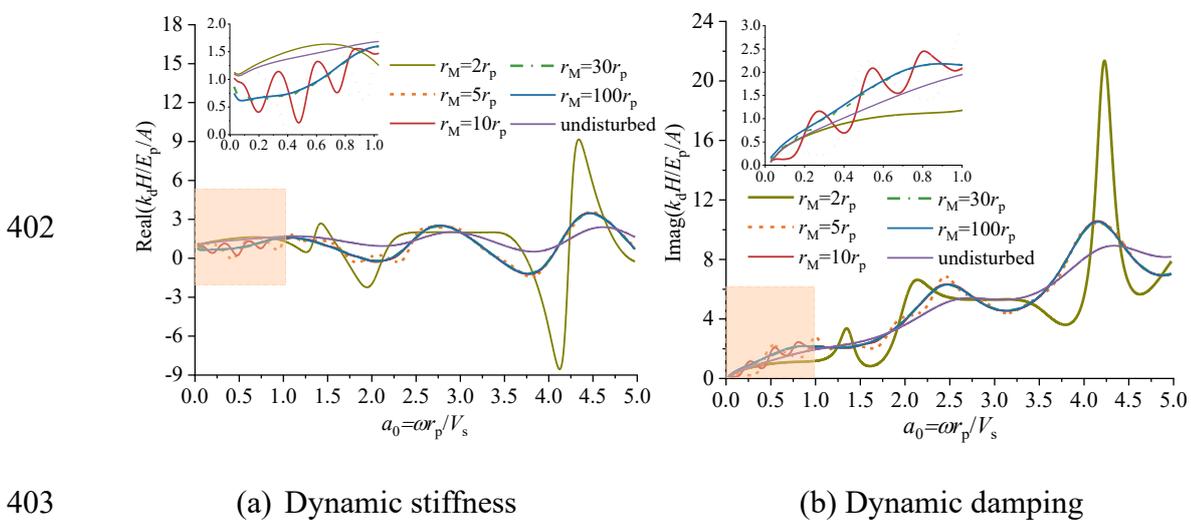
397 very low. In the given range of  $0<a_0<5$ , the maximum value of nondimensional stiffness

398 for the disturbed case with  $r_M=2r_p$ , is 2.8-fold greater than that for the undisturbed case.

399 The abrupt transition of shear modulus between the disturbed and undisturbed zones

400 leads to significant wave reflections in Model 2, which causes energy concentration in

401 the zones near pile.



404 Fig. 8 Effects of disturbed zone on the dynamic impedance of floating pile in Model

405

2.  $E_p/E_s=250, L/r_p=40, \rho_s/\rho_p=0.72, G_1/G_M=0.417, D_1/D_M=3.404.$

406

407

Similar unexpected wave fluctuation phenomenon can be found for the stiffness and

408

damping of the end-bearing pile in Fig. 9. The peak values of nondimensional stiffness

409

in the range  $0 < a_0 < 5$  for undisturbed case and the disturbed case with  $r_M=2r_p$  are around

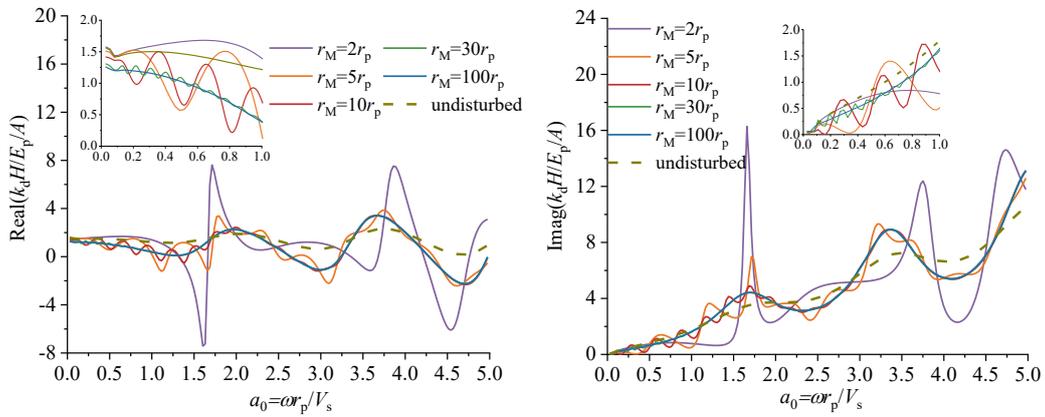
410

2.5 and 7.9, respectively. The results in Fig. 8 and Fig. 9 indicate that the wave

411

reflelection is caused by the lateral boundary instead of pile tip boundary.

412



413

(a) Dynamic stiffness

(b) Dynamic damping

414

Fig. 9 Effects of disturbed zone on the dynamic impedance of end-bearing pile in

415

Model 2.  $E_p/E_s=250, L/r_p=40, \rho_s/\rho_p=0.72. G_1/G_M=0.417, D_1/D_M=3.404.$

416

417

Fig. 10 shows the effect of disturbed zones on the dynamic impedance of a floating

418

pile using the developed model. The parameters are  $\beta_0 = \beta_2 = 2, \beta_0 = \beta_2$ . It can be

419

observed that the variations in dynamic stiffness and damping with frequency of the

420

disturbed cases have similar shapes to the undisturbed case. The additional fluctuation

421

phenomenon is invisible. Decreasing the value of  $\beta_0$  or increasing disturbed range

422

brings greater reduction of dynamic stiffness when the frequency is low ( $a_0 < 0.2$ ). This

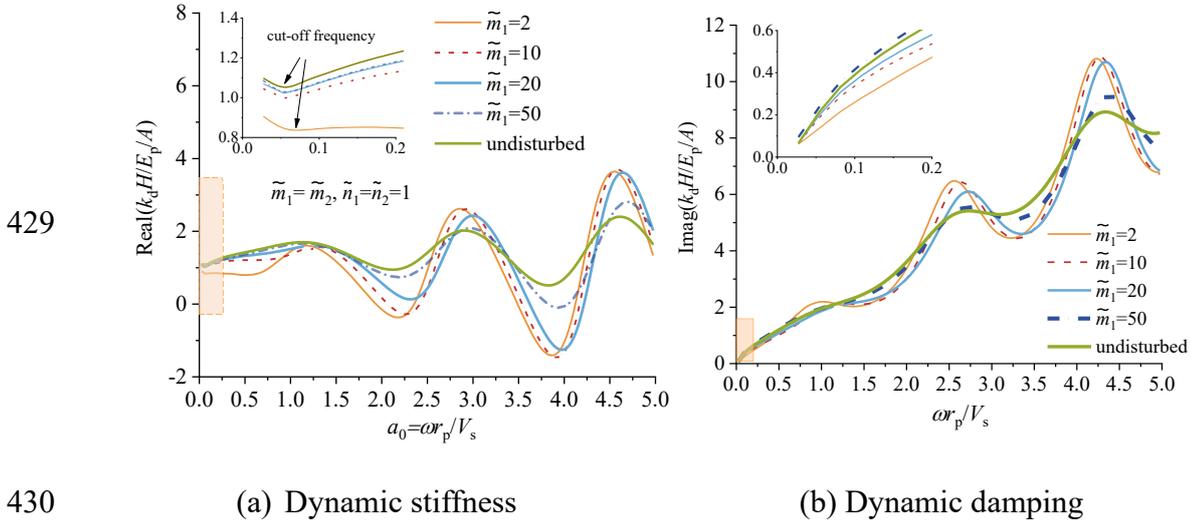
423

model also captures the detail that soil degeneration will slightly decrease the cut-off

424

frequency. The aforementioned features indicate that this present model of radial

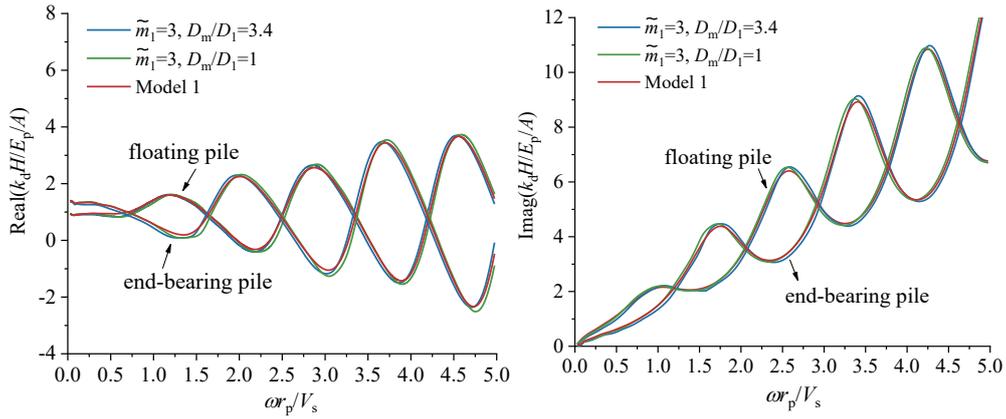
425 distribution is able to obtain accurate results by suppressing wave reflection in the radial  
 426 direction. It is also observed that a small disturbance for  $\tilde{m}_0=50$  (corresponding  
 427 disturbed range is less than  $0.2r_p$ ) results in a maximum reduction of 16.7% in pile  
 428 stiffness within frequencies in the range of  $0 < a_0 < 5$ .



430  
 431 Fig. 10 Effects of disturbed zone on the dynamic impedance of floating pile in this  
 432 model.  $E_p/E_s=250$ ,  $L/r_p=40$ ,  $\rho_s/\rho_p=0.72$ ,  $G_1/G_M=0.417$ ,  $D_1/D_M=3.404$ .

433  
 434 Fig. 11 compares the pile dynamic impedances that are produced by the present  
 435 model and the exact distribution. It shows that the results of the pile dynamic stiffness  
 436 and damping from those two types of distributions generally align well for both the  
 437 end-bearing pile and the floating pile. Minor differences arise due to slight variations  
 438 in the two types of distributions of dynamic soil properties as shown in Fig. 7. Moreover,  
 439 limited variation is observed between  $D_1/D_m=3.4$  and  $D_1/D_m=1$ , which indicates the role  
 440 of soil damping on pile dynamic impedance is less significant than shear modulus.

441



442

(a) Dynamic stiffness

(b) Dynamic damping

443

Fig. 11 Comparisons on the pile dynamic impedance between this model and the

444

exact distribution.  $E_p/E_s=250$ ,  $L/r_p=40$ ,  $\rho_s/\rho_p=0.72$ .  $G_1/G_m=0.417$ .

445

## 446 8 Effects of disturbed depth on dynamic impedance

447

Soil degradation occurs with shear strain, which is influenced by external loading.

448

The disturbed depth does not always extend along the entire length of the pile shaft.

449

Fig. 12 and Fig. 13 show the effects of disturbed depth  $h$  on the dynamic impedances

450

of piles in soft soil ( $E_p/E_s=500$ ) and hard soil ( $E_p/E_s=100$ ), respectively. Soil damping

451

ratio is assumed to be constant in the radial direction. In Fig. 12(a), it is observed that

452

the extension of disturbed zone with depth will impair pile stiffness for the low

453

frequency for  $H=40r_p$ . The reduction caused by soil degradation becomes more

454

significant as  $a_0$  increases. At  $a_0=1$ , the reduction exceeds 50% for  $H=40r_p$ . The

455

dynamic damping of pile impedance continues to increase consistently, with no

456

significant reduction or amplification observed as the disturbed depth varies. When the

457

pile length increases to  $H=80r_p$ , greater pile stiffness and damping are observed. The

458

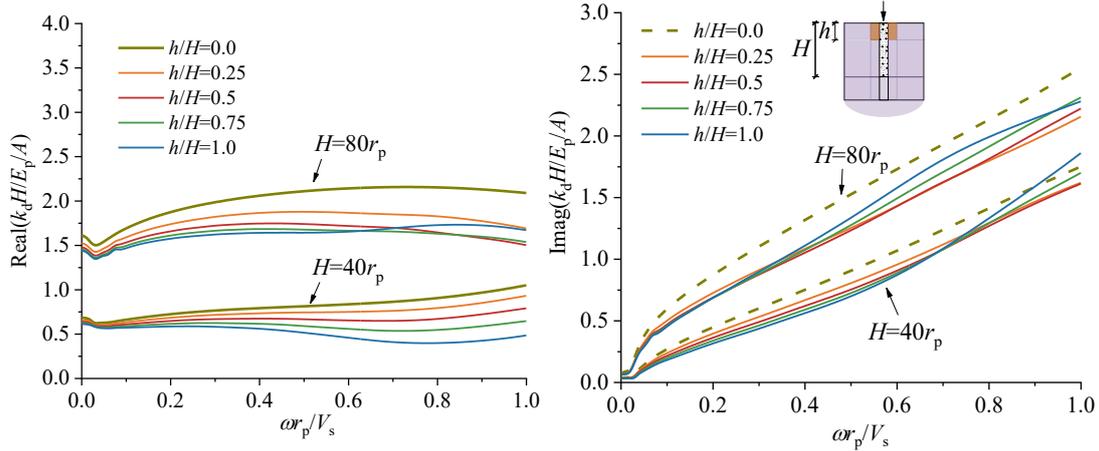
reduction at  $a_0=1$  induced by soil degradation is approximately 20%, much less than

459

that for  $H=40r_p$ , which indicates that the shorter pile in soft soil appears to be more

460

sensitive to the degradation of the surrounding soil.



461

462

(a) Dynamic stiffness

(b) Dynamic damping

463

Fig. 12 Influences of disturbed depth on dynamic impedance for pile in soft soil

464

$(E_p/E_s=500)$ .  $\rho_s/\rho_p=0.72$ .  $G_1/G_m=0.5$ ,  $D_1/D_m=1$ ,  $\eta_1=3$ ,  $\eta_2=1$ ,  $\eta_3=1$ ,  $\eta_4=20$ .

465

466

In Fig. 13, it is observed that the increase in soil stiffness increases the pile

467

impedance and its cut-off frequencies. For the case  $H=80r_p$ , the evolution of disturbed

468

depth leads to a sharp decrease of pile stiffness from  $h/H=0$  to  $h/H=0.25$  while only

469

causing a slight variation from  $h/H=0.25$  to  $h/H=1.0$  at the given frequencies. Similar

470

results can be also observed in Fig. 12, which indicates the soil degradation of shallow

471

layers contributes more significantly to pile impedance. Compared with Fig. 12 and Fig.

472

13, it can be found that the critical depth that affects the pile impedance tends to

473

decrease as pile length and soil modulus increases. For the case of  $E_p/E_s=500$  and

474

$H=40r_p$ , the value of the critical depth  $h$  exceeds  $0.75H$ . The values are approximately

475

$0.25H$  for the cases  $E_p/E_s=100$  and  $H=80r_p$ . In addition, the reduction induced by soil

476

degradation for  $H=80r_p$  is around 29% at  $\omega=1$  while that value is around 26% for

477

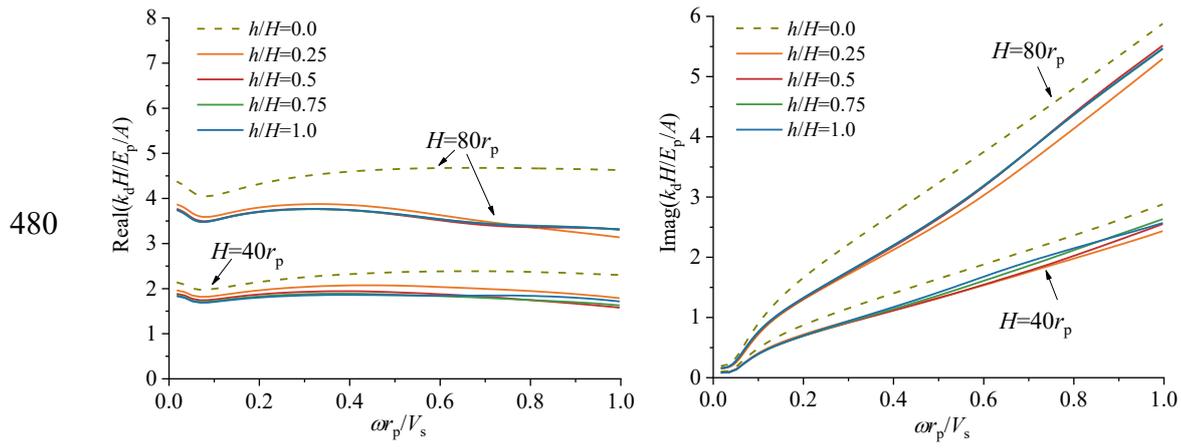
$H=40r_p$ . Compared with the results between Fig. 12 and Fig. 13, it is found that the pile

478

stiffness reduction effects induced by soil degradation are amplified for the long pile in

479

hard soil while they are reduced for short piles in soft soil.



481 (a) Dynamic stiffness (b) Dynamic damping

482 Fig. 13 Influences of disturbed depth on dynamic impedance for pile in hard soil

483  $(E_p/E_s=100)$ .  $\rho_s/\rho_p=0.72$ .  $G_1/G_m=0.5$ ,  $D_1/D_m=1$ ,  $\eta_1=3$ ,  $\eta_2=1$ ,  $\eta_3=1$ ,  $\eta_4=20$ .

484

485 **9 Discussion and conclusions**

486 This paper presents a continuum-based model for the dynamic response of a  
 487 circular cross-section pile embedded in radially weakened soil. The vertical impedance  
 488 of the pile is analyzed, taking into account the soil degeneration in both radial and  
 489 vertical directions. The incorporation of multiple decay functions within the radial soil  
 490 domain facilitates the quantitative representation of diverse disturbance patterns.  
 491 Compared to the exact modulus degradation of the surrounding soil based on  
 492 experimental data, a Bessel-type of distribution of shear modulus demonstrates a better  
 493 fit than a power-type function. Further, the smooth transition of shear modulus in the  
 494 radial direction can help suppress wave reflection.

495 The proposed model provides a satisfactory approximation of the spatial effects of  
 496 weakened soil on the dynamic response of both floating and end-bearing piles. Soil  
 497 stiffness degradation may lead to a more pronounced reduction in the dynamic  
 498 impedance of shorter floating piles in softer soil. The variation of dynamic shear  
 499 modulus has a more significant role in pile dynamic impedance than the damping ratio.

500 Even a minor disturbance can lead to a substantial reduction in pile stiffness at high  
501 frequencies.

502 In essence, the present method employs the concept of the equivalent linear method  
503 to address the issue of dynamic soil-pile interaction. Limitations can be inferred from  
504 the continuity assumptions discussed in *Section 3*. The potential applications of this  
505 study can be categorized into two principal aspects: firstly, it aims to quantify the  
506 nonlinear variation in the stiffness of the surrounding soil in areas where piles are  
507 located, which is crucial for managing piled located in the vicinity of dynamic  
508 excitation sources. Secondly, it seeks to evaluate the impact of soil degradation on the  
509 behavior of superstructures under dynamic loading, a factor that is of significant  
510 importance for mitigating geotechnical risks.

#### 511 **Acknowledgements**

512 This research was financially supported by National Natural Science Foundation  
513 Project (No: 52208370, 42477199), the Fundamental Research Funds for the Central  
514 Universities(No: 2682024CX085); The support of Science Mission Project by Belgium  
515 (FNRS) is also appreciated. The first author would like to express his sincere gratitude  
516 to Professor Olivier Verlinden, Dr. Bryan Olivier and Mr. Kevin NIS at the University  
517 De Mons for invaluable support in the realization of this manuscript.

518

#### 519 **References**

520 Novak M. Dynamic stiffness and damping of piles. *Canadian Geotechnical Journal*,  
521 1974; 4:574-598.

522 Anoyatis G. and Mylonakis G. Dynamic Winkler modulus for axially loaded piles.  
523 *Geotechnique*, 2012; 62: 521-536.

524 Gupta, BK, Basu D. Dynamic analysis of axially loaded end-bearing pile in a  
525 homogeneous viscoelastic soil. *Soil Dynamics and Earthquake Engineering*, 2018;  
526 111:31-40.

527 Gazetas G, Fan K, Kaynia A. Dynamic response of pile groups with different

528 configurations. *Soil Dynamics and Earthquake Engineering*, 1993; 12:239-257.

529 Gan SS, Zheng CJ, Kouretzis G, et al. Vertical vibration of piles in viscoelastic non-  
530 uniform soil overlying a rigid base. *Acta Geotechnica*, 2020; 15:1321–1330.

531 Kanellopoulos K, Gazetas G. Vertical static and dynamic pile-to-pile interaction in  
532 non-linear soil. *Geotechnique*, 2020; 70(2): 432-447.

533 Li ZY, Gao YF. Effects of inner soil on the vertical dynamic response of a pipe pile  
534 embedded in inhomogeneous soil. *Journal of Sound and Vibration*, 2019; 439:129 –  
535 143.

536 Qu LM, Kouroussis G, Lian J, et al. Vertical dynamic interaction and group  
537 efficiency factor for floating pile group in layered soil. *International Journal for*  
538 *Numerical and Analytical Methods in Geomechanics*, 2023; 47(11):1953-1978.

539 Qu LM, Yang CW, XM Ding, et al. A continuum-based model on axial pile-head  
540 dynamic impedance in inhomogeneous soil. *Acta Geotechnica*, 2021; 16: 3339-3353.

541 Salgado R, Seo H, Prezzi M. Variational elastic solution for axially loaded piles in  
542 multilayered soil. *International Journal for Numerical and Analytical Methods in*  
543 *Geomechanics*, 2013; 37(4):423-440.

544 Vallabhan CVG, Mustafa G. A new model for the analysis of settlement of drilled  
545 piers. *International Journal for Numerical and Analytical Methods in Geomechanics*,  
546 1996; 20 :143-152.

547 Zheng CJ, Ding XM, Li P, et al. Vertical impedance of an end-bearing pile in  
548 viscoelastic soil. *International Journal for Numerical and Analytical Methods in*  
549 *Geomechanics*, 2015; 39:676-684.

550 Zheng CJ, Gan SS, Ding XM, et al. Dynamic response of a pile embedded in elastic  
551 half space subjected to harmonic vertical loading. *Acta Mechanica Solida Sinica*, 2017;  
552 30(6):668-673.

553 Dai DH, El Naggar MH, Zhang N. Vertical vibration of a pile embedded in radially  
554 disturbed viscoelastic soil considering the three-dimensional nature of soil. *Computers  
555 and Geotechnics*, 2019;111: 172-180.

556 Veletsos A, Dotson K. Vertical and Torsional Vibration of Foundations in  
557 Inhomogeneous Media. *Journal of Geotechnical Engineering*, 1988; 144(9):1002-1021.

558 Yang DY, Wang KH, Zhang ZQ, et al. Vertical dynamic response of pile in a radially  
559 heterogeneous soil layer. *International Journal for Numerical and Analytical Methods  
560 in Geomechanics*. 2009; 33:1039–1054.

561 El Naggar MH. Vertical and torsional soil reactions for radially inhomogeneous soil  
562 layer. *Structural Engineering and Mechanics*. 2000; 10(4): 299-312

563 Cai YY, Liu ZH, Li TB, et al. Vertical dynamic response of a pile embedded in  
564 radially inhomogeneous soil based on fictitious soil pile model. *Soil Dynamics and  
565 Earthquake Engineering*, 2020; 132: 106038.

566 Michaelides O, Gazetas G, Bouckovalas G, et al. Approximate non-linear dynamic  
567 axial response of piles. *Geotechnique*, 1987; 48(1): 33-53.

568 Michaelides O, Bouckovalas G and Gazetas G. Non-linear soil properties and  
569 impedances for axially vibrating pile elements. *Soils and Foundations*, 1998; 38(3):  
570 129-142.

571 Guo WD. Visco-elastic load transfer models for axially loaded piles. *International  
572 Journal for Numerical and Analytical Methods in Geomechanics*, 2000; 24(2):135–63.

573 Militano G, Rajapakse RK. Dynamic response of a pile in a multi-layered soil to  
574 transient torsional and axial loading. *Geotechnique*, 1999; 49(1):91–109.

575 Li ZY, Wang KH, Wu WB, Leo CJ, Wang N. Vertical vibration of a large-diameter  
576 pipe pile considering the radial inhomogeneity of soil caused by the construction  
577 disturbance effect. *Computers and Geotechnics*, 2017; 85:90–102.

578 Han YC. Dynamic Vertical response of piles in nonlinear soil. Journal of  
579 Geotechnical and Geoenvironmental Engineering, 1997; 123(8): 710-716.

580 Anoyatis G, François S, Orakci O, et al. Soil–pile interaction in vertical vibration in  
581 inhomogeneous soils. Earthquake Engineering and Structure Dynamics, 2023; 52(14):  
582 4582-4601.

583 Wu WB, YP Zhang YP. A review of pile foundations in viscoelastic medium:  
584 dynamic analysis and wave propagation modeling. Energies, 2022; 15(24):9432.

585 Yang ZJ, Wu WB, Liu H, et al. Flexible support of a pile embedded in unsaturated  
586 soil under Rayleigh waves. Earthquake Engineering & Structural Dynamics, 2023;  
587 52(1): 226-247.

588 Traub JF. Iterative methods for the solution of equations. Prentice-Hall, Englewood  
589 Cliffs, NJ, 1964.

590 Moccari M, Lotfi T. On a two-step optimal Steffensen-type method: Relaxed local  
591 and semi-local convergence analysis and dynamical stability. Journal of Mathematical  
592 Analysis and Applications, 2018; 468(1):240-269.

593