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The performance of missing transverse momentum reconstruction and its significance with the ATLAS detector using 140 fb^{-1} of $\sqrt{s} = 13 \text{ TeV}$ pp collisions

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Abstract This paper presents the reconstruction of missing transverse momentum (p_T^{miss}) in proton–proton collisions, at a center-of-mass energy of 13 TeV. This is a challenging task involving many detector inputs, combining fully calibrated electrons, muons, photons, hadronically decaying τ -leptons, hadronic jets, and soft activity from remaining tracks. Possible double counting of momentum is avoided by applying a signal ambiguity resolution procedure which rejects detector inputs that have already been used. Several p_T^{miss} ‘working points’ are defined with varying stringency of selections, the tightest improving the resolution at high pile-up by up to 39% compared to the loosest. The p_T^{miss} performance is evaluated using data and Monte Carlo simulation, with an emphasis on understanding the impact of pile-up, primarily using events consistent with leptonic Z decays. The studies use 140 fb^{-1} of data, collected by the ATLAS experiment at the Large Hadron Collider between 2015 and 2018. The results demonstrate that p_T^{miss} reconstruction, and its associated significance, are well understood and reliably modelled by simulation. Finally, the systematic uncertainties on the soft p_T^{miss} component are calculated. After various improvements the scale and resolution uncertainties are reduced by up to 76% and 51%, respectively, compared to the previous calculation at a lower luminosity.

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1 Introduction

Missing transverse momentum (p_T^{miss} , also referred to as E_T^{miss} or MET) is a crucial observable for the ATLAS experiment at the Large Hadron Collider (LHC). It is an experimental proxy for the transverse momentum carried by undetected particles produced in proton–proton (pp) collisions recorded by the ATLAS detector [1]. As such, the p_T^{miss} is the magnitude of the 2-dimensional momentum vector, $\mathbf{p}_T^{\text{miss}}$, defined transverse to the proton beam direction. The p_T^{miss} in a given collision event is constructed, using the principle of momentum conservation, from the reconstructed hard

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objects¹ and recorded tracks in the final state. A non-zero value of ‘real’ p_T^{miss} can indicate not just the production of Standard Model (SM) neutrinos, but potentially the production of certain beyond-SM particles like dark matter, which are stable on detector scales and would escape ATLAS undetected. Reconstructing p_T^{miss} is a challenging pursuit, since all detector subsystems are involved, and a highly unambiguous representation of all of the hard objects formed in the hard scatter interaction of interest is required – including calorimeter, tracker and muon spectrometer signals. This representation is obscured by detector resolution and acceptance limitations, object mis-measurement, calibration errors, and signal remnants from additional pp interactions occurring in the same – or neighbouring – LHC bunch crossings relative to the triggered hard-scatter event (pile-up). All of these effects cause ‘fake’ p_T^{miss} , which ATLAS aims to minimise.

To date, ATLAS’s approaches to p_T^{miss} reconstruction have prioritised minimising the impact of pile-up. These were designed based on the data recorded between 2010 and 2012 (Run 1) [2, 3], and substantially re-developed using data collected in 2015 (the first year of Run 2), as described in Ref. [4]. These approaches provide a basis for the p_T^{miss} reconstruction utilised for the full 2015–2018 dataset (Run 2), described in this paper alongside evaluations of its performance and systematic uncertainties. In comparison to Run 1, there are two major improvements in p_T^{miss} reconstruction: first, the move from using calorimeter to tracker information to form the soft component of the p_T^{miss} as default increases pile-up resilience. Second, the change to a dynamic approach to p_T^{miss} reconstruction – such that it is calculated based on the choice of reconstructed and calibrated hard objects considered in any given analysis – leads to more consistency within an analysis and p_T^{miss} reconstruction to exploit any improvements to hard object calibrations. The second development is discussed in more detail in Ref. [5]. Furthermore, improvements since early Run 2 [4] come from the introduction of the particle flow jet algorithm [6], which combines calorimeter and tracking information, and the development of multiple p_T^{miss} working points, which place varying requirements on jets used to build the p_T^{miss} to reduce pile-up contamination, and are each better-suited to different event topologies. Moving from the loosest to tightest working point improves the p_T^{miss} resolution by 14–39% for $Z \rightarrow \mu\mu$ MC simulated events with average interactions per bunch crossing exceeding 30. This is countered by a degradation in p_T^{miss} response up to 15%, and an increase in p_T^{miss} bias from 7–35%, in $Z \rightarrow \mu\mu$ MC simulated events when changing from the loosest to tightest working point. The modelling and performance of p_T^{miss} is studied in event topologies that permit

a focus on the impacts of pile-up, fake p_T^{miss} and the new developments related to jets. The larger dataset allows for more consideration of the dependence of systematic uncertainties in the scale and resolution of the soft component of the p_T^{miss} on the component of p_T^{miss} built from hard objects. The uncertainty values in $Z \rightarrow ee$ events reduce throughout the kinematic range considered, in comparison to preliminary results in Ref. [7] when using particle flow, with these improvements. Scale uncertainties are reduced by up to 76% and resolution uncertainties are reduced by up to 51%. Finally, a sophisticated p_T^{miss} significance variable was also developed using an object-based approach which significantly improves discrimination between events with real and fake p_T^{miss} . This variable has been widely used in ATLAS searches, for example Refs. [8, 9].

This paper is organised as follows. A brief overview of the ATLAS detector is provided in Sect. 2. The data and Monte Carlo simulation samples used in the paper are detailed in Sect. 3, followed by an outline of the hard object and event selections used in Sects. 4 and 5 respectively. The reconstruction of p_T^{miss} , and other kinematic variables associated with it, is described in Sect. 6. The results of p_T^{miss} performance studies are presented in Sect. 7. In Sect. 8, the methodology of the p_T^{miss} systematic uncertainties calculation, and the results of their measurement, are detailed. Finally, the p_T^{miss} significance is introduced – and its performance studied – in Sect. 9.

2 ATLAS detector

The ATLAS experiment [1] at the LHC is a multi-purpose particle detector with a forward-backward symmetric cylindrical geometry and a near 4π coverage in solid angle.² It consists of an inner tracking detector (ID) surrounded by a thin superconducting solenoid providing a 2T axial magnetic field, electromagnetic and hadron calorimeters, and a muon spectrometer (MS). The ID covers the pseudorapidity range $|\eta| < 2.5$. It consists of silicon pixel, silicon microstrip, and transition radiation tracking detectors. Lead/liquid-argon (LAr) sampling calorimeters provide electromagnetic (EM) energy measurements with high granularity. A hadron (steel/scintillator-tile) calorimeter covers the central pseudorapidity range $|\eta| < 1.7$. The end-cap and forward regions are instrumented with LAr calorimeters for both

² ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z -axis along the beam pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upwards. Polar coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln \tan(\theta/2)$ and is equal to the rapidity $y = \frac{1}{2} \ln \left(\frac{E+p_z c}{E-p_z c} \right)$ in the relativistic limit. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta y)^2 + (\Delta \phi)^2}$.

¹ ‘Hard objects’ here refer to the outputs of reconstruction algorithms applied to detector signals, which are candidates to be electrons, muons, jets, hadronically-decaying taus, and photons.

Table 1 Simulated SM event samples with the corresponding matrix element and parton shower generators, cross-section order in α_s (and α_{EW} if corrections are used) used to normalise the event yield, underlying-event tune and the generator PDF sets used. $Z \rightarrow \ell\ell$ SHERPA2.2.1 is used for the derivation of systematic uncertainties only

Physics process	Generator (ME)	Parton shower	Normalisation	Tune	PDF (ME)	PDF (PS)
$t\bar{t}$	POWHEG Boxv2 [25–28]	PYTHIA8.230 [29]	NNLO+NNLL [30]	A14 [31]	NNPDF3.0nlo [32]	NNPDF2.3lo [23]
Single top (Wt)	POWHEG Boxv2	PYTHIA8.230	NLO [33,34]	A14	NNPDF3.0nlo	NNPDF2.3lo
$Z \rightarrow \ell\ell$ (SHERPA)	SHERPA2.2.11 [35,36]	SHERPA2.2.11	NNLO [37]	SHERPA default [38]	NNPDF3.0nlo [32]	NNPDF3.0nlo [32]
$Z \rightarrow \ell\ell$ (POWHEG)	POWHEG Boxv1 [26–28,39]	PYTHIA8.186 [22]	NLO [20,40,41]	AZNLO [42]	CT10nlo [43]	CTEQ6L1 [44]
$Z \rightarrow \ell\ell$ (MADGRAPH)	MADGRAPH5_AMC@NLO2.2.2[45]	PYTHIA8.186	NNLO [46]	A14	NNPDF3.0nlo	NNPDF2.3lo
WW, WZ, ZZ	POWHEG Boxv2 [26–28]	PYTHIA8.186	NLO	AZNLO	CT10nlo	CTEQ6L1
$W \rightarrow \ell\nu$ (SHERPA)	SHERPA2.2.1 [35,36]	SHERPA2.2.1	NNLO [37]	SHERPA default [38]	NNPDF3.0nlo [32]	NNPDF3.0nlo [32]
$t\bar{t}V$	MADGRAPH5_AMC@NLO2.3.3	PYTHIA8.210	NLO	A14	NNPDF3.0nlo	NNPDF2.3lo
WW, WZ, ZZ	POWHEG Boxv2 [26–28]	PYTHIA8.186	NLO	AZNLO	CT10nlo	CTEQ6L1

EM and hadronic energy measurements up to $|\eta| = 4.9$. The MS surrounds the calorimeters and is based on three large air-core toroidal superconducting magnets with eight coils each. The field integral of the toroids ranges between 2.0 and 6.0T m across most of the detector. The MS includes a system of precision tracking chambers and fast detectors for triggering. A two-level trigger system is used to select events. The first-level trigger is implemented in hardware and uses a subset of the detector information to reduce the accepted rate to at most nearly 100kHz. This is followed by a software-based trigger that reduces the accepted event rate to 1kHz on average depending on the data-taking conditions. An extensive software suite [10] is used in data simulation, in the reconstruction and analysis of real and simulated data, in detector operations, and in the trigger and data acquisition systems of the experiment.

3 Data and simulation samples

The proton–proton collisions analysed in this paper were collected between 2015 and 2018, at a centre-of-mass energy of $\sqrt{s} = 13$ TeV and a 25 ns inter-bunch spacing. They correspond to an integrated luminosity of 140 fb^{-1} , with an uncertainty of 0.83% [11] obtained using the LUCID-2 detector [12] for the primary luminosity measurements, complemented by measurements using the inner detector and calorimeters.

In any given data-taking period, the unrescaled single-lepton triggers with the lowest p_T , ID or isolation thresholds were used [13–15]. These thresholds ranged from 20 GeV to 140 GeV, with the lowest trigger threshold for electrons (muons) at $p_T = 24$ GeV ($p_T = 20$ GeV). The offline lepton selection was kept more stringent than the trigger-level requirement to ensure that trigger efficiencies are constant.

Simulated events are used to model the SM processes considered in this paper. The Monte Carlo (MC) simulated events were processed through a full simulation of the ATLAS detector [16] based on GEANT4 [17]. All samples used are listed in Table 1 along with the relevant parton distribution function (PDF) sets used for the matrix element (ME) and parton shower (PS), the configuration of underlying-event and hadronisation parameters (tune), and the cross-section order in α_s (and α_{EW} if corrections are used) used to normalise the event yields for these samples. Further information on the ATLAS simulations of $t\bar{t}$, single-top-quark (Wt), multiboson and vector-boson plus jets processes can be found in the relevant public notes [18–21].

The effect of pile-up in the same and neighbouring bunch crossings was modelled by overlaying the simulated hard-scattering event with inelastic proton–proton events generated with PYTHIA8.186 [22] using the NNPDF2.3lo set of parton distribution functions (PDF) [23] and the A3 set of

tuned parameters [24]. The MC samples were reweighted so that the distribution of the average number of interactions per bunch crossing reproduces the observed distribution in the data.

4 Object selection

This section describes the hard object selection for building p_T^{miss} for the performance studies in this paper. It is emphasised that other ATLAS papers may use different selection requirements to define the hard objects used to reconstruct p_T^{miss} , which is made possible by the sophisticated software model described in Ref. [5]. Photons and hadronically decaying τ -leptons (τ_{had}) can be included in the p_T^{miss} calculation, as described in Sect. 6. However, since this paper focuses on topologies where they aren't featured (to instead focus on the impact of jets, pile-up and fake p_T^{miss}), they aren't included here.

ID hits are used to reconstruct tracks originating from a particular collision vertex [47]. Both the tracks themselves and the vertices they are associated with must satisfy basic quality requirements to be accepted, detailed in Ref. [47]. Tracks are required to have $p_T > 400$ MeV. Vertices are constructed from at least two tracks that satisfy requirements on the transverse impact parameter $|d_0| < 1.5$ mm, and for the longitudinal impact parameter $|z_0 \sin \theta| < 1.5$ mm, relative to the candidate vertex. A requirement is also placed on the number of hits in the ID. Amongst the primary vertices in a given event, that with the largest sum of p_T^2 of tracks associated with it is defined as the hard-scatter vertex. Typically, each event has many reconstructed primary vertices (N_{PV}), and so N_{PV} can be used as a measure of the amount of pile-up coming from other collisions in the same bunch crossing (in-time pile-up). In comparison, the average number of interactions per bunch crossing (μ) – averaged over data in a time interval with assumed constant experimental conditions – relates more to the out-of-time pile-up coming from collisions in neighbouring bunch crossings.

Electrons are reconstructed using calibrated EM calorimeter clusters of energy depositions which are matched to an ID track. A likelihood-based identification algorithm is built using both the calorimeter and tracking information, as described in Ref. [48]; electrons are required to satisfy the `Tight` Working Point defined therein. In addition, electrons must have $p_T > 25$ GeV and $|\eta| < 1.37$ or $1.52 < |\eta| < 2.47$. To ensure consistency with the hard-scatter vertex, their impact parameters must satisfy $|d_0| < 5.0$ mm and $|z_0 \sin \theta| < 0.5$ mm. Finally, contributions from semileptonic hadron decays and jets misidentified as electrons are minimised by applying p_T -dependent isolation requirements: the `Tight` Working Point is used, as defined in Ref. [48].

Muon reconstruction combines ID tracks with muon spectrometer (MS) tracks, and requires that muons possess $p_T > 25$ GeV and $|\eta| < 2.5$. The number of hits in the ID and MS sub-detectors – along with the significance of the charge-to-momentum ratio – are used to create the muon identification algorithm [49]. Muons must satisfy the `Medium` identification Working Point defined in Ref. [49]. To suppress muons originating from secondary vertices, the muons' transverse impact parameters must satisfy $|d_0| < 3.0$ mm and $|z_0 \sin \theta| < 0.5$ mm. As with electrons, isolation requirements are applied to reduce contributions from semileptonic hadron decays and misidentified jets. These are defined in Ref. [49], considering for this paper the `Tight_VarRad` isolation working point.

The default reconstruction algorithm supported for jets in ATLAS is Particle Flow (PFlow) [6]. This combines information from both the calorimeters and ID to provide improved performance compared with reconstructing jets solely from calorimeter information. A second – calorimeter-based – algorithm, EMTopo [50], was previously the default algorithm, and is still used in a few cases such as long-lived particle searches where PFlow's track use is suboptimal. More details of EMTopo jets, and the modelling and performance that result from using them to build p_T^{miss} , are given in Appendix B.

Particle Flow jets [6] combine ID and calorimeter measurements to reconstruct the energy flow of the event to improve jet energy resolution at low p_T . Three-dimensional topological clusters (topo-clusters) of calorimeter energy deposits are used. Tracks are used to calculate an estimate for the momentum in cases when the tracker resolution is better than the calorimeter resolution, avoiding use of calorimeter energy deposits stemming from charged pile-up. The algorithm produces two kinds of jet constituent objects from the topo-clusters and tracks: charged particle flow objects which each derive primarily from one ID track associated with the hard-scatter vertex, and neutral particle flow objects each derived from a topo-cluster. The anti- k_t algorithm [51] is used with a radius parameter of $R = 0.4$, taking the charged and neutral particle flow objects as inputs. The algorithm also improves the jet reconstruction efficiency and increases the accuracy of the jet direction in the (η, ϕ) plane.

Requirements of $p_T > 20$ GeV and $|\eta| < 4.5$ are made on the calibrated PFlow jets. After reconstruction and calibration, PFlow jets with $p_T < 60$ GeV and $|\eta| < 2.4$ are filtered further using the Jet Vertex Tagger (JVT) algorithm to select those originating from the hard-scatter, as detailed in Ref. [52]. This tagger is designed to remove pile-up jets in favour of hard-scatter primary vertex jets, with a 96% efficiency of correctly identifying hard-scatter jets for the requirements chosen here. The JVT algorithm uses a likelihood discriminant based on observables derived from the tracks matched to each jet, to produce a JVT score ranging from 0 (pile-up-

Table 2 Kinematic requirements defining the $Z \rightarrow \mu\mu$, $Z \rightarrow ee$, $t\bar{t}$ and $W \rightarrow \mu\nu$ event selections

Variable	$Z \rightarrow \mu\mu$ ($Z \rightarrow ee$)	$t\bar{t}$	$W \rightarrow \mu\nu$
Electron multiplicity	0 (2)	0	0
Muon multiplicity	2 (0)	1	1
Triggering lepton p_T [GeV]	> 30	> 30	> 30
Second lepton p_T [GeV]	> 20	–	–
$ m_{ll} - m_Z $ [GeV]	Performance: < 15, systematics: < 20	–	–
m_T [GeV]	–	–	> 40
Jet multiplicity	–	≥ 4	–
b -tagged jet multiplicity	–	≥ 1	–

like), to 1 (hard-scatter-like). These consider, for example, the fraction of p_T carried by tracks matched to a given jet that come from the hard-scatter vertex. PFlow jets are associated to the hard-scatter interaction by requiring a JVT score greater than 0.5. Jets outside this p_T and η range are considered for analysis without extra requirements.

For event selection purposes, a b -tagging algorithm is applied to jets with $p_T > 20$ GeV and $|\eta| < 2.5$, to identify those likely to have originated from a b -quark. The DL1 algorithm described in Ref. [53] is used, with a 77% efficiency working point.

Finally, Sect. 6.4 will introduce a set of p_T^{miss} working points. Each places a different selection on the jets entering the p_T^{miss} calculation, which should be considered in addition to the selections described here.

5 Event selection

Several event topologies are considered in this paper. For most studies, a $Z \rightarrow ee/Z \rightarrow \mu\mu$ selection is used, but $t\bar{t}$ and $W \rightarrow \mu\nu$ selections are also considered to inspect events with more hadronic activity and real p_T^{miss} . Events are removed if they contain at least one jet failing to meet the `BadLoose` criteria defined in Ref. [54]. For all topologies, events require one lepton to match the fired single-lepton trigger, and said lepton is then required to have $p_T > 30$ GeV to ensure trigger efficiencies have plateaued. A summary of the event selections described below is also given in Table 2.

The $Z \rightarrow ee$ and $Z \rightarrow \mu\mu$ topologies are ideal to study fake p_T^{miss} , since the dominant Drell-Yan process contains no real sources of p_T^{miss} and they have a high production cross-section. For the $Z \rightarrow ee$ ($Z \rightarrow \mu\mu$) selection, the event must contain exactly two oppositely-charged electrons (muons) and zero muons (electrons) passing the object selection criteria in Sect. 4. The invariant mass of the two leptons in the event (m_{ll}) must be consistent with a decay from a Z boson by requiring $|m_{ll} - m_Z| < 15$ GeV. For the systematic uncertainty calculation this is loosened to $|m_{ll} - m_Z| < 20$ GeV to reduce statistical uncertainties.

To select $t\bar{t}$ events, a semileptonic $t\bar{t}$ decay (one top quark decays hadronically and the other to a muon, neutrino and b -quark) is targeted to ensure there is real p_T^{miss} in the final state in addition to substantial hadronic activity. To reduce backgrounds where jets are falsely reconstructed as electrons, exactly one muon is required and zero electrons. Events are required to have at least one b -tagged jet, and at least four jets overall.

$W \rightarrow \mu\nu$ events are selected by requiring exactly one muon and zero electrons. The transverse mass³ of the muon and p_T^{miss} , which bounds the mass of the decaying W boson, is required to be at least 40 GeV.

6 p_T^{miss} reconstruction

Missing transverse momentum reconstruction in ATLAS consists of two aspects. The first, p_T^{hard} , comprises *hard-event* signals in the form of reconstructed and calibrated ‘hard objects’: electrons, photons, τ -leptons, muons and jets. The second aspect (p_T^{soft}) comes from *soft-event* signals, and currently consists of reconstructed charged-particle tracks that are associated with the hard-scatter vertex but not associated with a hard object.

The procedures implemented by ATLAS to transform the set of detector signals for each event into each type of reconstructed hard object are independent. This implies that the same detector signals could be used multiple times in an event, for example the same calorimeter deposit could be used to reconstruct both an electron and a jet. When reconstructing p_T^{miss} , this can cause double counting of contributions to an event’s transverse momentum, leading to an artificial momentum imbalance and fake p_T^{miss} . This is resolved by the explicit *signal ambiguity resolution* in the object-based

³ Transverse mass is defined as $m_T = \sqrt{2p_T^{\text{miss}} p_T^\mu (1 - \cos \phi)}$, with ϕ as the angle between the p_T^{miss} and the muon, and taking the muon to be massless.

p_T^{miss} reconstruction introduced originally in Refs. [2–4] and described in Sect. 6.3. Ultimately, the p_T^{miss} is built from a set of mutually exclusive detector signals.

6.1 p_T^{miss} introduction

The reconstruction of missing transverse momentum builds a set of observables from the 2-dimensional transverse momentum vectors ($\mathbf{p}_T = (p_x, p_y)$) of the various event constituents. The missing transverse momentum vector $\mathbf{p}_T^{\text{miss}} = (p_x^{\text{miss}}, p_y^{\text{miss}})$ is the first of these observables, and is given by:

$$p_T^{\text{miss}} = - \left(\underbrace{\sum_{\text{selected electrons}} p_T^e + \sum_{\text{accepted photons}} p_T^\gamma + \sum_{\text{accepted } \tau\text{-leptons}} p_T^\tau + \sum_{\text{selected } \mu} p_T^\mu}_{\text{hard term}} + \underbrace{\sum_{\text{accepted jets}} p_T^{\text{jet}} + \sum_{\text{unused tracks}} p_T^{\text{track}}}_{\text{soft term}} \right). \tag{1}$$

The second is the scalar sum of all transverse momenta ($p_T = |\mathbf{p}_T|$) of the p_T^{miss} reconstruction constituent objects, which is given by

$$\sum p_T = \underbrace{\sum_{\text{selected electrons}} p_T^e + \sum_{\text{accepted photons}} p_T^\gamma + \sum_{\text{accepted } \tau\text{-leptons}} p_T^\tau + \sum_{\text{selected } \mu} p_T^\mu}_{\text{hard term}} + \underbrace{\sum_{\text{accepted jets}} p_T^{\text{jet}} + \sum_{\text{unused tracks}} p_T^{\text{track}}}_{\text{soft term}}. \tag{2}$$

This quantity is useful to calculate in addition to p_T^{miss} . It presents an overall scale for evaluating the hardness of a hard-scatter event in the transverse plane, thus providing a measure of the event activity in physics analyses and p_T^{miss} reconstruction performance studies.

In both the p_T^{miss} and $\sum p_T$ definitions, the selected hard objects are chosen by the user, and allow the interpretation of each event to be consistent in a given analysis. The object selections used specifically for the performance studies in this paper were described in Sect. 4. Each reconstructed particle and jet has its own dedicated calibration translating detector signals into a fully corrected four-momentum. Therefore, for example, rejecting certain electrons in a given analysis can change both the p_T^{miss} and $\sum p_T$, if the corresponding calorimeter signal is included and is calibrated as a jet or a significant part of a jet. This also means that systematic uncertainties for the different particles can be consistently propagated into p_T^{miss} . In Eqs. (1) and (2), the term *selected*, only applicable to electrons and muons, means that the choice of reconstructed particles is given purely by a set of analysis-chosen criteria. On the other hand, *accepted* implies that the initially selected set of particles has been potentially modified by the signal ambiguity resolution procedure (described in Sect. 6.3) or added requirements placed on jets in a given p_T^{miss} ‘working point’ (see Sect. 6.4).

The phrase ‘unused tracks’ in Eqs. (1) and (2) refers to ID tracks associated with the hard-scatter vertex but not with any hard object added to the p_T^{miss} sum. These are used to calculate the soft-event signal, p_T^{soft} , as discussed in more detail in Sect. 6.5. As seen in the formulae, observables are also constructed individually for each ‘term’ of p_T^{miss} coming from each object type.

As part of the signal ambiguity resolution procedure, an ordered sequence is defined for prioritising adding contributions to the p_T^{miss} sum, following the order of terms in Eq. (1). This is explained in detail in Sect. 6.3.

Other observables reconstructed from $p_{x(y)}^{\text{miss}}$ include:

$$p_T^{\text{miss}} = |\mathbf{p}_T^{\text{miss}}| = \sqrt{(p_x^{\text{miss}})^2 + (p_y^{\text{miss}})^2}, \text{ and}$$

$$\phi^{\text{miss}} = \begin{cases} \tan^{-1}(p_y^{\text{miss}}/p_x^{\text{miss}}) & \text{if } p_x^{\text{miss}} > 0 \\ \tan^{-1}(p_y^{\text{miss}}/p_x^{\text{miss}}) + \pi & \text{if } p_x^{\text{miss}} < 0 \text{ and } p_y^{\text{miss}} \geq 0 \\ \tan^{-1}(p_y^{\text{miss}}/p_x^{\text{miss}}) - \pi & \text{if } p_x^{\text{miss}} < 0 \text{ and } p_y^{\text{miss}} < 0 \\ \text{indeterminate} & \text{if } p_x^{\text{miss}} = 0 \text{ and } p_y^{\text{miss}} = 0 \\ \frac{y\pi}{|y|2} & \text{if } p_x^{\text{miss}} = 0 \text{ and } p_y^{\text{miss}} \neq 0. \end{cases}$$

The magnitude of the $\mathbf{p}_T^{\text{miss}}$ vector gives the amount of missing transverse momentum; its direction in the transverse plane, or azimuthal angle, is given by ϕ^{miss} .

Finally, the truth (generator level) p_T^{miss} in MC simulations, $p_T^{\text{miss, true}}$ (magnitude of the 2-dimensional vector $\mathbf{p}_T^{\text{miss, true}}$), is often used in performance studies. This is defined by the vector sum of transverse momenta of stable, invisible particles produced in the final state at generator (hadron) level.

6.2 Object association

The p_T^{miss} reconstruction sum and the signal ambiguity resolution procedure rely on knowing which hard objects each

track, topo-cluster and particle-flow object in an event are associated with, in order to determine where there is overlap that must be resolved. Full details of this initial object association procedure, and the sophisticated software used to implement it, are detailed in Ref. [5]. Specifics of the ATLAS Run 2 workflow to initialise object associations for p_T^{miss} reconstruction before applying the signal ambiguity resolution procedure are given here.

The p_T^{miss} reconstruction algorithm considers the same original ID tracks to be associated with a muon as the muon reconstruction algorithm [49] (with the track momentum taken from the combination of the ID and MS tracks). Topo-clusters, or neutral particle-flow objects, are only considered to be associated with a muon if it is likely they are a result of the muon's calorimeter energy-loss. A "muon cluster" is defined from the calorimeter cells crossed by the muon track, and if the total energy this shares with a given topo-cluster exceeds 20%, then the topo-cluster is deemed to be associated with the muon. ID tracks associated with electrons and photons during their reconstruction [48] are again considered associated for p_T^{miss} reconstruction.

Clusters used in electron and photon reconstruction are not the same as the topo-clusters used for jet reconstruction. However, they are derived from them, and thus can be matched to them.⁴ For topo-clusters within $\Delta R < 0.1$ of an electron/photon cluster, the subset of N topo-clusters best matching the electron/photon cluster energy are chosen, in order to avoid spurious matches. This 'best-matching' procedure is ordered in decreasing p_T topo-clusters, and considers topo-cluster i energy $E_{\text{topo},i}$ and electron/photon cluster energy $E_{e/\gamma}$. If $E_{\text{topo},i} < 1.5 \times E_{e/\gamma}$, and if $|\sum_{i=1}^n E_{\text{topo},i} - E_{e/\gamma}| < |\sum_{i=1}^{n-1} E_{\text{topo},i} - E_{e/\gamma}|$ for the $n \leq N$ topo-clusters so far considered, then topo-cluster i is associated with the electron/photon. If no topo-clusters have $E_{\text{topo},i} < 1.5 \times E_{e/\gamma}$ then only the topo-cluster with energy closest to the electron/photon is associated with it.

Neutral PFlow objects are associated with electrons and photons using the same procedure as topo-clusters. Charged PFlow objects are constructed from an ID track and inherit their associations from this track.

Hadronically-decaying τ -leptons are associated with topo-clusters and tracks when they are reconstructed (more detail can be found in Ref. [55]). If using particle flow for p_T^{miss} , the calibration of topo-clusters may be different to the τ -lepton reconstruction and so are considered associated with the τ -lepton if they are within $\Delta R < 0.2$ of the τ -lepton's seeding-jet axis.

⁴ A topo-cluster associated with a jet is also associated with a given electron if its matched electron cluster is associated with said electron.

6.3 Signal ambiguity resolution

The previous section defined which tracks, topo-clusters and particle flow objects are initially associated with which hard objects. This section explains how that information is used to decide which objects to add to the p_T^{miss} sum in cases where a hard object shares one of these detector signals with another (they overlap).

Electrons enter p_T^{miss} reconstruction as the highest priority object, so are never modified from the analysis selection criteria. If lower-priority particles (γ then τ_{had}) share an ID track, topo-cluster or particle flow object with a higher-priority object that has already entered p_T^{miss} reconstruction, they are fully rejected from their term in the p_T^{miss} . In this case, their tracks can be used in the p_T^{soft} .

Muons experience energy loss in the calorimeters, but only non-isolated muons overlap with other objects, most probably jets or τ -leptons. In this case the muon calorimeter energy deposit cannot be separated from the overlapping jets with the required precision, and a more sophisticated treatment of when to reject a jet is needed. This is discussed in Sect. 6.3.1. As indicated by the 'selected' notation in Eq. (1), muons (like electrons) are never modified from the analysis selection criteria.

Jets can also be rejected if they overlap with other accepted higher-priority particles. In the case of partial or marginal overlap between jets and electrons or photons, signal losses are minimised by applying a more refined overlap removal strategy, as described in Sect. 6.3.2.

6.3.1 Muon overlap with jets

There are several scenarios leading to the signal overlap of reconstructed muons and jets. If a muon overlaps with a pile-up-originating jet, it can lead to the jet being falsely considered as a hard-scatter jet. This is because the muon's ID track represents a significant amount of hard-scatter vertex p_T , thus increasing the JVT value and making a pile-up jet more likely to satisfy any JVT requirements. In this case the pile-up jet p_T contributes to p_T^{miss} , where its stochastic nature degrades the p_T^{miss} response and resolution.⁵

Muon energy deposited in the calorimeter (E_{loss}) can also be reconstructed as a hard-scatter primary vertex jet, which will be found in close proximity to the muon's associated ID track. Because the muon E_{loss} is already corrected for in the muon p_T reconstruction, inclusion of such a jet to the p_T^{miss} reconstruction double-counts it. Rejection of pile-up jets and muon E_{loss} jets is achieved through consideration of the four selection criteria. First, the muon's track is 'ghost'-associated

⁵ Here response is defined as the deviation of the observed p_T^{miss} from its expected value. Resolution of p_T^{miss} considers the root-mean-squared (RMS) width of both the p_x^{miss} and p_y^{miss} .

with the jet using the anti- k_t algorithm. Second, $p_T^{\mu-ID} / p_T^{\text{jet-ID}}$ is required to be larger than 0.8: the transverse momentum of the muon’s track ($p_T^{\mu-ID}$) represents a significant fraction of the sum of transverse momenta of all hard-scatter primary vertex ID track associated with the jet ($p_T^{\text{jet-ID}}$). Third, the transverse momentum of the jet (p_T^{jet}) is less than twice the $p_T^{\mu-ID}$. Finally, the total number of hard-scatter primary vertex tracks associated with the jet ($N_{\text{track}}^{\text{PV}}$) is less than five. If a jet with an overlapping muon meets all of these criteria, it is considered to be either from pile-up or a catastrophic muon E_{loss} and is rejected from p_T^{miss} reconstruction.

Final state radiation (FSR) can also affect muon contributions to p_T^{miss} . Muons can radiate photons at small angles, typically too close to the muon ID track for the photon to be reconstructed. The mismatch between calorimeter energy and ID track momentum also prevents the FSR photon being reconstructed as an electron. Instead, the FSR’s calorimeter signal is reconstructed as a jet with an associated muon ID track. The FSR photon’s transverse momentum is not recovered in muon reconstruction, hence jets representing this photon must be included in the p_T^{miss} reconstruction. These jets are characterised by the following selections, which typically indicate photons.

- The muon’s associated ID track is ‘ghost’-associated with the jet using the anti- k_T algorithm;
- $N_{\text{track}}^{\text{PV}} < 3$ – the jet has a small number of tracks from the hard-scatter primary vertex;
- $f_{\text{EM}} = E_{\text{jet}}^{\text{EM}} / E_{\text{jet}} > 0.9$ – the jet energy E_{jet} is primarily deposited in the EM calorimeter, as expected for photons;
- $p_T^{\text{jet PS}} > 2.5 \text{ GeV}$ – an early starting point for the shower is selected by requiring a large transverse momentum contribution of the jet in the presampler (PS) calorimeter;
- $w_{\text{jet}} < 0.1$ – the jet width w_{jet} is comparable to a dense electromagnetic shower, where jet width is defined as:

$$w_{\text{jet}} = \frac{\sum_i \Delta R_i p_{T,i}}{\sum_i p_{T,i}},$$

the angular distance of topo-cluster i from the jet axis is $\Delta R = \sqrt{(\Delta\eta_i)^2 + (\Delta\phi_i)^2}$ and $p_{T,i}$ is the cluster’s transverse momentum;

- $p_T^{\text{jet-ID}} / p_T^{\mu-ID} > 0.8$ – the transverse momentum of hard-scatter primary vertex tracks associated with the jet is close to the muon ID track transverse momentum.

If a jet meets all of the above criteria, it is deemed to be an FSR photon and is included in the p_T^{miss} reconstruction in the jet term. The energy scale of the jet is set to the EM scale to reflect its interpretation as a photon, and further scaled both to remove the fraction of the p_T overlapping with the reconstructed muon and the muon energy loss in the calorimeter.

Muons and jets can also overlap if a muon is produced in the decay of a heavy-flavour hadron, inside a jet. In this case, both the muon and jet should be kept for the p_T^{miss} calculation, but any double-counting removed. If a jet and muon overlap and, after the checks detailed above, the jet is not deemed to be an FSR photon or rejected from the calculation, it is kept in the p_T^{miss} jet term. Similar to the case above, its momentum is scaled, both to remove the fraction of the p_T overlapping with the reconstructed muon and the muon energy loss in the calorimeter. The overlapping muon is added to the p_T^{miss} reconstruction without any adjustment.

6.3.2 Electron/Photon overlap with jets

In the case where electrons/photons overlap with a jet, two discriminating variables are used to establish whether the jet should be treated as real and enter the p_T^{miss} calculation. These use the energy and p_T of the jet and electron or photon, calibrated at the EM scale.

The first variable is the ratio f_{overlap} , the ratio of the electron (or γ or τ_{had}) energy $E_{e(\gamma,\tau)}^{\text{EM}}$ to the jet energy $E_{\text{jet}}^{\text{EM}}$:

$$f_{\text{overlap}} = \frac{E_{e(\gamma,\tau)}^{\text{EM}}}{E_{\text{jet}}^{\text{EM}}}.$$

The second variable represents the unique p_T of the jet, $\Delta p_T^{\text{EM},e(\gamma,\tau),\text{jet}}$, which is defined thus:

$$\Delta p_T^{\text{EM},e(\gamma,\tau),\text{jet}} = p_T^{\text{EM,jet}} - p_T^{\text{EM},e(\gamma,\tau)}.$$

In the scenario where a jet shares an ID track with a high momentum electron ($p_T > 90 \text{ GeV}$), and carries a large amount of p_T from tracks not associated with other objects ($(\sum_{i=1}^n p_T^{\text{track},i} - \sum_{j=1}^m p_T^{\text{track},j}) < 10 \text{ GeV}$ for a jet with n associated tracks, m of which are non-overlapping) then it is likely that both the electron and jet are real and should be treated as such in the p_T^{miss} . These requirements can be encapsulated in a boolean variable KeepJet , which is always false for jet-photon overlaps since photons have no associated tracks.

To treat the jet as real and include it in the p_T^{miss} jet term along with the (higher priority) electron/photon, ($f_{\text{overlap}} < 1.0$ or KeepJet) and $\Delta p_T^{\text{EM},e(\gamma,\tau),\text{jet}} > 20 \text{ GeV}$ are required. To avoid any double-counting the jet p_T is scaled by $(1 - f_{\text{overlap}})$ if it is included in the p_T^{miss} jet term.

6.4 p_T^{miss} working points

When reconstructing p_T^{miss} , the requirements on jets entering the calculation have a large impact on performance. More stringency leads to a reduction in contamination from pile-up and jet mismeasurement, however it also leads to an increased likelihood of excluding real and well-measured jets. In different use cases, the optimal stringency can be different; thus

Table 3 Selections for the p_T^{miss} working points supported for PFlow jets

Working point	Selections		JVT for jets with $ \eta < 2.4$	fJVT for jets with $2.5 < \eta < 4.5$ & $p_T < 120$ GeV
	p_T [GeV] for jets with: $ \eta < 2.4$	$2.4 < \eta < 4.5$		
Loose	> 20	> 20	> 0.5 for $p_T < 60$ GeV	–
Tight	> 20	> 30	> 0.5 for $p_T < 60$ GeV	–
Tighter	> 20	> 35	> 0.5 for $p_T < 60$ GeV	–
Tenacious	> 20	> 35	> 0.91 for $20 < p_T < 40$ GeV > 0.59 for $40 < p_T < 60$ GeV > 0.11 for $60 < p_T < 120$ GeV	< 0.5

ATLAS recommends several working points for analysers to choose from.

The requirements placed on jets for a given p_T^{miss} working point act in addition to those chosen by the analysis. If jets are rejected from p_T^{hard} by working point requirements, their tracks are not added to the soft term because the jet is deemed to have originated from pile-up. Four working points are supported, as illustrated in Table 3 in order of increasing stringency. fJVT is the forward Jet Vertex Tagger (fJVT), described in Ref. [56], used to remove pile-up jets with $2.5 < |\eta| < 4.5$ and $20 < p_T < 50$ GeV. The fJVT uses the angular kinematics of other jets in the event to associate forward jets – which lack tracking information – to pile-up vertices by minimising the other vertices' reconstructed p_T^{miss} .

The main change in jet selection as the working point is tightened is increasing the p_T threshold for jets in the forward η region of the detector. In this region, pile-up jets (which tend to have a lower p_T than hard-scatter jets) are more commonly found. Different JVT selections are also used to remove pile-up jets. The *Tenacious* working point takes an aggressive approach, using a very tight JVT requirement for low p_T jets.

6.5 p_T^{miss} soft term

The current soft term reconstruction approach exclusively uses hard-scatter vertex ID-tracks, and so only includes the p_T from charged soft particles. However, this choice ensures that the soft term has a high resilience to pile-up contamination. The inclusion of the soft term into the p_T^{miss} improves the p_T^{miss} resolution and agreement with truth p_T^{miss} . It also improves the p_T^{miss} scale, which is defined in Sect. 7.2 and partly measures how well the p_T^{miss} accounts for the hadronic recoil in an event. The soft term particularly improves the scale in events with a low multiplicity of hard objects, by capturing components of the event that are not represented by reconstructed and calibrated objects and would thus otherwise be ignored.

Tracks are required to satisfy the requirements described in Sect. 4. If tracks are not associated with any hard object in the event, then they are used to build the p_T^{soft} . Contributions to p_T^{soft} also come from ID tracks associated with jets that have been rejected by the signal ambiguity resolution procedure, but not ID tracks associated with jets that were rejected by the working point cuts (since these are deemed to originate from pile-up). ID tracks are also vetoed from inclusion in the p_T^{soft} if any of the following signal-overlap resolution requirements are met: $\Delta R(\text{track}, e/\gamma \text{ cluster}) < 0.05$; $\Delta R(\text{track}, \tau - \text{lepton}) < 0.2$; the track is associated with a muon or is ghost-associated with contributing jet.

Alternative calorimeter-based soft term definitions have been used in the past [4]. These benefit from the inclusion of neutral soft particles, but are very susceptible to pile-up contamination. Due to the higher-pile-up conditions of Run 2, these aren't currently supported, as the track-based soft term was found to provide a better resolution and general agreement with truth. However, they may be revisited in the future.

7 Modelling and performance of p_T^{miss}

7.1 p_T^{miss} modelling in MC simulation and data

To assess the modelling of p_T^{miss} , comparisons between data and MC simulation are made for several variables. Events must satisfy either a $Z \rightarrow \mu\mu$ or $Z \rightarrow ee$ selection, as defined in Sect. 5, using objects selected according to Sect. 4. By default, PFlow jets are used to build p_T^{miss} using the *Tight* working point. Unless otherwise stated, the $Z \rightarrow \ell\ell$ MC events are generated using SHERPA.

After looking at this default configuration, the modelling is studied when using different p_T^{miss} working points, jet collections, and $Z \rightarrow \ell\ell$ MC generators in turn. The uncertainty bands on the SM MC contributions are formed from a quadrature sum of the MC statistical uncertainty, luminosity uncertainty and relevant detector uncertainties. Detector uncertainties include those on the p_T^{miss} soft term (discussed

in Sect. 8); lepton reconstruction efficiency, energy scale and resolution, and trigger efficiency differences between MC simulation and data [48, 49]; uncertainties in the jet-energy scale and resolution [57]; JVT efficiencies [52]; and uncertainties in the pile-up profile used for the MC events. It is emphasised that systematic uncertainties on the MC modelling and cross-sections are not included.

Fig. 1 shows the overall p_T^{miss} distribution, the hard and soft terms, for $Z \rightarrow \mu\mu$ and $Z \rightarrow ee$ selections. The plots show a ‘jet inclusive’ selection, where no additional requirements are placed on the jets in the event beyond those described in previous sections. The p_T^{miss} and p_T^{hard} distributions show very good agreement between MC simulation and data within uncertainties. The dominant systematic uncertainties leading to the bump seen around 100 GeV comes from the jet energy scale and resolution. In Fig. 1(e), the soft term shows a slight excess in data in the tails, expected to be covered if modelling uncertainties were included on the MC.

Figure 2 shows the p_T^{miss} distributions for the *Loose*, *Tighter* and *Tenacious* working points. As the working point is tightened from *Loose* to *Tenacious*, the modelling improves, due primarily to the removal of low p_T forward jets. These have relatively large uncertainties in the jet energy resolution, stemming partly from large pile-up contamination. The error band decreases with the tightening working point, which is caused by a large reduction in the impact of jet energy resolution uncertainties.

Figure 3 shows the distributions for p_T^{miss} and the soft term, using POWHEG+PYTHIA to produce $Z \rightarrow \mu\mu$ events. POWHEG+

PYTHIA performs well throughout the whole p_T^{miss} distribution, however when considering p_T^{soft} , POWHEG+PYTHIA models the data worse than SHERPA. For POWHEG+PYTHIA, extra jets in an event are produced at the parton shower level, where they are less well-modelled, in comparison to SHERPA where they are produced at matrix element level. The tail of the p_T^{soft} distribution will have a significant contribution from events with a high multiplicity of these poorly-modelled soft jets. Additionally, POWHEG+PYTHIA has a different representation of the underlying event, which can be a significant contribution to the soft momenta in the event.

7.2 p_T^{miss} performance

An important measure for the quality of p_T^{miss} reconstruction is the resolution. For $Z \rightarrow \ell\ell$ events, the p_x^{miss} and p_y^{miss} are approximately Gaussian-distributed about zero, except for events with very large $\sum p_T$ or noise. Non-Gaussian tails are expected, so to appropriately represent the distributions, the root-mean-square error (RMS) is used to measure the p_x^{miss} and p_y^{miss} resolution. For MC simulation, the truth p_x^{miss} and p_y^{miss} (defined in Sect. 4) are subtracted.

To understand the impact of pile-up on p_T^{miss} resolution, Fig. 4 shows the p_x^{miss} and p_y^{miss} resolutions in SHERPA $Z \rightarrow \mu\mu$ MC simulations, binned in the variables introduced in Sect. 4 which parametrise the amount of pile-up present: N_{PV} and μ . For the jet inclusive selection, the resolution degrades as the amount of pile-up increases, as expected. The resolution improves dramatically as events containing jets are vetoed, until the pile-up dependence almost entirely disappears.

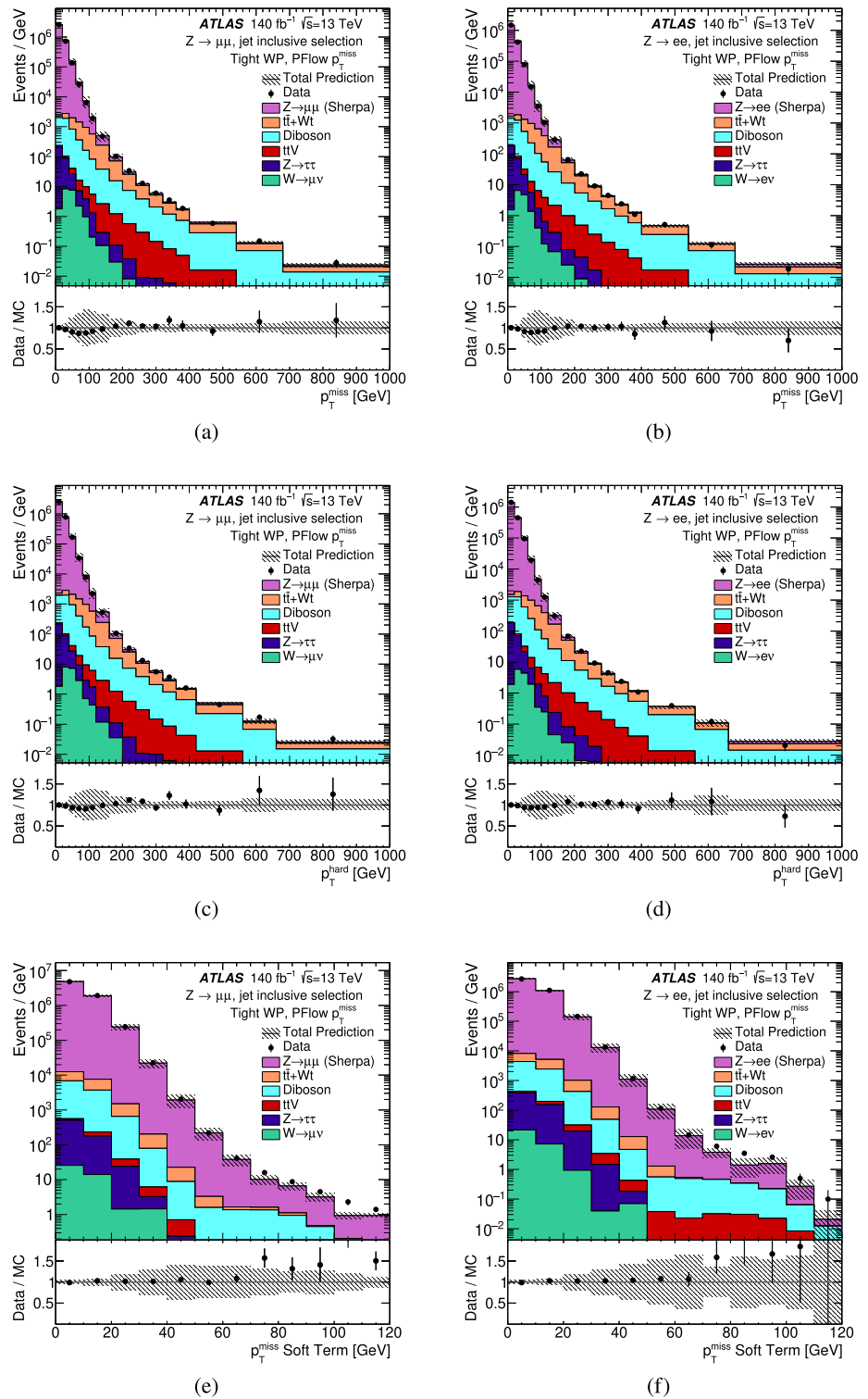
The intention of the various p_T^{miss} working points is to try to reduce fake p_T^{miss} contamination further. As can be seen for SHERPA $Z \rightarrow \mu\mu$ MC simulated events satisfying the $Z \rightarrow \mu\mu$ selection in Figs. 4c and d, the tighter working points have a reduced pile-up dependence and better resolution, indicating they are indeed less susceptible to fake p_T^{miss} generally, and specifically from pile-up contamination.

In Fig. 5, the working point resolution dependence is shown for $t\bar{t}$ or $W \rightarrow \mu\nu$ MC simulations. For the $t\bar{t}$ processes, the amount of hadronic activity in the hard-scatter process increases substantially relative to $Z \rightarrow \mu\mu$. At high pile-up, tighter working points improve the resolution for $t\bar{t}$ and $W \rightarrow \mu\nu$ topologies by removing more pile-up jets from the jet term. For the $t\bar{t}$ process at low pile-up, the majority of reconstructed jets in the event come from the hard-scatter, so the tighter working points are more likely to remove jets originating from the hard-scatter, leading to a degradation in the resolution. The performance for $W \rightarrow \mu\nu$ is very similar to $Z \rightarrow \mu\mu$, suggesting that the working point performance is minimally effected by the amount of real p_T^{miss} in the event. The topology dependence in the choice of ‘best’ working point leads to the support of all of them for analysis use.

To confirm that the p_T^{miss} resolution in MC simulation represents data well, the p_x^{miss} and p_y^{miss} resolutions are shown in Fig. 6 as a function of μ and N_{PV} in the default $Z \rightarrow \mu\mu$ configuration comparing MC simulation (including $Z \rightarrow \mu\mu$ as well as the background processes) and data. In this case the truth values are not subtracted from the MC simulation values. The resolutions agree within the error band which includes the MC statistical, luminosity and detector uncertainties.

Comparing the reconstructed and truth p_T^{miss} is a way to assess bias in events with real p_T^{miss} . Figure 7 shows the response ($p_T^{\text{miss}}/p_T^{\text{miss, true}}$) in each case as a function of truth p_T^{miss} , for all four working points, in events satisfying the $W \rightarrow \mu\nu$ and $t\bar{t}$ selections. Since the track-based soft term means soft neutral contributions to the event are ignored, it is expected that some bias from truth p_T^{miss} will be seen at low values where the p_T^{miss} is more dominated by the soft term. For $W \rightarrow \mu\nu$ events, tightening the working point slightly reduces the bias at low values, due to the removal of pile-up, which contributes to the bias. For $t\bar{t}$ events, the *Tight* performs slightly better at low values, consistent with

Fig. 1 Distributions of p_T^{miss} (a, b) and its constituent hard (c, d) and soft (e, f) terms in MC simulation and data. Events satisfy a $Z \rightarrow \mu\mu$ (a, c, e) or $Z \rightarrow ee$ (b, d, f) selection. PFlow jets are used with a jet inclusive selection, and the Tight p_T^{miss} working point. SHERPA is used to generate the $Z \rightarrow ee/Z \rightarrow \mu\mu$ events. The error band includes MC statistical, luminosity and detector uncertainties



the Loose working point leaving too much pile-up, and the tighter working points removing some of the hard-scatter jets.

In $Z \rightarrow \ell\ell$ events, where there is no real p_T^{miss} , the transverse momentum of the Z (p_T^Z) can be used as a measure of the hardness of the interaction and provides a scale for the

evaluation of the p_T^{miss} response. One can define an axis in the transverse plane from the p_T of the Z which is constructed by using the p_T of each of the leptons,

$$\hat{A}_Z = \frac{\mathbf{p}_T^{\ell^+} + \mathbf{p}_T^{\ell^-}}{|\mathbf{p}_T^{\ell^+} + \mathbf{p}_T^{\ell^-}|} = \frac{\mathbf{p}_T^Z}{p_T^Z}$$

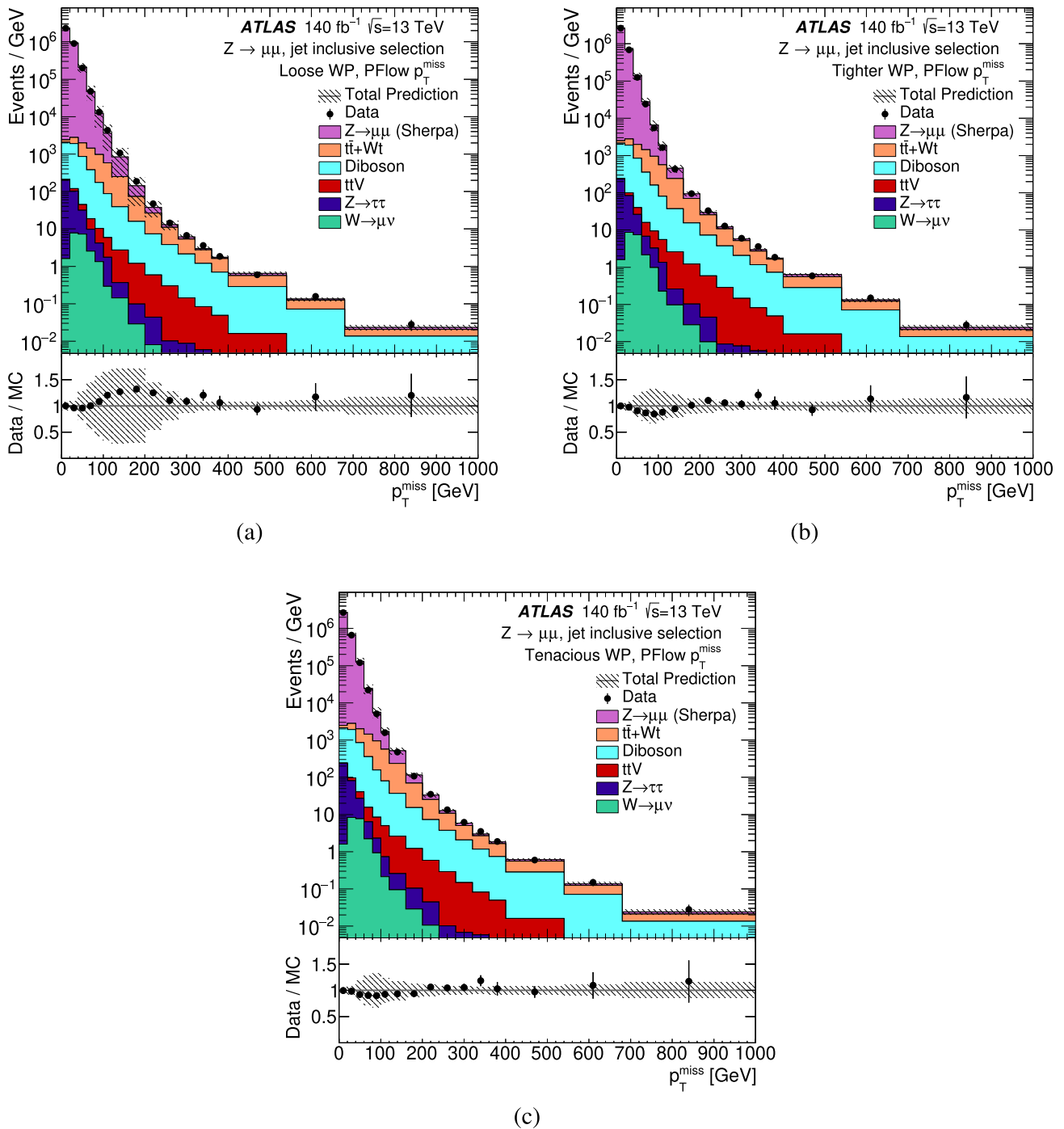


Fig. 2 Distributions of p_T^{miss} in MC simulation and data for different working points: Loose (a), Tighter (b) and Tenacious (c). Events satisfy a $Z \rightarrow \mu\mu$ selection and p_T^{miss} is built using PFlow

jets. SHERPA is used to generate the $Z \rightarrow \mu\mu$ events. The error band includes MC statistical, luminosity and detector uncertainties

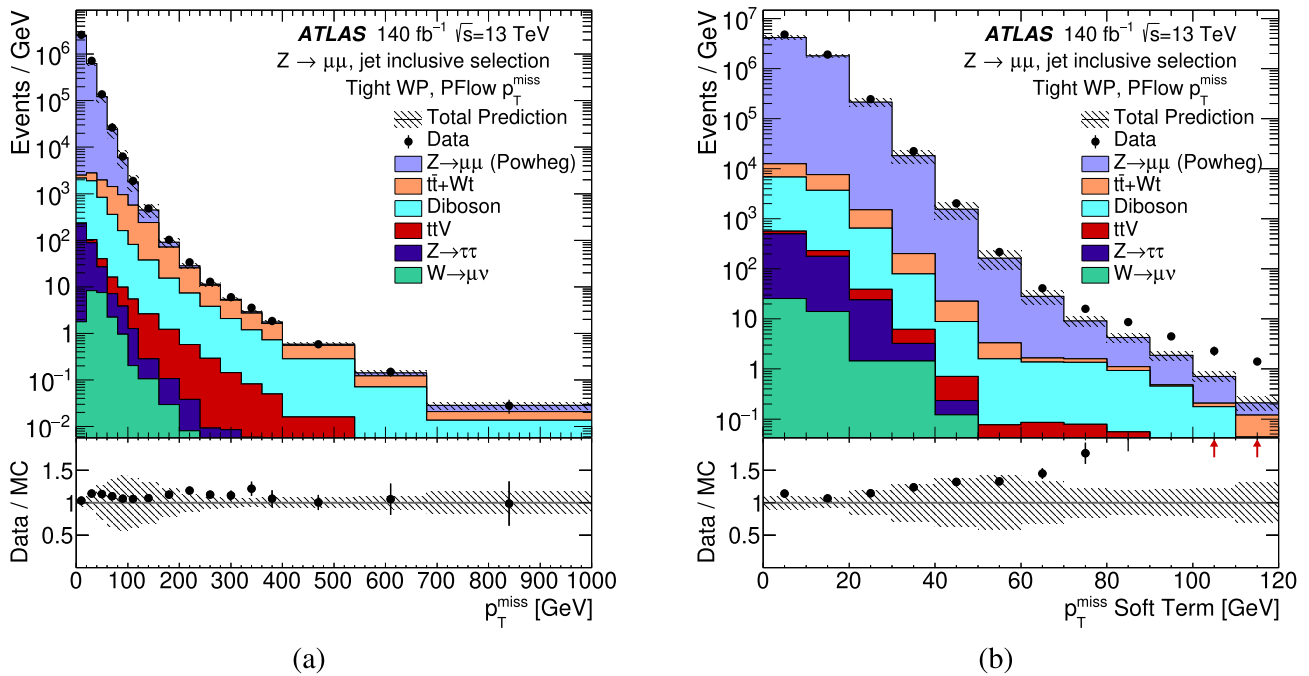


Fig. 3 Distributions of p_T^{miss} (a) and the p_T^{soft} (b) in MC simulation and data using the POWHEG+PYTHIA $Z \rightarrow \mu\mu$ generator. Events satisfy a jet inclusive $Z \rightarrow \mu\mu$ selection. PFlow jets are used with the Tight p_T^{miss} working point. The error band includes MC statistical, luminosity and detector uncertainties

With this reference axis the p_T^{miss} can be projected onto it with,

$$\mathcal{P}^Z = p_T^{\text{miss}} \cdot \hat{A}_Z. \tag{3}$$

This quantity – the scale \mathcal{P}^Z – is sensitive to any misreconstruction in the p_T^{miss} and provides an excellent way to gauge the performance of the p_T^{miss} reconstruction. It is particularly sensitive to the impact of the hadronic recoil against the Z boson. For a completely balanced reaction, where the Z boson is produced in perfect balance with the hadronic recoil, the expectation is $\mathcal{P}^Z = 0$. If $\mathcal{P}^Z < 0$ then there is not enough hadronic recoil to balance the momentum of the Z and when $\mathcal{P}^Z > 0$ there is too much reconstructed recoil. The hardness of the interaction (roughly the amount of p_T produced in the event) can be assessed by taking the average of the projection, $\langle \mathcal{P}^Z \rangle$, and binning it as a function of p_T^Z .

Figure 8a shows the average value of \mathcal{P}^Z in bins of p_T^Z for data and MC simulation in a $Z \rightarrow \mu\mu$ selection. Overall there is an underestimation of the hadronic balance with the Z boson, caused by the missing component of neutral soft energy and finite detector acceptance, and an offset between data and prediction that is within uncertainties. The scale is worst at very low values of p_T^Z , where the missing neutral component of the soft term means much of the hadronic recoil is missed. At higher values the scale improves as jets are reconstructed allowing for better hadronic recoil determi-

nation. At very high values the event selection is dominated by the non- $Z \rightarrow \mu\mu$ processes, which causes the scale to increase again.

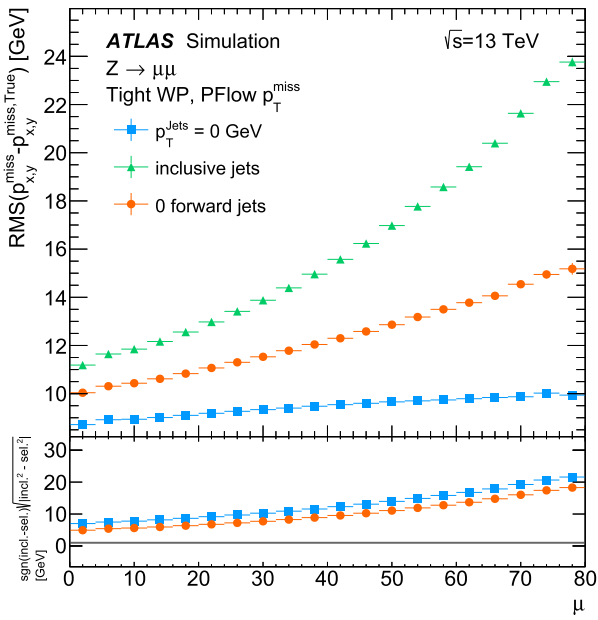
The impact of the varying working points on the \mathcal{P}^Z is assessed in Fig. 8b. Moving from the Loose to the Tenacious working points, the hadronic balance becomes increasingly underestimated, as the tightening jet selection increases the potential for part of the hadronic recoil to be missed.

Furthermore, the p_T^{miss} response in this system can be defined by:

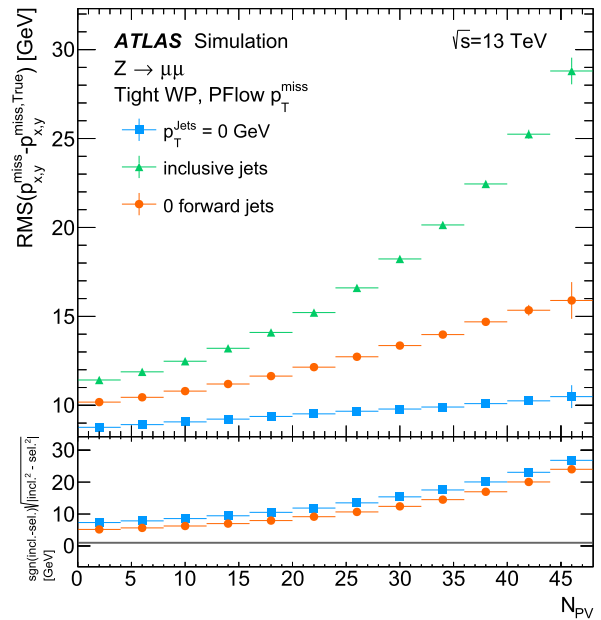
$$\mathcal{C}^Z = 1 + \frac{\langle \mathcal{P}^Z \rangle}{\langle p_T^Z \rangle}. \tag{4}$$

A comparison of \mathcal{C}^Z for the different p_T^{miss} working points as a function of p_T^Z is shown in Fig. 9a, for $Z \rightarrow \mu\mu$ MC simulated events. At low values of p_T^Z the response decreases below one as the missing neutral component of the soft term means more of the hadronic recoil is missed and p_T^{miss} is reconstructed opposing the Z. In consistency with the behaviour of the \mathcal{P}^Z , as the working point changes from Loose to Tenacious, the response decreases further, as more of the hadronic recoil has potential to be missed.

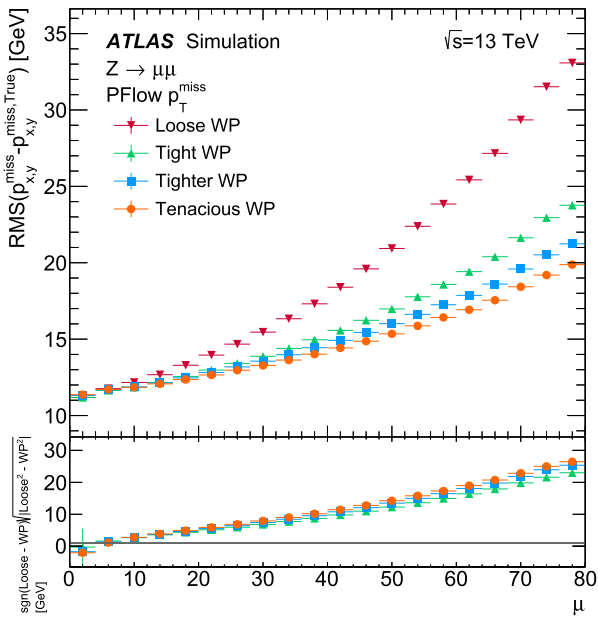
In Fig. 9b, the RMS of the scale is shown, correcting for the response \mathcal{C}^Z , for $Z \rightarrow \mu\mu$ MC simulated events. At low values of p_T^Z the RMS worsens for the same reasons that the scale itself worsens, and as the contribution of pileup



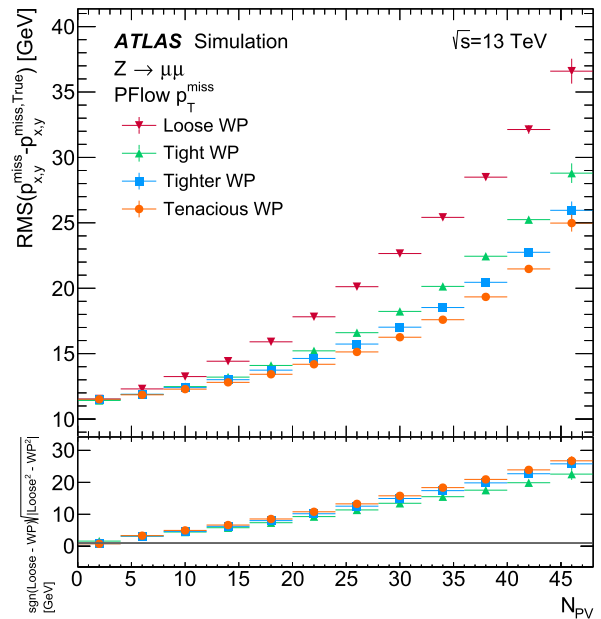
(a)



(b)



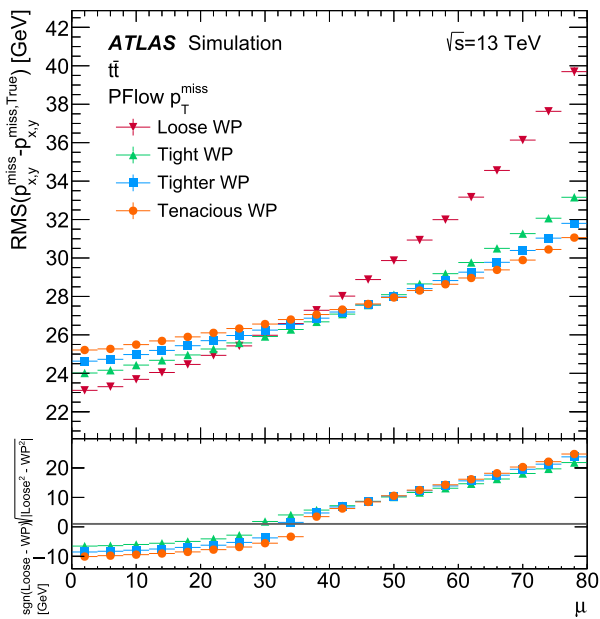
(c)



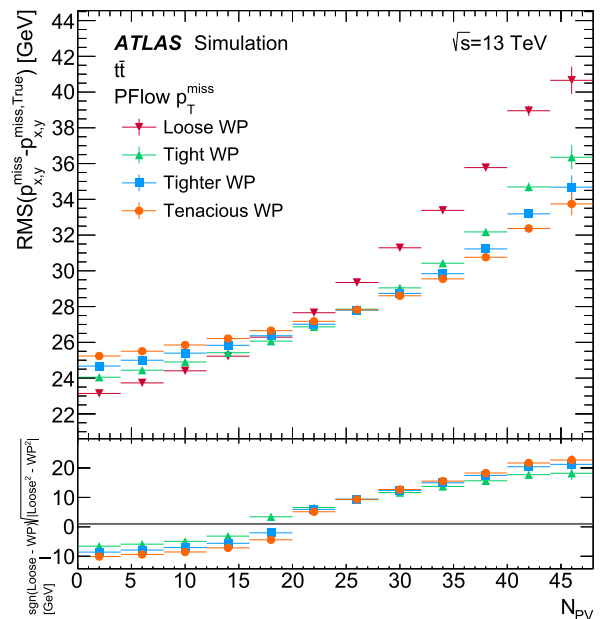
(d)

Fig. 4 The p_x^{miss} and p_y^{miss} resolution for different jet selections (sel.) (a, b) and different p_T^{miss} working points (c, d) as a function of μ (a, c) or N_{PV} (b, d). PFlow jets and the Tight p_T^{miss} working point are used, on SHERPA $Z \rightarrow \mu\mu$ MC simulated events. The error bars include the

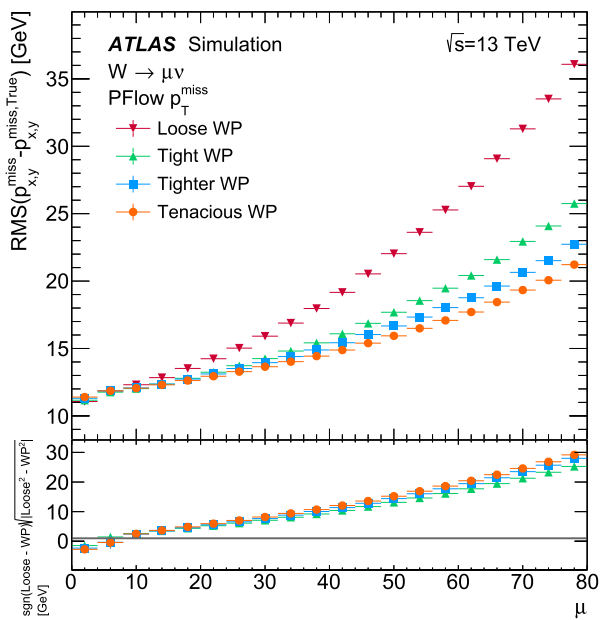
MC statistical uncertainty. In the y-axis label of the lower panels, ‘incl.’ refers to the inclusive jet selection, ‘sel.’ to the alternate jet selection under consideration and ‘WP’ to the working point under consideration. ‘True’ refers to MC-generated quantities



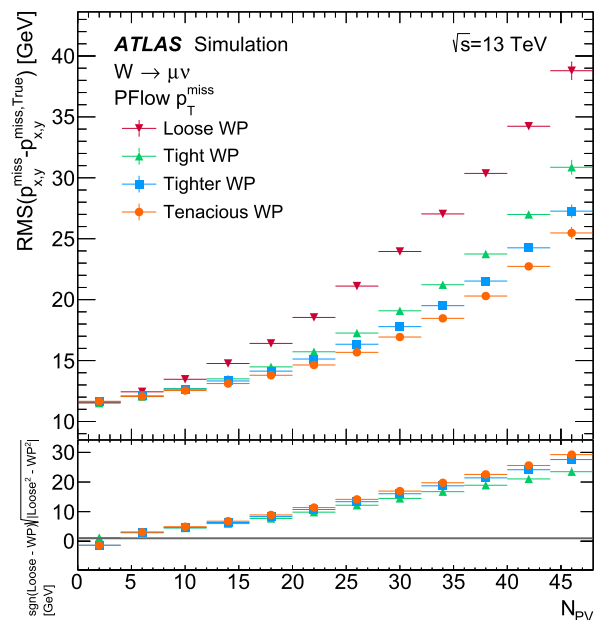
(a)



(b)



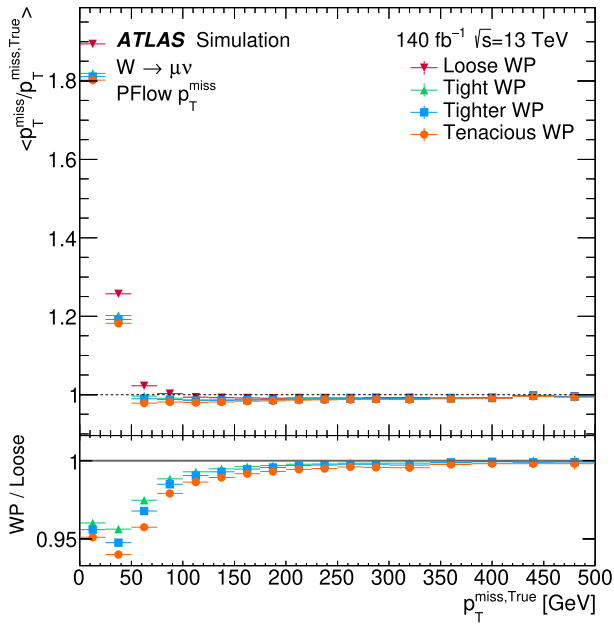
(c)



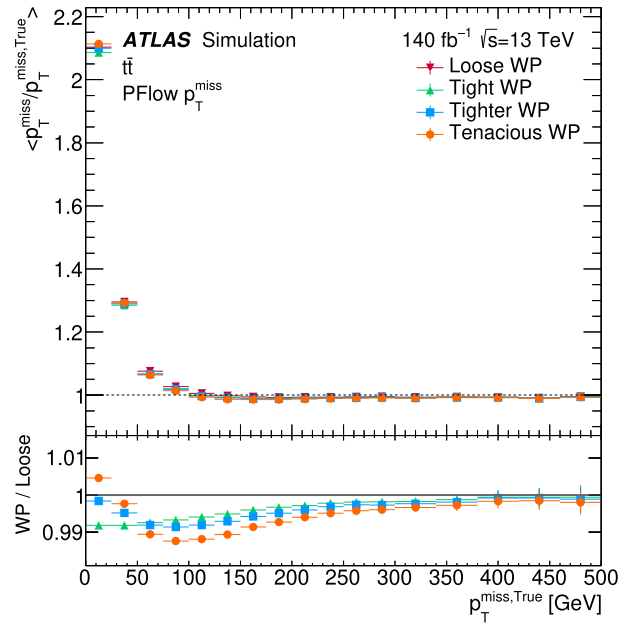
(d)

Fig. 5 The p_x^{miss} and p_y^{miss} resolution for different p_T^{miss} working points as a function of μ (a, c) or N_{PV} (b, d). MC simulated events are shown: $t\bar{t}$ events are used in a and b, and $W \rightarrow \mu\nu$ events in c and d. PFlow p_T^{miss}

jets are used. The error bars include the MC statistical uncertainty. In the y-axis label of the lower panels, ‘WP’ refers to the working point under consideration. ‘True’ refers to MC-generated quantities



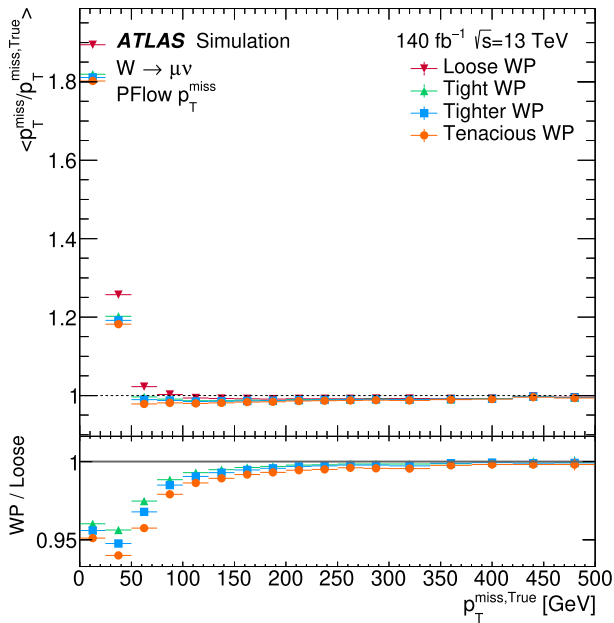
(a)



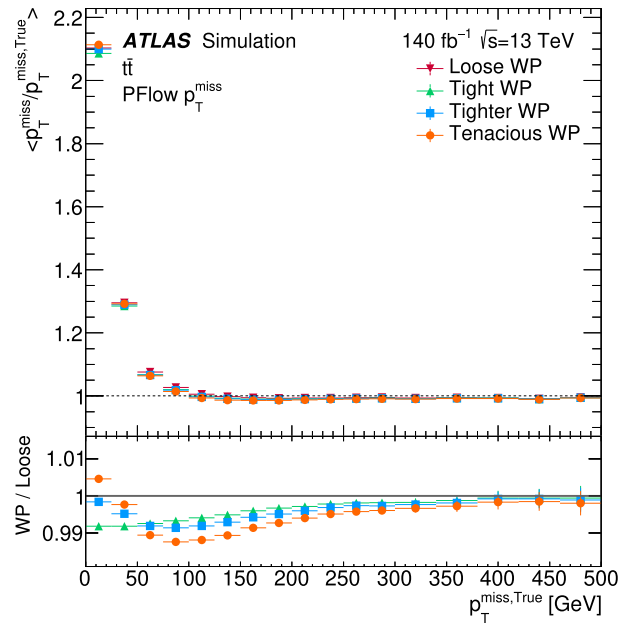
(b)

Fig. 6 The p_x^{miss} and p_y^{miss} resolution in data and simulation with the Tight working point as a function of **a** μ or **b** NPV. A $Z \rightarrow \mu\mu$ selection is applied with SHERPA used to generate the $Z \rightarrow \mu\mu$ events. PFlow

jets are used with an inclusive selection. The error band includes MC statistical, luminosity and detector uncertainties



(a)



(b)

Fig. 7 The p_T^{miss} response for different working points as a function of truth (generated) p_T^{miss} . MC simulated **a** $W \rightarrow \mu\nu$ or **b** $t\bar{t}$ events are used. PFlow jets are used. The error bars include the MC statistical uncertainty

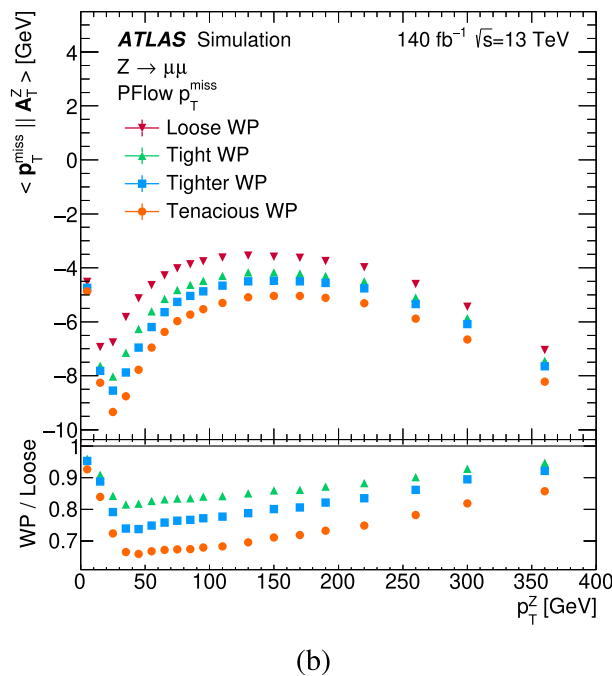
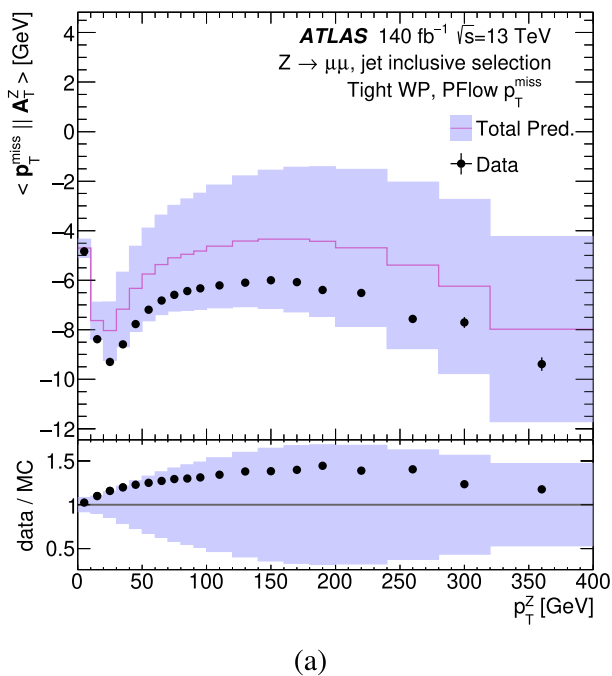


Fig. 8 The average \mathcal{P}^Z (projection of p_T^{miss} on to unit vector in the direction of the Z boson \mathbf{A}_Z) as a function of the Z boson’s transverse momentum. Events satisfy a $Z \rightarrow \mu\mu$ selection with no requirements placed on the jets, with SHERPA used to generate the $Z \rightarrow \mu\mu$ events. In **a** all events passing the event selection are shown, using the *Tight*

p_T^{miss} working point, and the error bars include statistical and detector uncertainties. In **b**, only $Z \rightarrow \mu\mu$ MC simulated events are shown, comparing each p_T^{miss} working point, and the error bars include statistical uncertainties only

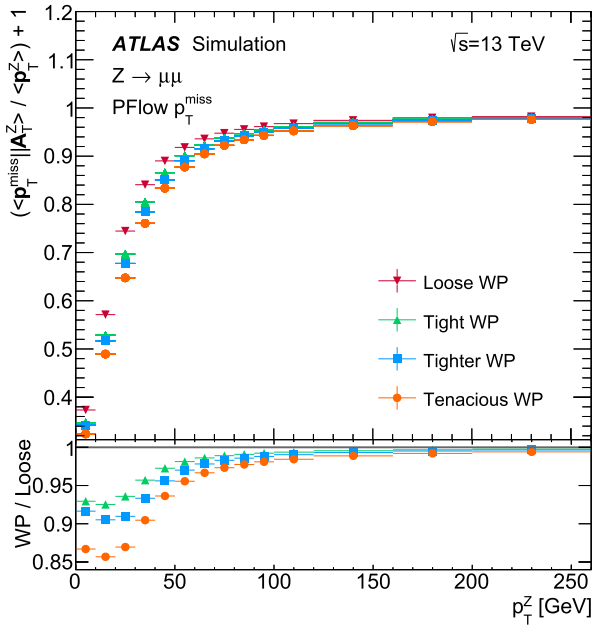
becomes relatively more important, and for very high p_T^Z as the absolute resolution of the muons and jets used to reconstruct the Z and p_T^{miss} degrades. At these extremes, the *Loose* working point has the worst RMS as it removes the fewest poorly-measured jets or those originating from pileup. In the medium p_T^Z regime, the *Tight* working point has the best RMS, providing the best compromise between removing pileup and not removing too much of the hard-scatter hadronic recoil.

Finally, the RMS of the p_x^{miss} and p_y^{miss} of $Z \rightarrow \mu\mu$ MC simulated events, corrected by \mathcal{C}^Z in order to compare the resolutions for each working point at the same energy scale, is shown in Fig. 10. In this case the behaviour is very similar to the uncorrected RMS, apart from at very low values of pileup, where the more stringent working points no longer perform the best.

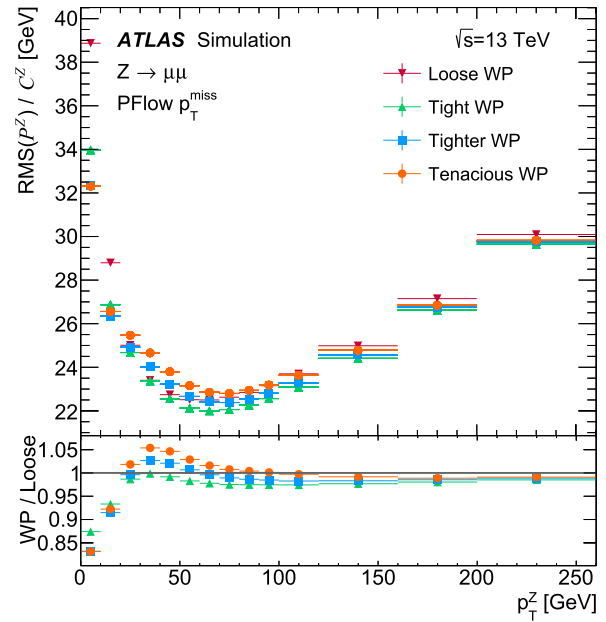
8 Systematic uncertainties

Uncertainties on the measurement of p_T^{miss} are calculated for the scale and resolution. These uncertainties depend on every object entering the p_T^{miss} reconstruction, and thus on both the soft term and the composition of the hard term. Since

the hard term’s composition is defined individually for any given analysis, the scale and resolution uncertainty of each of the hard objects must be extracted based on the object definitions used. This is done for each analysis, using the uncertainty recommendations provided for each object type. In propagating these uncertainties through p_T^{miss} reconstruction, correlations between systematic uncertainties for the same type of object are taken into account. However, the systematic uncertainties of each of the different types of object in the hard term are taken to be uncorrelated since independent reconstruction and calibration algorithms are applied to each. As seen in Sect. 7.1, for topologies dominated by fake p_T^{miss} the dominant uncertainty in the p_T^{miss} distribution can come from the uncertainties in the reconstruction of jets entering the hard term. For the case of the p_T^{miss} soft term, the scale and resolution uncertainties are calculated as described in the remainder of this section, and these are used for any analysis. It is expected that the soft term uncertainties only have a significant effect on the overall p_T^{miss} uncertainty when the soft term itself dominates the p_T^{miss} calculation, either because the topology contains few hard objects to contribute to the hard term or because it contains a relatively large amount of soft activity.



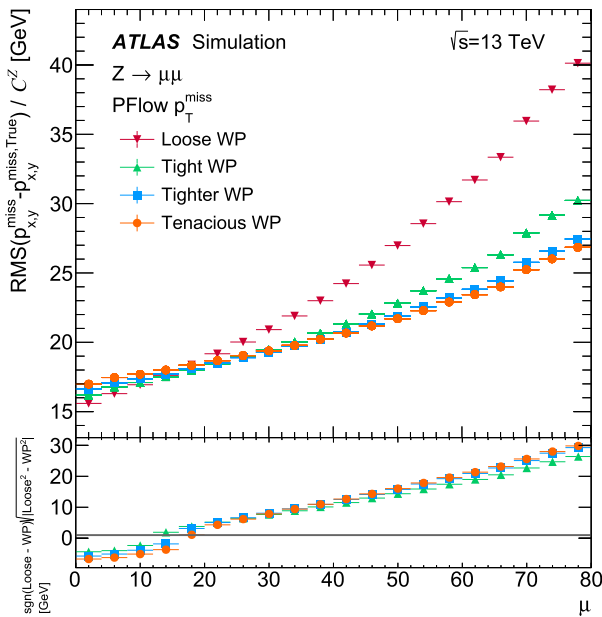
(a)



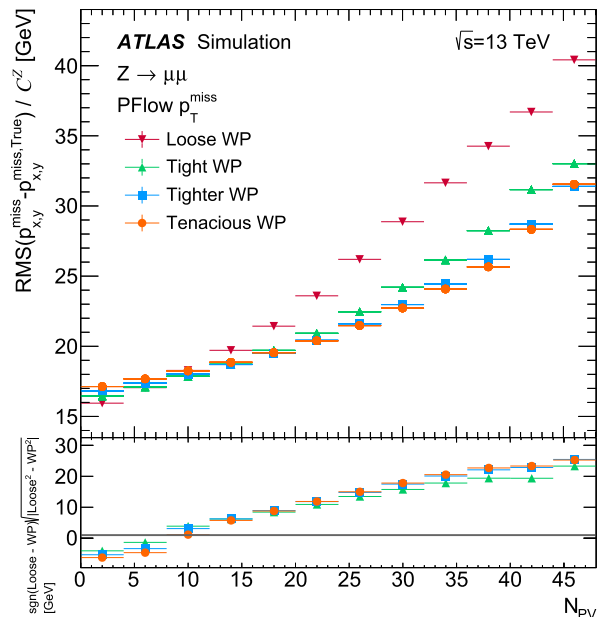
(b)

Fig. 9 The **a** average value and **b** RMS of the \mathcal{P}^Z (defined in Eq. 3), corrected by the Z system p_T^{miss} response C^Z (defined in Eq. 4), for different p_T^{miss} working points as a function of p_T^Z . PFlow jets are used, and

SHERPA $Z \rightarrow \mu\mu$ MC simulated events. The error bars include the MC statistical uncertainty. In the lower panel, ‘WP’ refers to the working point under consideration. ‘True’ refers to MC-generated quantities



(a)



(b)

Fig. 10 The p_x^{miss} and p_y^{miss} resolution, corrected by the Z system p_T^{miss} response C^Z (defined in Eq. 4), for different p_T^{miss} working points as a function of **a** μ or **b** N_{PV} . PFlow jets are used, and SHERPA $Z \rightarrow \mu\mu$ MC

simulated events. The error bars include the MC statistical uncertainty. In the lower panel, ‘WP’ refers to the working point under consideration. ‘True’ refers to MC-generated quantities

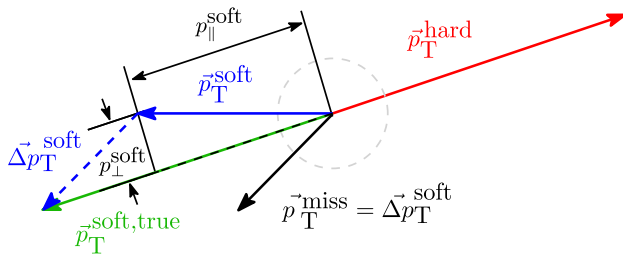


Fig. 11 p_T^{soft} projections along p_T^{hard} , taken from Ref. [7]

8.1 Methodology

The uncertainty in the soft term is assumed to be dominated by how well it is modelled by Monte Carlo simulation. This is best studied in events with no true p_T^{miss} , where $p_T^{\text{miss}} = -p_T^{\text{soft}} - p_T^{\text{hard}} = 0$ if the soft term is perfectly reconstructed. In this case the soft term behaviour can be easily studied by comparing the soft and hard terms. In practice, fake p_T^{miss} will spoil this balance. The $Z \rightarrow ee$ selection defined in Sect. 5 is used for this uncertainty derivation, and it is validated in a $Z \rightarrow \mu\mu$ selection.

The soft term’s uncertainty is calculated by quantifying the balance between the hard and soft terms by considering the projection of the soft term onto the hard term. This leads to three variables used to parametrise the uncertainties, which can be defined with the help of Fig. 11. These are:

- the parallel scale (Δ_{\parallel}) – defined as the mean of the parallel projection of p_T^{soft} along p_T^{hard} , $\langle p_{\parallel}^{\text{soft}} \rangle$;
- the parallel resolution (σ_{\parallel}) – defined as the root-mean-square of $p_{\parallel}^{\text{soft}}$;
- and the perpendicular resolution (σ_{\perp}) – defined as the root-mean-square of the perpendicular projection of p_T^{soft} along p_T^{hard} , p_{\perp}^{soft} .

As expected, the perpendicular scale was found to be consistent with zero in both the Monte Carlo and data in Ref. [3], so is not of interest.

The values of these variables are calculated in different bins of p_T^{hard} . Separate soft term uncertainties are calculated for p_T^{miss} built from EMTopo and PFlow jets, using the Tight p_T^{miss} working point, by considering the maximal difference between the data and the different Monte Carlo generators, and taking the maximum of these between both the jet inclusive and 0-jets selections. The three generators considered are POWHEG+PYTHIA, MADGRAPH+PYTHIA and SHERPA, which are all the standard options available for $Z + \text{jets}$ processes in ATLAS.

Up to a p_T^{hard} of 60 GeV, both the jet inclusive and 0-jets selections are considered. Due to decreased statistical precision, the 0-jets selection is not used $p_T^{\text{hard}} > 60$ GeV.

To account for contamination of non- $Z \rightarrow ee$ events passing the $Z \rightarrow ee$ selection in data, MC simulations of VV and $t\bar{t}$ processes were included in addition to the various $Z \rightarrow ee$ simulations. At the point in the p_T^{hard} distributions where these processes start to dominate, the crucial initial assumption of the $p_T^{\text{soft}} - p_T^{\text{hard}}$ balance breaks down. As was seen in Fig. 1, this occurs at around 100 GeV, where the $Z \rightarrow ee$ events would require the Z boson to be increasingly boosted. As a result, the measurement of the soft term uncertainty stops at $p_T^{\text{hard}} = 100$ GeV, and the value obtained in the final bin up to 100 GeV is used for any event with a higher p_T^{hard} .

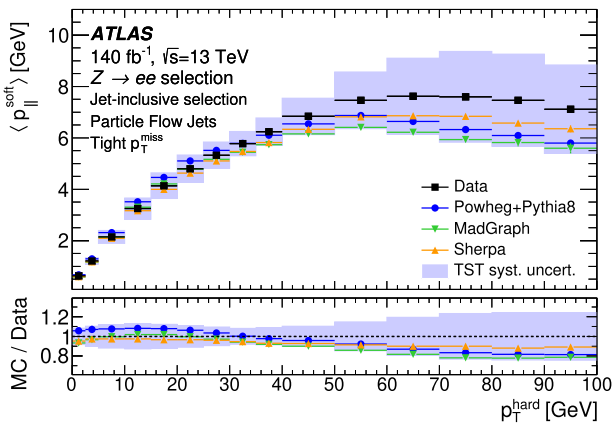
8.2 Uncertainty values

Figure 12 shows the three variables for the jet inclusive and 0-jets selection using PFlow jets, in the same bins of p_T^{hard} used for the uncertainty calculation. The distributions are given for data and the different Monte Carlo generators, with the uncertainty values (labelled as ‘TST syst. uncert.’, short for track soft term systematic uncertainty) illustrated as a shaded band centred on the data.

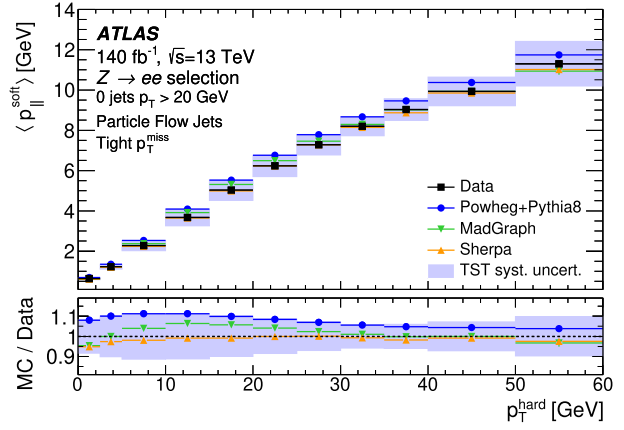
In comparison to preliminary results presented in Figure 6 of Ref. [7], a reduction in the uncertainty values for scale and resolution is seen throughout the p_T^{hard} distribution, after the improvements described here. Scale uncertainties are reduced by up to 76% and resolution uncertainties are reduced by up to 51%. For a representative example considering the [30, 35] GeV bin of p_T^{hard} , the parallel scale uncertainty is reduced in comparison to the previous results by 52% (dropping from 0.97 to 0.47 GeV), the parallel resolution uncertainty is reduced by 43% (dropping from 2.59 to 1.47 GeV), and the perpendicular resolution uncertainty is reduced by 13% (dropping from 2.29 to 2.00 GeV).

Below ~ 20 GeV, the uncertainties are dominated by the 0-jets selections where the p_T of the Z -boson directly balances the soft term. Above this, the jet inclusive selection starts to dominate, where the soft term consists mainly of diffuse radiation which hasn’t formed jets. The values of the soft term uncertainties calculated for PFlow, are shown in Fig. 13. The PFlow uncertainties are generally smaller than EMTopo (shown in Fig. 19 in Appendix B), attributed to better rejection of poorly modelled pile-up, which is consistent with the performance seen in the previous section.

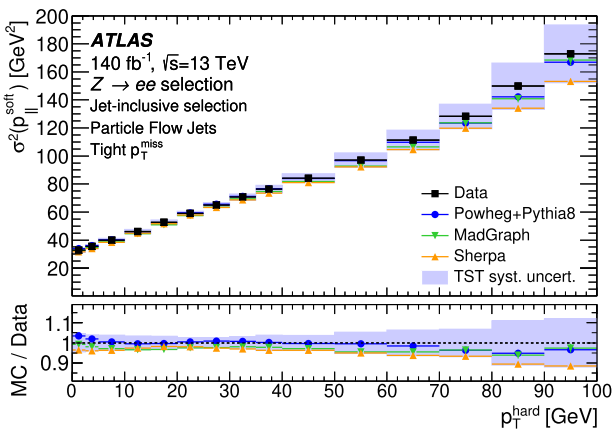
The parallel resolution uncertainty, which relates largely to mismeasurement of the jets which recoil the Z and grow in p_T with the Z , increases with p_T^{hard} . The transverse resolution uncertainty relates to other effects and is less dependent on the p_T^{hard} . Thus, the σ_{\perp} uncertainty dominates (in terms of absolute uncertainty value) at low values and σ_{\parallel} dominated beyond around $p_T^{\text{hard}} = 60$ GeV. To validate the uncertainties for the Tight working point, they are applied to the three variables calculated for $Z \rightarrow \mu\mu$ events, and



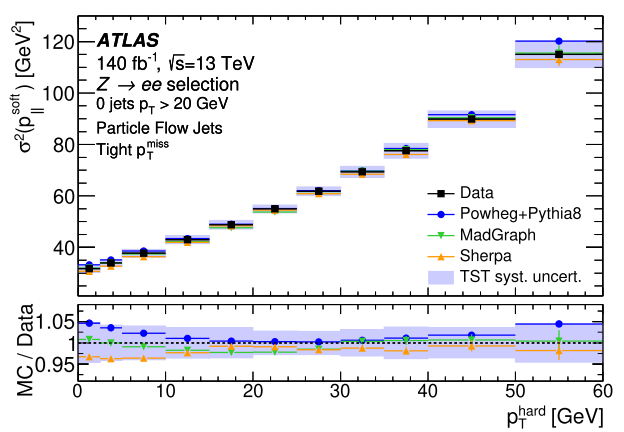
(a)



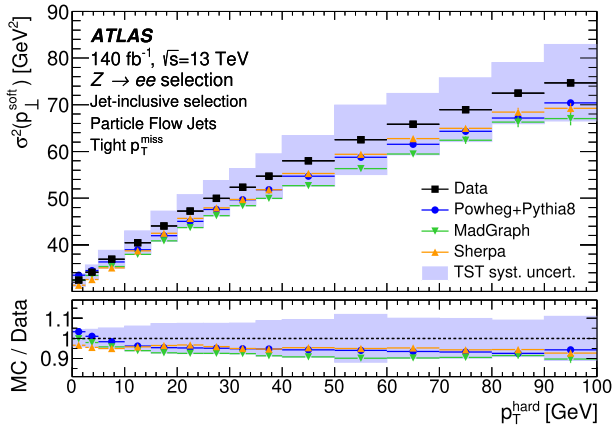
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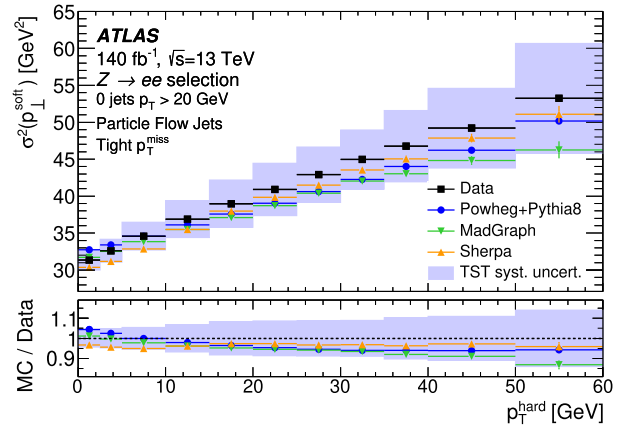
(c)



(d)



(e)



(f)

Fig. 12 Parallel scale (Δ_L , **a** and **b**), parallel resolution squared (σ_{\parallel} , **c** and **d**) and transverse resolution squared (σ_{\perp} , **e** and **f**) plots for the $p_{\text{T}}^{\text{soft}}$ (TST, track soft term) in bins of $p_{\text{T}}^{\text{hard}}$. Full Run 2 data and MC

simulated samples are shown with a $Z \rightarrow ee$ event selection applied using PFlow jets, in the jet inclusive (**a**, **c**, **e**) or 0-jets selections (**b**, **d**, **f**). Full Run 2 uncertainties are shown as a shaded band about the data

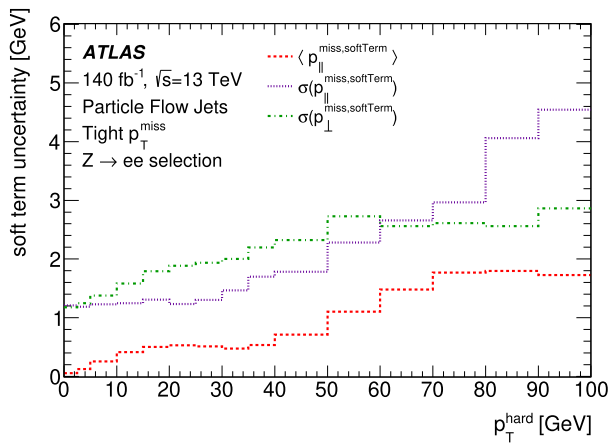


Fig. 13 A summary of the p_T^{soft} systematic uncertainties. The parallel scale (Δ_L), parallel resolution (σ_{\parallel}) and transverse resolution (σ_{\perp}) of the p_T^{soft} projection onto the p_T^{hard} , binned in p_T^{hard} . Full Run 2 data and Monte Carlo samples are shown with a $Z \rightarrow ee$ event selection applied

successfully cover $Z \rightarrow \mu\mu$ MC/data discrepancies. To validate the use of the uncertainties for other working points, they are applied to $Z \rightarrow ee$ events where p_T^{miss} is reconstructed using the Loose, Tighter, or Tenacious working points. Again the uncertainty band successfully covers MC/data differences.

To apply the calculated systematic resolution uncertainties in an ATLAS analysis, the soft term projection is smeared by a Gaussian function with a width corresponding to the resolution uncertainty in the relevant p_T^{hard} bin. It is conventional to symmetrise the variation of the soft-term to produce a \pm error band. The systematic uncertainty in the scale is applied by either adding or subtracting the scale uncertainty (Δ_L) for the appropriate p_T^{hard} bin to the value of the parallel component of the soft term, $p_{\parallel}^{\text{soft}}$.

9 p_T^{miss} significance

9.1 p_T^{miss} significance definitions

In association with p_T^{miss} , the concept of a p_T^{miss} ‘significance’ can be defined to quantify the belief that the reconstructed p_T^{miss} is real. As well as being useful to identify SM processes with neutrinos in the final state, such a variable is extremely useful in searches for new stable particles, where typically a large amount of real p_T^{miss} is expected in the new-physics signal process but not in SM background processes, or in the opposite scenario of searches for new physics processes with no real p_T^{miss} in the final state and SM backgrounds with neutrinos present. In any case, a p_T^{miss} significance variable can often more effectively discriminate between the signal and backgrounds than p_T^{miss} alone. Ten examples of searches

or measurements where this has been the case can be found in Refs. [8, 9, 58–65].

ATLAS initially used event-based p_T^{miss} significance approximations. Subsequently, the p_T^{miss} significance definition has adroitly evolved to follow a similar object-based approach to that used in calculating p_T^{miss} itself. This new object-based p_T^{miss} significance performs better at discriminating between real and fake p_T^{miss} . Both approaches are discussed here.

9.1.1 Event-based p_T^{miss} significance

As a first attempt at quantifying a measure of the ‘realness’ of p_T^{miss} , a heuristic definition was considered that approximated the resolution of p_T^{miss} using the square root of the scalar sum of all jet p_T

$$H_T = \sum_i p_{T,i},$$

where the index runs over the jets in an event. The approximation of p_T^{miss} significance (\mathcal{S}), made possible because H_T scales with p_T^{miss} resolution, is written as

$$\mathcal{S}_{H_T} = \frac{p_T^{\text{miss}}}{\sqrt{H_T}}.$$

Another approximation for the resolution was based on the sum of all the reconstructed objects in the detector defined in Eq. (2), $\sqrt{\sum p_T}$, and allowed the significance to be written as:

$$\mathcal{S}_{\Sigma} = \frac{p_T^{\text{miss}}}{\sqrt{\sum p_T}}.$$

These definitions are formed from proxies for the resolution of p_T^{miss} and so are not true dimensionless significances. Both $\sqrt{H_T}$ and $\sqrt{\sum p_T}$ are event-by-event proxies for resolution that scale linearly with p_T^{miss} resolution under the assumption that only calorimeter signals are used to build p_T^{miss} . This is not the case when one wishes to use the tracker for its improved pile-up rejection and better p_T resolution at low momentum for charged particles.

9.1.2 Object-based p_T^{miss} significance

Section 6 introduced the concept of an object-based approach to p_T^{miss} , described in Eq. (1). An analogous approach using these objects and their detector resolutions can be used to define an improved, object-based, p_T^{miss} significance. This significance encodes the resolutions of all reconstructed objects⁶ and accounts for the correlations between each object in an event. Appendix A provides a detailed derivation

⁶ This considers the p_T and η dependence of objects’ detector resolution.

of this quantity; in this section a more concise overview is presented.

To determine if the observed missing transverse momentum is real or fake in origin, a hypothesis test can be performed. This compares the hypothesis with no momentum carried by invisible particles ($\mathbf{p}_T^{\text{miss, true}} = 0$) to that with there being genuine p_T carried by invisible particles ($\mathbf{p}_T^{\text{miss, true}} \neq 0$). The missing transverse momentum significance ($\mathcal{S}(p_T^{\text{miss}})$) definition,

$$\mathcal{S}^2 = 2 \ln \left(\frac{\max_{\mathbf{p}_T^{\text{miss, true}} \neq 0} \mathcal{L}(\mathbf{p}_T^{\text{miss}} | \mathbf{p}_T^{\text{miss, true}})}{\max_{\mathbf{p}_T^{\text{miss, true}} = 0} \mathcal{L}(\mathbf{p}_T^{\text{miss}} | \mathbf{p}_T^{\text{miss, true}})} \right), \quad (5)$$

is formed by this test, where \mathcal{L} is the likelihood (the ‘true’ label refers to MC generated quantities). This log likelihood ratio, based on the Neyman–Pearson lemma [66], assumes that each of the likelihoods depends on all the objects measured in an event; their multiplicities, types and kinematic properties.

In addition to the log likelihood ratio, the functional form of $\mathcal{L}(\mathbf{p}_T^{\text{miss}} | \mathbf{p}_T^{\text{miss, true}})$ is required to calculate $\mathcal{S}(p_T^{\text{miss}})$. This can be found following a few assumptions. Firstly, the p_T measurement for each object, $\mathbf{p}_T^{\text{Obj}}$, is assumed to be independent of all others (where $\text{Obj} \in \{e, \gamma, \tau, \mu, \text{jet}\}$). For all objects, $\mathbf{p}_T^{\text{Obj}}$ (given a true value of $\mathbf{p}_T^{\text{Object, true}}$) is taken to follow a Gaussian probability distribution of the form $\text{Gaus}(\mathbf{p}_T^{\text{Obj}} - \mathbf{p}_T^{\text{Object, true}})$. The probability distribution for each object has a covariance matrix labelled \mathbf{V}^{Obj} , which is the sum of covariances quantifying the resolutions of each object, in p_T and ϕ , entering the p_T^{miss} calculation. Finally, conservation of momentum in the transverse plane means that if the true momentum of each measured particle were to be summed this would balance with the negative signed invisible particle momentum: $\sum_{\text{Objects}} \mathbf{p}_T^{\text{Object, true}} = -\mathbf{p}_T^{\text{miss, true}}$. With these assumptions made, the form of the likelihood is a two dimensional Gaussian distribution. Entering this into the maximised log likelihood ratio, Eq. (5), results in the cancellation of any preceding coefficients and leaves:

$$\mathcal{S}^2 = \left(\mathbf{p}_T^{\text{miss}} \right)^T \left(\sum_{\text{Objects}} \mathbf{V}^{\text{Obj}} \right)^{-1} \left(\mathbf{p}_T^{\text{miss}} \right). \quad (6)$$

This is now a sum of independent standard Gaussian-shaped variables in two dimensions, or more simply a χ^2 hypothesis test in two dimensions. Equation (6) links p_T^{miss} to all the object resolutions which are encoded in the covariance matrix summation.

In this format the results of the χ^2 test are easily interpreted with a single value that indicates how likely it is that the null hypothesis ($\mathbf{p}_T^{\text{Object, true}} = 0$) holds. Low values of \mathcal{S}^2 indicate that the p_T^{miss} comes from fake sources like mismeasurement or resolution effects while high values show that it is likely the p_T^{miss} comes from a real invisible particle leaving the detector without interactions. The covariance matrix for each object is defined with an axis along the measured transverse momentum vector of the object under consideration, $\mathbf{p}_T^{\text{Obj}}$.

After some matrix calculation covered in detail in Appendix A, one obtains the final definition of $\mathcal{S}(p_T^{\text{miss}})$:

$$\mathcal{S}(p_T^{\text{miss}}) = \frac{p_T^{\text{miss}}}{\sqrt{\sigma_L^2 (1 - \rho_{LT}^2)}}. \quad (7)$$

Here σ_L defines the resolution longitudinally to $\mathbf{p}_T^{\text{miss}}$ and ρ_{LT} is the correlation between the transverse and longitudinal resolutions relative to $\mathbf{p}_T^{\text{miss}}$, calculated from the covariance matrix. This dimensionless variable contains the measured quantity in the numerator, along with a measure of its variance in the denominator. Code to implement the object-based p_T^{miss} significance externally to the ATLAS Collaboration, including the object resolution values used, can be found in the SimpleAnalysis Framework [67].

9.2 p_T^{miss} significance modelling and performance

Figure 14a shows the original calorimeter dependent significance proxy, \mathcal{S}_Σ , for events that satisfy a $Z \rightarrow \mu\mu$ selection. The Tight and PFlow jets are used to build the p_T^{miss} , and the jet inclusive selection is applied. SHERPA is used to generate the $Z \rightarrow \mu\mu$ MC simulation events. The low values are dominated by events with an expected truth p_T^{miss} of zero, which have some fake p_T^{miss} . The high valued tails are more dominated by events from other processes that have a high energy neutrino produced and satisfy the $Z \rightarrow \mu\mu$ selection in data. Figure 14b shows a different event-based significance estimate, \mathcal{S}_{HT} , which indicates a larger estimate of events which are likely to have real p_T^{miss} in them.

The object-based missing transverse momentum significance derived in Sect. 9 is presented in Fig. 14c. The $\mathcal{S}(p_T^{\text{miss}})$ distribution for the $Z \rightarrow \mu\mu$ events moves closer to the expected value of zero, whilst the other processes move to higher values. It shows good agreement between data and MC in the bulk where $Z \rightarrow \mu\mu$ events dominate and the MC simulations used in this paper are expected to be more representative of the data. The behaviour here is closer to that of Fig. 14a than Fig. 14b and reinforces the statement that $\sqrt{\sum p_T}$ is a good proxy for the resolution of p_T^{miss} .

One can also investigate how the resolution terms in the denominator impact the agreement between data and prediction by defining a directional p_T^{miss} significance (\mathcal{S}_{dir}) that only has the longitudinal resolution in Eq. (7) and so remove any input from σ_T . This is shown in Fig. 14d, which looks very similar to Fig. 14c suggesting a small impact in this $Z \rightarrow \mu\mu$ event topology.

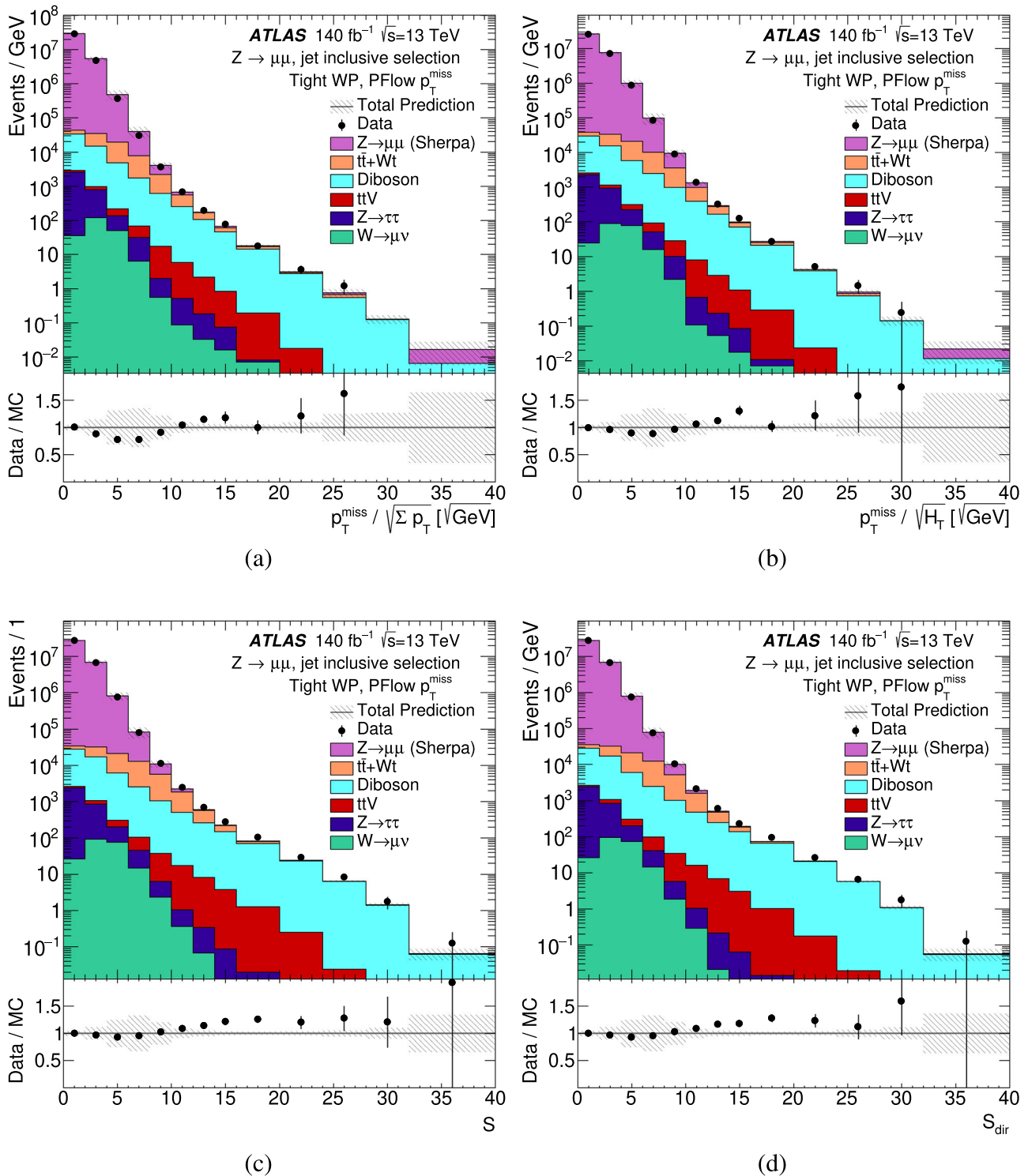


Fig. 14 Event-based proxies for p_T^{miss} significance (a, b), Object-based p_T^{miss} significance (c), and its directional form (d), in $Z \rightarrow \mu\mu$ events. p_T^{miss} is built using the Tight working point

and PFlow jets. SHERPA is used to generate the $Z \rightarrow \mu\mu$ events. The error band includes MC statistical, luminosity and detector uncertainties

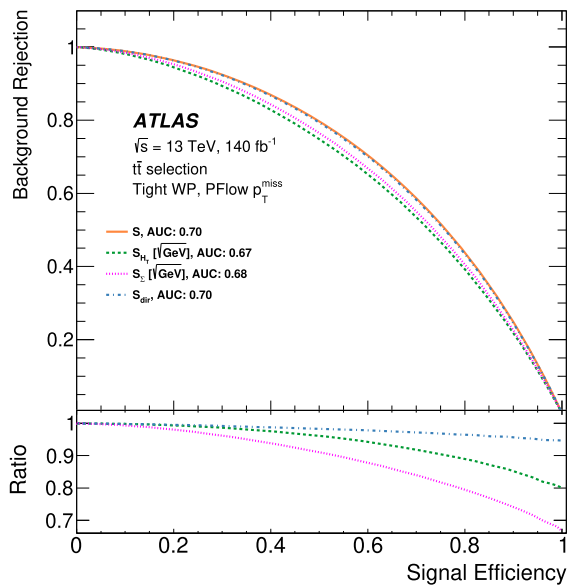


Fig. 15 Background rejection versus signal efficiency in simulated $Z \rightarrow \mu\mu$ and $t\bar{t}$ events. Both samples have a $t\bar{t}$ event selection applied. All events passing the selections are used to calculate background rejection and signal efficiency using the `Tight` and `PFlow` jets to build p_T^{miss} . The Area Under the Curve (AUC) value is shown beside each significance definition in the legend

The performance of the various p_T^{miss} significance definitions at discriminating between processes with real and fake p_T^{miss} is assessed next. This is done by calculating ROC curves for each definition, to determine background rejection against signal efficiency, as shown in Fig. 15. The comparison is made in the $t\bar{t}$ event selection, considering semileptonically decaying $t\bar{t}$ MC simulated events as the real p_T^{miss} signal, and MC simulated $Z \rightarrow \mu\mu$ events as the fake p_T^{miss} background which contaminates the event selection. In an ATLAS analysis, a common use of p_T^{miss} significance would be as a selection requirement on the events entering the analysis region, and ideally the signal efficiency and background rejection should both be maximised through a particular threshold on the significance value. The ROC curves demonstrate that discrimination power improves with the object-based significance measures in comparison to the event-based definitions. The directional significance S_{dir} has a very similar definition to the object-based significance and shows a comparable, although marginally worse, performance.

10 Conclusion

This paper presents the performance of missing transverse momentum and its significance in 140 fb^{-1} of proton-proton collisions recorded at a center-of-mass energy of 13 TeV, acquired by the ATLAS experiment between 2015 and 2018.

A complete description of p_T^{miss} reconstruction is given, including the update to the particle flow jet collection, and the definitions of four working points to allow more stringent removal of pile-up contamination for analyses that require it. The state-of-the-art object-based p_T^{miss} significance is derived, in comparison to earlier event-based approximations. Comparisons of MC simulation and data are shown for various p_T^{miss} quantities, with a $Z \rightarrow \ell\ell$ selection applied. There is generally good agreement, particularly in the overall p_T^{miss} distribution for all p_T^{miss} working points, jet definitions and MC generators considered. The p_T^{miss} significance modelling is also satisfactory, and showed a better separation between topologies with real and fake p_T^{miss} in comparison to the event-based approximations.

Firstly, the dependence of the p_T^{miss} resolution on pile-up is shown by comparing different jet selections – demonstrating that almost all pile-up dependence originates from jets in the p_T^{miss} calculation, as expected. Secondly, p_T^{miss} working points are compared, demonstrating success at improving the otherwise degraded resolution at high pile-up by up to 39% as the working points are tightened from `Loose` to `Tenacious`. The resolution is considered for several processes to demonstrate that all working points are useful. The comparison of reconstructed and truth p_T^{miss} is made for each working point, as a function of the truth p_T^{miss} . All working points behave similarly here, with reconstructed p_T^{miss} overestimating the truth p_T^{miss} for low values of truth p_T^{miss} , and estimating it well at higher values. Finally the p_T^{miss} scale is shown to be similar between data and MC simulation in $Z \rightarrow ee$ events, with both showing an underestimation of the hadronic recoil.

Systematic uncertainties in the p_T^{soft} scale and resolution are calculated using $Z \rightarrow ee$ events, by considering how well data and MC simulation meet the expectation of a perfect balance between p_T^{hard} and p_T^{soft} in events with zero real p_T^{miss} . The uncertainties are calculated as the maximal disagreement between data and MC simulation in three different $Z \rightarrow \ell\ell$ generators, in bins of p_T^{hard} . The uncertainty values are reduced throughout the p_T^{hard} distribution, by up to 76% for scale and up to 51% for resolution.

Run 2 p_T^{miss} reconstruction at ATLAS is observed to be resilient against rising pile-up, overall the modelling is good and the disagreement in the p_T^{soft} modelling is evaluated and taken into account with systematic uncertainties. As an important detector signature for ATLAS, p_T^{miss} will continue to be a robust component of many physics analyses to come.

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Appendix

A p_T^{miss} significance

Section 6 introduced the concept of an object-based approach to p_T^{miss} , described in Eq. (1). An analogous object-based approach can be used to define an improved, object-based, p_T^{miss} significance. This significance encodes the resolutions of all reconstructed objects while also accounting for the correlations between each object in an event.

The relative resolution of each hard object as a function of their p_T motivates the use of an object-based approach to the significance in Fig. 16. The relative resolutions can vary by a large amount across the p_T range and even in what $|\eta|$ region the candidate object is in.

With the objects and their respective resolutions used in Eq. (1) in mind, one can formulate a true significance. To determine if the observed missing transverse momentum, p_T^{miss} , is due to a real invisible particle, or instead caused by resolution effects and mismeasurement of detector objects, a hypothesis test between there being no momentum carried by invisible particles ($p_T^{\text{miss, true}} = 0$) against there being genuine p_T carried by invisible particles ($p_T^{\text{miss, true}} \neq 0$) is defined. This test forms the missing transverse momentum

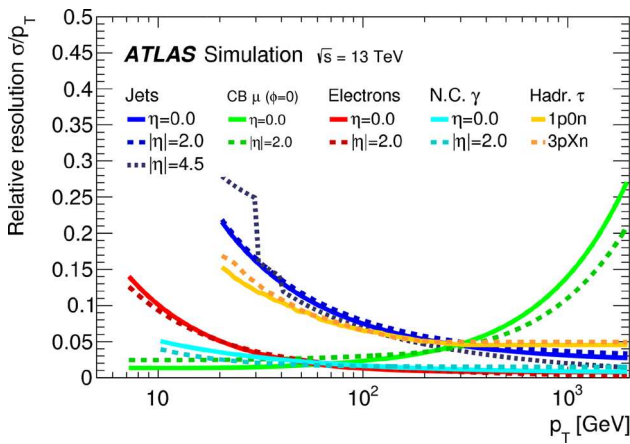


Fig. 16 Each of the relative resolutions (σ/p_T) for the objects entering the p_T^{miss} , defined in Sect. 6. The lines are split by $|\eta|$ conditions and run with the p_T of the object in question. The muons are said to be combined (CB) meaning that they come from combined inner detector tracks and muon spectrometer hits. The photons are those which have not converted into an e^+e^- pair. The jet curves include the contribution from pile-up, which is binned in p_T , giving the sharp shape for $|\eta| = 4.5$. More detail on object definitions is found in Sect. 4

significance ($\mathcal{S}(p_T^{\text{miss}})$) definition,

$$\mathcal{S}^2 = 2\ln \left(\frac{\max_{p_T^{\text{miss}, \text{true}} \neq 0} \mathcal{L}(p_T^{\text{miss}} | p_T^{\text{miss}, \text{true}})}{\max_{p_T^{\text{miss}, \text{true}} = 0} \mathcal{L}(p_T^{\text{miss}} | p_T^{\text{miss}, \text{true}})} \right).$$

This log likelihood ratio, based on the Neyman-Pearson lemma [66], assumes that each of the likelihoods depends on all the objects measured in an event, their multiplicities, types and kinematic properties. In other words \mathcal{S} is an event-by-event evaluation of the p -value that the observed p_T^{miss} , is consistent with the null hypothesis that there is no truth $p_T^{\text{miss}}, p_T^{\text{Object, true}} = 0$,

$$\mathcal{S}^2 = 2\ln \left(\frac{\mathcal{L}(p_T^{\text{miss}} | p_T^{\text{miss}, \text{true}})}{\mathcal{L}(p_T^{\text{miss}} | 0)} \right). \tag{8}$$

In addition to this, the functional form of $\mathcal{L}(p_T^{\text{miss}} | p_T^{\text{miss}, \text{true}})$ is required to calculate $\mathcal{S}(p_T^{\text{miss}})$. This can be found following a few assumptions. Firstly, the p_T measurement for each object, p_T^{Obj} , is assumed to be independent of all others (where $\text{Obj} \in \{e, \gamma, \tau, \mu, \text{jet}\}$). Each of the objects measuring p_T^{Obj} (given a true value of $p_T^{\text{Object, true}}$) is taken to follow a particular probability distribution of the form $f(p_T^{\text{Obj}} - p_T^{\text{Object, true}})$. The probability distribution for each object is assumed to be Gaussian and has a covariance matrix labelled \mathbf{V}^{Obj} . This is the sum of covariances quantifying the resolutions of each object, in p_T and ϕ , entering the p_T^{miss} calculation. Finally, conservation of momentum in the transverse plane means that if the true momentum of each measured particle were to be summed this would balance with the negative signed invisible particle momentum: $\sum_{\text{Objects}} p_T^{\text{Obj}} = -p_T^{\text{miss}, \text{true}}$.

With these assumptions made, the form of the likelihood is

$$\begin{aligned} \mathcal{L}(p_T^{\text{miss}} | p_T^{\text{miss}, \text{true}}) &\propto \exp \left[-\frac{1}{2} (p_T^{\text{miss}} - p_T^{\text{miss}, \text{true}})^T \right. \\ &\quad \times \left. \left(\sum_{\text{Objects}} \mathbf{V}^{\text{Obj}} \right)^{-1} (p_T^{\text{miss}} - p_T^{\text{miss}, \text{true}}) \right], \end{aligned}$$

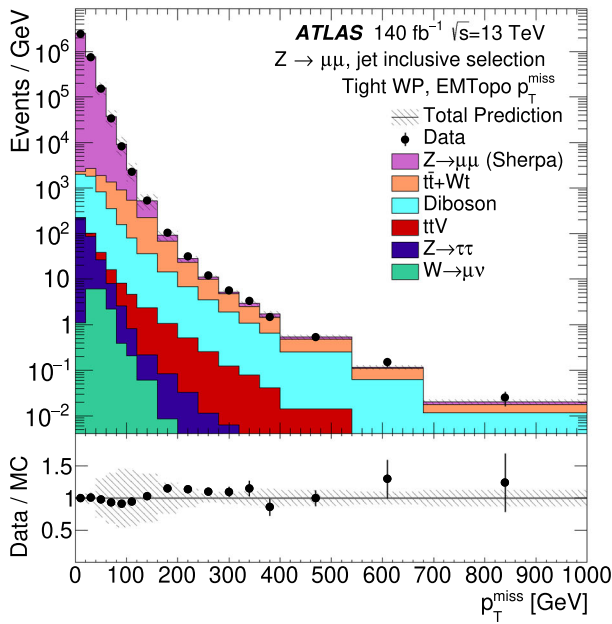
which is a two dimensional Gaussian distribution. Entering this into the maximised log likelihood ratio, Eq. (8), results in the cancellation of any preceding coefficients and leaves:

$$\mathcal{S}^2 = (p_T^{\text{miss}})^T \left(\sum_{\text{Objects}} \mathbf{V}^{\text{Obj}} \right)^{-1} (p_T^{\text{miss}}). \tag{9}$$

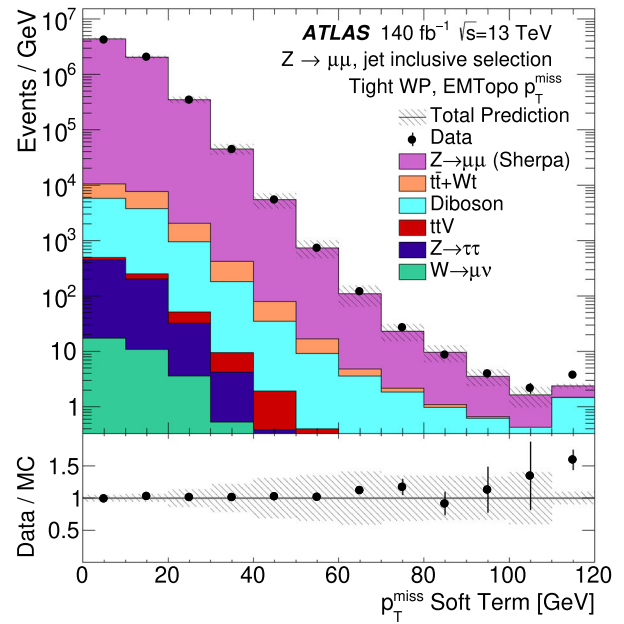
This is now a sum of independent standard normal variables in two dimensions, or more simply a χ^2 hypothesis test in two dimensions. Equation (9) links p_T^{miss} to all the object

Table 4 Selections for the p_T^{miss} working points supported for EMTopo jets

Working point	Selections		JVJVT for jets with $ \eta < 2.4$	fJVJVT for jets with $2.5 < \eta < 4.5$ & $p_T < 120$ GeV
	p_T [GeV] for jets with: $ \eta < 2.4$	p_T [GeV] for jets with: $2.4 < \eta < 4.5$		
Loose	> 20	> 20	> 0.59 for $p_T < 60$ GeV	–
Tight	> 20	> 30	> 0.59 for $p_T < 60$ GeV	< 0.4
Tighter	> 20	> 35	> 0.59 for $p_T < 60$ GeV	–
Tenacious	> 20	> 35	> 0.91 for $20 < p_T < 40$ GeV > 0.59 for $40 < p_T < 60$ GeV > 0.11 for $60 < p_T < 120$ GeV	< 0.5



(a)



(b)

Fig. 17 Distributions of p_T^{miss} (a) and its constituent soft (b) terms in MC and data. Events satisfy a $Z \rightarrow \mu\mu$ selection. EMTopo jets are used with a jet inclusive selection and the Tight p_T^{miss} working point.

SHERPA is used to generate the $Z \rightarrow \mu\mu$ events. The error band includes MC statistical, luminosity and detector uncertainties

resolutions which are encoded in the covariance matrix summation.

In this format the results of the χ^2 test are easily interpreted with a single value that indicates how likely it is that the null hypothesis ($p_T^{\text{miss, true}} = 0$) holds. Low values of S^2 indicate that the p_T^{miss} comes from fake sources like mismeasurement or resolution effects while high values show that it is likely the p_T^{miss} comes from a real invisible particle leaving the detector without interaction.

The covariance matrix for each object is defined with an axis along the measured transverse momentum vector of the object under consideration, p_T^{Obj} . This allows each object's covariance matrix to be simply written in terms of the resolution of the magnitude of p_T^{Obj} and the resolution in the azimuthal angle,

$$\mathbf{V}^{\text{Obj}} = \begin{pmatrix} \sigma_{p_T^{\text{Obj}}}^2 & 0 \\ 0 & p_T^{\text{Obj}2} \sigma_{\phi^{\text{Obj}}}^2 \end{pmatrix},$$

under the condition that p_T^{Obj} and ϕ^{Obj} are independent measurements.

So far only the well defined hard objects have been considered but as was seen there is a soft term in Eq. (1) with its own resolution. The covariance matrix for the soft term is defined in a similar fashion to the objects in Eq. (11) and

allows the complete covariance matrix to be written as:

$$\mathbf{V} = \sum_{\text{Objects}} \mathbf{V}^{\text{Obj}} + \mathbf{V}^{\text{Soft}}.$$

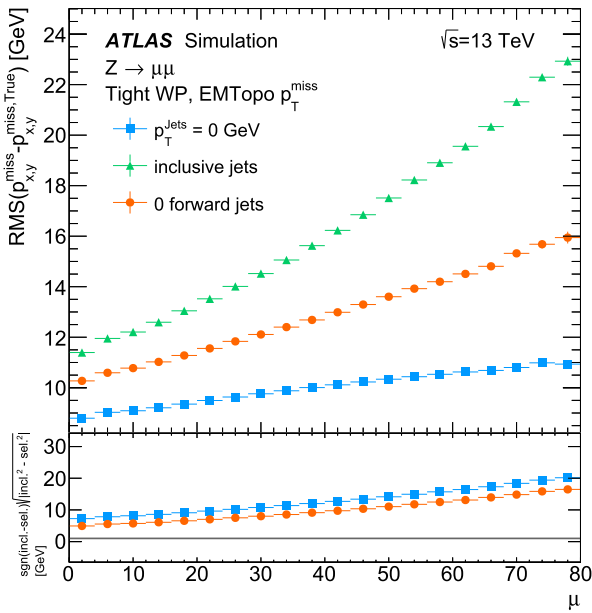
The soft term is included in the Obj set with the other hard objects. The total covariance matrix can be rotated using the two dimensional rotation matrix $R(\phi^{\text{Obj}})$ in the azimuthal plane,

$$\mathbf{V}_{xy} = \sum_{\text{Objects}} R^{-1}(\phi^{\text{Obj}}) \mathbf{V}^{\text{Obj}} R(\phi^{\text{Obj}}) = \begin{pmatrix} \sigma_x^2 & \sigma_{xy}^2 \\ \sigma_{xy}^2 & \sigma_y^2 \end{pmatrix}.$$

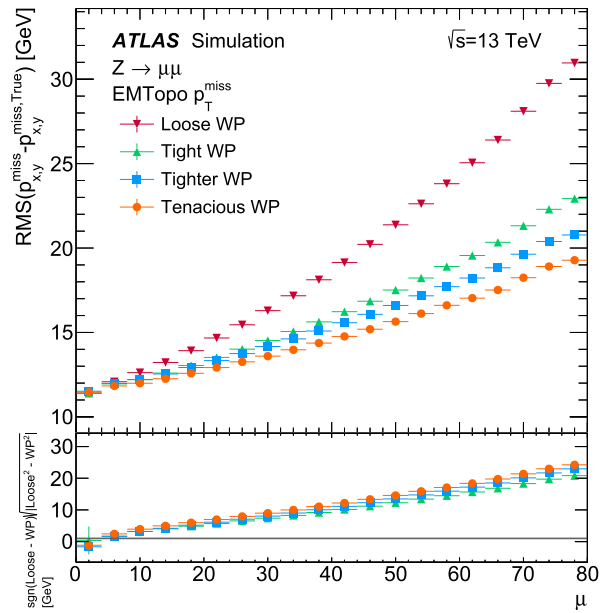
Here the σ terms are now the combined resolutions of p_T^{miss} in x and y . To simplify the situation even further it is prudent to again rotate the system to the frame of p_T^{miss} . In this frame there are two components to the total p_T^{miss} resolutions; one longitudinal (or parallel) "L" and another transverse (or perpendicular) to p_T^{miss} "T". To do this another two dimensional rotation matrix is applied, $R(\phi(p_T^{\text{miss}}))$, to end up with:

$$\begin{aligned} \mathbf{V}_{\text{LT}} &= R(\phi(p_T^{\text{miss}})) \mathbf{V}_{xy} R^{-1}(\phi(p_T^{\text{miss}})) \\ &= \begin{pmatrix} \sigma_L^2 & \rho_{\text{LT}} \sigma_L \sigma_T \\ \rho_{\text{LT}} \sigma_L \sigma_T & \sigma_T^2 \end{pmatrix}. \end{aligned}$$

The longitudinal variance is σ_L , the transverse variance is σ_T and ρ_{LT} represents the covariance between measurements in the longitudinal and transverse directions. Equa-



(a)



(b)

Fig. 18 The p_x^{miss} and p_y^{miss} resolution for different jet selections (sel.) (a) and different p_T^{miss} working points (b) as a function of μ . The Tight p_T^{miss} working point is used and SHERPA $Z \rightarrow \mu\mu$ MC simulated events are used. EMTopo jets are used. The error bars include

the MC statistical uncertainty. In the y-axis label of the lower panels, ‘incl.’ refers to the inclusive jet selection, ‘sel.’ to the alternate jet selection under consideration and ‘WP’ to the working point under consideration

tion (9) takes the inverse of \mathbf{V} , this can be retrieved using the following relation for a two-by-two matrix,

$$\mathbf{V}^{-1} = \frac{1}{\det \mathbf{V}} [(\text{tr} \mathbf{V})\mathbf{I} - \mathbf{V}].$$

Which gives,

$$\mathbf{V}_{\text{LT}}^{-1} = \frac{1}{\sigma_L^2 \sigma_T^2 - \rho_{\text{LT}}^2 \sigma_L^2 \sigma_T^2} \begin{pmatrix} \sigma_T^2 & -\rho_{\text{LT}} \sigma_L \sigma_T \\ -\rho_{\text{LT}} \sigma_L \sigma_T & \sigma_L^2 \end{pmatrix}. \quad (10)$$

This can finally be substituted into a slightly more expanded version (for clarity) of Eq. (9) with the total covariance matrix in the ‘LT’ frame, as defined above,

$$\mathcal{S}^2 = (p_T^{\text{miss}}, 0) \mathbf{V}_{\text{LT}}^{-1} \begin{pmatrix} p_T^{\text{miss}} \\ 0 \end{pmatrix}.$$

Finally entering Eq. (10) and multiplying out the matrix one ends up with the much simpler definition of $\mathcal{S}(p_T^{\text{miss}})$:

$$\mathcal{S}^2 = \frac{|p_T^{\text{miss}}|^2}{\sigma_L^2 (1 - \rho_{\text{LT}}^2)} \quad (11)$$

or

$$\mathcal{S}(p_T^{\text{miss}}) = \frac{p_T^{\text{miss}}}{\sqrt{\sigma_L^2 (1 - \rho_{\text{LT}}^2)}}.$$

Equation (11) is the final object-based missing transverse momentum significance and is a true significance. This vari-

able contains the measured quantity in the numerator along with information on the variance of its measurement in the denominator in a dimensionless way.

B p_T^{miss} with EMTopo jets

EMTopo jets are reconstructed from topo-clusters, using the anti- k_t algorithm with $R = 0.4$. The topo-clusters are calibrated at the EM energy scale, and fully calibrated [57]. Requirements of $p_T > 20 \text{ GeV}$ and $|\eta| < 4.5$ are made on the calibrated EMTopo jets. Tracks are matched to jets using ghost-association [69]. This consists of repeating the jet clustering process with the addition of ‘ghost’ versions of tracks with the same direction but infinitesimal p_T . A track is ghost-associated if it is contained within the re-clustered jet. After full calibration, EMTopo jets are subject to JVT requirements that are the same as those for PFlow jets, except that JVT > 0.59 is used to achieve the same efficiency.

The reconstruction of p_T^{miss} when EMTopo jets are used follows the procedure defined in Sect. 6. Similar to PFlow-based p_T^{miss} (illustrated in Table 3), four working points are supported, and shown in Table 4.

Figure 17 shows the p_T^{miss} and p_T^{soft} distributions, for p_T^{miss} built from EMTopo jets satisfying a $Z \rightarrow \mu\mu$ selection. These show a similar level of agreement between data and

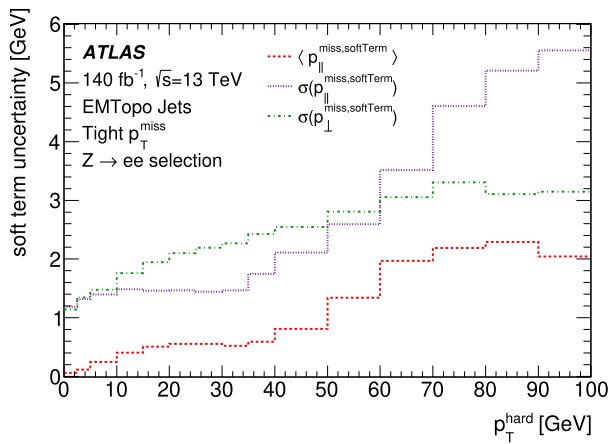


Fig. 19 A summary of the p_T^{soft} systematic uncertainties for p_T^{miss} built with EMTopo jets. The parallel scale (Δ_L), parallel resolution (σ_{\parallel}) and transverse resolution (σ_{\perp}) of the p_T^{soft} projection onto the p_T^{hard} , binned in p_T^{hard} . Full Run 2 data and Monte Carlo samples are shown with a $Z \rightarrow ee$ event selection applied

Monte-Carlo simulation in comparison to the PFlow-based distributions shown in Fig. 1. The soft term has a smaller tail when PFlow jets are used to build p_T^{miss} compared to using EMTopo jets, attributed to the particle flow algorithm's improved ability to reject pile-up (Fig. 18).

The values of the soft term uncertainties calculated for EMTopo, are given Fig. 19. The EMTopo uncertainties are generally larger than for PFlow (shown in Fig. 13), attributed to PFlow's better rejection of poorly modelled pile-up.

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