

1 **Title Page:**

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3 **Human-powered hydroponic systems: An environmental and economic assessment**

4  
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13  
14 **Abstract:**

15  
16 Hydroponic systems like vertical farming have been proposed as an alternative and potentially more  
17 sustainable option for growing vegetables than conventional soil-based production methods.  
18 However, the energy required for the functioning of hydroponic systems is a key contributor to the  
19 total environmental impact and economic cost. Human-powered hydroponic systems, which utilise  
20 human muscular exertion to power the system, have not been thoroughly investigated, despite their  
21 potential as a cost-efficient and less harmful alternative to the environment. This paper presents a  
22 prototype of a novel human-powered hydroponic system and assesses its environmental impact and  
23 economic costs using life cycle assessment (LCA) and life cycle costing (LCC), considering the  
24 cultivation of lettuce as a case study. A scenario analysis was performed to assess the environmental  
25 impact of lettuce production using a human-powered hydroponic system compared to conventional  
26 soil or greenhouse-based production methods. Using SimaPro LCA software, 18 impact categories  
27 included in the ReciPe Midpoint (H) method were evaluated. The results indicated that the battery,  
28 followed by the power system, represented the primary environmental hotspots of the human-  
29 powered hydroponic system. Improving the yield (production of 6 lettuces of 500 g vs 200 g per 40-  
30 day growing cycle) of the human-powered hydroponic system can reduce the environmental impact  
31 of lettuce production compared to conventionally soil-based produced lettuce in 11 out of the 18  
32 evaluated impact categories, and when compared to greenhouse-produced lettuce, it generates  
33 lower impacts in 14 out of 18 categories. Regarding economic cost, considering a yield of 1.2 kg (6  
34 lettuces of 200 g) per 40-day growing cycle with the human-powered hydroponic system, it is more  
35 expensive for the consumer than buying lettuce produced with conventional soil-based open-field  
36 agriculture and greenhouses, and break-even points are not achievable within 15 years (the lifespan  
37 of the system). However, when considering a yield of 3 kg (6 lettuces of 500 g), the break-even point  
38 with greenhouse-produced lettuce was achieved between 10 and 11 years. Therefore, although a  
39 break-even point is not achievable within the considered lifespan of the developed system, it could  
40 be reached if the yield of the system increased and/or if the system had a longer lifespan, which is  
41 mainly determined by the lifespan of the battery.

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43 **Keywords:** Human-powered hydroponic systems; vertical farming; life cycle assessment (LCA); life  
44 cycle costing (LCC); hydroponics; urban agriculture.

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46 **1. Introduction**

47  
48 Conventional agricultural practices in both open-field and greenhouse settings require soil as a  
49 medium for cultivating crops. However, the effectiveness of these soil-based methods has been  
50 debated. Previous studies (Barbosa et al., 2015; Khan et al., 2018) have indicated that these methods  
51 may not be the most effective in terms of yield, which will be a key requirement for agricultural

52 methods in the future, in light of the rapidly growing population whose demand for fresh produce in  
53 cities is growing exponentially (Gentry, 2019; Croce and Vettorato, 2021). In addition, these  
54 conventional methods present other disadvantages such as the requirement for abundant fertile  
55 land (Dholwani et al., 2018) or specific weather and geographical conditions to grow certain crop  
56 varieties (Barbosa et al., 2015). These methods are also water and labour-intensive, prone to  
57 infestations (Khan et al., 2018), and require the use of pesticides to avoid and control plagues.

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59 New, potentially more effective agricultural techniques have emerged as viable alternatives to  
60 conventional soil-based practices. Hydroponics is one such alternative. Compared with soil-based  
61 agricultural methods, hydroponics has many benefits (Barbosa et al., 2015; Khan et al., 2018; Sebring  
62 et al., 2022) such as i) longer growing seasons (Brechtner and Both, 2013), ii) less use of water,  
63 pesticides, and soil degradation; and iii) faster crop growth and higher yields (Dholwani et al., 2018).  
64 Hydroponics only requires water and nutrients dissolved in the water to feed plants. Currently, there  
65 are many commercially available hydroponic systems for indoor and outdoor use and domestic or  
66 commercial applications. However, (Nisha et al., 2019) stated that hydroponic systems used for  
67 commercial applications (e.g. vertical farms) have a high initial manufacturing cost, representing one  
68 of the key barriers to wider deployment, particularly for those designed for indoor use (e.g.  
69 greenhouse vertical farming) in cold and dark climates (i.e. higher-latitude countries). Moreover,  
70 most current hydroponic systems rely on the power grid to function, except for some exceptions in  
71 which hydroponic systems are powered by photovoltaic (PV) systems (Xu et al., 2022) or hybrid  
72 renewable energy systems (Udovichenko et al., 2021). Barbosa et al. (2015) also mentioned the high  
73 power consumption required to operate these systems, mainly from heating and cooling loads and  
74 artificial lighting, which are the main contributors to their negative environmental impacts. The  
75 same study suggested that if these systems were powered using alternative (renewable) sources of  
76 energy that do not rely on fossil fuels, hydroponic systems could potentially be a very effective and  
77 sustainable option for growing crops.

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79 Assessing the long-term sustainability and economic feasibility of these technologies is key to  
80 assessing their benefits and areas for improvement before widespread adoption; hence, previous  
81 research has focused on gaining an understanding of their environmental impact (e.g. Blom et al.  
82 2022; Ghasemi-Mobtaker et al., 2022; Pinho et al., 2022), economic cost (Udovichenko et al., 2021;  
83 Gumisiriza et al., 2022), and the feasibility of replacing grid power with renewable energy sources  
84 (Ronay and Dumitru, 2015). However, the environmental impact assessments conducted in these  
85 studies did not always consider all the environmental impact categories. For example, some studies  
86 have focused solely on analysing the carbon footprint (Ntinis et al., 2020; Casey et al., 2022) and  
87 were typically developed for commercial applications rather than residential use (e.g. Urbano et al.,  
88 2022). The findings of previous studies also suggested that the energy necessary to cool and heat  
89 greenhouses where hydroponics are employed has the greatest environmental impact (Romeo et al.,  
90 2018; Chen et al., 2020). Barbosa (2015) further mentioned that less complex (with fewer electrical-  
91 electronic systems involved) hydroponic systems could demand significantly less energy, making  
92 them more sustainable, given that the use phase of hydroponic systems is the primary cause of their  
93 total environmental impact. However, this may not apply to domestic-oriented hydroponic systems  
94 because the type of hydroponic system required may be less costly to manufacture, maintain, and  
95 run. Domestic hydroponic systems with lower power use may not encounter the same challenges as  
96 those encountered in previous studies of hydroponic systems. Furthermore, if the electrical power  
97 of such a system can be generated from renewable energy sources, it could potentially be even  
98 more sustainable and economically efficient. Human power can be suggested as an alternative  
99 energy source, where the exertion of human muscle energy (i.e. mechanical power) can be  
100 converted into electrical power to operate hydroponic systems, such as a pedal-powered generator.  
101 However, there is a lack of published research assessing and analysing the viability of human power  
102 as an alternative energy source to power hydroponic systems.

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This paper presents a novel human-powered hydroponic system developed by the authors and an assessment of its environmental impact and economic cost. To the best of our knowledge, hydroponic systems powered by humans have not been developed or manufactured to date, despite presenting a potentially more sustainable and cost-effective alternative to existing hydroponic systems, considering that they do not require energy from the grid to function, resulting in reduced environmental impact and cost.

This paper first presents a literature review, followed by the methodology to assess the environmental (LCA: Life Cycle Assessment) and economic (LCC: Life Cycle Cost Analysis) impacts of the developed human-powered hydroponic systems, followed by the results and discussion, including sensitivity and scenario analysis, and conclusions. Further details regarding the assessed human-powered hydroponic system are provided in the Appendix.

## 2. Literature review

The literature review is divided into two sections: previous studies that assess the environmental impact of hydroponic systems, and studies that assess their economic cost.

### 2.1 Environmental impact of hydroponic systems

Several studies (Appendix, Table 1) have assessed the environmental impacts of hydroponics systems and other agricultural systems. Pinho et al. (2022) compared hydroponic systems with FLOCponics and biofloc systems using energy synthesis, finding that biofloc systems had the lowest environmental impact, followed by FLOCponics and hydroponic systems. However, the hydroponic systems evaluated in this study were powered by grid electricity, rather than human power. Additionally, the environmental impact assessment utilised energy synthesis instead of life cycle assessment (LCA). In another study, Ghasemi-Mobtaker et al. (2022) conducted an LCA to analyse the environmental impact of hydroponic systems in fodder production. They identified seed production, energy use during the operational phase, and fertiliser production as the key factors contributing to the total environmental impact of hydroponic systems. These findings suggest the need to optimise energy utilisation and explore renewable energy sources to reduce the environmental footprint of hydroponic systems. The hydroponic systems evaluated in both studies were powered by grid electricity and natural gas within commercial greenhouses rather than in domestic vertical farming-like settings.

Blom et al. (2022) compared the carbon footprints of different agricultural systems, including hydroponic systems, finding that vertical farming hydroponic systems (VFHS) have a significantly higher carbon footprint than soil-based open-field farms, soil-based greenhouses, and hydroponic greenhouses. Electricity consumption during the use phase was identified as the primary contributor to the carbon footprint of VFHSs. Despite the use of PV panels, grid electricity accounted for most of the carbon footprint. Romeo et al. (2018) assessed and compared the environmental impacts of a VFHS, soil-based greenhouse, and on-field cultivation using an attributional cradle-to-gate LCA. The results showed that VFHS could deliver higher yields and had an environmental impact comparable to that of on-field cultivation, which was 2 to 12 times lower than soil-based heated greenhouse production in a heated greenhouse.

Other studies (Rothwell et al., 2016; Chen et al., 2020; Ntinis et al., 2020; Udovichenko et al., 2021; Casey et al., 2022; Urbano et al., 2022) have assessed and compared the environmental impact of hydroponic systems and other agricultural methods. These studies consistently highlight power use,

154 energy sources, and greenhouse-gas-related emissions as significant contributors to the total  
155 environmental impact. Although some hydroponic systems utilise renewable energy sources, most  
156 rely on grid electricity and are primarily designed for commercial rather than residential  
157 applications. The results of these studies highlight the importance of optimising resource inputs,  
158 minimising energy usage, and investigating renewable energy sources to reduce the negative  
159 environmental impacts of hydroponic systems. Overall, these studies suggest that hydroponic  
160 systems have the potential to contribute to more sustainable and efficient agricultural systems.  
161 However, careful attention must be paid to their design, operation, and energy sources to reduce  
162 their environmental impact.

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164 Based on the literature review, it can be concluded that the environmental impact of hydroponic  
165 systems has been assessed in several studies (Appendix, Table 1). However, none of the hydroponic  
166 systems assessed in these studies were human-powered, and a significant proportion of the non-  
167 grid-powered hydroponic systems relied on non-renewable or hybrid energy sources. Furthermore,  
168 the environmental impact assessments conducted in these studies did not always consider all  
169 environmental impact categories; they typically focused solely on analysing the carbon footprint and  
170 were developed for commercial rather than residential use. These studies also demonstrated that  
171 the energy required to cool and heat greenhouses in which hydroponics were employed had the  
172 greatest environmental impact.

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## 174 2.2 Economic assessment of hydroponic systems

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176 Several studies (see Appendix, Table 2) have assessed the economic costs of different hydroponic  
177 system types. Gumisiriza et al. (2022) performed a cost-benefit analysis of non-greenhouse  
178 hydroponic systems using the following methods: Net Present Value (NPV), Profitability Index (PI),  
179 Internal Rate of Return (IRR), and Non-Discounted Payback Period (NDPBP). The results of this study  
180 showed that the hydroponic system was cost-effective. However, the hydroponic systems in this  
181 study did not require energy from the grid to be powered, and the type of hydroponic system used  
182 was very different from that used in the present study, which was based on a continuous circulating  
183 flow solution. The assessed hydroponic systems did not require costly equipment such as water  
184 pumps, which can significantly reduce the total life cost compared with the human-powered  
185 hydroponic systems developed in this study. In addition, the hydroponic systems developed in this  
186 study are for indoor domestic use applications that benefit from the internal environmental  
187 conditions inside the house and are designed to produce lower yields requires systems with lower  
188 complexity. Udovichenko et al. (2021) assessed and compared the costs of production and transport  
189 (import) of lettuce using conventional soil-based methods at warmer latitudes with the production  
190 of lettuce using hybrid renewable-energy-powered-assisted hydroponic systems for indoor  
191 applications in northern Canada. Hydroponic systems were assessed by retrofitting an existing  
192 building to create a commercial hydroponic farm in northern Canada. The results showed that  
193 lettuce production using hydroponic systems had a lower economic impact than lettuce production  
194 using conventional soil-based methods. The cost assessment of this hydroponic system differs from  
195 that of this study because it was used for industrial purposes, powered by renewable (e.g. solar) and  
196 non-renewable energy sources, and focused on one environmental impact category (GWP: Global  
197 Warming Potential). Nisha et al. (2019) also mentioned that hydroponic systems used for  
198 commercial applications (e.g. vertical farms) have a high initial manufacturing cost, representing one  
199 of the key barriers to wider deployment. In general, all previous studies suggest that the use phase  
200 of the hydroponic system life cycle is usually the life cycle with the highest environmental impact,  
201 which means that the energy source used to power hydroponic systems is very important for  
202 reducing the total environmental impact of hydroponic systems.

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204 Thus, it can be concluded that there are no published studies on the environmental and cost-related  
205 impacts of human-powered hydroponic systems. However, the review identified environmental  
206 and/or cost impact assessment studies (Appendix, Tables 1 and 2) that used various methodologies  
207 to assess other types of nonhuman-powered hydroponic systems powered by different energy  
208 sources. These findings provide insights into the methodology used in this study to assess the  
209 environmental and cost impacts of human-powered hydroponic systems and to identify the higher  
210 environmental and cost impact areas of existing hydroponic systems. The identified areas should  
211 also be addressed in the design and manufacturing of future hydroponic systems to reduce their  
212 environmental impact and costs.

213

## 214 **2. Methods**

215 The methodology applied in this study is based on the application of two assessment methods, life  
216 cycle assessment (LCA) and life cycle costing (LCC), to assess the environmental impact and  
217 economic cost of a human-powered hydroponic system life cycle, respectively. The LCA was  
218 conducted using the following steps: 1) goal and scope definition, 2) life cycle inventory (LCI), 3)  
219 sensitivity analysis, 4) scenario analysis, and 5) life cycle impact assessment (LCIA) method selection.  
220 The LCC was conducted by the following steps: 1) goal and scope definition, 2) life cycle inventory,  
221 and 3) economic assessment method selection, which included the following cost categories: costs  
222 to a) acquire (acquisition cost), b) operate (operating cost), and c) maintain (maintenance, repair,  
223 and replacement costs) the human-powered hydroponic system. Steps 1 and 2 of the LCA selected  
224 the same parameters; therefore, both models (the environmental impact and cost models)  
225 considered the same assumptions.

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### 227 2.1 Life Cycle Assessment (LCA)

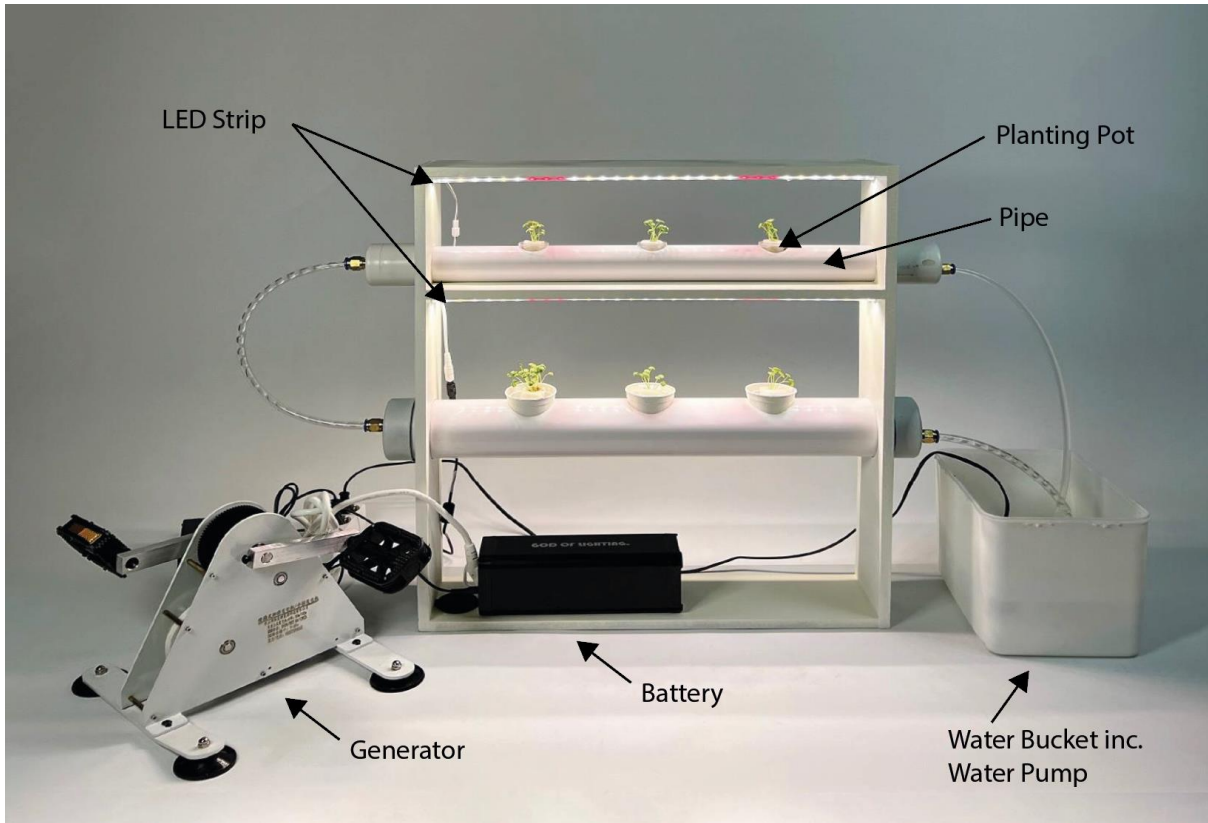
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#### 229 2.1.1 Goal and Scope

230 The aim of this LCA study was to analyse the environmental impact of a novel human-powered  
231 hydroponic system (Figure 1) prototype developed by the authors to understand its environmental  
232 impact and identify opportunities to reduce it.

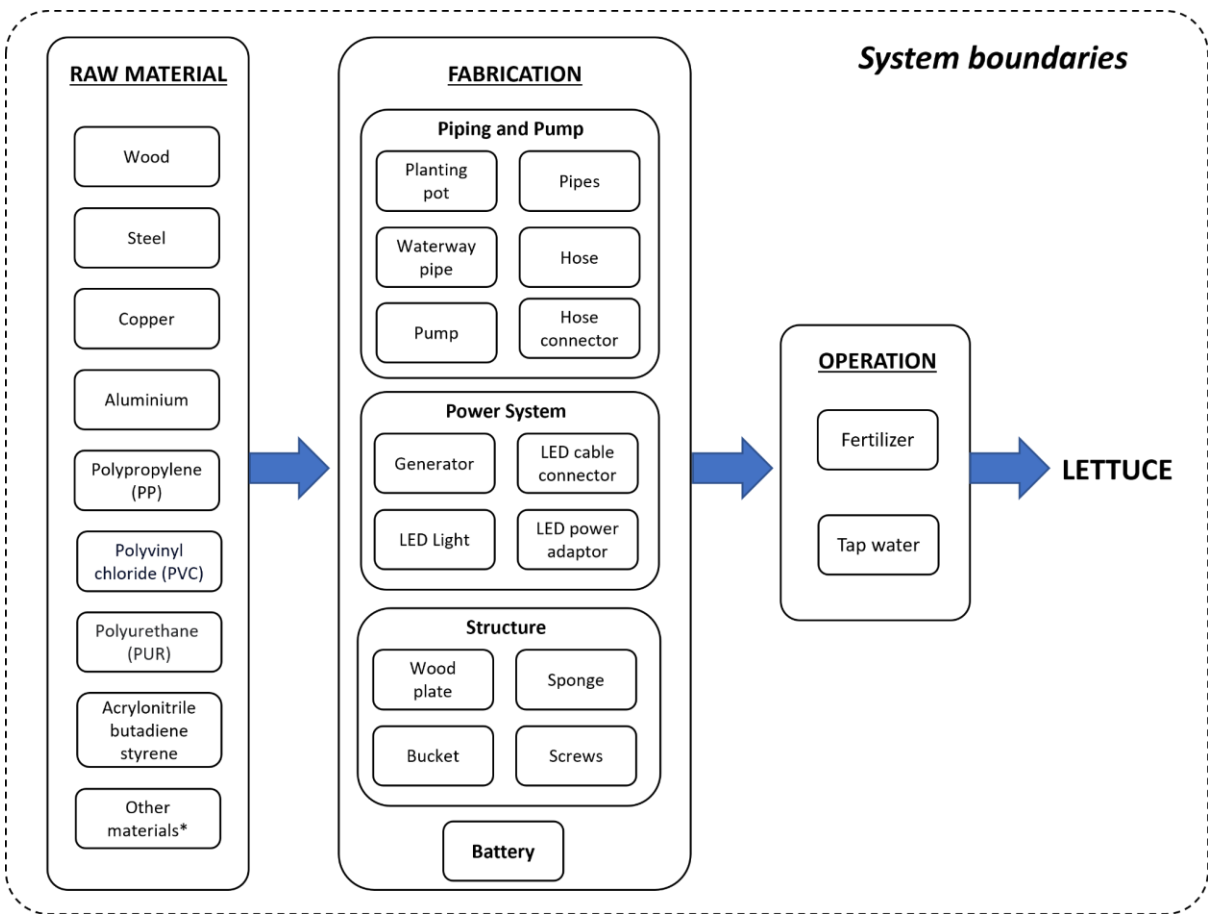
233 Lettuce was selected as the functional unit in this study based on a comprehensive review of  
234 hydroponic research. Lettuce is widely regarded as an ideal model crop for investigating the effects  
235 of artificial lighting on vertical farming because of its rapid growth and short production cycles  
236 (Voutsinos et al., 2021). The functional unit selected was 1 kg of lettuce produced in a human-  
237 powered hydroponic system every 40 days, with a system lifespan of 15 years. The 40-day growing  
238 cycle was selected based on the lettuce harvest cycle using this system. The system boundaries  
239 considered in this assessment are illustrated in Fig. 2. The LCA adopts a cradle-to-consumer  
240 approach (i.e. the system boundaries include all activities from the extraction of resources used to  
241 fabricate the human-powered hydroponic system to the point where the lettuce is harvested at  
242 home). End-of-life was not considered because it was not a life-cycle stage of the system we wanted  
243 to assess in this study. Therefore, this study focused only on the manufacturing and use life cycle  
244 stages.

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**Figure 1.** Prototype of the human-powered hydroponic system.



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251 **Figure 2.** System boundaries of the LCA.  
 252 LED: light-emitting diode. \* *Other raw materials encompass all materials utilised in component*  
 253 *manufacturing but are not inherently integrated into the system, such as natural gas, crude oil, coal,*  
 254 *and other substances.*

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 256 2.1.2 Life Cycle Inventory (LCI)  
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258 The LCI data associated with the human-powered hydroponic system fabrication are listed in Table  
 259 1. The battery lifespan was determined based on the maximum charge-discharge cycles reported in  
 260 the technical datasheet of the battery (PowerTech Systems, 2023). To estimate the maximum  
 261 number of charge/discharge cycles of the system, it was necessary to determine its energy  
 262 consumption. The system uses 90 units of 0.05 W LED, which are turned on 20 h per day. Based on  
 263 this assumption, the energy demand for lighting is 0.09 kWh/day. The water pump uses 3 W and is  
 264 operational 24 h a day, resulting in an energy consumption of 0.072 kWh/day. Consequently, the  
 265 total energy consumption of the human-powered hydroponic system is 0.162 kWh/d which is  
 266 equivalent to the energy generated from pedalling the ‘generator’ for 1.5 h (Figure 1). The amount  
 267 of power generated by people using the generator per day or week may vary depending on the  
 268 amount pedalled each day or week. However, in this study, we assumed that the battery must have  
 269 the capacity to store energy to meet the system requirements for at least two days when energy is  
 270 not being produced from the generator. Therefore, the battery must have a storage capacity greater  
 271 than 0.324 kWh. Based on this assumption, a 12V, 30Ah lithium battery was selected with a storage  
 272 capacity of 0.384 kWh, guaranteeing 3,000 charge cycles with 100% efficiency. Considering three  
 273 weekly charges and 15 years of lifespan, 2,340 useful lifecycles were assumed. The battery lifespan  
 274 assumption was based on the battery manufacturer’s technical datasheets, which state a lifespan of  
 275 > 10 years and on a previously published study (Kritzer and Nahrwold, 2019), which indicated that a  
 276 lithium battery can last up to 15 years.  
 277

278 **Table 1.** Life cycle inventory of the human-powered hydroponic system.  
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	Material	Unit	Part weight	Quantity	Total weight
<b>Piping and pump</b>					
Small white planting pot	PP	g	1.5	6	9.0
Hose-pipe connector	ABS	g	2.0	1	2.0
Pipe (60 cm)	PVC	g	845.1	2	1690.2
Hose (large)	PUR	g	11.8	2	23.6
Pipe cap (black)	PVC	g	22.7	4	90.8
Hose connector	PVC	g	10.0	4	40.0
	Brass	g	10.0	4	40.0

Pump	Aluminium	g	1.5	1	1.5
	Cast iron	g	92.9	1	92.9
	Copper	g	19.4	1	19.4
	PVC	g	2.3	1	2.3
	Chromium steel	g	71.2	1	71.2
	Rubber	g	0.5	1	0.5

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**Power system**

Generator	Screw (steel)	g	2.1	4	8.4
	Screw (steel)	g	3.8	3	11.4
	Screw (steel)	g	2.1	4	8.4
	Screw (steel)	g	0.6	16	9.6
	Screw (steel)	g	1.6	3	4.8
	Hollow screw (ABS)	g	0.2	5	1.0
	Hollow screw (brass)	g	4.1	7	28.7
	Bar (steel)	g	76.7	1	76.7
	Bar (steel)	g	30.0	1	30.0
	Gears (steel)	g	505.3	1	505.3
	Other parts (steel)	g	2336.4	1	2336.4
	Pedal (ABS)	g	97.1	2	194.2
	Rubber parts	g	77.2	1	77.2
LED Light	LED	g	57.8	3	173.4



LED cable connector		g	4.4	3	13.2
LED power adaptor		g	252.2	3	756.6

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<b>Battery</b> Lithium 12V 30Ah	Battery materials	g	3440	1	3440
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**Structure**

Wood plate	MDF	g	382.0	5	1910.0
Sponge (large)	PUR	g	0.6	30	18.0
Bucket	PP	g	425.5	1	425.5
Screws	Aluminium	g	2.6	14	36.4

LED: Light-emitting diode. MDF: Medium-density fibreboard. PP: Polypropylene. ABS: Acrylonitrile butadiene styrene. PVC: Polyvinyl chloride. PUR: Polyurethane.

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284 The quantity and quality of fertilisers used during the operation of the system were determined  
285 based on the nutrient requirements of the human-powered hydroponic system (Table 2). The  
286 fertilisers were diluted in 12 litres of water and recirculated using a water pump.

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288 Regarding the production of the human-powered hydroponic system, six lettuces of 200 g each are  
289 produced in a 40-day cycle. Throughout the lifespan of the human-powered hydroponic system (15  
290 years), 136.9 cycles are conducted, producing 164.25 kg of lettuce. The lifespan of the human-  
291 powered hydroponic system was determined based on the lifespan of the battery, which was the  
292 component most likely to fail first.

293 **Table 2.** Nutrient requirements and fertilisers per each cycle of the human-powered hydroponic  
294 system.

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	Unit	Amount	N	P	K	Ca
<b>Requirements nutrient</b>	g/cycle		<b>0.79</b>	<b>0.36</b>	<b>2.04</b>	<b>0.48</b>
<b>Fertiliser</b>						
NPK	g/cycle	2.40	0.36	0.36	0.36	-
Calcium ammonium nitrate	g/cycle	1.45	0.39	-	-	0.48
Inorganic potassium	g/cycle	2.02	-	-	1.68	-
Urea	g/cycle	0.09	0.039	-	-	-

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295 Amounts indicated in the table are g/40-day cycle.

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### 297 2.1.3 Sensitivity analysis

298 A sensitivity analysis was conducted to assess the influence of increased lettuce productivity (yield)  
299 on the total environmental impact of the human-powered hydroponic system. A gradual increase in  
300 the average weight of each lettuce produced was evaluated, ranging from 200 to 500 g. This range  
301 was deemed potentially feasible based on the findings of Ezziddine et al. (2021). As the average  
302 weight of the lettuce increased, the fertiliser requirements also increased proportionally. The  
303 fertiliser increment was estimated based on the percentage relationship between the initial average  
304 weight (200 g) and evaluated increments of 250, 300, 350, 400, 450, and 500 g.

### 305 2.1.4 Scenario analysis

306 A scenario analysis was conducted to compare the environmental impact of lettuce produced using  
307 the human-powered hydroponic system with that of lettuce produced using conventional soil and  
308 greenhouse-based agriculture methods. Four scenarios were modelled for this purpose. Scenario 0  
309 represented the human-powered hydroponic system model with a production of an average lettuce  
310 weight of 200 g. Scenario 1 involved human-powered hydroponic system production with an average  
311 lettuce weight of 500 g. Scenario 2 represented lettuce production in soil-based agriculture based on  
312 data from Ecoinvent 3.8 (lettuce361 production GLO), while Scenario 3 considered lettuce  
313 production in a greenhouse based on data from Ecoinvent 3.8 (lettuce360 production, in heated  
314 greenhouse GLO).

### 315 2.1.5 Life Cycle Impact Assessment (LCIA)

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317 The assessment was performed using SimaPro software version 9.4. The ReciPe 2016 Midpoint  
318 (Hierarchist) version 1.07 LCIA method was selected to evaluate 18 environmental impact categories:  
319 global warming potential (GWP); stratospheric ozone depletion potential (ODP); ionising radiation  
320 potential (IRP); ozone formation potential, human health (OFPh); fine particulate matter formation  
321 potential (PMP); ozone formation potential, terrestrial ecosystems (OFPt); terrestrial acidification  
322 potential (TAP); freshwater eutrophication potential (FEP); marine eutrophication potential (MEP);  
323 terrestrial ecotoxicity potential (TEP); freshwater ecotoxicity potential (FETP); marine ecotoxicity  
324 potential (MECP); human carcinogenic toxicity potential (HTPc); human non-carcinogenic toxicity  
325 potential (HTPnc); agricultural land occupation potential (ALOP); mineral depletion potential (MDP);  
326 fossil depletion potential (FDP); and water deprivation potential (WDP). The ReCiPe 2016(H) V1.07  
327 LCIA method was selected because it is considered the most comprehensive and up-to-date LCIA  
328 method. The 18 midpoint level available categories of the method were considered, as they allow the  
329 holistic identification of potential trade-offs, cause-effect pathways, and environmental mechanisms  
330 during the impact assessment and interpretation steps.

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## 332 2.2. Life Cycle Costing (LCC)

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### 334 2.2.1 Goal and Scope

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336 The goal and scope of the LCC adopted the same system boundaries and functional units as those of  
337 the LCA, as described in Section 2.1.1. The consumer obtains the lettuces via the human-powered  
338 hydroponic system located in a house in the UK (Manchester). The timeframe considered was 2023,  
339 and the economic unit used was the British pound sterling. This is the baseline scenario.

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2.2.2 Life Cycle Inventory (LCI)

The LCI considered in the LCC was the same as that used in the LCA, as described in Section 2.1.2. in Tables 1 and 2. Table 3 lists the LCI and the cost of each component.

**Table 3.** Human-powered hydroponic system prototype cost

Component	Quantity	Cost	
		Each [£]	Total [£]
Small white planting pot	6	£ 0.75	£ 4.48
Hose-pipe connector	1	£ 0.93	£ 0.93
Pump	1	£ 1.26	£ 1.26
Pipe (65A) 60cm	1	£ 0.14	£ 0.14
Pipe (40A) 60cm	1	£ 0.14	£ 0.14
65A pipe cap	2	£ 1.00	£ 1.99
40A pipe cap	2	£ 0.14	£ 0.27
Hose connector (PC 10)	4	£ 0.93	£ 3.73
Hose (455 mm, radius 10mm)	1	£ 0.62	£ 0.62
Hose (425mm, radius 8mm)	1	£ 0.62	£ 0.62
12V Battery	1	£ 398.82	£ 398.82
Wood plate	5	£ 0.62	£ 3.11
Sponges (112cell)	1	£ 2.18	£ 2.18
Mini sponges (110 cells)	1	£ 1.43	£ 1.43
Pedal generator	1	£ 112.33	£ 112.33
LED (50cm - Type A)	3	£12.14	£36.41
LED Cable connector (30cm)	1	£12.14	£12.14
LED Power adaptor	1	£12.14	£12.14
Bucket	1	£ 5.00	£ 5.00
Perilla seeds	1	£ 1.29	£ 1.29
Screws	14	£ 0.30	£ 4.20
		Total	£ 603.23

347  
 348  
 349

2.2.3 Economic impact assessment methods

350 The approach followed for the economic assessment consisted of modelling the value of all monetary  
351 expenditures for the system boundaries considered (Section 2.1.1), which included the following cost  
352 categories: costs to acquire (acquisition cost), operate (operating cost), and maintain (maintenance,  
353 repair, and replacement costs) the human-powered hydroponic system.

#### 354 2.2.3.1 Acquisition cost

355 The acquisition cost includes inventory, initial procurement, and installation costs.

356 The inventory cost for all components used in the human-powered hydroponic system was £603.23,  
357 as listed in Table 3. Of the total, £398.82, approximately 66% of the total cost, was allocated to the  
358 battery alone. No cost was considered for the assembly of the human-powered hydroponic system  
359 because it was a prototype, and the authors do not yet know the cost of assembling it once the  
360 design has been prepared for mass manufacturing. For this assessment, it was assumed that the  
361 prototype human-powered hydroponic system was manually assembled by the end user.

#### 362 2.2.3.2 Operating cost

363 In terms of operating costs, the energy required to power the system and the water and fertiliser used  
364 were considered. The human-powered hydroponic system requires 30 Wh to operate, which is  
365 obtained from the battery, which is charged via human power (e.g. pedalling the generator); therefore,  
366 no cost is incurred to power the system. The initial volume of water used to fill the container was 10  
367 l. An amount of 1.4 l every 40 days was necessary to run the system to refill the evaporated and  
368 absorbed water. This equals 1.05 l of water used per month. Considering a rate of £3.08 per m<sup>3</sup> (UK  
369 North-West Utilities, 2022), the cost of the required water is

$$370 \quad (10 \text{ l} / 1000) \text{ m}^3 * £3.08/\text{m}^3 = £0.03.$$

371 The container was assumed to be emptied and refilled once a year for cleaning purposes.

372 A recurring cost to refill is calculated:

$$373 \quad (1.05 \text{ l} / 1000) \text{ m}^3 * £3.08/\text{m}^3 * 12 \text{ months} = £0.04 \text{ per year}.$$

374 Fertiliser was periodically used in the system. A 1-litre bottle costs £7.86, and 24 ml is required every  
375 7–10 days (8.5 days were used in the calculation).

$$376 \quad 1000 \text{ ml} / 24 \text{ ml} = 41.6 \text{ uses per bottle},$$

377 with a total duration for the bottle of:

$$378 \quad 41.6 * 8.5 \text{ days} = 354 \text{ days}.$$

379 The authors assumed the use of one bottle per year, resulting in a recurring cost of £7.86 per year.

380 The total operating cost (per year), accounting for water and fertiliser, is

$$381 \quad £0.03 + £0.04 + £7.86 = £7.93 \text{ per year}.$$

#### 382 2.2.3.3 Maintenance, repair, and replacement costs

383 The costs of maintenance, repair, and part replacement must be added to ensure the accountability  
384 of the expenditures incurred to keep the system functional over time.

385 In terms of component duration, apart from the battery (see Table 3), the authors did not have  
386 information about the lifespan of each component of the prototype because the suppliers of the  
387 components did not provide these data. Therefore, based on previous studies (Abdollah and Sharareh,  
388 2019), it was assumed that the maintenance and repair costs were approximately 5% of the total  
389 inventory cost of the human-powered hydroponic system.

390  $5\% * £603.23 = £30.16 \text{ per year.}$

391 This cost assumption is used to cover components that eventually require replacement or repair (e.g.  
392 generator parts or the small DC motor used in the water pump).

393 The battery, which is the most likely component of the system to fail first and cause the entire system  
394 to stop functioning, has a lifespan of 15 years. Therefore, we considered this to be the lifespan of the  
395 human-powered hydroponic system.

396

### 397 **3. Results and discussion**

398

399 The key findings of the LCA and LCC for the human-powered hydroponic system are presented in this  
400 section. The environmental impact and economic performance were evaluated and compared with  
401 those of conventional soil and greenhouse-based vegetable production methods.

402

#### 403 **3.1 Life cycle assessment (LCA) results**

404

405 As shown in Figure 3, the battery was the leading environmental hotspot in 16 of the 18 evaluated  
406 impact categories, accounting for 40% (fossil depletion potential) to 85% (terrestrial, freshwater, and  
407 marine ecotoxicity potential) of the environmental impacts. The main negative environmental impact  
408 of the battery originates from the production stage of Li-ion battery cells, specifically the production  
409 of the cathodes and anodes. These components account for 71–98% of the impact of the Li-ion battery  
410 cell. The power system was the most significant environmental hotspot in terms of global warming  
411 potential (GWP) and ionising radiation potential (IRP), contributing 44% and 53%, respectively. It also  
412 ranked second in 14 of the 18 categories (OFPh, PMP, OFPt, TAP, FEP, MEP, TEP, FETP, MEP, HTPnc,  
413 ALOP, MDP, FDP, and WDP; Figure 3).

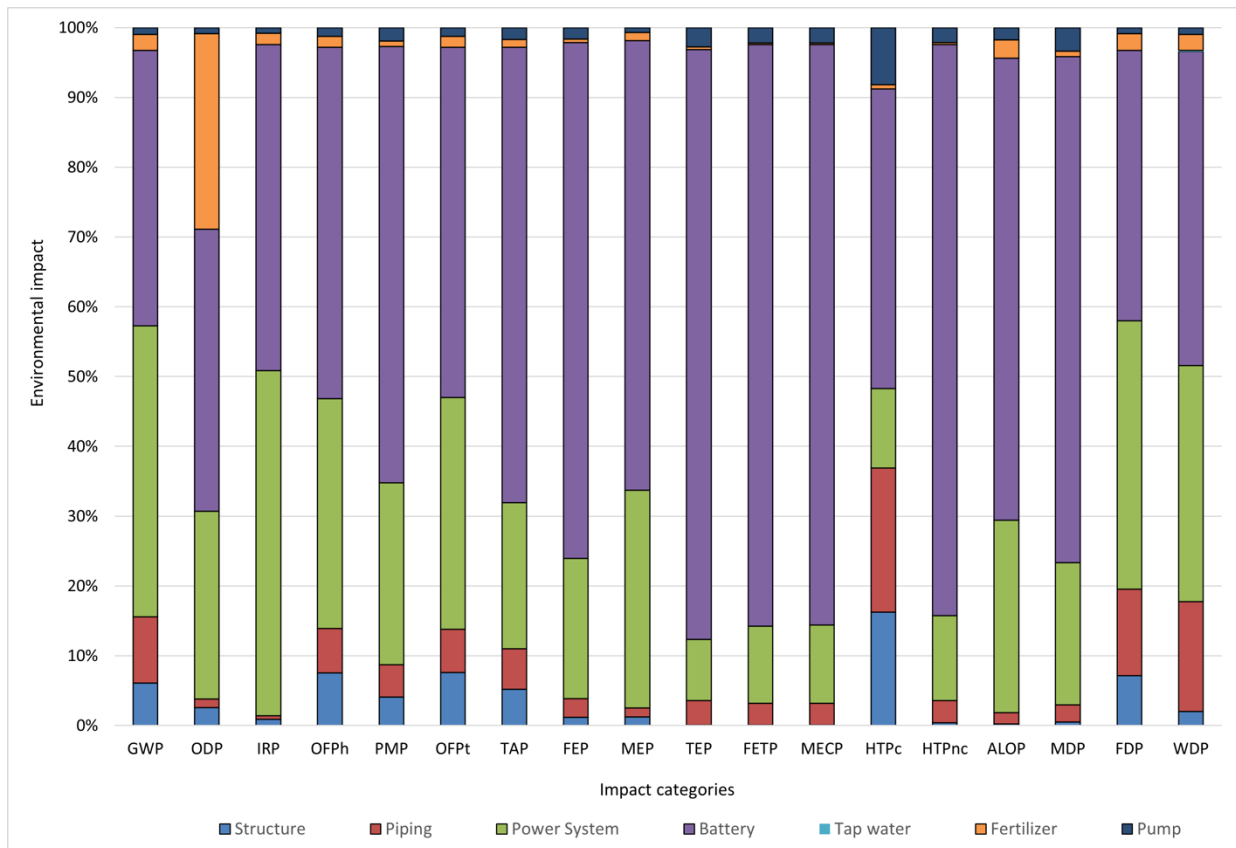
414

415 In the case of the power system, the LED strip, power adaptor (i.e. driver), and generator are the  
416 primary environmental hotspots (Figure 3). The LED strip was the most significant contributor to the  
417 environmental impact of the power system in 13 of the 18 evaluated categories (GWP, ODP, IRP, OFPh,  
418 PMP, OFPt, TAP, FEP, MEP, HTPc, ALOP, FDP, and WDP), the power adaptor in 3 (FETP, MECP, and  
419 HTPnc), and the generator in 2 (TEP and MDP). In the case of the LED strips, the impact is mainly  
420 caused by the electricity consumption required to manufacture the LEDs. In the HTPc category, piping  
421 was the second most influential, accounting for 20% of the total environmental impact, and fertiliser  
422 was the second most influential environmental hotspot, accounting for 29% of the environmental load  
423 for stratospheric ozone depletion.

424

425

426



427

428 **Figure 3.** Environmental impact of 1 kg of lettuce produced by the human-powered hydroponic system  
 429 for the 18 impact categories of ReciPe 2016 Midpoint (Hierarchist) version 1.7. GWP: global warming  
 430 potential; ODP: stratospheric ozone depletion potential; IRP: ionising radiation potential; OFPh: ozone  
 431 formation potential, human health; PMP: fine particulate matter formation potential; OFPt: ozone  
 432 formation potential, terrestrial ecosystems; TAP: terrestrial acidification potential; FEP: freshwater  
 433 eutrophication potential; MEP: marine eutrophication potential; TEP: terrestrial ecotoxicity potential;  
 434 FETP: freshwater ecotoxicity potential; MECP: marine ecotoxicity potential; HTPc: human carcinogenic  
 435 toxicity potential; HTPnc: human non-carcinogenic toxicity potential; ALOP: agricultural land  
 436 occupation potential; MDP: mineral depletion potential; FDP: fossil depletion potential; WDP:  
 437 water deprivation potential). The results of each impact category are independent.

438  
 439 In the analysis of the overall environmental impact results, lettuce production in the human-powered  
 440 hydroponic system demonstrated a lower environmental impact than lettuce production in vertical  
 441 farming systems, hydroponics, and greenhouses relying on electricity or fossil fuels as an energy  
 442 source. The impact of the human-powered hydroponic system on global warming was 0.439 kg CO<sub>2</sub>  
 443 eq./kg per lettuce, a value much lower than those reported for greenhouse lettuce production, which  
 444 can range from 1.21 CO<sub>2</sub> eq./kg (Blom et al., 2022) to 17.8 kg CO<sub>2</sub> eq./kg (Casey et al., 2022), or  
 445 hydroponic cultivation produced with electricity from the British grid with 8.9 kg CO<sub>2</sub> eq./kg (Casey et  
 446 al., 2022), or a commercial vertical farming system in the Netherlands with 8.18 kg CO<sub>2</sub> eq./kg (Blom  
 447 et al., 2022).

448  
 449 The global warming potential of the lettuce produced in the human-powered hydroponic system falls  
 450 within the ranges presented for conventional crops, which can vary from 0.16 (Avadí et al., 2021) to  
 451 10 kg CO<sub>2</sub> eq./kg lettuce (Casey et al., 2022). Blom et al. (2022) reported a carbon footprint of 0.49 kg  
 452 CO<sub>2</sub> eq./kg for lettuce produced in open-field farming, whereas Casey et al. (2022) indicated that

453 lettuce supplied from field cultivation imported to Britain from Spain had a carbon footprint of 0.68  
454 kg CO<sub>2</sub> eq./kg. In another study comparing organic and conventional lettuce cultivation systems in  
455 Northern Greece, the results showed an environmental impact of 1.28 kg CO<sub>2</sub> eq./kg of lettuce for the  
456 organic system and 0.63 kg CO<sub>2</sub> eq./kg of lettuce in a conventional system (Foteinis and Chatzisyneon,  
457 2016). Based on the results of these previous studies, and from the point of view of global warming  
458 potential, the human-powered hydroponic system has advantages over greenhouse, hydroponic, and  
459 vertical farming production systems, as well as several conventional lettuce production systems.

460  
461 In other environmental impact categories, such as freshwater eutrophication, the impact of lettuce  
462 production using a human-powered hydroponic system (0.434 g P eq.) was higher than that of the  
463 conventional lettuce crops, which ranged from 0.026 g P eq. to 0.29 g P eq., and lower than that of  
464 vertical farming (3.8 g P eq.) and greenhouse cultivation (33.0 g P eq.; Casey et al., 2022). In  
465 conventional agriculture, environmental impacts in relation to this impact category are mostly caused  
466 by the excessive use of macronutrients that generate nutrient emissions into the air, water, and soil  
467 (Martin-Gorriz et al., 2020), whereas in the human-powered hydroponic system, the impacts on  
468 eutrophication are mainly caused by phosphate emissions from leachate directly from tailings  
469 impoundment in the operation of copper mines for the production of copper cathodes used in the  
470 production of battery anodes. Similarly, sulphur oxides from fossil fuel combustion and ammonia and  
471 nitrate emissions from N-fertilisers are the main contributors to the acidification potential in  
472 conventional agriculture. In contrast, atmospheric sulphur dioxide emissions from the copper  
473 concentrate smelting industry, which is necessary for producing battery anodes, are responsible for  
474 the acidification of lettuce produced using the human-powered hydroponic system.

475 In stratospheric ozone depletion, lettuces grown in the human-powered hydroponic system have an  
476 impact of 0.00022 g CFC11 eq., which is comparable to that of lettuces produced using conventional  
477 cultivation methods in the United Kingdom, which amounts to 0.00016 g CFC11 eq. However, the  
478 impact of the human-powered hydroponic system was lower than that of conventional lettuce  
479 production in Spain (0.0013 g CFC11 eq.) and hydroponic cultivation in the UK (0.0055 g CFC11 eq.;  
480 Casey et al., 2022). In the case of conventionally grown lettuce, 65% of the impact is related to nitrous  
481 oxide (N<sub>2</sub>O) emissions generated during soil biological processes due to nitrogen-based fertilisers.  
482 Conversely, in the human-powered hydroponic system, the primary contribution to the impact comes  
483 from the batteries, accounting for 40%, followed by nitrogen-based fertilisers at 28%. The impacts of  
484 the batteries are also linked to nitrous oxide emissions, which are primarily generated during the  
485 production of nitric acid used in the life cycle of copper cathodes.

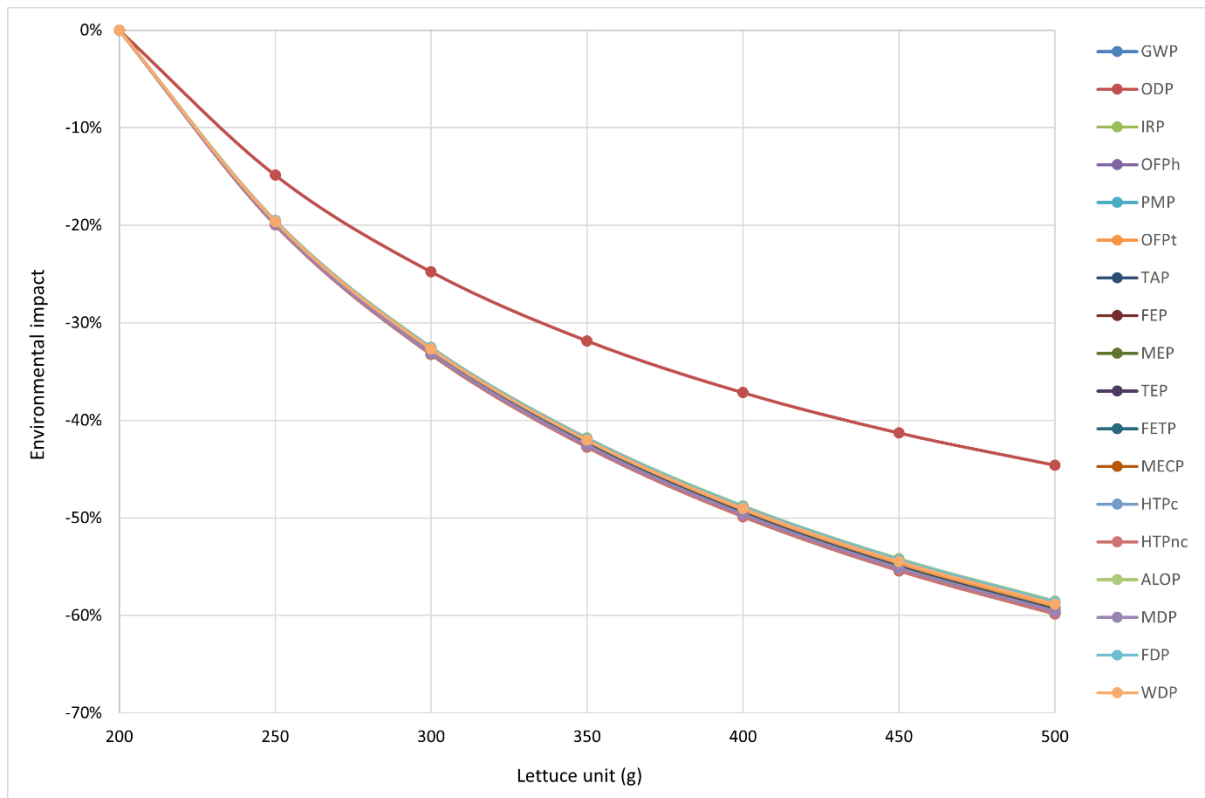
486 Owing to the importance of further understanding the effects of the human-powered hydroponic  
487 system on the environmental impact categories associated with the consumption of minerals, fossils,  
488 water, soil, and toxic substances, a more detailed analysis was conducted through sensitivity analysis  
489 and scenario modelling.

### 490 491 3.1.1 Sensitivity analysis 492

493 Owing to the high impact of the manufacturing stage on the total environmental impact of the system  
494 life cycle, particularly the battery and power systems, the increase in productivity (i.e. producing more  
495 grams of lettuce per cycle) was evaluated through a sensitivity analysis. The human-powered  
496 hydroponic system produced an average weight of 200 g per lettuce. However, literature indicates  
497 that under optimum indoor conditions, lettuce size can reach up to 322 g, whereas under optimum  
498 outdoor conditions, it can reach up to 781 g (Ezziddine et al., 2021). We conducted a conservative  
499 sensitivity analysis to evaluate the environmental impacts of increasing lettuce size (higher  
500 productivity yield of the system) by varying the average weight of lettuce produced by the system  
501 from 200 to 500 g. As shown in Figure 4, the environmental impact decreased with increasing lettuce  
502 weight, with reductions of approximately 60% in 17 of 18 impact categories when the weight of the

503 lettuce produced reached 500 g. Only the stratospheric ozone depletion impact category showed a  
 504 less pronounced reduction (43% when the lettuce size was increased to 500 g) because this category  
 505 is influenced by fertiliser use, which increases with higher yields.

506  
 507 Therefore, improving the productivity of the system to increase its yield is a valuable strategy for  
 508 reducing the environmental impact of the human-powered hydroponic system. Thus, the production  
 509 of 500 g of lettuce using the human-powered hydroponic system could result in a global warming  
 510 impact of 0.18 kg CO<sub>2</sub> eq./kg per lettuce, a value very close to the 0.15 kg CO<sub>2</sub> eq./kg of lettuce  
 511 generated by conventional agriculture systems (Casey et al., 2022).  
 512



513  
 514 **Figure 4.** Sensitivity analysis of lettuce yield increase in the human-powered hydroponic system.  
 515

516 3.1.2 Scenario analysis  
 517

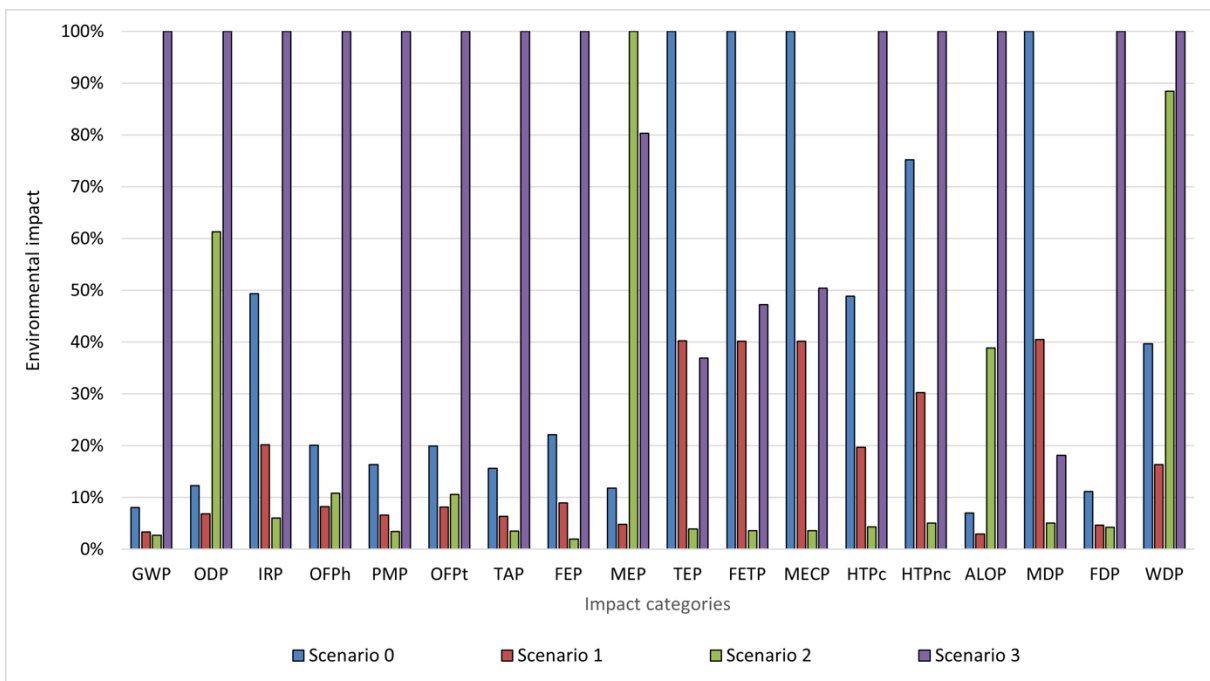
518 Based on the modelling of baseline lettuce production (200 g) using the human-powered hydroponic  
 519 system (**Scenario 0**) and the sensitivity analysis with lettuce of an average weight of 500 g (**Scenario**  
 520 **1**), a comparative scenario analysis was conducted by adding two additional scenarios: conventional  
 521 soil-based produce (**Scenario 2**) and greenhouse-based (**Scenario 3**) lettuce production, with data  
 522 obtained from the Ecoinvent database. Figure 5 presents the results of the scenario comparison.  
 523 Lettuce produced by the human-powered hydroponic system had a lower environmental impact than  
 524 lettuce produced in greenhouses in 14 of the 18 impact categories evaluated (GWP, ODP, IRP, OFPh,  
 525 PMP, OFPt, TEP, FEP, MEP, HTPc, HTPnc, ALOP, FDP, and WDP). In the remaining four categories  
 526 (terrestrial ecotoxicity potential, freshwater ecotoxicity potential, marine ecotoxicity potential, and  
 527 mineral depletion potential), lettuce produced in the human-powered hydroponic system had a higher  
 528 impact than lettuce produced in conventional soil-based or greenhouse agriculture.  
 529

530 Terrestrial ecotoxicity (TEP), freshwater ecotoxicity (FETP), and marine ecotoxicity potential (MECP)  
 531 are the impact categories for ecotoxicity. The effects in these impact categories were caused by



532 copper mining and emissions to the air (TEP), water (FETP), and water with chromium VI (MECP).  
 533 Among these impact categories, the environmental impact associated with battery manufacturing  
 534 accounted for approximately 85%. In the mineral resource scarcity category, the consumption of  
 535 metals such as copper, molybdenum, and iron, which are mainly sourced for battery production,  
 536 represents the most significant environmental burden.

537  
 538 When comparing the human-powered hydroponic system with conventional lettuce production, 500  
 539 g of lettuce grown in the human-powered hydroponic system (Scenario 1) generated higher impacts  
 540 than conventional soil-based lettuce production (Scenario 2) in 11 of the 18 evaluated impact  
 541 categories (GWP, IRP, PMP, TAP, FEP, TEP, FETP, MEP, HTPc, HTPnc, and MDP; Figure 5). In the fossil  
 542 fuel resource scarcity category, they generated an equivalent impact (0.048 kg-oil eq.). Conventional  
 543 soil-based production methods, contrariwise, had the greatest impact on the remaining six categories  
 544 (ODP, OFPh, OFPt, MEP, ALOP, and WDP).

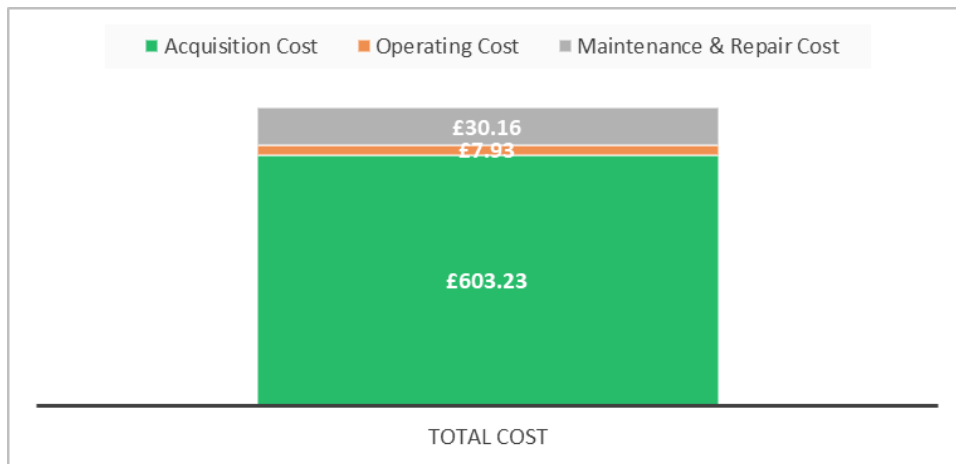


546  
 547  
 548 **Figure 5.** Comparative scenario analysis of 1 kg of lettuce (FU) across different agriculture production  
 549 systems. Scenario 0: 200 g of lettuce grown in the human-powered hydroponic system; Scenario 1:  
 550 500 g of lettuce grown in the human-powered hydroponic system; Scenario 2: lettuce grown in soil-  
 551 based agriculture; Scenario 3: lettuce grown in greenhouses. For a full definition of impact category  
 552 acronyms, see the caption of Figure 3.

553  
 554 3.2 Life cycle costing (LCC) results

555  
 556 3.2.1 Total cost

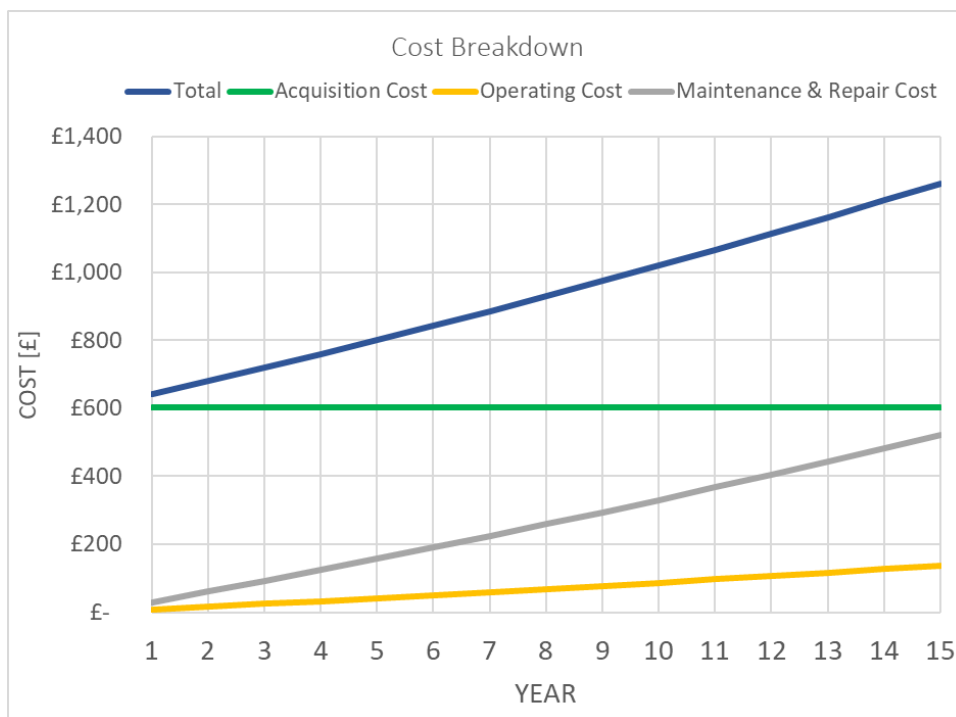
557 The total cost incurred in the first year was £641.32. The cost breakdown is shown in Figure 6.



558

559 **Figure 6.** Total cost breakdown of the human-powered hydroponic system.

560 The acquisition cost accounted for 94% of the total cost. While the acquisition cost is only upfront,  
 561 other costs – operating, maintenance, and repair – are recurring. The graph in Figure 7 shows the  
 562 evolution of the total, acquisition, operating, and maintenance repair costs over a 15-year lifespan  
 563 (the lifespan of the human-powered hydroponic system). An inflation rate of 2% per year was  
 564 considered for the recurring costs.



565

566 **Figure 7.** Annual total and cost breakdown of the human-powered hydroponic system over its 15-year  
 567 lifespan.

568 The total cost of the human-powered system operating for 15 years was £1,261.98.

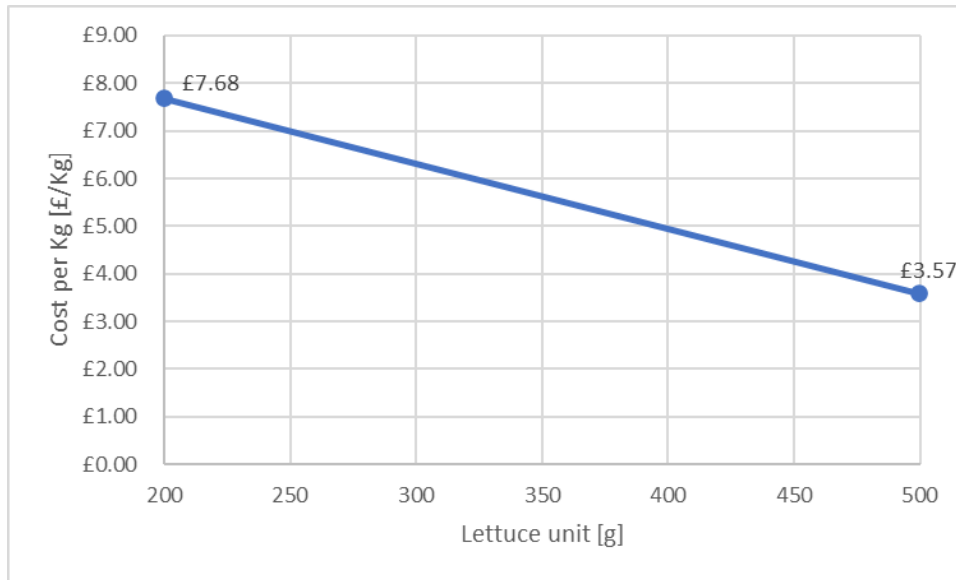
569

### 570 3.2.2 Sensitivity analysis

571 The human-powered hydroponic system had a 40-day cycle from seedling to harvest. Considering the  
 572 production of a 200 g weight average per lettuce, a total of 1.20 kg of lettuce is produced in such a  
 573 cycle, equal to 10.95 kg in a year and 164.25 kg in 15 years, with a total cost of £1261.98.

574 Similarly, considering the increased average weight of 500 g per lettuce, a total of 3.0 kg of lettuce  
 575 was produced in such a cycle, which equals 27.38 kg in 1 year and 410.70 kg in 15 years. Considering  
 576 the proportional increase in fertiliser and water usage, the total cost was projected to be £1467.72.

577 The cost per kilogram compared to the production volume during the 15-year lifespan of the human-  
 578 powered hydroponic system is shown in Figure 8. These values were calculated by dividing the total  
 579 cost by the production weight.



580  
 581 **Figure 8.** Sensitivity analysis (cost) of the lettuce yield increase in the human-powered hydroponic  
 582 system.

583  
 584 3.2.3 Scenario analysis  
 585

586 The four scenarios described in Section 2.1.4 are assessed and compared in terms of economic costs.  
 587 Table 4 presents the cost per kilogram of lettuce produced under the four LCA scenarios described in  
 588 Section 3.1.2. For Scenarios 0 and 1, the resulting cost refers to that of Scenarios 0 and 1, respectively,  
 589 while for Scenarios 2 and 3, the national average wholesale prices of UK-grown horticultural produce  
 590 (GOV UK wholesale fruit and vegetable prices weekly average, 2023) were used.

591  
 592 **Table 4.** Scenario cost per kilogram

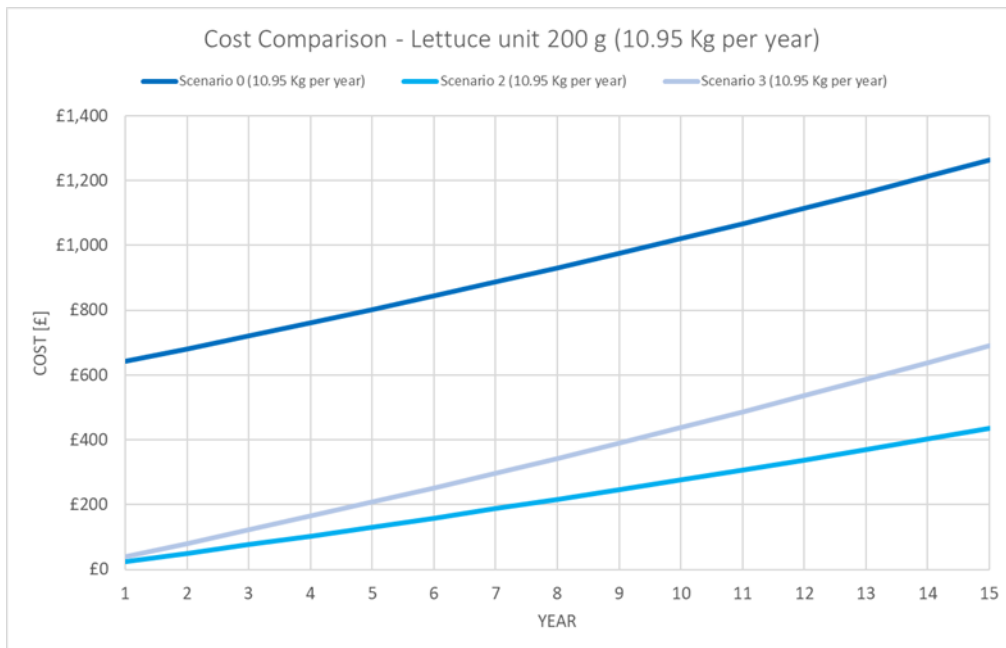
Scenario	Cost per kilogram [£/Kg]
Scenario 0	£7.68
Scenario 1	£3.57
Scenario 2	£2.30
Scenario 3	£3.65

593

	Cost per kilogram [£/Kg]
Scenario 0	£7.68
Scenario 1	£3.57
Scenario 2	£2.30
Scenario 3	£3.65

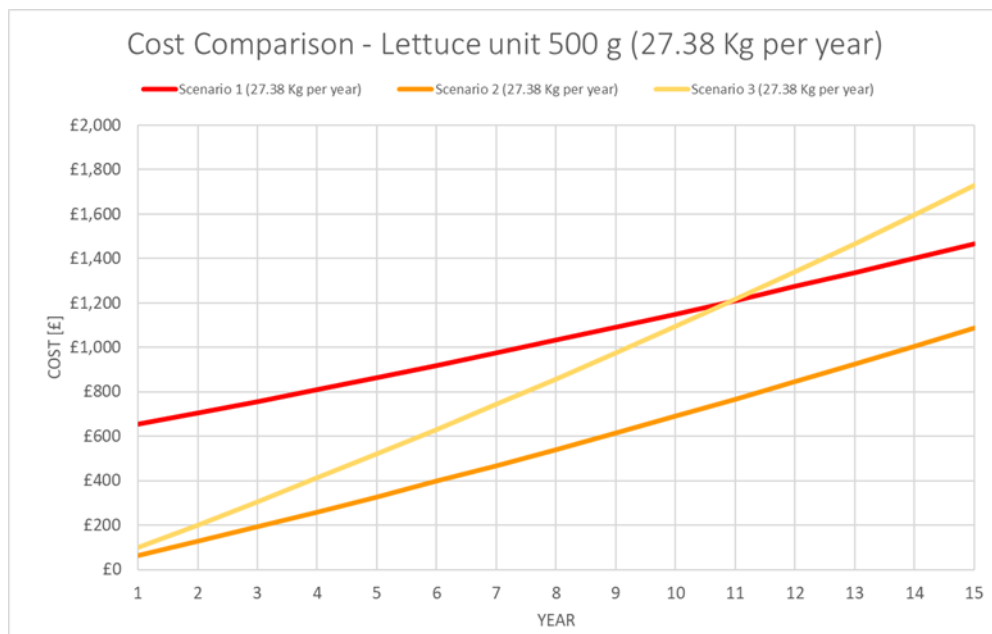
594

595 The cost of producing lettuce in Scenarios 0–3 (Table 4) is shown (Figures 9–10) over 15 years (the  
 596 lifespan of the system) to determine the annual cost of producing lettuce in these four scenarios. An  
 597 inflation rate of 2% per year was included in the recurring costs for Scenarios 0 and 1 and in the total  
 598 costs for Scenarios 2 and 3.



599  
 600 **Figure 9.** Scenario comparison with lettuce unit 200 g (15-year lifespan)

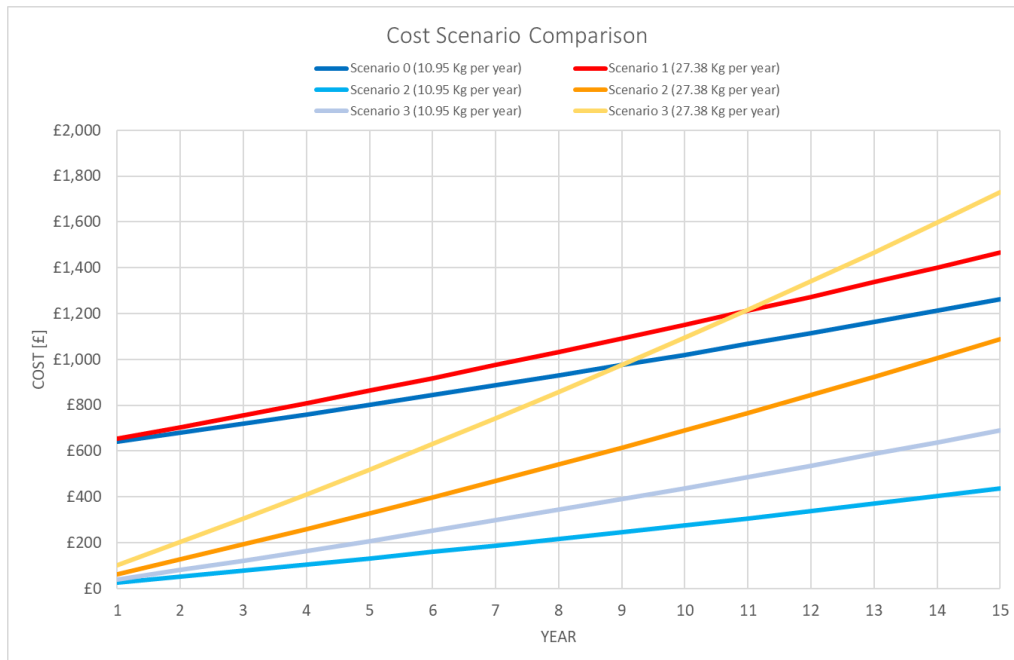
601



602  
 603 **Figure 10.** Scenario comparison with lettuce unit 500 g (15-year lifespan)

604 Figure 9 shows a comparison of costs between the production of a 200 g lettuce unit using the human-  
 605 powered hydroponic system (Scenario 0), conventional soil-based open-field agriculture (Scenario 2),  
 606 and greenhouses (Scenario 3). The findings indicate that Scenario 0 is costlier for the consumer than  
 607 Scenarios 2 and 3 are. Additionally, it is evident that the break-even point between Scenarios 0, 2, and  
 608 3 could not be reached within the 15-year lifespan of the system. Scenario 1 was not reported because  
 609 it involved the production of a lettuce unit weighing 500 g.

610 Figure 10 shows the scenario considering the production of a 500 g lettuce unit with the human-  
 611 powered hydroponic system (Scenario 1), which achieves a break-even point with Scenario 3 between  
 612 years 10 and 11, whereas the break-even point is not achievable within the considered lifespan with  
 613 Scenario 2, although it could be reached if the human-powered hydroponic system had a longer  
 614 lifespan. Scenario 0 was not reported because it involved the production of a lettuce unit weighing  
 615 200 g.



616  
 617 **Figure 11.** Overall cost scenario comparison

618 Figure 11 presents an overall comparison of all scenarios. It is important to note that only Scenarios  
 619 0 (10.95 Kg per year), 2 (10.95 Kg per year), and 3 (10.95 Kg per year) or Scenarios 1 (27.38 Kg per  
 620 year), 2 (27.38 Kg per year), and 3 (27.38 Kg per year) can occur simultaneously when making a  
 621 comparison based on the same amount of lettuce.

622  
 623 **3.3 Discussion**

624 This study analyzes the environmental impact and cost of a human-powered hydroponic system by  
 625 modelling the environmental impact and cost of the system life cycle based on likely scenarios to  
 626 understand its impact and identify opportunities to improve its environmental and economic  
 627 performance. After performing a comparative assessment between the human-powered hydroponic  
 628 system and conventional soil-based and greenhouse agriculture methods, as well as reviewing the  
 629 existing literature on the environmental impact assessment of other agricultural methods (e.g.  
 630 conventional open-field agriculture, grid-powered hydroponics, and greenhouses), it is clear that the  
 631 human-powered hydroponic system has a smaller environmental impact in terms of global warming  
 632 potential (GWP) than most other existing agricultural methods. For example, the impact on global  
 633 warming of the human-powered hydroponic system is 0.439 kg CO<sub>2</sub> eq./kg per lettuce, a value much  
 634 lower than those reported for greenhouse lettuce production, which can range from 1.21 CO<sub>2</sub> eq./kg  
 635 (Blom et al., 2022) to 17.8 kg CO<sub>2</sub> eq./kg (Casey et al., 2022), and hydroponic cultivation using  
 636 electricity from the British grid with 8.9 kg CO<sub>2</sub> eq./kg (Casey et al., 2022), or a commercial vertical  
 637 farming system in the Netherlands with 8.18 kg CO<sub>2</sub> eq./kg (Blom et al., 2022).

638 The human-powered hydroponic system's lettuce production (0.434 g P eq.) has a higher  
639 environmental impact than conventional lettuce crops (0.026–0.29 g P eq.) and is lower than vertical  
640 farming (3.8 g P eq.) and greenhouse cultivation (33.0 g P eq.) in the freshwater eutrophication  
641 impact category (Casey et al., 2022). The excessive use of macronutrients in conventional agriculture  
642 contributes to nutrient emissions into the air, water, and soil (Martin-Gorriz et al., 2020), whereas  
643 phosphate emissions are created from leachate directly from tailing impoundments in copper mines  
644 for the production of copper cathodes used in the production of battery anodes in the human-  
645 powered hydroponic system. The acidification potential in conventional agriculture is mostly  
646 attributed to the release of sulphur oxides resulting from the combustion of fossil fuels as well as the  
647 emissions of ammonia and nitrates from the use of nitrogen-based fertilisers. However, it should be  
648 noted that the smelting industry of copper concentrate, an essential component in the production of  
649 battery anodes, emits atmospheric sulphur dioxide. This emission has been identified as a  
650 contributing factor to the acidity of lettuce cultivated using the human-powered hydroponic system.

651 In the stratospheric ozone depletion impact category, lettuces grown in the human-powered  
652 hydroponic system have an impact of 0.00022 g CFC11 eq., which is comparable to that of lettuces  
653 produced using conventional cultivation methods in the United Kingdom, which amounts to 0.00016  
654 g CFC11 eq. However, the impact of lettuce produced with the human-powered hydroponic system  
655 was lower than that associated with conventional lettuce production in Spain (0.0013 g CFC11 eq.)  
656 and hydroponic cultivation in the UK (0.0055 g CFC11 eq.; Casey et al., 2022).

657 In addition, the sensitivity analysis showed that enhancing the productivity (yield) of the human-  
658 powered hydroponic system generates substantial reductions in environmental impact, with a  
659 potential decrease of approximately 60% in most environmental impact categories. This finding  
660 highlights the importance of improving the productivity of the human-powered hydroponic system.  
661 Thus, the production of 500 g of lettuce using the human-powered hydroponic system could result  
662 in a global warming impact of 0.18 kg CO<sub>2</sub> eq./kg per lettuce, a value very close to the 0.15 kg CO<sub>2</sub>  
663 eq./kg for lettuce generated by conventional soil-based agricultural systems (Casey et al., 2022).

664 When evaluating the total life cycle costs of the human-powered hydroponic system, the cost of  
665 producing 200 g of lettuce was higher (£7.86/kg) for consumers than for purchasing lettuce  
666 cultivated through conventional soil-based open-field agriculture and greenhouses (£2.30/kg and  
667 £3.65/kg, respectively). It is thus not feasible to reach a break-even point within a 15-year lifespan.  
668 The human-powered hydroponic system could achieve the break-even point with greenhouse-  
669 produced lettuce after approximately 10 to 11 years if the human-powered hydroponic system  
670 increased its productivity (yield) and produced lettuces of 500 g instead of 200 g. However, when  
671 compared with conventional soil-based open-field agriculture, the human-powered hydroponic  
672 system did not reach the break-even point within the considered lifespan, even when the system  
673 was assumed to increase its productivity and produce 500 g of lettuces. However, it is worth noting  
674 that if the human-powered hydroponic system had a longer lifespan, the break-even point could  
675 potentially be reached. The attainment of the break-even point for the human-powered hydroponic  
676 system, in comparison to the cost of lettuce produced with other agricultural methods, could be  
677 achieved through changes in some variables, including adjustments to inflation rates, an extension  
678 of the battery lifespan (and consequently, the system lifespan), or an increase in the quantity of  
679 lettuce produced by the human-powered hydroponic system (i.e. a greater yield in kilograms per  
680 unit).

#### 681 **4. Conclusions**

682

683 This study assessed the environmental and economic implications of a novel human-powered  
684 hydroponic system using life cycle assessment (LCA) and life cycle cost analysis (LCC) methods. This

685 study assessed various scenarios to identify opportunities for improving both the environmental and  
686 economic performance of the human-powered hydroponic system.

687

688 The life cycle assessment showed that the battery stands out as the primary environmental hotspot,  
689 contributing significantly (40-85%) in 16 of the 18 impact categories. The power system is another  
690 key contributor to global warming and ionising radiation, accounting for 44% and 53%, respectively.  
691 Both the battery and power system play critical roles in the system's operation,. These results  
692 suggest that any improvements in the design and manufacturing of the battery or power system (i.e.  
693 generator, LED cable connector, LED, and LED power adaptor) could significantly reduce the overall  
694 environmental impact of the human-powered hydroponic system.

695

696 Another crucial issue is the sensitivity of the production unit. Based on our findings, it is evident that  
697 the break-even point is unlikely to be reached within a 15-year lifespan with an average production  
698 of 200 g per lettuce. However, for a higher yield of 500 g per lettuce, the human-powered  
699 hydroponic system becomes economically viable sooner, specifically between the 10th and 11th  
700 years. Hence, reducing the acquisition costs and increasing the productivity of the system (yield)  
701 could further minimise the environmental impact and facilitate reaching the cost break-even point.

702

703 Future studies of human-powered hydroponic systems should explore additional scenarios that were  
704 not assessed in this study, as these might capture other potential scenarios which could yield  
705 different assessment results. For instance, they could investigate the impact of food loss and waste,  
706 analyse other food product categories, and consider the production of out-of-season, high-value,  
707 and low-supply food products. Furthermore, there is potential for surplus energy generation and  
708 utilisation in other household services that would require system allocation and environmental load  
709 distribution. Conversely, there may be an energy deficit that necessitates the use of household  
710 electricity or integration of renewable energy sources. The developed human-powered hydroponic  
711 system could also be redesigned to further reduce its environmental impact and cost by  
712 implementing several sustainable design strategies to address the key engineering design  
713 requirements associated with the main environmental impacts and costs identified in this study: 1)  
714 reducing the energy requirements of the system during the use phase; 2) increasing its yield; 3)  
715 reducing the environmental impact of its manufacturing stage; and 4) increasing its lifespan, which  
716 could be achieved by increasing the durability of the components within the system to avoid failure  
717 and thus extend their lifespan. Batteries are one of these key components.

718

#### 719 **Declaration of competing interests**

720 The authors declare that they have no competing financial interests or personal relationships that  
721 may have influenced the work reported in this study.

722

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727

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731

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840 **Supplementary material**

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842

843 Human-powered hydroponic system

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845 *a) Prototype*

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847 The human-powered hydroponic system developed in this study was designed to be fully powered  
848 by human power to grow lettuce for domestic indoor applications, although other similar crops can  
849 also be grown. The hydroponic technique used by the system is ‘deep flow’, which is one type of the  
850 continuous open circulating flow solution culture methods (Khan et al., 2018). As the system does  
851 not incorporate any smart climate control monitoring devices, the carbon dioxide concentration,  
852 nutrient solution (e.g. composition, pH, electrical conductivity), air temperature, light intensity, and  
853 air humidity are controlled manually by the user.

854

855 *b) Performance of the prototype*

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857 The human-powered hydroponic system (Figure 1) is a 1:1 scale working functional early prototype,  
858 so the performance data obtained are based on preliminary early trial testing, not exhaustive testing  
859 of a final detailed system ready for mass production.

860

861 Since the human-powered hydroponic system has been designed for indoor domestic applications  
862 (not greenhouses) and it is not equipped with any ‘smart’ systems to automatically control the  
863 environmental parameters (e.g. light, temperature, humidity, CO<sub>2</sub> levels) where the human-powered  
864 hydroponic system is used, these parameters must be controlled manually by the user via the  
865 heating/cooling and air exchange facilities of the room of the house where it is located. This implies  
866 a reduction in total energy consumption because of the warmer conditions in the domestic settings.  
867 However, this also means that the control of environmental parameters is less precise and fine-  
868 tuned for the environmental needs of the specific crop grown in the human-powered hydroponic  
869 system, and the quantity and quality of the yield might not be optimal. Similarly, the solution  
870 properties (e.g. pH, EC, nutrients contained, and fertilisers) are also controlled manually by the user;  
871 therefore, some basic knowledge of hydroponics and specific instruments is required to measure the  
872 pH, EC, and nutrients (e.g. fertilisers) of the solution.

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Performance of components/system	Quantity	Unit
Lighting (90 LEDs units x 0.05 W each LED) – 20 h/day	0.09	kWh/day
Water pump (3 W x 24 h/day)	0.072	kWh/day
Batteries (12 v, 30Ah lithium battery) 3000 charges with 100% efficiency – storage capacity	0.384	kWh
Water tank	10	litres
Amount of vegetables produced per 40 days cycle	6 x 200: 1200	gr

Vegetables produced	6	Lettuce
Lifespan of the system	15	Years
Fertilisers required:		gr/cycle
NPK	2.40	
Calcium ammonium nitrate	1.45	
Inorganic potassium and K <sub>2</sub> O	2.02	
Urea	0.09	
Human-powered generator	up to 100	Wh
Total weight of the system (with empty water container)	12.2	kg

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Table 1. Performance of the human-powered hydroponic systems

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*c) How the prototype works*

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Ecoinvent processes selected for the life cycle assessment (LCA):

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Part of the system	Material	Ecoinvent 3.8
Small white planting pot	PP	Polypropylene, granulate {GLO}  market for   Cut-off, S
Hose-pipe connector	ABS	Acrylonitrile-butadiene-styrene copolymer {GLO}  market for   Cut-off, S
Pipe (60 cm)	PVC	PVC pipe E
Hose (large)	PUR	Polyurethane, flexible foam {RoW}  market for polyurethane, flexible foam   Cut-off, S
Pipe cap (black)	PVC	PVC pipe E
Hose connector	PVC	PVC pipe E
	Brass	Brass {RoW}  market for brass   Cut-off, S
Pump	Aluminium	Aluminium, wrought alloy {GLO}  market for   Cut-off, U
	Cast iron	Cast iron {GLO}  market for   Cut-off, U
	Copper	Copper, cathode {GLO}  market for   Cut-off, U
	PVC	Polyvinyl Chloride, suspension polymerised {GLO}  market for   Cut-off, U
	Chromium steel	Steel, chromium steel 18/8, hot rolled {GLO}  market for   Cut-off, U
	Rubber	Synthetic rubber {GLO}  market for   Cut-off, U
Generator	Screw (steel)	Steel wire rod/GLO
	Hollow screw (ABS)	Acrylonitrile-butadiene-styrene copolymer {GLO}  market for   Cut-off, S
	Hollow screw (brass)	Brass {RoW}  market for brass   Cut-off, S
	Bar (steel)	Steel rebar/GLO
	Gears (steel)	Steel hot rolled coil/GLO
	Other parts (steel)	Steel hot rolled coil/GLO

	Pedal (ABS)	Acrylonitrile-butadiene-styrene copolymer {GLO}  market for   Cut-off, S
	Rubber parts	Synthetic rubber {GLO}  market for   Cut-off, S
LED Light	LED	Light emitting diode {GLO}  market for   Cut-off, S
LED cable connector		Cable, unspecified {GLO}  market for   Cut-off, S
LED power adaptor		Power adapter, for smartphone {GLO}  market for power adapter, for smartphone   Cut-off, S
Battery Lithium 12V 30Ah	Battery materials	Battery, Li-ion, rechargeable, prismatic {GLO}  production   Cut-off, S
Wood plate	MDF	Medium-density fiberboard (MDF), at MDF mill/m3/RNA
Sponge (large)	PU	Polyurethane, flexible foam, flame retardant {GLO}  market for polyurethane, flexible foam, flame retardant   Cut-off, S
Bucket	PP	Injection moulding, rigid polypropylene part, at plant/kg/RNA
Screws	Aluminium	Aluminium, primary, ingot {IAI Area, EU27 & EFTA}  market for   Cut-off, S

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925 **Table 1**926 **Summary of studies reporting environmental impact assessments of hydroponic systems**

Reference	Types of agriculture system assessed	Power source	Application used	Methods for EI assessment	Types of agriculture	Key findings
Pinho et al. (2022)	FLOCCponic (Integration of biofloc with hydroponic)	Grid power	Commercial targeted	Emergy synthesis	Lettuce	FLOCCponic is considered sustainable for food production and more efficient than stand-alone hydroponic; however, improvements should be made to reduce electricity consumption
Ghasemi-Mobtaker et al. (2022)	Hydroponic for fodder	Grid power and natural gas	Commercial targeted	Life cycle assessment (LCA)	Fodder	Consumption of non-renewable energy increases the environment impact significantly
Blom et al. (2022)	Hydroponic greenhouse & vertical farming (VF)	Grid power	Commercial targeted	Life cycle assessment (LCA) - focusing on carbon emissions (GWP) impact category	Lettuce	Carbon footprint of vertical farming was 16.7 times greater than open-field farms, 6.8 times greater than soil-based greenhouses, and 5.6 times greater than hydroponic greenhouses, and the highest impact of the VF was allocated in the use phase, mainly from electricity consumption
Romeo et al. (2018)	Vertical farming (VF)	Grid power	Commercial targeted	Attributional cradle-to-gate life cycle assessment (LCA)	Lettuce	Hydroponic vertical farms perform better than cultivation in heated greenhouses and open-field farms. Consumption of grid power is a determinant factor, but renewable energy input can significantly lower environmental impact
Urbano et al. (2022)	Hydroponic	Grid power	Commercial targeted	Life cycle assessment (LCA)	Tomato	Transport means is a determining factor when comparing eight scenarios of fresh tomato supply to urban citizens
Rothwell et al. (2016)	Hydroponic (Outdoor)	Grid power (coal)	Commercial targeted	Life cycle assessment (LCA) - focusing on global warming potential impact category	Lettuce	Electricity accounted for approximately 50% of the GWP indicator impact category, and fertilisers, diesel, and transplants were other areas of high impact for this environmental impact category
Chen et al. (2020)	Aquaponic vs Hydroponic	Grid power vs renewable scenario	Commercial targeted	Life cycle assessment (LCA)	Lettuce	The EIs of the HS were twice as high as the impacts generated by the aquaponic system. Energy consumption caused the highest environmental impact in both the systems. The results showed that if the source of the electricity used to power lighting and greenhouse heating was changed from coal to natural gas, the EP (eutrophication potential) of hydroponics would be lower than that of aquaponics. If the primary energy source was replaced by renewable energy (wind power), the HS would become more sustainable than the aquaponic system
Casey et al. (2022)	6 Hydroponics	Grid power	Commercial targeted	Life cycle assessment (LCA)	Lettuce	Large environmental footprints occur when powering the hydroponic system. If renewable energy is used to power the systems, HSs could produce smaller carbon footprint than most field-based agriculture methods
Ntinas et al. (2020)	Hydroponic	Grid power and renewable (Solar) scenario	Commercial targeted	Life cycle assessment (LCA)	Tomato	Tomato production in high-tech greenhouses using solar power can be more sustainable than conventional greenhouses; thus, energy, water, fertiliser, and associated CO <sub>2</sub> emissions can be saved
Udovichenko et al. (2021)	Hydroponic	Hybrid renewable energy system (solar and heat pump)	Commercial targeted	Life cycle assessment (LCA) - focusing on greenhouse gas (GWP) impact category	Lettuce	Greenhouse gas (GHG) emissions generated from local hydroponic lettuce production, aided by a hybrid renewable energy system (HRES), are three times greater than those emitted by transporting an equivalent quantity of food from southern California, USA. The life cycle cost showed that the cost to produce lettuce from the case study facility is comparable to the price of lettuce available from traditional import, which shows a promising potential.

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928 **Table 2**

929 Summary of studies reporting economic assessments of hydroponic systems

Reference	Types of Hydroponic	Application	Assessment Target	Economic Assessment Methods	Key findings
Udovichenko et al. (2021)	Hydroponic	Commercial targeted	Hybrid renewable energy system (solar and heat pump)	Life cycle cost analysis (operating cost)	The life cycle cost showed that the cost to produce lettuce from the case study facility is comparable to the price of lettuce available from traditional import, which shows promise. The unit cost of lettuce produced from this facility was therefore $4.47 \pm 0.01$ \$/kg, whereas that of the price of local lettuce in the community was estimated at $5.86 \pm 0.29$ \$/kg. No food-miles cost is a significant opportunity for cost reduction
Gumisiriza et al. (2022)	New hydroponic with no pump	Domestic	Electricity cost from using no pump	Cost benefit analysis (Net present value (NPV), profitability index (PI), internal rate of return (IRR), and non-discounted payback period (NDPBP))	Significant cost benefits could arise from operating a passive hydroponic system (no pump and light emitting diode (LED) over time due to no electricity consumption), although this is only possible in high solar regions like Africa
Nisha et al. (2019)	NFT (Nutrient Film Technique)	Commercial and domestic	Water conservation	Literature review	Successful production of leafy as well as other vegetables with 70–90% water savings throughout the use phase. Additional cost savings can be generated for advanced automated operation to replace labour and reach break-even on the initial manufacturing cost over time

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