Regular Article - Experimental Physics



Improved background modeling for dark matter search with COSINE-100

(COSINE-100 Collaboration)

G. H. Yu^{1,2,a}, N. Carlin³, J. Y. Cho^{2,4}, J. J. Choi^{2,5}, S. Choi⁵, A. C. Ezeribe⁶, L. E. França³, C. Ha⁷, I. S. Hahn^{8,9,10}, S. J. Hollick¹¹, E. J. Jeon^{2,10,b}, H. W. Joo⁵, W. G. Kang², M. Kauer¹², B. H. Kim², H. J. Kim⁴, J. Kim⁷, K. W. Kim², S. H. Kim², S. K. Kim⁵, W. K. Kim^{2,10}, Y. D. Kim^{2,10}, Y. H. Kim^{2,10,13}, Y. J. Ko², D. H. Lee⁴, E. K. Lee², H. Lee^{2,10}, H. S. Lee^{2,10}, H. Y. Lee⁸, I. S. Lee², J. Lee², J. Y. Lee⁴, M. H. Lee^{2,10}, S. H. Lee^{2,10}, S. M. Lee⁵, Y. J. Lee⁷, D. S. Leonard², N. T. Luan⁴, B. B. Manzato³, R. H. Maruyama¹¹, R. J. Neal⁶, S. L. Olsen², B. J. Park^{2,10}, H. K. Park¹⁴, H. S. Park¹³, J. C. Park¹⁵, K. S. Park², S. D. Park⁴, R. L. C. Pitta³, H. Prihtiadi¹⁶, S. J. Ra², C. Rott^{1,17}, K. A. Shin², D. F. F. S. Cavalcante³, M. K. Son¹⁵, N. J. C. Spooner⁶, L. T. Truc⁴, L. Yang¹⁸

¹ Department of Physics, Sungkyunkwan University, Suwon 16419, Republic of Korea

² Center for Underground Physics, Institute for Basic Science (IBS), Daejeon 34126, Republic of Korea

- ⁶ Department of Physics and Astronomy, University of Sheffield, Sheffield S3 7RH, UK
- ⁷ Department of Physics, Chung-Ang University, Seoul 06973, Republic of Korea
- ⁸ Center for Exotic Nuclear Studies, Institute for Basic Science (IBS), Daejeon 34126, Republic of Korea
- ⁹ Department of Science Education, Ewha Womans University, Seoul 03760, Republic of Korea
- ¹⁰ IBS School, University of Science and Technology (UST), Daejeon 34113, Republic of Korea
- ¹¹ Department of Physics and Wright Laboratory, Yale University, New Haven, CT 06520, USA
- ¹² Department of Physics and Wisconsin IceCube Particle Astrophysics Center, University of Wisconsin-Madison, Madison, WI 53706, USA
- ¹³ Korea Research Institute of Standards and Science, Daejeon 34113, Republic of Korea
- ¹⁴ Department of Accelerator Science, Korea University, Sejong 30019, Republic of Korea
- ¹⁵ Department of Physics and IQS, Chungnam National University, Daejeon 34134, Republic of Korea
- ¹⁶ Department of Physics, Universitas Negeri Malang, Malang 65145, Indonesia
- ¹⁷ Department of Physics and Astronomy, University of Utah, Salt Lake City, UT 84112, USA
- ¹⁸ Department of Physics, University of California San Diego, La Jolla, CA 92093, USA

Received: 19 August 2024 / Accepted: 20 December 2024 © The Author(s) 2025

Abstract COSINE-100 aims to conclusively test the claimed dark matter annual modulation signal detected by DAMA/LIBRA collaboration. DAMA/LIBRA has released updated analysis results by lowering the energy threshold to 0.75 keV through various upgrades. They have consistently claimed to have observed an annual modulation. In COSINE-100, it is crucial to lower the energy threshold for a direct comparison with DAMA/LIBRA, which also enhances the sensitivity of the search for low-mass dark matter, enabling COSINE-100 to explore this area. Therefore, it is essential to have a precise and quantitative understanding of the background spectrum across all energy ranges.

³ Physics Institute, University of São Paulo, São Paulo 05508-090, Brazil

⁴ Department of Physics, Kyungpook National University, Daegu 41566, Republic of Korea

⁵ Department of Physics and Astronomy, Seoul National University, Seoul 08826, Republic of Korea

This study expands the background modeling from 0.7 to 4000 keV using 2.82 years of COSINE-100 data. The modeling has been improved to describe the background spectrum across all energy ranges accurately. Assessments of the background spectrum are presented, considering the nonproportionality of NaI(Tl) crystals at both low and high energies and the characteristic X-rays produced by the interaction of external backgrounds with materials such as copper. Additionally, constraints on the fit parameters obtained from the alpha spectrum modeling fit are integrated into this model. These improvements are detailed in the paper.

^a e-mail: tksxk752@naver.com

^be-mail: ejjeon@ibs.re.kr

1 Introduction

There have been many attempts to detect dark matter particles known as weakly interacting massive particles (WIMPs) by observing the recoil of nuclei resulting from interactions between WIMPs and nuclei [1,2]. However, except for the DAMA/LIBRA experiment, no other evidence has been found so far. The DAMA/LIBRA experiment uses an array of NaI(Tl) crystals to observe the annual modulation phenomenon of dark matter. They have consistently observed annual modulation signatures [3–5]. According to their findings, the model-independent annual modulation signature results were obtained with a 9.6 σ C.L. in the energy range of 1 to 6 keV in Phase 2 [6]. Furthermore, they have lowered the energy threshold to 0.75 keV and are still reporting consistent results. However, DAMA's signal conflicts with the null results of other experiments assuming the standard halo model of dark matter [7].

COSINE-100 is an experiment to detect annual modulation using an array of NaI(Tl) crystals totaling to 106 kg to test the DAMA/LIBRA signal COSINE-100 has tested on the DAMA/LIBRA results using model-dependent [8] and model-independent [9] methods with a 1 keV threshold. However, to directly test the DAMA/LIBRA's new 0.75 keV threshold, COSINE-100 needs to lower the energy threshold to below 1 keV. By lowering the energy threshold, the sensitivity of the search for low-mass dark matter is also improved, allowing COSINE-100 to explore this area more effectively. To achieve this, a more accurate background model, which encompasses the low-energy region, is needed. Some radioisotopes, such as ²²Na, produce both low- and high-energy emissions associated with each other. Thus, it is important to develop a more accurate background model that encompasses both low- and high-energy regions, including the 3-4 MeV region. Moreover, a comprehensive understanding of the high-energy regions can be utilized for detecting boosted dark matter signals in COSINE-100 [10].

We developed a background model using 2.82 years of COSINE-100 data, with an exposure of approximately 173 kg-years of live time. The model was expanded to cover the energy range from 0.7 to 4000 keV, providing a more concrete and accurate representation of the background spectrum. In developing this model, we performed the energy calibration that accounts for the nonproportionality of the NaI(Tl) crystals, as studied in [11]. Since the MC simulations do not implement a nonproportional scintillation response, we incorporated the calibration curve derived from the experimental data into the simulated background spectrum. This ensures that the simulated spectra reflect the true energy response of the NaI(Tl) crystals, enhancing the accuracy of the background model. The detailed methodology is described in Sects. 3.1 and 3.3.

The γ /X-rays emitted by background sources can excite atoms in copper or materials close to crystal detectors, producing X-rays with energies in the few keV range. These Xrays contribute to the backgrounds observed at low energies and are included in this study to improve modeling accuracy. Additionally, we conducted a separate study to model the alpha spectrum [12]. We integrated the constraints from the alpha model fit to refine the current approach. The details are provided in Sect. 4.

2 The COSINE-100 experiment

The COSINE-100 experiment uses NaI(Tl) crystals like DAMA/LIBRA. Eight crystals weighing 8 to 18kg each are aligned in two layers and immersed in a 2200L of linear alkylbenzene (LAB)-based liquid scintillator (LS) acting as an active/passive shield. The LS is contained in an acrylic box container, surrounded by a 3 cm thick copper box, a 20cm thick lead-brick castle, and plastic scintillator panels to shield it from external backgrounds. The NaI(Tl) crystal used in the experiment is cylindrical and wrapped with about ten layers of 250 µm thick Teflon. It is optically coupled with a 12.0 mm thick quartz window at both ends, with a 1.5 mm thick optical pad in between. This is encapsulated with 1.5 mm thick OFE copper. The quartz at both ends of the crystal encapsulator is optically coupled with 3inch Hamamatsu R12669SEL photomultiplier tubes (PMTs) using a small amount of high-viscosity optical grease [13]. The experiment started on September 22, 2016, and ran until April 2023. Throughout the data-taking periods, environmental variables were monitored and controlled to maintain stability. For instance, the crystal temperature was kept at 24.2 ± 0.1 °C [14]. We used the data collected for 2.8 years until June 2019 to improve the background modeling.

To verify the recent results of DAMA/LIBRA, it is necessary to lower the energy threshold below 1 keV and ensure that the background level in the WIMP region of interest (ROI) below 6 keV is comparable to the 1 to 2 counts/keV/day (dru) level. Our previous COSINE-100 background modeling has shown that the average background level in the (1-6) keV region is 2.85 ± 0.15 dru [15]. To improve the understanding of backgrounds, we need to lower the energy threshold while still attaining high event selection efficiency at low energy. The crystals' effective light yield was estimated to be 15 photoelectrons per keV using the 59.6 keV γ ray from ²¹⁴Am, which is more than twice that of DAMA/LIBRA. However, below 2 keV, the scintillation events are contaminated by PMT noise events. [16]. As the energy decreases, it becomes increasingly challenging to separate the noise events, as there are only a few pulses. To address this issue, we implemented a multi-layer perceptron (MLP) network based on noise-separating pulse-shape discriminating (PSD)

parameters. As a result, we were able to achieve a threshold of 0.7 keV with 20% efficiency for event selection and less than 1% noise contamination [17]. This can enhance background modeling in the extended energy region down to 0.7 keV.

In the low-energy region, there is the influence of not only ³H and ²¹⁰Pb but also peaks of 0.87 keV from ²²Na and 3.2 keV from ⁴⁰K. A better understanding of their contributions can be achieved by analyzing the background distribution extended to 0.7 keV. This analysis can enhance modeling accuracy by incorporating the crystal's nonproportionality in the background obtained through Geant4-based Monte Carlo (MC) simulations.

3 Energy calibration

As described in Sect. 2, optically coupled PMTs with NaI(Tl) crystals are configured to generate two readouts: a high-gain signal from the anode and a low-gain signal from the 5th-stage dynode, each acquired in independent channels. For these two readouts, the high-gain anode signals are used for low-energy events up to 90 keV, while the 5th-stage dynode signals are used above this energy. Accordingly, calibration is performed separately for the low- and high-energy regions, taking nonproportionality into account. The following section discusses the approach to energy calibration, with an emphasis on nonproportionality.

3.1 Energy calibration and energy resolution in low energy region

It is well-known that the relationship between the energy of an incident gamma ray and the amount of light produced in scintillation detectors such as NaI(Tl) is not linear [18–23]. This nonproportionality in the ROI of dark matter search should be considered carefully, and it is essential to account for it when calibrating the gamma energy accurately. Previous calibration studies of COSINE-100 have reported about 20% nonproportionality at the 1 keV level compared to the 50 keV energy response [15]. As this could significantly impact WIMP detection, a thorough understanding of the detector response, including the extended threshold region, is necessary. To achieve this, a detailed study on measuring nonproportionality was conducted in [11]. In the reference, internal peaks from the NaI(Tl) crystals, such as the 0.87 keV peak from ²²Na, the 3.2 keV peak from ⁴⁰K, the 25 and 88 keV peaks from ¹⁰⁹Cd, 28 keV from ¹¹³Sn, 39 keV from ¹²⁵I, 49 keV from ²¹⁰Pb, and 67 keV from ¹²⁵I, were modeled to measure the relative light yield as a function of incident gamma energy. A model describing the relative light yield as a function of energy was then developed to account for the nonproportionality of five NaI(Tl) crystals (C2, C3, C4, C6, and C7), with three crystals excluded due to high noise rates and low light yields. This calibration curve was subsequently applied to the simulated background spectrum to properly account for the nonproportionality of each crystal in this study.

Another important parameter of the NaI(Tl) scintillation detector is energy resolution, crucial for dark matter search at low energies. It is usually expressed as the full width at half maximum of the peak and is affected by factors such as nonproportionality, crystals' inhomogeneity, and the Poisson fluctuation of the number of photoelectrons produced by the PMT. In the work published in [11], we modeled the energy resolution as a function of the incident gamma energy considering the above-mentioned effects. We validated it by conducting a waveform simulation [24], which described the characteristics of the data well and in good agreement, including the direct measurement of light yield at 0.87 keV. This allows us to apply the resolution model to the lower energy region. Based on these studies, we have determined the energy-dependent resolution for each crystal, as shown in Fig. 1, and used it to smear the MC simulation.

3.2 PMT charge asymmetry correction

When we compared the MC spectrum smeared with the energy-dependent resolution to the data, we found a discrepancy in the energy range of around 80 keV for the single-hit events defined to have signals in only one of the crystals. According to the background simulations, events in this range are primarily due to the backgrounds from PMTs attached to the crystals. Specifically, there are X-ray deposits at 74.8 and 77.1 keV from the decay of ²¹⁴Pb in the ²³⁸U chain. On the other hand, external backgrounds far from the crystals contribute to this energy range for multiple-hit events, and the MC spectrum agrees well with the data in this range.

This discrepancy is due to the asymmetry of the location of the background sources. If a background source from PMT contamination deposits its energy, more photons will be collected at the contaminated PMT than at the PMT on the opposite side. This discrepancy should also be incorporated into the Monte Carlo simulation. To verify this, we examined the PMT charge asymmetry between single-hit events and multiple-hit events at different energy intervals. The charge asymmetry is defined as,

Charge Asymmetry =
$$\frac{Q_1 - Q_2}{Q_1 + Q_2}$$
, (1)

where Q_1 and Q_2 are the charges measured by each PMT attached to the crystal. As shown in Fig. 2a, while there is a noticeable difference in charge asymmetry in the 75–95 keV range between the single and multiple hit events, the difference is much smaller in the low energy region. We also compared the energy distribution of the events for the high charge



Fig. 1 Energy-dependent resolution used for each crystal. The resolution fit is based on internal peaks from the NaI(Tl) crystals, measured in [11]





Fig. 2 a Comparison of the PMT charge asymmetry between singleand multiple-hit events in various energy intervals. The difference between the distributions is prominent only in the single-hit events

above 75 keV. **b** The energy spectrum of single-hit events for large and small charge asymmetry. Each small asymmetry and large asymmetry correspond to the yellow and pink colored region, respectively, in **a**

asymmetry (pink area) and the low charge asymmetry (yellow area), as seen in Fig. 2b. In the high charge asymmetry case, we observed that the energy spectrum shifted towards higher energies above 75 keV, where contributions are predominantly attributed to PMT contamination. To accurately account for spectral shifts due to PMT charge asymmetry, we used the simulated background spectrum from PMT contamination to generate two spectra representing the energy distributions in high and low charge asymmetry regions. These spectra were used in the modeling fitting, extending up to 90 keV, with the fractional activity floated to account for the effects of charge asymmetry.

Deringer

3.3 Energy calibration in the high-energy region

We also evaluated nonproportionality at high energies above 90 keV [11]. To do this, we measured the light yields of the prominent gamma peaks from the high-energy spectrum, which included 295 keV from ²¹⁴Pb, 511 and 1274 keV from ²²Na, 609 and 1764 keV from ²¹⁴Bi, 1461 keV from ⁴⁰K, and 2615 keV from ²⁰⁸Tl. These measurements are in good agreement with previous measurement [25].

In the background spectrum, the influence of ²²Na and ²⁰⁸Tl is dominant above approximately 2000 keV, and the nonproportionality of multiple gamma rays from the decay of ²²Na or ²⁰⁸Tl contributes to the distortions in the spectral shape of the backgrounds. This is discussed in detail in the following section.



Fig. 3 a Fitted energy peak values from 22 Na source data. b Modeling result of C6 single-hit energy spectrum over a 1.7-year data period. Black lines represent the data, while 22 Na and other MC modeling results are denoted by the red and yellow regions, respectively. This result is from previous modeling work [15] and is not well-matched to

the data. **c** Time spectrum showing count rates from all crystals in the 2800-2950 keV energy range over a 3-year data period. The dashed vertical lines in **b** indicate the corresponding energy range. The time-dependent data rate is fitted using an exponential function, resulting in a half-life of 3.04 ± 0.60 years



Fig. 4 Effects of non-proportionality corrections on high-energy multi-gamma emitting isotopes. **a**, **b** Comparison of 22 Na and 208 Tl spectra with and without non-proportionality correction. **c** Modeled spectra for high-energy 22 Na and 208 Tl with and without non-proportionality correction

3.3.1 ²²Na energy calibration

Approximately 90% of ²²Na decays to an excited state of ²²Ne through the positron emission. This is followed by ²²Ne* transitioning to the stable ²²Ne isotope by releasing a gamma ray of 1274.6 keV with a 5.3 ps mean lifetime. The final-state positron immediately annihilates two 511 keV gamma rays, and as a result, we expect three peaks at 511, 1274.6, and their sum of 1785.6 keV. We examined these radiations using a ²²Na source, by placing it near the COSINE-100 crystals and measured three peaks, as shown in Fig. 3a. It was observed that the sum peak position is not in agreement with the expected value and is located at around 1818 keV. While the peaks of 511 and 1275 keV agree well with expectation. This discrepancy occurred because the combined nonproportionality effect on each 511 and 1274 keV peak is greater than the nonproportionality effect on the 1786 keV peak alone. This effect occurs in high-energy regions of the background spectrum, dominated by ²²Na.

Figure 3b shows that the previous background modeling results do not fit the data well. When the event rate in the 2800–2950 keV region was evaluated over time and fitted

with an exponential function, a fitted half-life of 3.04 ± 0.60 was obtained (Fig. 3c). This value, within its uncertainty, is consistent with that of ²²Na (2.6029 ± 0.0008 years). The fit result indicates that the events in this region are from ²²Na decay, but the energy spectrum is not well reproduced by the Monte Carlo simulation. It is because multiple gamma rays are emitted during the ²²Na decay, which is summed with the positron spectrum having an endpoint of 545 keV and distributed up to a Q-value of 2842 keV. In such cases, the summed background spectrum could be distorted by the combined nonproportionality effect.

In Fig. 4a, we compared the contribution of ²²Na with and without the effect of nonproportionality. We noticed an approximately 80 keV shift in the sum peak for two 511 keV gammas, 1274 keV gamma, and positron emissions. Similarly, ²⁰⁸Tl decay generates multiple gamma rays, such as 2614, 583, and 277 keV, and the pileup energy is affected by approximately 20 keV shift due to nonproportionality, as shown in Fig. 4b. As a result, Fig. 4c shows that the improved background modeling precisely describes the data in the high-energy region, expanding to 4000 keV by considering nonproportionality. This makes it possible to accurately determine the fractional contribution of the ²²Na background and evaluate its impact at low energy. This is because 10% of the ²²Na decay occurs through EC capture, which results in X-rays of 0.87 keV emitted from k-shell electron capture.

4 Background modeling

We simulated contributions from all potential sources to assess the background spectrum in the modeling. These include internal backgrounds from the NaI(Tl) crystal detectors and external backgrounds from PMTs, greases, PTFE reflective sheets, copper encapsulators, bolts, cables, acrylic supports, liquid scintillator (LS), copper box, and a steel structure that supports the lead block housing. We evaluated background radiation caused by radioisotopes in the decay chains of ²³⁸U, ²³²Th, ⁴⁰K, and ²³⁵U within the crystals and materials of the detector system included in the experimental enclosure. Furthermore, we evaluated the effects of surface contaminants and backgrounds from cosmogenically activated isotopes within the NaI(Tl) crystals.

To generate these background spectra, we utilized a COSINE-100-specific simulation framework based on Geant4 [26–28], developed for modeling 59.5 days and 1.7 years of COSINE-100 data [15,29]. The simulated energy distributions are reconstructed by smearing them with a Gaussian shape based on the resolution function obtained from Sect. 3 and normalized by the measured activity. The data is fitted with the summed simulated spectrum to construct the background model, using the binned-likelihood fit method [15]. During the fit, the amount of each background source is constrained within the uncertainties of the measured activity [12, 13, 30], allowing for floating unknown fractional activities. Detailed descriptions are provided in the following sections.

4.1 Constraints on internal backgrounds

For internal contaminations, radioactive α -decay can be recognized by high-energy peaks in the background spectrum, corresponding to individual alpha peaks from the decays of ²³⁸U and ²³²Th. In a separate study [12], we analyzed alphaalpha time-correlated events, by finding an α event followed by a delayed coincidence α event in a given time window. This analysis focused on decay sub-chains such as ²²⁸Th– ²⁰⁸Pb for internal ²³²Th, which are dominant at high energies. This method enables us to measure the activity levels of these sub-chains. In the modeling fit, these measurements constrain the fractional activity of ²³²Th backgrounds as prior information. In addition, we used the measurement for ²¹⁰Po to constrain the activity of ²¹⁰Pb by assuming secular equilibrium of the decay chain. Another significant background component contaminating the crystal is the ⁴⁰K isotope, which notably



Fig. 5 Comparison of the MC spectrum of 210 Pb on the PTFE surface with 210 Pb in the PTFE bulk. The exponential mean depths of 2, 3, and 5 μ m for 210 Pb on the PTFE surface are inferred from the alpha spectrum modeling [12]

impacts the low-energy spectrum, resulting in X-ray emissions at 3 keV. Its activity can be accurately measured using the 1460 keV decay [13]. This measurement is also used to constrain the fractional activity in the fit.

4.2 Position dependence of background contaminants in PMTs

PMTs comprise three main components: the PMT window, body, and stem. Each component may have different levels of contamination due to the materials it is made of. However, measuring PMTs' activity is done collectively as assembled modules, reflecting a combined contribution from all three components. During the simulation of the background spectrum, variations in the position of background contaminants on these components resulted in differences in the spectral shape, particularly in the middle energy range of a few hundred keV. Given PMTs' significant role as external background sources, their impacts are studied in the modeling process. PMT background simulations are conducted for each component, and spectral shape changes are treated as systematic uncertainty.

4.3 Surface ²¹⁰Pb

There is a low-energy background contribution due to the beta decay of ²¹⁰Pb (β^- , 63.5 keV, 22.3 years) on the crystal surface. This occurs because when the ²¹⁰Bi-excited state undergoes de-excitation, it emits low-energy electrons and γ /X-rays. Some of these emissions can partially escape the crystal, depending on their depth relative to the surface. This contribution was evaluated by measuring the surface depth profile, revealing its influence at various depths [31]. The

impact of surface depth-dependent backgrounds is considered in the current modeling process.

Furthermore, a study of the alpha spectrum modeling [12] found that the continuum below 2.2 MeV is caused by the presence of ²¹⁰Po on the surface of the PTFE reflector surrounding the NaI(Tl) crystal. Based on the alpha modeling, it was determined that the PTFE surface contamination has exponential depth profiles with mean depths of $2-5 \,\mu\text{m}$. The differences in their spectral shapes at various depths are illustrated in Fig. 5. The peaks around 10 and 46 keV, which are prominent for the PTFE bulk contamination, result from Xrays and the 46.5 keV γ -ray emitted from the decay of ²¹⁰Pb, respectively. Conversion electrons contribute peaks around 28 and 40 keV, while beta electrons contribute to a continuum between peaks at low energy. Depending on their penetration depth, conversion electrons can escape partially from the PTFE surface and deposit energy in the crystal, similar to the crystal surface contaminant. These impacts on backgrounds are considered in the modeling process.

4.4 K-shell X-ray emission from copper

To improve the accuracy of background modeling at low energies around 8 keV, where the MC spectrum does not fully describe the data, we introduce the X-rays induced by the interaction of external backgrounds with materials such as copper. The NaI(Tl) crystals are encapsulated by a 1.5 mmthick copper cylinder, and in the presence of these backgrounds, X-ray emission is possible. Specifically, there are X-ray emission lines at 8.038 and 8.905 keV, corresponding to the mean energies of K_{α} and K_{β} transitions of copper. The copper surface may be contaminated by a radioactive substance from outside, such as ²²²Rn, resulting in the implantation of ²¹⁰Pb in its surface, similar to how the PTFE surface is contaminated with ²¹⁰Pb. γ /X-rays emitted during the decay of the surface ²¹⁰Pb can then induce X-ray emissions in copper. We simulated this energy spectrum in a copper encapsulator by irradiating a 12 keV γ -ray, similar to the Xray energy from the decay of ²¹⁰Pb, as shown in Fig. 6, and incorporated it into the modeling process.

4.5 Background models

The data and Monte Carlo (MC) spectra are divided into four channels: single-hit low- and high-energy, multiplehit low- and high-energy channels. Each channel utilizes an extended fitting energy range: the single-hit low-energy channel uses an energy range of [6, 90] keV, the multiple-hit low-energy channel utilizes a fitting region of [0.7, 90] keV, and both high-energy channels employ a fitting range of [90, 4000] keV. The fitter simultaneously fits each channel to determine the fractional activity of the background sources. Figure 7 displays the background modeling results



Fig. 6 The simulated energy spectrum of copper K-shell X-ray emission by shooting $12 \text{ keV } \gamma$ -ray. The black dashed line is the raw MC spectrum, and blue solid line represents the spectrum after applying detector smearing effect summarized in Fig. 1

for crystal C2 as a representative. The top two plots show the single-hit low- and high-energy spectra, while the bottom two plots present the multiple-hit spectra. Consequently, the high-energy spectrum shows good agreement between data and MC across the entire energy region. Similarly, the low-energy spectrum is well-modeled, with no significant discrepancies observed. The WIMP search region of interest, which is grey-shaded and spans from 0.7 to 6.0 keV, is excluded from the likelihood fitting procedure and represents an extrapolation based on the modeling results. The average of the extrapolated MC spectra for five crystals can be found in Fig. 8.

The single-hit low-energy spectrum shown in Fig. 8 is mainly affected by surface 210 Pb, cosmogenic isotope 3 H, and internal radioactive isotopes 40 K and 210 Pb, which are represented by different colors (magenta, blue, and hatched area, respectively). The 210 Pb contamination on the NaI(Tl) crystal surface, PTFE reflector, and the copper encapsulator's surface significantly influences the low-energy region. According to the results in Table 1, surface contamination contributes to more than one-third of the total counts in most crystals. Additionally, there is a contribution from internal radioactive isotopes 210 Pb and 40 K, along with cosmogenic components such as 3 H, 22 Na, and 109 Cd. The activities of these isotopes were measured in our previous works [12,13,30] and were used to constrain their fractional contributions in the modeling fit.

5 Conclusion

The COSINE-100 project has gathered data from October 21, 2016, to March 14, 2023. This study presents the outcomes



Fig. 7 Background modeling result for C2. The fitting region starts at 6 keV for single-hit events and 0.7 keV for multiple-hit events. The shaded region is excluded from the fitting, and the MC spectrum is extrapolated from the higher energy fitting results



Fig. 8 Energy spectrum averaged over 5 crystals for single-hit events. Values below 6 keV are extrapolated from fitting results in other energy regions

of background modeling using a dataset spanning 2.82 years with a 0.7 keV energy threshold. The new analysis incorporates a more precise treatment of the non-proportional energy response of the NaI(Tl) detectors, taking into account both the low- and high-energy channels. This improved understanding allows for a more accurate assessment of the ²²Na

0.87 keV peak in the low-energy channel, as well as the multigamma energy deposits from ²²Na and ²⁰⁸Tl around 2.8 MeV and above 3 MeV in the high-energy channel, setting the upper energy threshold of the modeling at 4 MeV. Additionally, the depth profile of surface ²¹⁰Pb contamination in the PTFE reflector is included in the enhanced background modeling based on the study of the alpha background modeling. With the improved understanding of the surface ²¹⁰Pb in the PTFE reflector, it is found that it can affect the lowenergy spectrum as much as the crystal surface ²¹⁰Pb contamination. The improved background modeling explained the overall energy spectrum and accurately represented the extrapolated WIMP ROI (single-hit energy of 0.7-6 keV) using the MC spectrum for the background. In the extrapolated WIMP search ROI, the background rate was measured as 3.167 ± 0.022 Counts/kg/keV/day in 5 crystals on average, with the dominating background modeled as internal ³H, ²¹⁰Pb, and surface-contaminating ²¹⁰Pb.

Acknowledgements We thank the Korea Hydro and Nuclear Power (KHNP) Company for providing underground laboratory space at Yangyang and the IBS Research Solution Center (RSC) for providing high performance computing resources. This work is supported by: the Institute for Basic Science (IBS) under project code

J					
Unit [Counts/kg/keV/day]	Crystal 2	Crystal 3	Crystal 4	Crystal 6	Crystal 7
Data	3.241 ± 0.007	3.363 ± 0.008	3.177 ± 0.005	2.830 ± 0.006	2.864 ± 0.006
Total simulation	3.321 ± 0.227	3.476 ± 0.162	3.231 ± 0.128	2.942 ± 0.062	3.008 ± 0.079
Internal					
²¹⁰ Pb	1.290 ± 0.007	0.382 ± 0.003	0.448 ± 0.004	1.117 ± 0.003	1.075 ± 0.003
⁴⁰ K	0.218 ± 0.002	0.098 ± 0.001	0.108 ± 0.001	0.045 ± 0.001	0.047 ± 0.001
Others	0.0054 ± 0.0007	0.0018 ± 0.0003	0.0013 ± 0.0003	0.0011 ± 0.0002	0.0011 ± 0.0002
Surface					
Crystal surface ²¹⁰ Pb	1.096 ± 0.166	1.166 ± 0.107	0.636 ± 0.089	1.162 ± 0.020	1.201 ± 0.018
PTFE ²¹⁰ Pb	0.044 ± 0.006	0.104 ± 0.005	0.039 ± 0.004	0.052 ± 0.004	0.037 ± 0.003
Copper K-shell X-ray	0.011 ± 0.002	0.016 ± 0.002	0.014 ± 0.001	0.009 ± 0.001	0.002 ± 0.001
Cosmogenics					
³ H	0.561 ± 0.040	1.605 ± 0.035	1.818 ± 0.025	0.477 ± 0.028	0.566 ± 0.0020
²² Na	0.0145 ± 0.0001	0.0116 ± 0.0003	0.0211 ± 0.0001	0.0158 ± 0.0004	0.0202 ± 0.0001
¹⁰⁹ Cd	0.0120 ± 0.0002	0.0435 ± 0.0023	0.0943 ± 0.0017	0.0116 ± 0.0002	0.0115 ± 0.0431
^{121<i>m</i>} Te	_	0.0018 ± 0.0001	0.0038 ± 0.0001	0.0025 ± 0.0001	0.0023 ± 0.0001
Others	0.0131 ± 0.0007	0.0057 ± 0.0004	0.0166 ± 0.0004	0.0130 ± 0.0008	0.0102 ± 0.0004
External	0.068 ± 0.006	0.071 ± 0.007	0.056 ± 0.004	0.052 ± 0.005	0.055 ± 0.009

Table 1 Single-hit event rates for major background sources and data in the 0.7 to 6 keV range, with error values representing the 1σ likelihood fit uncertainty

IBS-R016-A1, NRF-2021R1A2C3010989, NRF-2021R1A2C1013761 and RS-2024-00356960, NFEC (No. 2019R1A6C1010027) and NRF (No. 2021R111A3041453), Republic of Korea; NSF Grants No. PHY-1913742, United States; STFC Grant ST/N000277/1 and ST/K001337/1, United Kingdom; Grant No. 2021/06743-1, 2022/12002-7 and 2022/ 13293-5 FAPESP, CAPES Finance Code 001, CNPq 304658/2023-5, Brazil; UM grant No. 4.4.594/UN32.14.1/LT/2024, Indonesia.

Data Availability Statement My manuscript has no associated data. [Authors' comment: The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.].

Code Availability Statement My manuscript has no associated code/ software. [Authors' comment: The code/software generated during and/or analysed during the current study is available from the corresponding author on reasonable request.].

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecomm ons.org/licenses/by/4.0/. Funded by SCOAP³.

References

- R.J. Gaitskell, Direct detection of dark matter. Annu. Rev. Nucl. Part. Sci. 54, 315–359 (2004). https://doi.org/10.1146/annurev. nucl.54.070103.181244
- P.D. Grop, P. Zyla, R. Barnett, J. Beringer, O. Dahl, D. Dwyer, D. Groom, C.-J. Lin, K. Lugovsky, E. Pianori et al., Review of particle physics. Prog. Theor. Exp. Phys. **2020**(8), 08301 (2020)
- R. Bernabei et al., First results from DAMA/LIBRA and the combined results with DAMA/NaI. Eur. Phys. J. C 56, 333– 355 (2008). https://doi.org/10.1140/epjc/s10052-008-0662-y. arXiv:0804.2741
- R. Bernabei et al., New results from DAMA/LIBRA. Eur. Phys. J. C 67, 39–49 (2010). https://doi.org/10.1140/epjc/ s10052-010-1303-9. arXiv:1002.1028
- R. Bernabei et al., Final model independent result of DAMA/LIBRA-phase1. Eur. Phys. J. C 73, 2648 (2013). https:// doi.org/10.1140/epjc/s10052-013-2648-7. arXiv:1308.5109
- R. Bernabei et al., First model independent results from DAMA/LIBRA-phase2. Nucl. Phys. Atom. Energy 19(4), 307–325 (2018). https://doi.org/10.15407/jnpae2018.04.307. arXiv:1805.10486
- R. Bernabei, P. Belli, F. Cappella, V. Caracciolo, R. Cerulli, C. Dai, A. d'Angelo, A. Incicchitti, A. Leoncini, X. Ma et al., Dark matter with DAMA/LIBRA and its perspectives. J. Phys. Conf. Ser. 2586, 012096 (2023)
- G. Adhikari, E.B. de Souza, N. Carlin, J.J. Choi, S. Choi, M. Djamal, A.C. Ezeribe, L.E. França, C.H. Ha, I.S. Hahn et al., Strong constraints from COSINE-100 on the DAMA dark matter results using the same sodium iodide target. Sci. Adv. 7(46), eabk2699 (2021)
- G. Adhikari, E. Barbosa de Souza, N. Carlin, J. Choi, S. Choi, A. Ezeribe, L. França, C. Ha, I. Hahn, S. Hollick et al., Three-year annual modulation search with COSINE-100. Phys. Rev. D 106(5), 052005 (2022)

- G. Adhikari, N. Carlin, J.J. Choi, S. Choi, A. Ezeribe, L. França, C. Ha, I. Hahn, S. Hollick, E. Jeon et al., Search for boosted dark matter in COSINE-100. Phys. Rev. Lett. 131(20), 201802 (2023)
- S. Lee et al., Nonproportionality of NaI(Tl) scintillation detector for dark matter search experiments. Eur. Phys. J. C 84, 484 (2024). https://doi.org/10.1140/epjc/s10052-024-12770-1
- G. Adhikari, N. Carlin, D. Cavalcante, J. Cho, J. Choi, S. Choi, A. Ezeribe, L. França, C. Ha, I. Hahn et al., Alpha backgrounds in NaI(Tl) crystals of COSINE-100. Astropart. Phys. 158, 102945 (2024). https://doi.org/10.1016/j.astropartphys.2024.102945
- G. Adhikari et al., Initial performance of the COSINE-100 experiment. Eur. Phys. J. C 78(2), 107 (2018). https://doi.org/10.1140/ epjc/s10052-018-5590-x. arXiv:1710.05299
- H. Kim et al., The environmental monitoring system at the COSINE-100 experiment. J. Instrum. 17(01), T01001 (2022)
- G. Adhikari et al., Background modeling for dark matter search with 1.7 years of COSINE-100 data. Eur. Phys. J. C 81(9), 837 (2021). https://doi.org/10.1140/epjc/s10052-021-09564-0. arXiv:2101.11377
- G. Adhikari et al., Lowering the energy threshold in COSINE-100 dark matter searches. Astropart. Phys. 130, 102581 (2021). https:// doi.org/10.1016/j.astropartphys.2021.102581. arXiv:2005.13784
- G. Yu et al., Lowering threshold of NaI(Tl) scintillator to 0.7 keV in the COSINE-100 experiment. JINST 19, P12013 (2024). https:// doi.org/10.1088/1748-0221/19/12/P12013
- D. Engelkemeir, Nonlinear response of NaI(Tl) to photons. Rev. Sci. Instrum. 27(8), 589–591 (1956)
- T.H. Jones, The nonproportional response of a NaI(Tl) crystal to diffracted X rays. Nucl. Instrum. Methods 15(1), 55–58 (1962)
- H. Leutz, C. D'Ambrosio, On the scintillation response of NaI(TI)crystals. IEEE Trans. Nucl. Sci. 44(2), 190–193 (1997)
- A. Collinson, R. Hill, The fluorescent response of NaI(Tl) and CsI(Tl) to X rays and γ rays. Proc. Phys. Soc. 81(5), 883 (1963)
- I. Khodyuk, P. Rodnyi, P. Dorenbos, Nonproportional scintillation response of NaI:Tl to low energy X-ray photons and electrons. J. Appl. Phys. **107**, 113513 (2010). https://doi.org/10.1063/ 1.3431009

- W. Moses, G. Bizarri, R.T. Williams, S. Payne, A. Vasil'Ev, J. Singh, Q. Li, J. Grim, W.-S. Choong, The origins of scintillator nonproportionality. IEEE Trans. Nucl. Sci. 59(5), 2038–2044 (2012)
- 24. J. Choi, C. Ha, E. Jeon, K. Kim, S. Kim, Y. Kim, Y. Ko, B. Koh, H. Lee, S. Lee et al., Waveform simulation for scintillation characteristics of NaI(Tl) crystal. Nucl. Instrum. Methods Phys. Res. Sect. A Acceler. Spectrom. Detect. Assoc. Equip. **1065**, 169489 (2024)
- H. Devare, P. Tandon, Effect of the non-linear response of NaI(Tl) on the single crystal summing spectra. Nucl. Instrum. Methods 22, 253–255 (1963)
- S. Agostinelli et al., GEANT4 a simulation toolkit. Nucl. Instrum. Methods A 506, 250–303 (2003). https://doi.org/10.1016/ S0168-9002(03)01368-8
- J. Allison et al., Geant4 developments and applications. IEEE Trans. Nucl. Sci. 53, 270 (2006). https://doi.org/10.1109/TNS. 2006.869826
- J. Allison et al., Recent developments in Geant4. Nucl. Instrum. Methods A 835, 186–225 (2016). https://doi.org/10.1016/j.nima. 2016.06.125
- P. Adhikari et al., Background model for the NaI(Tl) crystals in COSINE-100. Eur. Phys. J. C 78, 490 (2018). https://doi.org/10. 1140/epjc/s10052-018-5970-2. arXiv:1804.05167
- E. Barbosa de Souza et al., Study of cosmogenic radionuclides in the COSINE-100 NaI(Tl) detectors. Astropart. Phys. 115, 102390 (2020). https://doi.org/10.1016/j.astropartphys.2019. 102390. arXiv:1905.12861
- G. Yu, C. Ha, E.J. Jeon, K. Kim, N.Y. Kim, Y. Kim, H.S. Lee, H. Park, C. Rott, Depth profile study of 210 Pb in the surface of an NaI(Tl) crystal. Astropart. Phys. 126, 102518 (2021)