

This is a repository copy of *Respiratory measurements, respiratory symptoms, and quality of life in ALS: results from the REVEALS study.*

White Rose Research Online URL for this paper: <u>https://eprints.whiterose.ac.uk/224432/</u>

Version: Published Version

Article:

Murray, D. orcid.org/0000-0002-4314-4480, Rooney, J. orcid.org/0000-0001-6346-0731, Meldrum, D. orcid.org/0000-0002-7732-3591 et al. (18 more authors) (2025) Respiratory measurements, respiratory symptoms, and quality of life in ALS: results from the REVEALS study. Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration. ISSN 2167-8421

https://doi.org/10.1080/21678421.2025.2471421

Reuse

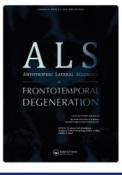
This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs (CC BY-NC-ND) licence. This licence only allows you to download this work and share it with others as long as you credit the authors, but you can't change the article in any way or use it commercially. More information and the full terms of the licence here: https://creativecommons.org/licenses/

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.







Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration

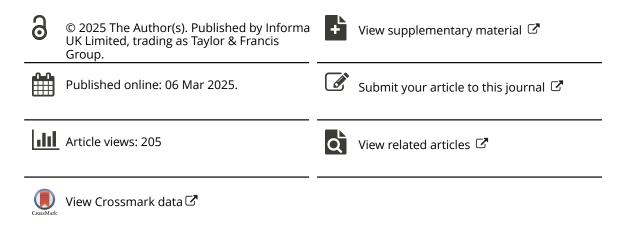
ISSN: (Print) (Online) Journal homepage: www.tandfonline.com/journals/iafd20

Respiratory measurements, respiratory symptoms, and quality of life in ALS: results from the REVEALS study

Deirdre Murray, James Rooney, Dara Meldrum, Ammar Al-Chalabi, Tommy M. Bunte, Theresa Chiwera, Mutahhara Choudhury, Adriano Chio, Lauren Fenton, Jennifer Fortune, Lindsay Maidment, Umberto Manera, Christopher J. McDermott, Myrte Meyjes, Rachel Tattersall, Maria Claudia Torrieri, Philip Van Damme, Elien Vanderlinden, Claire Wood, Leonard H. Van Den Berg & Orla Hardiman

To cite this article: Deirdre Murray, James Rooney, Dara Meldrum, Ammar Al-Chalabi, Tommy M. Bunte, Theresa Chiwera, Mutahhara Choudhury, Adriano Chio, Lauren Fenton, Jennifer Fortune, Lindsay Maidment, Umberto Manera, Christopher J. McDermott, Myrte Meyjes, Rachel Tattersall, Maria Claudia Torrieri, Philip Van Damme, Elien Vanderlinden, Claire Wood, Leonard H. Van Den Berg & Orla Hardiman (06 Mar 2025): Respiratory measurements, respiratory symptoms, and quality of life in ALS: results from the REVEALS study, Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration, DOI: <u>10.1080/21678421.2025.2471421</u>

To link to this article: https://doi.org/10.1080/21678421.2025.2471421



Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration, 2025; 0: 1–11



RESEARCH ARTICLE

Respiratory measurements, respiratory symptoms, and quality of life in ALS: results from the REVEALS study

DEIRDRE MURRAY^{1,2} , JAMES ROONEY^{1,3} , DARA MELDRUM¹ , AMMAR AL-CHALABI^{4,5} , TOMMY M. BUNTE⁶, THERESA CHIWERA^{4,5}, MUTAHHARA CHOUDHURY^{4,5}, ADRIANO CHIO^{7,8} , LAUREN FENTON¹, JENNIFER FORTUNE¹ (), LINDSAY MAIDMENT⁹, UMBERTO MANERA^{7,8} (), CHRISTOPHER J. MCDERMOTT^{9,10} (D, MYRTE MEYJES⁶, RACHEL TATTERSALL^{1,2}, MARIA CLAUDIA TORRIERI⁷, PHILIP VAN DAMME^{11,12}, ELIEN VANDERLINDEN¹¹, CLAIRE WOOD^{4,5}, LEONARD H. VAN DEN BERG⁶ & ORLA HARDIMAN^{1,2}

¹Academic Unit of Neurology, Trinity College Dublin, Dublin, Ireland, ²Beaumont Hospital, Dublin, Ireland, ³Institute and Clinic for Occupational, Social and Environmental Medicine, University Hospital, LMU Munich, Munich, Germany, ⁴Department of Basic and Clinical Neuroscience, King's College London, Maurice Wohl Clinical Neuroscience Institute, London, UK, ⁵Department of Neurology, King's College Hospital, London, UK, ⁶UMC Utrecht, Utrecht, The Netherlands, ⁷ALS Center, 'Rita Levi Montalcini' Department of Neuroscience, University of Turin, Turin, Italy, ⁸Neurology 1, Azienda Ospedale Università Città della Salute e della Scienza, Turin, Italy, ⁹Sheffield Teaching Hospitals NHS Foundation Trust, Sheffield, UK, ¹⁰Division of Neuroscience, Sheffield Institute for Translational Neuroscience, University of Sheffield, Sheffield, UK, ¹¹Neurology Department, University Hospitals Leuven, Leuven, Belgium, and ¹²Department of Neuroscience, Leuven Brain Institute, University of Leuven (KU Leuven), Leuven, Belgium

Abstract

Objective: Progressing respiratory weakness throughout the course of amyotrophic lateral sclerosis (ALS) is clinically associated with distressing symptoms, including dyspnea, orthopnea, and difficulty clearing secretions. Fatigue, poor sleep, and reduced quality of life are also considered to be associated with declining respiratory function. Respiratory measurements guide prescription of interventions, which aim to alleviate symptoms. The relationships between respiratory measurements and patient reported symptoms are currently unclear. Method: The REVEALS study was a longitudinal, observational, multisite study of decline in respiratory function in people with ALS attending six European centers. Respiratory measures (forced and slow vital capacity (F/SVC), sniff nasal inspiratory pressure (SNIP), and peak cough flow) were collected, as were the presence of respiratory symptoms and simple quality of life, fatigue and sleep measures. We used Bayesian's multivariate models to explore the associations of the respiratory measures with outcome variables. Results: Two hundred and eighty participants completed in-person assessments over a median of 8 (IQR 2.3, 14.1) months, with 974 data collection timepoints. The probability of reporting symptoms including dyspnea, orthopnea, and difficulty clearing secretions increased with decreasing respiratory measurement scores. The probability of reporting moderately low quality of life and moderate fatigue also increased with decreasing test scores, but reported sleep quality was not associated with respiratory scores. Conclusion: Respiratory weakness in people with ALS was associated with symptoms including dyspnea, orthopnea, and difficulty clearing secretions. The probability of reporting symptoms increased incrementally as respiratory weakness increased, supporting the use of both respiratory measurements and the presence of symptoms in making decisions about clinical interventions.

Keywords: Respiratory measurement, respiratory symptoms, amyotrophic lateral sclerosis

ISSN 2167-8421 print/ISSN 2167-9223 online © 2025 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group. This is an Open Access article distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives License (http:// creativecommons.org/licenses/by-nc-nd/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited, and is not altered, transformed, or built upon in any way. The terms on which this article has been published allow the posting of the Accepted Manuscript in a repository by the author(s) or with their consent. DOI: 10.1080/21678421.2025.2471421

Correspondence: Deirdre Murray, Academic Unit of Neurology, Trinity College Dublin, Dublin, Ireland. E-mail: dmurray1@tcd.ie

Supplemental data for this article can be accessed online at https://doi.org/10.1080/21678421.2025.2471421.

⁽Received 17 July 2024; revised 16 December 2024; accepted 14 February 2025)

Introduction

Sequelae of respiratory weakness, which result from the loss of diaphragmatic, bulbar, and thoracic motor neurons, are the most frequent cause of death in amyotrophic lateral sclerosis (ALS)/ motor neuron disease (MND) (1,2). Additionally, progressing respiratory weakness throughout the disease course is clinically associated with a significant symptom burden and morbidity, including dyspnea, orthopnea, difficulty clearing secretions, and respiratory tract infections. Symptoms such as fatigue, poor sleep, and reduced quality of life are also common in ALS and are often considered to be associated with decline in respiratory function. Respiratory impairment and associated symptoms are clinically managed using noninvasive ventilation (NIV), cough augmentation adjuncts, and medications. Clinical guidelines state that initiation of these interventions should be guided by both presenting symptoms and by reaching specified thresholds on respiratory function tests (3-7).

Respiratory function in ALS is commonly monitored objectively using slow and forced vital capacity (S/FVC), which in ALS patients, are primarily used to assess the volume of air that can be in- and exhaled, with decreasing volumes reflecting weakness in the diaphragm and external intercostal musculature. Sniff nasal inspiratory pressure (SNIP) and peak cough flow (PCF) are also widely used assessments of respiratory muscle strength. SNIP measures the pressure produced during a maximal, fast sniff maneuver, while PCF is used to estimate abdominal and internal intercostal expiratory muscle function and efficacy of mucus clearance (8). These tests have demonstrated validity and reliability and are used according to international standards (8,9), as well as assessment guidelines specific to ALS/MND (10). However, while the utility of these objective metrics in tracking respiratory decline has been established, the relationship between decline in these measures and symptom burden and respiratory morbidity in ALS is unclear. There is a need for clarity regarding the impact that decline in respiratory strength, as assessed using these commonly used tests, has on patients. This clarity will aid clinicians in decision making for timely intervention with respiratory interventions and will provide real-world context for improvements in future clinical trials.

The REVEALS study was a longitudinal, observational, multisite study of decline in respiratory function in ALS (11,12). The data was collected between April 2018 and February 2021 and included 280 people with ALS in six European sites (Beaumont Hospital, Dublin; King's College Hospital, London, UK; University Medical Centre, Utrecht, The Netherlands; Azienda Ospedale Università Città della Salute e della Scienza, Turin, Italy; Sheffield Teaching Hospitals, Sheffield and University Hospitals Leuven, Belgium). The study was designed to reflect a real-world clinical population and clinical follow-up cadence. Participants were assessed on a median of three occasions (IQR 2.0, 5.0), over a median of 8 months (IQR 2.3, 14.1). Significant decline was demonstrated in all subgroups (male and female, spinal and bulbar onset), with particularly marked decline in the female subgroup with bulbar onset ALS (12). While FVC and SVC were closely correlated, correlation with SNIP and PCF was moderate, as these tests reflect different aspects of respiratory function (11). The respiratory subscale of the ALSFRS-R correlates poorly with all measures and did not show differences in rates of decline between the onset and sex subgroups compared with the other measures (12).

The aim of this paper is to discuss a series of exploratory hypotheses relating respiratory measures and patient reported symptoms. The probability of the presence of clinically relevant symptoms such as orthopnea, dyspnea, difficulty clearing secretions, and fatigue, in relation to declining scores on respiratory assessments was investigated. In addition, the probability of poor sleep quality and reduced quality of life with declining respiratory scores was explored.

Methods

Study population

The study design and population of the REVEALS study has been described previously (11,12). This was a longitudinal, observational study of patients attending a participating multidisciplinary clinic, with a confirmed diagnosis of spinal or bulbar onset ALS. In addition, participants fulfilled the criteria for ALS King's stage 2 or 3 at recruitment, provided informed consent and were able to complete the necessary respiratory tests and could correspond remotely. Use of NIV at time of recruitment, or the presence of another respiratory condition (asthma, COPD, bronchiectasis, lung cancer, etc.) were exclusion criteria. Follow-up at irregular intervals was acceptable considering the "real world" design of the study. All assessors completed training in standardized outcome measurement procedures and regular site visits, including observation of data collection visits, ensured consistency of the protocol across the sites (11). Ethical approval was in place for the study at each participating site.

Data collection

Demographics including site of ALS onset (spinal/ bulbar), date of onset, date of diagnosis, gender, height, weight, and employment were recorded. The amyotrophic lateral sclerosis rating scale revised (ALSFRS-r) was completed according to the ENCALS standard operating procedure (2015).

Four objective respiratory outcome measurewere recorded at each visit; SNIP ments (cmH_2O) , PCF (L/min),SVC (L and %predicted), and FVC (L and % predicted). The percentage predicted score of FVC was calculated using Global Lung Function Initiative (GLI-2012) reference equations (13,14) and the %predicted for SVC was calculated using the ratio provided by FVC. The procedures for these assessments have been described in detail previously (11,12) and adhered to ENCALS standard operating procedures (10).

In addition, the presence of respiratory symptoms (dyspnea at rest and when active (yes/no) and orthopnea (yes/no)) was recorded. Difficulty with clearing secretions from the chest, from the nose and with managing saliva was documented using a five-point Likert scale anchored by "no difficulty" (0) to "unable to perform" (4). In addition, a specific rating of fatigue associated with clearing secretions was documented (0–10). The McGill Quality of Life Single Item Scale (0–10) (McGill SIS) (15), The EQ-5D-5L (16), the Pittsburgh Sleep Quality Index (Component 1) (17), and a Fatigue Numerical Rating Scale (0–10) (18) were completed.

Exploratory hypotheses

A number of exploratory hypotheses, which were generated based upon clinical experience were identified and are detailed in Table 1, with decline in PCF considered separately to FVC, SVC, and SNIP.

Statistical methods

Our previous analyses (11,12) used Bayesian's multivariate models to explore the correlations of the five respiratory outcomes and ALSFRS-r, and associations of these with demographic factors and clinical presentations of ALS. For this analysis, the outcome variables either have dichotomous (yes/ no) values, ordered Likert/ordinal variables, or are continuous variables (Table 1).

For those outcome variables with dichotomous values, we used Bayesian's logistic regression models (i.e. a Bernoulli distribution family was assumed). For the Likert/ordinal outcomes, we used Bayesian's ordinal regression with an assumed cumulative outcome model (19) (i.e. it is assumed the observed ordinal variable originates from the categorization of an unobserved (latent) continuous variable-e.g. a continuous process of disease progression). Explanatory variables were chosen guided by our previous analyses (11), and included random slopes over time from baseline (where the baseline was defined as study enrollment date) and random intercepts by individual, while fixed effects were included for the time from baseline, site of onset by sex subgroups in interaction with time from baseline, study site, and age at diagnosis. Two thousand model iterations were run, and model convergence and fit were assessed by assessing the bulk effective sample size and tail effective sample size.

Software: R statistical software 4.1.3 (R Foundation for Statistical Computing, Vienna,

Table 1. Study hypotheses addressing the probability of patient reported respiratory symptoms with change in respiratory measurements.

	Hypothesis	Variable	Variable type				
1	Decline in respiratory function measured using FVC, SVC, and SNIP increases the probability of						
	(a) Dyspnea when active	Yes/no response	Binary				
	(b) Dyspnea at rest	Yes/no response	Binary				
	(c) Orthopnea	Yes/no response	Binary				
	(d) Reduced quality of life	McGill QoL single item 0–10 scale divided into 3 categories:	Ordinal				
		0-3 = poor, 4-7 = medium, 7-10 = good					
		EQ-5D-5L (index score and % rating recorded)	Continuous				
	(e) Reduced sleep quality	Pittsburgh Sleep Quality Index	Ordinal				
		4 point scale: very bad (0) to very good (4)					
	(f) Fatigue	0–10 Fatigue Numerical Rating Scale divided into 3 categories: 0–3 = mild, 4–6 = moderate, 7– 10 = severe	Ordinal				
2	Reduced PCF increases the probability of						
-	(a) Difficulty clearing secretions from chest	0-4 Numerical Rating Scale	Ordinal				
	(b) Difficulty clearing nose	0–4 Numerical Rating Scale	Ordinal				
	(c) Difficulty clearing saliva	0–4 Numerical Rating Scale	Ordinal				
	(d) Fatigue clearing secretions	0–10 Numerical Rating Scale	Ordinal				
	(e) Having a regular productive cough	Yes/no response	Binary				
	(f) Reduced QoL	McGill QoL Single Item Scale 0–10 scale divided into 3 categories:	Ordinal				
		0-3 = poor, 4-7 = medium, 7-10 = good					
		Eq-5D-5L (index score and % rating recorded)	Continuous				

Austria) with additional packages were used for data preparation and descriptive analysis (20–24), and R packages brms (25), tidybayes (26), bayesplot (27), and Stan software version 2.29.2 were used to fit and assess Bayesian models. Analysis code is available on Github and archived on Zenodo: https://doi.org/10.5281/zenodo.14029005.

Results

Demographic and clinical baseline data have been described in detail previously (11,12). In summary, 280 participants with ALS (33.2% female, 81.1% with spinal onset ALS), with a mean age at diagnosis of 61.85 ± 11.85 years participated. Median time from disease onset to study entry was 19.42 (IQR 11.65, 35.12) months and median diagnostic delay was 9.99 (IQR 6.67, 16.33) months. Participants completed in-person assessments over a median of 8 (IQR 2.3, 14.1) months, with 974 data collection timepoints (median of 3 (IQR 2, 5) per individual). The baseline respiratory function and selected clinically meaningful symptom variables are described in Table 2.

Results of Bayesian logistic and ordinal models are best summarized graphically. Figure 1 reflects the results related to Hypotheses 1 (a-c) outlined in Table 1 and shows the probability of the presence of dyspnea when active, at rest, and in the lying position (orthopnea) with declining scores in FVC, SVC, and SNIP. For all three outcomes, the probability curves are similar for FVC and SVC, with the mean probability of reporting dyspnea when active increasing below 80% of predicted FVC/SVC scores. For "dyspnea at rest" and "in lying", the probability of reporting the symptoms increases below 50% of predicted SVC/FVC scores. Similarly, the probability of reporting "dyspnea when active" increases below a SNIP score of 80 cmH₂O but the risk of reporting "dyspnea at rest" and "orthopnea" remains low, with some increase below 50 cmH_2O .

Figure 2 shows the probability of reporting a McGill Single Item Scale (SIS) quality of life score within the three defined categories in relation to respiratory function measures (Table 1: Hypotheses 1(d) and 2(f)). The probability of reporting a high McGill SIS score (7–10/10) was greater with higher respiratory test scores. The probability of reporting a medium McGill SIS score (4–6) was higher with lower respiratory test scores and the probability of reporting a low score (0–3) was low at all respiratory test scores. EQ-5D-5L was modeled as a continuous variable, which showed that for each Liter increase in SVC, the EQ-5D-5L index score increased by 0.08 units (95% CrI: 0.06–0.10) and for each liter increase in FVC by 0.09 units (95% CrI: 0.06–0.11).

Supplementary Figure 1 displays the results of Hypothesis 1(e) and shows that the probabilities for reporting Pittsburgh Sleep Scores (0-4) did not

change with changes in FVC, SVC, or SNIP. In Supplementary Figure 2, it is apparent that fatigue did not vary significantly with FVC, SVC, or SNIP, although there was a slight trend toward having a higher probability of low fatigue scores with higher FVC and SVC.

The results reflecting the hypotheses related to PCF measurement (Table 2: Hypotheses 2(a)–(e)) are shown in Figures 3–5. There was zero to minimal probability of having any level of difficulty clearing the chest, saliva, and nose for PCF scores above 600 L/min (Figure 3). The probability of having "difficulty clearing the nose" and "chest" increased when PCF fell below 400 L/min, but this trend was less obvious for reporting of "difficulty clearing saliva". Figure 4 shows that the probability of reporting "having a regular productive cough" was higher with lower PCF scores and similarly the probability of reporting "fatigue clearing the chest" increased below 400 L/min PCF (Figure 5).

Discussion

This study aimed to relate respiratory measures, used widely in research and clinical care in ALS to patient reported symptoms, reported sleep quality, and quality of life. We have shown that declining respiratory function, measured using FVC, SVC, SNIP, and PCF, result in increasing probability of distressing patient reported symptoms such as dyspnea, orthopnea, and difficulty clearing secretions. Furthermore, lower respiratory function test scores increase the probability of reporting lower quality of life and fatigue. Surprisingly, patient reported sleep quality was not associated with respiratory scores.

Management of respiratory failure and prescription of interventions including NIV are guided by both clinical symptoms and respiratory test scores (3,5,6). The screening of symptoms such as dyspnea is validated by these data, although conversely the high probability of not reporting dyspnea and orthopnea, even in the presence of significant respiratory weakness emphasizes the importance of regular respiratory testing in addition to symptom screening. The probability of reporting dyspnea at rest and orthopnea began to increase significantly when a marked decline in SVC and FVC has already occurred (approximately 50% predicted S/FVC). By contrast, the probability of reporting "dyspnea when active" started to increase at approximately 80% predicted S/FVC. For example, at 50% predicted FVC and SVC, approximately 25% of participants reported "dyspnea on activity" and less than 10% reported "dyspnea at rest" and "orthopnea". A similar pattern is seen for SNIP. We identified a wide range of credible intervals for probabilities of reporting dyspnea (Figure 1), which increased with increasing respiratory weakness, limiting definition of

Variable	Level	Dublin	London	Leuven	Sheffield	Turin	Utrecht	p Value
Number of participants		63	22	59	22	56	58	
Number of timepoints per participant, median [IQR]		3.0 [2.0, 4.0]	1.0 [1.0, 2.0]	4.0 [3.0, 7.0]	2.0 [1.0, 2.75]	4.0 [2.0, 4.0]	4.0 [2.0, 6.0]	
Baseline characteristics								
FVC/L (mean (SD))		3.04 (1.08)	3.14 (1.10)	3.06 (1.17)	3.20 (0.81)	3.02 (0.94)	3.55 (1.12)	0.091
SVC/L (mean (SD))		2.93 (1.09)	3.25 (1.36)	2.94 (1.15)	2.74 (0.67)	2.75 (1.00)	3.51 (1.13)	0.005
SNIP/cmH ₂ O (mean (SD))		66.11 (27.88)	58.57 (24.32)	55.22 (26.99)	58.65 (27.93)	64.12 (31.77)	62.62 (25.81)	0.334
PCF/L/min (mean (SD))		360.24 (128.98)	331.90 (159.99)	341.36 (143.36)	301.10 (88.74)	326.52 (124.16)	363.62 (115.50)	0.316
ALSFRS-R respiratory subscore (mean (SD)) Clinical metrics		11.41 (1.20)	11.35 (1.14)	10.97 (1.47)	11.35 (1.04)	11.45 (1.17)	11.53 (0.90)	0.157
Dyspnea when active, N (%)	'Yes'	10 (17.2)	9 (42.9)	16 (27.1)	8 (36.4)	9 (16.1)	10 (17.5)	0.049
Dyspnea at rest, N (%)	'Yes'	2 (3.3)	1 (4.8)	4 (6.8)	0 (0.0)	4 (7.1)	1 (1.7)	0.544
Orthopnea, N (%)	'Yes'	5 (8.1)	0 (0.0)	10 (16.9)	3 (13.6)	6 (10.7)	6 (10.3)	0.354
McGill QOL Single Item Scale, N (%)	0–3	2 (3.3)	2 (9.5)	1 (1.7)	0 (0.0)	6 (10.7)	1 (1.9)	
	4-6	15 (24.6)	4 (19.0)	14 (23.7)	6 (27.3)	23 (41.1)	3 (5.8)	
	7-10	44 (72.1)	15 (71.4)	44 (74.6)	16 (72.7)	27 (48.2)	48 (92.3)	0.001
Pittsburgh Sleep Quality Index, N (%)	Very bad	1 (1.6)	8 (38.1)	2 (3.4)	1 (4.5)	1 (1.8)	0 (0.0)	
	Fairly bad	7 (11.5)	11 (52.4)	10 (16.9)	6 (27.3)	8 (14.3)	14 (24.6)	
	Fairly good	38 (62.3)	2 (9.5)	35 (59.3)	9 (40.9)	32 (57.1)	26 (45.6)	
	Very good	15 (24.6)	0 (0.0)	12 (20.3)	6 (27.3)	15 (26.8)	17 (29.8)	< 0.001
Fatigue VAS, N (%)	0–3	15 (24.6)	9 (42.9)	14 (23.7)	11 (50.0)	9 (16.1)	20 (35.1)	
	4-6	26 (42.6)	5 (23.8)	32 (54.2)	5 (22.7)	27 (48.2)	27 (47.4)	
	7-10	20 (32.8)	7 (33.3)	13 (22.0)	6 (27.3)	20 (35.7)	10 (17.5)	0.023
Difficulty clearing chest in the past week, $N(\%)$	No difficulty	44 (72.1)	15 (75.0)	46 (78.0)	14 (63.6)	47 (83.9)	44 (77.2)	
	Some difficulty	7 (11.5)	3 (15.0)	4 (6.8)	4 (18.2)	5 (8.9)	10 (17.5)	
	Moderate difficulty	6 (9.8)	2 (10.0)	5 (8.5)	2 (9.1)	4 (7.1)	3 (5.3)	
	Significant difficulty	1 (1.6)	0 (0.0)	4 (6.8)	2 (9.1)	0 (0.0)	0 (0.0)	
	Unable	3 (4.9)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0.107
Difficulty clearing nose in past week, $N(\%)$	No difficulty	48 (78.7)	17 (85.0)	46 (78.0)	18 (85.7)	50 (89.3)	45 (80.4)	
	Some difficulty	5 (8.2)	1 (5.0)	3 (5.1)	1 (4.8)	3 (5.4)	5 (8.9)	
	Moderate difficulty	7 (11.5)	1 (5.0)	4 (6.8)	2 (9.5)	3 (5.4)	6 (10.7)	
	Significant difficulty	1 (1.6)	0 (0.0)	3 (5.1)	0 (0.0)	0 (0.0)	0 (0.0)	
	Unable	0 (0.0)	1 (5.0)	3 (5.1)	0 (0.0)	0 (0.0)	0 (0.0)	0.377
Difficulty clearing saliva in past week, N (%)	No difficulty	48 (78.7)	19 (95.0)	47 (79.7)	16 (76.2)	33 (58.9)	42 (73.7)	
	Some difficulty	8 (13.1)	0 (0.0)	4 (6.8)	5 (23.8)	14 (25.0)	7 (12.3)	
	Moderate difficulty	4 (6.6)	1 (5.0)	4 (6.8)	0 (0.0)	8 (14.3)	6 (10.5)	
	Significant difficulty	1 (1.6)	0 (0.0)	4 (6.8)	0 (0.0)	1 (1.8)	1 (1.8)	
	Unable	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (1.8)	0.081
Regular productive cough, N (%)	'Yes'	16 (26.2)	4 (19.0)	23 (39.0)	3 (13.6)	8 (14.3)	45 (77.6)	< 0.001
Fatigue clearing secretions, N (%)	0–2	47 (78.3)	13 (68.4)	51 (87.9)	18 (81.8)	39 (69.6)	48 (87.3)	
	3–6	13 (21.7)	5 (26.3)	4 (6.9)	1 (4.5)	15 (26.8)	7 (12.7)	
	7–10	0 (0.0)	1 (5.3)	3 (5.2)	3 (13.6)	2 (3.6)	0 (0.0)	0.006

UI

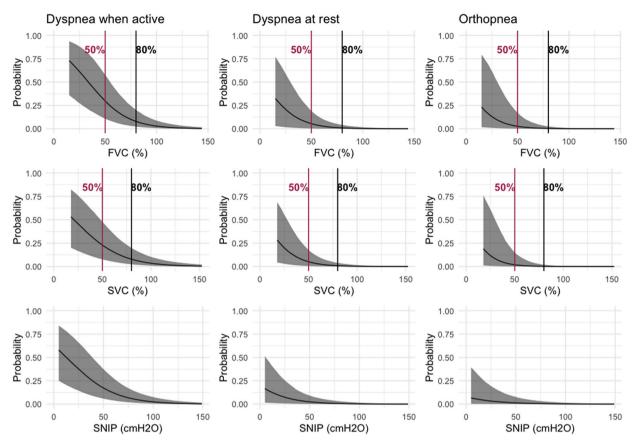


Figure 1. The probability of reporting dyspnea when active, at rest and orthopnea by respiratory function scores (FVC, SVC, and SNIP). These graphs show that as the respiratory measures decrease the probability of reporting each symptom increases. The threshold scores for each measure, commonly used to guide intervention, are shown for reference.

absolute thresholds of respiratory measures to indicate risk of morbidity or requirement for intervention. These findings demonstrate the importance of both objective respiratory testing and of symptom screening, specifically for "dyspnea on activity" as an early sign of decline in respiratory strength. The findings also support use of a combination of clinical signs and symptoms and respiratory measurement in triggering respiratory interventions such as NIV and pharmacological interventions, as symptoms can occur at higher measurement scores or may be absent even with low scores. These findings highlight the complex pathophysiology of dyspnea, which is influenced not only by respiratory muscle weakness, but also factors such as anxiety and support the recommendations of a recent guideline on management of ALS (7) and the requirement for an individualized and multifaceted approach to management (28).

Quality of life is a complex and multifaceted construct, which in ALS is not solely related to the evolving physical disability (29), but is influenced by multiple personal, social, cultural, and environmental factors (30–32). Quality of life is difficult to measure holistically, and it is acknowledged that the chosen measures for this study (McGill 0–10 Numerical Scale and EQ-5D-5L) are limited, but were practical in the context of collection of a significant volume of data in a clinical setting. These

data indicate that respiratory function influences quality of life, with an increased probability of reporting lower scores on both quality of life scales with decreasing scores on respiratory function tests. Interestingly, the probability of reporting a McGill score in the very low 0–3 range remained low across all respiratory scores. However, a relationship was apparent at medium and high McGill scoring categories, with decreasing probability of reporting high McGill scores (7–10) as all four respiratory test scores decreased.

Disturbed sleep is clinically associated with respiratory dysfunction, with symptoms such as non-refreshing sleep, nightmares, nocturnal awakenings, and morning headache associated with decline in respiratory function and triggering assessment regarding NIV initiation (3,6).Surprisingly, sleep quality, assessed using item one of the Pittsburgh Sleep Quality Scale, was not associated with respiratory scores (SVC/FVC and SNIP) (Supplementary Figure 1). The probability of reporting "fairly good" sleep quality was high (approximately 75%) at all respiratory test scores. The probability of reporting "very good" sleep was lower but consistent, while the probability of "fairly bad" or "very bad" sleep was low. Sleep is impacted by multiple factors and is likely to be influenced by the use of pharmacological treatments for sleep disturbance. The single Pittsburgh

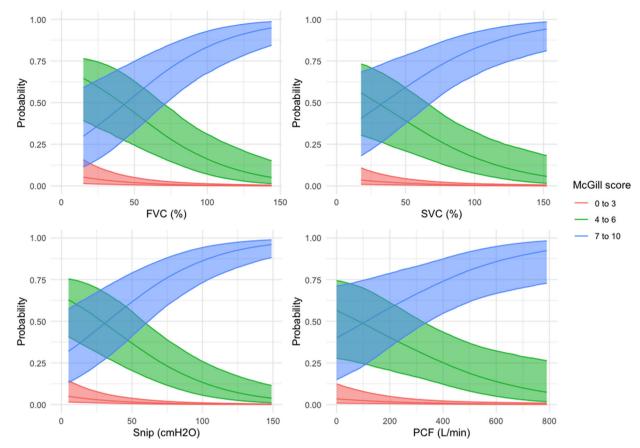


Figure 2. Probability of McGill Quality of Life (QOL) scoring category (0–3: low QOL score, 4–6: medium QOL score, 7–10 high QOL score) by respiratory function scores. The blue slope indicates that as respiratory measures decrease the probability of reporting a high QOL score decreases. This is consistent across all four respiratory measures. The probability of reporting a low QOL score remains low at all respiratory measurements.

item may be inadequate to detect sleep disturbance associated specifically with respiratory dysfunction; however, these data indicate using caution when using a simple assessment of sleep quality to assess respiratory function.

Fatigue is a common symptom in ALS (33), previously associated with worsening respiratory function (34), with some evidence that use of NIV can result in reduced fatigue (35). A simple fatigue 0-10 numerical scale was used to assess fatigue and was divided into three categories (Table 1). We found a that the probability of reporting fatigue within each category did not respiratory significantly vary with scores, although there was a slightly higher probability of reporting severe fatigue (7-10/10) with lower respiratory test scores (approximately 20% probability at 50% predicted FVC) (Supplementary Figure 2). Overall, the probability of reporting moderate fatigue (4-6/10) was highest (>50%) probability), which was consistent across respiratory measurements. A relationship between fatigue and dyspnea, with associated impact upon quality of life, has previously been reported (34). There are limited treatment options for fatigue in ALS (36) and the association of fatigue with respiratory function and the utility

of interventions such as NIV to ameliorate fatigue (35) requires further investigation.

Difficulty clearing secretions is a frequently reported problem for people with ALS and is associated with progressing respiratory weakness (37). Peak cough flow is used clinically to measure cough efficacy and interpretation is based on often cited threshold scores of 160 L/min for effective secretion clearance and 255-270 L/min used to indicate risk of experiencing difficulty during a respiratory tract infection (38,39). We found an increasing probability of reporting difficulty with "clearing secretions from the nose and chest" as PCF scores decreased. This was less evident for the probability of reporting "difficulty clearing saliva", probably as this is less dependent upon achieving a high expiratory flow. Of note, the probability of reporting "difficulty clearing the nose" was almost zero at above 400 L/min and the probability of reporting "difficulty with clearing the chest" was minimal above 500 L/min. The probability of reporting progressively greater levels of difficulty clearing secretions increased incrementally with lower PCF scores. The clinically meaningful burden of symptoms associated with PCF scores validates its use as a useful clinical measure. However, these data emphasize caution in

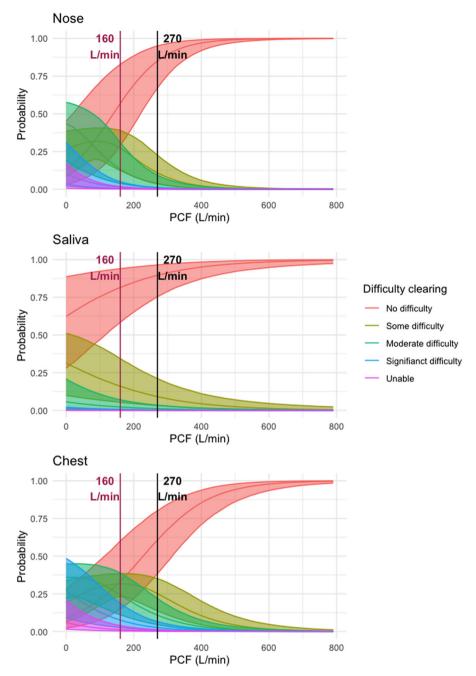


Figure 3. Probability of experiencing difficulty clearing secretions versus peak cough flow. The probability of reporting "no difficulty" with clearing the nose, chest and of clearing saliva decreased with lower PCF scores. The probability of reporting "some" or "moderate difficulty" increased at PCF scores below 400 L/min and the probability of reporting having significant difficulty or of being unable to clear secretions remained low but increased slightly at very low PCF scores.

implementation of arbitrary threshold for implementation of respiratory adjuncts such as lung volume recruitment and mechanical cough assist, as the probability of experiencing difficulty and requiring intervention gradually increases below 400 L/min.

This study had a number of limitations, particularly with regard to the curtailment of the planned follow-up period, due to the Covid-19 pandemic. This particularly affected the UK sites who had commenced data collection later. There are some differences in the presentation of participants at each site which are unexplained; however, the heterogeneity of ALS may have contributed to these. In addition, we did not systematically record the use of medications for this study, which may have impacted on reporting of symptoms such as difficulty managing saliva or sleep issues and would endeavor to include this in future studies.

Conclusion

Progressing respiratory weakness in people with ALS is associated with increasing probability of patient reported symptoms, including dyspnea, orthopnea, and difficulty clearing secretions.

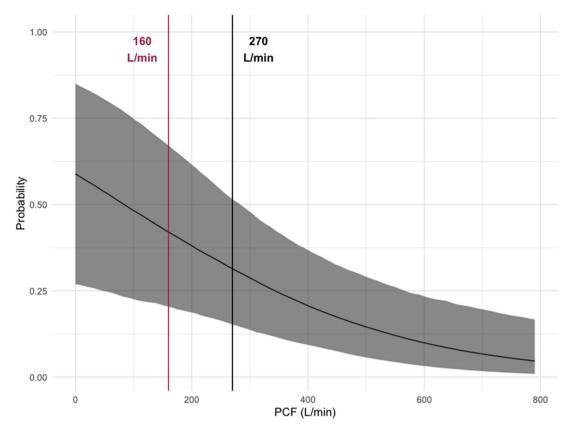


Figure 4. Probability of reporting a regular productive cough versus peak cough flow. The probability of reporting a regular productive cough was recorded as a yes/no response. The probability of recording a yes response was higher at lower PCF scores. The commonly used PCF threshold scores of 160 L/min and 270 L/min are shown for reference.



Figure 5. Probability of experiencing fatigue associated with clearing the chest versus peak cough flow. The probability of reporting moderate fatigue (3-6/10 on NRS), specifically associated with clearing the chest increased as the PCF score decreased.

Furthermore, the probability of reporting reduced quality of life and fatigue increases with lower respiratory test scores. The probability of reporting symptoms increased incrementally as respiratory weakness increased, supporting the use of both respiratory measurement scores and the presence of symptoms in making decisions about clinical interventions, rather than defined threshold scores.

Acknowledgements

We would like to thank the participants and their families for their contribution to the study and thank the personnel at each site who supported the study.

Ethics approval

Dublin: Ethics (Medical Research) Committee Beaumont Hospital, Dublin 9; Study Reference 15/ 62. Utrecht: Medisch Ethische Toetsings Commissie; Study Reference: MvdL/rgj/18/038367. Leuven: Commissie Medische Ethiek Universitaire, Ziehenhuizen KU Leuven; Study Reference: S60995. Turin: Comitato Etico Interaziendale, A.O.U. Citta'Della Salute e Della Scienza di Torino; Study Reference no. 0029137. Sheffield and London: IRAS 242722; Study reference STH20135.

Declaration of interest

D. Murray and D. Meldrum have served on an advisory board for Cytokinetics. Professor Chiò serves on scientific advisory boards for Mitsubishi Tanabe, Roche, Biogen, and Cytokinetics. PVD holds a senior clinical investigatorship of FWO-Vlaanderen and is supported by the E. von Behring Chair for Neuromuscular and Neurodegenerative Disorders, the ALS Liga België, and the KU Leuven funds "Een Hart voor ALS", "Laeversfonds voor ALS Onderzoek", and the "Valéry Perrier Race against ALS Fund". Several authors of this publication are members of the European Reference Network for Rare Neuromuscular Diseases (ERN-NMD). AAC reports consultancies or advisory boards for Amylyx, Apellis, Biogen, Brainstorm, Clene Therapeutics, Cytokinetics, GenieUs, GSK, Lilly, Mitsubishi Tanabe Pharma, Novartis, OrionPharma, Quralis, Sano, Sanofi, and Wave Pharmaceuticals.

Funding

The study was funded by the Irish Motor Neurone Disease Research Foundation and sponsored by Cytokinetics. PVD holds a senior clinical investigatorship of FWO-Vlaanderen (G077121N) and is supported by the E. von Behring Chair for Neuromuscular and Neurodegenerative Disorders, the ALS Liga België, and the KU Leuven Funds "Een Hart voor ALS", "Laeversfonds voor ALS Onderzoek", and the "Valéry Perrier Race against ALS Fund". Several authors of this publication are member of the European Reference Network for Rare Neuromuscular Diseases (Euro-NMD). CIM is supported by the NIHR Sheffield BRC and an NIHR Research Professorship. AAC is an NIHR Senior Investigator (NIHR202421). This work is in part supported by an EU Joint Programme-Neurodegenerative Disease Research (JPND) project through the following funding organisations under the aegis of JPND-www.jpnd.eu (United Kingdom, Medical Research Council (MR/L501529/1; MR/ R024804/1) and Economic and Social Research Council (ES/L008238/1)) and through the Motor Neurone Disease Association, My Name'5 Doddie Foundation, and Alan Davidson Foundation. This study represents independent research part funded by the National Institute for Health Research (NIHR) Biomedical Research Centre at South London and Maudsley NHS Foundation Trust and King's College London. This study was performed under the Department of Excellence Grant of the Italian Ministry of Education, University and Research to the "Rita Levi Montalcini" Department of Neuroscience, University of Torino, Italy. JR was supported by the European Union Marie Skłodowska-Curie Action (No. 846794).

ORCID

Deirdre Murray (D http://orcid.org/0000-0002-4314-4480 James Rooney (D http://orcid.org/0000-0001-6346-0731 Dara Meldrum D http://orcid.org/0000-0002-7732-3591 Ammar Al-Chalabi (D http://orcid.org/0000-0002-4924-7712 Adriano Chio (b) http://orcid.org/0000-0001-9579-5341 Jennifer Fortune D http://orcid.org/0000-0001-8971-1236 Umberto Manera (b) http://orcid.org/0000-0002-9995-8133 Christopher J. McDermott (D http://orcid.org/ 0000-0002-1269-9053 Philip Van Damme (D http://orcid.org/0000-0002-4010-2357 Orla Hardiman (http://orcid.org/0000-0003-2610-1291

References

- Corcia P, Pradat P, Salachas F, Bruneteau G, le Forestier N, Seilhean D, et al. Causes of death in a post-mortem series of ALS patients. Amyotroph Lateral Scler. 2008;9: 59–62.
- Sennfält S, Kläppe U, Thams S, Samuelsson K, Press R, Fang F, et al. Dying from ALS in Sweden: clinical status, setting, and symptoms. Amyotroph Lateral Scler Frontotemporal Degener. 2023;24:237–45.

- Andersen PM, Abrahams S, Borasio GD, de Carvalho M, Chio A, Van Damme P, et al. EFNS guidelines on the clinical management of amyotrophic lateral sclerosis (MALS) – revised report of an EFNS Task Force. Eur J Neurol. 2012;19:360–75.
- 4. Miller RG, Jackson CE, Kasarskis EJ, England JD, Forshew D, Johnston W, et al. Practice parameter update: the care of the patient with amyotrophic lateral sclerosis: drug, nutritional, and respiratory therapies (an evidencebased review): report of the Quality Standards Subcommittee of the American Academy of Neurology. Neurology. 2009;73:1218–26.
- Shoesmith C, Abrahao A, Benstead T, Chum M, Dupre N, Izenberg A, et al. Canadian best practice recommendations for the management of amyotrophic lateral sclerosis. CMAJ. 2020;192:E1453–E68.
- Overview. Motor neurone disease: assessment and management. Guidance. NICE [Internet]; 2016 [cited 2023 Aug 30]. Available at: https://www.nice.org.uk/ guidance/ng42
- Van Damme P, Al-Chalabi A, Andersen PM, Chiò A, Couratier P, De Carvalho M, et al. European Academy of Neurology (EAN) guideline on the management of amyotrophic lateral sclerosis in collaboration with European Reference Network for Neuromuscular Diseases (ERN EURO-NMD). Eur J Neurol. 2024;31:e16264.
- Laveneziana P, Albuquerque A, Aliverti A, Babb T, Barreiro E, Dres M, et al. ERS statement on respiratory muscle testing at rest and during exercise. Eur Respir J. 2019;53:1801214.
- Standardization of Spirometry 2019 Update. An Official American Thoracic Society and European Respiratory Society Technical Statement; 2024 [Internet] [cited 2024 Jan 15]. Available at: https://www.atsjournals.org/doi/epdf/ 10.1164/rccm.201908-1590ST?role=tab
- ENCALS; 2022 [Internet] [cited 2022 Oct 24]. Available at: https://www.encals.eu/wp-content/uploads/ 2016/09/ENCALS_SOP_SVC_FVC_SNIP_v1_May2016.pdf
- Murray D, Rooney J, Al-Chalabi A, Bunte T, Chiwera T, Choudhury M, et al. Correlations between measures of ALS respiratory function: is there an alternative to FVC? Amyotroph Lateral Scler Frontotemporal Degener. 2021; 22:495–504.
- Rooney J, Murray D, Meldrum D, Al-Chalabi A, Bunte T, Chiwera T, et al. REVEALS—a longitudinal cohort study of multifaceted respiratory assessment in ALS. Amyotroph Lateral Scler Frontotemporal Degener. 2024;25:661–71.
- Quanjer PH, Stanojevic S, Cole TJ, Baur X, Hall GL, Culver BH, et al. Multi-ethnic reference values for spirometry for the 3–95-yr age range: the global lung function 2012 equations. Eur Respir J. 2012;40:1324–43.
- Implications of spirometric reference values for amyotrophic lateral sclerosis; 2024 [Internet] [cited 2024 Jan 15]. Available at: https://www.tandfonline.com/doi/ epdf/10.1080/21678421.2019.1634736?needAccess=true
- Cohen SR, Mount BM, Strobel MG, Bui F. The McGill Quality of Life Questionnaire: a measure of quality of life appropriate for people with advanced disease. A preliminary study of validity and acceptability. Palliat Med. 1995;9:207–19.
- Herdman M, Gudex C, Lloyd A, Janssen MF, Kind P, Parkin D, et al. Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). Qual Life Res. 2011;20:1727–36.
- Buysse DJ, Reynolds CF, Monk TH, Berman SR, Kupfer DJ. The Pittsburgh Sleep Quality Index: a new instrument for psychiatric practice and research. Psychiatry Res. 1989; 28:193–213.
- Dittner AJ, Wessely SC, Brown RG. The assessment of fatigue: a practical guide for clinicians and researchers. J Psychosom Res. 2004;56:157–70.

- Bürkner PC, Vuorre M. Ordinal regression models in psychology: a tutorial. Adv Methods Pract Psychol Sci. 2019;2(4):251524591882319.
- Wickham H. tidyverse: easily install and load the "Tidyverse". R package version 1.2.1; 2017 [Internet]. Available at: https://CRAN.R-project.org/package=tidyverse
- Yoshida K. tableone: create "Table 1" to describe baseline characteristics. R package version 0.10.0; 2019 [Internet]. Available at: https://CRAN.R-project.org/package=tableone
- 22. Pedersen TL. patchwork: the composer of ggplots. R package version 0.0.1; 2017 [Internet]. Available at: https://github.com/thomasp85/patchwork
- Taiyun W, Simko V. R package "corrplot": visualization of a correlation matrix; 2017 [Internet]. Available at: https:// github.com/taiyun/corrplot
- 24. Walker A. openxlsx: read, write and edit XLSX files; 2015.
- 25. Bürkner PC. brms: an R package for Bayesian multilevel models using Stan. J Stat Softw. 2017;80:1–28.
- Kay M. tidybayes: Tidy data and Geoms for Bayesian models; 2020 [Internet] [cited 2020 Sep 3]. Available at: https://zenodo.org/record/1308151
- Gabry J, Mahr T. bayesplot: plotting for Bayesian models;
 2019 [Internet]. Available at: https://mc-stan.org/bayesplot
- Filipe CB, Carreira NR, Reis-Pina P. Optimizing breathlessness management in amyotrophic lateral sclerosis: insights from a comprehensive systematic review. BMC Palliat Care. 2024;23:100.
- O'Doherty LJ, Hickey A, Hardiman O. Measuring life quality, physical function and psychological well-being in neurological illness. Amyotroph Lateral Scler. 2010;11: 461–8.
- Galvin M, Gavin T, Mays I, Heverin M, Hardiman O. Individual quality of life in spousal ALS patient-caregiver dyads. Health Qual Life Outcomes. 2020;18:371.
- 31. van Groenestijn AC, Kruitwagen-van Reenen ET, Visser-Meily JMA, van den Berg LH, Schröder CD. Associations between psychological factors and health-related quality of life and global quality of life in patients with ALS: a systematic review. Health Qual Life Outcomes. 2016;14: 107.
- 32. Ciećwierska K, Lulé D, Bielecki M, Helczyk O, Maksymowicz-Śliwińska A, Finsel J, et al. Quality of life and depression in patients with amyotrophic lateral sclerosis – does the country of origin matter? BMC Palliat Care. 2023;22:72.
- Alencar MA, Soares BL, Rangel MFdA, Abdo JS, Almeida Rd, Araújo CMd, et al. Fatigue in amyotrophic lateral sclerosis and correlated factors. Arq Neuropsiquiatr. 2022; 80:1045–51.
- 34. Vogt S, Schreiber S, Pfau G, Kollewe K, Heinze HJ, Dengler R, et al. Dyspnea as a fatigue-promoting factor in ALS and the role of objective indicators of respiratory impairment. J Pain Symptom Manage. 2020;60:430–8.e1.
- Dorst J, Ludolph AC. Non-invasive ventilation in amyotrophic lateral sclerosis. Ther Adv Neurol Disord. 2019;12:1756286419857040.
- Gibbons C, Pagnini F, Friede T, Young CA. Treatment of fatigue in amyotrophic lateral sclerosis/motor neuron disease. Cochrane Database Syst Rev. 2018;1:CD011005.
- Sheers N, Howard ME, Berlowitz DJ. Respiratory adjuncts to NIV in neuromuscular disease. Respirology. 2019;24: 512–20.
- Bach JR, Saporito LR. Criteria for extubation and tracheostomy tube removal for patients with ventilatory failure: a different approach to weaning. Chest. 1996;110: 1566–71.
- Sancho J, Servera E, Díaz J, Marín J. Predictors of ineffective cough during a chest infection in patients with stable amyotrophic lateral sclerosis. Am J Respir Crit Care Med. 2007;175:1266–71.