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1       **Effects of various in-vehicle human–machine interfaces on**  
2                   **drivers’ takeover performance and gaze pattern in**  
3                   **conditionally automated vehicles**

4                   Jinlei Shi<sup>a</sup>, Chunlei Chai<sup>a</sup>, Ruiyi Cai<sup>a</sup>, Haoran Wei<sup>a</sup>,  
5                   Youcheng Zhou<sup>a</sup>, Hao Fan<sup>a</sup>, Wei Zhang<sup>b</sup>, Natasha Merat<sup>c</sup>

6       <sup>a</sup>Modern Industrial Design Institute, Zhejiang University, Hangzhou, China

7       <sup>b</sup>Department of Industrial Engineering, Tsinghua University, Beijing, China

8       <sup>c</sup>Institute for Transport Studies, University of Leeds, United Kingdom

9

10      Corresponding author: Ruiyi Cai, cairuiyi@zju.edu.cn, Modern Industrial Design  
11      Institute, Zhejiang University, Hangzhou, China

12

13                                   **Abstract**

14       With the era of automated driving approaching, designing an effective and suitable  
15      human–machine interface (HMI) to present takeover requests (TORs) is critical to  
16      ensure driving safety. The present study conducted a simulated driving experiment to  
17      explore the effects of three HMIs (instrument panel, head-up display [HUD], and  
18      peripheral HMI) on takeover performance, simultaneously considering the TOR type  
19      (informative and generic TORs). Drivers’ eye movement data were also collected to  
20      investigate how drivers distribute their attention between the HMI and surrounding  
21      environment during the takeover process. The results showed that using the peripheral  
22      HMI to present TORs can shorten takeover time, and drivers rated this HMI as more  
23      useful and satisfactory than conventional HMIs (instrument panel and HUD). Eye  
24      movement analysis revealed that the peripheral HMI encourages drivers to spend more  
25      time gazing at the road ahead and less time gazing at the TOR information than the  
26      instrument panel and HUD, indicating a better gaze pattern for traffic safety. The HUD  
27      seemed to have a risk of capturing drivers’ attention, which resulted in an ‘attention  
28      tunnel,’ compared to the instrument panel. In addition, informative TORs were

29 associated with better takeover performance and prompted drivers to spend less time  
30 gazing at rear-view mirrors than generic TORs. The findings of the present study can  
31 provide insights into the design and implementation of in-vehicle HMIs to improve the  
32 driving safety of automated vehicles.

33 **Keywords:** Conditional automated driving, human-machine interface, takeover request,  
34 takeover performance, gaze behavior

35

## 36 **1. Introduction**

37 The automated driving era is approaching rapidly, and automated vehicles are  
38 considered valuable since they can release traffic congestion, enhance road safety, and  
39 improve drivers' travel experiences (Hussain & Zeadally, 2019). SAE International  
40 (2021) classified driving automation into six levels (L0–L5). Partially automated  
41 vehicles (L2), such as the Tesla, have entered the consumer market, and conditionally  
42 automated vehicles (L3) are expected to be commercialised on a large scale in the near  
43 future. In L3 automated vehicles, the automation will fully control the vehicle for  
44 extended periods of time, during which the driver can engage in non-driving-related  
45 tasks (NDRTs), such as playing games and watching movies. However, when the  
46 automated vehicle encounters a performance-relevant failure or a situation that exceeds  
47 its operational design domain (ODD), drivers must be receptive to the system's takeover  
48 request (TOR) and respond in a timely manner. Therefore, designing an effective  
49 human–machine interface (HMI) to present TORs to drivers is critical to ensure driving  
50 safety.

51 In a manually driven vehicle, driving-related information, such as speed,  
52 revolutions per minute, low fuel warnings, etc., is primarily presented on the instrument  
53 panel. Therefore, the instrument panel is a common place to present TORs in automated  
54 vehicles, and most previous studies have presented visual TOR warnings on the  
55 instrument panel (e.g., Albert et al., 2015; Feldhütter et al., 2019; Forster et al., 2019;  
56 see Bengler et al., 2020, for a review). Although drivers are highly familiar with this

57 location, TORs occurring here would lead to interference for drivers when they are  
58 observing the real takeover scenarios ahead. Once drivers are notified to resume control  
59 of the vehicle, looking at information displayed on the instrument panel would delay  
60 their redirection of attention back to the road. This issue was revealed in a recent study  
61 by Gonçalves et al. (2022), which utilised eye-tracking technology and found that  
62 drivers gaze at the instrument panel with supportive information longer just before  
63 performing takeover decisions, at the expense of glances to the road environment.

64 To diminish the visual competition between the instrument panel and the road  
65 ahead, several researchers have proposed using head-up displays (HUDs) (e.g., Li et al.,  
66 2021; Feierle et al., 2021; Roche et al., 2019). HUDs can project driving-related  
67 information on the windshield or another location in the driver's field of view. In this  
68 way, drivers do not need to look away from the road ahead to obtain information from  
69 the HUD. HUDs have proven capable of improving driving performance and  
70 decreasing drivers' workload in manually driven vehicles (Liu, 2003; Liu & Wen, 2004).  
71 Additionally, it was revealed in a simulated driving study that HUDs can encourage  
72 drivers to gaze at the takeover event sooner following a TOR (Xu et al., 2023).  
73 Nevertheless, HUDs are also likely to capture drivers' attention, resulting in an  
74 'attention tunnel' (Dowell et al., 2002), though there is a demand for drivers to return  
75 their attention to the road quickly and gain situational awareness to support the takeover  
76 manoeuvre when TORs are issued (Lu et al., 2019). As quick attentional shifts play a  
77 critical role in the resumption of situational awareness in drivers, it is essential to  
78 prevent HUDs from capturing attention.

79 Peripheral HMIs have great application potential for presenting takeover  
80 information in automated vehicles. Peripheral HMIs are interfaces outside of drivers'  
81 primary focal area of attention (Kunze et al., 2019). The visual field of drivers covers  
82 approximately 180° horizontally and 70° vertically (Traquair, 1927). Central vision  
83 (approximately 5° of the visual field) is reliant on the fovea of the retina, which has the  
84 highest photoreceptor density and maps to the largest part of the visual cortex in the

85 brain (approximately half of the early visual cortex; Tootell et al., 1982). The remaining  
86 area of the retina is responsible for peripheral vision, and photoreceptor density rapidly  
87 decreases with incremental increases in the distance from the retina's fovea. Although  
88 peripheral vision has a lower resolution than central vision due to anatomical  
89 differences, it is sensitive to motion and ensemble perception. Peripheral vision also  
90 plays an important role in environmental perception during manual driving (see Wolfe  
91 et al., 2017, for a review). Moreover, the field of view of peripheral vision (the  
92 remaining part of the field of view excluding the 5° for central vision) is much broader,  
93 which may be beneficial in automated vehicles. Specifically, drivers can engage in  
94 NDRTs during automated driving, so their eyes are oriented toward the NDRT. When  
95 TORs are presented on the HMI based on drivers' central vision (i.e., HUD and  
96 instrument panel), drivers may not detect and process the TOR in time, leading to a  
97 delay in takeover actions and a threat to driving safety. Contrastingly, drivers can easily  
98 detect TORs on the peripheral HMI when their central vision is focused on an NDRT.  
99 Furthermore, according to multiple resources theory (Wickens, 2002), the cognitive  
100 resources required for central and peripheral vision are independent, so drivers can  
101 process information in their central and peripheral vision concurrently without mutual  
102 competition for resources. Hence, drivers can simultaneously use their central vision to  
103 get event-related information about the road ahead and their peripheral vision to process  
104 takeover messages displayed on the peripheral HMI. This pattern would facilitate  
105 drivers' recovery of situational awareness and improve driving safety. Therefore,  
106 peripheral HMIs, theoretically, have great potential to enhance takeover performance  
107 in automated vehicles.

108 Several studies have proposed using the peripheral HMI to convey automated  
109 vehicle-related information to drivers (Borojeni et al., 2016; Hecht et al., 2022; Kunze  
110 et al., 2019; Yang et al., 2018; Gonçalves et al., 2023). For example, Yang et al. (2018)  
111 and Hecht et al. (2022) developed peripheral HMIs using LED strips to convey the  
112 status and intentions of automated vehicles during automated driving. Their results

113 showed that peripheral HMIs can significantly improve drivers' subjective experience  
114 and are beneficial to gaze behaviour during automated driving. Borojeni et al. (2016)  
115 also used the peripheral HMI to convey TORs and explored the effects of two patterns  
116 (static versus moving). They found no noticeable difference in takeover performance  
117 between these two patterns. However, despite the great potential of peripheral HMIs  
118 presenting TORs, no study has systematically explored its effectiveness compared with  
119 the instrument panel and HUD, which have frequently been used in previous studies  
120 (see Bengler et al., 2020, for a review). Therefore, it is essential to fill this research gap  
121 to provide some empirical evidence for relevant practitioners when designing HMIs for  
122 presenting TORs.

123       Eye-tracking technology is a great tool for probing drivers' visual attention. Longer  
124 gaze times toward a particular visual area indicate that the driver is devoting more  
125 information processing resources to that area (Ahlström et al., 2021; Grüner & Ansorge,  
126 2017). Sullivan et al. (2012) revealed that drivers gaze longer at locations with valuable  
127 information (e.g., instrument panel) in order to support their tasks when they are in  
128 situations of uncertainty. A systematic review conducted by Orquin et al. (2013) argued  
129 that attention plays an active role in decision-making, suggesting that drivers gaze more  
130 frequently at an area with useful information to support their decision-making. Several  
131 researchers have explored drivers' gaze behaviour during an automated driving  
132 takeover process. Louw et al. (2019) conducted a simulated driving experiment to  
133 investigate how drivers distribute their visual attention across various areas of interest  
134 (AOIs) during the transition of control. They found that drivers prioritise gazing at and  
135 processing information from the HMI (placed on the instrument panel) over the road  
136 ahead during the takeover process, no matter whether there is an NDRT or not.  
137 Gonçalves et al. (2022) also found that drivers look more frequently and for longer at  
138 the HMI (placed on the instrument panel) and less often at the road ahead when the  
139 HMI presents automated vehicle-related information before a vehicle takeover. These  
140 studies highlighted the importance of designing an appropriate and effective HMI to

141 guarantee driving safety, as drivers intuitively gaze more often and for longer at HMIs  
142 before taking over (Louw et al., 2019). Additionally, drivers heavily depend on their  
143 visual modality to observe their surrounding environment, recover their situational  
144 awareness, and support their takeover actions (Scharfe-Scherf et al., 2022). A  
145 reasonable gaze pattern plays an important role for drivers returning to the control loop.  
146 Therefore, it is significant to examine and understand drivers' gaze patterns during the  
147 takeover process with the use of various HMIs. However, few studies have done so.

148       Apart from the HMI, the presented TOR information is of great importance for  
149 takeover performance and safety. There have generally been two types of TOR  
150 information used in previous studies, namely a generic TOR and an informative TOR.  
151 A generic TOR refers to a simple warning that informs drivers to take over but provides  
152 no other information, such as a simple visual TOR icon (Yoon et al., 2019), an auditory  
153 beep (Petermeijer et al., 2017), or a purely tactile alert (Wan et al., 2018). However,  
154 some researchers have argued that TORs should not be pure warnings; they should be  
155 informative TORs that contain some supportive information to help drivers recover  
156 their situational awareness and complete the takeover manoeuvre (see Kim et al., 2021,  
157 for a review). Shi et al. (2023) found that informative TORs that tell drivers where they  
158 should steer or where the hazard is can shorten takeover time and improve takeover  
159 quality compared with generic TORs. Heo et al. (2022) also determined that informative  
160 TORs (a potential trajectory for driving) can alleviate the detrimental impact of low-  
161 vision environments (e.g., foggy and rainy weather) and improve takeover performance.  
162 However, as described previously, drivers' peripheral vision has a much lower  
163 resolution than their central vision due to anatomical differences (Wolfe et al., 2017),  
164 so drivers struggle to identify semantic information (such as text or icons) in their  
165 peripheral vision (Wolfe et al., 2017). Therefore, the effectiveness of generic and  
166 informative TORs presented on the peripheral HMI may be different from those  
167 presented on the instrument panel or HUD. Although the peripheral HMI can aid drivers  
168 in detecting TORs quickly, an informative TOR presented on the peripheral HMI may

169 be an interference factor for drivers to observe the traffic environment ahead because  
170 they may have to use their central vision to gaze at informative TORs to understand  
171 them. Hence, the question arises whether it is possible to convey an informative and  
172 supportive TOR to drivers via peripheral HMIs, and if it is, what its effect is on takeover  
173 performance compared to conventional HMIs. Moreover, though previous studies (Shi  
174 et al., 2023; Heo et al., 2022; Eriksson et al., 2018) have validated the effectiveness of  
175 informative TORs, they have mainly drawn conclusions based on takeover behaviour  
176 or subjective ratings. Few studies have investigated the impact of informative TORs on  
177 drivers' gaze patterns compared to generic TORs, a topic that is also of great value in  
178 enhancing our ability to design more effective TORs.

### 179 **1.1 Aims of the present study**

180 Using an appropriate and effective HMI to convey TORs to drivers is highly critical  
181 to ensure the driving safety of automated vehicles. Most previous studies have used  
182 instrument panels or HUDs as HMIs to present TORs. Although several studies have  
183 proposed presenting TORs on a peripheral HMI due to its potential, few studies have  
184 empirically explored the effectiveness thereof compared to conventional HMIs.  
185 Therefore, we conducted a simulated driving experiment to investigate the effects of  
186 various HMIs while considering the impact of the TOR type. Moreover, apart from  
187 driving performance and subjective ratings, we also collected drivers' eye movement  
188 data to examine the influence of various HMIs on gaze patterns during the takeover  
189 process since drivers' gaze patterns play an important role during the transition of  
190 control of automated vehicles. The present study aimed to answer the following  
191 questions:

- 192 1. Can the peripheral HMI improve takeover performance compared with  
193 conventional HMIs (instrument panels or HUDs) when presenting TORs?
- 194 2. What differences in gaze patterns do drivers exhibit during the takeover process  
195 when using various HMIs?
- 196 3. Does the TOR type (informative versus generic) influence the effects of the



197 various HMIs, and how does the TOR type affect drivers' gaze patterns during  
198 the takeover process?

199

## 200 **2. Methods**

### 201 **2.1 Participants**

202 A total of 30 participants (15 men and 15 women) were recruited for the experiment.  
203 All of them were undergraduate or graduate students. Their ages ranged from 19 to 23  
204 years, with an average of 20.5 years. Their driving years ranged from one to three years,  
205 with an average of 1.56 years. They all held valid Chinese driving licences. All  
206 participants had normal or corrected-to-normal vision and reported that they did not  
207 suffer from any driving-affecting diseases.

### 208 **2.2 Apparatus**

209 The driving simulator included driving software (STISIMDRIVE, M1000R), an  
210 operation system (Logitech G29, including a steering wheel, brake pedals, and  
211 accelerator), an adjustable seat, two desktops (Nvidia GeForce RTX 3080 [10GB], Intel  
212 Core i7-10700K), a 55-inch monitor (3840 × 2160 pixel resolution), and a camera.

213 A head-mounted eye-tracking system (Tobii Pro Glasses 3) was used to measure  
214 participants' gaze behaviour. The sampling frequency was set at 50 Hz. The eye  
215 movement data were analysed using ErgoLAB software on a laptop.

216 A 10.2-inch iPad was placed behind the steering wheel and functioned as the  
217 instrument panel. Its resolution was 2160 × 1620 pixels. It was connected with the  
218 STISIM software to present driving-related information in real time. The HUD was set  
219 up per the instructions mentioned in the study of Park and Jung (2014). We used a  
220 projector to present information on the windshield.

221 The peripheral HMI consisted of three LED strips (WS2812B) fixed on one frame  
222 (see Figure 1). The LED strips were wrapped in white semi-transparent piping to  
223 prevent dazing. Each LED strip included 144 LED light beads. An Arduino module  
224 controlled the three LED strips.

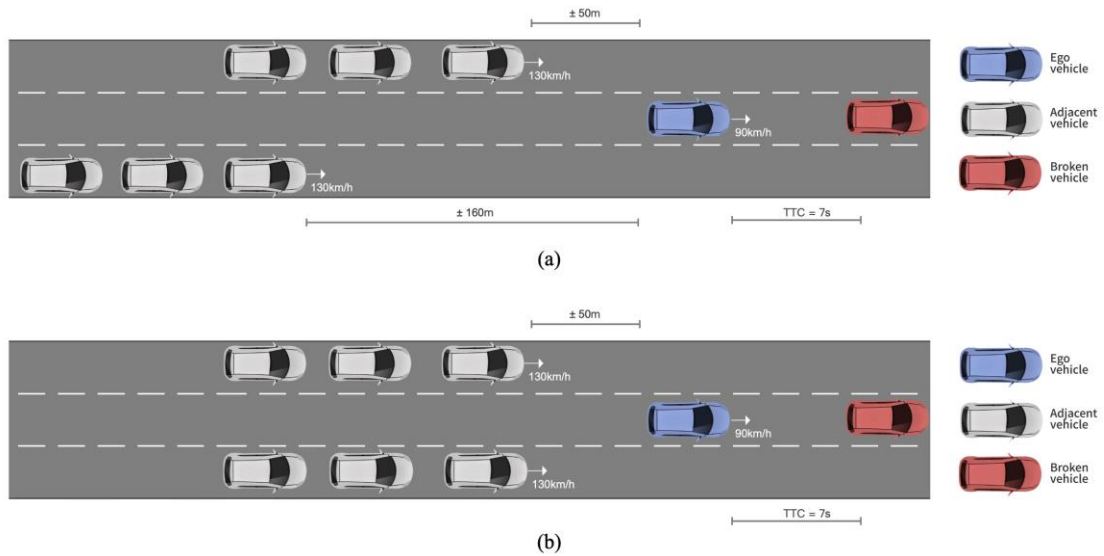


225  
226

**Figure 1.** The experimental setup

### 227 **2.3 Driving scenario**

228 The driving scenarios were built using STISIM software. The road was a three-lane  
229 straight highway with each lane measuring 5 meters in width and no curves along the  
230 road. The automated driving system drove the vehicle in the middle lane at 90 km/h.  
231 The lead time of TORs was set at 7 s (Eriksson et al., 2017). The takeover scenarios  
232 were adopted from the studies of Eriksson et al. (2018) and Huang et al. (2022). When  
233 the vehicle approached a vehicle broken down in the middle lane, drivers were alerted  
234 to take over. Meanwhile, two fleets of vehicles were travelling alongside the ego vehicle  
235 in the left and right lanes at a speed of 130 km/h. The distance between the ego vehicle  
236 and each fleet of vehicles was either 50 m or 160 m. When the distance was 50 m, the  
237 first vehicle would pass in approximately 4.5 s, so the driver could not turn the ego  
238 vehicle toward this fleet when taking over. When the distance was 160 m, the first  
239 vehicle would pass in approximately 14.4 s, so the driver had enough time to turn the  
240 ego vehicle toward this fleet and overtake the broken-down vehicle ahead. With these  
241 locations for the two fleets of vehicles, there were two scenario types, namely, the lane  
242 change scenario and the braking scenario (see Figure 2). The lane change scenarios  
243 included left and right lane change scenarios, which were symmetrical.



244

245

**Figure 2.** Takeover scenarios: (a) lane change scenario,

246

(b) braking scenario

## 247 2.4 Human–machine interface design

248 Previous studies have shown that multimodal TORs can improve takeover  
 249 performance (see Zhang et al., 2019, for a review). Therefore, we used a bimodal  
 250 interface (visual and auditory) to present TORs. The auditory stimulus was a pair of  
 251 240 ms beeps (2700 Hz) with an interval of 100 ms (Petermeijer et al., 2017). The visual  
 252 interface was designed as follows.

### 253 2.4.1 Visual interface design displayed on the instrument panel and head-up 254 display

255 For the instrument panel and HUD, icons were presented on an iPad (as the  
 256 instrument panel) and the windshield (as the HUD), respectively (see Figure 1). The  
 257 visual interface design was adopted from that in studies of Xu et al. (2023) and Eriksson  
 258 et al. (2018). During automated driving, a blue steering wheel icon, indicating that the  
 259 vehicle was in automated mode, was presented in the centre of the instrument panel or  
 260 HUD (see Table 1, a). When drivers were required to take over, the generic takeover  
 261 information icon was shown, designed as two hands on a red steering wheel (see Table  
 262 1, b), for both the lane change and braking scenarios. The informative takeover icon is  
 263 also shown in Table 1 (c, d, e). The red line denoted that drivers could not turn the ego



264 vehicle toward the lane on the side on which it was displayed due to potential hazards,  
 265 whereas the green line denoted that the lane on the side on which it was shown was safe  
 266 to turn toward (Eriksson et al., 2018). If red lines were shown on both sides, drivers had  
 267 to brake to decrease the ego vehicle’s speed.

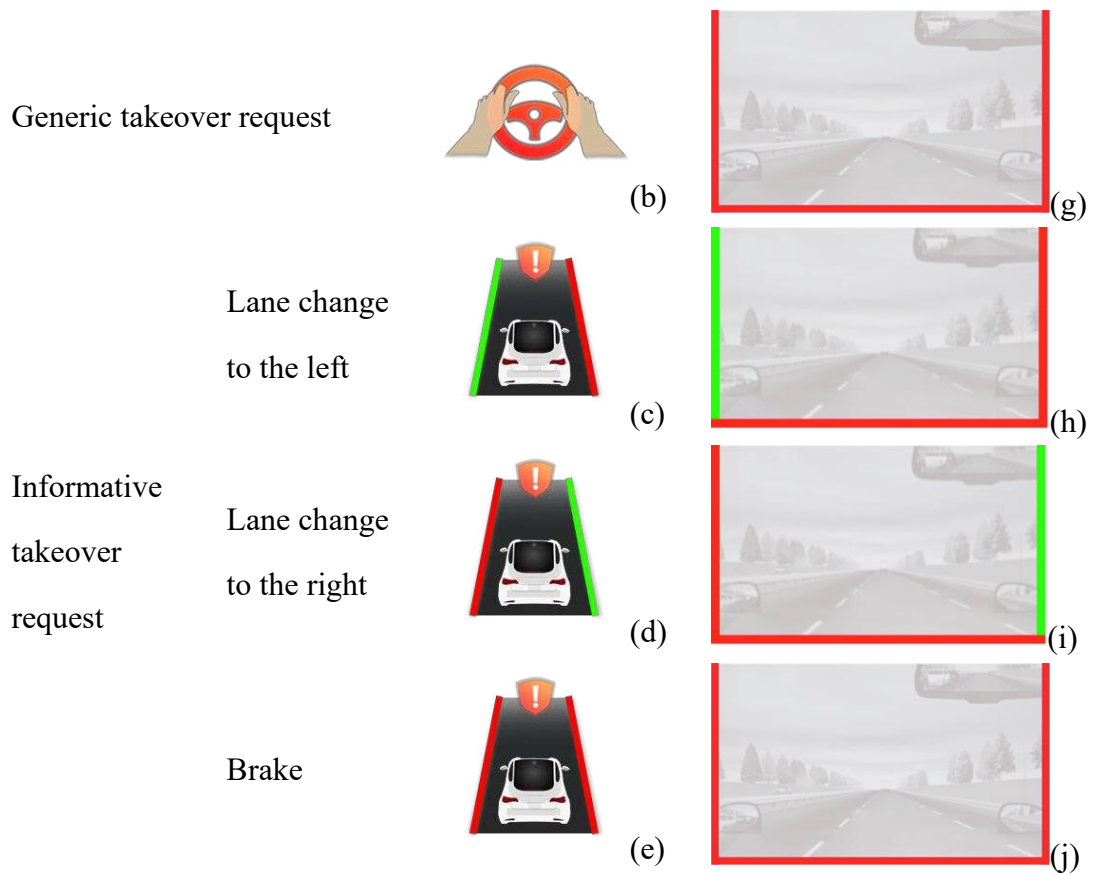
268 **2.4.2 Visual interface design displayed on the peripheral human–machine**  
 269 **interface**

270 The peripheral HMI consisted of three LED strips placed at the frame’s left, right,  
 271 and undersides, as shown in Figure 1. When the ego vehicle was in automated mode,  
 272 the three LED strips were lit blue (see Table 1, f). The generic TOR was presented by  
 273 lighting the three LED strips in red for both the lane change and braking scenarios. The  
 274 informative TOR was similar to the icon presented on the instrument panel and HUD.  
 275 The left or right LED strip lit in red indicated that that side had potential hazards and  
 276 drivers could not turn the ego vehicle into that lane. If it was lit in green, drivers could  
 277 safely turn the vehicle into the lane on that side. In addition, if the left and right LED  
 278 strips were both lit in red, drivers had to brake to decrease their speed.

279

280 **Table 1.** The visual icons presented on the instrument panel, head-up display, and  
 281 peripheral human–machine interface

Type	Visual interface for the instrument panel and head-up display	Visual interface for the peripheral human–machine interface
Automated mode	 <p>(a)</p>	 <p>(f)</p>



282

## 283 2.5 Non-driving-related tasks

284 During automated driving, participants were required to engage in the game of  
 285 Tetris on a smartphone, which has frequently been used as an NDRT in previous studies  
 286 (e.g., Shi and Bengler, 2022; Ma et al., 2020; Zhang et al., 2021). The main reason we  
 287 chose the Tetris game as the NDRT was that it is a relatively engaging task for drivers,  
 288 allowing them to achieve a good level of immersion. The fall speed of the pieces was  
 289 set at 1.6 squares per second, based on Ma et al. (2020), to ensure the game presented  
 290 a moderate level of difficulty—neither too easy nor too challenging. Participants were  
 291 instructed to fully immerse themselves in the game during automated driving.  
 292 Additionally, when TORs were issued, participants were required to prioritize driving  
 293 safety over game performance.

## 294 2.6 Experimental design

295 The present study adopted a 3 (HMI type)  $\times$  2 (TOR type) mixed design. The HMI  
 296 type was treated as the within-subject variable and the TOR type as the between-subject

297 variable. There were three HMI types: the instrument panel, HUD, and peripheral HMI.  
298 There were two TOR types: the generic TOR and the informative TOR. Participants  
299 were randomly assigned to the generic and informative TOR groups. They were  
300 required to complete three driving blocks corresponding to the three HMI types. The  
301 sequence of blocks was balanced using a Latin square. Each block contained four trials.  
302 Two trials were with the lane change scenario, and another two were with the braking  
303 scenario. The sequence of the four scenarios was random.

## 304 **2.7 Dependent variables**

305 There were three types of dependent variables used in the present study, namely  
306 takeover performance, eye-tracking metrics, and subjective ratings.

307 **(1) Takeover performance:** Previous studies have argued that to get a  
308 comprehensive overview of takeover performance, the objective measurement should  
309 contain both takeover timing and quality aspects (Cao et al., 2021; Zeeb et al., 2016).  
310 Therefore, we used takeover time and maximum resultant acceleration to quantify  
311 takeover timing and quality, respectively. Takeover time was defined as the time from  
312 the onset of the TOR to the first takeover input (turning the steering wheel to an angle  
313 greater than 2° or depressing the brake pedal more than 10 %) (Gold et al., 2013). The  
314 maximum resultant acceleration was defined as follows:

315 *Maximum resultant acceleration*

$$316 = \text{maximum} \sqrt{\text{acceleration}_{\text{longitudinal}}^2 + \text{acceleration}_{\text{lateral}}^2}$$

317 The simulator provided real-time outputs of the vehicle's lateral and longitudinal  
318 accelerations, which we used to calculate the resultant acceleration during the takeover  
319 process and capture the maximum value as the metric. A higher value indicated poorer  
320 takeover quality. All takeover performance metrics were collected and calculated  
321 between the onset of the TOR and the moment that the ego vehicle overtook the broken-  
322 down vehicle.

323 **(2) Eye-tracking metrics:** The 7 s segment after the onset of the TOR was utilised  
324 for eye-tracking metric analysis (Liang et al., 2021). We defined four AOIs, including

325 the road, rear-view mirrors (left, right, and middle), the broken-down vehicle ahead,  
326 and the TOR visual interface displayed on the instrument panel, HUD, or peripheral  
327 HMI, as shown in Figure 3. The amount of time (as a percentage) that participants spent  
328 gazing at each AOI was then calculated.



329

330

**Figure 3.** Demonstration of various areas of interest.

331 **(3) Subjective ratings:** Two questionnaires were used for the experiment. The first  
332 questionnaire was the NASA Task Load Index (NASA TLX), measuring participants'  
333 workload during the takeover process. The NASA TLX includes six dimensions,  
334 namely mental demand, physical demand, temporal demand, performance, effort, and  
335 frustration. Each dimension is rated from 0 to 100, with intervals of five points. The  
336 overall workload is calculated using the mean scores of the six dimensions (Hart et al.,  
337 1988). The second questionnaire was developed by van der Laan et al. (1997) to assess  
338 participants' usefulness and satisfaction ratings. Usefulness is measured by five items:  
339 (1) useful–useless, (3) bad–good, (5) effective–superfluous, (7) assisting–worthless,  
340 and (9) raising alertness–sleep-inducing. Satisfaction is determined by four items: (2)  
341 pleasant–unpleasant, (4) nice–annoying, (6) irritating–likeable, and (8) undesirable–  
342 desirable. All items range from -2 to +2. The means of the items determine the overall  
343 usefulness and satisfaction scores. The digital versions of these two questionnaires were  
344 used (made using the Wenjuanxing platform [<https://www.wjx.cn/>]). Participants were  
345 required to complete both on an iPad.

## 346 **2.8 Procedure**

347       Upon arrival, participants were welcomed and asked to sign an informed consent  
348 form. They also had to complete a demographic questionnaire about their gender, age,  
349 driving years, and health state. They were then required to adjust the seat until they felt  
350 comfortable. Next, the experimenter introduced them about the nature of Level 3  
351 automated driving as defined by SAE (2021). They were informed that during  
352 automated driving, they could engage in NDRTs but were required to remain fallback-  
353 ready users. The automated driving system was restricted to its ODD, and if a driving  
354 situation exceeded this domain, the system would issue a TOR. Upon detecting a TOR,  
355 participants were expected to assess the situation and take appropriate takeover actions  
356 in a timely manner; otherwise, the automated system would disengage after a certain  
357 period, potentially leading to a risk of collision. Following this, the experimenter  
358 showed participants demonstrations of the various visual TOR interfaces used in the  
359 experiment. The experimenter explained to participants the specific meanings of the  
360 visual interfaces for the three HMIs in detail until participants fully understood their  
361 meanings. Then, the experiment entered the practice section.

362       During the practice section, participants first drove a vehicle in manual mode for  
363 approximately 2 mins to familiarise themselves with the simulated driving system (e.g.,  
364 the operation of the steering wheel and depression of pedals). They were then required  
365 to complete two practice takeover trials to familiarise themselves with the automated  
366 driving system and takeover process. The practice takeover scenarios were similar to  
367 the scenarios used in the formal experiment, with one lane-changing scenario and one  
368 braking scenario. The HMI type for TORs in one practice trial was the peripheral HMI,  
369 and that in the other was the HUD or instrument panel. This arrangement was  
370 intentional, as the icons displayed on the HUD were identical to those on the instrument  
371 panel, while the icons on the peripheral HMI were significantly different. During  
372 automated driving, participants were required to fully immerse themselves in the Tetris  
373 game. To further enhance their motivation, they were informed that their game



374 performance in the formal experiment, along with their takeover performance, would  
375 influence the experiment's reward, although all participants ultimately received a fixed  
376 compensation regardless of performance. Additionally, participants were required to  
377 play the game continuously throughout the experiment and were not allowed to start a  
378 new game unless they "lost" the current one. However, few participants "lost" the game  
379 during automated driving, as the game's difficulty level was set to moderate. Moreover,  
380 drivers were told to prioritize driving safety over game performance when they received  
381 TORs and could take control of the vehicle without pausing the game. In the next  
382 takeover trial, participants would start a new game. At the end of the practice session,  
383 the experimenter helped participants to put on their eye-tracking glasses and calibrate  
384 them.

385 During the formal experiment section, participants were required to complete three  
386 blocks corresponding to the three HMI types, which were balanced using a Latin square.  
387 Each block contained four trials, so participants had to complete a total of 12 trials. In  
388 each trial, participants played the Tetris game for approximately 2 to 3 mins before the  
389 TOR was issued to prevent participants' from anticipating the takeover. At the end of  
390 each block, participants completed the NASA TLX to assess their workload during the  
391 takeover process and gave their usefulness and satisfaction ratings for the HMI type  
392 used for the block. There was a short break between blocks to prevent fatigue. The  
393 whole experiment lasted approximately 70 mins. All participants were thanked and  
394 compensated with 60 RMB for completing the experiment.

395

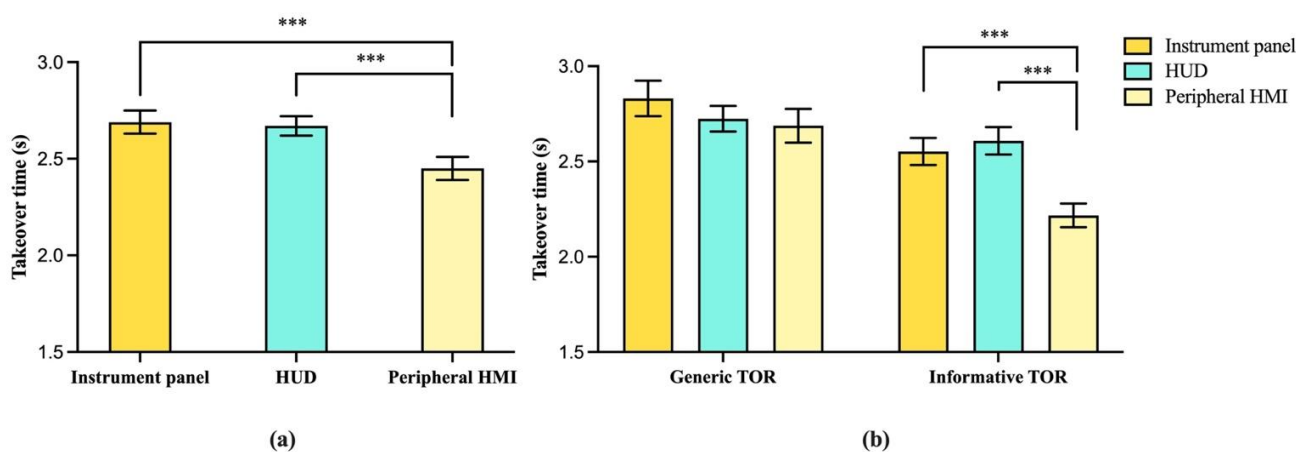
### 396 **3. Results**

397 We used IBM SPSS 25.0 and a linear mixed model (LMM) for data analysis. In  
398 this model, the TOR type and HMI type were treated as fixed factors, and participants  
399 were treated as a random effect. We adopted the least significant difference method for  
400 post hoc pair-wise comparisons. All significance levels were set at 0.05.

#### 401 **3.1 Takeover performance**

### 402 3.1.1 Takeover time

403 The LMM analysis showed that the main effect of the TOR type on takeover time  
404 was marginally significant ( $F(1, 28) = 3.63, p = 0.07$ ). The main effect of the HMI  
405 type ( $F(2, 318) = 12.13, p < 0.001$ ) and its interaction effect ( $F(2, 318) = 4.58, p =$   
406  $0.01$ ) with the TOR type both reached statistical significance. That is, informative  
407 TORs ( $M = 2.46 \pm 0.04$  s) led to a shorter takeover time than generic TORs ( $M = 2.75$   
408  $\pm 0.05$  s,  $p = 0.07$ ). Furthermore, the post hoc test for the main effect of the HMI type  
409 showed that the peripheral HMI ( $M = 2.45 \pm 0.06$  s) resulted in a shorter takeover  
410 time than the instrument panel ( $M = 2.69 \pm 0.06$  s) and HUD ( $M = 2.67 \pm 0.05$  s,  $ps <$   
411  $0.001$ ). There was no significant difference in takeover time between the instrument  
412 panel and HUD, as shown in Figure 4a. The simple effect analysis showed that when  
413 presenting generic TORs, there was no obvious difference among the three HMI  
414 types. However, when presenting informative TORs, the peripheral HMI led to a  
415 significantly shorter takeover time than the other two HMI types ( $ps < 0.001$ ), as  
416 shown in Figure 4b.

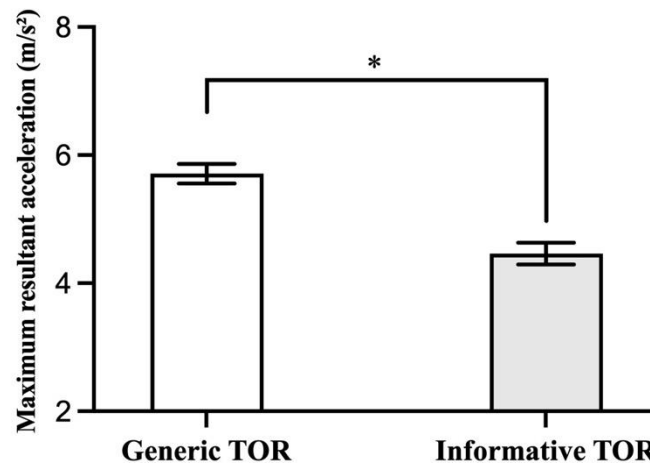


418 **Figure 4.** (a) Means of takeover time per human–machine interface type. (b) Means  
419 of takeover time as a function of human–machine interface type and takeover request  
420 type. (Notes: Error bars indicate standard errors; \*\*\* $p < 0.001$ .)

### 421 3.1.2 Maximum resultant acceleration

422 The LMM results for maximum resultant acceleration showed a significant main  
423 effect for the TOR type ( $F(1, 28) = 5.08, p = 0.02$ ). The main effect of the HMI type

424 ( $F(2, 318) = 0.22, p = 0.80$ ) and its interaction effect with the TOR type ( $F(2, 318) =$   
 425  $1.16, p = 0.31$ ) were insignificant, though. Moreover, maximum resultant acceleration  
 426 with informative TORs ( $M = 4.46 \pm 0.17 \text{ m/s}^2$ ) was less than with generic TORs ( $M =$   
 427  $5.71 \pm 0.15 \text{ m/s}^2, p = 0.02$ ) (see Figure 5).



428

429

**Figure 5.** Means of maximum resultant acceleration under the conditions of

430 generic and informative takeover requests. (Notes: Error bars indicate standard errors;

431

\* $p < 0.05$ .)

432

## 3.2 Eye-tracking metrics

433

### 3.2.1 Road gaze proportions

434

The main effect of the TOR type on road gaze proportions was insignificant ( $F(1,$

435

$3) < 0.01, p = 0.99$ ), whereas the main effect of the HMI type ( $F(2, 624) = 2.85, p =$

436

$0.06$ ) and its interaction effect with the TOR type ( $F(2, 624) = 2.49, p = 0.08$ ) were

437

marginally significant. Specifically, participants in the peripheral HMI condition ( $M =$

438

$68.40 \pm 1.80 \%$ ) had greater road gaze proportions than those in the instrument panel

439

( $M = 64.09 \pm 1.99 \%$ ) and HUD ( $M = 64.27 \pm 1.97 \%, ps < 0.05$ ) conditions (see Figure

440

6a). There was no significant difference in road gaze proportions between the

441

instrument panel and HUD conditions, though. The simple effect analysis showed that

442

when presenting generic TORs, there was no significant difference in road gaze

443

proportions among the three HMI types; however, when presenting informative TORs,

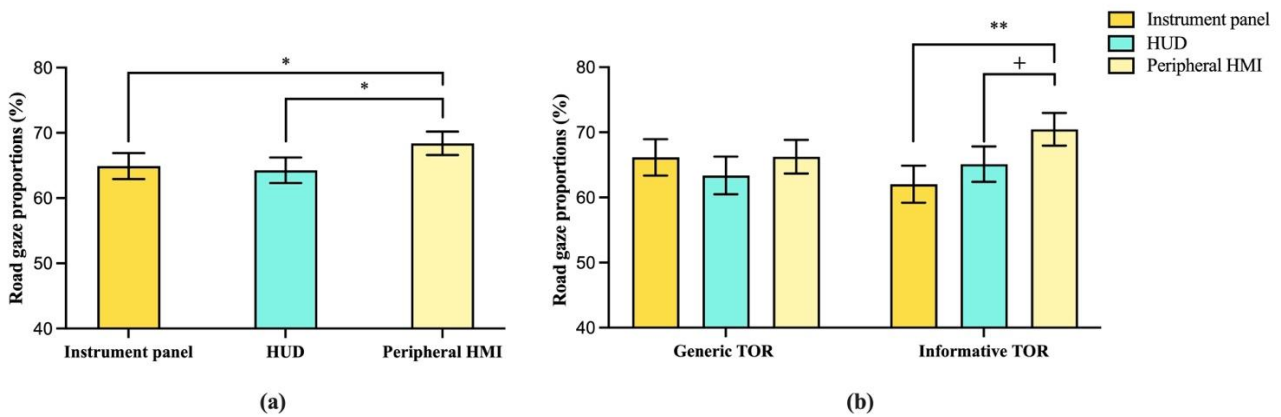
444

participants in the peripheral HMI condition had greater road gaze proportions than

445

those in the instrument panel ( $p = 0.002$ ) and HUD ( $p = 0.07$ ) conditions, as shown in

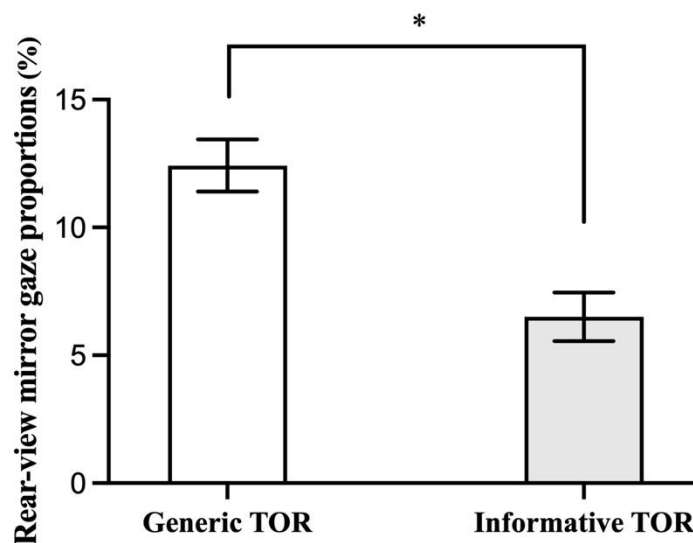
446 Figure 6b.



448 **Figure 6.** (a) Means of road gaze proportions per human–machine interface type. (b)  
449 Means of road gaze proportions as a function of human–machine interface type and  
450 takeover request type. (Notes: Error bars indicate standard errors; \* $p < 0.05$ , + $p <$   
451  $0.1$ .)

### 452 3.2.2 Rear-view mirror gaze proportions

453 Only the main effect of the TOR type ( $F(1, 22) = 5.62, p = 0.03$ ) on rear-view  
454 mirror gaze proportions was significant. The main effect of the HMI type ( $F(2, 241) =$   
455  $1.21, p = 0.30$ ) and its interaction effect ( $F(2, 241) = 0.29, p = 0.75$ ) were both  
456 insignificant. The post hoc test revealed that informative TORs ( $M = 6.51 \pm 0.95\%$ ) led  
457 to a smaller rear-view mirror gaze proportion than generic TORs ( $M = 12.42 \pm 1.02\%$ ,  
458  $p < 0.05$ ), as shown in Figure 7.

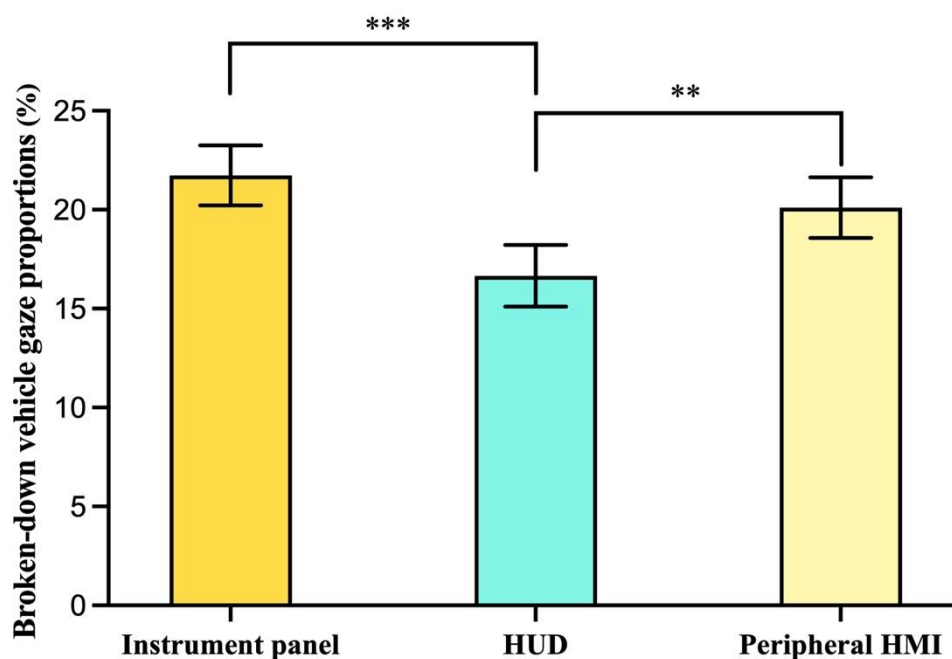


459

460 **Figure 7.** Means of rear-view mirror gaze proportions under the conditions of  
461 generic and informative takeover requests. (Notes: Error bars indicate standard errors;  
462  $*p < 0.05$ .)

### 463 3.2.3 Broken-down vehicle gaze proportions

464 The LMM results revealed a significant main effect of the HMI type ( $F(2, 495) =$   
465  $6.79, p = 0.001$ ) on broken-down vehicle gaze proportions. The main effect of the TOR  
466 type ( $F(1, 3) = 0.19, p = 0.70$ ) and its interaction effect ( $F(2, 495) = 0.50, p = 0.61$ )  
467 were both insignificant, however. The post hoc test showed that those in the HUD  
468 condition ( $M = 16.66 \pm 1.56\%$ ) had smaller broken-down vehicle gaze proportions than  
469 those in the peripheral HMI ( $M = 20.11 \pm 1.53\%$ ) and instrument panel ( $M = 21.73 \pm$   
470  $1.52\%$ ,  $ps < 0.01$ ) conditions, as shown in Figure 8.

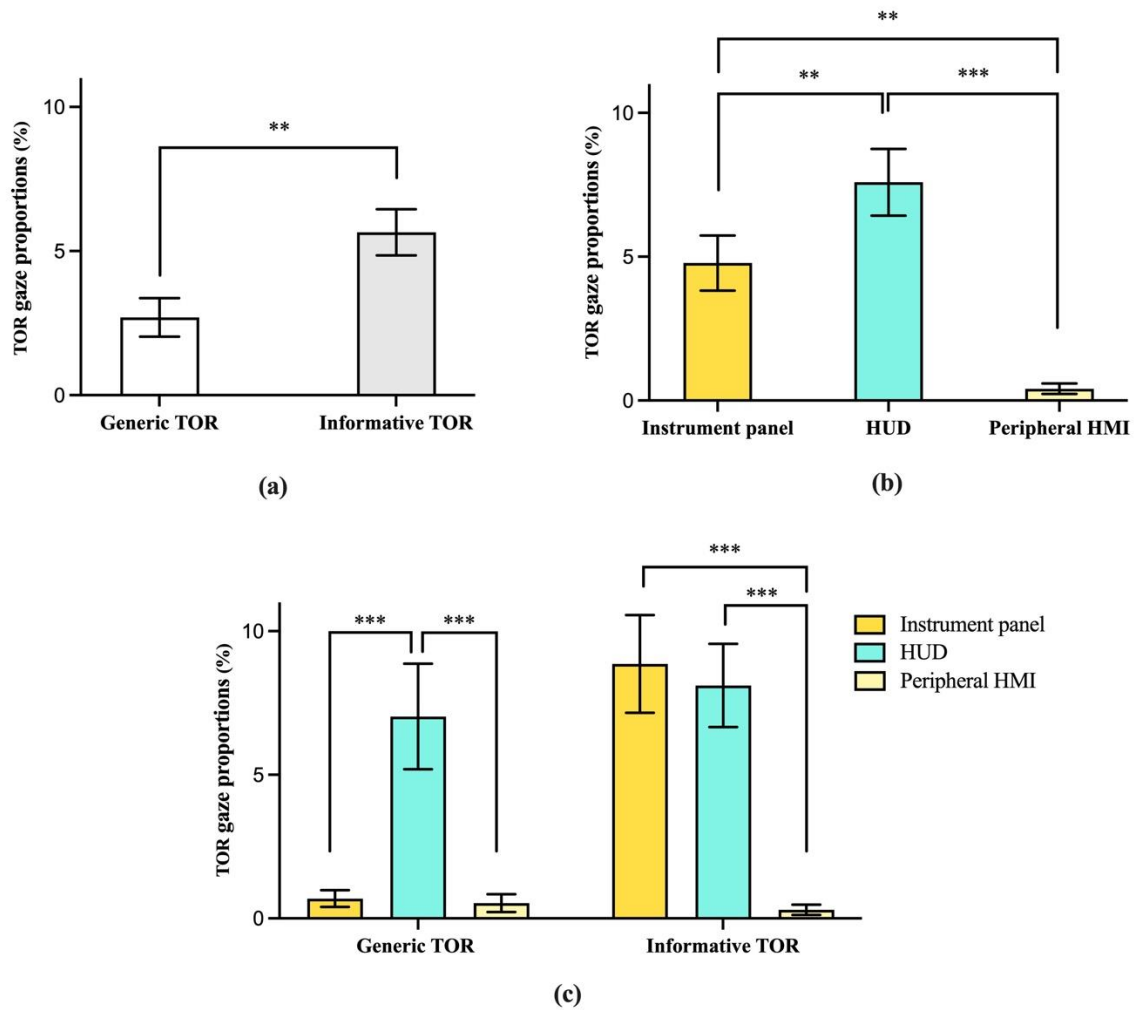


471 **Figure 8.** Means of broken-down vehicle gaze proportions per human-machine  
472 interface type. (Notes: Error bars indicate standard errors;  $*p < 0.05$ ,  $**p < 0.01$ .)

### 474 3.2.4 Takeover request gaze proportions

475 The main effects of the TOR type ( $F(1, 21) = 10.97, p = 0.003$ ) and the HMI type  
476 ( $F(2, 243) = 42.63, p < 0.001$ ) and their interaction effect ( $F(2, 243) = 10.97, p <$   
477  $0.001$ ) reached statistical significance. Notably, informative TORs ( $M = 5.65 \pm$   
478  $0.80\%$ ) resulted in greater TOR gaze proportions than generic TORs ( $M = 2.70 \pm$

479 0.67 %,  $p = 0.003$ ), as shown in Figure 9a. For the HMI type, participants in the HUD  
480 condition ( $M = 7.59 \pm 1.16$  %) had greater TOR gaze proportions than those in the  
481 instrument panel ( $M = 4.78 \pm 0.96$  %) and peripheral HMI ( $M = 0.41 \pm 0.18$  %,  $ps <$   
482  $0.01$ ) conditions. The differences in TOR gaze proportions between the instrument  
483 panel and peripheral HMI conditions were also significant ( $p = 0.002$ ) (see Figure 9b).  
484 The simple effect analysis showed that when presenting generic TORs, participants in  
485 the HUD condition had greater TOR gaze proportions than those in the instrument  
486 panel and peripheral HMI ( $ps < 0.001$ ) conditions, but no obvious difference was  
487 observed between the instrument panel and peripheral HMI conditions. However,  
488 when presenting informative TORs, participants in the instrument panel and HUD  
489 conditions had greater TOR gaze proportions than those in the peripheral HMI  
490 condition ( $ps < 0.001$ ), but there was no obvious difference in TOR gaze proportions  
491 between the instrument panel and HUD conditions, as shown in Figure 9c.



492

493 **Figure 9.** (a) Means of takeover request gaze proportions under the conditions of  
 494 generic and informative takeover requests. (b) Means of takeover request gaze  
 495 proportions per human-machine interface type. (c) Means of takeover request gaze  
 496 proportions as a function of human-machine interface type and takeover request type.

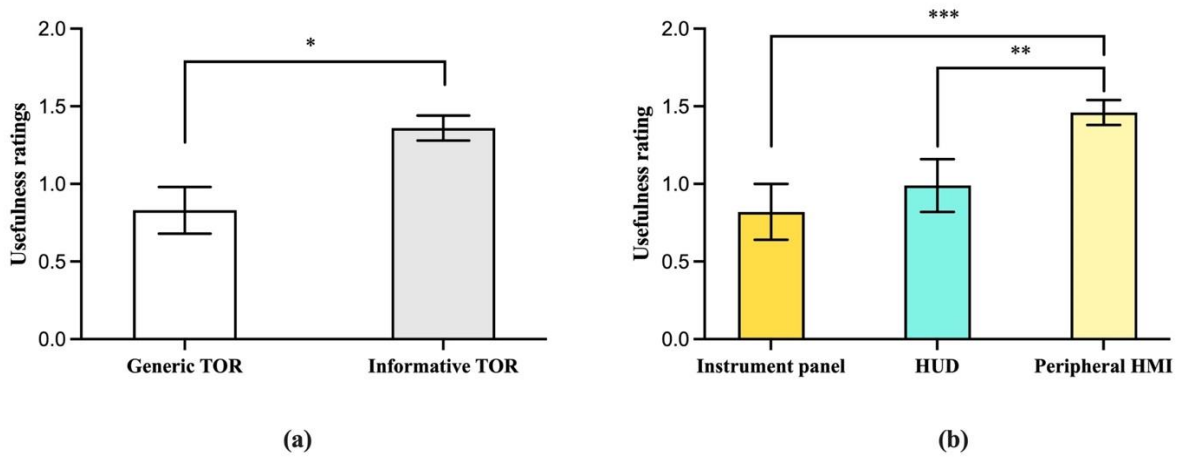
497 (Notes: Error bars indicate standard errors; \*\* $p < 0.01$ , \*\*\* $p < 0.001$ .)

### 498 3.3 Subjective metrics

#### 499 3.3.1 Usefulness ratings

500 The LMM analysis showed significant main effects of the TOR type ( $F(1, 28) =$   
 501  $5.18, p = 0.03$ ) and HMI type ( $F(2, 56) = 6.47, p = 0.003$ ). However, the interaction  
 502 effect ( $F(2, 56) = 1.57, p = 0.22$ ) was insignificant. Specifically, informative TORs ( $M$   
 503  $= 1.36 \pm 0.08$ ) received higher usefulness scores than generic TORs ( $M = 0.83 \pm 0.15,$   
 504  $p = 0.03$ ), as shown in Figure 10a. For the HMI type, the peripheral HMI ( $M = 1.46 \pm$

505 0.08) received higher usefulness scores than the instrument panel ( $M = 0.82 \pm 0.18$ ) and  
 506 HUD ( $M = 0.99 \pm 0.17$ ,  $ps < 0.01$ ). However, no significant difference in usefulness  
 507 scores between the instrument panel and HUD was observed (see Figure 10b).

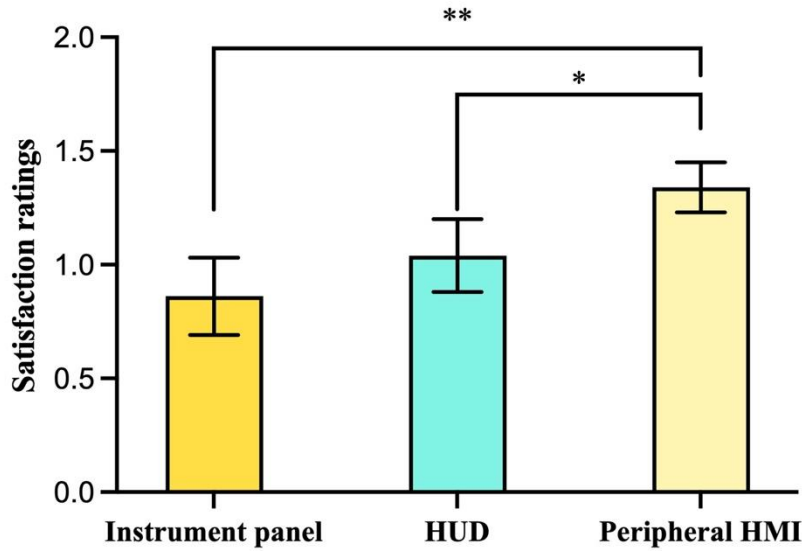


508 (a) Means of usefulness ratings under the conditions of generic and  
 509 informative takeover requests. (b) Means of usefulness ratings per human-machine  
 510 interface type. (Notes: Error bars indicate standard errors; \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p$   
 511  $< 0.001$ .)

### 513 3.3.2 Satisfaction ratings

514 Only the main effect of the HMI type was significant ( $F(2, 88) = 4.02$ ,  $p = 0.02$ );  
 515 the main effect of the TOR type ( $F(1, 20) = 2.16$ ,  $p = 0.16$ ) and its interaction effect  
 516 ( $F(2, 88) = 0.99$ ,  $p = 0.38$ ) were both insignificant. The participants were more satisfied  
 517 with the peripheral HMI ( $M = 1.34 \pm 0.11$ ) than the instrument panel ( $M = 0.86 \pm 0.17$ )  
 518 and HUD ( $M = 1.04 \pm 0.16$ ,  $ps < 0.05$ ). In addition, satisfaction ratings for the  
 519 instrument panel and HUD did not significantly differ, as shown in Figure 11.





520 **Figure 11.** Means of satisfaction ratings per human-machine interface type.

521 (Notes: Error bars indicate standard errors; \* $p < 0.05$ , \*\* $p < 0.01$ .)

522 **3.3.3 Workload ratings**

523 Neither the main effects of the HMI type ( $F(2, 56) = 0.13, p = 0.88$ ) and TOR type  
 524 ( $F(1, 28) = 2.33, p = 0.14$ ) nor their interaction effects ( $F(2, 56) = 1.63, p = 0.21$ ) on  
 525 the workload during the takeover process were significant. The means of the workload  
 526 ratings for each condition are shown in Table 2.

527 ratings for each condition are shown in Table 2.  
 528  
 529 **Table 2.** Means and standard errors of workload ratings as a function of human-  
 530 machine interface type and takeover request type

Informative takeover request			Generic takeover request		
		Peripheral			Peripheral
Instrument	Head-up	human-	Instrument	Head-up	Peripheral
panel	display	machine	panel	display	human-
		interface			machine
					interface
42.19 ± 5.01	48.06 ± 5.45	40.29 ± 4.49	49.26 ± 4.33	50.28 ± 3.78	54.17 ± 3.82

531

532 **4. Discussion**

533 The present study involved a simulated driving experiment to explore the effects  
534 of various HMIs on takeover performance while considering the type of TORs.  
535 Moreover, we used eye-tracking technology to investigate drivers' gaze patterns during  
536 the takeover process while using various HMI and TOR types. The findings of the  
537 present study provide some references for guiding relevant practitioners in designing  
538 HMIs for presenting TORs that get drivers back into the control loop efficiently in  
539 automated vehicles.

540 It was found that the peripheral HMI not only shortened takeover time compared  
541 to the HUD and instrument panel, but it was also rated as more useful and satisfactory.  
542 This finding confirms the advantage of peripheral HMIs for conveying TORs to drivers,  
543 with several studies having proposed using peripheral HMIs in automated vehicles  
544 (Borojeni et al., 2016; Hecht et al., 2022; Kunze et al., 2019; Yang et al., 2018). Notably,  
545 when presenting informative TORs, the peripheral HMI's shortened takeover time is  
546 even more evident than when presenting generic TORs. This may be because we used  
547 an auditory beep TOR, in addition to the visual TOR displayed on the HMI, to improve  
548 the experiment's ecological validity since many vehicles currently on the market  
549 provide both visual and auditory warnings to alert drivers (e.g., Tesla). When TORs  
550 displayed on HMIs do not contain additional supportive information, the auditory beep  
551 alert may be sufficiently effective for drivers, allowing them to depend less on visual  
552 HMIs to complete their takeover actions. This was also revealed in the eye-tracking  
553 metrics, where drivers spend less time gazing at the generic TOR than the informative  
554 TOR. Therefore, when presenting generic TORs, the advantage of the peripheral HMI  
555 over the HUD and instrument panel was smaller in the present study.

556 In addition, although the resolution of drivers' peripheral vision is much lower than  
557 their central vision due to anatomical differences, and they struggle to perceive and  
558 process detailed information in their peripheral vision (Wolfe et al., 2017), the results  
559 above verify the potential of peripheral HMIs to support drivers with informative TORs,  
560 in addition to pure warnings. This is important for the application of peripheral HMIs,

561 as drivers' situational awareness is at an extremely low level when engaging in NDRTs  
562 during automated driving (de Winter et al., 2014), and it is necessary to provide drivers  
563 with informative TORs to help them recover situational awareness and support their  
564 takeover actions (Petermeijer et al., 2016; Zeeb et al., 2015).

565 The analysis of drivers' eye movement data revealed a greater road gaze proportion  
566 and a smaller TOR gaze proportion with the use of peripheral HMIs than HUDs and  
567 instrument panels. This may be the attention mechanism behind the advantage of  
568 peripheral HMI in the takeover performance. In accordance with Wickens' (2002)  
569 multiple resources theory, drivers' cognitive resources for peripheral and central vision  
570 are independent, so they can simultaneously process information in the two vision areas  
571 without mutual interference. After TORs are issued, drivers can use their peripheral  
572 vision to perceive and understand TOR information displayed on the peripheral HMI  
573 without needing to gaze at it directly. Concurrently, they can use their central vision to  
574 gaze at the road ahead and evaluate the takeover situation. These two processes occur  
575 in parallel and do not compete for cognitive resources. Contrastingly, with the use of  
576 HUDs and instrument panels to present TORs, drivers can only use their central vision  
577 to obtain and process TOR information, which will be at the expense of gazing at the  
578 front road to evaluate the situation (Gonçalves et al., 2022). Therefore, the peripheral  
579 HMI can improve drivers' TOR information processing efficiency and prompt them to  
580 gaze for longer at the road, which is of great significance for improving driving safety,  
581 as the less time is spent gazing at the road, the greater the risk there is of having a traffic  
582 accident (Harbluk et al., 2007).

583 In addition, the HUD results in a greater TOR gaze proportion than the instrument  
584 panel, which is especially obvious when presenting generic TORs. The broken-down  
585 vehicle gaze proportion with the HUD is also lower than with the instrument panel.  
586 These results may be related to the attention tunnel phenomenon typical of HUDs. That  
587 is, drivers suffer from slight attentional distribution damage between the HUD and  
588 external scenery (Karar et al., 2018). This phenomenon has been found in the field of

589 manual driving. For example, Gabbard et al. (2014) argued that when drivers focus on  
590 the information displayed on the HUD, they ignore some information in the actual  
591 driving scenario. Recently, Wang et al. (2022) found that HUDs increase drivers'  
592 inattentive blindness to hazards on the road, and this blindness is more significant  
593 when drivers are in a high workload state. The present study also showed that HUDs  
594 pose a potential threat to drivers' attention distribution during the takeover process in  
595 automated vehicles. This issue should be emphasised when utilising HUDs because  
596 drivers' visual attention distribution plays an important role in their recovery of  
597 situational awareness and successful takeover of the vehicle's control (Louw et al.,  
598 2017).

599 In terms of the TOR type, the results showed that informative TORs improve  
600 takeover performance (with shorter takeover times and less maximum resultant  
601 acceleration) and were rated as more useful by drivers than generic TORs, which is in  
602 line with the studies of Shi et al. (2023) and Heo et al. (2022). Moreover, the eye-  
603 tracking results showed that drivers spend less time gazing at their rear-view mirrors  
604 with informative TORs than with generic TORs. To our knowledge, only Gonçalves et  
605 al. (2022) have investigated the impact of the information displayed on HMIs on drivers'  
606 gaze behaviour during the takeover process, and in their simulated driving experiment,  
607 they found that the information displayed on HMIs does not affect drivers' gaze at the  
608 rear-view mirror. This discrepancy may be attributed to time pressure. In their study,  
609 the takeover operation was a discretionary lane change without a TOR warning, and  
610 drivers were not under any pressure, so they only checked their mirrors as part of a  
611 routine, regardless of the information displayed on the HMI. However, in the present  
612 study, drivers had to react to TOR warnings within 7 s; otherwise, the ego vehicle would  
613 collide with the broken-down vehicle ahead. Since drivers' situational awareness was  
614 low due to NDRT engagement (de Winter et al., 2014), there was relatively high time  
615 pressure for drivers to make a decision to act. Therefore, in the present study, drivers  
616 had to depend more on the TORs, especially informative TORs, to recover their

617 situational awareness and complete the takeover, which led to fewer rear-view mirror-  
618 checking behaviours.

619 In summary, the present study validates the potential performance advantage of  
620 using peripheral HMIs to present TORs in improving takeover performance. Moreover,  
621 the attention mechanism behind this was revealed by using eye-tracking technology.  
622 Specifically, the peripheral HMI can improve drivers' gaze patterns between the TOR  
623 information and the road ahead, which can be of great value in enhancing driving safety.  
624 However, although several studies have proposed using peripheral HMIs in automated  
625 vehicles (Borojeni et al., 2016; Hecht et al., 2022; Kunze et al., 2019; Yang et al., 2018),  
626 most current studies have used a conventional instrument and HUD to convey relevant  
627 information to drivers (see Bengler et al., 2020, for a review). The benefits of using  
628 peripheral HMIs are thus underestimated. Relevant practitioners should be encouraged  
629 to use the peripheral HMI as a complement to conventional HMIs. In addition, the HUD  
630 was found to have a risk of capturing drivers' attention. Relevant practitioners should  
631 also be cautious with this phenomenon and optimise the HUD design to overcome this  
632 issue by, for example, finding a more suitable place for the HUD (Yang et al., 2020).

## 633 **5. Limitations and future work**

634 Although the present study was carefully prepared, it still has some limitations.  
635 First, the present study was conducted in a fixed driving simulator, which inevitably  
636 differs from the actual driving scenario. Future studies should explore the effects of  
637 various HMIs in an actual driving environment to replicate the results of the present  
638 study. Second, the participants in the present study were relatively young. However,  
639 Laurin et al. (2019) found that older people devote more resources toward central vision  
640 when processing visual information than young people. The effect of the in-vehicle  
641 peripheral HMI on older people deserves future research, and it is necessary for future  
642 studies to consider drivers of different ages when evaluating the peripheral HMI. Third,  
643 in the present study, we only collected data on participants' percentage of gaze time  
644 spent looking at various AOIs. Future studies could benefit from recording both the

645 number and duration of drivers' fixations on these AOIs, in addition to the percentage  
646 of gaze time. This would provide a more nuanced understanding of drivers' gaze  
647 patterns when using different HMIs during the takeover process. Finally, we did not  
648 collect data on participants' Tetris game performance during automated driving.  
649 Although participants were instructed to fully immerse themselves in the game,  
650 collecting performance data could further confirm their engagement in the NDRT.  
651 Additionally, recent studies have shown that transitions from an NDRT to driving often  
652 involve interleaving between the two tasks before fully switching (Nagaraju et al., 2021;  
653 Janssen et al., 2019). In our study, participants were required to prioritize driving safety  
654 over game performance upon detecting TORs, and the game was set to a moderate  
655 difficulty, making it somewhat challenging for drivers to look away without  
656 immediately losing. Furthermore, the 7-second lead time for TORs was relatively short,  
657 limiting opportunities for interleaving (Nagaraju et al., 2021). Consequently, few  
658 participants were observed interleaving between the Tetris game and driving during the  
659 takeover process in the recorded videos. Nonetheless, the phenomenon of interleaving  
660 is intriguing and warrants further investigation in future studies, as it could offer deeper  
661 insights into the transition from various NDRTs to the driving task.

## 662 **6. Conclusions**

663 The present study involved a simulated driving experiment conducted to investigate  
664 the effects of various HMIs (instrument panel, HUD, and peripheral HMI) and TOR  
665 type (informative and generic) on drivers' takeover performance and gaze behaviour  
666 during the takeover process in an automated vehicle. The peripheral HMI was found to  
667 be capable of shortening takeover time compared to conventional HMIs (instrument  
668 panel and HUD), especially when presenting informative TORs. It was also rated as  
669 more useful and satisfactory. The eye-tracking analysis revealed the attention  
670 mechanism behind its performance advantage. That is, the peripheral HMI can reduce  
671 the time drivers gaze at the TOR information and prompt them to spend more time  
672 gazing at the road ahead. The HUD, on the other hand, seemed to have a risk of

673 capturing drivers' attention, resulting in an attention tunnel when presenting TORs, as  
674 compared to the instrument panel. Moreover, informative TORs were associated with  
675 improved takeover performance and prompted drivers to spend less time gazing at their  
676 rear-view mirrors than generic TORs. The findings of the present study highlight the  
677 benefits of using the peripheral HMI as a complement to conventional HMIs in  
678 automated vehicles. Our findings also provide some insights into designing in-vehicle  
679 HMIs that present TORs for interested practitioners.

680

## 681 **Acknowledgement**

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685

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