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Evolutionary Tempo, Supertaxa and Living Fossils

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Abstract

A relationship between the rate of molecular change and diversification has long been discussed, on both theoretical and empirical grounds. However, the effect on our 2 understanding of evolutionary patterns is yet to be fully explored. Here we develop a new 3 model, the Covariant Evolutionary Tempo (CET) model, with the aim of integrating patterns of diversification and molecular evolution within a framework of a continuously 5 changing 'tempo' variable that acts as a master control for molecular, morphological and 6 diversification rates. Importantly, tempo itself is treated as being variable at a rate 7 proportional to its own value. This model predicts that diversity is dominated by a small 8 number of extremely large clades at any historical epoch including the present; that these 9 large clades are expected to be characterised by explosive early radiations accompanied by 10 elevated rates of molecular evolution; and that extant organisms are likely to have evolved 11 from species with unusually fast evolutionary rates. Under such a model, the amount of 12 molecular change along a particular lineage is essentially independent of its height, which 13 weakens the molecular clock hypothesis. Finally, our model explains the existence of 'living 14 fossil' sister groups to large clades that are species poor and exhibit slow rates of 15 morphological and molecular change. Our results demonstrate that the observed historical 16 patterns of evolution can be modelled without invoking special evolutionary mechanisms or 17 innovations that are unique to specific times or taxa, even when they are highly 18

¹⁹ non-uniform.

²⁰ Key words: Patterns of diversification, Molecular clocks, living fossils

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INTRODUCTION

The relationship between micro- and macroevolution has long been debated 23 (Rolland et al., 2023; Jablonski, 2000; Erwin, 2000). A central question is the extent to 24 which large-scale evolutionary patterns—observed in the fossil record and inferred from 25 phylogenies—are shaped by the processes operating at the population level. Regardless of 26 the outcome of this debate, however, there is often a *methodological* assumption of 27 independence between microevolutionary changes (e.g., shifts in gene frequencies due to 28 selection) and macroevolutionary patterns (e.g., diversification trends within a clade). 29 Contemporary models of evolutionary history conceptualise the overall process as being 30 governed by three independent components: the model of molecular substitution, the rate 31 at which substitutions occur, and the nature of the branching process (Warnock and 32 Wright, 2021). The simplest approach would be to employ a strict molecular clock with a 33 Jukes-Cantor substitution model (Jukes and Cantor, 1969) on a known phylogeny, and 34 assuming a fixed rate of branching—often represented by a homogeneous birth-death 35 process (BDP) (Nee, 2006). Methodological advances, such as the development of relaxed 36 clocks, now allow substitution rates to vary across the tree (see Dos Reis et al. (2016) for a 37 review). Additionally, increasingly sophisticated models of molecular evolution have been 38 introduced (Arenas, 2015). More recently, models have also emerged that incorporate 30 variable diversification rates (see below), allowing for more complex representations of 40 evolutionary trees, although the broad-scale patterns resulting from such models remain 41 relatively unexplored. 42

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Increasing sophistication in modelling ability has naturally also fuelled attempts to

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understand the causes behind the variation being captured. To take molecular substitution 44 rate variation first: two broad hypotheses exist about its causes. The first encompasses a 45 range from mutational effects to features of the entire organism (such as body size or 46 generation time); and the second is a 'speciation rate hypothesis' that links molecular 47 change to speciation (Jobson and Albert, 2002). There are sound empirical and conceptual 48 reasons for thinking that speciation and molecular change may well be intimately related 49 (Hua and Bromham, 2017), and attempts have sometimes been made to consider them 50 jointly (e.g. Sarver et al. (2019); Ritchie et al. (2022b)). Indeed, Eo and DeWoody go so far 51 as to claim that "One of the most basic predictions in evolutionary biology is that the rate 52 of diversification along a particular branch of the tree of life is some function of the rate of 53 genome evolution on that branch." (Eo and DeWoody (2010), p. 3587). Provocative 54 evidence for a close correlation of the two processes is seen for example in the early history 55 of arthropods (Lee et al., 2013), where early branches of the clade contain just as much 56 molecular change as later branches despite being far shorter in duration (Budd and Mann, 57 2020b), at least when the tree height is constrained by the fossil record. However, this is 58 just one of several studies that over the last few decades have debated a potential link 59 between both morphological and molecular rates of change and rates of speciation (e.g., 60 Bromham (2024); Rabosky et al. (2013); Xiang et al. (2004); Webster et al. (2003); 61 Venditti and Pagel (2010); Lanfear et al. (2010); Berv and Field (2018); Barraclough and 62 Savolainen (2001)), although it should be noted that not all studies have found clear 63 evidence of this link (e.g., Goldie et al. (2011)). There are at least two factors that might 64 cloud the relationship between diversification and molecular change through time. The first 65 is the so-called 'node density' effect, wherein in clades with more terminals, a resulting 66 greater number of internal nodes will recover more molecular change and thus generate a 67 spurious relationship between clade size and amount of molecular change (Hugall and Lee, 68 2007). The second is that if a relaxed clock methodology is employed to ascertain the time 69 of origin of a clade, then any early burst of molecular (or morphological (Beck and Lee, 70

2014)) change or indeed diversification is likely to be smoothed out by pushing the age of 71 the root deeper (Budd and Mann, 2020b; Bromham, 2020, 2003; Beaulieu et al., 2015; 72 Shafir et al., 2020). If one were simply to accept the result of the molecular clock, then the 73 apparent elevated early rates could theoretically be explained as an artefact caused by 74 "bunching up" the early lineages to artificially squeeze the clade into a too-narrow time 75 interval (c.f. Bromham and Hendy (2000)). However, we have previously marshalled strong 76 reasons for thinking that the fossil record in such instances is often reliable, in which case 77 early bursts of diversification should be taken seriously and not dismissed as dating 78 artefacts (Budd and Mann, 2020a,b, 2024; Holmes and Budd, 2022). As a result, the 79 well-known mismatch between the explicit fossil record and molecular clock origination 80 estimates for many major clades such as animals (Budd and Mann, 2020b), birds (Berv 81 and Field, 2018), placental mammals (Budd and Mann, 2024) and angiosperms (Smith and 82 Beaulieu, 2024), (Coiro et al., 2019) itself points to cryptic excess molecular change at the 83 base of trees (Beaulieu et al., 2015; Berv and Field, 2018). Previous critiques of molecular 84 clocks have focused on either inappropriate age priors (e.g. Budd and Mann (2024); Brown 85 and Smith (2018)) or issues with rate heterogeneity (e.g. Bromham and Woolfit (2004); 86 Berv and Field (2018); below we will suggest these are effectively two sides of the same 87 coin. Clearly, if the branching process and rate of molecular change really are correlated, 88 then this would have a significant impact on our understanding of the patterns of 89 evolutionary change through time (see Duchêne et al. (2017) for investigation and 90 discussion of this point). 91

Causes of variation in diversification rates are likewise much debated (e.g. Moen and Morlon (2014)). It is clear that, similarly to the case of molecular evolution itself, rates of diversification must vary across the tree, as a single homogeneous BDP cannot possibly capture the true patterns of diversification reflected in evolutionary history (c.f. Benton and Emerson (2007)). Notwithstanding this, the homogeneous birth death process (BDP) (Nee, 2006) (in which rates of speciation and extinction are fixed) is still commonly

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employed in molecular analysis, especially for dating purposes, although its inadequacies
are increasingly being recognised (e.g. Khurana et al. (2024)).

Any attempt to investigate a link between rates of genetic/morphological evolution 100 and speciation must reckon with the heterogeneous nature of all of these variables. 101 Historically, rate heterogeneity has largely been addressed in one of two ways: either by 102 assuming rate shifts occur at significant points (e.g., Soltis and Soltis (2016)), or by 103 assuming broad secular variation, e.g. with declining rates through time across the entire 104 tree (Nee et al., 1994b; Strathmann and Slatkin, 1983); or some combination of both (e.g. 105 in BAMM (Rabosky et al., 2014)). More recent models have moved away from considering 106 isolated rate shifts to allow rates to vary either in small frequent increments associated 107 with speciations (Maliet et al., 2019; Shafir et al., 2020), or continuously through 108 anagenetic diffusion (Quintero et al., 2024) (for other non-continuous models, see the 109 review in the supplementary information of Maliet et al. (2019)). The primary goal of 110 these models has been the *inference* of rates through time, based on molecular data from 111 extant taxa (Barido-Sottani and Morlon, 2023) which has now been implemented in 112 BEAST2 (Bouckaert et al., 2019), clearly a substantial step forward from homogeneous 113 models. However, some forward simulation has also revealed that these models can 114 generate clades that match empirical observation; in particular simulated clades are often 115 imbalanced and 'stemmy' (Maliet et al., 2019). This suggests that diversification rate 116 heterogeneity may be one key to understanding the patterns of modern diversity. This is 117 largely because the distribution of modern diversity predicted by homogeneous or 118 epochally time-varying BDPs is geometric (Nee et al., 1994b; Kendall, 1948), and this 119 remains the case even when non-selective mass extinctions are considered (Budd and 120 Mann, 2020a). However, a certain amount of evidence suggests that extant sizes are in fact 121 over-dispersed relative to this expectation (Blum and François, 2006; Stadler et al., 2016). 122 Consider, for example, the crown group animal phyla, which for the sake of argument we 123 can assume all emerged around 500 Ma (Budd and Mann, 2024). Estimating total species 124

diversity in the phyla is fraught with difficulty, but even so the species count differs widely. 125 For example, the phyla have an average diversity of c. 50,000 species, but the arthropods 126 have a diversity of well over one million species, thus being over twenty times larger than 127 expected. Under a geometric distribution this is essentially impossible $(p \sim 10^{-7})$. This 128 pattern is seen repeated hierarchically: e.g. most arthropods are insects, and most insects 129 appear to be hymenopterans (Forbes et al., 2018). Similarly, the angiosperms are much 130 more diverse than any other plant clades (e.g. c. 300000 versus 1000 gymnosperms) and 131 birds much more so than crocodiles in the archosaurs (c.10000 versus c. 85). In other 132 words, the existence of Stanley's "supertaxa" (Stanley, 1998) does not seem compatible 133 with a purely geometric distribution of clade sizes as predicted by the homogeneous BDP. 134 In addition, clade sizes show a complex relationship with age that is not easily explained 135 by homogeneous diversification (Rabosky, 2010; Magallon and Sanderson, 2001; McPeek 136 and Brown, 2007), and indeed attempts to estimate absolute diversification rates within a 137 clade suggest several orders of magnitude variation (Magallon and Sanderson, 2001). It 138 thus seems that clade sizes do often appear overdispersed relative to any expected 139 geometric distribution (Khurana et al., 2024). 140

Taking these empirical findings together, and noting the apparent importance of 141 rate heterogeneity across both microscopic and macroscopic evolutionary scales 142 (Henao-Diaz and Pennell, 2023), it seems that a need exists for a synthesis that unites 143 molecular evolution and species diversification, in which both vary through time. In this 144 paper, then, we develop a model of diversification and molecular change in which all 145 evolutionary rates covary, being controlled by a single variable evolutionary tempo that 146 differs both between species, and within a species over time. Although our model does not 147 depend on a particular instantiation of tempo, we nevertheless offer some suggestions 148 about how it might be encoded in a realistic way in the genome below (see schematic for 149 genetic encoding of tempo in Appendix 1). Our analysis of this model will show that it is 150 consistent with the concentration of species into relatively few 'supertaxa' (Stanley, 1998); 151

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that it offers a resolution to conflict between the fossil record and molecular clocks; and that it makes new predictions about the early history of major clades and the fate of the smaller clades that constitute the remaining part of modern diversity. Because of the way we formulate the model, it is amenable to numerical solution that allows us to investigate its general features, as opposed to simulations that would show the outcomes of rates over specific trees.

Methods and Materials

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Model outline

As indicated above, heterogeneity in rates of speciation and extinction are key to 160 explaining important empirical features of diversification. We here extend earlier 161 approaches to model such heterogeneity (Rabosky et al., 2014; Maliet et al., 2019; Ritchie 162 et al., 2022a; Quintero et al., 2024), and create a BDP model in which rates of speciation 163 and extinction vary continuously and *covariantly* through an agenetic diffusion. We call this 164 model the Covariant Evolutionary Tempo (CET) model. Under CET, all evolutionary 165 rates are specific to a given taxon at a specific moment in time. Our model is close in 166 formulation to that of Quintero et al. (2024). However, whereas they model this variation 167 in speciation and extinction rates as geometric Brownian motion with an overall drift, and 168 treat speciation and extinction independently, we instead posit that there exist baseline 169 rates of speciation (λ) and extinction (μ) that are linearly modulated by a new variable we 170 label as tempo, τ , which controls the relative rates of all evolutionary processes. At any 171 given time a taxon with tempo τ has a speciation rate $\tau\lambda$ and an extinction rate $\tau\mu$. 172

This model is fully covariant, in that all rates are linked directly to τ ; in effect the tempo represents a local speeding-up or slowing-down of evolutionary time, such that all processes happen faster or slower. In particular, we posit that tempo *itself* varies through time, and because we posit that tempo is in some way genetically encoded, this implies

that the evolution of τ itself proceeds at a rate proportional to τ , since the effect on molecular rates of mutation will obtain upon whichever part of the genome is responsible for this encoding. Specifically we model the log-tempo ($x = \log \tau$) as evolving according to a modified Ornstein–Uhlenbeck (OU) process that incorporates the effect of the tempo itself on all rates:

$$dx = -\theta e^x x dt + \sqrt{2\theta s^2 e^x} dW \tag{1}$$

where dW represents an incremental change from a Wiener process (popularly known as 182 Brownian motion). We impose this model for the evolution of the log-tempo x since the 183 tempo itself is constrained to be positive. The parameters of this stochastic differential 184 equation are the mean reversion rate θ and the stationary variance of the process, s^2 . The 185 e^x terms in this equation come from the self-interaction of the tempo, which as well as 186 multiplying the rate of all other processes also determines the rate at which it evolves 187 itself, such that the effective increment of time is $\tau dt = e^x dt$. Our use of an OU process is 188 motivated by two considerations. First, as we shall show, a Wiener process without a 189 restoring force would lead to a runaway effect, where tempos increase without limit. 190 Secondly, in Appendix 1 we describe a plausible schematic for how tempo is inherited that 191 produces an inherent reversion to a mean value via entropic forces. 192

As we show in Appendix 1, this results in a drift-diffusion partial differential equation for the generating function of the resulting birth-death process:

$$\frac{\partial G_x}{\partial t} = e^x \left((\lambda G_x - \mu)(G_x - 1) - \theta x \frac{\partial G_x}{\partial x} + \theta s^2 \frac{\partial^2 G_x}{\partial x^2} \right)$$
(2)

where $G_x(t,z) = \sum_{n=0}^{\infty} P_n(t,x) z^n$, with $P_n(t,x)$ being the probability of generating nspecies over time t in a process starting with log-tempo x. Solving this equation for an initial condition $G_x(t=0,z) = z$ provides the value of the generating function $G_x(t,z)$. Equation 2 does not appear to permit solution in closed form, except for the

¹⁹⁹ long-term extinction probability $G_x(t, z = 0)$ for $t \to \infty$, which is $\frac{\mu}{\lambda}$ for all x, and is ²⁰⁰ therefore tempo invariant. More generally, equation 2 can be straightforwardly solved ²⁰¹ numerically. The values of $P_n(t, x)$ can be retrieved from this generating function by Fourier inversion (see Appendix 1). 202

We can derive further equations specifying the evolution of the mean number of species generated by the process over time, the expected number of lineages (species that will have modern descendants) and the distribution of tempos over time. Derivation of these equations is described in Appendix 1. The most important of these equations specifies the evolution of the mean number of species through time. Given a generating function G_x , the mean of the distribution, $N_x(t) \equiv \mathbb{E}(n \mid t, x)$ is given by:

$$N_x(t) = \frac{\partial G_x(t,z)}{\partial z} \bigg|_{z=1}$$
(3)

²⁰⁹ Using this relation, equation 2 can be transformed into a simpler, linear form to represent ²¹⁰ the dynamics of the mean:

$$\frac{\partial N_x}{\partial t} = e^x \left(r N_x - \theta x \frac{\partial N_x}{\partial x} + s^2 \theta \frac{\partial^2 N_x}{\partial x^2} \right),\tag{4}$$

where $r = \lambda - \mu$ is the baseline net diversification rate. This equation reveals the key dynamics of the process: the expected number of species with log-tempo x locally increases exponentially at the rate r modulated by $\tau = e^x$. At the same time a drift-diffusion process modifies the tempo of each species, such that species tend to move towards a log-tempo of 0 (i.e. $\tau = 1$).

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Justification for a Covariant Theory

Why should all evolutionary rates be covariant? As we have discussed above, 217 previous birth-death models have allowed for independent variation in speciation and 218 extinction (while in practice sometimes holding one of these constant), while the rates of 219 molecular evolution have been assumed (generally implicitly) to be completely independent 220 of diversification rates. In one sense our choice is pragmatic: we seek to explore the 221 consequences of linking changing rates of molecular evolution to diversification rates, and 222 the most parsimonious way to do this is to impose a perfect correlation between the two. 223 Allowing for speciation and extinction rates to vary independently (or with some 224

non-unitary correlation) would greatly complicate the mathematical formulation of the 225 birth-death model and its analysis, and cloud its implications. Empirically we are also 226 strongly motivated by the apparently close (inverse) correlation between rates of molecular 227 evolution and branch durations in for example Lee et al. (2013) and other studies, as noted 228 in the introduction. Finally our choice is also theoretically informed. It is clear that as 229 speciation and extinction vary, they must remain close to one another over time; a 230 sustained period of much higher speciation will quickly produce an unrealistically large 231 number of species, while a period of greater extinction than speciation will almost certainly 232 drive the clade to extinction. Indeed, the linkage between the two has been formulated by 233 Marshall as the third of his five "paleobiological laws" (see Marshall (2017) for discussion 234 and justification of this point). Moreover, we expect that rates of speciation and extinction 235 may largely be driven by the same causal factors, e.g., generation times and population 236 size (for a classical discussion of the various links between speciation and extinction rates, 237 see Stanley (1990), and more recently Greenberg and Mooers (2017)). Therefore, while we 238 anticipate significant deviations from covariance between these processes at sufficiently 239 short time scales, we expect it to be a realistic first-order approximation when considering 240 rates on the scale of millions of years. We also note that although most discussions of 241 molecular evolution have considered a link with speciation, we consider that in practice 242 this implies a link with extinction too, for the reasons given above. 243

As far as our model is concerned, we note that many of the factors operating on 244 speciation rates are also likely to affect molecular rates of change. For example, Bromham 245 has stressed the need to consider the genome itself as a life-history trait ((Bromham, 2003, 246 2009, 2020), and thus open to the same influences (population size, generation time, etc) 247 as other traits. Thus, under such a view of evolution, small body size or small populations 248 might both influence speciation rate (Martin, 2017; Cooney and Thomas, 2021) and 249 molecular evolution rates (Bromham, 2020) together, thus uniting the two broad ways of 250 considering the causes of molecular change (Jobson and Albert, 2002). Naturally, such a 251

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linkage between the two might itself vary, but in order to investigate its general effects, and
certainly to greatly simplify the analysis, we have chosen a model with complete linkage.
Few studies have shown a convincing direct link between molecular substitution
rates and phenotypic change (Bromham and Woolfit, 2004). Nevertheless, the two may be
indirectly linked by other factors such as speciation rate, as both phenotypic and molecular
are plausibly linked to speciation (for discussion of this point with some examples such as
placental mammals and lungfish, see Budd and Mann (2018)). As we suggest below, some

²⁵⁹ empirical evidence points to this being true, at least in some clades.

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RESULTS

We analysed our model by solving the probabilistic equations given above to obtain 261 distributions at different time epochs, rather than by direct simulation of the tree 262 evolution. Notably, our analysis does not provide a probability distribution over specific 263 trees, but over coarser-grained variables such as diversity. It is not our goal to 264 quantitatively fit our model to the modern diversity or evolutionary history of any specific 265 clade, but rather to reveal the qualitative features the model predicts. Throughout we use 266 a core set of parameters $\lambda = 0.51$ per species per myrs, $\mu = 0.5$ per species per myrs, 267 $\theta = 0.01/\text{myrs}, s = 1$. These parameters are chosen to reflect reasonable expectations 268 about the real evolutionary process: a baseline extinction rate of $\mu = 0.5$ per species per 269 myrs comports with that chosen in previous analyses (e.g. Budd and Mann (2018)) and, 270 combined with a speciation rate of $\lambda = 0.51$ per species per myrs is consistent with a 271 typical species existing for c. 1 myrs, in broad agreement with the fossil record (see. e.g. 272 Budd and Mann (2018)). The speciation rate is chosen to be of similar magnitude to the 273 extinction rate, such that extinction plays a significant role in the evolutionary dynamics 274 (Marshall, 2017) but is otherwise arbitrary. We choose a mean-reversion parameter 275 $\theta = 0.01$ /myrs to be equal to the net diversification rate as we will later show that if $r = \theta$ 276 then the mean log-tempo converges to 0 (see Appendix 1, equation 40). Although this 277

choice is mathematically convenient, we do not expect that it represents any necessary feature of the evolutionary process, nor do the general features of our results depend on it. Finally the diffusion parameter s = 1 is chosen to be large enough to produce significant effects of the diffusive dynamics, and otherwise is simply a mathematically convenient choice.

283

Distribution of clade sizes

We solved equation 2 for times $0 \le t \le 500$ myrs and starting log-tempos 284 -10 < x < 10 and performed a Fourier inversion (see Appendix 1) to retrieve the implied 285 probability distribution $P_n(t = 500 \text{ myrs}, x)$. The distribution of clade sizes for a clade that 286 starts with log-tempo x = 0, excluding clades of size zero, is shown in Figure 1A. The 287 clade sizes follow a distribution that differs strongly from the geometric distribution 288 expected under a typical BDP (indicated by the dashed line, assuming the same mean 280 clade size). This distribution is characterised by most clades being small, but with a few 290 extremely large clades. This means that clades that are many times greater than average 291 (either mean or median) are much more probable than under a standard birth-death 292 process. A corollary of this is that clade size a typical species 'experiences' (i.e. the 293 expected clade size of a randomly selected species) is c. 8 times greater than the mean 294 clade size. For clarity, we here define the experienced and mean clade sizes as the sizes of 295 clades containing living organisms that have the same time of origin (for example, the sizes 296 of parent clades that are all 500 myrs old). 297

In Figure 1A we indicate both the mean clade size and the mean experienced clade size for illustration. This result should be compared to the equivalent result from a standard BDP where the mean experienced clade is only two times greater than the mean (Budd and Mann, 2018). This implies that the large majority of species we might encounter and/or study are contained in extremely large clades. Since clades are hierarchically structured this also implies that the diversity of any clade is likely to be

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dominated by its largest sub-clade. To illustrate this we consider the two sister-groups of a 304 clade originating 500 Ma, and calculate the expected proportion of the total diversity that 305 is contained in one sister-group chosen at random. As shown in Figure 1B, the probability 306 that a given proportion of total diversity is contained in a given sister-group is peaked 307 strongly close to zero and one, indicating that one sister-group or the other typically 308 contains the large majority of species in the clade as a whole. For example, there is a c. 309 50% chance that the larger sister group is at least 20 times larger than the other. This can 310 be compared to the equivalent result under a standard BDP, in which the proportion of 311 diversity contained in one sister-group is uniformly distributed between zero and one 312 indicated by the dashed line), and thus the probability of such an imbalance is only 10%. 313 This implies that diversity among clades of the same age tends to follow the Single Big 314 Jump principle (Vezzani et al., 2019), whereby sums of heavy-tailed random variables are 315 dominated by their largest component. 316

317

Diversification through time

The above analysis reveals the expected pattern of diversity in clades of a fixed age 318 (500 myrs) which all start from a common ancestor with a typical tempo (x = 0). How 319 does this pattern change through time, and between clades with different initial tempos? 320 To explore these questions, we focused on how the expected clade size varies through time 321 for different initial values of x. We numerically solved equation 4 to obtain the expected 322 clade size as a function of time values 0 < t < 500 myrs, and for different initial values of 323 $x_0 \in \{-2, 0, 2\}$. In Figure 2A we show how the mean clade size varies through time for 324 different initial tempos including clades that have gone extinct before the time in question. 325 In Figure 2B we show the variation in the mean number of species through time 326 conditioned on knowing that the clade survives to the present day (solid lines), and also 327 the expected number of *lineages* (dashed lines) through time - these are species that have 328 at least one descendant in the present day, and form the 'reconstructed process' that can 329



FIGURE 1. (A) Distribution of the number of species generated in clades that survive 500 myrs, with parameters $\lambda = 0.51$ per species per myrs, $\mu = 0.5$ per species per myrs, $\theta = 0.01/\text{myrs}$, s = 1, and an initial log-tempo x = 0. Note the log scale on the y-axis. The distribution is long-tailed and is characterised by a high probability of few species $(P(n < 1000) \simeq 1/3)$ and a long tail allowing some very large clades to be generated $(P(n > 50,000) \simeq 1/4)$. The blue and red lines indicate the mean clade size (c. 60,000) and the mean experienced clade size of a randomly chosen taxon (c. 400,000) respectively, indicating that most taxa are found in very large clades. The dashed line shows the geometric distribution with the same mean expected under a standard BDP. (B) The probability distribution for the proportion of diversity contained within one randomly chosen sister group of a crown group, indicating that clades are typically highly imbalanced, with one sister group being much larger than the other. The dashed line shows the uniform distribution expected under a standard BDP

(in principle) be inferred from modern molecular data. Clades that survive to the present 330 experience the 'Push of the Past' (Budd and Mann, 2018), an initial period of increased 331 diversification when the clade is small. These results show that the initial tempo has a 332 substantial impact on how the clade diversifies and its eventual expected size. As we would 333 intuitively expect, clades with high tempos initially diversify more quickly, and conversely 334 those with low tempos diversify slowly. However, after some period of time the rate of 335 diversification becomes stable; initially high-tempo clades slow down and initially 336 low-tempo clades speed up, such that all clades eventually diversify at the same fixed rate, 337 as seen in emergence of parallel lines of growth from all three initial conditions. 338

The tempo of the root node of a clade therefore has transient effects that eventually

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FIGURE 2. Diversification through time as a function of starting tempo. (A) The expected number of species through time for $x_0 = -2$ for a clade starting 500 Ma with different initial log-tempos: $x_0 = -2$ (blue line); $x_0 = 0$ (black line); $x_0 = 2$ (red line). These expectations include clades that are extinct. Clades with a higher starting tempo initially diversify more quickly (on average); eventually diversification stabilises to a fixed rate independent of the starting tempo. (B) Expected diversification profiles for clades that survive to the present day. Solid lines indicate the expected number of species through time; dashed lines indicate the expected number of lineages – species with surviving descendants. Surviving clades of all starting tempos experience the Push of the Past, mirrored by the Pull of the Present in the lineages. This effect is especially pronounced in the clades starting with the highest tempo.

decay as new species emerge whose own tempos diffuse away from the initial state. The 340 duration of these transient effects is longer in clades that start with low tempos, since all 341 processes including those that control the diffusion of tempos over time run slower. 342 Although the effect of initial tempo is transient, it leaves an important signature in the 343 eventual size of clades over the long term: because initially high tempo clades diversify 344 more quickly in their early history, they reach a larger size before reverting to a constant 345 diversification rate, meaning that they have a much greater expected diversity in the 346 present. This intuitively suggests that the largest clades of a given age in the present are 347 likely to be those that originated from a high-tempo common ancestor. 348

Distribution of tempos over time

As a clade diversifies, the various taxa will develop different tempos as they diverge independently from the initial starting tempo, leading to a time-dependent distribution of log-tempos p(t, x). In the Appendix 1 we show that the evolution of this distribution obeys a replicator-mutation equation:

$$\frac{\partial p}{\partial t} = rp\left(e^x - \langle e^x \rangle\right) + \theta \frac{\partial x e^x p}{\partial x} + s^2 \theta \frac{\partial^2 e^x p}{\partial x^2} \tag{5}$$

where the term $\langle e^x \rangle = \int_{-\infty}^{\infty} e^x p(t, x) dx$ indicates the average value of e^x at a given time. 354 We numerically integrated this equation through times 0 < t < 500 myrs for three 355 initial starting log-tempos: $x_0 \in \{-2, 0, 2\}$ specified by initial conditions of the form 356 $p(t = 0, x) = \delta(x - x_0)$, where $\delta(\cdot)$ is the Dirac delta function (Shutovskyi, 2023). The 357 resulting evolution of the log-tempo probability distributions is shown in Figure 3. These 358 results show that regardless of the starting tempo of the process, our model converges over 359 time to the same stable distribution of log-tempos that is approximately normally 360 distributed. Using the core set of model parameters described earlier gives a mean 361 log-tempo of zero. When the process is initiated with a high tempo (x = 2) the 362 convergence to this stable distribution is very rapid (red line). This is because the initially 363 high tempo forces all processes to run fast, so time is effectively compressed. Conversely 364 when the process is initiated with a slow tempo x = -2, the convergence is much slower, 365 potentially taking hundreds of millions of years. In practical terms, this predicts the 366 existence of long-lived substructures of the evolutionary tree in which evolution is 367 effectively 'running slow'. If other evolutionary processes such as molecular and 368 morphological change are also covariant to the tempo this would imply the existence of 369 lineages with low diversity and minimal morphological or molecular change over very long 370 periods of time. Since such small clades are common (Figure 1A), we expect that these 371 'living fossils' will be ubiquitous, and in particular that they will often be the sister group 372 to the few large clades that dominate total diversity. 373

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Varying the parameters of our model produces changes in the stable distribution of

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tempos. In particular the mean of this distribution increases with larger r and decreases 375 with larger θ (Figure 3B); in the limiting case where r = 0, the mean log-tempo can be 376 shown to converge to -1 in closed form (see Appendix 1, equation 40). The dynamics of 377 diversification tend to elevate the mean tempo, since higher tempo lineages produce more 378 descendants on average per unit time, which inherit the same high tempo from their 379 parents nodes. An interesting corollary to this point is that without any sort of mean 380 reversion process, tempos (and thus diversification rates) would simply tend to rapidly 381 increase without limit. As this is not observed empirically, the suggestion must be that 382 something tends to draw log-tempos towards a characteristic mean value (c.f. Maliet et al. 383 (2019); Aris-Brosou and Yang (2003); Lepage et al. (2006)). In Appendix 1 we show that 384 such a mean reversion can arise without implying any necessary ecological mechanism: if 385 tempo is encoded genetically then intermediate tempos are consistent with a greater 386 number of possible genetic configurations, such that random mutations tend to cause a 387 drift towards these values. 388

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Patterns of historical tempo

So far we have considered what happens to various features of the evolutionary 390 process as it is run forward from a particular initial condition. However, evolutionary 391 analysis can be considered to be retrospective as well: one attempts to identify and explain 392 patterns of evolution looking back in time from a vantage point in the present. As 393 discussed by Budd and Mann (2018) this perspective necessarily distorts the patterns we 394 are likely to observe, especially if one also chooses to analyse clades that have unusual 395 modern-day properties. Such choices are commonplace: the most studied clades are often 396 unusually diverse relative to clades of similar age; since most species are contained in these 391 large clades they are often taken to be particularly representative of a particular epoch, 398 despite in fact being a highly unrepresentative sample of clades in general. 399

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To investigate the role that contingencies of clade selection have on the observed



FIGURE 3. (A) The evolution of the distribution of log-tempos through time for clades starting from different initial log-tempos: $x_0 = -2$ (blue line); $x_0 = 0$ (black line); $x_0 = 2$ (red line). Lines indicate the expected log-tempo of a randomly chosen species, and shaded areas represent the standard deviation. Regardless of starting tempo, clades converge to the same equilibrium distribution of log-tempos. This convergence is fast in clades that start with high tempos. (B) Evolution of the log-tempo distribution for clades with different values of the diversification parameter r, with a fixed value of $\theta = 0.01/\text{myrs}$. Starting from the same tempo ($x_0 = 0$), clades reach different equilibrium log-tempo distributions depending on the value of r; higher values of r produce higher average tempos.

patterns of evolution, we considered two questions. First, if one randomly selects a species 401 in the present and traces its lineage back in time, what expectations should we have about 402 the evolutionary tempo of those ancestors? Second, what expectations should we have 403 about the average tempo of earlier members of that clade overall? These are different 404 questions since most historical taxa, even those with modern descendants (the lineages) 405 will contribute little to modern diversity, owing to the Single Big Jump Principle (Vezzani 406 et al., 2019) identified earlier (cf. Figure 1B). That is, the ancestors of most modern taxa 407 constitute a very small subset of historical diversity. 408

First we consider how likely it is that a species alive today at time T originated from an ancestor at time t with log-tempo x. Since we assumed that the clade originates with an ancestor drawn from the equilibrium distribution p(x), the prior probability that a species alive at time t has log-tempo x remains p(x) by definition of the equilibrium. We can

$$p(x \mid \text{ancestor of random modern taxon}) = \frac{p(\text{ancestor of random modern taxon} \mid x)p(x)}{p(\text{ancestor of random modern taxon})}$$
(6)

⁴¹⁴ The likelihood term in this equation, p(ancestor of random modern taxon | x) is

proportional to the expected number of modern species that an ancestor at time t will generate, $N_x(T-)$. This means we can rewrite the above as:

$$p(x \mid \text{ancestor of random modern taxon}) = \frac{p(x)N_x(T-t)}{\int_{-\infty}^{\infty} p(x')N_{x'}(T-t)dx'}$$
(7)

The equation above estimates the log-tempo of a direct ancestor of a modern taxon. 417 We can also ask what the tempo of a randomly chosen member of the clade in the past is. 418 To estimate this we consider the probability of generating n_T species at time T from any 419 starting log-tempo (based on solution of the generating function G_x) and the probability 420 that a randomly chosen species at time t has log-tempo x_t if the process starts at x_0 , 421 $p(x_t \mid x_0)$. From these probabilities we can infer the probability of a historical log-tempo x_t 422 conditioned on the current diversity n_T , using Bayes formula and marginalising over the 423 unknown starting log-tempo x_0 : 424

$$p(x_t \mid n_T) = \int_{-\infty}^{\infty} p(x_t \mid x_0, n_T) p(x_0 \mid n_T) dx_0$$

= $\frac{\int_{-\infty}^{\infty} p(x_t \mid x_0) P(n_T \mid x_0, x_t) p(x_0) dx_0}{P(n_T)}$
 $\simeq \frac{\int_{-\infty}^{\infty} p(x_t \mid x_0) P(n_T \mid x_0) p(x_0) dx_0}{P(n_T)}$ (8)

where the final approximation assumes that $P(n_T | x_0, x_t) \simeq P(n_T | x_0)$. In general this approximation will be reasonable, because of the earlier result that modern diversity arises from a small subset of historical taxa. If the historical number of species at time t is high, a randomly chosen taxon is unlikely to contribute significantly to modern diversity and we can therefore treat n_T as being independent of this species and its tempo. Because of the Push of the Past (Budd and Mann, 2018), surviving clades will rapidly reach this state, and in the special case where t = 0 (i.e. the origin of the clade) the approximation holds

432 exactly.

Figure 4 illustrates our expectations about the historical patterns of tempo. Figure 433 4A shows the distribution of log-tempos for ancestors of a randomly chosen modern taxon, 434 conditioned on our standard set of parameters ($\lambda = 0.51$ per species per myrs, $\mu = 0.5$ per 435 species per myrs, $\theta = 0.01/\text{myrs}$, s = 1). In the present these are centered around x = 0, 436 which is the stable overall distribution of log-tempos shown in Figure 3A. As we look 437 backwards in time the expected log-tempo of the ancestor rises sharply, before plateauing 438 at $x \simeq 0.6$ at c. 100 Ma. While the uncertainty represented by the standard deviation in 439 grey permits a wide variety of ancestral tempos, beyond 100 Ma these ancestors will have 440 elevated tempos with very high probability. Conversely, the tempo of the clade as a whole 441 tends to peak at its origin, as shown in Figure 4B. This illustrates the overall expected 442 log-tempo of historical species within a clade inhabited by a typical modern taxon (i.e. one 443 with a diversity equal to the mean experienced clade size). That is, the clades that contain 444 most modern taxa are defined by a high early rate of evolution, which then undergoes a 445 consistent secular decline to the present, while the direct ancestors of most modern taxa 446 have uniformly elevated rates of evolution across the history of the clade until close to the 447 present. A consequence of this result is that most modern taxa share relatively recent 448 common ancestors (c. 100-150Ma), as they overwhelmingly tend to originate via a small 449 subset of lineages that maintain high tempos until this point. This is despite the most 450 recent common ancestor of all species being close to the origin of the clade (in other 451 words; the crown group is expected to emerge soon after the total group — for analysis see 452 for example see Budd and Mann (2018)). 453

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Effect of tempo variation on branch lengths and duration

We have now considered the effect of tempo variation on the dynamics of the birth-death process, and by extension on diversification. We motivated our approach by noting that rates of molecular evolution are commonly assumed to vary in modern relaxed



FIGURE 4. Expected patterns of historical tempo evolution. Lines indicate the expected log-tempo and shaded areas represent the standard deviation. (A) The expected historical log-tempo of ancestors of a randomly-chosen modern taxon, following its lineage back to the origin of the clade. Throughout this lineage, expected log-tempos are elevated relative to the present day, declining rapidly shortly before the present. (B) Expected historical log-tempo of species in the clade as a whole. This is shown for a clade of the mean experienced clade size (the typical clade size of a randomly chosen modern species). Expected tempos are highest at the origin of the clade and decline through time as the clade diversifies. In both panels, the distribution of tempos at time = 0 Ma represents the equilibrium distribution derived as the stable solution to equation 36

molecular clock analyses, and now we turn our attention to the interaction of molecular 458 evolution and diversification. Specifically, we consider the expected duration (in real time, 459 equivalent to branch height) and amount of molecular change along branches (= branch 460 length) with differing initial log-tempo values. In our model, tempo can vary within a 461 branch, so the duration of branches is not necessarily exponentially distributed, in contrast 462 to standard BDP models. Instead, the probability that a branch terminates (either by 463 speciation or extinction) in a small interval of time Δt depends on its current log-tempo 464 and is given by $e^x(\lambda + \mu)\Delta t$. 465

As shown in Appendix 1, this implies that the probability density $f_x(t)$ that a branch originating with log-tempo x terminates at time t obeys a partial differential ⁴⁶⁸ equation of the form:

$$\frac{\partial f_x}{\partial t} = e^x \left(-(\lambda + \mu) f_x - \theta x \frac{\partial f_x}{\partial x} + \theta s^2 \frac{\partial^2 f_x}{\partial x^2} \right),\tag{9}$$

with initial condition $f_x(t=0) = -e^x(\lambda + \mu)$. Figure 5A shows the solution to this equation for three different values of $x \in \{-2, 0, 2\}$, illustrating the intuitive result that branches with lower initial tempos tend to have a greater duration – that is they exist for a longer time before either speciating or going extinct.

How does this effect of the initial tempo translate into the amount of molecular
change that occurs within a branch? This is an important question, because the
relationship between branch duration and molecular change is fundamental to the practice
of molecular dating and potentially more broadly to the inference of phylogenetic
relationships based on the molecular genetic data from modern taxa because of the
problems caused by long branch attraction (Kapli et al., 2021; Shafir et al., 2020).

If we assume that rates of molecular change co-vary with tempo alongside all other rates then the amount of molecular change Δw that occurs in some small unit of time Δt is given by:

$$\Delta w = e^x \Delta t \Rightarrow \frac{dw}{dt} = e^x \tag{10}$$

⁴⁸² Applying a change of variables to express Equation 9 in terms of the molecular change w⁴⁸³ gives an equation obeyed by the probability density of molecular change $f_x(w)$ in a branch ⁴⁸⁴ that starts with log-tempo x:

$$\frac{\partial f_x}{\partial w} = \left(-(\lambda + \mu)f_x - \theta x \frac{\partial f_x}{\partial x} + \theta s^2 \frac{\partial^2 f_x}{\partial x^2} \right),\tag{11}$$

with initial condition $f_x(w=0) = \lambda + \mu$. Noticing that the partial derivatives in this equation will remain zero for all values of w, this simplifies to a standard exponential distribution:

$$f_x(w) = (\lambda + \mu)e^{-(\lambda + \mu)w}.$$
(12)

That is, the amount of molecular change contained in a branch is *independent* of the value of the tempo. This is illustrated in Figure 5B.



FIGURE 5. The distribution of branch durations (A) and amounts of molecular change along branches (B) for branches starting with different log-tempos: $x_0 = -2$ (blue); $x_0 = 0$ (black); $x_0 = 2$ (red), assuming that molecular evolution is covariant with tempo. Branches that start with lower tempos are much longer on average in real time than those with high tempos. However, the expected amount of molecular change is independent of the starting tempo.

The key result then is that branches that start at higher tempos are typically 490 shorter, but contain just as much molecular change, as longer branches that originate from 491 lower tempos. This implies that a clade that starts with a high tempo is likely to be 492 characterised in its early stages by short-duration branches that nonetheless contain just as 493 much molecular change as later branches that are longer in duration. Since we have shown 494 above that early high tempos are expected especially in clades that are particularly large, 495 we can expect this pattern to be commonly observed. As a corollary, if we further assume 496 that morphological change also co-varies with tempo (c.f. Omland (1997); Lee et al. 497 (2013)) then the same pattern of rapid change along short early branches would be 498 observed morphologically by an analogous argument. 499

DISCUSSION

We have described the CET model of macroevolution that allows the rates of 501 speciation, extinction and molecular/morphological evolution to co-evolve through a 502 variable evolutionary tempo parameter. This model provides a resolution to several 503 outstanding difficulties in reconciling classical birth death models with empirical data. 504 Allowing for tempo variation produces much greater variation in clade sizes over a given 505 time horizon than under homogeneous models, consistent with the fact that modern 506 diversity is dominated by a relatively small number of very large clades across different 507 taxonomic levels. An underappreciated consequence of this distribution is that if we wish 508 to understand how modern patterns of diversity arose, it is important to study the 509 characteristic behaviour of such large clades, which, as we have shown here, differs 510 markedly from that of clades as a whole. In other words, large and arguably charismatic 511 clades such as arthropods, birds and angiosperms that are the subject of understandable 512 interest have quite different patterns of evolution than what an 'average' clade might be 513 inferred to have. 514

Our analysis predicts that these clades containing the bulk of modern diversity are likely to result from very high early evolutionary tempos, leading to short early branches (measured in real time). Because we conjecture that evolutionary tempo affects all rates in a covariant fashion, these short early branches are nonetheless expected to contain as much molecular and morphological change as later, longer branches, because the rates of molecular and morphological change are elevated in direct proportion to speciation and extinction.

This offers an explanation for the observation of, for example, such elevated rates coupled in short early branches found in molecular studies that take the fossil record as a reliable guide to the age of the clade (e.g. Lee et al. (2013)). In that example, early rates seem to be approximately 10 times higher than later ones, which would give a $\log(x)$ value of 2.3, in a clade that is at least 20 times larger than average. Our initial value of $\log(x)$ of

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c. 0.7 for a clade c. 8 times larger than average in Figure 4B seems to be broadly 527 compatible with this. We note that such studies tend to indicate a much older origin of the 528 clade when the firm calibration based on the fossil record is removed; this emerges because 529 of the use of a model that assumes a homogeneous birth death process as the underlying 530 description of diversification (Budd and Mann, 2024), and because of a questionable 531 assumption that the processes of diversification and molecular evolution are independent. 532 Modern molecular clock analyses typically employ a 'relaxed-clock' methodology that 533 permits substantial changes in the rate of molecular evolution across time and between 534 lineages, but these rates are decoupled from the rates of speciation, extinction and lineage 535 creation (e.g. Aris-Brosou and Yang (2003)). Such a rigorous decoupling between 536 evolutionary processes seems intuitively unrealistic, and indeed elevated rates of molecular 537 evolution have been posited as a *cause* of radiations (Lancaster, 2010), while in the fossil 538 record morphological change is (necessarily) the key signature of diversification. As such, 539 we argue that recognising the likely covariance between these rates is key to understanding 540 apparent discrepancies between molecular signatures of diversification and the fossil 541 record. Nevertheless, our model does not rely on any particular causal relationship between 542 molecular change and diversification, and indeed these variables may be linked by 543 underlying factors such as body size (Berv and Field, 2018).

A covariant process that extends to rates of molecular evolution will produce 545 similar amounts of molecular change on all branches of the tree, regardless of their 546 duration in time. This suggests that from a molecular standpoint there will be little or no 547 difference between an older tree whose branch rates exhibit no secular trend, and a 548 younger tree that experiences rapid early evolution and diversification followed by a 549 slowdown (or indeed an even older tree that experienced very slow early evolution, 550 although these will typically represent only a small proportion of modern diversity. As 551 such, molecular data from modern taxa are unlikely to be able to discern which of these 552 scenarios led to the molecular and species diversity we observe today. Precise and reliable 553

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fossil calibrations, in combination with molecular data can potentially reveal the typical 554 distribution of rates within the time scope of those calibrations. However, extrapolation of 555 younger rates into deeper time is problematic, as we have shown that these are likely to be 556 higher in the past, beyond the deepest precise calibrations (c.f. Budd and Mann (2020b)). 557 This imposes a currently-insurmountable barrier to the use of the molecular clock for 558 providing reliable clade age estimates, unless one can argue that rates of speciation and 559 extinction are substantially decoupled from the process of molecular change. As noted 560 earlier, making such an argument would preclude many putative explanations for observed 561 rapid radiations, as well as being counter-intuitive. Although we have analysed a model in 562 which there is a perfect correlation between all evolutionary rates, in practice we expect 563 that any significant coupling will severely hamper the use of current clock methodologies. 564 We suggest therefore that the use of molecular clocks for making extrapolative deep-time 565 age estimates is fundamentally unreliable (interpolations within a tree, between nodes of 566 known age are likely to be more constrained, but here we expect that molecular data will 567 add little to dates derived directly from fossils (e.g. Brown and Smith (2018)). 568

As well as revealing the broad outlines of the dynamics of a varying tempo model of evolution, our analysis of this model also provides several empirical predictions:

 Analysis of clades which are known to originate at similar times will show that the large majority of modern diversity is contained in a small subset of these clades.
 Most concretely, we anticipate that in pairs of sister groups, one group is likely to greatly dominate the diversity of the total (cf. Aldous (2001)).

The smaller sister group in a clade will be that which also experiences lower
aggregate molecular and morphological change over its history. As such, the species
in this group will tend to retain more plesiomorphic features relative to those in the
larger sister group. Potential examples of such a phenomenon include the
onychophorans relative to arthropods, cyclostomes relative to gnathostomes (Yu
et al., 2024), or priapulids relative to other ecdysozoans (e.g. Webster et al. (2006)).

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This prediction gives some succour to the popular notion of 'living fossil' that are slow-evolving, have few species, and which to some extent resemble ancestral taxa (c.f. Crisp and Cook (2005) for the traditional view that 'basal', species-poor groups should not be regarded as ancestral or 'primitive'; and Jenner (2022) for a more general discussion of the issue).

3. The direct ancestors of most modern species will show elevated rates of evolution 586 (diversification, molecular and morphological) throughout their history. Those 587 lineages that gave rise to a majority of modern species will therefore show consistent 588 rates of molecular evolution until close to the present, when they fall. However, if one 589 analyses all historical taxa in a large clade (which is where most modern taxa reside) 590 we expect to see very high rates of molecular change concentrated at the origin of the 591 clade, declining consistently to the present. Nevertheless, both of these expected 592 patterns take place within a wider context in which rates of evolution remain 593 consistent overall – that is, measured over all species in all clades at a given time. 594

If we further assume that rates of evolution are associated with body size and
 generation time (e.g. high rates being linked to small bodies and short generation
 times), we expect that a randomly chosen modern species will have experienced an
 increase in body size and generation time in the recent past, having probably
 originated from ancestors with smaller body size and shorter generation time (c.f.
 Berv and Field (2018)).

Each of these predictions already enjoys some degree of empirical support in the existing literature, as indicated above. However, further research is needed to test each systematically to the extent that these predictions could be judged to be successful or falsified.

In conclusion, our analysis suggests that a strong correlation between rates of molecular evolution and diversification would explain several empirical features of the natural world, unify two key areas of statistical modelling within a common framework,

and point towards necessary developments in phylogenetic inference and molecular dating
 in which this link is made explicit, such as an extension of the CET model to permit direct
 inference of actual historical rates from molecular data.

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Online Supplementary Material

The R code for generating the figures is available from the Dryad Repository at https://doi.org/10.5061/dryad.q573n5ts2

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Conflict of Interest

615 None declared.

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Appendix 1

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Generating functions

⁶²⁷ We will make extensive use of probability generating functions. A quick review of ⁶²⁸ their important properties follows. A probability generating function, G(z) for the random $_{629}$ variable X is defined as:

$$G(z) = \sum_{k} P(X=k)z^{k}$$
(13)

⁶³⁰ The probability generating function has several important properties that will be ⁶³¹ useful in the subsequent exposition. In particular:

⁶³² 1. Normalisation:
$$G(z = 1) = \sum_{k} P(X = k) = 1$$
 (in cases where $P(X = k)$ represents a
⁶³³ full probability distribution)

⁶³⁴ 2. Extinction probability:
$$G(z=0) = P(X=0)$$

3. Expectation:
$$M(X) = \sum_k k P(X = k) = \frac{\partial G}{\partial z}|_{z=1}$$

4. Sum of random variables: If W = X + Y, then $G_W(z) = G_X(z)G_Y(z)$

5. Retrieval of probabilities: $P(X = k) = \frac{1}{k!} \frac{d^k G(z)}{dz^k} \Big|_{z=0}$

In respect of point (5) above, the values of P(X = k) can be retrieved efficiently by Fourier inversion:

$$P(X = k) = \frac{1}{k!} \frac{d^k G(z)}{dz^k} \Big|_{z=0}$$

$$= \frac{1}{2} \int_{-\pi}^{\pi} G(\exp(i\theta)) \exp(-ik\theta) d\theta$$
(14)

⁶⁴⁰ Where the integral expression makes use of the Cauchy integral formula. This expression
 ⁶⁴¹ can be efficiently solved numerically using Fast Fourier Transform methods (Gleeson et al.,
 ⁶⁴² 2014)

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Derivation of equation specifying evolution of the generating function

Define $G_x(t, z) = \sum_n P_n(t, x) z^n$ as the generating function for the number of species alive at time t from a process that starts at log-tempo x at time t = 0. We indicate the x dependence by means of a subscript for reasons of notational clarity in later analysis. Assume that we know the generating function for all x at some time t. How will the

generating function change over a small increment of time Δt ? Since the process is

fundamentally homogeneous in time (i.e., there are no 'special' times'), we can construct 649 this by considering a process that starts incrementally earlier than the known generating 650 function. Within this small interval of time the process will change log-tempo 651 incrementally according to an OU process, and furthermore may either speciate (producing 652 two new independent processes with identical starting tempos) or go extinct. Given a 653 current tempo x, the probability of speciation is $e^x \Delta t \lambda$, and that of extinction is $e^x \Delta t \mu$. 654 Based on these possible events, the new generating function is given by a mixture of 655 generating functions at time t: 656

$$G_{x}(t + \Delta t, z) = G_{x}(t, z) + e^{x} \Delta t \int_{-\infty}^{\infty} \left(\lambda G_{x'}(t, z)^{2} + \mu - (\lambda + \mu) G_{x'}(t, z) \right) p(x' \mid x) dx'.$$
(15)

Here p(x' | x) specifies the probability for the tempo to transition from x to x' over the time interval Δt . We take x to evolve via an OU process, with autocorrelation parameter θ and a stationary variance s^2 , experiencing an effective time $e^x \Delta t$ within real time Δt . Given this specification we have:

$$x' \mid x \sim \mathcal{N}(x - \theta x e^x \Delta t, 2s^2 \theta e^x \Delta t)$$
(16)

which yields: $\mathbb{E}[x'-x] = -\theta x e^x \Delta t$ and $\mathbb{E}[(x'-x)^2] = 2\theta s^2 e^x \Delta_t$ up to first order terms in Δt .

Taking a 2nd-order Taylor expansion of $G_{x'}(t, z)$ around x and retaining first-order terms in Δt gives:

$$G_{x'} - G_x \simeq e^x \Delta t \left((\lambda G_x - \mu) (G_x - 1) \right) + \mathbb{E}[x' - x] \frac{\partial G_x}{\partial x} + \frac{1}{2} \mathbb{E}[(x' - x)^2] \frac{\partial^2 G_x}{\partial x^2}.$$
(17)

⁶⁶⁵ Where we have dropped the explicit dependence of G_x on arguments t and z for ⁶⁶⁶ concision. Substituting the above expressions for $\mathbb{E}[x'-x]$ and $\mathbb{E}[(x'-x)^2]$ and taking the ⁶⁶⁷ limit as $\Delta t \to 0$ gives the fundamental PDE of diversity evolution as given in equation 2.

$$\frac{\partial G_x}{\partial t} = e^x \left((\lambda G_x - \mu)(G_x - 1) - \theta x \frac{\partial G_x}{\partial x} + \theta s^2 \frac{\partial^2 G_x}{\partial x^2} \right)$$
(18)

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Initial and boundary conditions

The most obvious question one can ask of this equation is: what is the probability 669 that a process starting at log-tempo x will generate n species over time t? To answer this 670 question we must solve equation 2 for different values of z, and use the Fourier inversion 671 formula to retrieve the probability distribution $P_n(x,t)$. Solving equation 2 requires both 672 initial and boundary conditions. For the question posed above the appropriate initial 673 condition is given by $G(t = 0, x, z) = z \forall x$, since a process that does not evolve for any time 674 must have one species. Choosing appropriate boundary conditions is more difficult; since 675 we must solve equation 2 numerically we take 'no flow' boundary conditions $\left(\frac{\partial G}{\partial x}=0\right)$ at 676 some finite bounds x_{\min} and x_{\max} (we will usually use -10 < x < 10). 677

We can also ask how many species of log-tempo y will be produced at time t by a process that starts with log-tempo x at time t = 0. Define the generating function of this distribution by $G_x^y(t, x, z)$. Some consideration will show that the time evolution of G_x^y obeys the same PDE as that of G_x , but with a different initial condition. Since a process that starts with log-tempo x cannot instantaneously evolve to one of $y \neq x$, we use the initial condition: $G_x^y(t = 0, x, z) = \delta(x - y)z$, where $\delta(\cdot)$ is the Dirac delta function.

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Evolution of the mean diversity

The mean of a distribution is straightforwardly recovered from its generating function via the relationship $\mathbb{E}(n) = \sum_{n} nP_n = \frac{\partial G}{\partial z}|_{z=1}$. Applying this to the equation derived above for the evolution of the generating function gives the evolution of the mean diversity for a process that starts with log-tempo x. Defining $N_x(t) \equiv \mathbb{E}(n \mid x, t)$ as the expected value of n at time t for a process starting with log-tempo x:

$$\frac{\partial N_x}{\partial t} = \frac{\partial^2 G_x}{\partial t \partial z} \Big|_{z=1}$$

$$= e^x \left(2\lambda G_x |_{z=1} N_x - (\lambda + \mu) N_x - \theta x \frac{\partial N_x}{\partial x} + s^2 \theta \frac{\partial^2 N_x}{\partial x^2} \right)$$
(19)

Since $G|_{z=1} = 1 \forall x, t$ by definition, we can simplify this to the expression given in equation 690 4: 691

$$\frac{\partial N_x}{\partial t} = e^x \left(r N_x - \theta x \frac{\partial N_x}{\partial x} + s^2 \theta \frac{\partial^2 N_x}{\partial x^2} \right)$$
(20)

where $r = \lambda - \mu$. 692

By using initial conditions $N_x(t=0) = 1 \forall x$, solving this equation gives the mean 693 number of species generated by a process starting at time t = 0 and log-tempo x. As with 694 the discussion of initial conditions above, we can also apply the same equation with 695 different initial conditions to consider how many species with specific log-tempo y are 696 generated by a process that starts at log-tempo x. Denoting the expected number of such 697 species of this type as $N_x^y(t)$, in this case we use the initial condition $N_x^y(t=0) = \delta(x-y)$, 698 analogously to the case of solving for the generating function. By definition, the expected 699 number of species in total will be the sum over all final log-tempos: $N_x(t) = \int_{-\infty}^{\infty} N_x^y(t) dy$. 700 Furthermore, we can ask what the expected number of species with log-tempo y is at time 701 t if the starting log-tempo is unknown but specified by a probability distribution p(x). In 702 this case we have: 703

$$N^y = \int_{-\infty}^{\infty} N_x^y p(x) dx \tag{21}$$

and the expected total number of species (considering all possible starting and current 704 log-tempos) can be denoted simply as N(t) and is given by: 705

$$N = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} N_x^y p(x) dx dy$$
(22)

Conditioning on survival

706

Equation 4 describes the evolution of the mean number of species through time, 707 including all cases where the process goes extinct before the current time. If we want to 708 ask how many species will be alive at time t, assuming that the process hasn't gone 709 extinct, we can do so straightforwardly by excluding the extinct cases: 710

$$\mathbb{E}(n_t \mid n_t > 0) = \frac{\mathbb{E}(n_t)}{P(n_t > 0)} = \frac{N_x(t)}{S_x(t)}$$
(23)

where $S_x(t) = 1 - G_x(t, z = 0)$ is the survival probability for a process starting at log-tempo x, determined from solving equation 2 for z = 0. However, we may also want to know the expected number of species at some time t, conditioned on knowing that the process will survive to some future time T. In this case the conditioning is more complex. We make use of the identity:

$$P(n_t) = P(n_t, n_T > 0) + P(n_t, n_T = 0),$$
(24)

⁷¹⁶ which leads to:

$$\sum_{n_t} n_t P(n_t \mid n_T = 0) = \frac{\sum_{n_t} n_t P(n_t) - \sum_{n'_t} n_t P(n_t, n_T = 0)}{P(n_T > 0)}$$

$$\Rightarrow \mathbb{E}(n_t \mid n_T > 0) = \frac{N_x(t) - C_x(t)}{S_x(T)},$$
(25)

where $C_x(t)$ is a correction term depending on x and t that we need to determine. Define a new generating function $H_x(t, z) = \sum_{n_t} P(n_t, n_T = 0) z^{n_t}$. Differentiating H_x with respect to z and evaluating at z = 1 gives the required correction term in the equation above. As with the generating function G, the evolution of H is governed by equation 2:

$$\frac{\partial H_x}{\partial t} = e^x \left((\lambda H_x - \mu)(H_x - 1) - \theta x \frac{\partial H_x}{\partial x} + \theta s^2 \frac{\partial^2 H_x}{\partial x^2} \right)$$
(26)

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Differentiating with respect to z gives:

$$\frac{\partial C_x}{\partial t} = e^x \left((\lambda (2H_x|_{z=1} - 1) - \mu) C_x - x\theta \frac{\partial C_x}{\partial x} + s^2 \theta \frac{\partial^2 C_x}{\partial x^2} \right).$$
(27)

Unlike in the case for G_x , $H_x|_{z=1}$ varies as a function of x and t, and so solution of this equation for C_x requires simultaneously solving this PDE and 2 with initial conditions: $H_x(t, z) = zG_x(T - t, z = 0)$ and $C_x(t) = G_x(T - t, z = 0)$.

725

Lineages

Lineages are species in the past that have descendants in the present. Since molecular studies are based on extant species, any phylogeny reconstructed from these must consist of lineages. The evolution of lineages has thus been dubbed the 'reconstructed

process' (Nee et al., 1994b), since these constitute the phylogeny that can, in principle, be
reconstructed from molecular or morphological analysis of modern taxa.

We are interested in the number of species alive at time t which will have 731 descendants at some later time T. Recall $N_x^y(t)$ is the expected number of species of 732 log-tempo y at time t in a process that starts at log-tempo x. The expected number of 733 these that will have descendants at time T is $S_y(T-t)$ (the survival probability over time 734 T-t for a new process starting with log-tempo y). Thus the expected number of lineages 735 of log-tempo y at time t is $S_y(T-t)N_x^y(t)$. Summing over values of y gives the total 736 expected number of lineages, $M_x(t)$ at time t for a process starting with log-tempo x, 737 viewed from the perspective of time T (we leave this dependence on the time of 738 observation implicit in the notation, but note that lineages are only defined from the 739 perspective of a specific point in time): 740

$$M_x(t) = \int_{-\infty}^{\infty} S_y(T-t) N_x^y(t,x) dy$$
(28)

This expectation includes the cases where the number of lineages is zero, i.e where there are no species at time T. If we wish to condition on the process surviving to the present we must remove these cases by dividing by $P(n_T > 0) = S_x(T)$

$$M_x(t) \mid [N(T,x) > 0] = \frac{\int_{-\infty}^{\infty} S_y(T-t) N^y(t,x) dy}{S_x(T)}$$
(29)

Evolution of tempo distribution

Assuming that we start a process with log-tempo x, over time species generated by that process will diverge in tempos. How does this distribution of tempos evolve?

⁷⁴⁷ Consider starting a process with log-tempo x, and then selecting a species at ⁷⁴⁸ random at some time t. The probability that this species has log-tempo y is given by:

$$p(y \mid x, t) = \frac{N_x^y(t)}{\int_{-\infty}^{\infty} N_x^{y'}(t) dy'}$$
(30)

If the starting log-tempo is unknown, but drawn from a distribution p(x), then we can

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marginalise the above equation with respect to x to find the later distribution $p(y \mid t)$:

$$p(y \mid t) = \frac{\int_{-\infty}^{\infty} N_x^y(t, x) p(x) dx}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} N_x^{y'}(t) p(x) dx dy'}$$
(31)

Taking the derivative with respect to time gives:

$$\frac{\partial p(y \mid t)}{\partial t} = p(y \mid t) \left(f(y) - \int_{-\infty}^{\infty} p(y' \mid t) f(y') dy' \right)$$
(32)

where $f(y) = \frac{1}{N^y} \frac{\partial N^y}{\partial t}$. That is, the distribution of log-tempos evolves according to a replicator equation, where the 'fitness' of a log-tempo y is given by the proportional increase in $N^y = \int_{-\infty}^{\infty} N_x^y p(x) dx$.

If we assume that at some point in time the distribution of log-tempos is given by p(x), we can consider the instantaneous evolution of N^y from this time. Defining the current time to be t = 0, we have the initial condition:

$$N_x^y(t=0) = \delta(x-y) \tag{33}$$

⁷⁵⁸ From equation 4, this implies that:

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$$\frac{\partial N_x^y}{\partial t}|_{t=0} = e^x \left(r\delta(x-y) - x\theta \frac{\partial \delta(x-y)}{\partial x} + s^2\theta \frac{\partial^2 \delta(x-y)}{\partial x^2} \right)$$
(34)

Applying standard rules for the operation of derivatives of the Dirac delta function, we can marginalise the above equation with respect to the initial distribution p(x) to give:

$$\frac{\partial N^{y}}{\partial t}|_{t=0} = \int_{-\infty}^{\infty} \frac{\partial N_{x}^{y}}{\partial t} p(x) dx$$

= $r e^{y} p(y) + \theta \frac{\partial e^{y} y p(y)}{\partial y} + s^{2} \theta \frac{\partial^{2} e^{y} p(y)}{\partial y^{2}}$ (35)

⁷⁶¹ Substituting this into equation 32, and noting that again that $N^y = \int_{-\infty}^{\infty} N_x^y p(x) dx$, we get:

$$\frac{\partial p(y)}{\partial t} = rp(y)\left(e^y - \langle e^y \rangle\right) + \theta \frac{\partial e^y y p(y)}{\partial y} + s^2 \theta \frac{\partial^2 e^y p(y)}{\partial y^2} \tag{36}$$

Where $\langle e^y \rangle = -\int_{-\infty}^{\infty} e^{y'} p(y') dy'$ is the mean value of e^y .

This then provides a replicator-mutation equation for the evolution of the tempo distribution, with the 'fitness' of log-tempo y being re^y . In particular, it specifies that the ⁷⁶⁵ stable long term distribution of log-tempos is given by the solution to:

$$rp(y)\left(e^{y} - \langle e^{y} \rangle\right) + \theta \frac{\partial e^{y} y p(y)}{\partial y} + s^{2} \theta \frac{\partial^{2} e^{y} p(y)}{\partial y^{2}} = 0$$
(37)

Notably, we can see that if r = 0, we recover the standard Fokker-Planck representation for the stationary OU process in the transformed distribution $e^y p(y)$:

$$\frac{\partial e^y y p(y)}{\partial y} + s^2 \frac{\partial^2 e^y p(y)}{\partial y^2} = 0$$
(38)

with the stationary solution $p(y) = \frac{\exp(-s/2)}{\sqrt{2\pi}s^2} e^{-y} \exp\left(\frac{-y^2}{2s^2}\right)$, implying a mean log-tempo of $\langle y \rangle = -1$.

From the equilibrium equation we can also find another useful relationship on the mean value. Multiplying equation 37 by y and integrating gives:

$$\int_{-\infty}^{\infty} y \left[rp(y) \left(e^y - \langle e^y \rangle \right) + \theta \frac{\partial e^y y p(y)}{\partial y} + s^2 \theta \frac{\partial^2 e^y p(y)}{\partial y^2} \right] dy = 0$$
(39)

⁷⁷² Integrating the partial differential terms by parts yields:

$$(r-\theta)\langle ye^y\rangle - \langle y\rangle\langle e^y\rangle = 0 \tag{40}$$

⁷⁷³ from which we can see that if $r = \theta$ then $\langle y \rangle = 0$, i.e. the mean log-tempo will converge to ⁷⁷⁴ zero when the diversification parameter is equal to the mean-reversion parameter.

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Branch duration and expected molecular change

⁷⁷⁶ Considering a branch that begins with log-tempo x, what is the expected time until ⁷⁷⁷ that branch terminates, either by speciation or extinction? For a branch to endure for time ⁷⁷⁸ $t + \Delta t$ it must first fail to terminate in time Δt , and then survive for a further time t with ⁷⁷⁹ some new log-tempo x'. Integrating over the possible values of x' we have:

$$1 - F_x(t + \Delta t) = (1 - e^x(\lambda + \mu)\Delta t) \int_{-\infty}^{\infty} (1 - F_{x'}(t))p(x'|x)dx',$$
(41)

where $F_x(t)$ is the cumulative probability that the branch originating with log-tempo x has terminated by time t.

Taking a second-order Taylor expansion of $F_{x'}(t)$ around x' = x and retaining first order terms in Δt we have:

$$\frac{\partial F_x}{\partial t} = e^x \left((\lambda + \mu)(1 - F_x) - \theta x \frac{\partial F_x}{\partial x} + \theta s^2 \frac{\partial^2 F_x}{\partial x^2} \right)$$
(42)

The probability density for the branch to terminate at time t is given by differentiation of the cumulative distribution: $f_x(t) = \frac{\partial F_x}{\partial t}$. Applying this transformation to the equation above yields:

$$\frac{\partial f_x}{\partial t} = e^x \left(-(\lambda + \mu) f_x - \theta x \frac{\partial f_x}{\partial x} + \theta s^2 \frac{\partial^2 f_x}{\partial x^2} \right)$$
(43)

The probability density for a branch to terminate at time t thus follows the same form of differential equation as that for the mean number of species (equation 4), but with $-(\lambda + \mu)$ taking the place of r. Solving this equation requires the initial condition $F_x(t=0) = 0 \ \forall x$, which implies $f_x(t=0) = e^x(\lambda + \mu)$.

Assuming that molecular rates of change are covariant to tempo (e^x) , for every increment of time Δt the expected amount of molecular change Δw (in arbitrary units that we label as myrs-equivalent; 1 myrs-equivalent being the expected molecular change in 1 myrs at a fixed tempo of $\tau = 1$) is $\Delta w = e^x \Delta t$. We can transform the above equation for $F_x(t)$ (which is given in terms of real time t) into one that applies over w via a change of variables, to give the cumulative probability $F_x(w)$ that a branch terminates before accumulating w units of molecular change.

$$\frac{\partial F_x}{\partial w} = \left((\lambda + \mu)(1 - F_x) - \theta x \frac{\partial F_x}{\partial x} + \theta s^2 \frac{\partial^2 F_x}{\partial x^2} \right),\tag{44}$$

and as above we obtain the probability density to terminate at w, $f_x(w)$, by differentiation: $f_{x}(w) = \frac{\partial F}{\partial w}$:

$$\frac{\partial f_x}{\partial w} = \left(-(\lambda + \mu)f_x - \theta x \frac{\partial f_x}{\partial x} + \theta s^2 \frac{\partial^2 f_x}{\partial x^2} \right)$$
(45)

Here we have the initial condition $F_x(w=0) = 0 \ \forall x$, which implies $f_x(w=0) = \lambda + \mu$. Consideration of this equation will show that the partial derivatives in x are initially zero and will remain zero for all values of w. Thus we can simplify the equation to:

$$\frac{\partial f_x}{\partial w} = -(\lambda + \mu)f_x. \tag{46}$$

The solution to this equation is straightforward and shows that w follows an exponential distribution with rate $\lambda + \mu$:

$$f_x(w) = (\lambda + \mu) \exp(-(\lambda + \mu)w) \tag{47}$$

The notable feature of this density is that it does not depend on the starting log-tempo x, implying that the amount of molecular change in a branch is independent of tempo.

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Schematic for genetic encoding of tempo

Here we describe a simple model for how a genetic encoding of tempo can lead to the modified OU process we take as the basis for tempo evolution. Consider a binary string of n bases represented as '1' or '0', and define ρ as the proportion of bases that are 'active' – that is, encoded as '1'. We assume that these bases mutate independently and neutrally, and with a rate that is covariant to the tempo e^x , such that the probability for each base to mutate in a small interval of time Δt is $qe^x \Delta t$.

If the number of active bases at time t is given by $n\rho_t$, then in the interval of time 814 Δt the number of bases that mutate from '1' to '0' is binomially distributed as 815 $B(qe^x\Delta t, n\rho_t)$, and similarly the number mutating from '0' to '1' is binomially distributed 816 as $B(qe^x \Delta t, n(1-\rho_t))$. If we take n to be large and Δt to be small these binomial 817 distributions can be approximated by normal distributions, such that the number of 818 mutations from '1' to '0' is normally distributed with mean $qe^x \Delta tn\rho_t$ and variance 819 $qe^x\Delta t(1-qe^x\Delta t)n\rho_t$, and the number of mutations from '0' to '1' is normally distributed 820 with mean $qe^x \Delta tn(1-\rho_t)$ and variance $qe^x \Delta t(1-qe^x \Delta t)n(1-\rho_t)$. 821

The change in the number of active bases is given by the number mutating from '0' to '1', minus the number mutating from '1' to '0'. Given the results above, this change is also normally distributed. Taking the limit as Δt becomes infinitesimal (denoted dt) and retaining only terms first order in dt we have:

$$d\rho \sim \mathcal{N}(-e^x q(2\rho - 1)dt, qe^x dt/n) \tag{48}$$

⁸²⁶ This is equivalent to the following form of stochastic differential equation:

$$d\rho = -e^x q(2\rho - 1)dt + \sqrt{e^x(q/n)}dW \tag{49}$$

where dW is an increment from a standard Wiener process, with mean zero and variance dt.

To specify a genetic encoding of the log-tempo, let us now define $x = \alpha(2\rho - 1)$, where α is some arbitrary constant of proportionality, such that when half of bases are active this defines x = 0. We can then rewrite the above equation as:

$$dx = -2e^x qx dt + \sqrt{4\alpha^2 e^x (q/n)} dW \tag{50}$$

⁸³² Defining new variables $\theta = 2q$ and $s^2 = \alpha^2/n$, we have:

$$dx = -e^x \theta x dt + \sqrt{e^x 2\theta s^2} dW \tag{51}$$

which is precisely the modified OU process specified in equation 1. By taking α to be sufficiently large we can extend the boundaries of minimum and maximum values of xsuch that arbitrarily high or low values of x are possible within this model. We have assumed that n is large, and this assumption means that boundary effects around $\rho = 1$ and $\rho = 0$ can be safely ignored as these states are highly unlikely to occur under a random mutation process.

This then provides a schematic representation of how tempo could be genetically 839 encoded in a manner that naturally leads to the modified OU process description that we 840 employ in this paper. The purpose of this schematic is not to argue that this represents the 841 actual genetic encoding of tempo in any specific details, but instead to illustrate how such 842 an encoding would naturally give rise to the mean-reversion properties of the OU process, 843 via the action of entropic forces. That is, the log-tempo tends to revert to the mean not 844 due to any ecological mechanism, but simply because there are more possible encodings 845 with $x \simeq 0$ than those that encode more extreme values of x. One way in which tempo 846 might influence rates in the way required by the CET model would be if it was encoded by 847

a multilocus set of genes that influence body size, as body size appears to be associated
with a syndrome of other features such as generation time and mutation rate (Martin,
2017). This encoding would satisfy the requirements of the CET model, although we would
stress again that we have no formal commitment to it.

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