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Measurement of the associated production of a top-antitop-quark pair and a Higgs boson decaying into a $b\bar{b}$ pair in pp collisions at $\sqrt{s} = 13$ TeV using the ATLAS detector at the LHC

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Abstract This paper reports the measurement of Higgs boson production in association with a $t\bar{t}$ pair in the $H \rightarrow b\bar{b}$ decay channel. The analysis uses 140 fb^{-1} of 13 TeV proton-proton collision data collected with the ATLAS detector at the Large Hadron Collider. The final states with one or two electrons or muons are employed. An excess of events over the expected background is found with an observed (expected) significance of 4.6 (5.4) standard deviations. The $t\bar{t}H$ cross-section is $\sigma_{t\bar{t}H} = 411^{+101}_{-92} \text{ fb} = 411 \pm 54(\text{stat.})^{+85}_{-75}(\text{syst.}) \text{ fb}$ for a Higgs boson mass of 125.09 GeV, consistent with the prediction of the Standard Model of $507^{+35}_{-50} \text{ fb}$. The cross-section is also measured differentially in bins of the Higgs boson transverse momentum within the simplified template cross-section framework.

Contents

| | | |
|-----|--|-------|
| 1 | Introduction | |
| 2 | ATLAS detector | |
| 3 | Data and Monte Carlo simulation samples | |
| 4 | Objects and event selection | |
| 5 | Background modelling | |
| 5.1 | Modelling of $t\bar{t} + \text{jets}$ background | |
| 5.2 | Non-prompt or mis-identified lepton background | |
| 6 | Signal extraction | |
| 7 | Systematic uncertainties | |
| 8 | Results | |
| 9 | Conclusion | |
| | References | |

1 Introduction

After the discovery of the Higgs boson [1–3] in 2012 by the ATLAS [4] and CMS [5] collaborations, attention has

turned to detailed measurements of its properties and couplings as a means of testing the predictions of the Standard Model (SM) [6–8]. The Higgs boson coupling to the top quark, the heaviest particle in the SM, is of special interest as it could be very sensitive to effects of physics beyond the SM (BSM) [9]. It is indirectly constrained assuming no BSM contributions to loop-induced processes from measurements of gluon-gluon fusion Higgs boson production and decay into $\gamma\gamma$ [10,11]. The Higgs boson production in association with a pair of top quarks ($t\bar{t}H$), where the top quark couples to the Higgs boson at tree level, provides a possibility for a direct measurement of the top-quark's Yukawa coupling without assumptions about the potential presence of BSM physics [12–15]. It was observed by the ATLAS and CMS collaborations using several Higgs boson decay modes [16,17].

The decay into two b -quarks is predicted to have the largest branching fraction of about 58% [18] and has the advantage of allowing for the reconstruction of the Higgs boson four-momentum from the kinematics of its decay products. Furthermore, the $t\bar{t}H(b\bar{b})$ channel involves only fermionic Higgs boson couplings in the production and decay, leading to an enhanced sensitivity for probing them. However, this final state is affected by a large irreducible background arising from the $t\bar{t}$ production in associations with jets ($t\bar{t} + \text{jets}$), in particular when the jets originate from b - or c -quarks, which is challenging to predict theoretically.

The ATLAS Collaboration has measured the $t\bar{t}H(b\bar{b})$ production at $\sqrt{s} = 13$ TeV in the final state with at least one lepton using the full Run 2 dataset collected in proton-proton (pp) collisions at the Large Hadron Collider (LHC) [19] between 2015 and 2018, corresponding to an integrated luminosity of 139 fb^{-1} [20]. The measured signal strength, defined as the ratio of the measured cross-section to that predicted by the SM, was found to be $0.35^{+0.36}_{-0.34}$, and corresponds to an observed (expected) significance of 1.0 (2.7) standard deviations. The signal strength was also measured differen-

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tially in five intervals of the Higgs boson transverse momentum (p_T^H) in the simplified template cross-section framework (STXS) [18], probing potential p_T^H -dependent deviations from the SM expectation.

The CMS Collaboration also recently released a measurement of the $t\bar{t}H(b\bar{b})$ production using 138 fb^{-1} of data collected at $\sqrt{s} = 13 \text{ TeV}$ in 2016–2018 [21]. The analysis achieved an observed (expected) significance of 1.3 (4.1) standard deviation and measured a signal strength of 0.33 ± 0.26 . Additionally, the $t\bar{t}H$ production rate was determined in intervals of p_T^H .

This paper presents a re-analysis of the full Run 2 dataset collected at $\sqrt{s} = 13 \text{ TeV}$ with the ATLAS detector in final states with one or two leptons, referred to in the following as single-lepton and dilepton channels, and supersedes the result of Ref. [20]. Compared with the previous result, this analysis has an increased acceptance by selecting events with less restrictive requirements on the number of jets identified as originating from b -hadrons (b -jets), resulting in an increased efficiency to select $t\bar{t}H$ signal. It utilises a revised treatment of the different flavour components of the $t\bar{t} + \text{jets}$ background, and in particular, of the $t\bar{t}$ production in association with b -jets, the main background and the dominant source of the systematic uncertainty in the previous $t\bar{t}H(b\bar{b})$ measurement. For the modelling of this background, a new sample of Monte Carlo (MC) simulated events with improved settings was produced, and a corresponding set of systematic uncertainties was developed [22,23]. This measurement also uses improved analysis techniques: an advanced b -jet identification and an improved event categorisation. In particular, unlike the previous result, where the event categorisation into the background-dominated and signal-rich categories was made based on the number of jets and the number of b -jets, this analysis uses a multiclass neural network to define regions enriched in different components of the main background, the $t\bar{t} + \text{jets}$ production processes, and the signal. A more powerful multivariate discriminant is also employed to separate the signal from background and to reconstruct p_T^H . These improvements lead to better overall sensitivity and allow for a measurement of the $t\bar{t}H(b\bar{b})$ production cross-section in six bins of p_T^H , 0 – 60 GeV , 60 – 120 GeV , 120 – 200 GeV , 200 – 300 GeV , 300 – 450 GeV , and $\geq 450 \text{ GeV}$, as obtained from the MC event record before the Higgs boson decays, in the STXS formalism.

2 ATLAS detector

The ATLAS experiment [24] at the LHC is a multipurpose particle detector with a forward–backward symmetric cylindrical geometry and a near 4π coverage in solid angle.¹ It

¹ ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z

axis along the beam pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upwards. Cylindrical coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln(\tan(\theta/2))$. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$.

3 Data and Monte Carlo simulation samples

This analysis was performed using the pp collision data collected by the ATLAS detector between 2015 and 2018 at $\sqrt{s} = 13 \text{ TeV}$. After the application of data-quality requirements [28], the dataset corresponds to an integrated luminosity of 140 fb^{-1} . Samples of simulated events were produced to model the different signal and background processes. Additional samples were produced to estimate the modelling uncertainties for each process. The effects of the additional pp collisions in the same or a nearby bunch crossing (pile-up) were modelled by overlaying minimum bias events generated with PYTHIA8 [29] using the A3 set of tunable parameters [30] onto the simulated hard-scatter

Footnote 1 continued

-axis along the beam pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upwards. Cylindrical coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln(\tan(\theta/2))$. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$.

event. The MC events were weighted to reproduce the distribution of the average number of interactions per bunch crossing observed in the data. The MC samples were processed using the full ATLAS detector simulation [31] based on GEANT4 [32]. Some alternative samples used to evaluate the modelling uncertainties were produced using fast simulation, where the full GEANT4 simulation of the calorimeter response is replaced by a detailed parameterisation of the shower shapes. For the observables used in this analysis, both simulations were found to give similar modelling. All MC samples were reconstructed using the same software as for collider data. Corrections were applied to the simulated events so that the selection efficiencies, energy scales and energy resolutions of the physics objects closely match those determined from data control samples.

All samples generated with POWHEGBOX [33–36] and MADGRAPH5_AMC@NLO [37] were interfaced to PYTHIA8 [38] to simulate the parton shower (PS), fragmentation, and underlying event with the A14 tune [39] and the NNPDF2.3LO [40] parton distribution function (PDF) set. Some alternative samples use the HERWIG7 [41,42] PS model with the H7UE set of tuned parameters [42] and the MMHT2014LO PDF set [43]. Samples using PYTHIA8 and HERWIG7 have heavy-flavour hadron decays modelled by EvtGen [44]. The masses of the top quark, m_{top} , and of the Higgs boson, m_H , are set to 172.5 GeV and 125 GeV, respectively.

The nominal $t\bar{t}H$ signal sample was generated at next-to-leading order (NLO) in the five flavour scheme (5FS) using the POWHEGBOX [45] generator with the NNPDF3.0NLO [40] PDF set. The h_{damp} parameter² was set to $3/4 \cdot (m_t + m_{\bar{t}} + m_H) = 352.5$ GeV, and the functional form of the renormalisation and factorisation scales was set to $\sqrt[3]{m_T(t) \cdot m_T(\bar{t}) \cdot m_T(H)}$, where $m_T = \sqrt{m^2 + p_T^2}$ is the transverse mass of a generated particle, m is its mass, and p_T is its transverse momentum. It is normalised to the theoretical prediction of Ref. [18] computed at NLO in QCD.³

An alternative $t\bar{t}H$ sample generated with the same POWHEGBOX set-up, but interfaced to HERWIG7 is used to evaluate uncertainties due to the choice of parton shower, hadronisation and underlying event model. The uncertainty related to the matching between the matrix element (ME) generator and the PS is accessed by changing the definition of the hardness of the POWHEG emission calculated by PYTHIA8 via the parameter p_T^{hard} from the value provided by POWHEG to the p_T of the POWHEG emission following Refs. [47,48].

² The h_{damp} parameter controls the transverse momentum p_T of the first additional emission beyond the leading-order Feynman diagram in the PS and therefore regulates the high- p_T emission against which the $t\bar{t}$ system recoils.

³ A new theoretical computation of the $t\bar{t}H$ production at next-to-next-to-leading order in QCD was published recently in Ref. [46].

Several MC samples with different accuracy in ME generator and with different PS models are used in this analysis to model the main $t\bar{t} + \text{jets}$ background. This background is categorised according to the flavour of the additional jets in the event, excluding jets from top-quark or W boson decays, using the same procedure as described in Ref. [49]. Generator-level particle jets are reconstructed from stable particles (mean lifetime $\tau > 3 \times 10^{-11}$ s, excluding muons and neutrinos) using the anti- k_t algorithm [50] with a radius parameter $R = 0.4$, and are required to have transverse momentum $p_T > 15$ GeV and $|\eta| < 2.5$. The flavour of a jet is determined by counting b - or c -hadrons within $\Delta R < 0.4$ of the jet axis. Jets matched to exactly one b -hadron, with p_T above 5 GeV, are labelled single- b -jets, while those matched to two or more b -hadrons are labelled B -jets (with no p_T requirement on the second b -hadron); single- c - and C -jets are defined analogously, only considering jets not already defined as single- b - or B -jets. Events that have a single- b - or B -jet, are labelled as $t\bar{t} + 1b$ and $t\bar{t} + 1B$ respectively, events with two or more b -jets are labelled as $t\bar{t} + \geq 2b$. These three categories together are collectively referred to as $t\bar{t} + \geq 1b$. Events with no single- b - or B -jet but at least one single- c - or C -jet are labelled as $t\bar{t} + \geq 1c$. Finally, events not containing any heavy-flavour jets aside from those from top-quark or W boson decays are labelled as $t\bar{t} + \text{light}$.

The $t\bar{t} + \text{light}$ and $t\bar{t} + \geq 1c$ contributions are modelled by a MC sample produced with the HVQ programme [34] in the POWHEGBOX generator at NLO in QCD in the five flavour scheme (5FS) with the NNPDF3.0NLO PDF set. The h_{damp} parameter was set to $1.5 m_{\text{top}}$ [39,51]. An additional sample was generated with the h_{damp} parameter increased by a factor of two to evaluate the uncertainty in the modelling of $t\bar{t} + \text{light}$ and $t\bar{t} + \geq 1c$ stemming from the choice of the h_{damp} value.

To predict the $t\bar{t} + \geq 1b$ background with the highest available precision, the $t\bar{t}b\bar{b}$ MC sample is generated at NLO, where the additional b -quarks are included in the ME. The four flavour scheme (4FS) was used for this sample. It was produced with the POWHEGBOXRES [52] generator and OPENLOOPS [53–55], using the implementation of this process in POWHEGBOXRES [56], with the NNPDF3.1NNLOF4 [69] PDF set interfaced to PYTHIA8. Based on the studies of Ref. [22], the factorisation scale is set to $\frac{1}{2} \sum_{i=t,\bar{t},b,\bar{b},j} m_{T,i}$, the renormalisation scale is set to $\frac{1}{2} \cdot \sqrt[4]{m_T(t) \cdot m_T(\bar{t}) \cdot m_T(b) \cdot m_T(\bar{b})}$, and the h_{damp} parameter is set to $H_T/2$. The POWHEG internal parameter h_{bdz} that regulates the damping function together with the parameter h_{damp} , was set to 5. The choice of model used for the recoil in the initial state parton shower was found to impact significantly the $t\bar{t} + \geq 1b$ background predictions [23]. The corresponding uncertainty is evaluated by changing the PYTHIA8 parameter from a global recoil to a dipole recoil while keep-

ing the rest of the settings identical to the nominal $t\bar{t}b\bar{b}$ sample.

Similar to the $t\bar{t}H$ signal sample, alternative samples were generated to assess the PS and matching uncertainties in the modelling of $t\bar{t}$ + light, $t\bar{t} + \geq 1c$ and $t\bar{t} + \geq 1b$ by replacing in the corresponding nominal set-ups the PYTHIA8 shower model by HERWIG and producing samples with a varied p_T^{hard} parameter.

For an independent pseudo-data test, additional samples of $t\bar{t}$ events were produced to model $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ events with the SHERPA [57] generator. The NLO-accurate matrix elements for up to one additional parton, and LO-accurate matrix elements for up to four additional partons were calculated with the COMIX [58] and OPENLOOPS libraries. They were matched with the SHERPA PS [59] with the default set of tuned parameters using the MEPS@NLO prescription [60–63] with a matching scale of 30 GeV. Furthermore, $t\bar{t} + \geq 1b$ events were simulated using SHERPA and OPENLOOPS with $t\bar{t}b\bar{b}$ ME calculated at NLO accuracy using COMIX in the 4FS using the same functional form of the factorisation and renormalisation scales as in the nominal $t\bar{t}b\bar{b}$ set-up.

Single-top-quark production processes, i.e. tW associated production, t-channel and s-channel production, were modelled using the POWHEGBOX [64–66] generator at NLO in QCD. The t-channel process was generated in the 4FS with the NNPDF3.0NLONF4 PDF set, while for tW and s-channel processes the 5FS NNPDF3.0NLO PDF was used. The tW MC sample was generated using the factorisation and renormalisation scales set to $H_T/2$ with H_T defined as a sum of the transverse mass of the W boson, the top quark and the transverse momentum of an additional parton. The overlap between tW and $t\bar{t}$ production [66] was removed using the diagram-removal scheme [67]. An alternative tW MC sample implementing the diagram subtraction scheme [66] was produced to evaluate the systematic uncertainty due to the tW and $t\bar{t}$ interference treatment.

The events in the nominal $t\bar{t}W$ sample were simulated using the SHERPA [68] generator with the NNPDF3.0NNLO PDF set. The ME was calculated for up to one additional parton at NLO and up to two partons at LO using COMIX and OPENLOOPS, and merged with the SHERPA PS using the MEPS@NLO prescription with a merging scale of 30 GeV. The alternative sample was generated with MADGRAPH5_AMC@NLO with up to one additional parton in the final state at NLO accuracy in the strong coupling, using the NNPDF3.1NNLO [69] PDF set. The different jet multiplicities were merged using the FxFx NLO ME and PS merging prescription [70] with a merging scale of 30 GeV. Background events from $t\bar{t}Z/\gamma^*$ and the rare processes tZq , tWZ , $tHjb$, tWH and $t\bar{t}t\bar{t}$ were simulated at NLO in QCD using the MADGRAPH5_AMC@NLO generator. The alternative sample used to evaluate the PS uncertainty in the $t\bar{t}Z$ background

was generated with the same set-up as the nominal but interfaced to HERWIG7.

The production of $V+\text{jets}$ events (where $V = W$ or Z) was simulated with the SHERPA generator using NLO-accurate matrix elements for up to two partons and LO-accurate matrix elements for up to four partons. Samples of diboson final states (VV) were also simulated with the SHERPA generator.

All MC samples corresponding to small backgrounds were normalised to the most precise available theoretical predictions closely following Ref. [20]. The normalisation of the $t\bar{t}W$ background was updated to the most recent prediction of Ref. [71]. The $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ components were normalised to the $t\bar{t}$ cross-section computed at next-to-next-to-leading order (NNLO) in QCD including the resummation of next-to-next-to-leading-logarithmic (NNLL) soft-gluon terms [72] while the $t\bar{t} + \geq 1b$ normalisation is taken from the $t\bar{t}b\bar{b}$ MC simulation.

4 Objects and event selection

Events are selected using single-lepton triggers with variable electron and muon transverse momentum thresholds, and various identification and isolation criteria depending on the lepton flavour and the data-taking period [73,74]. The lowest p_T threshold at trigger level used for muons is 20 GeV (26 GeV), while for electrons the threshold is 24 GeV (26 GeV) in 2015 (2016–2018). Events are required to have at least one vertex with at least two associated ID tracks with $p_T > 0.5$ GeV. In each event, the primary vertex is defined as the reconstructed vertex having the highest scalar sum of squared p_T of associated tracks [75] among the vertices consistent with the average beam-spot position.

Electron candidates are reconstructed from energy deposits in the electromagnetic calorimeter matched to tracks reconstructed in the ID system and are required to satisfy the *MediumLH* identification criterion [76]. They are required to have $p_T > 10$ GeV and $|\eta| < 2.47$, excluding the calorimeter barrel-endcap transition region ($1.37 < |\eta| < 1.52$). Muon candidates are reconstructed from tracks in the MS that are associated with tracks from the ID and are required to satisfy the *Loose* identification criterion [77] and to have $p_T > 10$ GeV, $|\eta| < 2.5$. Electron (muon) candidates must be associated with the primary vertex of the event: the transverse impact parameter divided by its estimated uncertainty, $|d_0|/\sigma(d_0)$, is required to be less than five (three) for electron (muon) candidates. The longitudinal impact parameter must satisfy $|z_0 \sin(\theta)| < 0.5$ mm for both lepton flavours.

Jets are reconstructed from particle flow objects [78] using the anti- k_t jet clustering algorithm in the FASTJET implementation [79] with a radius parameter $R = 0.4$. Jets are required to satisfy the *Tight* criterion of the *jet vertex tagger* (JVT)

algorithm [80] to mitigate the contribution from pile-up jets, and to have $p_T > 25 \text{ GeV}$ and $|\eta| < 2.5$. The jet energy scale (JES) and resolution are calibrated using simulations with in situ corrections obtained from data [81]. Events containing jets originating from non-collision sources or detector noise are removed.

Jets containing b -hadrons, referred to as b -jets, are identified using the DL1r b -tagging algorithm [82] that uses a neural network based on the distinctive features of b -hadron decays, primarily the impact parameters of tracks and the displaced vertices reconstructed in the ID. Additional input to this network is provided by discriminating variables constructed by a recurrent neural network that exploits the spatial and kinematic correlations between tracks originating from the same b -hadron. A multivariate b -tagging discriminant value is calculated for each jet. The b -tagged jets are required to satisfy the working point (WP) corresponding to an efficiency of 70% or 85% for identifying b -quark initiated jets in $t\bar{t}$ simulated events for the single-lepton and dilepton channels, respectively. For the 70% (85%) WP the rejection factors against light-quark/gluon jets and c -quark jets are 625 and 12 (40 and 3), respectively. To fully exploit the b -tagging information of an event, each jet is assigned a b -tagging score that defines if a jet satisfies a given WP but fails to satisfy the adjacent tighter one. In addition to the standard JES calibration, b -tagged jets satisfying the 85% WP receive additional flavour-specific corrections to their four-vectors to improve their energy measurement (scale and resolution) following the *muon-in-jet* procedure described in Ref. [83].

Hadronically decaying τ -leptons (τ_{had}) are distinguished from jets using their track multiplicity and a multivariate discriminant based on their shower shapes in calorimeter and on tracking information [84]. They are required to have $p_T > 25 \text{ GeV}$ and $|\eta| < 2.5$, and to pass the *Medium* τ -lepton identification working point.

The missing transverse momentum vector, with magnitude E_T^{miss} , is defined as the negative sum of the transverse momenta of the reconstructed and calibrated physical objects, plus a ‘soft term’ built from all other tracks associated with the primary vertex [85] and not matched to a reconstructed object.

Targeting event topologies with a Higgs boson decaying into collimated hadronic final states, reclustered (RC) jets [86] are reconstructed from the selected jets, using the anti- k_t jet clustering algorithm with a radius parameter $R = 1.0$. RC jets are required to have an invariant mass $M > 50 \text{ GeV}$, $p_T > 200 \text{ GeV}$, $|\eta| < 2.0$, have at least two constituent small- R jets (subjets) and have an angular distance $\Delta R > 1.0$ from all electrons.

An overlap removal procedure is applied to avoid the double counting of detector signatures. Electron candidates sharing a track with a muon candidate are first removed. Jets found within a $\Delta R = 0.2$ cone of an electron are removed

and electrons within a $\Delta R = 0.4$ cone of a remaining jet are rejected. Jets with less than three associated tracks and within $\Delta R = 0.2$ of a muon and muons within $\Delta R = 0.4$ of a jet with more than two associated tracks are rejected. A τ_{had} candidate is rejected if it is separated by $\Delta R < 0.2$ from any selected electron or muon. No overlap removal is performed between jets and τ_{had} candidates.

Events are selected if they contain exactly one lepton candidate in the single-lepton channel and exactly two lepton candidates with opposite electric charges in the dilepton channel. At least one lepton should have $p_T > 27 \text{ GeV}$ and be matched to the corresponding object at the trigger level. In the events with two electrons, the second lepton is required to have $p_T > 15 \text{ GeV}$ while in the other two dilepton channels, $e\mu$ and $\mu\mu$, it must have $p_T > 10 \text{ GeV}$. In events with two electrons or two muons, the dilepton invariant mass is required to be above 15 GeV, to suppress contribution from the decays of heavy-flavour resonances and low-mass Drell–Yan processes, and be outside a window of $\pm 8 \text{ GeV}$ centred at the Z boson mass. To maintain orthogonality with other $t\bar{t}H$ decay channels, events are vetoed if they contain one or more (two or more) τ_{had} candidates in the dilepton (single-lepton) channel.

To reduce the non-prompt and mis-identified lepton background contribution, additional lepton identification and isolation requirements are applied. Electrons (muons) are required to satisfy the *TightLH (Medium)* identification criteria and the tight isolation criteria based on the calorimeter and tracking information for electrons [76] and on tracking information only for muons [77]. Events for which the leptons fail to meet these requirements are removed.

In the single-lepton channel two event categories are defined, referred in the following as resolved and boosted categories. The latter is designed to select events in which the Higgs boson is produced with high transverse momentum as a collimated large- R jet. Events that do not satisfy the boosted category selection are assigned to the resolved one. In the single-lepton resolved channel, events are selected if they contain at least five jets, at least three of which satisfy the 70% b -tagging WP. In the single-lepton boosted channel, events are required to have at least one large- R jet and at least four small- R jets, including those contained within the large- R jet, at least three of which must satisfy the 85% b -tagging WP. In the dilepton channel, events are selected if they contain at least three jets satisfying the 85% b -tagging WP, and among these at least two jets that satisfy the 70% b -tagging WP. These requirements are henceforth referred to as “preselection” and have an acceptance of 6.3% for selecting $t\bar{t}H$ events with $H \rightarrow b\bar{b}$ decay, an acceptance that is more than a factor of three larger than in the previous analysis [20]. The corresponding acceptance in the signal regions is 2.1%.

5 Background modelling

The largest background from $t\bar{t}$ + jets production is modelled by MC simulation with the data-driven corrections described in Sect. 5.1. Small backgrounds include single top production, $t\bar{t}W$, $t\bar{t}Z$, $t\bar{t}\bar{t}$, rare top-quark processes and non-top-quark processes such as V + jets and diboson production. All of them are estimated from simulations. The contribution from the background arising from non-prompt or misidentified leptons is determined from data in the single-lepton channel (see Sect. 5.2), and from MC simulation in the dilepton channel, where this background arises primarily from $t\bar{t}$ events with one prompt lepton and is very small.

5.1 Modelling of $t\bar{t}$ + jets background

The $t\bar{t}$ sample used to model the $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ contributions is generated at NLO as described in Sect. 3, with up to one additional parton from the ME calculation. All jets not originating from the decay chain of one of the top quarks, i.e. additional jets, are produced by the parton shower. The dedicated measurement [87] demonstrated deficiencies in modelling the number of additional jets in the $t\bar{t}$ events and the scalar sum of the transverse momenta of the top quarks. Moreover, the rate of $t\bar{t}$ production in association with c - and b -jets was observed to be underestimated in the previous analysis [20]. The new $t\bar{t}b\bar{b}$ MC sample produced for this analysis with lower value of the renormalisation scale than the one used in Ref. [20] predicts larger inclusive $t\bar{t} + \geq 1b$ cross-section, which is expected to match data well. Nevertheless, the rate of the components of the $t\bar{t} + \geq 1b$ background defined in Sect. 3, $t\bar{t} + 1B$, $t\bar{t} + 1b$ and $t\bar{t} + \geq 2b$, could still be mismodelled. Thus, to obtain a reliable estimate of the $t\bar{t}$ + jets background, the $t\bar{t}$ MC events are reweighted using data. The corrections applied include a rescaling of the $t\bar{t}$ + jets flavour components followed by a kinematic reweighting and are described in the next paragraph. Any possible residual mismodelling is accounted for by the systematic uncertainties in the profile-likelihood fit used for the extraction of the signal strength which is described in Sect. 6.

Initial scaling factors for the five $t\bar{t}$ + jets flavour components, $t\bar{t}$ + light, $t\bar{t} + \geq 1c$, $t\bar{t} + 1B$, $t\bar{t} + 1b$ and $t\bar{t} + \geq 2b$, are obtained separately for the single-lepton and dilepton channels from the profile-likelihood fit to data. The fit is performed in background-dominated control regions including all systematic uncertainties (see Sect. 7) prior to the signal extraction fit described in Sect. 6. These scaling factors have similar values to those shown in Table 5. Following the flavour components rescaling, a reweighting is used to mitigate the kinematic mismodelling of the scalar sum of the p_T 's of the reconstructed leptons and jets, H_T , observed for the $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ components of the inclusive

$t\bar{t}$ + jets POWHEG + PYTHIA8 sample. The $t\bar{t} + \geq 1b$ component is excluded from the reweighting since it is simulated by a dedicated $t\bar{t}b\bar{b}$ MC sample. Thus the reweighting derived in the regions dominated by $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ might not be applicable to $t\bar{t} + \geq 1b$. A dedicated reweighting was investigated for the $t\bar{t} + \geq 1b$ component but found to have a negligible effect and is not used in the analysis.

The reweighting corrects the distributions of H_T in exclusive jet multiplicity bins from $N_{\text{jets}} = 5$ to $N_{\text{jets}} \geq 8$ in the single-lepton channel and from $N_{\text{jets}} = 3$ to $N_{\text{jets}} \geq 6$ in the dilepton channel. It is derived in $t\bar{t}$ enriched regions selected by using looser b -tagging requirements after subtracting from data all contributions except for $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ and taking the ratio of data to the sum of $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ yields predicted by MC in each H_T bin. These regions are orthogonal to the signal and control regions of the analysis and contain less than 0.2% of signal.

The reweighting factors are also derived in the same way for each systematic variation affecting the $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ predictions, such that all systematic variations match the H_T distribution in data.

5.2 Non-prompt or mis-identified lepton background

A data-driven method, referred to as “fake factor” method [88], based on the measurement of lepton selection efficiencies using different identification and isolation criteria, is used to estimate the non-prompt or mis-identified (fake) lepton background in the single-lepton channel.

The fake rate is measured in a fake-dominated region selected by requiring exactly one lepton with loose identification and isolation criteria, at least two jets, at least two b -tagged jets satisfying the 70% WP, and a scalar sum of missing transverse energy and the leptonically-decaying W boson mass below 60 GeV. It is parameterised as a function of the leading jet p_T and the lepton $|\eta|$. The expected number of events arising from the fake lepton background is determined by applying the measured lepton fake rate to data events satisfying the selection requirements of each analysis region except that the lepton is required to pass loose identification and isolation criteria and to fail the tighter requirements.

6 Signal extraction

After the preselection, events are classified into non-overlapping background-dominated or control regions (CR) and signal-enriched regions (SR) with higher signal-to-background ratios. The CRs provide stringent constraints on the normalisation of the backgrounds and the systematic uncertainties in a combined fit with the signal regions.

To define the regions in the single-lepton and dilepton channels, a multiclass classification neural network based

on the permutation-invariant transformer architecture with attention mechanism [89] is trained to predict the probability of an event to be from the $t\bar{t}H$ signal or from one of the five $t\bar{t} + \text{jets}$ background categories introduced in Sect. 3: $t\bar{t} + 1b$, $t\bar{t} + 1B$, $t\bar{t} + \geq 2b$, $t\bar{t} + \geq 1c$, $t\bar{t} + \text{light jets}$. The probability p_i of a network class i is converted into a discriminant d_i in order to maximise the separation between the class and all the other classes ($i \neq j$), to yield a similar number of events in the $t\bar{t} + 1b$, $t\bar{t} + 1B$, and inclusive $t\bar{t} + \geq 2b$ control regions, and to maximise sensitivity. They are defined as

$$d_i = \frac{p_i}{\sum_{j \neq i} p_j \cdot \hat{N}_{ij}}. \quad (1)$$

Here, the denominator of each discriminant is a weighted average of all the remaining class probabilities $p_{j \neq i}$. The weights $\hat{N}_{ij} = N_j / \sum_{k \neq i} N_k$ are the respective fractions of event yields N_j relative to the total yield of all the remaining classes $k \neq i$. These yields are determined from MC simulation after the preselection and incorporate the $t\bar{t} + \text{jets}$ scaling factors described in Sect. 5.1.

In the single-lepton (dilepton) channel, events fulfilling $d_{t\bar{t}H} > 4.072$ ($d_{t\bar{t}H} > 9.031$) are assigned to the signal region. The thresholds are defined to maximize the ratio of signal to the square root of the background in the inclusive signal regions. All other events are assigned to the $t\bar{t} + \text{jets}$ background category with the highest value of the discriminant. By far the dominant Higgs boson decay mode in the signal region is $H \rightarrow b\bar{b}$ with a fraction of more than 97% (94%) of the $t\bar{t}H$ events in the single-lepton (dilepton) channel followed by the $H \rightarrow WW$ decay. This motivates the training of a second neural network, based on the same architecture, to identify the two jets most likely originating from the Higgs boson decay, to reconstruct p_T^H that is used to further classify the signal region events into the six reconstructed STXS regions. The performance of this reconstruction network to correctly identify both Higgs boson decay products decreases with increasing jet multiplicity and generally increases with increasing true p_T^H . Both Higgs boson decay products are

correctly identified for 51% (58%) of $t\bar{t}H(b\bar{b})$ events in the single-lepton resolved (dilepton) channel. The boundaries of the regions are optimised to maximise fraction of the events with the corresponding truth p_T^H , listed in Fig. 1, separately per $t\bar{t}$ decay channel to capture potential differences due to the final states and separate neural networks. This optimisation leads, in particular, to improved purity in the highest truth p_T^H bins, the region of the phase space where this analysis provides a particularly valuable contribution to the global Higgs boson combination. The optimal reconstructed p_T^H boundaries are found to be $[0, 60, 114, 186, 270, 402, \infty]$ GeV in the dilepton channel and $[0, 60, 114, 192, 282, 408, \infty]$ GeV in the single-lepton resolved channel. They are referred to in the following as STXS 1–6 regions.

The inclusive control region dominated by the $t\bar{t} + \geq 2b$ background in the single-lepton channel is further split into three categories encompassing STXS regions 1–2, 3–4, and 5–6, to ensure a good control of the $t\bar{t} + \geq 2b$ background modelling over the bins of reconstructed Higgs boson p_T . These regions are henceforth referred to as $t\bar{t} + \geq 2b$ CR 1, CR 2, and CR 3. The split is not applied in the dilepton channel due to limited statistics.

Events fulfilling the boosted selection criteria are assigned to dedicated boosted signal and control regions. RC jets are used as input to a multiclass deep neural network (DNN), trained to distinguish high- p_T Higgs boson candidates decaying into collimated final states from top quarks and multijet backgrounds following the same strategy as in the previous analysis [20]. An event is flagged as containing a boosted Higgs boson candidate if one of the RC jets has a high probability of originating from a Higgs boson, as estimated by the DNN. Boosted Higgs boson candidates are required to have $p_T \geq 300$ GeV, a mass consistent with the Higgs boson mass window of 100–140 GeV, contain at least two subjets, of which exactly two are required to satisfy the b -tagging 85% WP, and have a DNN score above 0.4. At least two small- R jets that do not form the Higgs boson candidate are required to satisfy the b -tagging 77% WP. The flowchart in Fig. 1 summarises the event classification strategy.

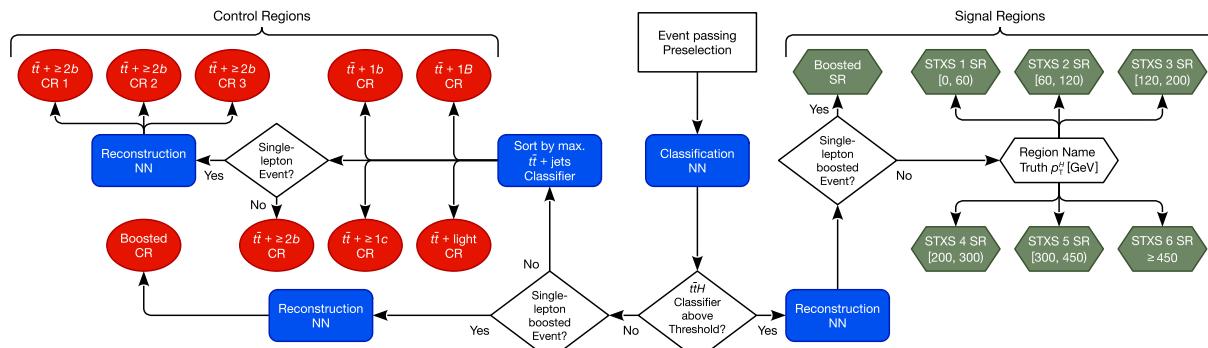


Fig. 1 Flowchart depicting the event classification and Higgs boson p_T reconstruction strategy used in the analysis

The transformer networks are trained using low-level features of the reconstructed jets, electrons, muons, and the missing transverse momentum of the event. For each reconstructed object, the kinematic features used are the x -, y - and z -component of the momentum (p_x , p_y , p_z), the energy, the p_T , the mass, the pseudorapidity, the azimuthal angle and its sine and cosine. Supplying redundant four-vector information is observed to improve network performance. Additionally, the DL1r pseudo-continuous b -tagging score is included for jets, and the electric charge and a variable indicating if it is an electron or a muon is included for leptons.

The multiclass event-classification network is trained using events sampled from the nominal and alternative $t\bar{t}H$ and $t\bar{t} + \text{jets}$ background samples to profit from an increased number of events in training. The classification network yields an area under the receiver-operator characteristic curve (AUC) of 0.753 (0.774) for discriminating between $t\bar{t}H$ and the $t\bar{t} + \geq 1b$ background for events satisfying the preselection in the single-lepton resolved (dilepton) channel. The Higgs boson p_T reconstruction network is trained using only the $t\bar{t}H$ samples. Figure 2 shows for each bin of truth p_T^H the fraction of $t\bar{t}H$ events assigned to each of the STXS signal regions.

In the single-lepton channel, a total of 15 analysis regions are defined, including six STXS signal regions, two boosted regions (signal and control) and seven control regions targeting the different components of the $t\bar{t} + \text{jets}$ background. In the dilepton channel, a total of 11 analysis regions are defined, including the six STXS signal regions and five $t\bar{t} + \text{jets}$ control regions. The expected yields in the single-lepton (dilepton) signal and control regions are summarised in Tables 1 and 2 (3 and 4) after applying the data-driven corrections to the $t\bar{t} + \text{jets}$ background discussed in Sect. 5.1.

The $t\bar{t}H$ inclusive signal strength, $\mu_{t\bar{t}H}$, defined as the ratio of the measured $t\bar{t}H$ cross-section including all Higgs boson decay modes to the corresponding SM prediction, or the signal strengths in each of the six STXS bins, μ_i , and the normalisation factors of the components of the $t\bar{t} + \text{jets}$ background are determined via a binned likelihood fit to the distributions in all signal and control regions defined above. The $t\bar{t}H$ signal sample is split up according to the true p_T^H in each event, and the μ_i act on the respective component. In the resolved signal and control regions, the corresponding discriminant distribution is used in the likelihood fit, while in the boosted regions, event yields in two bins of the reconstructed p_T^H distribution, 300–450 GeV and ≥ 450 GeV, are used in the fit.

The $t\bar{t} + 1b$, $t\bar{t} + \geq 1c$ and $t\bar{t} + \text{light}$ normalisation factors are chosen to float independently in the single-lepton and dilepton channels, while the normalisations of the $t\bar{t} + \geq 2b$ and $t\bar{t} + 1B$ backgrounds are scaled in a correlated way between the two channels. This choice is made based on studies of the different correlation assumptions between the

normalisation factors in the combined fit. The chosen configuration provides enough freedom for the fit model and minimises pulls and constraints of the nuisance parameters in the fit to data.

The inclusive measurement of the signal strength and cross-section is performed in the full phase space. The measurement of these parameters in STXS bins is carried out for $t\bar{t}H$ events with a Higgs boson produced centrally within $|y| \leq 2.5$ at truth level, while the remaining forward events are considered as background.

The statistical model is based on a likelihood function built with HistFactory [90] as the product over every bin of the Poisson probability for the observed data, given the SM prediction. The value of each nuisance parameter, describing the systematic uncertainties for both signal and background processes (see Sect. 7), is constrained by a Gaussian penalty term present in the likelihood function, while all normalisation factors are unconstrained. The statistical uncertainty arising from the limited number of simulated events is included in the likelihood in the form of additional nuisance parameters with Poisson constraint terms. The maximum-likelihood fit is performed with the RooFit package [91]. A test statistic based on the profile-likelihood ratio is used to assess the compatibility of the observed data with the background-only hypothesis ($\mu = 0$) [92].

Tests of the statistical model fitted to pseudo-data constructed with the SHERPA $t\bar{t} + \text{jets}$ samples were performed to help inform the choice of fit variables and uncertainty model, with the goal of minimising bias, maximising robustness and optimising sensitivity.

7 Systematic uncertainties

Multiple sources of systematic uncertainty, arising from detector effects, theoretical assumptions and the limited number of events in the MC simulations, are considered in the analysis. They affect the categorisation of events as well as the normalisation and shape of the distributions used in the signal extraction fit.

All the sources of experimental uncertainty, except the uncertainty in the integrated luminosity, affect both the normalisations and the shapes of the distributions in all simulated samples. The uncertainty in the integrated luminosity is 0.83% [93], obtained using the LUCID-2 detector [25] for the primary luminosity measurements, complemented by measurements using the inner detector and calorimeters. The uncertainty in the pile-up modelling is obtained by varying the pile-up reweighting in the simulation within its uncertainties.

The correction factors applied to the simulated samples to improve the description of the lepton reconstruction, identification and isolation efficiencies, momentum scale and res-

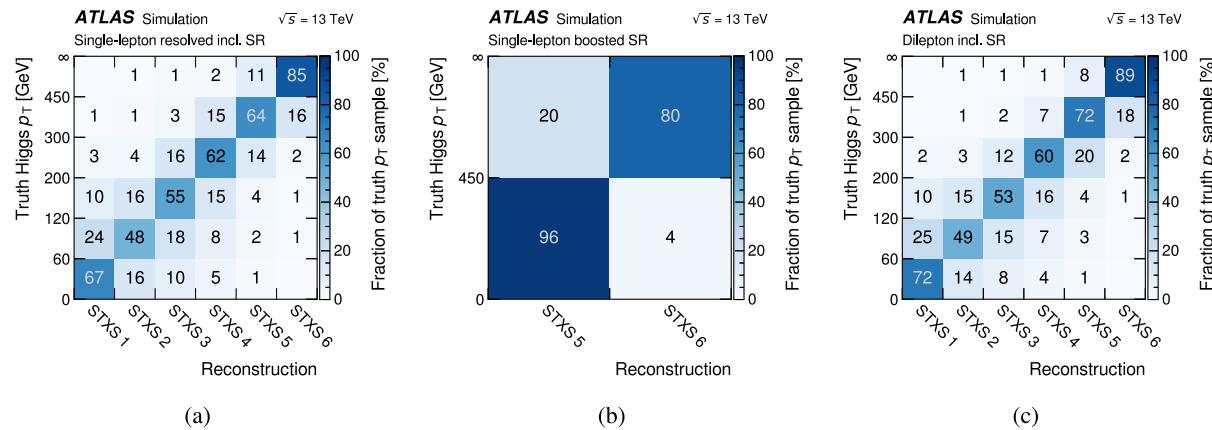


Fig. 2 STXS migration matrices for the $t\bar{t}H$ signal regions in (a) the single-lepton resolved, (b) the single-lepton boosted, and (c) the dilepton channel. The yield fractions of the predicted STXS bins are normalised per truth STXS sample

Table 1 Expected yields in the single-lepton signal regions before the fit to data. The data-driven corrections to the $t\bar{t}$ + jets background are applied and all uncertainties are included, except the ones associated with the $t\bar{t}$ + jets background normalisation factors, which are

not defined pre-fit. The “Other” category includes the s - and t -channel single-top, tZq and tWZ processes. The $t\bar{t}H$ signal is normalised to the SM $t\bar{t}H$ cross-section. For presentation purposes, uncertainties are symmetrised

| | STXS 1 SR | STXS 2 SR | STXS 3 SR | STXS 4 SR | STXS 5 SR | STXS 6 SR | Boosted SR |
|--|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| $t\bar{t}H$ truth p_T^H 0–60 GeV | 77 ± 12 | 18.7 ± 3.2 | 11.1 ± 2.3 | 5.7 ± 1.0 | 1.66 ± 0.2 | 0.42 ± 0.09 | 0.58 ± 0.13 |
| $t\bar{t}H$ truth p_T^H 60–120 GeV | 49 ± 5 | 99 ± 13 | 37 ± 6 | 15.5 ± 2.2 | 4.4 ± 0.5 | 1.25 ± 0.19 | 1.54 ± 0.25 |
| $t\bar{t}H$ truth p_T^H 120–200 GeV | 22.7 ± 2.2 | 37 ± 4 | 125 ± 16 | 34 ± 5 | 8.1 ± 1.3 | 2.0 ± 0.4 | 2.31 ± 0.28 |
| $t\bar{t}H$ truth p_T^H 200–300 GeV | 4.0 ± 0.5 | 5.5 ± 0.7 | 22.5 ± 2.9 | 88 ± 12 | 19.4 ± 3.0 | 2.7 ± 0.4 | 3.3 ± 0.6 |
| $t\bar{t}H$ truth p_T^H 300–450 GeV | 0.39 ± 0.11 | 0.64 ± 0.16 | 1.4 ± 0.4 | 7.6 ± 1.3 | 32 ± 5 | 8.0 ± 1.3 | 20 ± 3 |
| $t\bar{t}H$ truth $p_T^H \geq 450$ GeV | <0.1 | <0.1 | 0.14 ± 0.06 | 0.21 ± 0.09 | 1.30 ± 0.31 | 9.8 ± 1.8 | 6.9 ± 1.3 |
| $t\bar{t}H$ $ y > 2.5$ | 0.16 ± 0.06 | 0.10 ± 0.04 | <0.1 | <0.1 | <0.1 | <0.1 | <0.1 |
| $t\bar{t} + \geq 2b$ | 870 ± 80 | 870 ± 90 | 980 ± 100 | 640 ± 60 | 270 ± 40 | 92 ± 21 | 103 ± 8 |
| $t\bar{t} + 1b$ | 210 ± 60 | 210 ± 50 | 250 ± 80 | 180 ± 60 | 78 ± 28 | 25 ± 9 | 17 ± 5 |
| $t\bar{t} + 1B$ | 64 ± 19 | 73 ± 24 | 100 ± 40 | 87 ± 30 | 46 ± 19 | 22 ± 11 | 11 ± 4 |
| $t\bar{t} + \geq 1c$ | 210 ± 50 | 210 ± 50 | 250 ± 50 | 190 ± 50 | 88 ± 24 | 27 ± 13 | 22 ± 8 |
| $t\bar{t}$ + light | 42 ± 16 | 45 ± 12 | 68 ± 22 | 64 ± 19 | 31 ± 11 | 12 ± 11 | 3.2 ± 3 |
| $t\bar{t}Z$ | 36 ± 6 | 38 ± 6 | 53 ± 8 | 43 ± 8 | 24 ± 5 | 7.2 ± 1.1 | 5.8 ± 1.2 |
| tW | 20 ± 8 | 35 ± 12 | 60 ± 33 | 55 ± 32 | 33 ± 25 | 17 ± 15 | 5 ± 4 |
| W+jets | 10 ± 6 | 12 ± 8 | 30 ± 15 | 29 ± 14 | 23 ± 12 | 12 ± 6 | 3.7 ± 1.9 |
| $t\bar{t}W$ | 4.2 ± 0.5 | 5.9 ± 0.8 | 9.8 ± 1.6 | 8.9 ± 1.9 | 5.6 ± 0.7 | 3.2 ± 0.5 | 1.06 ± 0.26 |
| Z+jets | 2.5 ± 1.1 | 5.1 ± 2 | 6.5 ± 2.6 | 7.4 ± 2.7 | 3.1 ± 1.1 | 1.7 ± 0.6 | 0.5 ± 0.2 |
| Diboson | 1.3 ± 0.8 | 2.5 ± 1.40 | 4.4 ± 2.3 | 4.7 ± 2.7 | 3.1 ± 1.6 | 1.4 ± 0.7 | 0.7 ± 0.4 |
| $t\bar{t}t\bar{t}$ | 5.1 ± 2.2 | 6.7 ± 3 | 11 ± 5 | 9 ± 4 | 4.8 ± 2.1 | 2.5 ± 1.1 | 1.1 ± 0.5 |
| $tHjb$ | 0.77 ± 0.22 | 1.06 ± 0.21 | 1.61 ± 0.32 | 1.58 ± 0.28 | 1.11 ± 0.30 | 0.26 ± 0.12 | 0.72 ± 0.14 |
| tWH | 1.68 ± 0.23 | 2.16 ± 0.27 | 3.8 ± 0.4 | 4.1 ± 0.5 | 2.22 ± 0.25 | 1.03 ± 0.12 | 1.01 ± 0.11 |
| Other | 11.3 ± 1.2 | 11 ± 1.5 | 14.4 ± 2 | 11.9 ± 1.5 | 7.3 ± 1.1 | 2.43 ± 0.35 | 2.2 ± 0.6 |
| Fakes | 29 ± 12 | 29 ± 15 | 27 ± 12 | 31 ± 19 | 22 ± 13 | 3.8 ± 2.5 | 8 ± 5 |
| Total | 1670 ± 160 | 1710 ± 170 | 2060 ± 210 | 1520 ± 150 | 710 ± 80 | 250 ± 40 | 221 ± 19 |
| Data | 1672 | 1657 | 2016 | 1441 | 676 | 241 | 216 |

Table 2 Expected yields in the single-lepton control regions before the fit to data. The data-driven corrections to the $t\bar{t}$ + jets background are applied and all uncertainties are included, except the ones associated with the $t\bar{t}$ + jets background normalisation factors, which are not defined pre-fit. The “Other” category includes the s - and t -channel single-top, tZq and tWZ processes. The $t\bar{t}H$ signal is normalised to the SM $t\bar{t}H$ cross-section. For presentation purposes, uncertainties are symmetrised

| | $t\bar{t}$ + light CR | $t\bar{t}$ + $\geq 1c$ CR | $t\bar{t}$ + 1b CR | $t\bar{t}$ + 1B CR | $t\bar{t}$ + $\geq 2b$ CR 1 | $t\bar{t}$ + $\geq 2b$ CR 2 | $t\bar{t}$ + $\geq 2b$ CR 3 | Boosted CR |
|--|-----------------------|---------------------------|--------------------|--------------------|-----------------------------|-----------------------------|-----------------------------|-------------|
| $t\bar{t}H$ truth p_T^H 0–60 GeV | 56 ± 6 | 59 ± 7 | 89 ± 11 | 46 ± 6 | 80 ± 10 | 12.5 ± 1.7 | 2.09 ± 0.26 | 0.54 ± 0.14 |
| $t\bar{t}H$ truth p_T^H 60–120 GeV | 86 ± 5 | 98 ± 8 | 133 ± 12 | 92 ± 13 | 112 ± 11 | 33 ± 5 | 4.5 ± 0.9 | 1.15 ± 0.23 |
| $t\bar{t}H$ truth p_T^H 120–200 GeV | 59 ± 4 | 76 ± 7 | 76 ± 8 | 88 ± 11 | 41 ± 4 | 68 ± 8 | 5.4 ± 1.1 | 1.36 ± 0.28 |
| $t\bar{t}H$ truth p_T^H 200–300 GeV | 23 ± 2.5 | 31.9 ± 3.5 | 21.2 ± 3.1 | 41 ± 5 | 7.3 ± 1.1 | 34 ± 4 | 6.7 ± 1.1 | 1.42 ± 0.32 |
| $t\bar{t}H$ truth p_T^H 300–450 GeV | 7.7 ± 1.0 | 10 ± 1.5 | 4.7 ± 0.8 | 14.3 ± 2 | 1.12 ± 0.27 | 4.4 ± 0.8 | 9.8 ± 1.5 | 4.8 ± 0.7 |
| $t\bar{t}H$ truth $p_T^H \geq 450$ GeV | 1.67 ± 0.27 | 2.4 ± 0.5 | 0.78 ± 0.19 | 4.1 ± 0.7 | 0.18 ± 0.05 | 0.35 ± 0.12 | 3 ± 0.7 | 1.75 ± 0.32 |
| $t\bar{t}H$ $ y > 2.5$ | 0.98 ± 0.08 | 0.72 ± 0.17 | 1.38 ± 0.22 | 0.57 ± 0.15 | 0.37 ± 0.05 | 0.188 ± 0.029 | <0.1 | <0.1 |
| $t\bar{t} + \geq 2b$ | 2320 ± 280 | 3800 ± 400 | 5400 ± 600 | 3500 ± 400 | 7200 ± 600 | 4900 ± 500 | 1140 ± 170 | 141 ± 10 |
| $t\bar{t} + 1b$ | 3900 ± 400 | 4600 ± 700 | 10600 ± 1700 | 5100 ± 600 | 2100 ± 500 | 1120 ± 320 | 230 ± 100 | 54 ± 19 |
| $t\bar{t} + 1B$ | 890 ± 80 | 1390 ± 270 | 1780 ± 270 | 5100 ± 400 | 530 ± 190 | 380 ± 140 | 100 ± 50 | 32 ± 7 |
| $t\bar{t} + \geq 1c$ | 17000 ± 3300 | 17900 ± 2600 | 7800 ± 1000 | 5400 ± 1300 | 2500 ± 500 | 1220 ± 270 | 240 ± 70 | 133 ± 35 |
| $t\bar{t}$ + light | 31800 ± 3500 | 5900 ± 1100 | 4000 ± 600 | 2000 ± 500 | 700 ± 180 | 320 ± 110 | 49 ± 26 | 34 ± 8 |
| $t\bar{t}Z$ | 152 ± 20 | 171 ± 25 | 151 ± 21 | 146 ± 22 | 122 ± 18 | 117 ± 15 | 36 ± 5 | 5.7 ± 0.9 |
| tW | 1040 ± 200 | 750 ± 220 | 820 ± 240 | 560 ± 190 | 350 ± 140 | 360 ± 150 | 130 ± 90 | 10 ± 6 |
| W +jets | 370 ± 180 | 370 ± 180 | 230 ± 120 | 170 ± 80 | 370 ± 190 | 250 ± 130 | 90 ± 40 | 5.6 ± 2.9 |
| $t\bar{t}W$ | 100 ± 12 | 114 ± 17 | 43 ± 7 | 44 ± 5 | 25.6 ± 3.5 | 26 ± 5 | 10.5 ± 1.6 | 1.97 ± 0.33 |
| Z +jets | 110 ± 40 | 110 ± 40 | 110 ± 40 | 64 ± 23 | 100 ± 40 | 55 ± 20 | 16 ± 6 | 0.9 ± 0.4 |
| Diboson | 37 ± 19 | 39 ± 20 | 23 ± 12 | 21 ± 11 | 24 ± 13 | 23 ± 12 | 10 ± 5 | 1.1 ± 0.7 |
| $t\bar{t}t\bar{t}$ | 2.9 ± 1.2 | 24 ± 10 | 7.1 ± 3 | 19 ± 8 | 21 ± 9 | 33 ± 14 | 17 ± 7 | 1.4 ± 0.6 |
| $tHjb$ | 8.2 ± 1.4 | 5.4 ± 0.9 | 8.1 ± 1.6 | 3.5 ± 0.6 | 5.4 ± 1.1 | 3.6 ± 0.7 | 0.53 ± 0.15 | 0.65 ± 0.20 |
| tWH | 7.1 ± 0.7 | 6.7 ± 0.7 | 9.6 ± 1 | 5.7 ± 0.6 | 5.4 ± 0.6 | 5.8 ± 0.7 | 1.78 ± 0.2 | 0.44 ± 0.07 |
| Other | 370 ± 40 | 370 ± 40 | 303 ± 35 | 228 ± 22 | 179 ± 22 | 111 ± 12 | 27.4 ± 2.8 | 3.9 ± 0.9 |
| Fakes | 810 ± 300 | 800 ± 400 | 880 ± 350 | 340 ± 140 | 660 ± 300 | 280 ± 130 | 57 ± 32 | 6.3 ± 3.3 |
| Total | 59000 ± 6000 | 37000 ± 4000 | 32500 ± 2900 | 22900 ± 2200 | 15200 ± 1700 | 9400 ± 1000 | 2190 ± 270 | 440 ± 50 |
| Data | 61954 | 36528 | 32887 | 23245 | 15595 | 9397 | 2097 | 426 |

Table 3 Expected yields in the dilepton signal regions before the fit to data. The data-driven corrections to the $t\bar{t}$ +jets background are applied and all uncertainties are included, except the ones associated with the $t\bar{t}$ +jets background normalisation factors, which are not defined pre-fit.

| | STXS 1 SR | STXS 2 SR | STXS 3 SR | STXS 4 SR | STXS 5 SR | STXS 6 SR |
|--|-----------------|-----------------|-------------------|-----------------|-----------------|-------------------|
| $t\bar{t}H$ truth p_T^H 0–60 GeV | 10.6 ± 1.5 | 2.03 ± 0.31 | 1.14 ± 0.17 | 0.63 ± 0.11 | 0.19 ± 0.07 | 0.046 ± 0.023 |
| $t\bar{t}H$ truth p_T^H 60–120 GeV | 6.5 ± 0.7 | 13.0 ± 1.8 | 4.1 ± 0.7 | 1.9 ± 0.4 | 0.7 ± 0.11 | 0.13 ± 0.07 |
| $t\bar{t}H$ truth p_T^H 120–200 GeV | 3.06 ± 0.34 | 4.7 ± 0.5 | 16.3 ± 2.0 | 5.0 ± 0.7 | 1.36 ± 0.19 | 0.24 ± 0.07 |
| $t\bar{t}H$ truth p_T^H 200–300 GeV | 0.5 ± 0.07 | 0.72 ± 0.08 | 2.5 ± 0.29 | 13.0 ± 1.7 | 4.4 ± 0.6 | 0.41 ± 0.06 |
| $t\bar{t}H$ truth p_T^H 300–450 GeV | <0.1 | <0.1 | 0.197 ± 0.033 | 0.82 ± 0.18 | 8.0 ± 1.1 | 1.98 ± 0.32 |
| $t\bar{t}H$ truth $p_T^H \geq 450$ GeV | <0.1 | <0.1 | <0.1 | <0.1 | 0.26 ± 0.08 | 2.8 ± 0.5 |
| $t\bar{t}H$ $ y > 2.5$ | <0.1 | <0.1 | <0.1 | <0.1 | <0.1 | <0.1 |
| $t\bar{t} + \geq 2b$ | 81 ± 8 | 76 ± 7 | 81 ± 13 | 59 ± 4 | 36.2 ± 3.0 | 11.7 ± 2.1 |
| $t\bar{t} + 1b$ | 14 ± 5 | 15 ± 6 | 20 ± 9 | 17 ± 5 | 11 ± 6 | 2.9 ± 1.9 |
| $t\bar{t} + 1B$ | 4.4 ± 2.6 | 4.8 ± 3.2 | 5.7 ± 2.3 | 6.2 ± 1.9 | 6.6 ± 2.9 | 3.5 ± 2.3 |
| $t\bar{t} + \geq 1c$ | 11.3 ± 2.4 | 11.7 ± 2.6 | 15.4 ± 3.4 | 12.5 ± 2.6 | 7.1 ± 2.6 | 3.0 ± 1.7 |
| $t\bar{t}$ + light | 1.1 ± 0.7 | 0.9 ± 0.5 | 1.4 ± 0.8 | 1.0 ± 0.5 | 1.0 ± 0.4 | 0.37 ± 0.30 |
| $t\bar{t}Z$ | 4.6 ± 0.7 | 4.6 ± 1.1 | 7.2 ± 1.1 | 6.2 ± 1.3 | 5.3 ± 1.1 | 2 ± 0.6 |
| tW | 1.7 ± 0.8 | 3 ± 1.8 | 6 ± 4 | 8 ± 5 | 7 ± 5 | 3.1 ± 2.9 |
| $t\bar{t}W$ | 0.65 ± 0.11 | 1.18 ± 0.15 | 2.1 ± 0.23 | 2.64 ± 0.3 | 2.7 ± 0.4 | 1.2 ± 0.5 |
| $Z+jets$ | 1.8 ± 0.9 | 1.9 ± 0.9 | 3.4 ± 1.3 | 3.8 ± 1.4 | 3.3 ± 1.2 | 1.8 ± 0.7 |
| $t\bar{t}t\bar{t}$ | 0.9 ± 0.4 | 1.1 ± 0.5 | 1.8 ± 0.7 | 1.7 ± 0.7 | 1.3 ± 0.6 | 0.65 ± 0.28 |
| tWH | 0.23 ± 0.04 | 0.31 ± 0.04 | 0.57 ± 0.07 | 0.7 ± 0.08 | 0.66 ± 0.07 | 0.24 ± 0.03 |
| Other | <0.1 | <0.1 | 0.28 ± 0.14 | 0.42 ± 0.19 | 0.23 ± 0.08 | 0.29 ± 0.13 |
| Fakes | 1.7 ± 0.9 | 2.2 ± 1.1 | 3.2 ± 1.6 | 2.9 ± 1.5 | 2.6 ± 1.3 | 1.1 ± 0.6 |
| Total | 144 ± 13 | 144 ± 13 | 173 ± 20 | 143 ± 12 | 100 ± 11 | 38 ± 6 |
| Data | 150 | 149 | 161 | 149 | 76 | 35 |

olution, and lepton trigger efficiencies are varied within their uncertainties [76, 77] to estimate the corresponding systematic uncertainty.

The JES uncertainty [81] accounts for contributions from jet-flavour composition, η -intercalibration, punch-through, single-particle response, calorimeter response to different jet flavours, and pile-up, resulting in 31 uncorrelated JES uncertainty components. The jet energy resolution was measured separately for data and MC using two *in situ* techniques [81]. The systematic uncertainty is defined as the quadratic difference between the jet energy resolutions for data and simulation and split into 13 uncorrelated uncertainty components. The uncertainty associated with the JVT discriminant is obtained by varying the efficiency correction factors [80].

The uncertainties associated with the b -tagging algorithm calibration are derived separately for b -jets, c -jets and light-flavour jets, as a function of the jet p_T , and decomposed into several uncorrelated components for each category, corresponding to the number of p_T bins multiplied by the number of DL1r pseudo-continuous scores [94–96]. This yields a total of 45 components for b -jets and 20 each for c - and light jets. For jets with a p_T above the p_T threshold where

the “Other” category includes the tZq , tWZ and diboson processes. The $t\bar{t}H$ signal is normalised to the SM $t\bar{t}H$ cross-section. For presentation purposes, uncertainties are symmetrised

the b -tagging algorithm is calibrated, high- p_T extrapolation uncertainties derived using MC simulation are included.

The uncertainty in E_T^{miss} results from the propagation of the uncertainties in the energy scales and resolutions of photons, leptons and jets, and from the modelling of its soft term [85].

For the $t\bar{t}H$ signal, two cross-section uncertainties are applied accounting for the effect of varying the PDF and α_S and for missing higher order terms in the fixed order perturbative QCD calculations. They amount to $\pm 3.6\%$ and $\pm 9.2\%$, respectively [18]. The systematic uncertainty in the $t\bar{t}H$ cross-section includes theory uncertainties due to migrations of events between the truth Higgs boson p_T bins [97]. An uncertainty of 2.2% is assigned to the $H \rightarrow b\bar{b}$ branching fraction [18]. Two uncertainties due to missing higher order terms in the MC simulation are estimated by varying independently the renormalisation and factorisation scales in the ME of the nominal MC sample by a factor of two up and down. The uncertainties in the amount of initial- and final-state QCD radiation (ISR and FSR) predicted by the PS are estimated by varying the scale in α_S^{ISR} according to the values given by *var3c* in the PYTHIA8 A14 tune and by varying

Table 4 Expected yields in the dilepton control regions before the fit to data. The data-driven corrections to the $t\bar{t}$ +jets background are applied and all uncertainties are included, except the ones associated with the $t\bar{t}$ +jets background normalisation factors, which are not defined pre-fit.

| | $t\bar{t}$ + light CR | $t\bar{t}$ + $\geq 1c$ CR | $t\bar{t}$ + 1b CR | $t\bar{t}$ + 1B CR | $t\bar{t}$ + $\geq 2b$ CR |
|--|-----------------------|---------------------------|--------------------|--------------------|---------------------------|
| $t\bar{t}H$ truth p_T^H 0–60 GeV | 11.9 ± 1.4 | 19.7 ± 2.3 | 19.8 ± 2.3 | 9.9 ± 1.4 | 26.0 ± 3.0 |
| $t\bar{t}H$ truth p_T^H 60–120 GeV | 17.0 ± 1.3 | 34.5 ± 2.9 | 29.5 ± 3.0 | 20.4 ± 2.5 | 42.0 ± 4.0 |
| $t\bar{t}H$ truth p_T^H 120–200 GeV | 10.0 ± 0.9 | 27.1 ± 2.7 | 17.0 ± 2.1 | 19.2 ± 2.4 | 34.0 ± 3.5 |
| $t\bar{t}H$ truth p_T^H 200–300 GeV | 3.1 ± 0.35 | 11.1 ± 1.3 | 4.6 ± 0.7 | 9.2 ± 1.2 | 14.9 ± 1.9 |
| $t\bar{t}H$ truth p_T^H 300–450 GeV | 0.94 ± 0.15 | 3.5 ± 0.5 | 0.94 ± 0.19 | 3.3 ± 0.5 | 5.4 ± 0.8 |
| $t\bar{t}H$ truth $p_T^H \geq 450$ GeV | 0.33 ± 0.07 | 0.65 ± 0.12 | 0.116 ± 0.033 | 0.97 ± 0.15 | 1.33 ± 0.26 |
| $t\bar{t}H$ $ y > 2.5$ | 0.31 ± 0.05 | 0.25 ± 0.04 | 0.4 ± 0.05 | 0.127 ± 0.028 | 0.166 ± 0.018 |
| $t\bar{t} + \geq 2b$ | 495 ± 35 | 860 ± 60 | 1080 ± 200 | 650 ± 100 | 2700 ± 200 |
| $t\bar{t} + 1b$ | 1410 ± 130 | 1790 ± 230 | 3600 ± 700 | 1540 ± 180 | 1200 ± 400 |
| $t\bar{t} + 1B$ | 310 ± 40 | 460 ± 40 | 540 ± 210 | 1560 ± 230 | 310 ± 110 |
| $t\bar{t} + \geq 1c$ | 5600 ± 500 | 7700 ± 600 | 2210 ± 280 | 1590 ± 330 | 1070 ± 170 |
| $t\bar{t}$ + light | 9200 ± 1500 | 2800 ± 400 | 590 ± 130 | 410 ± 90 | 190 ± 70 |
| $t\bar{t}Z$ | 43 ± 6 | 81 ± 12 | 35 ± 6 | 36 ± 5 | 92 ± 12 |
| tW | 300 ± 60 | 210 ± 50 | 220 ± 70 | 150 ± 50 | 300 ± 140 |
| $t\bar{t}W$ | 37 ± 5 | 72 ± 7 | 12.1 ± 1.3 | 14.3 ± 3.3 | 29.8 ± 3.5 |
| Z+jets | 340 ± 130 | 260 ± 100 | 250 ± 90 | 93 ± 34 | 320 ± 120 |
| $t\bar{t}t\bar{t}$ | 1.6 ± 0.7 | 10 ± 4 | 1 ± 0.4 | 4.8 ± 2 | 28 ± 12 |
| tWH | 0.98 ± 0.11 | 2.24 ± 0.22 | 2.69 ± 0.29 | 1.42 ± 0.14 | 4.0 ± 0.4 |
| Other | 5.6 ± 2.4 | 8.1 ± 3.1 | 4.4 ± 2.0 | 2.1 ± 0.7 | 12 ± 5 |
| Fakes | 110 ± 50 | 120 ± 60 | 40 ± 20 | 40 ± 20 | 80 ± 40 |
| Total | 18000 ± 2000 | 14400 ± 1100 | 8700 ± 900 | 6200 ± 500 | 6400 ± 700 |
| Data | 18557 | 14361 | 8624 | 5830 | 6448 |

The “Other” category includes the tZq , tWZ and diboson processes. The $t\bar{t}H$ signal is normalised to the SM $t\bar{t}H$ cross-section. For presentation purposes, uncertainties are symmetrised

the scale in α_S^{FSR} by a factor two up and down. To assess the uncertainties associated with the PS, hadronisation and underlying event, the nominal $t\bar{t}H$ sample is compared with the alternative POWHEG+HERWIG7 sample, while the uncertainty due to the NLO matching procedure is estimated with POWHEG+PYTHIA8 with a varied p_T^{hard} parameter value.

All the modelling uncertainties in the $t\bar{t}$ +jets background have independent nuisance parameters for the $t\bar{t} + 1b$, $t\bar{t} + 1B$, $t\bar{t} + \geq 2b$, $t\bar{t} + \geq 1c$ and $t\bar{t}$ +light processes. These systematic variations are normalised to conserve the total nominal event count after the preselection for each process. Uncertainties due to missing higher order terms in the perturbative QCD calculations, in the amount of ISR and FSR as well as the uncertainties associated with the PS and hadronisation, and with the NLO matching procedure are estimated in the same way as for the $t\bar{t}H$ signal.

For $t\bar{t} + \geq 1b$, the choice of recoil scheme in the ISR PS has a sizeable effect in the normalisations and shapes of the distributions used in the analysis within the detector acceptance [22]. The uncertainty associated with the choice of the global recoil scheme is assessed by comparing the nominal sample with an alternative sample produced with

the dipole recoil scheme. For $t\bar{t}$ + light and $t\bar{t} + \geq 1c$, the uncertainty in the choice of the h_{damp} parameter is estimated by using the alternative POWHEGBOX+PYTHIA8 sample with $h_{\text{damp}} = 3 m_{\text{top}}$.

Uncertainties related to the H_T reweighting procedure described in Sect. 5.1 are assigned independently in the single-lepton and dilepton channels. The $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ normalisation factors are varied within their uncertainties to estimate the corresponding systematic uncertainty. An additional uncertainty is estimated by comparing the distributions with and without the weights derived in the H_T reweighting procedure, in bins of jet multiplicity.

A $\pm 5\%$ normalisation uncertainty is considered for the cross-sections of the t- and s- single-top production modes [98,99]. The normalisation uncertainty of the tW background is 3.7% [100]. Modelling uncertainties in the tW production due to the choice of the PS and hadronisation model, the NLO matching and the h_{damp} parameter choice are evaluated in the same way as for the $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ backgrounds. The uncertainty associated with the interference between tW and $t\bar{t}$ production at NLO is assessed by comparing the nominal POWHEGBOX+PYTHIA8 sample pro-

duced using the diagram removal scheme to an alternative sample produced with the same generator but using the diagram subtraction scheme.

The total uncertainty in the theoretical $t\bar{t}W$ cross-section computed at NNLO in the QCD and at NLO in the electro-weak interactions is 7.4% [71]. The modelling uncertainty in the $t\bar{t}W$ background is evaluated by comparing the nominal SHERPA simulation with the sample produced using MADGRAPH5_AMC@NLO. For the $t\bar{t}Z$ production, the uncertainty in the predicted cross-section at NLO in QCD is 12% [18]. The modelling uncertainty in the $t\bar{t}Z$ background is evaluated by comparing the nominal MADGRAPH5_AMC@NLO+PYTHIA8 sample with the sample where PYTHIA8 is replaced by HERWIG7 to simulate the PS and hadronisation.

A normalisation uncertainty of $^{+70\%}_{-15\%}$ is assigned for the $t\bar{t}\bar{t}\bar{t}$ background. The down variation corresponds to the theory uncertainty covering effects from varying the factorisation and renormalisation scales, the PDFs and α_S [101] while the up variation covers the measured $t\bar{t}\bar{t}\bar{t}$ cross-section [102]. For tZq , the total normalisation uncertainty is 7.9% [37]. A normalisation uncertainty of 15.4% (9.2%) calculated using MADGRAPH5_AMC@NLO at NLO is applied to the $tHjb$ (tWH) background normalisation [103]. For tWZ , an uncertainty of $\pm 50\%$ is used [37].

The treatment of $V+jets$ uncertainties follows the previous analysis [104]. An uncertainty of 40% is assumed for the $W+jets$ cross-section, with an additional 30% normalisation uncertainty used for W boson production in association with heavy-flavour jets, taken as uncorrelated between events with two and events with more than two truth-level heavy-flavour jets. These uncertainties are based on variations of the factorisation and renormalisation scales and of the matching parameters in the SHERPA samples. An uncertainty of 35% is applied to the $Z+jets$ normalisation to account for both the variations of the scales and matching parameters in the SHERPA samples and the uncertainty in the correction factor for the Z boson production accompanied by two b -jets extracted from data. A 50% normalisation uncertainty is used for the diboson background, which includes uncertainties in the inclusive cross-section and additional jet production [105–107].

A 50% normalisation uncertainty is assigned to the fake-lepton background estimate in the single-lepton and dilepton channels separately. An additional uncertainty obtained by using an alternative parameterisation of the fake rate (Sect. 5.2) is considered in the single-lepton channel.

8 Results

An excess of events over the expected background is found with an observed (expected) significance of 4.6 (5.4) standard

deviations, in a combined profile-likelihood fit to data in all signal and control regions. The measured $t\bar{t}H$ signal strength for $m_H = 125.09$ GeV [108] is

$$\mu_{t\bar{t}H} = 0.81^{+0.22}_{-0.19} = 0.81 \pm 0.11(\text{stat.})^{+0.20}_{-0.16}(\text{syst.}). \quad (2)$$

The total statistical uncertainty is defined as the uncertainty in $\mu_{t\bar{t}H}$ when all nuisance parameters associated with the systematic uncertainties are fixed to their best-fit values. The total systematic uncertainty is then defined as the difference in quadrature between the total and statistical uncertainties. The systematic uncertainty in the signal strength $\mu_{t\bar{t}H}$ includes the theoretical uncertainties in the SM $t\bar{t}H$ cross-section described in Sect. 7. The measured $t\bar{t}H$ signal strength in the single-lepton channel is

$$\mu_{t\bar{t}H} = 0.72 \pm 0.12(\text{stat.})^{+0.21}_{-0.17}(\text{syst.}) \quad (3)$$

and in the dilepton channel is

$$\mu_{t\bar{t}H} = 1.03 \pm 0.26(\text{stat.})^{+0.28}_{-0.22}(\text{syst.}) \quad (4)$$

The combined $\mu_{t\bar{t}H}$ is converted into an inclusive cross-section using the SM theoretical cross-section of 507^{+35}_{-50} fb for $m_H = 125.09$ GeV and excluding its uncertainty [18]. The resulting cross-section is

$$\sigma_{t\bar{t}H} = 411^{+101}_{-92} \text{ fb} = 411 \pm 54(\text{stat.})^{+85}_{-75}(\text{syst.}) \text{ fb}, \quad (5)$$

with a relative uncertainty of $^{+25\%}_{-22\%}$, consistent with the SM prediction. The sensitivity is driven by the single-lepton channel, where the systematic component of the uncertainty dominates. The sensitivity of the dilepton channel is limited by the size of the dataset.

The measured values of the $t\bar{t} + \text{jets}$ background normalisation factors, which are free parameters of the fit, are listed in Table 5. They are compatible between the single-lepton and the dilepton channels within two standard deviations. For $t\bar{t} + 1b$, the measured value of the normalisation factor is slightly larger in the dilepton channel than in the single-lepton channel.

Compared with the previous analysis using the same dataset, the current analysis selects 64% (29%) new events in the single-lepton (dilepton) SR that did not enter the selection of the previous analysis. This is consistent with the increase of the overall acceptance by a factor of three. The statistical correlation between the two analyses is estimated using a bootstrap method to be 19%, assuming that the systematic uncertainties are independent. This assumption is justified by the fact that the systematic model of the most important $t\bar{t} + \geq 1b$ background is different between the two analyses and the experimental uncertainties are updated. Based on

Fig. 3 The $t\bar{t}H$ cross-sections measured in bins of truth Higgs boson p_T for a Higgs boson rapidity $|y| \leq 2.5$, and measured inclusively in the full phase space, normalised to their SM predictions, as obtained from a combined profile-likelihood fit to data in all signal and control regions. The uncertainties are shown separately for the measurement and the prediction

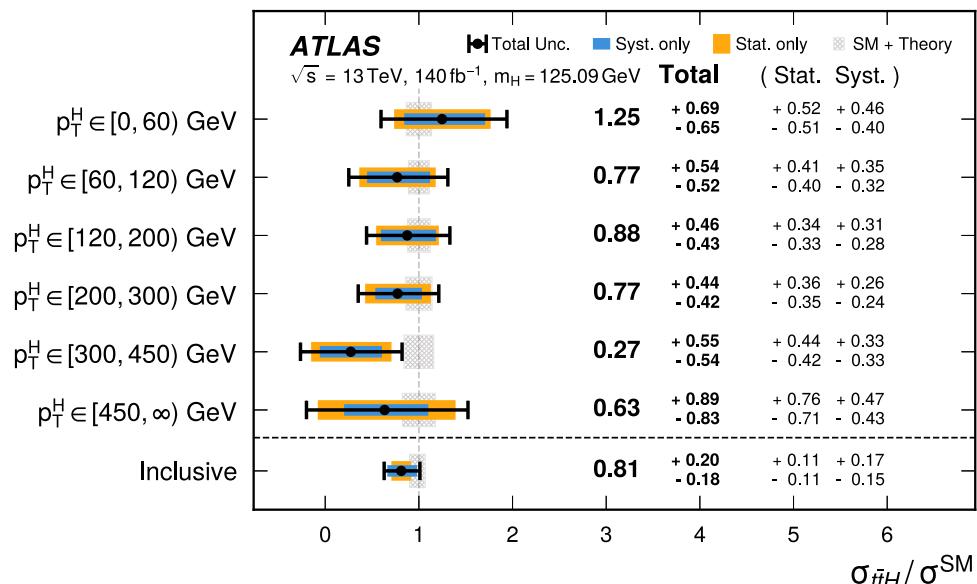


Table 5 Best-fit values of the $t\bar{t}$ + jets normalisation factors obtained from the fit to data with a single inclusive $t\bar{t}H$ signal strength parameter. Independent normalisation factors for $t\bar{t} + 1b$, $t\bar{t} + \geq 1c$ and $t\bar{t} +$ light components are used in the dilepton and single-lepton channels. Before

the fit, the $t\bar{t}$ + light and $t\bar{t} + \geq 1c$ components are normalised to the $t\bar{t}$ cross-section computed at NNLO in QCD including the resummation of NNLL soft-gluon terms [72] while the $t\bar{t} + \geq 1b$ normalisation is taken from the $t\bar{t}b\bar{b}$ MC simulation

| Normalisation factor | $t\bar{t}$ + light | $t\bar{t} + \geq 1c$ | $t\bar{t} + 1b$ | $t\bar{t} + 1B$ | $t\bar{t} + \geq 2b$ |
|----------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Single-lepton | $0.78^{+0.08}_{-0.08}$ | $1.51^{+0.19}_{-0.18}$ | $1.06^{+0.10}_{-0.10}$ | $1.15^{+0.15}_{-0.14}$ | $0.94^{+0.08}_{-0.08}$ |
| Dilepton | $0.88^{+0.11}_{-0.10}$ | $1.36^{+0.10}_{-0.10}$ | $1.24^{+0.09}_{-0.09}$ | | |

Fig. 4 Post-fit correlations between the measured values of the $t\bar{t}H$ cross-section, $\sigma_{t\bar{t}H}$, in bins of truth p_T^H

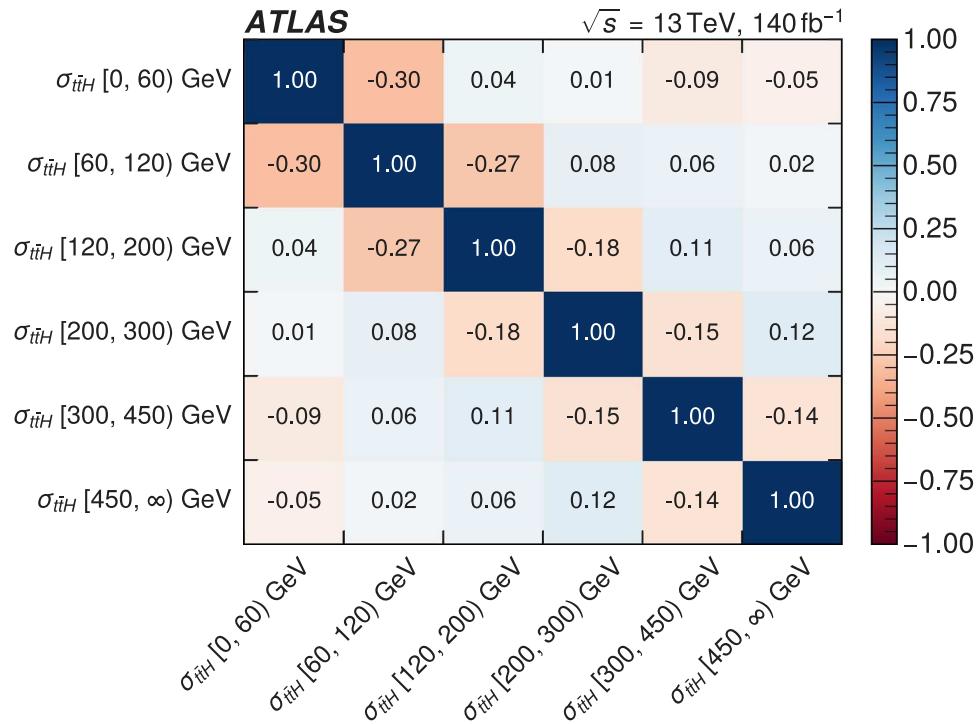


Fig. 5 Ranking of the 20 modelling and experimental systematic uncertainties with the largest post-fit impact on the inclusive cross-section. The empty (filled) blue rectangles correspond to the pre-(post-)fit impact on $\sigma_{t\bar{t}H}/\sigma^{\text{SM}}$ and refer to the upper scale of the plot. The impact of each nuisance parameter, $\Delta\sigma_{t\bar{t}H}/\sigma^{\text{SM}}$, is computed by comparing the nominal best-fit value of $\sigma_{t\bar{t}H}/\sigma^{\text{SM}}$ with the result of the fit when fixing the considered nuisance parameter to its best-fit value, $\hat{\theta}$, shifted by its pre-fit (post-fit) uncertainties $\pm\Delta\theta$ ($\pm\Delta\hat{\theta}$). The black markers show the pulls of the nuisance parameters relative to their nominal values, $\theta_0 = 0$. The red marker shows the pull of the $t\bar{t} + \geq 1c$ normalisation factor in the dilepton channel relative to its nominal value, $\theta_0 = 1$. No pre-fit uncertainty is defined for the normalisation factor as it is a free parameter of the fit. The pulls and their relative post-fit errors, $\Delta\hat{\theta}/\Delta\theta$, refer to the lower scale of the plot

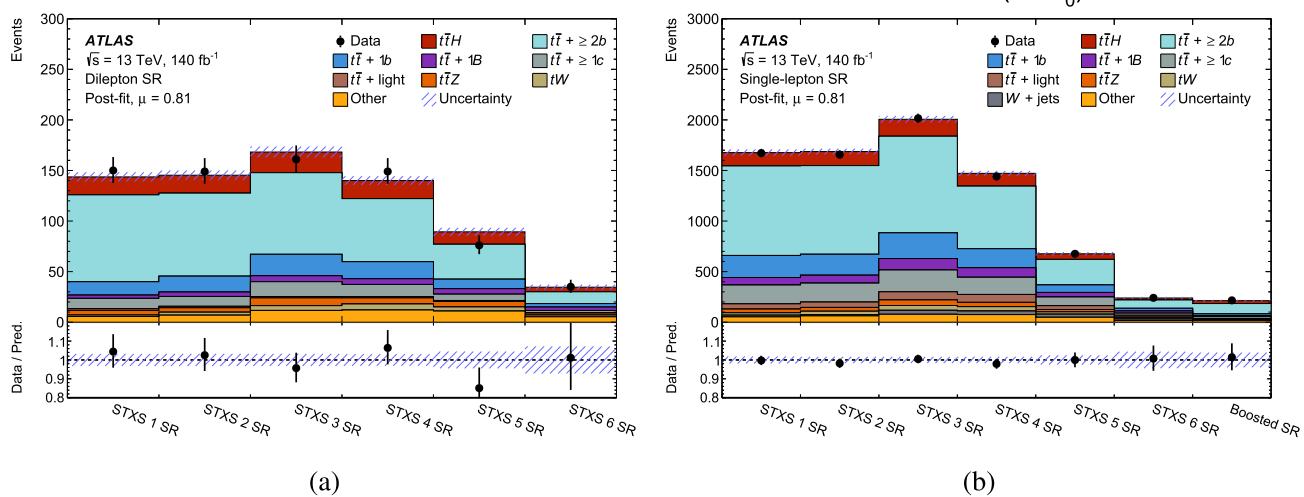
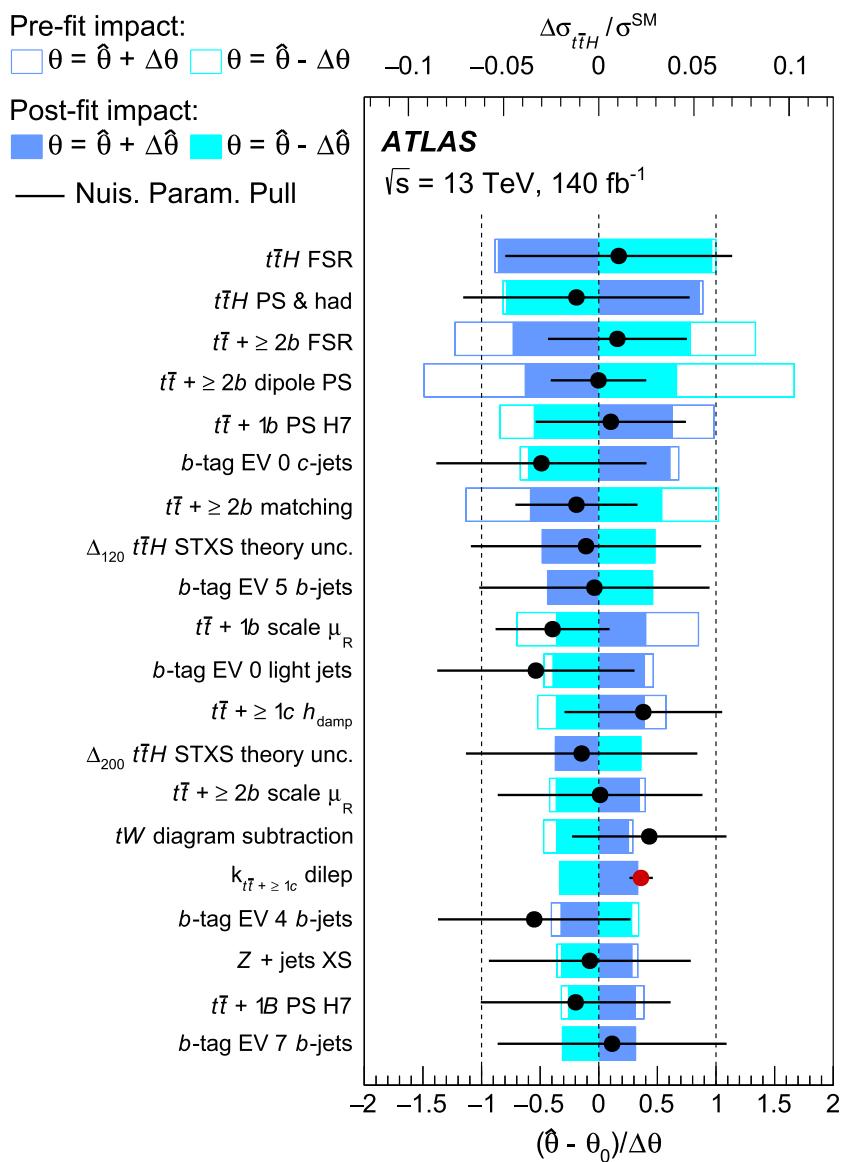


Fig. 6 Post-fit summary of the yields in the (a) dilepton and (b) single-lepton signal regions, with all regions aggregated into a single bin each. The uncertainty band includes all uncertainties and their correlations

Table 6 A list of the absolute and relative uncertainties in the measured $\sigma_{t\bar{t}H}$ grouped in categories. The contributions from different sources of uncertainty are evaluated after the fit. The quoted values are obtained by repeating the fit, while fixing the set of nuisance parameters of the sources corresponding to each category to their best-fit values, and subtracting in quadrature the resulting uncertainty from the total uncertainty of the nominal fit presented in the last row. The total uncertainty is different from the sum in quadrature of the different components due to correlations between nuisance parameters in the fit. The $t\bar{t}H$ and $t\bar{t} + \geq 1b$ radiation uncertainty categories include the renormalisation and factorisation scales, ISR and FSR uncertainties. The “ $t\bar{t}H$ theory” category includes STXS-related theoretical uncertainties and uncertainty in the $H \rightarrow b\bar{b}$ branching fraction. The “Minor background modelling” category includes uncertainties in the fake-lepton background and in minor backgrounds as defined in the text. The total statistical uncertainty includes uncertainties in the normalisation factors

| Uncertainty source | $\Delta\sigma_{t\bar{t}H}$ (fb) | $\Delta\sigma_{t\bar{t}H}/\sigma_{t\bar{t}H}$ (%) |
|-------------------------------------|---------------------------------|---|
| Process modelling | | |
| $t\bar{t}H$ modelling | | |
| $t\bar{t}H$ radiation | +35 | -21 |
| $t\bar{t}H$ parton shower | +32 | -19 |
| $t\bar{t}H$ matching | <0.1 | -0.3 |
| $t\bar{t}H$ theory | +25 | -17 |
| $t\bar{t} + \geq 1b$ modelling | | |
| $t\bar{t} + \geq 1b$ radiation | ± 31 | ± 8 |
| $t\bar{t} + \geq 1b$ parton shower | ± 29 | ± 7 |
| $t\bar{t} + \geq 1b$ matching | ± 19 | ± 5 |
| $t\bar{t} + \geq 1c$ modelling | ± 18 | ± 4 |
| $t\bar{t} + \text{light}$ modelling | ± 5 | ± 1 |
| tW modelling | ± 16 | ± 4 |
| Minor background modelling | ± 19 | ± 5 |
| Flavour tagging | ± 36 | ± 9 |
| Jet modelling | ± 22 | ± 5 |
| Monte-Carlo statistics | ± 17 | ± 4 |
| Other instrumental | ± 10 | ± 2 |
| Total systematic uncertainty | +85 | -75 |
| Normalisation factors | ± 21 | ± 5 |
| Total statistical uncertainty | ± 54 | ± 13 |
| Total uncertainty | +101 | -92 |
| | | |
| | +21 | -18 |

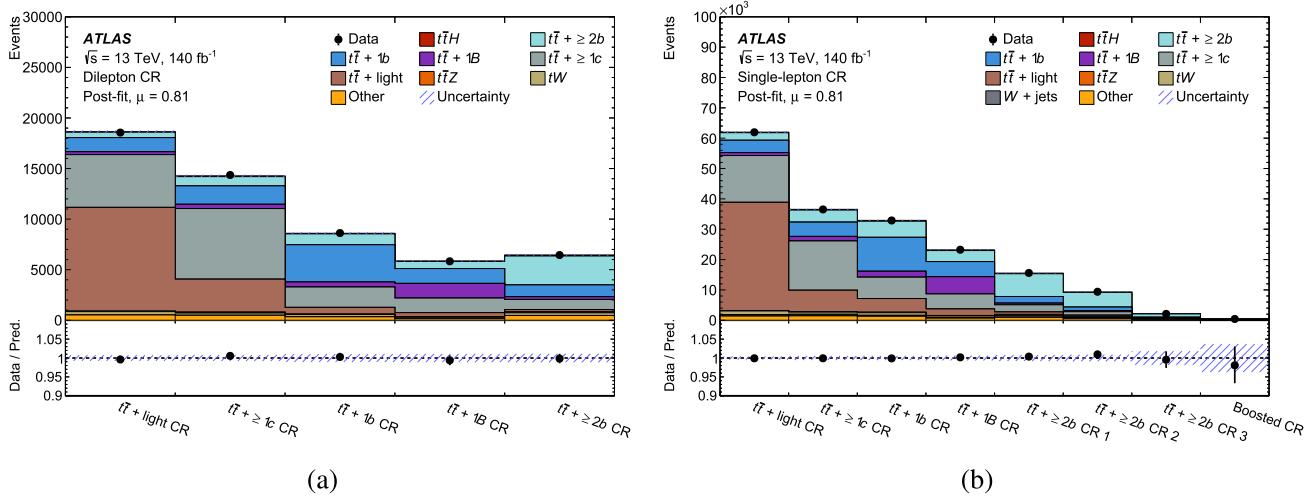


Fig. 7 Post-fit summary of the yields in the (a) dilepton and (b) single-lepton control regions, with all regions aggregated into a single bin each. The uncertainty band includes all uncertainties and their correlations

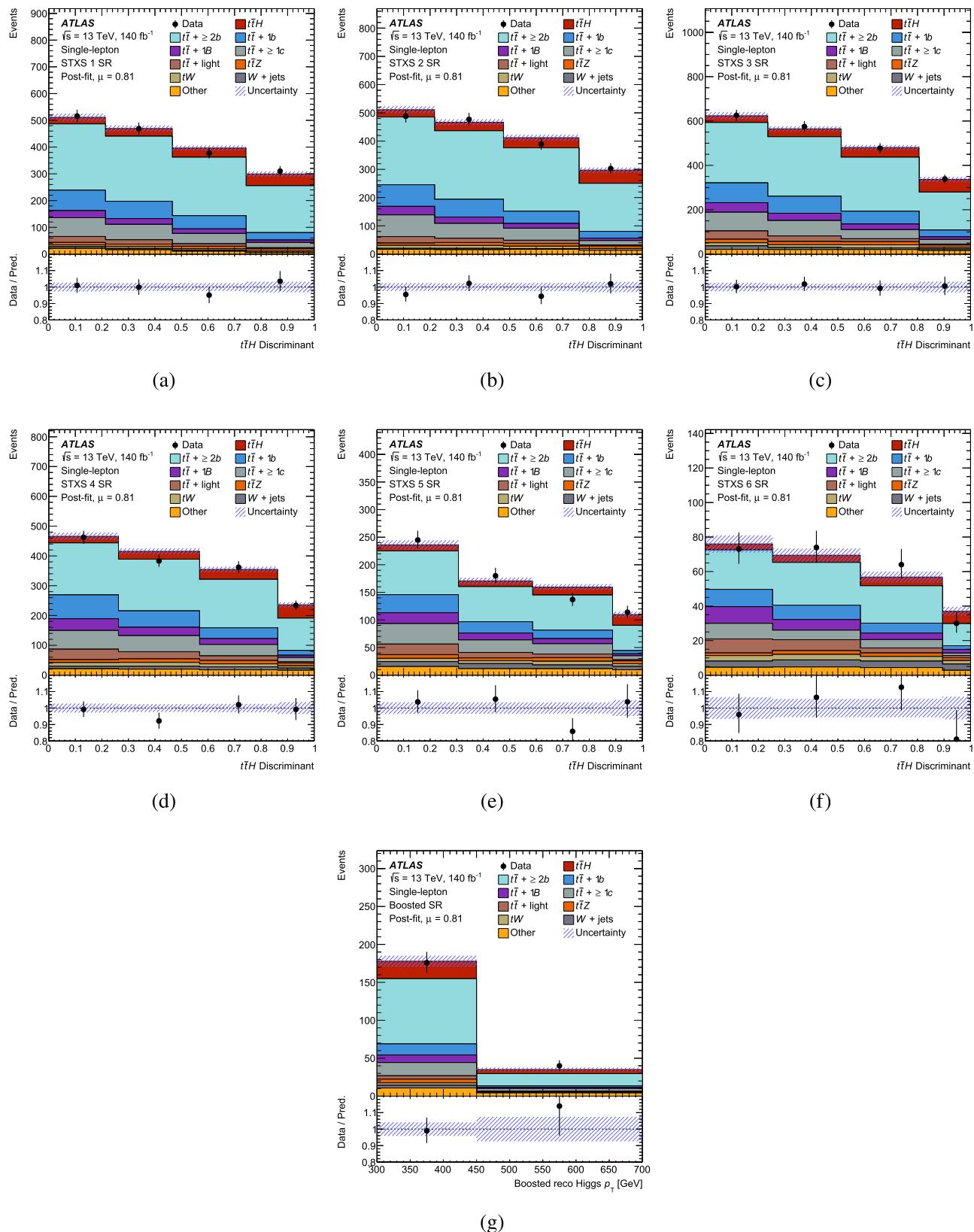


Fig. 8 Post-fit data/prediction comparisons of the signal region distributions entering the fit in the single-lepton channel. The discriminants are rescaled to lie between zero and one using a logistic function. The signal and background normalisation parameters and the nu-

ance parameters are set to their best-fit values. The uncertainty band includes all uncertainties and their correlations. For the reconstructed Higgs boson p_T in the boosted signal region, the first (last) bin includes the underflow (overflow) contributions

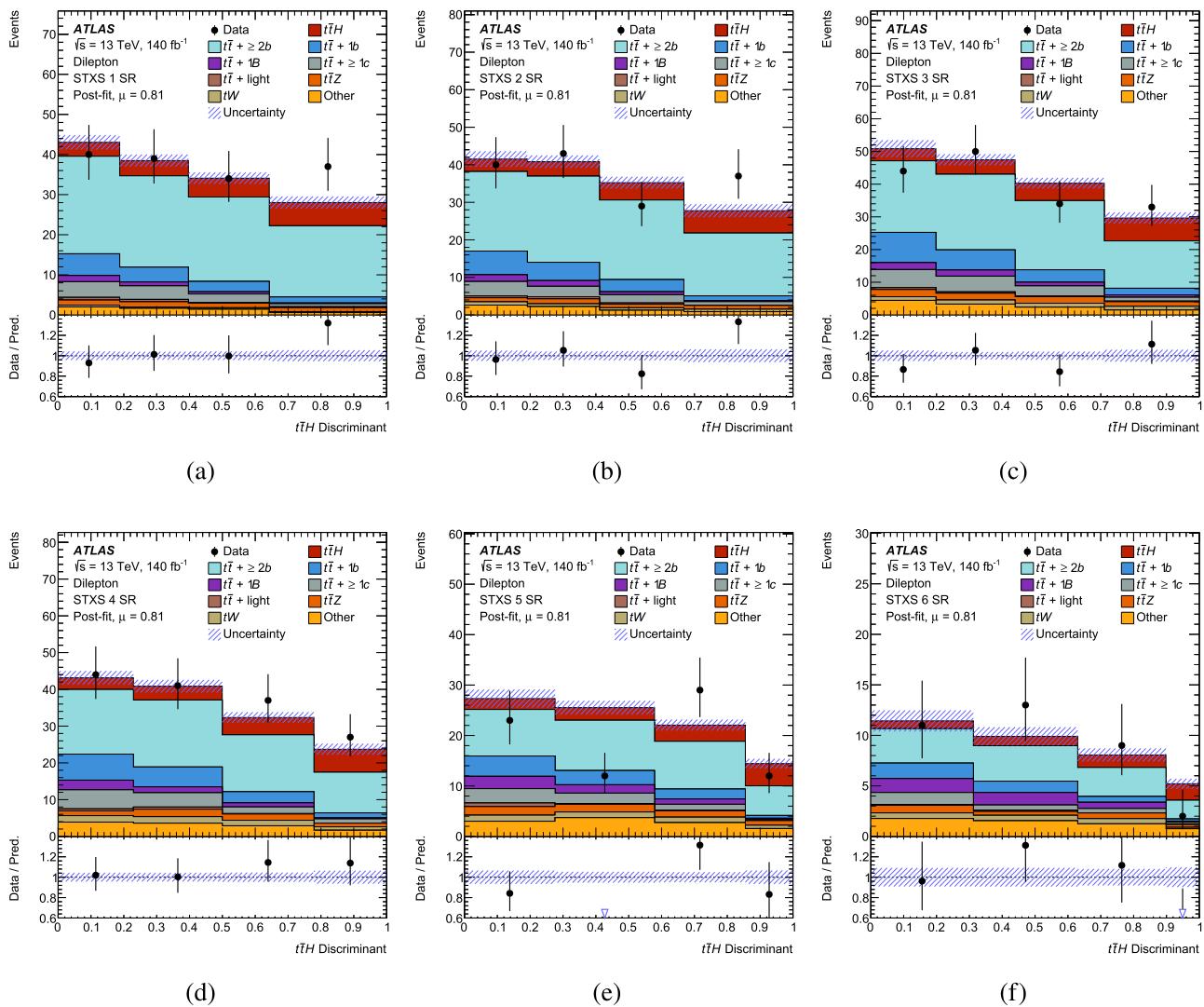


Fig. 9 Post-fit data/prediction comparisons of the signal region distributions entering the fit in the dilepton channel. The discriminants are rescaled to lie between zero and one using a logistic function. The signal

and background normalisation parameters and the nuisance parameters are set to their best-fit values. The uncertainty band includes all uncertainties and their correlations

this, the probability that the current result is compatible with the result of Ref. [20] is estimated as 21%.

Figure 3 shows the measured values of $\sigma_{t\bar{t}H}/\sigma^{\text{SM}}$ in bins of the Higgs boson p_T , obtained from a combined profile-likelihood fit to data with a free $t\bar{t}H$ signal strength parameter for each bin. The result of this measurement is compatible with the SM prediction with a p-value of 89%, taking into account theoretical uncertainties in the SM $t\bar{t}H$ cross-section. The measured value of the inclusive signal strength is also shown. The measurement of $\sigma_{t\bar{t}H}/\sigma^{\text{SM}}$ in the $[300, 450]$ GeV and $[450, \infty)$ GeV p_T^H bins is limited by statistical uncertainties. In the remaining bins, there is a similar contribution from statistical and systematic uncertainties. Defining boosted regions improves sensitivity in the

$[450, \infty)$ GeV p_T^H bin by about 15%, compared with the scenario where only the resolved event selection is applied to these events. The correlations between the parameters of interest are shown in Fig. 4. They do not exceed 30% and are larger in the lower Higgs boson transverse momentum region.

The fitted values of $t\bar{t} + \text{jets}$ background normalisation factors are consistent with those obtained in the fit with a single $\mu_{t\bar{t}H}$ parameter shown in Table 5.

The absolute and relative systematic uncertainties in the measurement of $\sigma_{t\bar{t}H}$, grouped in categories, are shown in Table 6, and the effect of individual nuisance parameters ranked according to their impact on the inclusive $\sigma_{t\bar{t}H}/\sigma^{\text{SM}}$ is shown in Fig. 5. The largest impact originates from the signal

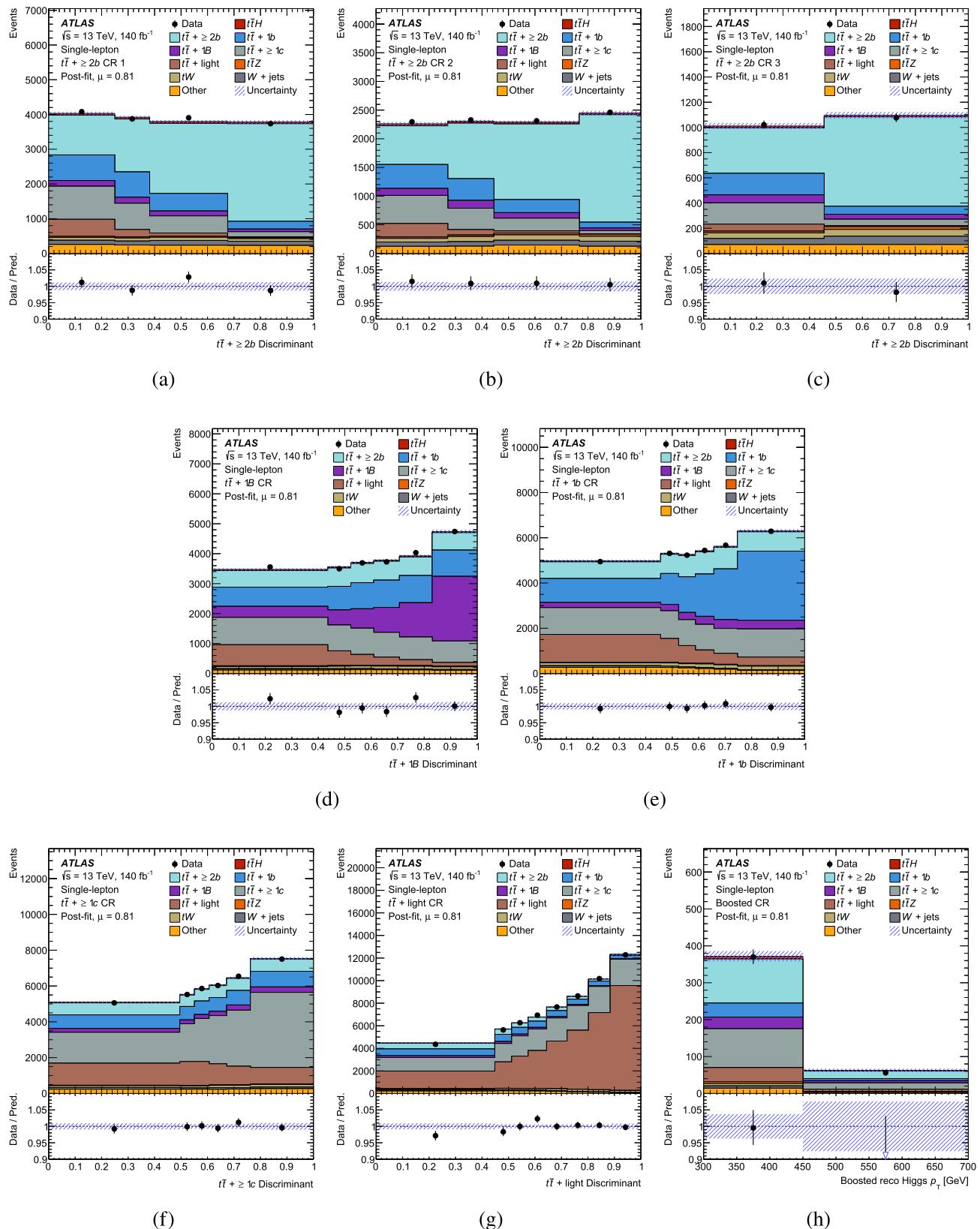


Fig. 10 Post-fit data/prediction comparisons of the control region distributions entering the fit in the single-lepton channel. The discriminants are rescaled to lie between zero and one using a logistic function. The signal and background normalisation parameters and the nu-

mance parameters are set to their best-fit values. The uncertainty band includes all uncertainties and their correlations. For the reconstructed Higgs boson p_T in the boosted control region, the first (last) bin includes the underflow (overflow) contributions

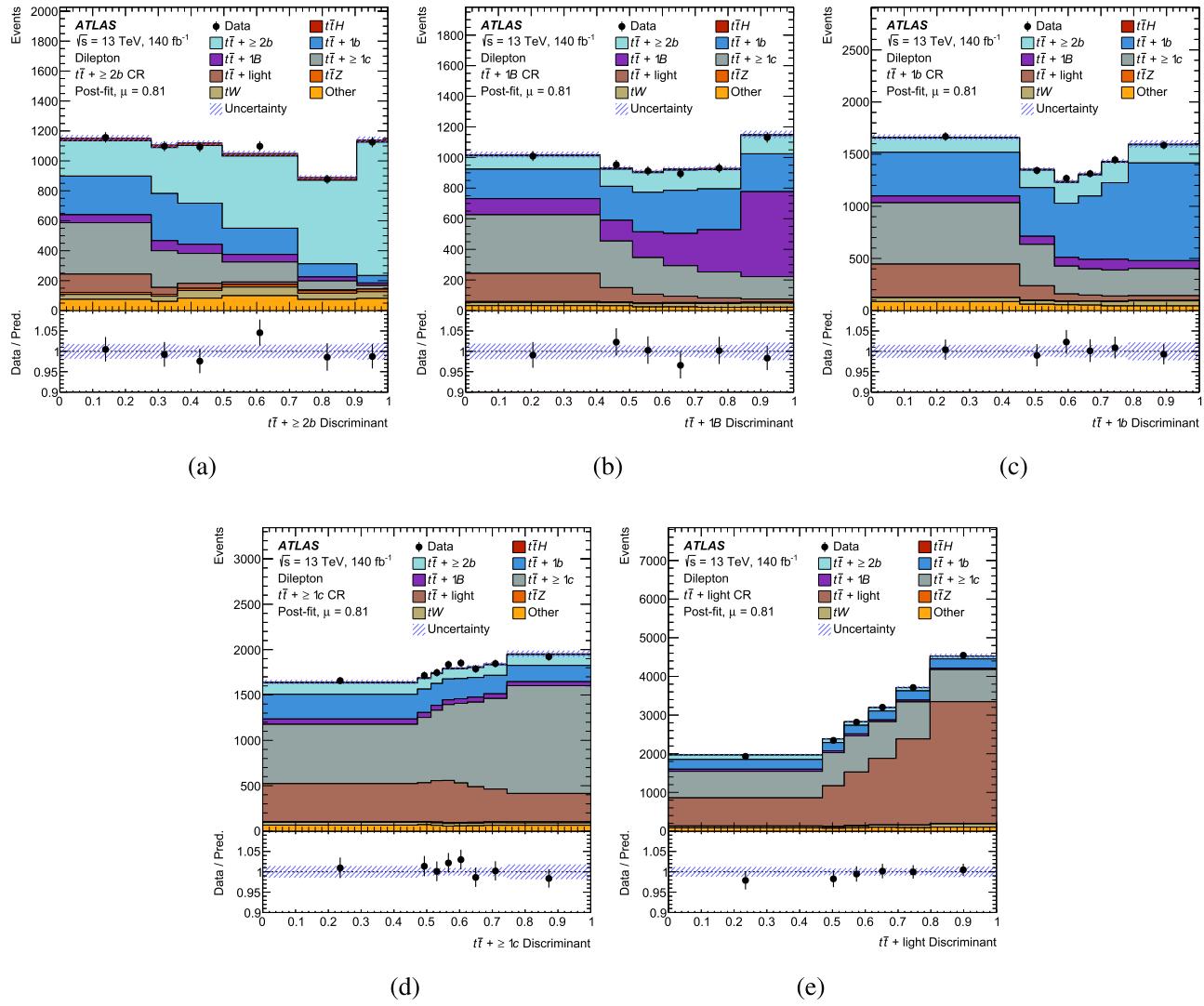


Fig. 11 Post-fit data/prediction comparisons of the control region distributions entering the fit in the dilepton channel. The discriminants are rescaled to lie between zero and one using a logistic function. The signal

and background normalisation parameters and the nuisance parameters are set to their best-fit values. The uncertainty band includes all uncertainties and their correlations

modelling, followed by the $t\bar{t} + \geq 2b$ background modelling. In both cases, the dominant effects arise from the modelling of the FSR and the choice of the PS model. Among the experimental uncertainties, the largest effects come from the b -jet tagging and the jet energy scale.

Among the eight highest ranked nuisance parameters in Fig. 5, the largest constraints are observed for the $t\bar{t} + \geq 2b$ uncertainties arising from the dipole shower model and the choice of matching algorithm. These constraints predominantly originate from the single-lepton channel, and more specifically the SRs and the $t\bar{t} + \geq 2b$ CR where the $t\bar{t} + \geq 2b$ background contribution is large. The constraints are more pronounced when the $t\bar{t} + \geq 2b$ CR is split into three in the single-lepton channel to better control the $t\bar{t} + \geq 2b$ background modelling in bins of the reconstructed Higgs boson p_T .

The observed yields in all signal and control regions are compared to the post-fit predictions in Figs. 6 and 7. In each region, all bins are aggregated into a single bin. Figures 89 and 1011 show a more detailed comparison in the single-lepton (dilepton) signal and control regions. The signal and background predictions in all post-fit distributions are obtained by setting the free parameters and the nuisance parameters to their best-fit values. In all post-fit plots, the uncertainty band includes all uncertainties and their correlations. The discriminant output in the plots is rescaled to be between zero and one using a logistic function.

The global goodness of fit [109, 110] is 87% for the $\sigma_{t\bar{t}H}/\sigma_{\text{SM}}$ measurement in p_T^H bins, highlighting that good post-fit modelling is achieved.

Figure 12 shows the event yield in data compared with the post-fit signal (S) and total background (B) predictions. It is ordered by the signal-to-background ratio of the bins from all the fitted regions. The predictions are shown for the best-fit signal strength and for the SM prediction. The observed data shows an excess over the background compatible with the best-fit signal strength $\mu_{t\bar{t}H} = 0.81$ in the high $\log_{10}(S/B)$ region.

9 Conclusion

The associated production of a Higgs boson with a pair of top quarks was measured in the $H \rightarrow b\bar{b}$ decay channel, using the full Run 2 proton–proton collision dataset collected at $\sqrt{s} = 13$ TeV by the ATLAS detector at the LHC, corresponding to 140 fb^{-1} . The measurement uses final states containing one or two leptons. The measured inclusive cross-section assuming a Higgs boson mass of 125.09 GeV is $411 \pm 54(\text{stat.})^{+85}_{-75}(\text{syst.}) \text{ fb}$ with a relative uncertainty of

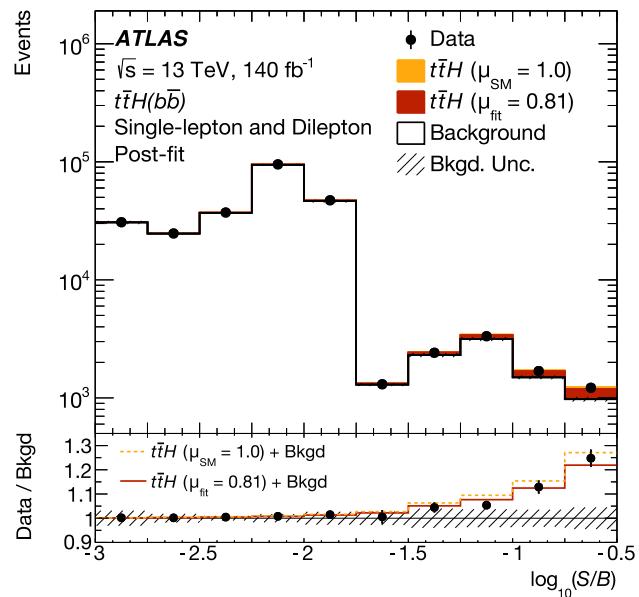


Fig. 12 Observed and expected event yields as a function of $\log_{10}(S/B)$, where S and B are the post-fit signal and total background yields, respectively. The bins in all fitted regions are ordered and grouped in bins of $\log_{10}(S/B)$. The signal is shown for the best-fit signal strength, $\mu = 0.81$, or the SM prediction, $\mu = 1.0$. The lower panel shows the ratio of the data to the post-fit background prediction, compared with the signal-plus-background prediction with the best-fit signal strength and the SM prediction. The shaded band represents the total uncertainty in the background prediction. The first (last) bin includes the underflow (overflow) contributions

24%, consistent with the SM prediction. The measurement is dominated by the systematic uncertainties arising from the $t\bar{t}H$ signal modelling followed by the $t\bar{t} + \text{jets}$ background modelling. The observed (expected) significance of the $t\bar{t}H$ signal relative to the SM background-only hypothesis is 4.6 (5.4) standard deviations. The cross-section measurement is also performed in six bins of p_T^H in the STXS framework with the highest bin probing Higgs bosons with p_T^H above 450 GeV. The uncertainty in the measurement for p_T^H above 300 GeV is dominated by the limited number of data events.

With respect to the previous iteration of the analysis, this measurement profits from looser selection requirements and improved b -jet identification that increase the $t\bar{t}H$ signal acceptance. Control regions enriched in each of the $t\bar{t} + \text{jets}$ components are defined based on a more powerful multi-class neural network. Together with data-driven modelling corrections for the $t\bar{t} + \geq 1c$ and $t\bar{t} + \text{light}$ components, and a dedicated MC simulation and systematic model for the $t\bar{t} + \geq 1b$ component, they provide improved signal sensitivity and better control over the background, such that the modelling uncertainty in $t\bar{t} + \geq 1b$ is no longer the dominant contribution to the total systematic uncertainty.

This analysis is the most precise $t\bar{t}H$ cross-section measurement in a single decay channel, inclusively and in each p_T^H bin.

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Code Availability Statement My manuscript has no associated code/software. [Authors' comment: ATLAS collaboration software is open source, and all code necessary to recreate an analysis is publicly available. The Athena (<http://gitlab.cern.ch/atlas/athena>) software repository provides all code needed for calibration and uncertainty application, with configuration files that are also publicly available via Docker containers and cvmfs. The specific code and configurations written in support of this analysis are not public; however, these are internally preserved.]

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