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Article:

Gala de Pablo, J., Lindley, M., Hiramatsu, K. et al. (1 more author) (2021) High-Throughput Raman Flow Cytometry and Beyond. Accounts of Chemical Research, 54 (9). pp. 2132-2143. ISSN 0001-4842

https://doi.org/10.1021/acs.accounts.1c00001

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High-throughput Raman flow cytometry and beyond

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13 CONSPECTUS

14 Flow cytometry is a powerful tool with applications

in diverse fields such as microbiology, immunology,
virology, cancer biology, stem cell biology, and
metabolic engineering. It rapidly counts and
characterizes large heterogeneous populations of
cells in suspension (e.g., blood cells, stem cells,
cancer cells, microorganisms) and dissociated solid

tissues (e.g., lymph nodes, spleen, solid tumors) with

22 typical throughputs of 1,000 - 100,000 events per

23 second (eps). By measuring cell size, cell granularity,



24 and the expression of cell surface and intracellular molecules, it provides systematic insights into biological 25 processes. Flow cytometers may also include cell sorting capabilities to enable subsequent additional analysis 26 of the sorted sample (e.g., electron microscopy, DNA/RNA sequencing), cloning, and directed evolution. 27 Unfortunately, traditional flow cytometry has several critical limitations as it mainly relies on fluorescent 28 labeling for cellular phenotyping, which is an indirect measure of intracellular molecules and surface antigens. 29 Furthermore, it often requires time-consuming preparation protocols and is incompatible with cell therapy. To 30 overcome these difficulties, a different type of flow cytometry based on direct measurements of intracellular 31 molecules by Raman spectroscopy, or "Raman flow cytometry" for short, has emerged. Raman flow cytometry 32 obtains a chemical fingerprint of the cell in a non-destructive manner, allowing for single-cell metabolic 33 phenotyping. However, its slow signal acquisition due to the weak light-molecule interaction of spontaneous 34 Raman scattering prevents the throughput necessary to interrogate large cell populations in reasonable time 35 frames, resulting in throughputs of about 1 eps. The remedy to this throughput limit lies in coherent Raman 36 scattering methods such as stimulated Raman scattering (SRS) and coherent anti-Stokes Raman scattering 37 (CARS), which offer a significantly enhanced light-sample interaction and hence enable high-throughput Raman 38 flow cytometry, Raman imaging flow cytometry, and even Raman image-activated cell sorting (RIACS). In this 39 Account, we outline recent advances, technical challenges, and emerging opportunities of coherent Raman flow 40 cytometry. First, we review the principles of various types of SRS and CARS and introduce several techniques 41 of coherent Raman flow cytometry such as CARS, multiplex CARS, Fourier-transform CARS, SRS, SRS 42 imaging flow cytometry, and RIACS. Next, we discuss a unique set of applications enabled by coherent Raman 43 flow cytometry, from microbiology and lipid biology to cancer detection and cell therapy. Finally, we describe 44 future opportunities and challenges of coherent Raman flow cytometry including increasing sensitivity and 45 throughput, integration with droplet microfluidics, utilizing machine learning techniques, or achieving in vivo

1 flow cytometry. This Account summarizes the growing field of high-throughput Raman flow cytometry and the

- 2 bright future it can bring.
- 3

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- image-activated cell sorting that achieves a high throughput of ~ 100 eps.

30 1. INTRODUCTION

29

Flow cytometry is a powerful tool that has numerous applications in diverse fields such as microbiology, 31 immunology, virology, cancer biology, stem cell biology, and metabolic engineering⁵⁻⁹. It rapidly counts and 32 33 characterizes large heterogeneous populations of cells in suspension (e.g., blood cells, stem cells, 34 microorganisms) by measuring cell size, cell granularity, and the expression of cell surface and intracellular 35 molecules with throughputs of 1,000 - 100,000 events per second (eps) – where an event is defined as a single cell, a cell cluster, or a piece of cell debris detected by the system -, providing systematic insights into biological 36 processes¹⁰. It also handles solid tissues (e.g., lymph nodes, spleen, mucosal tissues, solid tumors) that can be 37 38 dissociated into single cells¹¹. In addition to the analysis of cell populations, the ability to sort target cells from 39 mixed populations is essential for further analysis (e.g., electron microscopy, DNA/RNA sequencing), cloning, and directed evolution, as represented by fluorescence-activated cell sorting (FACS)¹². Traditionally, the 40 instrumentation of typical flow cytometers involves fluidics, optics, electronics, and data analysis¹³. Specifically, 41 42 multiple lasers are used to interrogate a single stream of flowing cells focused passively or actively in a capillary 43 tube or microfluidic channel, followed by the measurement of fluorescence signals from the cells by sensitive 44 photodetectors and the statistical analysis of the detected events in a multi-parametric histogram or scatter plot¹⁴.

1 Unfortunately, traditional flow cytometry has several critical limitations as it mainly relies on fluorescent 2 labeling for cellular phenotyping, an indirect measure of intracellular molecules and surface antigens (Table 1). 3 First, fluorescent probes are typically bulky and often perturb the function of small biomolecules such as metabolites¹⁵. Second, fluorescent labeling is not applicable to all types of cells (e.g., bacteria, microalgae) due 4 5 to the unavailability or unstable binding efficiency of fluorescent probes or due to interfering autofluorescence¹⁶. 6 Third, immunofluorescent staining is remarkably difficult for labeling intracellular molecules in live cells due 7 to the low permeability of cellular membranes and also requires accounting for unwanted side effects such as non-specific binding¹⁷. Fourth, while fluorescent fusion proteins allow for highly specific labeling of 8 9 intracellular proteins, gene transfection is required, but not available for all cell types and proteins¹⁸. Fifth, 10 without the molecular specificity provided by these labeling methods, intracellular fluorescent staining with fluorescent dyes suffers from non-specific binding and low quantitative performance since it depends on the 11 12 difference in their chemical affinity to target biomolecules¹⁹. Sixth, the process of fluorescent labeling can be 13 laborious, costly, and time-consuming and may affect the results of time-sensitive samples²⁰. Lastly, fluorescent 14 labeling can introduce xenobiotic compounds and lead to immunogenicity, such that human cells including 15 human induced pluripotent stem cells (hiPSCs) and chimeric antigen receptor T (CAR-T) cells cannot be

16 fluorescently labeled before their injection to the human body for cell therapies 21,22 .

17 To overcome these limitations, a different type of flow cytometry based on direct measurements of intracellular molecules by Raman spectroscopy, or "Raman flow cytometry" for short, has emerged²³ (Table 1). The principle 18 19 of Raman spectroscopy is the inelastic scattering of incident photons by molecular vibrations so that it can be 20 used to probe single live cells via intracellular molecular vibrations without the need for fluorescent labeling. 21 Since Raman flow cytometry directly interrogates intracellular molecules in a label-free manner, it is free from 22 the aforementioned limitations associated with fluorescent labeling. Moreover, the narrow spectral features of 23 Raman flow cytometry offer much richer cellular information than traditional flow cytometry which only 24 provides up to several colors (contrasting fluorophores) due to their spectral overlap²⁴. While Raman flow 25 cytometry holds the promise of large-scale label-free cell analysis, the slow signal acquisition of Raman 26 spectroscopy due to the weak light-molecule interaction of spontaneous Raman scattering (RS) results in typical 27 throughputs below 1 eps (Figure 1a), preventing the interrogation of large cell populations²⁴.

The remedy to this throughput limit lies in coherent Raman scattering (CRS) methods²⁴, which significantly enhance light-sample interaction and allow higher throughput than spontaneous RS (Table 1, Figures 1b-1d). CRS methods utilize strong laser pulses, called "pump" and "Stokes", to create a phase coherence in molecular vibrations along the optical path. The Raman-scattered light from these coherently driven molecules adds up constructively, producing several orders of magnitude stronger signals than spontaneous RS measurements²⁵. The higher signal level of CRS is employed to reduce the spectral acquisition time from ~1 s orders of spontaneous Raman to ~1 ms and even ~1 µs orders, facilitating applications such as video-rate Raman imaging²⁶

and high-throughput Raman flow cytometry. Specifically, two approaches to CRS dominate the current practice:

36 stimulated Raman scattering (SRS)²⁷ (Figure 1b) and coherent anti-Stokes Raman scattering (CARS)²⁵ (Figure

37 1c). In fact, several papers about the experimental demonstration of high-throughput Raman flow cytometry

based on SRS and CARS have been reported 1-4,28-31.

39 In this Account, we outline recent advances, technical challenges, and emerging opportunities of coherent Raman

40 flow cytometry for high-throughput analysis. First, we review the principles of various types of SRS and CARS

41 and introduce several techniques of coherent Raman flow cytometry. Next, we discuss a unique set of

- 42 applications enabled by coherent Raman flow cytometry. Finally, we describe future opportunities and
- 43 challenges of coherent Raman flow cytometry.
- 44

2. COHERENT RAMAN SCATTERING FOR RAMAN FLOW CYTOMETRY

In CRS, incident photons can scatter to lower frequencies (Stokes scattering) or to higher frequencies (anti-Stokes scattering). The magnitude of this frequency shift identifies molecular vibrational energy levels in the ample and is reported as a spectrum in units of cm⁻¹. The two most relevant regions of the spectrum for cell analysis are the "fingerprint" region (500-1800 cm⁻¹) and the "high-wavenumber" region (2800-3800 cm⁻¹), with spectral "silent" region (1800-2800 cm⁻¹) in between³². Raman spectra identify small molecules with high specificity, but lose specificity among large biomolecules with repeated functional groups, such as proteins and nucleic acids. The silent region, empty of Raman signals from biomolecules, is excellent for labelling schemes

- 9 using probes containing deuterium bonds and alkynes³³.
- 10 Since the first demonstration of SRS in 1962^{34} and CARS in 1965^{35} , both methods have been optimized to a
- 11 wide range of applications. For Raman flow cytometry this optimization consists of balancing trade-offs between
- 12 resolution, bandwidth, and throughput: molecular information grows with increases in resolution and bandwidth
- while throughput decreases. Current SRS and CARS spectrometers typically use pulsed lasers to interact with the sample, with pulse widths in the ps or fs range (Figures 1b-1d). Short pulses have a high photon intensity,
- necessary to drive CRS processes, while minimizing laser-induced thermal damage³⁶. Additionally, the spectral
- 16 width of a laser is inversely related to its pulse width, such that a short pulse width enables single-pulse
- broadband measurement. This eliminates the need to scan the laser frequency, which is typically too slow for
- 18 high-throughput measurement. As an additional consideration, CRS methods require a tight beam focus to
- 19 produce a signal, resulting in a small acquisition volume at the beam focus relative to cell size. While this brings
- 20 high intracellular spatial resolution, it also necessitates spatial beam scanning for imaging or whole-cell
- 21 measurement. A brief description of CRS methods follows, with a focus on work relevant to high-throughput 22 Raman flow cytometry.
- 23

24 2.1. Stimulated Raman scattering (SRS)

25 In SRS, pump and Stokes photons from separate laser sources are combined to drive vibrational excitation in the 26 sample³⁷. This stimulated process annihilates a pump photon and creates a second Stokes photon when the 27 difference in photon energy matches a vibrational transition energy in the sample (Figure 1b). Molecular vibrations are detected as a loss in photon count (stimulated Raman loss; SRL) in the pump beam or as a gain in 28 29 photon count (stimulated Raman gain; SRG) in the Stokes beam. These signals are quite small and can be overwhelmed by detector noise, such that one beam is modulated in time and lock-in-amplification is employed 30 31 to facilitate detection. Generally, SRS spectra are produced by scanning the optical frequency between pump 32 and Stokes lasers. Alternatively, using a broadband laser to provide one beam can cover the desired spectral 33 range in a single pulse, eliminating the need of scanning the laser. Broadband SRS produces a spectrum every 5 34 - 300 µs^{31,38}. Even shorter acquisition times can be realized with careful management of the trade-off between 35 bandwidth and temporal resolution. For example, Wakisaka et al. demonstrated SRS using a synchronized pair of pulsed lasers, where pump pulses were entrained with wavelength-switchable Stokes pulses, achieving high-36 contrast four-color SRS of cellular biomolecules at an acquisition time of just $\sim 630 \text{ ns}^{39}$ per spectrum. 37

38

39 2.2. Coherent anti-Stokes Raman scattering (CARS)

In CARS, pump and Stokes pulses first drive a vibrational coherence within the sample. This vibrational coherence is then interrogated by a probe pulse, with probe photons scattering inelastically off the driven

42 vibrations to receive an anti-Stokes (blue) shift (Figure 1c). The magnitude of this shift corresponds to the energy

- 43 of the vibrational transition and has the benefit of spectrally separating the signal light from the laser-driven
- fluorescence emission of the sample, which is generally red-shifted. Several CARS techniques have been
- 45 implemented for high-throughput biological measurement, with some achieving broadband spectra at a sub-ms

repetition rate^{40,41}. However, a persistent challenge in these frequency-domain CARS techniques is their susceptibility to non-resonant background (NRB). The intense laser pulses used to drive the CARS process also induce non-resonant light-matter interactions within the sample, which provide a strong spectral background of anti-Stokes-shifted probe light. Significant efforts have gone towards experimental designs and analysis techniques that remove this distortion^{42–44}. Alternatively, as NRB has a short lifetime (~fs) in comparison with the coherence lifetime (~ps) of molecular vibrations⁴⁵, it can be largely avoided by delaying the arrival of the probe pulse until pump-driven NRB has subsided⁴⁶. This is neatly accomplished by Fourier-transform CARS

- 8 (FT-CARS)⁴⁷, which employs an interferometric pump-probe measurement scheme where probe light is delayed 9 to interact with the sample after the pump-driven NRB decay (Figure 1d). By combining the FT-CARS scheme
- 9 to interact with the sample after the pump-driven NRB decay (Figure 1d). By combining the FT-CARS scheme with a lab-built ultrafast interferometer, NRB-free and fingerprint-region-spanning Raman spectra can be
- with a lab-built ultrafast interferometer, NRB-free and fingerprint-region-spanning Raman spectra produced within 42 us^{48,49}.
- 12

13 2.3. Comparison between SRS and CARS

14 Although the information provided by SRS and CARS is identical in principle, these methods are used 15 differently, depending on the application. A notable advantage of SRS is the absence of the non-resonant 16 background, which makes the measured signal proportional to the concentration of the molecules. On the other 17 hand, detection of SRS signals requires a highly stable pulse source in combination with a lock-in amplifier or 18 tuned amplifier (TAMP). This makes broadband SRS measurement, especially in the fingerprint region, more 19 technically challenging than broadband CARS measurement because the use of stable broadband light sources 20 and multi-channel lock-in amplifiers or a TAMP array necessitates the development of a highly customized 21 system with non-commercially available components.

22

3. TECHNIQUES OF COHERENT RAMAN FLOW CYTOMETRY

24 Common among all coherent Raman flow cytometry techniques is the need to flow cells through the optical 25 interrogation region at high rates. This is generally achieved using microfluidics, where a sample of suspended 26 cells is pumped through a microcapillary or microchannel in a glass or polymer device, with channel cross-27 sections typically of tens-to-hundreds of micrometers. To increase throughput and measurement reproducibility, 28 the cells may be focused by hydrodynamic or acoustic forces so that they flow singly and at constant velocity 29 through the optical interrogation region⁵⁰. Following the interrogation, the cells flow through an on-chip outlet. 30 To meet needs such as rare-cell isolation and cell-line purification, setups incorporate on-chip sorting 31 technology, where cells are sorted in real time to collection and waste channels according to features in their Raman spectra^{4,24}. Here we review the technical characteristics of the coherent Raman flow cytometry 32 33 techniques reported in the literature to date.

34

35 3.1. CARS flow cytometry

36 CARS flow cytometry was first demonstrated by Wang *et al.* in 2008²⁸, by coupling a CARS microscope with a 37 polydimethylsiloxane (PDMS) microfluidic channel and syringe pumps (Figure 2a). PDMS, often a challenge 38 for spontaneous Raman applications due to its strong background, had no CARS background, thanks to the high 39 confocality of CRS processes. The microfluidic device consisted of a 200 μ m x 60 μ m channel with 40 hydrodynamic cell focusing. The optical system incorporated two temporally overlapped 5 ps pulse lasers. The 41 center frequencies of the lasers were offset to provide a spectral difference of ~2840 cm⁻¹ between pump and 42 Stokes pulses necessary for the measurement of CH-stretching in the high-wavenumber region. Photomultiplier

- tubes detected the CARS signal in both the forwards- and backwards-scattering directions. Cell measurement
- 44 was performed at a single spectral point in the CH-stretching region. Spatially scanning the laser focal spot

- perpendicular to the flow direction produced CARS intensity images and sizing the cells under flow. Wang *et al.* calculated a maximum potential throughput of 100 eps in their setup for distortion-free CARS imaging²⁸.
- 3

4 **3.2. Multiplex CARS flow cytometry**

5 CARS flow cytometry progressed from single-frequency to broadband spectral measurement with the work of Camp et al. in 2011 (Figure 2b)³⁰. In this work, they developed a technique called multiplex CARS (MCARS), 6 which utilized a broadband coherent light source to provide Stokes photons. This setup was capable of exciting 7 8 all Raman modes from 1200 to 3100 cm⁻¹, ranging from the upper fingerprint and silent to the lower high-9 wavenumber regions, with each CARS pulse, negating the need for frequency scanning and enabling broadband, high-throughput measurement. The incorporated microfluidic chip was commercially purchased and utilized 10 sheath flow for hydrodynamic cell focusing. As a proof-of-concept demonstration, they measured yeast cells in 11 12 flow at a throughput of up to 100 eps, limited by detector design. Based on the sensitivity of their flow cytometer, 13 Camp et al. extrapolated a throughput of up to 10,000 eps to be possible following modifications to the detector³⁰.

14

15 **3.3. FT-CARS flow cytometry**

16 In 2019, Hiramatsu et al. demonstrated the first high-throughput Raman flow cytometry spanning the fingerprint 17 region (Figure 2c)¹. This work utilized a mode-locked 750-950 nm femtosecond pulse laser coupled with a rapidscan Michelson interferometer to produce NRB-free FT-CARS spectra spanning 400 - 1600 cm⁻¹ with a spectral 18 19 resolution of 20 cm⁻¹. The microfluidic chip consisted of a 300 µm x 200 µm (width x height) main channel, 20 etched from a silicon layer sandwiched between glass layers⁵¹. Acoustic focusing of the cells was achieved using 21 a piezoelectric transducer attached to the microfluidic chip and driven with a ~3.6 MHz sine wave. This created 22 a two-dimensional standing wave parallel to the channel cross-section throughout the channel volume. As cells 23 flowed, they focused at the standing-wave node at the channel center. The authors demonstrated throughputs of 24 up to ~1500 eps with a static beam focus. Importantly, the high spectral resolution of the flow cytometer allowed 25 isotope-sensitive measurement, with stable isotope carbon substitution used to track longitudinal metabolic 26 dynamics¹.

27

28 **3.4. SRS flow cytometry**

SRS flow cytometry was first demonstrated by Zhang *et al.* in 2017 (Figure 2d)³¹. Their setup consisted of an SRS spectrometer that utilized broadband pump and narrowband Stokes pulses. The spectrometer measured SRL in the pump beam with a 32-photodiode pixel array with a spectral acquisition time of 5 μ s. This allowed broadband SRS measurement of 32 spectral points across its 200 cm⁻¹ bandwidth with a spectral resolution of 20 cm⁻¹, making it ideal for measuring CH-stretch in the high-wavenumber region. The flow channel consisted of a capillary tube with an inner diameter of 70 μ m with no cell focusing. They demonstrated a throughput of 540 cm of the set of the flow of the flow of the flow of the measurement region.

- \sim 540 eps although they estimated only 16% of the flowed cells passed through the measurement region.³¹
- 36

37 **3.5. SRS imaging flow cytometry**

Although technically more challenging, imaging flow cytometry has the advantage of adding morphological information to the molecular-vibrational information. SRS imaging flow cytometry was first demonstrated by

40 Suzuki *et al.* in 2019 (Figure 3e)³. This setup used fast-wavelength switching optics to rapidly cycle Stokes

- 40 Suzuki *et al.* in 2019 (Figure 3e). This setup used last-wavelength switching optics to rapidly cycle Stokes 41 pulses between four colors, allowing the SRS imaging flow cytometer to measure four spectral points across the
- high-wavenumber region from 2800 to 3100 cm^{-1} at a high rate of 210 ns per spectrum. The glass-silicon-glass
- 42 ingit-wavenumber region from 2800 to 5100 cm⁻ at a high rate of 210 hs per spectrum. The glass-smcon-glass 43 microfluidic device consisted of a 200 µm square channel with acoustic cell focusing. Two-dimensional imaging
- 44 was accomplished by rapid resonant galvanometric beam scanning in the direction perpendicular to the flow,

1 allowing metabolic imaging of the cells. Maximum throughputs ranged between \sim 35 eps for microalgae and 140 2 eps for human cells³.

3

4 **3.6. SRS image-activated cell sorting**

5 The first demonstration of Raman image-activated cell sorting was reported by Nitta *et al.* in 2020 (Figure 3e)⁴. 6 Directly expanding on the 2019 work of Suzuki et al., Nitta et al. implemented sorting microfluidics controlled 7 by real-time intelligent image analysis into the earlier SRS imaging flow cytometer. The sorting chip 8 accommodated two reservoirs located after the measurement region and connected to both sides of the flow 9 channel. Piezoelectric actuators mounted atop the reservoirs operated in a push-pull configuration to squirt a jet of flow media across the channel, pushing or pulling target cells into side channels connected to the sorting 10 outlet, with the center channel connected to waste⁵¹. Modification to the optics and detector were also made, 11 12 with galvanometric beam scanning discarded in favor of a line-focus beam profile (24 µm x 1 µm, orthogonal 13 to the flow) through which the cells passed. Detection was accomplished with a 24-pixel photodiode array. This 14 change in detection scheme helped minimize image reconstruction time compared to the previous SRS imaging 15 flow cytometer, a necessity for high-throughput sorting based on image analysis. Both real-time image analysis 16 and sort control were performed with a custom-made hybrid FPGA-CPU infrastructure, essential for fast real-17 time generation of images, image analysis, decision-making, and sorting. Cells were sorted at a rate of ~100 eps 18 based on the spectral and morphological information in their four-color SRS images. This constituted an increase 19 of a few orders of magnitude in both information content and throughput compared to previous sorting methods,

20 which sorted at 1 eps at best for spectrum-based automated sorters 24 .

21

22 4. APPLICATIONS OF COHERENT RAMAN FLOW CYTOMETRY

23 A current challenge in cell biology is understanding the heterogeneity of individual cells. With the rise of single-24 cell next-generation sequencing techniques, single-cell analysis using genomics, epigenomics, transcriptomics, 25 and proteomics has become widely available⁵². However, genomic and proteomic information, although essential, is incomplete without metabolomics. Current metabolomic approaches comprise mass spectroscopy 26 27 (MS), nuclear magnetic resonance (NMR), and Raman spectroscopy. Single-cell MS techniques allow the measurement of hundreds of metabolites with high precision⁵³. However, MS is destructive, hindering technique 28 integration, longitudinal single-cell studies, and additional downstream analysis. NMR is non-destructive, but 29 inherently slow⁵⁴. On the other hand, fluorescence detection allows for high-throughput analysis, but often 30 31 requires labels that may possess toxicity and interfere with cell homeostasis. For metabolomics to catch up with 32 the other -omics, a high-throughput non-destructive technique is greatly needed. Raman spectroscopy is 33 attractive for biological applications given its non-destructive, label-free, high-resolution nature. High-34 throughput Raman spectroscopy unlocks a plethora of new experiments to better understand the heterogeneous nature of single-cell populations, from single-cell analysis of microalgae¹⁻⁴ to marker-free cancer detection³. 35 Here we discuss the main biological questions addressed by coherent Raman flow cytometry and extrapolate 36

- 37 future biological questions that this novel approach could help elucidate.
- 38

39 4.1. Microbiology

40 Microalgae, yeast, and bacteria are resilient and can accumulate metabolites to high concentrations, making them

41 ideal metabolomics model systems. In 2017, Zhang *et al.* detected single *Staphylococcus sp.* cells under flow

42 using the high-wavenumber region, nonetheless the absence of microfluidic focusing meant only a small

43 percentage of the bacteria could be detected³¹. Camp *et al.* reported high-throughput Raman flow cytometry of

- 44 Saccharomyces cerevisiae cells, distinguishing two yeast subpopulations by both forward scattering and Raman
- 45 spectrum³⁰ (Figure 3a). This approach only acquired eight spectral points over the whole spectra, detecting broad

- 1 changes in the high-wavenumber region and the fingerprint region³⁰. At a higher resolution, Hiramatsu *et al.*
- 2 reported a high-throughput FT-CARS Raman flow cytometry platform probing single *Haematococcus lacustris*
- and *Euglena gracilis* cells (Figure 3b)¹. The system was sensitive to chlorophyll and carotenoid astaxanthin,
- 4 whose signals were enhanced via the resonant Raman effect, as well as the $\beta(1-3)$ -polysaccharide paramylon. 5 The use of ¹³CO₂ allowed exploring the longitudinal dynamics of astaxantin production of *H. lacustris*, by
- 6 analyzing the shift of the main astaxanthin Raman band due to isotope substitution¹. The same system was used
- 7 to investigate the paramylon metabolism of *E. gracilis* (Figure 3c).² Suzuki *et al.* performed multicolor imaging
- 8 SRS Raman flow cytometry in the high-wavenumber region, detecting lipids, paramylon, and chlorophyll in *E*.
- 9 gracilis cells. The single-cell SRS images allowed morphological classification by a neural network, showing
- 10 >99% classification accuracy after 0, 10, and 58 days of growth (Figure 3d)³. Nitta *et al.* conducted SRS image-
- 11 activated cell sorting of multiple microalgal species. *E. gracilis* cells grown in media containing ${}^{12}C$ and ${}^{13}C$
- 12 showed shifted paramylon peaks. Live-cell sorting was demonstrated sorting 13 C paramylon-rich *E. gracilis* cells
- from a mixed ${}^{12}C/{}^{13}C$ population and confirmed using FACS. Additionally, rare super-lipid-rich mutants were isolated and cloned from plasma mutated *Chlamydomonas sp.* KC4 cells, showing promising applications of
- 15 high-throughput Raman cell sorting for directed evolution or selective breeding (Figure 3e)⁴.
- 16

17 4.2. Lipid biology

The strongest Raman band from biological samples, other than the OH-stretching water band at 3420 cm⁻¹, lies 18 19 in the high-wavenumber region (2800 - 3200 cm⁻¹) and is comprised primarily of CH-stretching. Lipids are rich 20 in CH bonds, and multiple studies have targeted adipocytes for this reason. For example, Wang et al. used their CARS flow cytometer to analyze the v_s CH₂-vibration band at 2840 cm⁻¹ for detecting and sizing mammary 21 tissue mouse adipocytes (Figure 4a)²⁸. Similarly, Zhang *et al.* targeted the region between 2900 and 3100 cm⁻¹ 22 with their multiplex SRS flow cytometer³¹, acquiring spectra from differentiated and non-differentiated 3T3-L1 23 24 murine adipocytes (Figure 4b). These cells show fibroblast morphology in the non-differentiated state and 25 accumulation of lipids in lipid droplets after insulin-induced differentiation. Zhang et al. showed differences based on the 2940 and 2850 cm⁻¹ bands, with clustering between the two groups³¹. Likewise, Nitta et al. used 26 27 their Raman image-activated cell sorter to track lipids and proteins during the differentiation of 3T3-L1 28 embryonic mouse cells towards adipocyte-like cells. Specifically, they demonstrated a 3.8x enrichment of lipid-29 droplet-rich cells via cell sorting, with 74% of the cells in the sorted channel possessing lipid droplets compared 30 to just 20% of the cells in the unsorted channel (Figure 4c)⁴.

31

32 **4.3.** Cancer detection

33 The past two decades have seen an increasing interest in the development of liquid biopsy techniques for the 34 detection of circulating tumor cells (CTCs) in blood⁵⁵. CTCs, discovered in 1869, are cells that have entered 35 blood vessels from a primary tumor, circulate in the body, and can lead to the development of secondary 36 metastases at distant locations. They appear in the blood at concentrations of 1-10 CTCs per mL of blood. The 37 detection of CTCs holds promise for monitoring cancer progression and determining therapeutic strategies. 38 However, the expression of the cell surface glycoprotein conventionally used as a biomarker for fluorescence 39 detection, epithelial cell adhesion molecule (EpCAM), can disappear through the epithelial-to-mesenchymal 40 transition⁵⁵. Therefore, it is important to detect and enumerate CTCs in blood at high throughput without the 41 need for fluorescent labeling. Coherent Raman flow cytometry is suitable for addressing this need. For example, 42 Suzuki et al. used multicolor SRS imaging Raman flow cytometry to acquire four different Raman bands in the CH-stretching region (2860, 2910, 2937, and 3040 cm⁻¹) for cancer detection (Figure 4d). They showed the 43 44 system capabilities on a liquid biopsy model system, with >93% accuracy on the classification of red blood cells, 45 Jurkat cells, HT29 cells, and peripheral blood mononuclear cells. Furthermore, they demonstrated accurate

detection of spiked cancer cells in lysed blood, showing the potential of high-throughput label-free liquid
 biopsy³.

3

4 4.4. Cell therapies

5 By virtue of its ability to evaluate cells in a high-throughput and label-free manner, coherent Raman flow 6 cytometry is highly effective for screening cells such as those used in cell therapy. Specifically, human induced 7 pluripotent stem cells (hiPSCs) and CAR-T cells cannot be fluorescently labeled before injecting them to the 8 human body as therapeutic agents because fluorescent labeling can lead to immunogenicity and introduce 9 xenobiotic compounds^{21,22}. Nitta *et al.* imaged naïve and primed hiPSCs under flow showing differences in the 10 localization of carbohydrate and proteins⁴. An increased carbohydrate content in primed hiPSCs was confirmed 11 with SRS microscopy as a biomarker for the primed state (Figure 4e).

12

13 5. FUTURE OPPORTUNITIES AND CHALLENGES OF COHERENT RAMAN FLOW 14 CYTOMETRY

15 Coherent Raman flow cytometry has shown versatility for single-cell studies. However, challenges remain, 16 including increasing sensitivity, throughput, and sorting capabilities. Additionally, further integration with 17 advanced techniques such as droplet microfluidics and machine learning could increase the range of applications 18 of the current platforms. Their sensitivity to isotope-labelled molecules make coherent Raman flow cytometry a 19 good approach for finding metabolically active cells from large cell populations with minimal effects on cell 12 metabolism. Future clinical applications could include *in vivo* measurement capabilities given its non-destructive 21 nature. Here we discuss these future opportunities and technical challenges.

22

23 5.1. Toward higher sensitivity

24 The biggest challenge in Raman flow cytometry is its low sensitivity compared to fluorescence flow cytometry, 25 where the detection of biomolecules at the level of 100 molecules/cell is routinely performed with throughputs 26 higher than 100,000 eps, with the caveat that suitable fluorescence probes are available for the target molecules. On the other hand, the detection limit of Raman spectroscopy is on the molar to millimolar level⁵⁶, which is 27 28 orders of magnitude less sensitive than fluorescence detection. Consequently, Raman flow cytometry generally 29 targets concentrated metabolites such as lipids, proteins, and carbohydrates. For instance, Suzuki et al. and Nitta 30 et al.'s Raman imaging flow cytometry and sorting have been major achievements for Raman flow cytometry, 31 but are limited to a 4-color detection targeting the high-wavenumber region. Fingerprint Raman flow cytometry 32 and sorting are yet to be reported. Additionally, fingerprint analysis of mammalian cells is still out of reach for 33 Raman flow cytometry methods due to the generally weaker signal of mammalian cells in this region. Unlocking 34 Raman flow cytometry in the fingerprint region would allow for nucleic acid, carbohydrates, proteins, and lipids 35 discrimination, challenging when using only the high-wavenumber information. To overcome this limitation, different methods for enhancing the detection sensitivity of Raman spectroscopy have been proposed and 36 demonstrated for both spontaneous and CRS. For CRS, it has been reported that the sensitivity can be enhanced 37 by using heterodyne detection^{42,57-60}, polarization-selective measurements^{58,59}, and pulse shaping⁶⁰, all 38 promising approaches for Raman flow cytometry. Alternatively, the use of Raman tags can enhance the 39 40 sensitivity down to the micromolar level with electronic resonance enhancement⁶¹. Although this approach sacrifices the label-free nature of Raman flow cytometry, it has the potential to beat the color barrier in 41 42 conventional fluorescence flow cytometry thanks to the narrow width of Raman bands⁶¹.

43

44 **5.2.** Toward higher analysis throughput

The throughput of the reported Raman flow cytometers is on the order of 100 - 1,000 eps^{1,31}, still a few orders 1 2 of magnitude lower than that of commercially available fluorescence flow cytometers (~100,000 eps). 3 Unfortunately, increasing throughput simply by increasing the flow speed would not be effective because it 4 would sacrifice Raman detection sensitivity. Smart integration of microfluidics and optics is necessary for 5 reducing dead time during which no cell is interrogated. Deblurring techniques developed in the context of imaging flow cytometry, such as the virtual-freezing method⁶², will be useful to increase the effective signal 6 7 integration time without compromising the flow rate. Also, microfluidic focusing improvements can be 8 implemented to increase the efficiency of the flow cytometry measurements. Alternatively, throughput can be 9 increased by using parallel microfluidic devices combined with wide-field sensing, which has already been 10 applied to high-throughput fluorescence imaging flow cytometry^{63,64}. In the case of Raman spectroscopy, a combination of parallel fluidics with multi-focus Raman detection⁶⁵ could increase throughput by an order of 11 12 magnitude.

13

14 **5.3.** Toward higher sorting throughput

The highest sorting throughput in Raman flow cytometry to date is about 100 eps⁴. For realizing higher sorting 15 16 throughput, in addition to the enhancement of Raman detection sensitivity as described above, seamless 17 integration of optics, microfluidics, and signal processing is needed. Specifically, for coherent Raman-activated 18 cell sorting, spectral acquisition and sorting decision-making need to be completed in less than ~ 1 ms. On the 19 microfluidics side, reduction of the sorting window is crucial for realizing high throughput without 20 compromising sorting purity and yield⁴. Surface acoustic waves, dielectrophoresis, and membrane pumps have 21 been reported as cell sorting methodologies. Recently, cell sorting with the use of laser-induced cavitation 22 bubbles demonstrated an unprecedentedly narrow sorting window down to $\sim 10 \ \mu m$ at a flow speed of 1 m/s⁶⁶. 23 Additionally, some sorting methods can compromise cell viability⁶⁷. An appropriate sorting method should be 24 chosen based on target-cell characteristics.

25

26 5.4. Integration with droplet microfluidics

27 Droplet microfluidics allows compartmentalization of the target cells in tens to hundreds of micrometer waterin-oil droplets⁶⁸. Droplets can be easily sorted using dielectrophoresis and are a promising approach for Raman-28 29 activated cell sorting. A notable advantage of using microdroplets in cell sorting is within-droplet culturing of 30 the sorted cells under an isolated environment, enabling the analysis of cellular secretion and proliferation ability⁶⁹. For example, Isozaki et al. demonstrated high-throughput (>1,000 eps) sorting of large (>100 pL) 31 droplets with a sequentially addressable dielectrophoretic array⁶⁸. Large-droplet sorting enables long-term 32 33 monitoring of cells based on various phenotypes such as their growth rate. Spontaneous Raman-activated droplet 34 sorting with a throughput of about 2 eps for label-free screening based on yeast enzyme function was demonstrated⁷⁰. Combining droplet microfluidics and coherent Raman flow cytometry is expected to 35 36 significantly enhance the throughput of Raman-activated droplet sorting.

37

38 5.5. Integration with machine learning

39 The interpretation of spectral data obtained in Raman flow cytometry has generally been based on Raman

40 intensity at specific Raman shifts^{1,4,31} primarily because the cellular spectral and spatial features are not very

41 complicated. Although Raman-intensity-based analysis works well for the quantification of relatively small

42 molecules with distinct spectral profiles such as metabolites, the differences in spectral signatures for different

43 types of cells are often subtle, making Raman-intensity-based analysis insufficient. Recently, machine-learning

based analysis has proven to be a powerful tool for the discrimination of close cell types⁷¹. As the machine-

45 learning approach requires the preparation of large amounts of training data for reliable classification, integration

1 of machine learning methods and high-throughput Raman flow cytometry datasets is expected to boost the 2 accuracy of Raman-based cell type classification.

3 4

5.6. In vivo flow cytometry

5 So far, Raman flow cytometry has been performed ex vivo, with cells extracted from the human body and 6 measured in an artificial flow stream. However, in hematological applications, direct in vivo flow cytometry of 7 blood cells is desirable to minimize potential error and bias associated with sample preparation and time-8 dependent measurement. In vivo Raman flow cytometry, in which signals from bloodstream cells are measured, 9 is a promising alternative to ex vivo analysis⁷². For example, single-color in vivo Raman imaging flow cytometry with SRS has been demonstrated for detecting red blood cells flowing in a thin mouse ear capillary - with a 10 typical thickness of a few hundred micrometers⁷³. For its application to blood vessels at different locations, 11 12 however, deeper optical penetration depth is necessary. A technical challenge in deep-tissue imaging is wavefront distortion, which is detrimental for nonlinear Raman signal generation because it lowers photon flux 13 at the interrogation point. Though adaptive optics have proven to be a powerful method for compensating 14 wavefront distortion in fluorescence imaging⁷⁴, its application to nonlinear Raman imaging is not straightforward 15 because it necessitates the precise control of two wavefronts (pump and Stokes) that may be spectrally broadband. 16 17 Alternatively, Raman flow cytometry could make use of the recently demonstrated deep-tissue nonlinear Raman 18 imaging techniques using Bessel beams, whose beam profile is less affected by diffraction in biological tissues⁷⁵.

19

20 6. CONCLUSIONS

21 The integration of the state-of-the-art CRS and microfluidics technology has enabled high-throughput single-22 cell analysis in a label-free manner. SRS and CARS have been the main CRS techniques used in Raman flow 23 cytometry. During the last decade, new Raman flow cytometry techniques have arisen such as MCARS, FT-24 CARS, SRS imaging flow cytometry and SRS imaging cell sorting. As a platform, microfluidics with 25 hydrodynamic and acoustic focusing have improved the single-cell acquisition, and coherent Raman flow 26 cytometry has enabled label-free biological applications such as microbial screening, cell differentiation tracking 27 or cancer detection. Despite progress in the field, challenges remain in the improvement of sensitivity, spectral 28 resolution and bandwidth, cell flow focusing and dead time, and real-time decision making/machine learning 29 integration. Due to the multidisciplinary nature of Raman flow cytometry, these challenges will be tackled by 30 innovations in different areas including laser science, photonics, microfluidics, computer science, molecular 31 engineering, and biomedicine. As a growing field, high-throughput Raman flow cytometry and Raman-activated 32 cell sorting are promising techniques for label-free metabolic analysis of single cells.

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43 Author Contributions

- 44 The manuscript was written through contributions of all authors. All authors have given approval to the final
- 45 version of the manuscript.

2 Notes

- 3 Keisuke Goda is a shareholder of CYBO and Cupido. The other authors declare no competing financial interest.
- 4

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19 ACKNOWLEDGEMENT

20 This work was supported by the JSPS Core-to-Core Program, JSPS KAKENHI, White Rock Foundation,

- 21 KISTEC, Ogasawara Foundation, Takeda Science Foundation, Kurita Foundation, Nakatani Foundation, and
- Cupido. J. G. P. thanks JSPS for the standard research fellowship and the grant-in-aid.

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1 FIGURES

- 2
- 3 Table 1: Comparison of fluorescence flow cytometry, spontaneous Raman flow cytometry, and coherent Raman
- 4 flow cytometry.

	Information content	Quantitativeness	Throughput	Molecular specificity	Sensitivity	Interference with biological function	Inmunogenicity	Cytotoxicity	Cell sorting functionality
Fluorescence flow cytometry	10+ 20+ up to 12	High	10,000 eps	Fluorescence	1 nM - 1 μM	Label dependent	Label dependent	Label dependent Photo dependent	Commercial
Spontaneous Raman flow cytometry	1 Multivariate	Calibration required	1 eps	Molecular vibrations	1 mM	None: Label free	None: Label free	Photo dependent	Cow throughput
Coherent Raman flow cytometry	Multivariate	Calibration required	100 eps	Coherent molecular vibrations	1-10 mM	None: Label free	None: Label free	Photo dependent	Contract Con



Figure 1: Energy and detection diagrams of spontaneous and coherent Raman scattering. (a) Spontaneous Raman scattering. (b) Stimulated Raman scattering. (c) Coherent anti-Stokes Raman scattering. (d) Fourier transform coherent anti-Stokes Raman scattering. Solid lines represent vibrational levels, dashed lines represent virtual levels, straight arrows represent incident photons, and squiggly arrows represent created photons. The SRS modulation scheme depicts stimulated Raman loss detection. Continuous and dashed arrows correspond to interactions of the electromagnetic fields with the ket and bra sides, respectively. Ω : molecular vibration frequency; ω_p : pump frequency; ω_s : Stokes frequency; ω_{pr} : probe frequency; ω_{as} : anti-Stokes frequency.



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Figure 2: Schematics of the coherent Raman flow cytometers and sorters reported in the literature. (a) CARS flow cytometer adapted with permission from ref. 28. Copyright (2008) © The Optical Society. (b) CARS flow cytometer adapted with permission from ref. 30. Copyright (2011) © The Optical Society. (c) FT-CARS flow cytometer adapted with permission from ref. 2. Copyright (2020) © The Optical Society. (d) SRS flow cytometer adapted with permission from ref. 31. Copyright (2017) © The Optical Society. (e) SRS imaging flow cytometer and SRS image-activated cell sorter adapted with permission from ref. 3. CCBY-NC-ND (2019) PNAS and

8 adapted with permission from ref. 4. CC BY 4.0 (2020) Nature, respectively.





Figure 3: Coherent Raman flow cytometry of microbial cells. (a) Analysis of *S. cerevisiae* cells, adapted with permission from ref. 30. Copyright (2011) © The Optical Society. (b) Analysis of *H. lacustris* cells, adapted with permission from ref. 1. Copyright (2019) © The Optical Society. (c) Analysis of the paramylon content of *E. gracilis* cells, adapted with permission from ref. 2. Copyright (2020) Science. (d) Analysis of the spatial characteristics of *E. gracilis* cells, adapted with permission from ref. 3. Copyright (2019) the Authors. e) SRS image-activated sorting of lipid-rich *C. reinhardtii* cells, adapted with permission from ref. 4. Copyright (2020) Nature.





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Figure 4: Coherent Raman flow cytometry of mammalian cells. (a) Analysis of mammary tissue mouse adipocytes, adapted with permission from ref. 28. Copyright (2008) © The Optical Society. (b) Analysis of differentiated 3T3-L1 murine adipocytes, adapted with permission from ref. 31. Copyright (2017) © The Optical

5 Society. (c) SRS image-activated sorting of adipocyte-like cells, adapted with permission from ref. 4. Copyright

6 (2020) Nature (d) Detection and classification of cancer cells in blood, adapted with permission from ref. 3.

7 Copyright (2019) the Authors. (e) Analysis of hiPSCs in naïve and primed pluripotent states, adapted with

8 permission from ref. 4. Copyright (2020) Nature.