

This is a repository copy of *Modelling the dynamics of ballastless railway tracks on unsaturated subgrade*.

White Rose Research Online URL for this paper: <u>https://eprints.whiterose.ac.uk/220993/</u>

Version: Accepted Version

# Article:

Pei, Y., Su, Q., Liu, K. et al. (5 more authors) (2025) Modelling the dynamics of ballastless railway tracks on unsaturated subgrade. Applied Mathematical Modelling, 138 (Part B). 115801. ISSN 0307-904X

https://doi.org/10.1016/j.apm.2024.115801

This is an author produced version of an article published in Applied Mathematical Modelling, made available under the terms of the Creative Commons Attribution License (CC-BY), which permits unrestricted use, distribution and reproduction in any medium, provided the original work is properly cited.

#### Reuse

This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here: https://creativecommons.org/licenses/

#### Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk https://eprints.whiterose.ac.uk/

# 1 Modelling the dynamics of ballastless railway tracks on unsaturated subgrade

- 2 Yanfei Pei<sup>a</sup>, Qian Su<sup>a,b</sup>, Kaiwen Liu<sup>a,b\*</sup>, David P. Connolly<sup>c</sup>, Bao Liu<sup>d</sup>, Rui Su<sup>e</sup>, Zongyu Zhang<sup>a</sup>, Tengfei Wang<sup>a</sup>
- <sup>a</sup> School of Civil Engineering, Southwest Jiaotong University, Chengdu, Sichuan 610031, China
- 4 <sup>b</sup> Key Laboratory of High-Speed Railway Engineering of Ministry of Education, Southwest Jiaotong University, Chengdu,
- 5 Sichuan 610031, China
- <sup>6</sup> <sup>c</sup> Institute for High Speed Rail and Systems Integration, School of Civil Engineering, University of Leeds, UK.
- 7 <sup>d</sup> China Railway Design Corporation, Tianjin, 300308, China
- <sup>8</sup> <sup>e</sup> School of Architecture and Civil Engineering, Xihua University, Chengdu, Sichuan 610039, China
- 9 \*Corresponding author, email: kaiwen.liu@queensu.ca
- 10
- 11

#### 12 Abstract:

Concrete slab tracks help shield the supporting railway trackbed from external water ingress. However, the inevitable cracks 13 that arise during its lifespan provide a pathway for water penetration, leading to changes in the degree of saturation of the 14 underlying support. This can affect the dynamic response of the structure, however is challenging to model due to the 15 16 computational requirements of three-phase unsaturated soil simulation. To address this, this paper presents two main novelties: 1) an efficient moving frame of reference approach for railway ballastless tracks on unsaturated earthworks subject to train 17 18 loading, 2) new findings into the effect of degree of trackbed saturation on ballastless track dynamics. First the model is presented, including formulations for train-track interaction and unsaturated trackbed-earthwork dynamics. Considerations 19 for numerical stability are then discussed and the model is validated, before investigating the role of trackbed saturation on 20 pore water pressure and displacements. It is shown to have a high impact on pore water pressure generation, but a limited 21 22 impact on deflections. The effect of train speed is then investigated and it is found that higher train speeds induce higher pore water pressures. Track irregularities are also investigated and it is found that they play an important role in pore water 23 pressures. 24

Keywords: High-speed railway, Ballastless railway track, Unsaturated rail trackbed, Moving mixed element method, Train track dynamic response, Railway moisture content

27

# 28 **1** Introduction

29 The popularity of ballastless tracks, particularly for high-speed railways, has increased in recent years due to perceived advantages compared to ballasted track, such as reduced maintenance. Notably, the majority of high-speed railway track 30 31 designs in Germany, Japan, and China exclusively use ballastless track [1, 2]. However, ballastless track is typically formed 32 from concrete, which in the long-term, experiences cracking due to repeated train and thermal loading. This has been found 33 on the Japan Tokaido Shinkansen [3] and in Germany [4]. Once cracked, rainwater can infiltrate the trackbed that is a key structural layer of ballastless railway subgrade (Fig. 1), leading to changes in the degree of saturation within the trackbed and 34 35 underlying earthworks [5]. With rainwater trapped or groundwater rising into the trackbed, it undergoes wetting and drying cycles which cause challenges including: mud pumping, frost heave, and differential settlement [6] [7-9]. Many trackbed 36 37 engineering defects are closely related to the degree of saturation internally. The pore water pressure resulting from train loading produces internal erosion and transports the fine particles inside the trackbed, which in the long-term exacerbates mud 38 39 pumping and differential settlement [10]. Therefore, it is important to better understand the hydrodynamic responses induced 40 within trackbeds under varying water content.

41



42 43

Fig. 1 Infiltration of rainwater and evolution of water content within a ballastless trackbed

The effect of water on the dynamic response of soils was first studied considering a fully saturated state. Biot [11, 12] first proposed the theory of elastic wave motion in saturated porous media, which later became widely used to study saturated soils [13, 14]. The number of solution variables in saturated porous media are more than twice as many compared to singlephase (i.e. dry) media. Four computational approaches have been proposed to study the response of saturated porous media under dynamic loading: (1)u - U, (2)u - w, (3)u - U - p, and (4)u - p [15, 16], where the variables u, U, w, and prepresent the displacement of the solid, absolute displacement of the fluid, relative displacement of the fluid, and pore fluid pressure, respectively. According to the physical properties of the variables in the governing equations, the four coupling

formats are classified as mixed (34) and displacement formats (12). According to considering or not the relative 51 52 acceleration term of the pore fluid, they are classified into full (1)(2)(3) and partially coupled formats (4) [17]. Their main 53 differences are as follows: (1) seepage boundary. The u - U and u - w formats cannot be directly defined based on pore 54 fluid pressure when establishing the free seepage boundary (i.e. p=0). In contrast, the u - U - p and u - p formats possess 55 distinct physical significance and can be easily implemented through the use of the Dirac boundary; (2) frequency of external 56 loads. The u - p model simplifies the momentum conservation equation by assuming the relative acceleration term of the 57 fluid generates zero inertia force ( $\ddot{w} = 0$ ) [18]. However, this kind of simplification will bring large error under the action of high frequency load. Errors are acceptable under low frequency loads. (3) numerical stability. When using u - U or u - w58 59 format, the lower-order element may have numerical problems such as shear locking. In contrast, this numerical oscillation removal technique is perhaps more complex. In a comparison, using a mixed format such as u - U - p or u - p can solve 60 61 easily such problems. These models have been used to analyse the dynamic response of saturated road foundations and roadbeds [19-22]. Currently, dynamic calculation methods for unsaturated trackbeds primarily focus on using analytical/semi-62 analytical solutions [23-25] and traditional FEM [26]. 63

64 Analytical and semi-analytical solutions are typically computed in the frequency-wavenumber domain which makes it 65 challenging to consider wheel-rail non-linear contact and non-linear soil stiffness or damping. Further, irregular geometries 66 and complex seepage boundary conditions can be difficult to simulate depending upon the approach. To overcome some of 67 the irregular geometry challenges, the 2.5D FEM has also been proposed [27-29] however this assumes material parameters 68 do not vary in the train passage direction. In order to express the complex characteristics of the coupled vehicle-track system 69 and at the same time to maximise computational efficiency, this paper proposed the moving element method. This uses a 70 moving frame transformation, which allows the train or other moving loads to be relatively stationary and the substructure to 71 be in a "flow" state. The method, which has been gradually developed in recent years, has been used to study the dynamic 72 response of coupled train-track systems and plate-shell dynamics [30-33]. However, it has only been used for single-phase 73 mediums and not for unsaturated porous medium analysis. In conclusion, there remains a gap in the computational methods 74 available for the fast and flexible analysis of coupled vehicle-track-unsaturated trackbed systems.

Considering these aforementioned approaches, this study proposes a fast method for solving the dynamic response of a coupled vehicle-track-unsaturated trackbed system, which can consider a wider range of geometries and non-linearity's. To do so the dynamic equations of motion are established using the D'Alembert principle and nonlinear Hertz contact is employed to describe the wheel-rail interaction. A mixed element method is established using a geometric transformation method. The governing equations and boundary conditions of the unsaturated trackbed are derived in a fully coupled format, considering the dynamic nature of the system and a moving coordinate system, while the Ladyshenskaya-Babuska-Brezzi (LBB) technique is used to overcome the numerical oscillation of the mixed element. The accuracy and efficiency of the calculation method 82 are verified. Lastly the dynamic response of unsaturated trackbeds is further analyzed, considering the effects of wavelength

83 and track irregularity amplitude, degree of saturation, and train speed.

### 84 **2** Numerical model development

This section is related to the development of a train-track-subgrade model in a moving frame of reference. First an overview is given describing the mixed frame of reference concept which is vital for reducing the computational demand of the threephase unsaturated soil model. Next the equations related to the train, track and their coupling are presented. Finally, the modelling approach used to simulate the unsaturated earthworks supporting the track is presented.

## 89 **2.1 Model overview**

In order to establish a mathematical model, this section introduces the interrelationships between the vehicle subsystem, 90 91 the track subsystem, and the unsaturated trackbed subsystem (Error! Reference source not found.Fig. 2). Initially, the dynamic governing equations for the vehicle are formulated, followed by the coupling with the ballastless track, considering 92 93 non-linear Hertz contact between the wheel and rail. Coupling with the unsaturated trackbed is achieved via a coupled multi-94 physics mathematical model, incorporating mass conservation, momentum conservation and constitutive relationships. 95 Finally, a coupled mathematical model of the vehicle-track-trackbed system is constructed based on the moving mixed element approach. Additionally, a rapid computational method is used to analyze the hydraulic response of the unsaturated 96 97 trackbed under the influence of train loading.

98



99



# 101 **2.2 Moving frame of reference**

In order to add seepage boundaries on a freely permeable surface and to overcome the complexities of numerical stabilisation, a u-U-p format is used for mathematical modelling of the unsaturated trackbed. Meanwhile, in order to improve the solution efficiency of the vehicle-track-unsaturated trackbed model, the system is calculated based on a moving coordinate system.

- 106 The high-speed train moves in the x direction, with point O serving as the fixed position. F(t) represents the interaction 107 force between the train's wheels and the rails. Assuming the train speed is v, a moving coordinate system denoted as  $R = x - v \cdot t$  is fixed on the train. Fig. 3 illustrates the model overview.
- 109



110

114



112 In the fixed coordinate system *oxyz* and the moving coordinate system *ORYZ* used for the train, the field variables are

113 interrelated:

$$\begin{pmatrix} \frac{\partial}{\partial x} = \frac{\partial}{\partial R} \\ \frac{\partial}{\partial x^2} = \frac{\partial}{\partial R^2} \\ \frac{\partial}{\partial t} \Big|_x = \frac{\partial}{\partial t} \Big|_R - v \frac{\partial}{\partial R} \\ \frac{\partial}{\partial t^2} \Big|_x = \frac{\partial}{\partial t^2} \Big|_R - 2v \frac{\partial}{\partial t\partial R} + v^2 \frac{\partial}{\partial R^2} \end{cases}$$
(1)

### 115 **2.3 Ballastless track subsystem**

116 A Euler-Bernoulli beam is employed to simulate each rail [34]. Applying the aforementioned variable transformation

117 method, the governing equations of this beam under the moving coordinate system are:

118 
$$E_r I_r \frac{\partial^4 y_r}{\partial R^4} + m_r \left( \frac{\partial^2 y_r}{\partial t^2} + v^2 \frac{\partial^2 y_r}{\partial R^2} - 2v \frac{\partial^2 y_r}{\partial R \partial t} \right) + c_r \left( \frac{\partial y_r}{\partial t} - v \frac{\partial y_r}{\partial R} - \left( \frac{\partial y_s}{\partial t} - v \frac{\partial y_s}{\partial R} \right) \right) + k_r (y_r - y_s) = -F(t)\delta(R) \quad (2)$$

119 Where  $y_r$  and  $y_s$  are the vertical displacement of the rail and track slab, respectively;  $E_r I_r$  is the bending stiffness of the 120 rail;  $m_r$  is the mass of the rail per unit length;  $k_r$  and  $c_r$  are the coefficients of the fastener stiffness and damping; F(t) is the 121 wheel-rail contact force; v is the train speed;  $\delta$  is the Dirac function. The Navier-elastic dynamic equation is employed to 122 describe the motion of the ballastless track slab and concrete base:

123 
$$\frac{\partial \sigma_{ij}}{\partial x_i} = \rho_i \frac{\partial^2 u_i}{\partial t^2} - 2\rho_i v \frac{\partial^2 u_i}{\partial R \partial t} + \rho_i v^2 \frac{\partial^2 u_i}{\partial R^2}$$
(3)

124 Where  $\sigma$  is the stress tensor in the track slab and concrete base,  $\rho$  is the density of the track slab and concrete base, and 125 *u* is the displacement of the track slab and concrete base.

### 126 **2.4 Vehicle subsystem**

## 127 2.4.1 Vehicle dynamic equation

The high-speed vehicle dynamics model includes the vehicle body, two bogies, and four wheelsets. Using multi-body dynamics theory, the model has 10 degrees of freedom, as depicted in Fig. 4. The degrees of freedom are the vertical displacement (v) and the pitch angle ( $\theta$ ). Applying D'Alembert's principle, the dynamic governing equations can be established.



132 133

Fig. 4 Multibody vehicle model

134 (1) Vibration of the vehicle body:

135 The vertical vibration is derived from the force equilibrium of the 136 vehicle:

137 
$$M_t \ddot{v}_t + C_2 [(\dot{v}_t - \dot{v}_{B1} - \dot{\theta}_t l_t) + (\dot{v}_t - \dot{v}_{B2} + \dot{\theta}_t l_t)] + K_2 [(v_t - v_{B1} - \theta_t l_t) + (v_t - v_{B1} + \theta_t l_t)] + M_t g = 0$$
(4)

138 The pitch motion is as follows:

139 
$$J_t \ddot{\theta}_t + C_2 l_t \left[ -\left( \dot{v}_t - \dot{v}_{B1} - \dot{\theta}_t l_t \right) + \left( \dot{v}_t - \dot{v}_{B2} + \dot{\theta}_t l_t \right) \right] + K_2 l_t \left[ -\left( v_t - v_{B1} - \theta_t l_t \right) + \left( v_t - v_{B1} + \theta_t l_t \right) \right] = 0$$
(5)

140 (2) Vibration of the bogie:

141 The vertical vibration is based on the force balance of the bogie:

142
$$M_B \ddot{v}_{Bi} - C_2 (\dot{v}_t - \dot{v}_{Bi} - \dot{\theta}_t l_t) + C_1 [(v_{Bi} - v_{wj} - \theta_{Bi} l_b) + (v_{Bi} - v_{wj+1} + \theta_{Bi} l_b)] - K_2 (v_t - v_{Bi} - \dot{\theta}_t l_t) + K_1 [(v_{Bi} - v_{wj} - \theta_{Bi} l_b) + (v_{Bi} - v_{wj+1} + \theta_{Bi} l_b)] + M_t g = 0$$
(6)

143 Again, the equations of pitch motion are then:

144  
$$J_{t}\ddot{\theta}_{B1} + C_{1}l_{b}\left[-\left(\dot{v}_{B1} - \dot{v}_{w1} - \dot{\theta}_{B1}l_{b}\right) + \left(\dot{v}_{B1} - \dot{v}_{w2} + \dot{\theta}_{B1}l_{b}\right)\right] + K_{1}l_{b}\left[-\left(v_{B1} - v_{w1} - \theta_{B1}l_{b}\right) + \left(v_{B1} - v_{w2} + \theta_{B1}l_{b}\right)\right] = 0$$
(7)

145 
$$J_t \ddot{\theta}_{B2} + C_1 l_b \left[ - \left( \dot{v}_{B2} - \dot{v}_{w3} - \dot{\theta}_{B2} l_b \right) + \left( \dot{v}_{B2} - \dot{v}_{w4} + \dot{\theta}_{B2} l_b \right) \right]$$

$$+K_1 l_b [-(v_{B2} - v_{w3} - \theta_{B2} l_b) + (v_{B2} - v_{w4} + \theta_{B2} l_b)] = 0$$
(8)

147 (3) Vibration of the wheelset:

154

148 The vertical vibration is based on the force equilibrium of the wheelset:

149 
$$\begin{cases} M_{wi}\ddot{v}_{wi} - C_1(\dot{v}_{Bi} - \dot{v}_{wi} - \dot{\theta}_{Bi}l_t) - K_1(v_{Bi} - v_{wi} - \theta_{Bi}l_t) = P_i \quad (i = 1,3) \\ M_{wi}\ddot{v}_{wi} - C_1(\dot{v}_{Bi} - \dot{v}_{wi} + \dot{\theta}_{Bi}l_t) - K_1(v_{Bi} - v_{wi} + \theta_{Bi}l_t) = P_i \quad (j = 2,4) \end{cases}$$
(9)

Where  $M_t$  is the mass of the train body;  $C_1$  is the damping of the primary suspension and  $K_1$  is the stiffness of the primary suspension;  $C_2$  is the damping of the secondary suspension and  $K_2$  is the stiffness of the secondary suspension. When the ordering of the wheelset is an odd number (i = 1, 2, 3, 4; j = 1, 2), the plus or minus sign ( $\pm$ ) is minus (-).

153 The equations relating to vehicle dynamics can be presented in matrix form:

$$M_V \ddot{u}_V + C_V \dot{u}_V + K_V u_V = Q_V \tag{10}$$

Where  $M_V$ ,  $C_V$ ,  $K_V$  are the mass, damping and stiffness matrices of the vehicle expanded above and  $u_V$  is the degree of freedom of the vehicle system.  $Q_V$  is the load matrix consisting of the gravity and wheel-rail force. The specific matrix expansion form can be found in [35, 36].

#### 158 2.4.2 Wheel-rail contact relationship

159 The contact force between the wheels and rails can be calculated by employing non-linear Hertz contact theory:

160 
$$F_{wri}(t) = \begin{cases} \frac{1}{G^{\frac{3}{2}}} |z_{wi} - (z_{ri} + \Delta z)|^{\frac{3}{2}}, z_{wi} - (z_{ri} + \Delta z) < 0\\ 0, \qquad \qquad z_{wi} - (z_{ri} + \Delta z) \ge 0 \end{cases}$$
(11)

161 Where  $P_i = -M_w g + F_{wri}$ .  $F_{wri}$  is the dynamic wheel-rail contact force,  $z_{wi}$  is the displacement of a train wheel 162 moving on the rail at position  $x_i$ ,  $z_{ri}$  is the displacement of the wheel moving on the rail at position  $x_i$ ,  $\Delta z$  is the value of the 163 track irregularity, and *G* is the deflection coefficient of the wheel-rail contact ( $G = 4.57R^{-0.149} \times 10^{-8} (m/N^{\frac{2}{3}})$ ). The 164 external profile of the wheel is assumed a conical surface.

#### 165 **2.5 Unsaturated trackbed and earthworks subsystem**

After ballastless track cracking, rainwater infiltrates the layers below. Hence, the scenario where the surface layer of the trackbed is in an unsaturated state is considered. To describe the dynamic behavior of an unsaturated trackbed, the governing equation takes the form of full-coupling: u - U - p. This form is chosen to avoid errors at high frequency train loading and the challenges associated with adding free seepage boundaries such as shear locking. The governing equations for the multi-

- 170 physical field coupling of an unsaturated trackbed are presented below.
- 171 2.5.1 Constitutive equation

The trackbed consists of three components: soil, water and gas. As a result, the total stress in the unsaturated medium can be represented via:

174

$$\sigma_{ij} = (1-n)\sigma_{ij}^s - nS_r p^w \delta_{ij} - n(1-S_r)p^a \delta_{ij}$$
(12)

According to the effective stress principle proposed by Biot and Bishop [37], the total stress within an unsaturated trackbed can be represented as:

177

$$\sigma_{ij} = 2\mu\epsilon_{ij} + \lambda\delta_{ij}e - \alpha\delta_{ij}p \tag{13}$$

(16)

178 Where n is the porosity of the trackbed,  $S_r$  represents the degree of saturation,  $p = S_r p^w + (1 - S_r) p^a$  is the pore fluid 179 pressure,  $p^w$  is the pore water pressure,  $p^a$  is the pore gas pressure,  $\alpha = 1 - \frac{K_b}{K_c}$  is Biot's coefficient where  $K_b$  and  $K_s$  are the

bulk compression modulus of the soil skeleton and the soil particles,  $\mu$  and  $\lambda$  are Lame parameters, *e* is the volumetric

181 strain, and  $\epsilon_{ij}$  is the strain tensor of the soil skeleton.

182 Using constitutive equations (12) and (13), the solid phase pressure can be obtained:

183 
$$\sigma_{ij}^{s} = \frac{1}{(1-n)} \{ \lambda \delta_{ij} e + 2\mu \epsilon_{ij} - [\alpha S_r - nS_r] \delta_{ij} p^w - [\alpha (1-S_r) - n(1-S_r)] \delta_{ij} p^a \}$$
(14)

#### 184 2.5.2 Mass conservation equation

# 185 (1) Mass conservation equation for the trackbed

186 The mass conservation equation for the trackbed is established based on the principle of mass conservation in porous 187 media.

188 
$$(1-n)\frac{\partial\rho_s}{\partial t} - \rho_s\frac{\partial n}{\partial t} + \rho_s(1-n)\nabla \cdot \dot{\boldsymbol{u}}^s = 0$$
(15)

189 Concurrently, the analysis incorporates the compressible nature of the solid material, and as a result, the compressive190 state equations are [38]:

191 
$$\frac{\partial \rho_s}{\rho_s \partial t} = -\frac{\partial \sigma_{ii}^s}{3K_s \partial t}$$

192 Subsequently, using equations (14), (15), and (16), the governing equations capturing the temporal variation of the

193 trackbed porosity are derived as:

194 
$$\frac{\partial n}{\partial t} = \left(1 - n - \frac{K_b}{K_s}\right) \nabla \cdot \dot{\boldsymbol{u}}^s + \frac{\left(\alpha S_r - n S_r\right)}{K_s} - \frac{dp^w}{dt} + \frac{\left(\alpha \left(1 - S_r\right) - n\left(1 - S_r\right)\right)}{K_s} - \frac{dp^a}{dt}$$
(17)

# 195 (2) Mass conservation equation for water in the trackbed

According to the principle of mass conservation in continuous media, the equation for the mass conservation of porewater in the trackbed is established:

198 
$$nS_r \frac{\partial \rho_w}{\partial t} + S_r \rho_w \frac{\partial n}{\partial t} + n\rho_w \frac{\partial S_r}{\partial t} + nS_r \rho_w \nabla \cdot \dot{\boldsymbol{u}}^w = 0$$
(18)

199 Equation (17), which includes the compression state equation for water  $\frac{\partial \rho_w}{\rho_w \partial t} = \frac{\partial p^w}{K_w \partial t}$  [38], is incorporated into (18) to

200 derive the equation for mass conservation of pore-water in the moving coordinate system.

201 
$$\alpha S_r \nabla (\dot{u}_i^s - v u_{i,R}^s) + n S_r \nabla [(\dot{u}_i^w - v u_{i,R}^w) - (\dot{u}_i^s - v u_{i,R}^s)] + a_1 (\dot{p}^w - v p_{,R}^w) + a_2 (\dot{p}^a - v p_{,R}^a) = 0$$
(19)

202 (3) Mass conservation equation for gas in the trackbed

Based on the principle of mass conservation in continuous media, the equation for the mass conservation of pore-gas in the trackbed is:

205 
$$n(1 - S_{\rm r})\frac{\partial \rho_a}{\rho_a \partial t} + (1 - S_{\rm r})\frac{\partial n}{\partial t} + n\frac{\partial (1 - S_{\rm r})}{\partial t} + n(1 - S_{\rm r})\nabla \cdot \dot{\boldsymbol{u}}^a = 0$$
(20)

Incorporating Equation (17) into (20), which includes the compression state equation for gas  $\frac{\partial \rho_a}{\rho_a \partial t} = \frac{\partial p^a}{\kappa_a \partial t}$  [38], the

207 equation for conserving the mass of pore-gas in the moving coordinate system is derived as:

208 
$$\alpha(1-S_r)\nabla(\dot{u}_i^s - vu_{i,R}^s) + n(1-S_r)\nabla[(\dot{u}_i^a - vu_{i,R}^a) - (\dot{u}_i^s - vu_{i,R}^s)] + b_1(\dot{p}^w - vp_{,R}^w) + b_2(\dot{p}^a - vp_{,R}^a) = 0$$
(21)

 $S_e = (S_r - S_{w0})/(1 - S_{w0})$ 

209 The van Genuchten model is employed [39] to derive the relationship between matrix suction and the degree of

210 saturation in unsaturated porous media:

$$S_e = [1 + (\alpha_2 s)^d]^{-m}$$
(22)

(23)

213 Where  $S_e$  is the effective saturation of the trackbed and  $S_{w0}$  is the residual saturation of the trackbed.

#### 214 2.5.3 Momentum conservation equation

### 215 (1) Momentum conservation equation for trackbed

216 The momentum of the representative volume element (RVE) changes at a rate equal to the sum of the external forces

217 acting on it. Therefore, the equation for the moving mixed form of the trackbed is:

218 
$$\sigma_{ij,j} = \sum \bar{\rho}_{\Theta} \left( \ddot{u}_i^{\Theta} - 2v \dot{u}_{i,R}^{\Theta} + v^2 u_{i,RR}^{\Theta} \right)$$
(24)

219 Where  $\Theta$  represents the soil skeleton, pore water, and pore gas, respectively, and  $\bar{\rho}_m$  is the average density of the soil

skeleton, pore water, and pore gas, respectively.

#### 221 (2) Momentum conservation equation for pore water

222 The rate of change of momentum for the pore water in a RVE is equal to the sum of the external forces acting on it.

223 Therefore, the equation for the moving mixed form of the pore water is:

224 
$$-p_{,i}^{w} = \rho_{w} \left( \ddot{u}_{i}^{w} - 2v\dot{u}_{i,R}^{w} + v^{2}u_{i,RR}^{w} \right) + \frac{nS_{r}\mu_{w}}{k_{rw}k} \left( \dot{u}_{i}^{w} - vu_{i,R}^{w} \right) - \frac{nS_{r}\mu_{w}}{k_{rw}k} \left( \dot{u}_{i}^{s} - vu_{i,R}^{s} \right)$$
(25)

225 Where  $k_{rw}$  is the relative permeability coefficient of the pore water,  $\mu_w$  is the dynamic viscosity coefficient of the pore 226 water and k is the intrinsic permeability of the porous medium.

### 227 (3) Momentum conservation equation for pore gas

228 The rate of change of the momentum of pore gas in a RVE is equal to the sum of the external forces acting on the porous

229 gas of the RVE. Thus, the moving mixed form of the pore gas is:

230 
$$-p_{,i}^{a} = \rho_{a} \left( \ddot{u}_{i}^{a} - 2v\dot{u}_{i,R}^{a} + v^{2}u_{i,RR}^{a} \right) + \frac{n(1-S_{r})\mu_{a}}{k_{ra}k} \left( \dot{u}_{i}^{a} - vu_{i,R}^{a} \right) - \frac{n(1-S_{r})\mu_{a}}{k_{ra}k} \left( \dot{u}_{i}^{s} - vu_{i,R}^{s} \right)$$
(26)

Where  $k_{ra}$  is the relative permeability coefficient of the pore gas,  $\mu_a$  is the dynamic viscosity coefficient of the pore gas, and *k* is the intrinsic permeability of the porous medium.

# 233 The calculation parameters of ballastless trackbed are shown in Table 1.

234

Table 1 Calculation parameters for unsaturated trackbed

	Structural layer		
Parameters	Trackbed surface	e Lower layer	Subgrade body
Thickness (m)	0.4	2.3	1.2
Elastic modules $E(MPa)$	250	180	150
Poisson's ratio v	0.25	0.3	0.35
Solid densityp <sub>s</sub> (kg/m <sup>3</sup> )	2300	2000	1950
Water density $\rho_w (kg/m^3)$	1000	-	_
Gas density $\rho_a(kg/m^3)$	1.29	-	
Soil porosity n	0.25	_	_
Compressibility factor $\alpha$	0.95	_	_
Water viscosity $\mu_w$ ( $Pa \cdot s$ )	0.001	_	_
Gas viscosity $\mu_a$ ( $Pa \cdot s$ )	$1.5075 \times 10^{-5}$	-	-
Permeability k(m <sup>2</sup> )	10-11	_	_
Effective stress parameter( $arphi$ )	Sr	-	-
Bulk modulus(K <sub>w</sub> )(GPa)	2.20	-	-
Bulk modulus(Ka)(kPa)	145	-	-
The fitting parameters of unsaturated trackbed	0 00		
$(\alpha_2)$	0.00		
The fitting parameter of unsaturated trackbed	1.66		
( <i>d</i> )	1.00		
The fitting parameters of unsaturated trackbed	1 1/4		
( <i>m</i> )	1-1/u		

#### 235 **3 Model solver**

After formulating the interconnected model of the vehicle, track, and unsaturated trackbed system, the governing equations are discretized. In order to perform non-linear computations, the Newton-Raphson method is applied, with time integration handled using a second-order backward difference method. The implicit integration method is described in detail in [40, 41].

### 240 **3.1 Vehicle-track system**

241 Multiplying the governing equation of the Euler-Bernoulli beam by a test function  $\delta y_r$  yields the following form:

242 
$$\int_{0}^{L} \delta \mathbf{y}_{r} \cdot \left( E_{r} I_{r} \frac{\partial^{4} y_{r}}{\partial R^{4}} + m_{r} \left( \frac{\partial^{2} y_{r}}{\partial t^{2}} + v^{2} \frac{\partial^{2} y_{r}}{\partial R^{2}} - 2v \frac{\partial^{2} y_{r}}{\partial R \partial t} \right) + c_{r} \left( \frac{\partial y_{r}}{\partial t} - v \frac{\partial y_{r}}{\partial R} - \left( \frac{\partial y_{s}}{\partial t} - v \frac{\partial y_{s}}{\partial R} \right) \right) + k_{r} (y_{r} - y_{s})$$
243 
$$+ F(t) \delta(R) dR = 0$$

The mass, damping and stiffness matrices of the rail can be obtained through the use of the Gauss-Green formula after discretization:

246 
$$\boldsymbol{M}_{r}^{\boldsymbol{e}} = m_{r} \int_{0}^{L} \boldsymbol{N}_{r}^{T} \boldsymbol{N}_{r} \mathrm{dR}$$

247 
$$\boldsymbol{C}_{\boldsymbol{r}}^{\boldsymbol{e}} = -2m_{\boldsymbol{r}}\boldsymbol{v}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}}\mathrm{dR} + c_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r}}\mathrm{dR} - c_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{su}}\mathrm{dR}$$

248 
$$\boldsymbol{K}_{\boldsymbol{r}}^{\boldsymbol{e}} = E_{\boldsymbol{r}}I_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}\boldsymbol{R}}^{T}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}\boldsymbol{R}}\mathrm{d}\boldsymbol{R} - m_{\boldsymbol{r}}\boldsymbol{v}^{2}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}}^{T}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}}\mathrm{d}\boldsymbol{R} - c_{\boldsymbol{r}}\boldsymbol{v}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}}\mathrm{d}\boldsymbol{R} + c_{\boldsymbol{r}}\boldsymbol{v}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{su},\boldsymbol{R}}\mathrm{d}\boldsymbol{R} + k_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r}}\mathrm{d}\boldsymbol{R} - c_{\boldsymbol{r}}\boldsymbol{v}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}}\mathrm{d}\boldsymbol{R} + c_{\boldsymbol{r}}\boldsymbol{v}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{su},\boldsymbol{R}}\mathrm{d}\boldsymbol{R} + k_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r}}\mathrm{d}\boldsymbol{R} - c_{\boldsymbol{r}}\boldsymbol{v}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r},\boldsymbol{R}}\mathrm{d}\boldsymbol{R} + k_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r}}\mathrm{d}\boldsymbol{R} + k_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{\boldsymbol{r}}\mathrm{d}\boldsymbol{N} + k_{\boldsymbol{r}}\int_{0}^{L}\boldsymbol{N}_{\boldsymbol{r}}^{T}\boldsymbol{N}_{$$

249 
$$k_r \int_0^L N_r^T N_{su} dR$$

250 Where  $N_r$  is the shape function of the rail and  $N_{su}$  is the shape function of the track slab.

In the context of a ballastless track system, the governing equations of the track slab and the concrete base can be multiplied by the test function  $\delta u$  and transformed into an equivalent weak-form integral. This transformation leads to:

253 
$$-\int_{\Omega} \delta \boldsymbol{u} \,\sigma_{ij,j} d\Omega + \rho_i \int_{\Omega} \delta \boldsymbol{u} \,\ddot{\boldsymbol{u}}_i d\Omega - 2\nu \rho_i \int_{\Omega} \delta \boldsymbol{u} \,\ddot{\boldsymbol{u}}_{i,R} d\Omega + \nu^2 \rho_i \int_{\Omega} \delta \boldsymbol{u} \,\ddot{\boldsymbol{u}}_{i,RR} d\Omega = 0$$

254 The above equation is integrated using the Gauss-Green formula to obtain:

$$\int_{\Omega} \delta \boldsymbol{u}_{,i} \,\sigma_{ij} d\Omega + \rho_i \int_{\Omega} \delta \boldsymbol{u} \,\ddot{\boldsymbol{u}}_i d\Omega - 2\nu \rho_i \int_{\Omega} \delta \boldsymbol{u} \,\ddot{\boldsymbol{u}}_{i,R} d\Omega + \nu^2 \rho_i \int_{\Omega} \delta \boldsymbol{u} \,\ddot{\boldsymbol{u}}_{i,RR} d\Omega = \int_{\Gamma} n_i \sigma_{ij} \delta \boldsymbol{u} \,d\Gamma$$

256 This results in the form of a flow element for the track slab and the concrete base:

257 
$$\boldsymbol{M}_{i}^{e}\boldsymbol{\dot{u}}_{i} + \boldsymbol{C}_{i}^{e}\boldsymbol{\dot{u}}_{i} + \boldsymbol{K}_{i}^{e}\boldsymbol{u}_{i} = \boldsymbol{f}_{i}^{e}$$
(27)

258 The mass, damping, and stiffness matrices of the track slab and concrete base can be obtained by discretizing them as:

259 
$$\boldsymbol{M}_{i}^{e} = \rho_{i} \int_{\Omega} \boldsymbol{N}_{iu}^{T} \boldsymbol{N}_{iu} \, d\Omega;$$

260 
$$\boldsymbol{C}_{i}^{\boldsymbol{e}} = -2\rho_{i} \boldsymbol{v} \int_{\Omega} \boldsymbol{N}_{i\boldsymbol{u}}^{T} \boldsymbol{N}_{i\boldsymbol{u},\boldsymbol{R}} \, d\Omega;$$

261 
$$K_i^e = \int_{\Omega} \boldsymbol{B}_{iu}^T \boldsymbol{D}_{iu} \boldsymbol{B}_{iu} d\Omega + \rho_i v^2 \int_{\Omega} \boldsymbol{N}_{iu}^T \boldsymbol{N}_{iu,RR} d\Omega;$$

262 
$$f_i^e = \int_{\Gamma} N_{iu}^T \, \sigma^e d\Omega;$$

263 Where the subscript i = (s, c) represents the track slab and concrete base,  $M_i^e$ ,  $K_i^e$ ,  $C_i^e$  are the mass, stiffness and 264 damping matrices of the track slab and concrete base, respectively,  $N_{iu}$  is the shape function of the track slab and the concrete 265 base,  $B_{iu}$  is the strain matrix of the track slab and the concrete base,  $D_{iu}$  is the elasticity matrix of the track slab and the 266 concrete base, and  $\sigma^e$  is the boundary stress of the track slab and the concrete base.

# 267 **3.2 The unsaturated trackbed**

268 The Galerkin weighted residual method is used to obtain the equivalent weak form integral of the multi-field coupled

269 equations for the unsaturated trackbed. In this method, various shape functions are employed to represent the different displacement and pressure fields. The shape functions are as follows:  $u^{s} = N_{u}u$  is the representation of the shape function 270 for the displacement of the trackbed soil,  $u^w = N_w U_w$  is the representation of the shape function for the displacement of the 271 pore water,  $u^a = N_a U_a$  is the representation of the shape function for the displacement of the pore gas,  $p^w = N_p^w p^w$  is the 272 representation of the shape function for the pore water pressure, and  $p^a = N^a_p p^a$  is the representation of the shape function 273 for the pore gas pressure. These shape functions, denoted by  $N_u$ ,  $N_w$ ,  $N_a$ ,  $N_p^w$  and  $N_p^a$ , describe the absolute displacement of 274 the soil, the absolute displacement of the pore water, the absolute displacement of the pore gas, the pore water pressure, and 275 the pore gas pressure respectively. 276

Using the discrete version of the displacement of the trackbed soil,  $u = N_u u$ , the discrete version of the pore water pressure,  $p^w = N_p^w p^w$ , and the discrete version of the pore gas pressure,  $p^a = N_p^a p^a$ , the overall equation of motion for the trackbed structure can be expressed in a discrete format (see Appendix A for derivation):

280 
$$M_{s}\ddot{u} + M_{sw}\ddot{U}_{w} + M_{sa}\ddot{U}_{a} + C_{s}\dot{u} + C_{sw}\dot{U}_{w} + C_{sa}\dot{U}_{a} + K_{s}u + K_{sw}U_{w} + K_{sa}U_{a} - Qp^{w} - Rp^{a} = f^{u}$$
(28)  
281 Where the mass, damping and stiffness matrices are:

$$M_{s} = \bar{\rho}_{s} \int_{\Omega} N_{u}^{T} N_{u} d\Omega, \quad M_{sw} = \bar{\rho}_{w} \int_{\Omega} N_{u}^{T} N_{w} d\Omega, \quad M_{sa} = \bar{\rho}_{a} \int_{\Omega} N_{u}^{T} N_{a} d\Omega$$

$$C_{s} = -2v\bar{\rho}_{s} \int_{\Omega} N_{u}^{T} N_{u,R} d\Omega, \quad C_{sw} = -2v\bar{\rho}_{w} \int_{\Omega} N_{u}^{T} N_{w,R} d\Omega, \quad C_{sa} = -2v\bar{\rho}_{a} \int_{\Omega} N_{u}^{T} N_{a,R} d\Omega$$

$$K_{s} = \bar{\rho}_{s} v^{2} \int_{\Omega} N_{u}^{T} N_{u,RR} d\Omega + \int_{\Omega} B_{u}^{T} DB_{u} d\Omega, \quad K_{sw} = \bar{\rho}_{w} v^{2} \int_{\Omega} N_{u}^{T} N_{w,RR} d\Omega,$$

$$K_{sa} = \bar{\rho}_{a} v^{2} \int_{\Omega} N_{u}^{T} N_{a,RR} d\Omega, \quad Q = \alpha S_{r} \int_{\Omega} (\nabla \cdot N_{u}^{T}) N_{p}^{w} d\Omega,$$

$$R = \alpha (1 - S_{r}) \int_{\Omega} (\nabla \cdot N_{u}^{T}) N_{p}^{a} d\Omega, \quad f^{u} = \int_{\Gamma} N_{u}^{T} t_{u} d\Gamma$$

The momentum conservation equation for the pore water is discretized as (see Appendix A for full derivation):

287

$$M_{mw}\ddot{U}_{w} + C^{s}_{mw}\dot{u} + C_{mw}\dot{U}_{w} + K^{s}_{mw}u + K_{mw}U_{w} + I_{mw}p^{w} = f_{mw}$$
(29)

289 Where the mass, damping and stiffness matrices are:

290 
$$\boldsymbol{M}_{\boldsymbol{m}\boldsymbol{w}} = -\rho_{\boldsymbol{w}} \int_{\Omega} \boldsymbol{N}_{\boldsymbol{w}}^{T} \boldsymbol{N}_{\boldsymbol{w}} \, d\Omega, \quad \boldsymbol{C}_{\boldsymbol{m}\boldsymbol{w}}^{s} = \eta_{\boldsymbol{w}} \int_{\Omega} \boldsymbol{N}_{\boldsymbol{w}}^{T} \boldsymbol{N}_{\boldsymbol{u}} \, d\Omega, \quad \boldsymbol{C}_{\boldsymbol{m}\boldsymbol{w}} = 2\nu\rho_{\boldsymbol{w}} \int_{\Omega} \boldsymbol{N}_{\boldsymbol{w}}^{T} \boldsymbol{N}_{\boldsymbol{w}\boldsymbol{k}} d\Omega - \eta_{\boldsymbol{w}} \int_{\Omega} \boldsymbol{N}_{\boldsymbol{w}}^{T} \boldsymbol{N}_{\boldsymbol{w}} d\Omega, \quad \boldsymbol{K}_{\boldsymbol{m}\boldsymbol{w}}^{s} = 0$$

$$291 \qquad -\eta_w v \int_{\Omega} N_w^T N_{u,R} \, d\Omega, \quad K_{mw} = -\rho_w v^2 \int_{\Omega} N_w^T N_{w,RR} \, d\Omega + \eta_w v \int_{\Omega} N_w^T N_{w,R}, \quad I_{mw} = \int_{\Omega} N_{w,i}^T N_p^w d\Omega, \quad f_{mw} = \int_{\Gamma} N_w^T p_n^w d\Gamma$$

292

294

293 The momentum conservation equation for the pore gas is discretized as (see Appendix A for derivation):

$$M_{ma}\ddot{U}_{a} + C^{s}_{ma}\dot{u} + C_{ma}\dot{U}_{a} + K^{s}_{ma}u + K_{ma}U_{a} + I_{ma}p^{a} = f_{ma}$$
(30)

295 Where the mass, damping and stiffness matrices are:

$$\mathbf{M}_{ma} = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{C}_{ma}^s = \eta_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_u \, d\Omega, \quad \mathbf{C}_{ma} = 2\nu \rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_{a,R} d\Omega - \eta_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a d\Omega, \quad \mathbf{K}_{ma}^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^T \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{N}_a^s \mathbf{N}_a \, d\Omega, \quad \mathbf{M}_a^s = -\rho_a \int_{\Omega} \mathbf{$$

297 
$$-\eta_a \nu \int_{\Omega} N_a^T N_{u,R} \, d\Omega, \quad K_{ma} = -\rho_a \nu^2 \int_{\Omega} N_a^T N_{a,RR} \, d\Omega + \eta_a \nu \int_{\Omega} N_a^T N_{a,R}, \quad I_{ma} = \int_{\Omega} N_{a,i}^T N_p^a \, d\Omega, \quad f_{ma} = \int_{\Gamma} N_a^T p_n^a \, d\Gamma$$

298 The mass conservation equation for the pore water is discretized as (see Appendix A for derivation):

299 
$$C_{qw}^{s}\dot{u} + C_{qw}\dot{U}_{w} + H_{qw}^{w}\dot{p}^{w} + H_{qw}^{a}\dot{p}^{a} + K_{qw}^{s}u + K_{qw}U_{w} + Q_{qw}^{w}p^{w} + Q_{qw}^{a}p^{a} = f_{qw}$$
(31)

300 Where the mass, damping and stiffness matrices are:

301 
$$\boldsymbol{C}_{\boldsymbol{qw}}^{s} = \alpha S_r \int_{\Omega} \left( \boldsymbol{N}_{\boldsymbol{p}}^{w} \right)^T \nabla \cdot \boldsymbol{N}_{\boldsymbol{u}} \, d\Omega + n S_r \int_{\Omega} \left( \nabla \boldsymbol{N}_{\boldsymbol{p}}^{w} \right)^T \boldsymbol{N}_{\boldsymbol{u}} \, d\Omega, \quad \boldsymbol{C}_{\boldsymbol{qw}} = -n S_r \int_{\Omega} \left( \nabla \cdot \boldsymbol{N}_{\boldsymbol{p}}^{w} \right)^T \boldsymbol{N}_{\boldsymbol{w}} \, d\Omega$$

$$H_{qw}^{w} = w_1 \int_{\Omega} \left( N_p^{w} \right)^T N_p^{w} d\Omega, \quad H_{qw}^{a} = w_2 \int_{\Omega} \left( N_p^{w} \right)^T N_p^{a} d\Omega,$$

$$K_{qw}^{s} = -\alpha S_{r} v \int_{\Omega} (N_{p}^{w})^{T} \nabla \cdot N_{u,R} d\Omega - n S_{r} v \int_{\Omega} (\nabla \cdot N_{p}^{w})^{T} N_{u,R}, \quad K_{qw} = n S_{r} v \int_{\Omega} (\nabla \cdot N_{p}^{w})^{T} N_{w,R},$$

304 
$$\boldsymbol{Q}_{\boldsymbol{q}\boldsymbol{w}}^{\boldsymbol{w}} = -vw_1 \int_{\Omega} (\boldsymbol{N}_p^{\boldsymbol{w}})^T \boldsymbol{N}_{\boldsymbol{p},\boldsymbol{R}}^{\boldsymbol{w}} \, d\Omega, \quad \boldsymbol{Q}_{\boldsymbol{q}\boldsymbol{w}}^{\boldsymbol{a}} = -vw_2 \int_{\Omega} (\boldsymbol{N}_p^{\boldsymbol{w}})^T \boldsymbol{N}_{\boldsymbol{p},\boldsymbol{R}}^{\boldsymbol{a}} \, d\Omega$$

$$305 \qquad \boldsymbol{f}_{\boldsymbol{q}\boldsymbol{w}} = \int_{\boldsymbol{\Gamma}} \left( \boldsymbol{N}_{\boldsymbol{p}}^{\boldsymbol{w}} \right)^{T} \boldsymbol{q}^{\boldsymbol{w}} d\boldsymbol{\Gamma};$$

306 Where  $q^w$  is the boundary flux for the pore water phase and  $f_{qw}$  is the equivalent load matrix. The mass conservation equation for the pore gas is discretized as (see Appendix A for derivation): 307

308 
$$C_{qa}^{s}\dot{u} + C_{qa}\dot{U}_{a} + H_{qa}^{w}\dot{p}^{w} + H_{qa}^{a}\dot{p}^{a} + K_{qa}^{s}u + K_{qa}U_{a} + Q_{qa}^{w}p^{w} + Q_{qa}^{a}p^{a} = f_{qa}$$
(32)

311

2

Where the mass, damping and stiffness matrices are: 309

310 
$$\boldsymbol{C}_{\boldsymbol{q}\boldsymbol{a}}^{\boldsymbol{s}} = \alpha(1-S_r) \int_{\Omega} (\boldsymbol{N}_p^{\boldsymbol{a}})^T \nabla \cdot \boldsymbol{N}_{\boldsymbol{u}} \, d\Omega - n(1-S_r) \int_{\Omega} (\nabla \boldsymbol{N}_p^{\boldsymbol{a}})^T \boldsymbol{N}_{\boldsymbol{u}} \, d\Omega$$

312 
$$H_{qa}^{w} = g_1 \int_{\Omega} (N_p^a)^T N_p^w d\Omega, \quad H_{qa}^a = g_2 \int_{\Omega} (N_p^a)^T N_p^a d\Omega$$

 $\boldsymbol{C}_{\boldsymbol{q}\boldsymbol{a}} = -n(1-S_r)\int_{\Omega} \left(\nabla \cdot \boldsymbol{N}_{\boldsymbol{p}}^{\boldsymbol{a}}\right)^T \boldsymbol{N}_{\boldsymbol{w}} d\Omega,$ 

313 
$$K_{qa}^{s} = -\alpha(1-S_{r})v\int_{\Omega} (N_{p}^{a})^{T}\nabla \cdot N_{u,R} d\Omega - n(1-S_{r})v\int_{\Omega} (\nabla \cdot N_{p}^{a})^{T}N_{u,R},$$

314 
$$K_{qa} = n(1 - S_r) v \int_{\Omega} (\nabla \cdot N_p^a)^T N_{a,R}$$

315 
$$Q_{qa}^{w} = -vg_1 \int_{\Omega} (N_p^a)^T N_{p,R}^{w} d\Omega, \quad Q_{qa}^{a} = -vg_2 \int_{\Omega} (N_p^a)^T N_{p,R}^{a} d\Omega,$$

316 
$$f_{qa} = \int_{\Gamma} (N_p^a)^T q^a d\Gamma;$$

and  $q^a$  is the boundary flux for the pore gas phase and  $f_{qa}$  is the equivalent load matrix. 317

#### **3.3** Boundary conditions 318

319 The dynamic governing equations for unsaturated trackbed are transformed into the moving mixed element form using 320 the equivalent weak-form integral of the momentum conservation, mass conservation, and constitutive equations. The natural boundary conditions for the seepage boundary and the stress boundary can also be determined in the same weak form manner. 321

322 
$$n_j n S_r((\dot{u}_i^w - v u_{i,R}^w) - (\dot{u}_i^s - v u_{i,R}^s)) = \dot{W}_n$$
(33)

323 
$$n_j n(1 - S_r)((\dot{u}_i^a - v u_{i,R}^a) - (\dot{u}_i^s - v u_{i,R}^s)) = \dot{V}_n$$
(34)

$$n_j(\sigma'_{ij} - \alpha S_r p^w - \alpha (1 - S_r) p^a) = t_i \quad \text{on } \Gamma_t$$
(35)

In order to maintain continuity within the solution domain, the model must satisfy the continuity condition for 325

- 326 displacement and stress.
- 327
- 328

$$u = u_0 \tag{36}$$

$$\sigma = \sigma_0 \tag{37}$$

# 329 **3.4 Galerkin stabilization**

The traditional Galerkin method is effective in handling conventional wave problems without numerical oscillations. However, when dealing with the diffusion equation, the Galerkin method can exhibit significant numerical oscillations and lead to a loss of accuracy when the gradient undergoes large changes. To mitigate computational uncertainties, it is necessary for the multi-field coupled variables to satisfy the Ladyzhenskaya-Babuska-Brezzi (LBB) [42] condition, which requires the displacement element to be at least one order higher than the pore-pressure element. The element form shown in Fig. 5 satisfies the LBB condition, with a 9-node Lagrange-second-order form for the displacement element and a 4-node Lagrange-firstorder form for the pore pressure element.

337



338 339

#### Fig. 5 Discretization of mixed element under the LBB condition

To evaluate the efficacy of the stabilized method, an approximately saturated soil is chosen as the subject of an analysis. The model's calculation parameters are set as follows: E = 1.8GPa,  $\rho_s = 2400kg/m^3$ ,  $\rho_w = 1000kg/m^3$ , v = 0.3,  $K_w = 2.2GPa$ , n = 0.15,  $k = 10^{-13}$ ,  $S_r = 0.9999$ . The elastic trackbed's calculation parameters are as follows:  $\rho_d = 2300kg/m^3$ , E = 1.3GPa, v = 0.2. The magnitude of the moving load is F = 700kPa and the moving speed is v = 15m/s. The stability of the solution method is assessed by analyzing the time-curves of observation points located in the middle of the pavement.



Fig. 6 Comparison between the LBB stabilization method and the non-stabilization method
 In Fig. 6, it is evident that the moving mixed element method (MMEM) without the stabilized technique presents
 numerical instability in the dynamic response. Specifically, the pore water pressure (PWP) does not reach a steady state. The
 numerical test results demonstrate stability when the displacements of the solid and fluid phases are one order higher than the
 pore fluid pressure. No numerical oscillation issues arise in this case. Thus, the LBB technique is employed in all subsequent
 analyses.
 4 Validation and comparison

Currently, there is a limited amount of field test data available considering trackbed dynamics with varying levels of moisture content. Therefore, to assess the accuracy of the proposed model, a multi-step verification process is conducted. First, the accuracy of the vehicle-track-elastic trackbed coupling system is verified. Building on this, the accuracy of the calculation method for the proposed unsaturated trackbed model is then assessed.

**4.1 Validation 1: Vehicle-track-trackbed coupling system** 

For solely checking the vehicle-track coupling, the trackbed is considered elastic and a comparison is made with the calculation results of Li et al. [43], as illustrated in Fig. 7. The high-speed train is a CRH3-EMU operated at a speed of 72

361 km/h and the track structure is a CRTS-II ballastless track.



362

363

# Fig. 7 Vehicle-track-trackbed coupling calculation model

In Fig. 8, the results obtained are shown to be in agreement with those obtained from the literature. The dynamic interaction force between the wheel and rail, as well as the displacement of the rail surface, show strong correlation with those calculated by Li et al. [43].





Fig. 8 Comparison of vehicle-track coupling models

# 370 **4.2 Validation 2: Unsaturated foundation dynamics**

The unsaturated dynamics model was validated using the results presented in Xu [39] as illustrated in Fig. 9. To do so, the unsaturated foundation is modeled using a 3D domain, with a depth of 20 m and 10 m width and length. The loading area is within  $-0.5m \le x \le 0.5m$  and  $-0.5m \le y \le 0.5m$ . It is a stationary harmonic load  $q(x, y)e^{i\omega t}$  with an amplitude of  $1kN/m^2$  and a frequency of  $\omega = 1Hz$ . The surface of the unsaturated foundation is permeable, while the base is fixed. No lateral displacement occurs on the sides, and the mesh size is 0.1m.



376

377

#### Fig. 9 Calculation model of unsaturated foundation

Fig. 10 demonstrates excellent agreement between the present results and the analytical method from the literature. This confirms that the proposed multi-field coupling model can accurately calculate the pore pressures and total stresses in unsaturated foundations.





381

382

# Fig. 10 Comparison of vibration of unsaturated foundation ( $S_r = 0.9$ )



#### 4.3 Validation 3: Saturated foundation with moving load

385 A saturated porous medium is a sub-case of an unsaturated porous medium model, representing a solution when the saturation degree approaches 1 ( $S_r \rightarrow 1$ ). To assess the stability and accuracy of the dynamic model for unsaturated porous 386 387 media, Ye et al. [44] and Zhang et al. [45] employed saturated porous medium to validate the dynamic response outcomes for unsaturated soil. The computational parameters for the saturated porous medium are as follows [46]:  $\rho_s = 1816 kg/m^3$ , 388  $G = 10^8 Pa$ ,  $K_w = 2.45 \times 10^9 Pa$ ,  $\bar{k} = 10^{-7} m^3 s/kg$ . The moving load has a speed of 20 m/s, while the degree of 389 saturation is 0.99999. The saturated foundation has a thickness of 18 m, a length of 100 m, and a mesh size of 0.5 m, as 390 391 illustrated in Fig. 11.



392 393

Fig. 11 Calculation model of saturated foundation

Fig. 12 compares the proposed method with the solution calculated in [46]. A strong match between effective vertical 394 stress is evident. This demonstrates the applicability of the proposed moving mixed element method for saturated problems 395 subject to moving loads. 396



397 398

Fig. 12 Comparison of calculation results of saturated foundation

# 399 **5** Analysis

An analysis is first performed to investigate the general behavior of an unsaturated trackbed. This is then followed by investigation into the degree of saturation, train speed and track irregularities. The architecture of the model is illustrated in Fig. 3, considering the excitation of a CRH380-EMU high-speed train shown in Table 2 [47], running on the CRTS-II ballastless track shown in Table 3. The calculation parameters for all earthworks are given in Table 1. The analysis model has a length of 100 m in the train passage direction, which aims to minimise the error caused by boundary reflections. [36]. The element mesh size is 0.1 m. simulations were executed on a high-performance computing workstation featuring a 13thgeneration Intel(R) Core(TM) i9-13900K processor and 128 GB of RAM.

407

# Table 2 Vehicle parameters of CRH380-EMU

Parameters	Values
Train body mass $(kg)$	4000
Bogie mass (kg)	3200
Wheelset mass $(kg)$	2400
Train body pitch moment of inertia $(kg \cdot m^2)$	$5.47  imes 10^5$
Bogie pitch moment of inertia $(kg \cdot m^2)$	6800
Primary suspension stiffness $(N/m)$	$2.08 \times 10^6$
Primary suspension damping $(N \cdot s/m)$	$1.00 \times 10^{5}$
Secondary suspension stiffness $(N/m)$	$0.8  imes 10^6$
Secondary suspension damping $(N \cdot s/m)$	$1.20  imes 10^5$
Bogie distance $(m)$	17.5
Wheel distance $(m)$	2.5
Wheel rolling radius ( <i>m</i> )	0.457

408

409

 Table 3 CRTS II ballastless track parameters

Parameters	Values

Rail $(kg/m)$	60
Rail stiffness (kN.m <sup>2</sup> )	6756
Fastener stiffness (kN/m)	60
Fastener damping (MN. s/m)	47.7
Density of track slab (kg/m <sup>3</sup> )	2500
Elastic modulus of track slab (MPa)	36000
Poisson's ratio of track slab $(N \cdot s/m)$	0.2
CA mortar stiffness (MN/m)	900
CA mortar damping (kN.s/m)	83
Density of concrete base (kg/m <sup>3</sup> )	2500
Elastic modulus of concrete base (MPa)	34000
Poisson's ratio of concrete base	0.2

# 410 **5.1 General analysis**

To investigate the dynamic behavior of an unsaturated trackbed under train loading, a dimensionless parameter,  $S_r$  = 0.95, serves as the object of research in order to analyze the disparity between the transient and steady-state responses of an unsaturated trackbed. Table 1-3 lists all other calculation parameters. The observation point is located at the midpoint of the trackbed in the vertical direction. It is worth noting that the computation time for analyzing the vehicle-track-unsaturated trackbed using FEM is 4,732 s, whereas the MMEM approach only requires 275 s. Thus, the computation time for FEM is 17.21 times longer than that of MMEM.

Fig. 13 displays the initial time-history curves of PWP and vertical displacement (VD) showing that they oscillate before reaching their steady state after approximately 2 seconds. The dynamic response of the trackbed to a high-speed train is a result of the interaction of stress waves within the trackbed, eventually reaching a steady-state condition. The steady-state DPWP reaches 351 Pa while the steady-state VD is -0.16 mm.





- 423 424
- . \_ 1

Fig. 13 Time-varying characteristics of dynamic response of unsaturated trackbed, (a) Pore water pressure, (b) Vertical
 displacement, (c) Pore water pressure distribution at steady state

Fig. 13(c) illustrates the distribution of PWP in the unsaturated trackbed of the ballastless track at 3s. At this point, the system has entered a steady state and the hydraulic gradient of the unsaturated trackbed is from the bottom to the top in the vertical direction. Additionally, a noticeable negative pore water pressure region is formed between the two bogies of the high-speed train. The maximum pore water pressure gradient (maximum positive pore pressure to maximum negative pore pressure) created by the first bogie of a high-speed train can cover an area of about 7.5 m. The second bogie creates a wider range of pore water pressure fluctuations.

#### 433 **5.2 Effect of degree of saturation**

When cracks occur in a ballastless track, rainwater infiltrates the trackbed, leading to changes in moisture content. For 434 435 example, depending upon clay content, this can cause it to transition from a desiccated to a saturated state. Therefore, it is important to evaluate the hydro-mechanical response under varying degrees of saturation. To do so the train speed is set to 436 300 km/h (v = 300 km/h) and the rails are considered perfectly smooth. The steady-state distribution of PWP and VD inside 437 the trackbed below the first bogie is analysed. Fig. 14Error! Reference source not found. (a) illustrates the distribution of 438 439 PWP within the unsaturated trackbed. At a degree of saturation of 0.99, the maximum PWP is 1.57 kPa, located in the middle of the trackbed. At degrees of saturation of 0.95 and 0.9, the PWP is better distributed, with values of 382 Pa and 190.51 Pa 440 respectively. Increasing the degree of saturation from 0.9 to 0.95 results in an average increase of 100.51% in the PWP. 441 Similarly, as the degree of saturation increases from 0.95 to 0.99, the PWP increases by 310.10%. 442

VD is important for the smooth operation of high-speed trains. Therefore, it's essential to evaluate its impact on quasistatic deflection, especially when rainwater infiltrates the interior of the trackbed. As displayed in Fig. 14Error! Reference source not found. (b), the VD of the trackbed under varying degrees of saturation is evident. Increasing the degree of saturation from 0.9 to 0.95 results in a mere 0.16% increase in VD. However, VD shows a greater increase of 0.97% when the degree of saturation rises from 0.95 to 0.99, compared to the increase of 0.9 to 0.95. Although the process of increasing water content has a minimal impact on quasi-static VD, it should be noted that it creates a hydraulic gradient that can gradually

- lead to the depletion of fine particles within the trackbed. This depletion can damage the trackbed, reducing its durability and
- 450 intensifying the occurrence of cracks.



452 Fig. 14 The effect of the degree of saturation on the index of hydrodynamic response, (a) Pore water pressure, (b) Vertical
453 displacement

#### 454 **5.3 Effect of train speed**

451

According to the International Union of Railways (UIC), a high-speed railway is defined as having trains that operate at speeds exceeding 200 km/h. The operating velocity of trains can impact the dynamic response of the trackbed [48, 49]. To evaluate the amplification of quasi-static unsaturated trackbed deflection with speed, the degree of saturation ( $S_r$ ) is set to 0.9 and rail irregularity is ignored.

Fig. 15 (a) illustrates the distribution of PWP in the unsaturated trackbed at various speeds. At a train velocity of 450 459 km/h, the PWP inside the trackbed is 207.32 Pa, concentrated in the middle section. At train velocities of 350 km/h and 250 460 461 km/h, the PWP inside the trackbed is 197.40 Pa and 182.76 Pa, respectively. Upon observing the trend of PWP within the trackbed, it's evident that the pressure increases rapidly from the trackbed's surface, while the growth rate of PWP experiences 462 a rapid decrease at a depth of 0.1 m. Below a depth of 0.2 m, the PWP shows a decreasing trend. The maximum PWP increases 463 by 8.01% and 13.44% as the train velocity increases from 250 km/h to 450 km/h, respectively. Furthermore, at different 464 465 velocities ( $V = 450 \text{ } km/h \rightarrow 250 \text{ } km/h$ ), the PWP decreases from the middle to the bottom of the trackbed by 8.70%, 4.62%, and 1.22%, respectively. 466

As the train velocity increases, the unevenness of PWP within the trackbed becomes more pronounced. Conversely, when the train velocity is reduced to 250 km/h, the distribution of PWP gradually becomes more uniform. The distribution of PWP within the trackbed varies with different degrees of saturation, resulting in non-uniformity. Consequently, this uneven

- 470 distribution of particle gradation in the trackbed soil significantly impacts its compactness.
- Fig. 15 (b) presents VD as the train velocity increases. VD exhibits an approximately linear distribution. The maximum VD is 0.205 mm when the train velocity increases from 250 km/h to 450 km/h. The increase in maximum VD fluctuates by 4.35% and 11.41%, respectively. In contrast to the dry trackbed, the displacements are all higher in the wet trackbed than in the dry, and the higher the train speed, the greater this difference.







487 Fig. 16 The effect of speed on rail displacement, (a) The distribution of rail displacement, (b) Maximum displacement of

488

501

502

485

486

# rails

489 **5.4 Effect of track irregularities** 

Track irregularity is an important factor contributing to the variation in wheel-rail contact force. Over time, construction tolerances and the differential settlement of trackbed can lead to increased track irregularities, which in turn cause higher wheel-rail interaction forces. Therefore, it is important to analyze how track irregularities affect the hydromechanical response of unsaturated trackbeds.

To do so the speed of the high-speed train was set to 300 km/h and the degree of saturation of the trackbed was set to 0.9. It was assumed the track irregularities on the surface of the rails followed a simple harmonic pattern [50]. Using the notation in Fig. **17**, the rails were tested under two different conditions:

497 (1) The amplitude of the wave was considered constant (A = 10mm), and the wavelengths analyzed were  $\lambda =$ 498 10m, 15mm, 20m.

499 (2) The wavelength of the wave was considered constant ( $\lambda = 10m$ ), and the different wave amplitudes analyzed were

500 A = 10mm, 5mm, 1mm.



503 Fig. 18 illustrates the time-history variations of PWP and VD at the trackbed center. Fig. 18(a) displays the PWP under 504 varying conditions of  $\lambda = 10m$  and = 10mm, 5mm, and 1mm. The average value of the PWP across the three different

wave amplitude conditions is 190 Pa. At an amplitude of 10mm (A = 10mm), the maximum positive pore water pressure is 244.74 Pa, while the minimum value is 136.08 Pa. The peak PWP reaches 1.29 times the average value, representing an increase of approximately 29% from the maximum value. The minimum value is reduced by approximately 29% as well. The average VD is 0.178 mm, with a maximum value of 0.228 mm and a minimum value of 0.127 mm, indicating a variation of 28%.

510 When the irregularity amplitude is 5 mm (A = 5 mm), the PWP ranges from 163.28 Pa to 217.61 Pa, with a fluctuation 511 of 14.5%. Similarly, the VD varies from 0.152 mm to 0.205 mm, with a variation of 15.2%. When the amplitude decreases to 512 1 mm (A = 1 mm), both the PWP and VD show minimal changes. The magnitudes of the PWP range from 185.01 Pa to 513 195.92 Pa, with an average fluctuation of 3%. The corresponding VD ranges from 0.173 mm to 0.183 mm, with an average 514 fluctuation of 2.8%.

515 When the wavelength is kept constant, the amplitude of the irregularity is observed to increase from 1 mm to 10 mm. 516 This increase leads to higher PWP, which rises by 3%, 14.5%, and 29% compared to the average value. However, the increase 517 in VD follows a similar pattern and can be considered approximately linear.

518



519

520



- 522
- 523

524

Fig. 18 The amplitude of track irregularities, (a) Pore water pressure, (b) Vertical displacement

The effects of irregularity wavelengths on the PWP and VD of an unsaturated trackbed of a ballastless track are shown 525 in Fig. 19. Fig. 19 (a) and (b) illustrate the time-curves of PWP and VD for various wavelengths (A = 10 mm and  $\lambda =$ 526 527 10 m, 15 m, 20 m). The average values of PWP and VD are 190.72 Pa and 0.178 mm, respectively. For a wavelength of 15 m ( $\lambda = 15 m$ ), the maximums and minimums of PWP are 219.40 Pa and 164.02 Pa, respectively, while the maximums and 528 minimums of VD are 0.202 mm and 0.153 mm, respectively. These values indicate fluctuations of 14.52% and 13.76% 529 530 relative to the average values. With a wavelength of 20 m ( $\lambda = 20 m$ ), the maximums and minimums of PWP are 206.66 Pa and 173.21 Pa, respectively, and the maximums and minimums of VD are 0.194 mm and 0.164 mm, respectively. The 531 average fluctuations of PWP and VD are 8.77% and 8.42%, respectively. 532 The fluctuations in PWP, relative to the average value, are 29%, 14.52%, and 8.77% when the wavelength of track 533 534 irregularity is increased from 10 m to 20 m. Furthermore, the variation of VD, relative to the average VD, is 28%, 13.76%,

- and 8.42%, respectively.
- 536



537 538



539

540

541

(b)

Fig. 19 The wavelength of track irregularities, (a) Pore water pressure, (b) Vertical displacement

542 The analysis results of the wavelength and amplitude of track irregularities demonstrate that these irregularities have a 543 substantial impact on the internal hydraulic behavior of unsaturated trackbeds. Track irregularities result in a rapid increase 544 in PWP.

# 545 6 Conclusions

Ballastless track is typically formed from concrete, which in the long-term, experiences cracking due to repeated train and thermal loading. Rainwater infiltrates the trackbed through ballastless track cracks leading to changes in the degree of saturation within the trackbed and underlying earthworks. To study this, a fully-coupled train-track-ground model considering multi-field coupling theory for a porous medium is developed. The moving mixed element method was developed based on the principle of relative motion and used to minimize computational requirements. Furthermore, a stabilization method was employed to prevent numerical oscillations. The accuracy of the model was validated through three numerical examples. The 552 main conclusions were:

- The PWP inside the unsaturated trackbed initially reaches a maximum value when the moving force is applied and then
   quickly decays to the steady state value. When the degree of saturation of trackbed is 0.95, the stable PWP is
   approximately 351 Pa and the steady state VD is 0.16 mm. The maximum pore water pressure gradient created by the
   first bogie of a high-speed train can cover an area of about 7.5 m.
- 2. When the wavelength remains constant, increasing the rail irregularity amplitude from 1 mm to 10 mm results in variations in PWP of 3%, 14.5%, and 29% compared to the average value. Similarly, the increase in VD shows a nearly linear pattern. Conversely, when the amplitude of the wave is constant and the wavelength of the track irregularity is increased from 10 m to 20 m, PWP changes by 29%, 14.52%, and 8.77% relative to the average value. The corresponding variations in VD relative to the average displacement are 28%, 13.76%, and 8.42%.
- Increasing the degree of saturation from 0.9 to 0.95 results in PWP increasing by 100%. Furthermore, increasing the
  degree of saturation from 0.95 to 0.99 results in PWP increasing by 310%. VD changes by only 0.16% when the degree
  of saturation increases from 0.9 to 0.95. An increase in the degree of saturation from 0.95 to 0.99 leads to a VD increase
  of 0.97%.
- 4. Increasing the train velocity from 250 km/h to 450 km/h results in maximum PWP increases of 8.01% and 13.44%, respectively. At different velocities ( $V = 450 \text{ km/h} \rightarrow 250 \text{ km/h}$ ), PWP shows a decrease from the middle to the bottom of the trackbed, with reductions of 8.70%, 4.62%, and 1.22% observed. The maximum DD increases by 4.35%
- and 11.41% when the train velocity is increased from 250 km/h to 450 km/h, with a maximum displacement value of
- 570 0.205 mm. The rail maximum displacement at 450km/h is 5.7% higher than that at 250km/h.

# 571 Acknowledgement

572 The research was supported by National Natural Science Foundation of China (Grant No. 52078427 and 51978588);
573 Joint Fund for Basic Railway Research (Grant No. U2268213); The authors gratefully acknowledge their financial support.

# 574 Appendix A Derivation of unsaturated trackbed matrix

575 By multiplying equation (24) with the test function  $\delta u_i$  simultaneously, the original partial differential equation is 576 transformed into its corresponding equivalent integral weak form.

$$577 \qquad \int_{\Omega} \sigma'_{ij,j} \delta u_i d\Omega - \int_{\Omega} \alpha S_r p^w_{,j} \delta u_i d\Omega - \int_{\Omega} \alpha (1 - S_r) p^a_{,j} \delta u_i d\Omega$$

$$578 \qquad \qquad = \int_{\Omega} (\bar{\rho}_s \ddot{u}^s_i - 2v \bar{\rho}_s \dot{u}^s_{i,R} + \bar{\rho}_s v^2 u^s_{i,RR}) \delta u_i d\Omega + \int_{\Omega} (\bar{\rho}_w \ddot{u}^w_i - 2v \bar{\rho}_w \dot{u}^w_{i,R} + \bar{\rho}_w v^2 u^w_{i,RR}) \delta u_i d\Omega$$

$$579 \qquad \qquad + \int_{\Omega} (\bar{\rho}_a \ddot{u}^a_i - 2v \bar{\rho}_a \dot{u}^a_{i,R} + \bar{\rho}_a v^2 u^a_{i,RR}) \delta u_i d\Omega$$

580 Where the various sub-sections expand in the form of the following:

$$581 \qquad \int_{\Gamma} \delta u_{i} n_{j} (\sigma_{ij}' - \alpha S_{r} p^{w} - \alpha (1 - S_{r}) p^{a}) d\Gamma$$

$$= \int_{\Omega} \delta u_{i,j} (\sigma_{ij}' - \alpha S_{r} p^{w} - \alpha (1 - S_{r}) p^{a}) d\Omega + \int_{\Omega} (\bar{\rho}_{s} \ddot{u}_{i}^{s} - 2v \bar{\rho}_{s} \dot{u}_{i,R}^{s} + \bar{\rho}_{s} v^{2} u_{i,RR}^{s}) \delta u_{i} d\Omega$$

$$+ \int_{\Omega} (\bar{\rho}_{w} \ddot{u}_{i}^{w} - 2v \bar{\rho}_{w} \dot{u}_{i,R}^{w} + \bar{\rho}_{w} v^{2} u_{i,RR}^{w}) \delta u_{i} d\Omega + \int_{\Omega} (\bar{\rho}_{a} \ddot{u}_{i}^{a} - 2v \bar{\rho}_{a} \dot{u}_{i,R}^{a} + \bar{\rho}_{a} v^{2} u_{i,RR}^{a}) \delta u_{i} d\Omega$$

The overall equation of motion for the trackbed structure can be expressed in a discrete format. 584

The test function  $\delta u^w$  is multiplied by both sides of the momentum conservation equation for pore water (25) in order 585 to derive its equivalent integral weak form. This form is then obtained after integration by parts. 586

$$587 \qquad \int_{\Omega} \delta \boldsymbol{u}_{,i}^{\boldsymbol{w}} p^{\boldsymbol{w}} d\Omega - \int_{\Omega} \delta \boldsymbol{u}^{\boldsymbol{w}} \rho_{\boldsymbol{w}} (\ddot{u}_{i}^{\boldsymbol{w}} - 2v\dot{u}_{i,R}^{\boldsymbol{w}} + v^{2}u_{i,RR}^{\boldsymbol{w}}) d\Omega - \int_{\Omega} \delta \boldsymbol{u}^{\boldsymbol{w}} \eta_{\boldsymbol{w}} (\dot{u}_{i}^{\boldsymbol{w}} - vu_{i,R}^{\boldsymbol{w}}) d\Omega + \int_{\Omega} \delta \boldsymbol{u}^{\boldsymbol{w}} \eta_{\boldsymbol{w}} (\dot{u}_{i}^{\boldsymbol{s}} - vu_{i,R}^{\boldsymbol{s}}) d\Omega$$

$$588 \qquad \qquad = \int_{\Gamma} \delta \boldsymbol{u}^{\boldsymbol{w}} n_{i} p^{\boldsymbol{w}} d\Gamma$$

The momentum conservation equation for pore water can be expressed in a discrete format. 589

Multiplying both sides of the momentum conservation equation for pore gas (26) by the test function  $\delta u^a$  yields its 590 591 equivalent integral weak form. After performing integration by parts, the following form is obtained.

$$592 \qquad \int_{\Omega} \delta \boldsymbol{u}_{,i}^{a} p^{a} d\Omega - \int_{\Omega} \delta \boldsymbol{u}^{a} \rho_{a} (\ddot{u}_{i}^{a} - 2v\dot{u}_{i,R}^{a} + v^{2}u_{i,RR}^{a}) d\Omega - \int_{\Omega} \delta \boldsymbol{u}^{a} \eta_{a} (\dot{u}_{i}^{a} - vu_{i,R}^{a}) d\Omega + \int_{\Omega} \delta \boldsymbol{u}^{a} \eta_{a} (\dot{u}_{i}^{s} - vu_{i,R}^{s}) d\Omega$$

$$593 \qquad \qquad = \int_{\Gamma} \delta \boldsymbol{u}^{a} n_{i} p^{a} d\Gamma$$

The momentum conservation equation for pore gas can be expressed in a discrete format. 594

By multiplying both sides of equation (19), the mass conservation equation for pore water, with the test function  $\delta p^w$ , 595 its equivalent integral weak form is derived. Integration by parts leads to the following form. 596

$$597 nS_r \int_{\Omega} \delta p^w [(\dot{u}_{i,i}^w - v\nabla u_{i,R}^w) - (\dot{u}_{i,i}^s - v\nabla u_{i,R}^s)] d\Omega$$

$$598 = -nS_r \int_{\Omega} \delta p_{,i}^w (\dot{u}_i^w - vu_{i,R}^w) d\Omega + nS_r \int_{\Gamma} \delta p^w n_i (\dot{u}_i^w - vu_{i,R}^w) d\Gamma + nS_r \int_{\Omega} \delta p_{,i}^w (\dot{u}_i^s - vu_{i,R}^s) d\Omega$$

$$599 - nS_r \int_{\Gamma} \delta p^w n_i (\dot{u}_i^s - vu_{i,R}^s) d\Gamma$$

By multiplying both sides of equation (21), the mass conservation equation for pore gas, with the test function  $\delta p^a$ , its 601 equivalent integral weak form is derived. Integration by parts yields the following form. 602

603 
$$n(1-S_r)\int_{\Omega}\delta p^a \left[ \left( \dot{u}_{i,i}^a - v\nabla u_{i,R}^a \right) - \left( \dot{u}_{i,i}^s - v\nabla u_{i,R}^s \right) \right] d\Omega$$

$$604 \qquad = -n(1-S_r) \int_{\Omega} \delta p^a_{,i} \left( \dot{u}^a_i - v u^a_{i,R} \right) d\Omega + n(1-S_r) \int_{\Gamma} \delta p^a n_i \left( \dot{u}^a_i - v u^a_{i,R} \right) d\Gamma$$

$$605 \qquad \qquad + n(1-S_r) \int_{\Omega} \delta p_{,i}^a \left( \dot{u}_i^s - v u_{i,R}^s \right) d\Omega - n(1-S_r) \int_{\Gamma} \delta p^a n_i \left( \dot{u}_i^s - v u_{i,R}^s \right) d\Omega$$

The mass conservation equation for pore gas can be expressed in a discrete format.

### 607 **Reference**

- [1] P.-E. Gautier, Slab track: Review of existing systems and optimization potentials including very high speed, Constr. Build.
  Mater., 92 (2015) 9-15.
- 610 [2] C. Esveld, Recent developments in high-speed track, In: 1st Int. Conf. on Road and Rail Infrastructure. Zagreb (Croatia):
  611 University of Zagreb, (2010).
- [3] K. Inaba, H. Tanigawa, H. Naito, A study on evaluating supporting condition of railway track slab with impact acoustics and
   non-defective machine learning, Constr. Build. Mater., 373 (2023).
- [4] L. Auersch, S. Said, Track-soil dynamics Calculation and measurement of damaged and repaired slab tracks, Transp. Geotech.,
  12 (2017) 1-14.
- [5] Z. Wan, W. Xu, Z. Zhang, C. Zhao, X. Bian, In-situ investigation on mud pumping in ballastless high-speed railway and
  development of remediation method, Transp. Geotech., 33 (2022).
- [6] Y. Wu, H. Fu, X. Bian, Y. Chen, Impact of extreme climate and train traffic loads on the performance of high-speed railway
   geotechnical infrastructures, Journal of Zhejiang University-SCIENCE A, 24 (2023) 189-205.
- 620 [7] J. Huang, Q. Su, T. Liu, W. Wang, Behavior and Control of the Ballastless Track-Subgrade Vibration Induced by High-Speed

Trains Moving on the Subgrade Bed with Mud Pumping, Shock Vib., 2019 (2019) 1-14.

- [8] Z. Lin, F. Niu, X. Li, A. Li, M. Liu, J. Luo, Z. Shao, Characteristics and controlling factors of frost heave in high-speed railway
  subgrade, Northwest China, Cold Reg. Sci. Technol., 153 (2018) 33-44.
- [9] X. Cui, H. Xiao, Interface Mechanical Properties and Damage Behavior of CRTS II Slab Track considering Differential Subgrade
   Settlement, KSCE J. Civ. Eng., 25 (2021) 2036-2045.
- [10] X. Bian, Z. Wan, C. Zhao, Y. Cui, Y. Chen, Mud pumping in the roadbed of ballastless high-speed railway, Géotechnique, 73
  (2023) 614-628.
- 628 [11] M.A. Biot, General theory of three dimensional consolidation, J. Appl. Phys., 12 (1941) 155-164.
- 629 [12] M.A. Biot, Theory of propagation of elastic waves in a fluid saturated porous solid. II. Higher frequency range, The Journal
- 630 of the acoustical Society of america, 28 (1956) 179-191.
- [13] A. Esmaeili Moghadam, R. Rafiee-Dehkharghani, Optimal design of wave barriers in dry and saturated poroelastic grounds
- using Covariance Matrix Adaptation Evolution Strategy, Comput. Geotech., 133 (2021).
- [14] L.H. Tong, H. Ding, L. Zeng, D.X. Geng, C.J. Xu, On the dynamic response of a poroelastic medium subjected to a moving
  load based on nonlocal Biot theory, Comput. Geotech., 134 (2021).
- 635 [15] O. Zienkiewicz, T. Shiomi, Dynamic behaviour of saturated porous media; the generalized Biot formulation and its numerical
- 636 solution, Int. J. Numer. Anal. Methods Geomech., 8 (1984) 71-96.
- [16] B. Simon, O. Zienkiewicz, D. Paul, An analytical solution for the transient response of saturated porous elastic solids, Int. J.
- 638 Numer. Anal. Methods Geomech., 8 (1984) 381-398.
- 639 [17] B. Simon, J.S. Wu, O. Zienkiewicz, D. Paul, Evaluation of u w and  $u \pi$  finite element methods for the dynamic response 640 of saturated porous media using one - dimensional models, Int. J. Numer. Anal. Methods Geomech., 10 (1986) 461-482.
- [18] O. Zienkiewicz, C. Chang, P. Bettess, Drained, undrained, consolidating and dynamic behaviour assumptions in soils,
  Geotechnique, 30 (1980) 385-395.
- [19] G. Gao, J. Zhang, J. Chen, J. Bi, Investigation of saturation effects on vibrations of nearly saturated ground due to moving train
- loads using 2.5 D FEM, Soil Dyn. Earthquake Eng., 158 (2022) 107288.
- [20] G.Y. Gao, Q.S. Chen, J.F. He, F. Liu, Investigation of ground vibration due to trains moving on saturated multi-layered ground
- by 2.5D finite element method, Soil Dyn. Earthquake Eng., 40 (2012) 87-98.
- [21] X. Bian, J. Hu, D. Thompson, W. Powrie, Pore pressure generation in a poro-elastic soil under moving train loads, Soil Dyn.
  Earthquake Eng., 125 (2019).
- [22] H.Y. Zhao, B. Indraratna, T. Ngo, Numerical simulation of the effect of moving loads on saturated subgrade soil, Comput.
  Geotech., 131 (2021).

- [23] Z. Lu, R. Fang, H. Yao, C. Dong, S. Xian, Dynamic responses of unsaturated half space soil to a moving harmonic rectangular
- 652 load, Int. J. Numer. Anal. Methods Geomech., 42 (2018) 1057-1077.
- [24] C. Tang, Z. Lu, H. Yao, S. Guo, X. Huang, J. Liu, Semianalytical Solution for Dynamic Responses of Railway Track System
- on Unsaturated Poroelastic Half-Space Subjected to Moving Trainload, Int. J. Geomech., 21 (2021).
- [25] R. Fang, Z. Lu, H. Yao, X. Luo, M. Yang, Study on dynamic responses of unsaturated railway subgrade subjected to moving
  train load, Soil Dyn. Earthquake Eng., 115 (2018) 319-323.
- [26] X. Li, O. Zienkiewicz, Y. Xie, A numerical model for immiscible two phase fluid flow in a porous medium and its time
  domain solution, Int. J. Numer. Methods Eng., 30 (1990) 1195-1212.
- [27] S.-J. Feng, Y.-C. Li, J.-P. Li, Prediction and mitigation analysis of railway-induced vibrations of a layered transversely isotropic
   ground comprising different media with a hybrid 2.5-D method, Comput. Geotech., 159 (2023).
- [28] Y.B. Yang, H.H. Hung, A 2.5 D finite/infinite element approach for modelling visco elastic bodies subjected to moving loads,
  Int. J. Numer. Methods Eng., 51 (2001) 1317-1336.
- [29] G. Gao, S. Yao, J. Yang, J. Chen, Investigating ground vibration induced by moving train loads on unsaturated ground using
  2.5 D FEM, Soil Dyn. Earthquake Eng., 124 (2019) 72-85.
- [30] K.K. Ang, J. Dai, Response analysis of high-speed rail system accounting for abrupt change of foundation stiffness, J. Sound
  Vib., 332 (2013) 2954-2970.
- [31] C. Koh, J. Ong, D. Chua, J. Feng, Moving element method for train track dynamics, Int. J. Numer. Methods Eng., 56 (2003)
  1549-1567.
- [32] M.T. Tran, K.K. Ang, V.H. Luong, Vertical dynamic response of non-uniform motion of high-speed rails, J. Sound Vib., 333
  (2014) 5427-5442.
- [33] V.H. Luong, T.N.T. Cao, J. Reddy, K.K. Ang, M.T. Tran, J. Dai, Static and dynamic analyses of Mindlin plates resting on
  viscoelastic foundation by using moving element method, Int. J. Struct. Stab. Dyn., 18 (2018) 1850131.
- [34] M. Chen, Y. Sun, W. Zhai, High efficient dynamic analysis of vehicle-track-subgrade vertical interaction based on Green
  function method, Veh. Syst. Dyn., 58 (2019) 1076-1100.
- 675 [35] X. Lei, High speed railway track dynamics, Springer2017.
- [36] K. Liu, Q. Su, F. Yue, B. Liu, R. Qiu, T. Liu, Effects of suffosion-induced contact variation on dynamic responses of saturated
   roadbed considering hydro-mechanical coupling under high-speed train loading, Comput. Geotech., 113 (2019) 103095.
- [37] A.W. Bishop, G. Blight, Some aspects of effective stress in saturated and partly saturated soils, Geotechnique, 13 (1963) 177197.
- [38] K. Tuncay, M. Corapcioglu, Wave propagation in poroelastic media saturated by two fluids, (1997).
- [39] M. Xu, Investigation on Dynamic Response of Unsaturated Soils and Foundation, China: South China University of Technology
   (2010).
- [40] G. Wanner, E. Hairer, Solving ordinary differential equations II, Springer Berlin Heidelberg New York1996.
- [41] J. Cash, Second derivative extended backward differentiation formulas for the numerical integration of stiff systems, SIAM J.
  Numer. Anal., 18 (1981) 21-36.
- [42] W. Li, C. Wei, Stabilized low order finite elements for strongly coupled poromechanical problems, Int. J. Numer. Methods
   Eng., 115 (2018) 531-548.
- [43] T. Li, Q. Su, K. Shao, J. Liu, Numerical Analysis of Vibration Responses in High-Speed Railways considering Mud Pumping
  Defect, Shock Vib., 2019 (2019) 1-11.
- [44] Z. Ye, Z.Y. Ai, Y. Chen, L. Chen, Vibration analysis of a beam on a layered transversely isotropic unsaturated subgrade
  subjected to a moving load, Appl. Math. Modell., 121 (2023) 204-216.
- [45] J. Zhang, Z. Lu, C. Tang, J. Liu, H. Yao, Forward calculation of displacement fields with multilayered unsaturated highway
- 693 system induced by falling weight deflectometer using dynamic response method, Transp. Geotech., 38 (2023).
- [46] D. Theodorakopoulos, A. Chassiakos, D. Beskos, Dynamic effects of moving load on a poroelastic soil medium by an
   approximate method, Int. J. Solids Struct., 41 (2004) 1801-1822.
- [47] T. Xin, P. Wang, Y. Ding, Effect of Long-Wavelength Track Irregularities on Vehicle Dynamic Responses, Shock Vib., 2019

- 697 (2019) 1-11.
- [48] D. Connolly, P.A. Costa, Geodynamics of very high speed transport systems, Soil Dyn. Earthquake Eng., 130 (2020) 105982.
- [49] D.P. Connolly, K. Dong, P. Alves Costa, P. Soares, P.K. Woodward, High speed railway ground dynamics: a multi-model
  analysis, Int. J. Rail Transp., 8 (2020) 324-346.
- [50] H. Jiang, Y. Li, Y. Wang, K. Yao, Z. Yao, Z. Xue, X. Geng, Dynamic performance evaluation of ballastless track in high-speed
- railways under subgrade differential settlement, Transp. Geotech., 33 (2022) 100721.

703