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Interlocking characteristic and its correlation with interlayer shear strength in typical double-layered asphalt systems

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10

11 Abstract: Interlayer bonding condition is of great significance for maintaining the structural integrity of 12 asphalt pavement structures. Addressing a crucial research gap, this study uniquely investigated the impact 13 of interface morphology on interlocking properties and interlayer shear strength in three typical double-layered asphalt systems: AC-13/AC-20, SMA-13/AC-20, and OGFC-13/AC-20. Through the 14 15 innovative application of nondestructive separation technology and three-dimensional (3D) laser scanning, interface morphology characteristics were thoroughly analyzed. This approach enabled the detailed 16 17 extraction of texture parameters, unveiling the complex relationship between interface morphology and 18 interlayer bonding strength. Interface shear tests further assessed the difference in shear strength, revealing 19 significant differences in texture characteristics influenced by the mineral size variance in the upper layer. 20 This analysis led to the development of a predictive model for interlayer shear strength based on 21 morphological characteristics, offering a new tool for approximating the shear bonding condition, despite 22 challenges in capturing the complex interaction between interface morphology and interlocking effects. The 23 comprehensive examination of 3D interface morphology, combined with the shear strength analysis, 24 clarified the mechanisms of interlayer bonding in relation to interface morphology. This breakthrough 25 provides essential insights into the role of interface morphology in bonding efficacy and represents a 26 significant advancement in understanding of interlayer bonding mechanisms.

27 **Keywords:** asphalt pavement; interface morphology; interlocking; interlayer shear strength; correlation.

28

29 1 Introduction

The quality of interlayer bonding is a key factor in determining the integrity of pavement structures.
This quality is influenced by a multitude of factors, including the type and dosage of bonding materials, the

impact of traffic load, variations in ambient temperature, interlayer contamination, as well as climatic conditions and the specifics of the construction process (Ghabchi and Dharmarathna 2020, Correia and Mugayar 2021, Raab 2011, Hristov 2018, Canestrari F 2013, Wruck et al. 2022). In asphalt pavements, the physical contact between upper and lower layers emerges as a pivotal component. An increasing body of research now directs attention towards the pavement structure integration, advocating for the enhancement of interlayer bonding through the lens of macromorphological contact (Chen et al. 2022, Liu et al. 2018, Somé et al. 2020).

39 Extensive research underscores the critical role of interface morphology in influencing interlayer 40 bonding performance within pavement structures (Ktari et al. 2017, Hwang et al. 2022, Zhao et al. 2017, D'Andrea et al. 2013). Enhancements in interlayer bonding, achieved through the contribution of 41 interlocking effects, have been substantiated in various practical applications. For instance, Ren et al. 42 43 (2018), through the innovative technique of chip-sprinkling, which involves the application of stones to 44 substructure surfaces, demonstrated that a textured interface significantly improves the shear durability of 45 pavement structures, with residual shear strength achieving up to 80% of the interlayer's ultimate shear 46 strength. Similarly, Liu et al. (2017) and Jaskula et al. (2021) observed that by inducing roughness on the 47 lower layer surface through methods such as slotting, chiseling, and milling, the interlayer shear strength can be markedly enhanced. Further contributing to this body of work, Liao et al. (2016) showed that the 48 strategic embedding of steel nails within the interlayer serves to efficiently distribute shear stresses between 49 asphalt pavement layers. In addition, a number of studies were explored the efficacy of geosynthetic 50 51 interlayers as reinforcement in asphalt layers (Ram Kumar B A V 2022, Solativan et al. 2021). These 52 measures, aimed at altering interface contact morphology, have yielded significant improvements in interlayer bonding performance, showcasing the potential of such methodologies in enhancing the 53 54 durability and longevity of pavement systems.

55 Most of the existing research adopts a macro-phenomenological perspective, often overlooking the 56 interplay between interface morphology and interlayer bonding strength from a micro-morphological 57 standpoint. Consequently, the mechanisms underpinning interlayer bonding, particularly in relation to the morphology of interlayer surfaces, remain insufficiently explored. Given the dual-surface nature of 58 59 interlayers, a comprehensive understanding of the morphological characteristics of both upper and lower 60 interlayer surfaces is imperative. Such an in-depth analysis is crucial not only for explaining interlocking 61 effects but also for uncovering the fundamental relationship between interface morphology and interlayer bonding strength. 62

63 The significance of interface morphology on interlayer bonding has increasingly captivated the 64 research community, with concerted efforts being made to identify the mechanisms driving its impact 65 (White 2016). Tang et al. (2021) used an Olympus Microscope to capture the surface morphology of the 66 lower layer, subsequently modeling it in three dimensions using MATLAB. Their findings indicated a positive correlation between surface roughness, shear strength, and variations in the tack coat rate. 67 68 Similarly, Song et al. (2018) conducted a quantitative assessment of the interface contact area through image binarization techniques, revealing that a greater contact area and enhanced interlocking effect 69 70 correspond to improved interlayer shear strength. The consensus among several studies is that a rich 71 interface texture significantly increases the interlocking effect, thereby improving interlayer bonding within 72 asphalt pavements (He et al. 2023, Tashman et al. 2008, Song et al. 2015, Song et al. 2017). Further 73 contributing to this body of knowledge, Raab et al. (2012) utilized steel balls of varying sizes as model 74 materials to thoroughly investigate the influence of material size on interlocking and interlayer shear 75 strength. Their research clarified that optimal interlocking occurs when the upper layer material is smaller 76 in size compared to the lower layer, underscoring the interplay between material size and interlayer 77 bonding efficacy.

78 The evolution of measurement technologies, particularly non-contact scanning techniques, has 79 significantly advanced pavement morphology research. This advancement has been pivotal in the domains 80 of pavement texture analysis and road surface skid resistance, with notable contributions from (Jain et al. 2021, Medeiros Jr et al. 2021, Sha et al. 2020, Čelko et al. 2016, Kogbara et al. 2016). Among these 81 82 innovations, Song et al. (2022) leveraged three-dimensional (3D) scanning to reconstruct interface 83 morphology and assess interlayer fracture behavior, discovering that both the stress intensity factor ($K_{\rm IC}$) and the critical strain energy release rate (J-integral) increased with the increase in texture depth (TD). 84 Similarly, Mohamad et al. (2015) established a strong correlation between interface roughness of concrete 85 86 and shear strength, leading to the development of a correlation model that integrates the roughness 87 parameter with friction and cohesion coefficients. Furthermore, Hoła et al. (2015) utilized 3D laser scanning to derive morphological parameters of concrete interlayer surfaces, finding a significant linear 88 relationship between the texture aspect ratio (Str) and peak material volume (Vmp). Despite these 89 90 technological strides and empirical findings, a comprehensive understanding of how interface morphology 91 influences interlayer bonding remains unknown.

92 The existing body of research on the morphology of layer interface in pavement structures remains 93 incomplete, with the mechanisms of interlayer bonding in relation to interface morphology yet to be fully 94 clarified. This gap underscores the need for a detailed exploration of interface morphology characteristics, 95 their impact on the interlocking effect, and the subsequent influence on interlayer bonding strength. This 96 study addresses these needs by reconstructing the 3D interface morphology of three bi-layered typical 97 asphalt pavement structures. Utilizing advanced interlayer separation and 3D laser scanning technologies, 98 detailed texture parameters were extracted to reveal these complex relationships.

In conjunction with analysis of interlayer shear strength test results, this study delves into the characteristics of interface morphology, the contribution of interlocking effect, and their collective impact on interlayer shear strength. Through an in-depth investigation, the complex interlayer bonding mechanism dictated by interface morphology was explained, offering novel insights into the underlying principles.

103 The findings of this research shed light on previously obscured aspects of the interlayer bonding 104 mechanism, marking a significant step towards enhancing asphalt pavement structure integrity. By 105 providing a fresh perspective and a detailed reference for understanding interlayer bonding, this study 106 contributes valuably to the field, paving the way for advancements in interlayer bonding design. Figure 1 107 illustrates an overview of the methodology adopted in this study.



108 109

Figure 1. Overview of the proposed research plan.

110 **2. Experimental program**

111 **2.1. Specimen preparation & non-destructive interface separation**

In this study, three typical double-layered asphalt pavement structures were selected. Each of the three double-layered systems featured a uniform lower layer composed of dense-graded asphalt concrete (AC-20). Conversely, the composition of the upper layer differed in each structure: one utilized a dense-graded AC structure labeled as AC-13; another used a gap-graded stone mastic asphalt (SMA) 116 structure, denoted as SMA-13; and the third incorporates an open-graded friction course (OGFC) structure, 117 identified as OGFC-13. The aggregate gradation of each mixture was designed according to the Chinese Specification for Highway Asphalt Pavement Construction (JTG F40-2004) (China 2004), and the type of 118 119 all mineral sizes in the mixtures are basalt. The gradation design and optimum asphalt content (OAC) of 120 each mixture are shown in Table 1. The type of asphalt binder used in preparing each mixture was 121 Styrene-Butadiene-Styrene (SBS)-modified asphalt while a modified emulsified asphalt, applied at a single 122 application rate of 0.6 kg/m², was utilized as tack coat material. The properties of asphalt materials were 123 determined according to the Chinese specification of "Highway Engineering Asphalt and Asphalt Mixture 124 Test Procedure" (JTG E20–2011) (China 2011), as shown in Table 2.

125

Table 1. Gradation design and optimum asphalt content of asphalt mixtures.

		Passing rate of different sieve sizes in millimeter (%)									Optimum		
Mix type	26.5 19	10	19 16	13.2	0.5	4 75	4.75 2.36	1.18	0.6	0.3	0.15	0.075	asphalt
		19			9.5 4.	4.75							content (%)
AC-13	100	100	100	95	76.5	53	37	26.5	19	13.5	10	6	5.1
SMA-13	100	100	100	95	62.5	27	20.5	19	16	13	12	10	6.0
OGFC-13	100	100	100	95	70	21	16	12	9.5	7.5	5.5	4	4.4
AC-20	100	95	85	71	61	41	30	22.5	16	11	8.5	5	4.5

126

127

Material		Property	Test value	Specification limit	Test method (JTG E20)
	Penetratio	n, 25 °C, 5 S, 100 g (0.1 mm)	55	40-60	T0604
	Ι	Ductility at 5°C (cm)	26	≥20	T0605
SBS-modified	:	Softening point (°C)	68	≥60	T0606
aspnatt	Kinema	tic viscosity at 135°C (Pa·s)	1.79	≤3	T0620
	Flexi	ble recovery at 25°C (%)	83	≥75	T0662
		Particle charge	(+)	Cation (+)	T0653
	Siev	ve residue, 1.18 mm (%)	0.03	≤0.1	T0652
Emulsified	Visc	osity SFS at 25°C (Pa·s)	10	1~10	T0622
asphalt	Distillation residue	Residual content (%)	51	≥50	T0651
		Penetration at 25°C (0.1 mm)	60	40~120	T0604
		Ductility at 5°C (cm)	25	≥20	T0605

Table 2. Properties of SBS-modified and modified emulsified asphalt.

128

129 The process of specimen preparation using the Superpave gyratory compactor (SGC) is shown in 130 Figure 2. A critical step involves the separation of layer interface within the bi-layered specimens. 131 Extensive testing has confirmed the suitability of a silica gel isolation film for this purpose, owing to its softness and resistance to high temperatures. The optimal choice has been identified as an ultra-thin silica gel film, measuring 0.1 mm in thickness, which effectively facilitates the separation of the upper and lower interlayer surfaces. The procedural steps for this separation and subsequent interface acquisition were detailed in the previous study by the authors (Ai et al. 2022). Following is the summary of specimen preparation & non-destructive interface separation:

- 137 (1) The heated loose asphalt mixture was poured into a mold to form the lower layer with a diameter
 138 of 150 mm, which was then compacted to a height of 75 mm and left at room temperature to cool
 139 down for 24 hours.
- 140 (2) Half of tack coat material application rate, i.e. 0.3 kg/m², was applied on the clean surface of
 141 cooled lower layer, followed by the placement of an isolation film. Upon setting the film, the
 142 remaining half of the tack coat dosage was then applied over it, ensuring a uniform distribution.
 143 Subsequently, the coated surface was set aside at room temperature for 2 hours to allow the curing
 144 procedure to be completed.
- 145 (3) The loose asphalt mixture of upper layer was poured into the mold and compacted using a similar
 146 method applied in the first half of the mold.
- 147 (4) The fabricated bi-layered specimen was gently removed from the mold and allowed to condition at
 148 room temperature. This cooling period is critical to prevent damage to the asphalt specimen during
 149 the separation process.
- (5) The final step involved delicately separating the upper and lower layers in a direction
 perpendicular to the interface plane, which are subsequently prepared for scanning.



152 153

Figure 2. Preparation process of specimen to be scanned.

154 2.2. Interface 3D morphology

Following the separation of the layer interface, the morphology of interlayer surfaces was captured using a high-precision handheld 3D laser scanner, as depicted in Figure 3. For each pavement structure, scans were performed on three parallel specimens to ensure consistency and reliability of the data collected. The point cloud data generated from these scans underwent a process of reconstruction using Geomagic
Design X software, enabling the detailed rendering of the interfaces' 3D morphology.

160 Subsequent to reconstruction, the visualization of this 3D morphology was refined using 161 MountainsMap® software, illustrated in Figure 4. This advanced software facilitated the calculation and 162 extraction of critical morphological indices, providing a comprehensive dataset for analysis. This 163 systematic approach to data acquisition and processing underscores the rigor of the methodology employed 164 in capturing and analyzing the interface morphology within the studied pavement structures.







Figure 4. Data visualization, processing and index extraction of interface 3D morphology.

169 **2.3. Interlayer bonding strength test**

To assess the direct shear strength, the double-layered specimens were prepared in a similar method explained in Section 2.1. In the next step, specimens were organized into batches and subjected to a controlled environment, maintaining a steady temperature of 25°C for a duration of 4 hours. Subsequently, these conditioned specimens were mounted onto the direct shear testing apparatus. The application of shear load was facilitated through a universal testing machine (UTM), with the testing conducted at a constant shear rate of 50 mm/min (Goli et al. 2023, Han et al. 2021). Three replicates were considered for each group of specimens to ensure the reliability of the results obtained. A detailed schematic of the direct shear

177 testing setup is provided in Figure 5.



178 179

Figure 5. Schematic of direct shear test.

180 **3. Methodology**

181 To investigate the complex morphology and texture characteristics of the pavement interface, three 182 primary characteristics of interface morphology were analyzed: roughness, flatness, and furrow 183 characteristics. Detailed metrics for each of these morphological aspects, including their definitions, 184 relevant standards, and units of measurement, are cataloged in Table 3.

185 Within this framework, *Sa*, *Sq*, *Ssk*, and *Sku* are indices that quantify the vertical aspects of surface 186 texture. Conversely, *Sdq* and *Sdr* capture the composite texture parameters, reflecting the blend of height 187 and spatial distribution of the surface features.

Figure 6 further visualizes these morphological indices, showcasing localized surface variations—both convex and concave—and their spatial distribution across the interface. This comprehensive approach allows for a deep understanding of the interface's morphology, laying the groundwork for correlating these characteristics with the interlayer bonding performance.

192

 Table 3. List of interface morphological indicators.

Category	Index	Description	Standard	Unit	Literature
	Sa	Arithmetic mean height	ISO 25178	mm	
	Sq	Standard deviation of the height distribution	ISO 25178	mm	(Aver'yanova et al. 2017, Schulz et al. 2013)
Roughness	Ssk	Skewness of the height distribution	ISO 25178	١	
characteristic indexes	Sku	Kurtosis of the height distribution	ISO 25178	١	
	Sdq	Root mean square gradient	ISO 25178	١	2013)
	Sdr	Developed interface area ratio	ISO 25178	%	
Flatness characteristic	FLTt	Peak-to-valley flatness deviation	ISO 12781	mm	(Schulz et al. 2013,
indexes	FLTq	Root mean square flatness deviation	ISO 12781	mm	Nadolny K 2014)
Furrow characteristics	metf	Mean depth of furrows	Furrow	mm	(Schulz et al. 2013,
indicators	medf	Mean density of furrows	Furrow	cm/cm ²	Das et al. 2021)





Figure 6. Localized surface convex and concave morphology and its distribution characteristics.

196 Sa quantifies the average absolute deviation of surface points from a mean plane, serving as an 197 indicator of average surface roughness. Sq measures the standard deviation of heights across the surface 198 points, reflecting the variability in surface elevation. Ssk assesses the symmetry of surface deviations. A 199 value of Ssk=0 indicates a symmetrical distribution of peaks and valleys about the mean plane. Ssk<0 200 suggests a predominance of peaks above the mean surface, whereas Ssk>0 denotes a distribution skewed 201 towards valleys below the mean surface. Sku complements Ssk by characterizing the peakedness of the height distribution. A Sku value of 3 corresponds to an ideal Gaussian distribution of surface heights. 202 203 Values less than 3 imply a flatter, more dispersed distribution, whereas values greater than 3 indicate a 204 sharper, more centralized distribution of surface elevations. Sdq calculates the root mean square gradient of 205 the surface, with a value of 0 signifying a perfectly flat surface. As Sdq increases, so does the gradient, or 206 slope, of the surface, highlighting the degree of surface incline. Sdr quantifies the relative increase in 207 surface area due to textural features. Higher values of Sdr reflect greater texture amplitude and spacing, 208 signifying a more pronounced surface texture.

FLTt is calculated as the sum of the absolute values of all deviations—both peaks and valleys—relative to a reference plane, typically determined by the least-squares method. This index offers a direct measure of the overall variance in surface height from the established norm, encapsulating the extremities of surface texture. FLTq represents the square root of the sum of the squares of the deviations of measurement points from the reference plane. This parameter specifically quantifies the aggregate deviation of the surface points, providing a holistic view of the surface's deviation from flatness.

Furthermore, are derived from the analysis of morphology profile lines and contour lines. *metf* quantifies the average depth of furrows, offering insights into the vertical aspects of the texture, while *medf* assesses the spatial frequency of these furrows across the surface, indicating how densely packed they are. These measurements are efficiently extracted using MountainsMap® software, allowing for a detailed

- 219 exploration of the furrow features that contribute to the texture's complexity.
- 220 4 Results and discussion

221 4.1 Interlayer surface characteristics

222 4.1.1. Roughness Characteristics

The results of the statistical analysis regarding the interfaces roughness characteristics are depicted in Figure 7, with each subfigure dedicated to detailing the texture parameter statistics for both the upper and lower interlayer surfaces across the three evaluated double-layered asphalt systems. The following observations can be made:

227 (1) The analysis of the rough texture parameters, specifically Sa and Sq, across the upper and lower 228 interlayer surfaces reveals a distinctive pattern among the three asphalt layer combinations studied. For both parameters, the OGFC-13/AC-20 structure exhibits the highest values, followed by 229 230 SMA-13/AC-20, with AC-13/AC-20 showing the lowest values. Notably, the upper interlayer surfaces consistently register higher parameter values compared to their lower interface counterparts. This 231 232 observation is attributed to the influence of the upper layer's gradation on the interface roughness, 233 given a uniform lower layer. The OGFC-13 structure, characterized by a skeletal void composition and 234 a predominance of coarse aggregates, displays the greatest surface roughness. In contrast, the SMA-13 235 mixture, though also skeletal, is denser, and AC-13 features a continuous dense structure with a higher 236 concentration of fine aggregates. Consequently, the roughness ranking, from most to least rough, aligns as OGFC-13, SMA-13, and AC-13. The interaction between the upper and lower layers plays a pivotal 237 238 role in defining the interface's texture, with the lower surface (interface with AC-20) of the OGFC-13/AC-20 combination presenting the highest roughness, whereas the equivalent surface in the 239 240 AC-13/AC-20 combination exhibits the least roughness.

241 (2) Ssk parameter manifests the degree of asymmetry in surface height distribution. For AC-13/AC-20 structure, both the upper and lower interlayer surfaces demonstrate a peak-like distribution, indicating a 242 tendency towards positive skewness. In contrast, for OGFC-13/AC-20 and SMA-13/AC-20 structures, 243 the upper interlayer surfaces exhibit a peak-like distribution, suggestive of protrusions, whereas their 244 lower counterparts show a valley-like distribution, indicative of indentations. This phenomenon can be 245 246 attributed to the presence of larger aggregates in OGFC-13 and SMA-13 mixes, which, upon embedding into the lower layer, create depressions that contribute to the valley-like distribution. 247 Reflecting the sharpness or peakedness of the surface height distribution, the Sku parameter reveals that 248 both upper and lower interlayer surfaces across all three asphalt structures register values greater than 3. 249

This denotes a pronounced sharpness in surface morphology, characterized by a peaked distribution that centers around a mean level. Among these, the interface of SMA-13/AC-20 structure is noted for its exceptional sharpness, suggesting a significant concentration of heights around a central peak compared to the other structures.

254 (3) The analysis of the Sdq parameter across the upper and lower interlayer surfaces of the three asphalt 255 structures reveals that all values exceed 0, indicating the absence of completely flat interfaces. This observation suggests a universal presence of texture inclination across all examined surfaces. Notably, 256 257 the variation in texture tilt across the lower interlayer surfaces of each structure is minimal, with 258 significant differences primarily manifesting on the upper interlayer surfaces. The gradation of the upper layer's structure predominantly determines this variation, resulting in a texture roughness 259 gradient that transitions from rough to flat in the sequence of OGFC-13, SMA-13, and AC-13. Further 260 261 insights gained from the Sdr analysis illustrate that both the upper and lower interlayer surfaces exhibit an increase in area, with the upper surfaces demonstrating a higher area increase coefficient than their 262 lower counterparts. This phenomenon underscores the pronounced texture characteristics of the 263 OGFC-13/AC-20 interface, which exhibits the most significant texture differentiation, followed by 264 265 SMA-13/AC-20 and AC-13/AC-20, in descending order of texture prominence.



Figure 7. Results of roughness characteristics of interlayer of bi-layered asphalt structures.

268 4.1.2. Flatness Characteristics

As shown in Figure 8, the flatness metrics, including FLTq and FLTt, quantify the deviation of surface measurement points from a predefined reference plane. Among the evaluated interlayer surfaces, the OGFC-13/AC-20 combination displays the highest FLTq and FLTt values for its upper interlayer surface, indicating a pronounced deviation. Conversely, its lower surface presents the highest FLTq value, while the lower interlayer surface of SMA-13/AC-20 registers the highest FLTt value. In stark contrast, both the upper and lower surfaces of AC-13/AC-20 consistently show the lowest FLTq and FLTt values, denoting minimal deviation.

This analysis reveals that the flatness characteristics of OGFC-13/AC-20 and SMA-13/AC-20 share similarities, with each point deviating significantly from the reference plane, suggesting a rougher texture. The interlayer of AC-13/AC-20, conversely, exhibits relatively minor deviations, reflecting smoother surfaces. These differences are attributed to the gradational variations among the upper layer structures. The densely graded skeleton of AC-13/AC-20, characterized by a higher concentration of fine particles, contributes to a lesser degree of surface undulation, resulting in smoother interlayer interfaces.





283 284

Figure 8. Results of flatness characteristics of interlayer of bi-layered asphalt structures.

285 4.1.3. Characteristics of furrow characteristics

Figure 9 clearly illustrates that the average furrow depths for both OGFC-13/AC-20 and SMA-13/AC-20 interfaces are notably similar and substantially exceed those observed for AC-13/AC-20. This observation corroborates earlier findings, highlighting that the presence of larger particles in the OGFC-13 and SMA-13 mixtures contributes to their pronounced interface texture characteristics. However, a contrasting trend is evident in the furrow density metrics, where OGFC-13/AC-20 and SMA-13/AC-20 exhibit lower densities compared to AC-13/AC-20. This difference is attributed to the densely graded
nature of the AC-13/AC-20 structure, which, being rich in fine particles, fosters a more complex fine-scale
texture morphology.

This analysis underscores the significant impact of aggregate size and distribution within the upper layer's structure on the textural properties of the pavement interface. Larger aggregates found in OGFC-13 and SMA-13 contribute to deeper but less densely distributed furrows, whereas the finer aggregates prevalent in AC-13/AC-20 lead to a denser, finer-textured surface. These insights not only affirm the relationship between structural composition and interface texture but also highlight the complex balance between furrow depth and density in determining overall pavement texture characteristics.



300 301

Figure 9. Results of furrow characteristics of interlayer of bi-layered asphalt structures.

302 4.2. Interlocking effects

The bonding between the upper and lower layers to form a monolithic structure involves more than just the contribution of the tack coat; it also significantly depends on the morphological interactions between the upper and lower interlayer surfaces. Given that the lower layer is laid first, with the upper layer placed subsequently during pavement layer construction, the aggregates from the upper layer tend to embed into the lower layer. This embedding facilitates a mechanical interlock with the aggregates of the lower layer, culminating in the creation of an interlocking state.

309 This process of interlayer bonding is critical, as it not only enhances the structural integrity of the 310 pavement but also contributes to its durability by improving load distribution and resistance to deformation. 311 The effective interlocking of aggregates across the layers serves as a fundamental mechanism in achieving 312 a robust and resilient pavement structure, underscoring the importance of considering both adhesive and 313 morphological factors in interlayer design and construction. The analyses conducted thus far reveal that variations in aggregate ratios across different asphalt structures result in distinct interface morphologies, subsequently influencing the nature of interlocking effects. To quantitatively assess these effects, a detailed analysis of the interlayer locking states for each structural configuration was conducted. An interlocking coefficient has been introduced as a quantitative measure to characterize the efficacy of interlocking, with both the methodology for determining this coefficient and the resultant interlocking states depicted in Figure 10.

The calculated interlocking coefficients, which serve as a numerical representation of the interlocking effect, are presented in Table 4, illustrating how differences in aggregate composition and distribution affect the mechanical interlock between pavement layers.

Table 4 reveals a distinct hierarchy in the interlocking coefficients among the studied pavement 323 structures, with AC-13/AC-20 exhibiting the highest coefficient, followed by SMA-13/AC-20, and 324 325 OGFC-13/AC-20 presenting the lowest value. This variation underscores the impact of differing aggregate 326 compositions and structures on the interlocking effects, as illustrated in Figure 11. In scenarios where AC-20 serves as the uniform lower structure, the finer particle composition of AC-13 facilitates a more 327 comprehensive embedding into the lower layer, thereby achieving a superior interlocking effect. 328 329 Conversely, the larger aggregate sizes inherent in OGFC-13 and SMA-13 challenge the full integration of 330 the upper layer with the lower layer, diminishing the effectiveness of the interlock.

Particularly notable is the OGFC-13 structure, characterized by its pronounced voids, which contribute to a markedly rough texture on the upper surface. However, these void characteristics complicate the achievement of a tight embedment with the AC-20 lower structure, limiting the interlayer bonding effectiveness. This observation aligns with findings from previous studies (Xu et al. 2023, Chen and Huang 2010), highlighting the crucial role of aggregate size and texture in influencing mechanical interlocking.





Figure 10. Interlocking states and interlocking coefficients calculation.

Table 4. Results of interlocking coefficient for each structure.

Structure type	AC-13/AC-20	SMA-13/AC-20	OGFC-13/AC-20
IC	0.985	0.801	0.690

339





Figure 11. schematic diagram of interlocking effect formed by different upper and lower structures.

342 **4.3.** Correlation between morphology parameters and interlocking coefficient

The previous analysis has indicated that there is an obvious correlation between interlocking and interlayer surface morphology. To further clarify and quantify this relationship, the gray correlation method as a robust analytical tool was employed. By employing this approach, it was aimed to reveal the complex interactions between the physical texture of the interlayer surfaces and their capacity to interlock effectively.

Gray correlation analysis serves as a pivotal method in decision-making processes, offering insights into the correlation strengths between a parent series of data and various characteristic series. This methodology is distinguished by its flexibility, as it does not stipulate a minimum sample size nor does it necessitate a predefined pattern within the data sets. Notably, the quantitative results derived from gray correlation analysis are generally in alignment with qualitative assessments, underscoring its efficacy in both fields of analysis (Fen et al. 2011, Dong et al. 2013). The procedure for conducting gray correlation analysis is systematically divided into four essential steps:

355 (1) Determination of the parent series and characteristic series:

The parent series is the data series reflecting the characteristics of the system behavior, and its calculation formula is shown in Equation (1). The characteristic series is the set of factors affecting the system behavior, and its calculation formula is shown in Equation (2).

(1)

- 359 Y=Y(k)|k=1,2...n
- 360 $X_i = X_i(k) | k = 1, 2..., n = 1, 2..., m$ (2)

361 where Y(k) is the parent series; $X_i(k)$ is the characteristic series; *i* is the number of characteristics (the 362 number of influencing factors); and *n* and *m* are the series dimensions.

363 (2) Standardization of data:

364 Given the potential variability in data magnitude and dimensions, standardization is crucial to ensure 365 that all data points are comparable, facilitating a more accurate correlation analysis.

366 (3) Calculation of the gray correlation coefficient:

This step entails computing the correlation coefficients between the parent and characteristic series.
These coefficients quantify the degree of association, highlighting similarities and divergences in patterns
or trends.

Let the parent sequence be Y=Y(k), and the characteristic sequence be $X_i=X_i(k)$, then the minimum and maximum differences between the parent sequence and the characteristic sequence are calculated by using Equations (3) and (4), and on this basis, the correlation coefficient between Y(k) and $X_i(k)$ is calculated as shown in Equation (5).

374
$$a = \min \left| Y(k) - X_i(k) \right| \tag{3}$$

375
$$b = \max \left| Y(k) - X_i(k) \right| \tag{4}$$

376
$$\xi_i(k) = \frac{a + \rho b}{\left|Y(k) - X_i(k)\right| + \rho b}$$
(5)

where *a* is the minimum difference between the parent series and the characteristic series; *b* is the maximum difference between the parent series and the characteristic series; ρ is the resolution coefficient, usually taken as 0.5; and $\xi_i(k)$ is the correlation coefficient.

380 (4) Analysis and interpretation of results:

381 The final step involves a comprehensive examination of the correlation coefficients to discern the 382 nature and strength of relationships between the data series, leading to informed conclusions and 383 decision-making insights.

In order to compare the correlation degree between the characteristic series and the parent series as a whole, the correlation coefficients of each point on the series curve are pooled into one value, i.e. the average value is obtained as the numerical representation of the correlation degree between Y(k) and $X_i(k)$, and it is calculated as shown in Equation (6). Finally, the calculated correlation degree values are ranked according to the calculated correlation degree values to find out the magnitude of the influence of
 factors in the characteristic sequence and evaluate it.

390
$$R_{i} = \frac{\sum_{k=1}^{n} \xi_{i}(k)}{n}, k = 1, 2..., n \qquad (6)$$

391 where R_i is the degree of correlation between Y(k) and $X_i(k)$.

In this analysis, the interlocking coefficient (*IC*) is designated as the parent series, while the various interface morphology parameters serve as the characteristic series. The correlation degree between each morphology parameter and the *IC* was calculated following Equations (1) to (6), as illustrated in Figure 12. Analysis of the data presented in Figure 12 reveals that, with the exception of *Ssk*, all other morphology parameters exhibit a significant correlation with the *IC*. This finding underscores the considerable impact of interface morphology characteristics on the interlocking effect.

The substantial correlation between most morphology parameters and the *IC* highlights the critical role of surface texture and geometry in enhancing the mechanical interlock between pavement layers. This relationship suggests that optimizing these morphological characteristics can significantly contribute to the overall stability and durability of pavement structures by improving the efficacy of interlayer bonding.





Figure 12. Correlation degree between interface morphology characteristics and interlocking coefficient.

404 To further explore the relationship between interface morphology parameters and interlocking 405 coefficient, the following 2 steps were taken:

406 (1) Application of the Pearson correlation test: This statistical approach was employed to examine the
 407 correlation and potential substitutability among the morphological parameters. The Pearson correlation test,
 408 a widely recognized method for assessing the linear relationship between continuous variables, allowed us

409 to pinpoint the morphological characteristics most indicative of effective interlocking. The results of this 410 analysis are visually summarized as a correlation matrix heatmap presented in Figure 13, which maps the 411 strength and direction of correlations between parameters, thereby highlighting those with the most 412 pronounced impact on characterizing the interface morphology.



413 414

Figure 13. Correlation matrix heatmap of the parameters characterizing the interface morphology.

415 Analysis of the correlations presented in Figure 13 reveals differentiated relationships among the 416 interface morphology parameters. Notably, *medf* is characterized by a minimal, and in most cases negative, 417 correlation with other parameters, indicating its distinct behavior. Similarly, Ssk and Sku display weak 418 correlations with the majority of parameters, underscoring their unique contributions to the interface 419 morphology. In contrast, Sa and Sq are highly correlated with each other and show strong positive 420 correlations with the rest of the parameters, suggesting their potential interchangeability in representing 421 surface roughness. In addition, Sdq and Sdr exhibit a high degree of correlation, indicating that one could 422 serve as a proxy for the other. FLTq is also observed to have a strong positive correlation with both Sa and 423 Sq, further validating the interconnectedness of these parameters.

Taking into account the correlation strengths with the interlocking coefficient (*IC*) as shown in Figure 12, *Ssk* is deemed less relevant due to its minimal correlation. Among parameters with substitutable roles, the one exhibiting the strongest correlation with *IC* is retained for further analysis. Consequently, the selected parameters for characterizing interface morphology include *FLTt*, *metf*, *medf*, *Sa*, *Sku*, and *Sdq*. This selection strategy prioritizes parameters that not only reflect critical aspects of interface morphology but also have a demonstrable impact on the interlocking effect, thus offering a refined lens through which 430 to assess and enhance interlayer bonding.

(2) Upon careful examination of Figures 7 and 9, it is observed that the parameters *Ssk*, *Sku*, *Sdq*, Sdr, and *metf* display varied trends of change between the upper and lower interlayer surfaces. This variability introduces complexities in defining a consistent correlation function to effectively capture the nuances of the interlocking effect. Due to these observed inconsistencies and the challenge they pose in establishing a clear, unified model of interlayer interaction, these parameters were ultimately excluded from further consideration in the correlation analysis.

As a result, *FLTt, medf*, and *Sa* have been identified as the key morphological parameters for the study, each representing a distinct aspect of interface morphology: *FLTt* for interface flatness, *medf* for texture furrow density, and *Sa* for texture roughness. The selection of these parameters is strategic, focusing on those that not only exhibit consistent patterns of behavior across different interfaces but also hold significant potential in explaining the relationship between interface morphology and the interlocking effect. This refined approach aims to streamline the analysis, directing attention to the most impactful aspects of interface morphology on interlayer bonding.

444 **4.4. Relationship between interlocking effect and interlayer shear strength**

The interlocking effect is a critical determinant of interlayer shear strength within pavement structures, as highlighted by (Zareiyan and Khoshnevis 2017). Analysis of the interlayer shear strength test results for double-layered asphalt systems, as shown in Figure 14, reveals that the AC-13/AC-20 structure exhibits the highest interlayer shear strength. This is followed by SMA-13/AC-20, while OGFC-13/AC-20 shows the lowest shear strength. Such variations underscore the influence of upper layer composition on the interface contact effects, particularly the mechanical interlocking between the layers.

A review of the interlocking coefficients detailed in Table 4 establishes a positive correlation with interlayer shear strength. Specifically, a higher interlocking coefficient signifies a more pronounced interlocking effect between the upper and lower layers. This enhanced interlocking, in turn, contributes to increased interlayer friction, resulting in elevated shear strength. This relationship suggests that optimizing the interlocking characteristics of the interlayer can significantly contribute to the overall structural integrity and durability of pavement layers by enhancing their capacity to resist shear forces.





Figure 14. Interlayer shear strength for different asphalt structures.

Based on the statistical analysis, a functional relationship between interlayer shear strength and the interlocking coefficient was acquired, as shown in Equation (7). This equation reveals a substantial correlation between the two variables, underscored by an R^2 value of 0.891. Such a high R^2 value attests to the robustness and reliability of the fitted relationship, affirming that the interlayer shear strength can be reliably predicted based on the interlocking coefficient.

The validation of the predictive model was carried out by comparing its estimations against the actual measured interlayer shear strengths, as displayed in Figure 15. The results demonstrate that the model performs with remarkable accuracy, substantiating its utility in reliably forecasting interlayer shear strength across studied pavement structures.

The efficacy of this predictive model was further quantified through the R-squared statistic, serving as a measure of the model's ability to capture the variance in measured data. Notably, the model predicated on the interlocking coefficient achieves an R² value of 0.896, signifying a strong and robust correlation between predicted and observed values.



 $\tau = 0.204 + 1.193IC, R^2 = 0.891$ (7)



473 474

Figure 15. The relationship between measured and predicted values of interlayer shear strength based on *IC*.

475 The findings from the discussed analysis underscore the pivotal role of the interlocking effect in

476 defining interlayer shear strength. Furthermore, it has been established that surface characteristics of the 477 interlayer significantly influence this interlocking effect. To quantitatively assess the impact of the 478 identified interface morphology parameters on interlayer shear strength, a statistical analysis of variance 479 (ANOVA) was employed. The results of this analysis are detailed in Table 5, where a significance level of 480 0.05 was applied, reflecting a confidence level of 95%.

481 The analysis revealed that the *p*-value associated with the interface morphology parameters was significantly below the 0.05 threshold. This finding unequivocally indicates that the variations in interface 482 483 morphology exert a statistically significant influence on the interlayer shear strength.

484

Table 5. One-way ANOVA results for interlayer shear strength based on surface characteristics.

Variance source	SS	DF	MS	<i>F</i> -value	<i>P</i> -value
Between-group	0.129	2	0.065	4.042	0.039
Within – group	0.240	15	0.016		
Total	0.370	17			

485 Note: SS = sum of squares; DF = degrees of freedom; MS = mean square, which is the SS divided 486 by DF; *F*-value = ratio of mean squares, which is used to determine the *P*-value.

487 4.5. Interlayer shear strength prediction model

488 The comprehensive analysis conducted thus far has highlighted the crucial influence of interface 489 morphology on the interlocking effect between upper and lower interlayer surfaces, which, in turn, 490 significantly impacts interlayer shear strength. Recognizing the complexity and the computational demands 491 involved in directly modeling and calculating the interlocking effect, a streamlined approach was proposed. 492 This approach entails utilizing the interlocking effect as an intermediary variable, thereby facilitating a 493 more straightforward evaluation of interlayer shear bonding strength.

494 A correlation function was developed to describe the relationship between interface morphology 495 characteristics and interlayer shear strength. This method allows for the direct assessment of interlayer shear strength by analyzing interface morphology characteristics, avoiding the need for complex 496 497 calculations related to the interlocking effect. Following the careful selection of representative parameters 498 to incorporate the interface morphology, the following Equation (8) was developed to articulate the 499 correlation function between these selected parameters and interlayer shear strength:

 $\tau = 1.5628 + 0.0835 FLTt + 0.2147 medf - 2.5793Sa$ (8) 500

501 It's crucial to note that, for the practical application of Equation (8), consistency in the source of 502 morphological parameter values is essential. Specifically, all morphological parameters integrated into this equation must be derived exclusively from either the upper or the lower interlayer surfaces, not a combination of both. This uniform approach ensures the reliability and accuracy of the predictive model, as mixing values from different layers could introduce variability that undermines the equation's predictive capability.

507 Figure 16 showcases that the predictive model achieves an accuracy of 0.745. This level of accuracy, 508 while indicative of a correlation, points towards a modestly robust relationship between the predicted values of interlayer shear strength and the interface morphology characteristics. Such findings imply that, 509 510 although it is possible to deduce aspects of interlayer shear bonding from the interface morphology, the 511 reliability of these deductions has its limitations. This observation underscores a reality: while interface morphology exerts a considerable influence on the interlocking effect, and the interlocking state, in turn, 512 impacts interlayer shear strength significantly, forging a definitive link between interface morphology 513 514 characteristics and interlayer shear strength proves to be complex.

515 This complexity might stem from the model's potential limitations in encompassing all relevant factors 516 or from a complex interplay between interface morphology and the interlocking effect that the model fails 517 to fully capture. Consequently, this suggests that for a more accurate and reliable prediction of interlayer 518 shear strength, future models may need to consider a broader range of factors or more deeply explore the 519 interaction between interface morphology and the interlocking effect.

520



521 522

Figure 16. Measured and predicted values of interlayer shear strength based on morphological characteristics.

523 **5. Conclusions**

524 This study pioneered the integration of non-destructive interlayer separation techniques with 3D laser 525 scanning to reveal the complex interface morphology of double-layer asphalt systems, paving the way for an innovative assessment of interlayer bonding performance. Through careful morphological parameter analysis, it was demonstrated that how variations in particle size and upper layer compositions fundamentally alter interface characteristics, impacting the interlocking contribution and, consequently, interlayer shear strength. The following conclusions can be drawn from this study:

- (1) Influence of particle size and composition: Variations in mineral sizes within the asphalt mix
 significantly alter interface texture, with the upper layer's composition playing a pivotal role in
 morphological reshaping, particularly when compared against a consistent AC-20 lower layer.
- (2) Morphological characteristics' impact on interlocking: The SMA-13/AC-20 and OGFC-13/AC-20
 systems demonstrated pronounced morphological characteristics, influencing the interlocking
 effect. The developed predictive model, while marking a significant advancement, underscores the
 complexity of establishing a direct correlation between interface morphology and shear strength.
- (3) Predictive model advancements: The formulation of a predictive model for interlayer shear
 strength, utilizing interlocking characteristics as an intermediary variable, signifies a leap forward
 in assessing interlayer bonding performance. However, this model's partial reflection of shear
 bonding characteristics invites further exploration into the multifaceted relationship between
 interface morphology and interlocking effect.
- In essence, this study not only sheds light on the significant role of interface morphology in enhancing interlayer bonding but also highlights the need for continued research to refine the predictive model for broader applicability across varied pavement structures. Future research will focus on expanding the scope of interlayer treatments and exploring diverse asphalt systems to attain a more robust understanding of the interplay between interface morphology and interlayer bond strength.
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- 551 The authors declare that they have no known competing financial interests or personal relationships 552 that could have appeared to influence the work reported in this paper.
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