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26 This is the earliest report of an apical prominence on a lycopsid spore, a feature that
27 went on to characterize many later lycopsid megaspores, leading to the development of
28 extensive gulas and massas.

29

30 *Key words:* Mid Devonian, Middle Devonian, land plant, lycopsid, spores, gula, massa.

31

32

Introduction

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34 This contribution forms part of a series of papers reporting on exquisitely
35 preserved wall ultrastructure in dispersed spores from the Middle Devonian ‘Middle
36 Old Red Sandstone’ deposits of Cromarty, Scotland (Wellman 2001, 2002, 2009).
37 Based on material collected from this locality Lang (1925) published a groundbreaking
38 paper that included what is possibly the first description of Devonian dispersed spores
39 released by HF acid maceration. He described and illustrated nine types of dispersed
40 spore (“Spore-type A” to “Spore-type I”) and also a number of dispersed sporangia that
41 contained some of these spores *in situ*. Subsequently, Richardson (1960, 1962, 1965)
42 described the dispersed spore assemblages from this locality in great detail. One of the
43 more interesting spores, *Acinosporites macrospinosus* Richardson 1965, is of particular
44 interest because it bears a distinct apical prominence reminiscent of the gula/massa
45 characteristic of many younger megaspores from the Late Devonian-Carboniferous
46 (Hemsley et al. 1999). Consequently, it was decided to examine this dispersed spore
47 taxon in more detail in anticipation that it might shed some light on the biological
48 affinities of the spore and the evolutionary origins of the gula/massa. This contribution
49 reports on a combined LM, SEM and TEM investigation of the morphology, gross
50 structure and wall ultrastructure of Middle Devonian *A. macrospinosus*.

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Material and Methods

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Locality and geological setting

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Preparation and techniques

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The material described herein was recovered from Middle Devonian “Middle Old Red Sandstone” deposits from Cromarty, the Black Isle, Scotland. These deposits were selected because of the abundance, excellent preservation and low thermal maturity of the preserved palynomorphs (Lang 1925; Richardson 1960, 1962, 1965). Samples of green siltstones and fine sandstones were collected from strata exposed in Coal Heugh (NH792672), a small stream draining into Miller’s Bay, near Cromarty. These strata belong with the Millbuie Sandstone Group (Horne and Hinxman 1914; Johnstone and Mykura 1989). This group comprises predominantly fluvial deposits, but also contains a fish-bearing horizon that is equivalent to the Achanarras horizon. The Achanarras horizon represents a transgression of the Orcadian Lake that is equivalent to the Kacak Event (Marshall et al. 2007). These strata are considered to be of Eifelian (Mid Devonian) age based on biostratigraphical evidence from spore assemblages (Richardson 1960, 1962, 1965; Richardson and McGregor 1986; Marshall 1996; Marshall and Fletcher 2002) and fish (e.g. Blicek et al. 1988). Dispersed spore assemblages from the Achanarras horizon and its correlatives can be equated with the *devonicus-naumovii* Spore Assemblage Biozone of Richardson and McGregor (1986) and AD Opper Zone of Streef et al. (1987) (Marshall and Fletcher 2002).

76 spores and phytodebris (including entire sporangia). The dispersed spores and
77 phytodebris are extremely well preserved and of low thermal maturity. The organic
78 residue was subjected to heavy liquid separation using zinc chloride and then sieved
79 using a 120 μm and/or 20 μm mesh. Strew mounted slides of the residue were prepared
80 for LM analysis. In addition, individual spores were picked from the residue and
81 mounted for SEM and TEM analysis. Material for SEM analysis was prepared by
82 mounting individually picked spores on a double-sided sticky tab attached to a glass
83 coverslip. When a suitable number of specimens had been picked, the coverslip was
84 attached to an SEM stub using another double-sided sticky tab. The stub was then gold
85 coated using a sputter coater, and ready for SEM analysis using a Philips 501B SEM.
86 Material for TEM analysis was prepared by mounting individually picked spores on a
87 block of freshly prepared agar. The specimen was sealed into the block by covering it
88 with molten agar which solidifies on cooling. They were then dehydrated in ethanol and
89 embedded in Spurr resin. Sections were cut on a microtome using a diamond knife,
90 stained with uranyl acetate followed by Reynold's lead citrate, and examined using a
91 Philips CM10 TEM. The embedded individual spores were cut, as near as possible,
92 perpendicular to the plane of compression. Dimensions should therefore provide a
93 reasonably accurate reflection of true thickness. All studies were conducted on
94 unoxidised material. All rock, residue, slides, stubs, blocks and grids are curated at the
95 Centre for Palynology of the University of Sheffield.

96

97

Descriptions

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99

LM observations (Fig. 1)

125 merge to form an irregular surface reticulum. It is also evident that the long spines have
126 endings that are either pointed or slightly frayed, though they never form large, fully
127 developed bifurcating anchor-tips or multifurcating grapnel-tips.

128

129 *TEM observations (Figs 4-5)*

130 The best sections are from a single specimen and all images and this description
131 are based on this. It is clear from these TEM observations that the spore wall can be
132 divided into four intergrading layers that are arranged concentrically around the lumen.
133 These are termed Layers 1-4 herein, with Layer 1 bordering the lumen and Layer 4 at
134 the outer margin off the spore (forming the ornament). Measurements of layer
135 thicknesses are approximate due to the intergrading nature of the layers. The wall,
136 excluding the spines but including the ridges on which the spines are mounted, is
137 approximately 30 μm in thickness.

138 The innermost layer (Layer 1) is ca. 1.0 μm in thickness. (Figs 4D-G, 5A-B) It is
139 electron dense and appears dark. It is essentially homogeneous except for the presence
140 of abundant white-line-centred-lamellae (WLCL) and occasional small voids (Figs 4F-
141 G, 5A-B). The WLCL are concentrically-arranged and are wavy and often bifurcate and
142 merge with one another. There are approximately eight throughout the thickness of this
143 layer. The small voids tend to be elongate lying parallel to the wall layer (Figs 4F-G,
144 5A-B).

145 Layer 1 merges into Layer 2 that consists of concentrically-arranged laminae ca.
146 60 nm in thickness (Figs 4D-F, 5A-B). Elongated voids are developed along the
147 junction between adjacent laminae. This layer measures ca. 0.5 μm in thickness and is
148 less electron dense than Layer 1 appearing paler. It consists of approximately 6 to 8
149 laminae. The junction between Layers 1 and 2 can be taken as the last visible WLCL.

150 Layer 2 merges into Layer 3 (Figs 4A-B). It comprises laminae that are initially
151 similar in size to those of Layer 2. However, moving towards the outside of the spore
152 wall these become increasingly wider (up to 175 nm in thickness) (Figs 4H-I) and
153 increasingly irregular and with more voids. This layer is considerably less electron
154 dense than both Layers 1 and 2 and appears pale in comparison. Initially the laminae are
155 straight, fairly continuous and concentrically arranged. Progressing towards the outside
156 of the spore wall they become wider, increasingly wavy and less continuous with many
157 more voids between them. Eventually this imparts a honeycomb appearance towards the
158 outside of this layer (Figs 4A-B and 4H). Layer 3 comprises the bulk of the spore wall
159 and is 24-28 μm in thickness.

160 Layer 4 forms the outer layer of the spore wall including the spines (Figs 4A-C).
161 It is essentially a homogeneous layer that appears pale. Occasional lines, particularly on
162 the inside of this layer, are more-or-less concentrically arranged suggesting remnant
163 lamina (Fig. 4J). Elongate voids are present in the centre (core) of some of the spines
164 (Fig. 4B).

165

166

Discussion

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168

Biological affinities of Acinosporites macrospinosus

169 In terms of spore wall ultrastructure in extant plant groups, *A. macrospinosus* is
170 most similar to that in lycopsids. Wall ultrastructure has been described in extant
171 homosporous lycopsids (e.g. Pettitt 1966; Lugardon 1976; Uehara and Kurita 1991;
172 Rowley 1995) and the heterosporous lycopsids *Isoetes* (e.g. Lugardon 1973; Robert et
173 al. 1973; Brown and Lemmon 1991; Uehara et al. 1991; Taylor 1992) and *Selaginella*
174 (e.g. Pettitt 1971; Robert 1971; Sievers and Buchen 1971; Lugardon 1972; Brown and

175 Lemmon 1985; Taylor 1989; Morbelli and Rowley 1993; Uehara et al. 1991; Hemsley
176 et al. 1994; Gabarayeva 2000; Moore et al. 2006; Blackmore et al. 2012). In all of these
177 the wall essentially develops centripetally, based on WLCL that are formed at the
178 plasma membrane. Sporopollenin is accreted onto the WLCL forming laminae which
179 may eventually merge into a homogeneous structure. Additional inner and outer layers
180 may also be present, forming via underplating (often forming a granular layer below
181 proximal regions) or additions of tapetal sporopollenin, respectively (reviewed in
182 Lugardon 1990; Brown and Lemmon 1991; Tryon and Lugardon 1991; Wellman 2004;
183 Wallace et al. 2011). However, neither additional outer or inner layers have been
184 observed in *A. macrospinosus*.

185

186 *A model for spore wall development*

187 Based on interpretation of *A. macrospinosus* as a lycopsid, it is possible to
188 suggest a likely developmental sequence for its spore wall. The first step most probably
189 involved the folding of the plasma membrane into the final outer shape of the spore (see
190 Uehara and Kurita 1991). From this point the wall developed centripetally based on the
191 formation of WLCL on the plasma membrane. As more WLCL formed, sporopollenin
192 (most likely produced by the tapetum) was progressively accreted onto older WLCL,
193 and they developed into laminae. As development continued the first formed laminae
194 (outermost) began to fold forming a honeycomb pattern. Laminae towards the outside of
195 the spore wall may have been entirely swamped in sporopollenin to the extent that their
196 original laminate structure was obscured. This process produces a four-layered wall: the
197 innermost Layer 1 that consists of newly formed WLCL that are just beginning to
198 accrete sporopollenin as they develop into laminae; Layer 2 that consists of newly
199 formed laminae that are parallel and concentrically arranged around the lumen; Layer 3

200 consisting of laminae that are regular and parallel arranged toward the inside becoming
201 more folded and forming a honeycomb pattern towards the outside; the outermost Layer
202 4 consisting of essentially homogeneous sporopollenin with only very rare and faint
203 traces of laminae.

204

205 *Further evidence from fossil in situ spores*

206 The fossil record of *in situ* lycopsid spores has recently been reviewed by Bek
207 (2017). There are relatively few records from the Middle Devonian and earlier. In terms
208 of close relatives of the lycopsids from the Lower Devonian, simple retusoid trilete
209 spores have been reported from a number of zosterophylls (e.g. Gensel et al. 2012) and
210 the Rhynie chert plant *Asteroxylon mackiei* (Kerp et al. 2013). The dispersed spore
211 taxon *Acinosporites lindlarensis* has been reported from the homosporous
212 protolepidodendracean plant *Leclercqia* from a number of localities ranging in age
213 from Early to Late Devonian (Richardson et al. 1993; Gensel and Albright 2006; Gensel
214 and Kasper 2006; Xu et al. 2011). Poorly preserved spores of *Acinosporites*-type have
215 also been reported *in situ* from Mid Devonian *Hoxtolegaya robusta* (Xu et al. 2012).
216 Other Mid Devonian plants from which *in situ* spores have been reported are clearly
217 heterosporous protolepidodendraceans with a clear distinction between small
218 microspores and large megaspores: *Longostachys latisporophyllus* (Cai and Chen
219 1996), *Minarodendron cathaysiense* (Liu et al. 2013); *Mixostrobus givetensis*
220 (Senkevitsch et al. 1993).

221 A number of fossil spores have been ultrastructurally analysed that support the
222 interpretation of *A. macrospinosus* as a lycopsid spore that developed in the postulated
223 manner. *In situ* spores of *Acinosporites lindlarensis* have been examined
224 ultrastructurally from the lycopsids *Leclercqia complexa* (Gensel and Albright 2006;

225 Wellman et al. 2009) and *Leclercqia andrewsii* (Gensel and Kasper 2006; Wellman et
226 al. 2009) from the Lower Devonian of New Brunswick, Canada (Wellman 2018). Wall
227 structure in *Leclercqia* differs from that in *A. macrospinosus* because the former has a
228 distinct paraexospore that is separated from a laminar inner layer and is probably
229 tapetally derived. However, it is clear that the inner laminar layer of *Leclercqia* is very
230 similar to the wall of *A. macrospinosus*. Unfortunately, our sections of *A.*
231 *macrospinosus* did not permit observation of the trilete mark and the potential presence
232 of a proximal, interradian, multilaminate region that has been proposed as a
233 synapomorphy of the ligulate lycopsid clade (Wellman et al. 2009).

234 Other Early-Middle Devonian spores interpreted as lycopsid that have been
235 investigated ultrastructurally include *Ancyrospora* from the same horizon as the material
236 considered herein (Wellman 2002) and megaspores of Mid Devonian age from Poland
237 (Turnau et al. 2009; Zavialova and Turnau 2012), the USA (Taylor and Gullickson
238 2013) and Yunnan, China (Peng et al. 2016). The spores of *Ancyrospora* are interpreted
239 as having developed in a similar way to those in *A. macrospinosus* (Wellman 2002), as
240 was also demonstrated by Telnova (2017) in another species of *Ancyrospora* (*A.*
241 *melvillensis*). Other Middle Devonian spores interpreted as lycopsid that have been
242 ultrastructurally investigated are *Corystisporites acutispinosus*, *Coronospora variabilis*,
243 *Grandispora ciliata* and *Pomeranisporites subtriangularis* (Zavialova and Turnau
244 2012) and *Longhuashanispora reticuloides*, *Ocksisporites maclarenii* and
245 *Cereusisporites mirabilis* (Peng et al. 2016). All exhibit features of wall ultrastructure
246 that are comparable with that in *A. macrospinosus*.

247

248

Coeval plant megafossils

249 Lycopside were an important component of the Middle Devonian flora (Berry
250 and Fairon-Demaret 2001) and by the early Late Devonian had begun to form forest
251 ecosystems (Berry and Marshall 2015). They can be broadly subdivided into three
252 groups: Pre-lycopsids (Drepanophycales), Protolepidodendrales and Early Arborescent
253 Lycopsids. The terrestrial deposits of the Middle Devonian of the Orcadian Basin,
254 Scotland yield well known plant megafossil assemblages (e.g. Miller 1841; Lang 1925,
255 1926; Perry 1989). However, few lycopsid taxa have been described. The common
256 fossil *Thursophyton milleri* (Salter) Nathorst 1915 has long been considered to be of
257 lycopsid affinity, although Perry (1989) suggested that it may have zosterophyll
258 affinities based on its elliptical exarch xylem anatomy. It was probably a shrubby plant
259 emerging from a rhizome. Unfortunately fertile parts are unknown. Regarding other
260 potential lycopsid parent plants, rare examples of *Protolepidodendron* are also known
261 from the Orcadian Basin (Lang 1926).

262

263 *Implications regarding reproductive strategy*

264 The size of *A. macrospinosus* is interesting with regard to the reproductive strategy
265 adopted by the parent plant. Was it homosporous or heterosporous? There has been much
266 debate concerning the evolution of heterospory in the Devonian (e.g. Bateman and
267 DiMichele 1994). These authors demonstrated that a number of different heterosporous
268 reproductive strategies exist and that heterospory evolved independently at least eleven
269 times. Analysis of spore size distribution in the Devonian provides tantalizing clues
270 regarding the evolution of heterospory. Chaloner (1967) and Richardson (1969)
271 demonstrated that maximum spore size increases throughout the Silurian-Devonian. From
272 the Llandovery (early Silurian) to Frasnian (Late Devonian) size distribution is right
273 skewed suggesting that the majority of spores are small but increasingly larger forms are

274 present. By the Famennian (Late Devonian) spore distribution is bimodal suggesting that
275 there is a clear distinction between a population of smaller isospores/microspores and
276 larger megaspores. Prior to this size distribution forms a continuum with no clear
277 distinction between smaller isospores/microspores and larger megaspores.

278 A boundary of 200 μm is usually used to differentiate between
279 isospores/microspores and megaspores. However, this boundary is arbitrary and there is
280 little scientific reasoning for adopting it. Indeed Hemsley et al. (1999) suggest that the
281 boundary should be at 115 μm based on a study of spore size and efficiency of subaerial
282 dispersal. Spores that exceed the conventional 200 μm in diameter are known from the
283 latest Pragian-earliest Emsian (Richardson and McGregor 1986) and it is likely that some
284 forms of heterospory was practised by this time. However, the earliest evidence for
285 heterospory from plant megafossils containing *in situ* spores is not until the late Emsian
286 (Andrews et al. 1974; Bonacorsi et al. 2020). Heterospory almost certainly evolved
287 independently in a number of plant lineages over the course of the Devonian, and this is
288 reflected in the dispersed spore fossil record in the increasing maximum spore size and the
289 increasing number of spores in this larger size range. Different heterosporous reproductive
290 strategies were most likely practised, but it is impossible to identify these based only on
291 evidence from fossil spores. However, by at least the Famennian there were almost
292 certainly heterosporous plants that produced spores of two distinct size ranges that were no
293 doubt very different in morphology. Prior to this it is likely that functional megaspores
294 were present, but size ranges of microspores/megaspores overlapped, and morphology may
295 not have been distinct.

296 During the Eifelian, there are many spore taxa whose size distribution straddles the
297 200 μm boundary. It is unclear whether these are: (i) large isospores of homosporous
298 plants; (ii) large microspores of heterosporous plants with morphologically distinct

299 microspores and megaspores; (iii) small megaspores of heterosporous plants with
300 morphologically distinct microspores and megaspores; (iv) microspores and megaspores of
301 heterosporous plants whose microspore/megaspore size ranges overlap and that are not
302 morphologically distinct. *A. macrospinosus* measures 80-160 μm (excluding the spines).
303 This size range is ambiguous (bearing in mind the suggestion of Hemsley et al. (1999) that
304 microspore/megaspore segregation is around 115 μm) and any of the four cases outlined
305 above is possible. However, based on similarities with younger bona fide megaspores, I
306 suggest that *A. macrospinosus* is probably an incipient megaspore from among the first
307 groups of lycopsids to experiment with heterosporous reproductive strategy.

308

309 *On the origin and nature of the gula*

310 One of the most interesting features of the spore *A. macrospinosus* is the
311 proximal structure that bears the laesurae of the trilete mark. This structure is
312 reminiscent of the apical prominence (often forming a gula or massa) developed on the
313 proximal surface of large lycopsid megaspores, that is a particularly conspicuous feature
314 of Late Devonian-Carboniferous megaspore assemblages. Hemsley et al. (1999) discuss
315 the architecture and functional biology of apical prominences in megaspores. They
316 suggest that extensions of the trilete laesurae and/or proximal contact areas may have
317 acted like a shuttlecock, ensuring that the megaspore descended distal surface down,
318 and in non-ornamented forms possibly also increased speed of descent. Hemsley et al.
319 further suggest that the gula may have functioned in water dispersal, opening up so as to
320 keep the megaspore afloat and aid the capture of microspores. Whatever the function(s)
321 of megaspore apical prominences, it seems that megaspores (or incipient megaspores)
322 were beginning to experiment with such features by the Mid Devonian. As the
323 heterosporous lycopsids first began to appear, changes in reproductive strategy,

324 megaspore function and associated megaspore morphology seem to have evolved hand-
325 in-hand.

326

327 **Conclusions**

328

329 1/ The Middle Devonian dispersed spore *Acinosporites macrospinosus* Richardson 1965
330 has typical lycopsid spore wall ultrastructure.

331

332 2/ *A. macrospinosus* is probably an incipient megaspore from among the first groups of
333 lycopsids to experiment with heterosporous reproductive strategy.

334

335 3/ The apical prominence of *A. macrospinosus* is a forerunner of the pronounced apical
336 prominence (gulas and massas) of later megaspores.

337

338

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340

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347 thought provoking work on early lycopsids.

348

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EXPLANATION OF PLATE 1

541 Figs A-C. LM images of dispersed *Acinosporites macrospinosus* Richardson 1965 from
542 the Millbuie Sandstone Group (Eifelian: Mid Devonian) from Coal Heugh, Miller's
543 Bay, Cromarty, the Black Isle, Scotland. A, Sample ACH2, Slide 1, E.F.No. (P34/4).
544 Lateral view. Note the apical prominence (AP). B-C. Sample ACH2, Slide 1, E.F.No.
545 (J39). Lateral view. Specimen illustrated in different focal planes. Note the apical
546 prominence (AP). Scale bar = 100 μ m.

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EXPLANATION OF PLATE 2

550 Figs A-C. SEM images of dispersed *Acinosporites macrospinosus* Richardson 1965
551 from the Millbuie Sandstone Group (Eifelian: Mid Devonian) from Coal Heugh,
552 Miller's Bay, Cromarty, the Black Isle, Scotland. A, Stub CW006 (Image 0264/99).
553 Proximal view. Note the prominent circular apical prominence (AP) bearing the trilete
554 mark. B. Stub CW015 (Image 0771/99). Distal view. Note the nature of the ornament.
555 C. Stub CW015 (Image 0781/99). Lateral view. Note the nature of the apical
556 prominence (AP). Scale bar = 100 μ m.

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EXPLANATION OF PLATE 3

560 Figs A-B. SEM images of dispersed *Acinosporites macrospinosus* Richardson 1965
561 from the Millbuie Sandstone Group (Eifelian: Mid Devonian) from Coal Heugh,
562 Miller's Bay, Cromarty, the Black Isle, Scotland. A, Stub CW015 (Image 0779/99).
563 High magnification image of distal ornament from specimen illustrated in Pl. 2, fig. B.
564 B. Stub CW015 (Image 0777/99). High magnification image of ornament (bottom left)

565 below apical prominence (AP) (top right) from specimen illustrated in Pl. 2, fig. C.

566 Scale bar = 50 μm (A) and 25 μm (B).

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EXPLANATION OF PLATE 4

570 Figs A-J. TEM images of an individually picked specimen of the dispersed spore

571 *Acinosporites macrospinosus* Richardson 1965 from the Millbuie Sandstone Group

572 (Eifelian: Mid Devonian) from Coal Heugh, Miller's Bay, Cromarty, the Black Isle,

573 Scotland. All images are from the same spore (one of three specimens embedded in

574 Block CW023). A, Image R387, illustrating an almost entire spore. Note the lumen (L)

575 near the centre of the section. The apical prominence and trilete mark are not present on

576 this section; B, Image R374, a close up of (A) focussing in on the lumen (L); C, Image

577 R375, a close up of (A) focussing on the ultrastructure of the ornament (Layer 5). V =

578 void; D, Image R379, illustrating the ultrastructure around the lumen (L); E, Image

579 R385, a close up of (D) focussing in on the ultrastructure around the lumen (L); F,

580 Image R380, a close up of the ultrastructure immediately adjacent to the lumen (L) (the

581 junctions between Layers 1-3 are marked with arrows); G, Image 391, a close up of the

582 ultrastructure immediately adjacent to the lumen (L) (the junction between Layers 1-3

583 are marked with arrows); H, Image R388, a close up of the ultrastructure in Layer 3; I,

584 Image R377, a close up of the ultrastructure in Layer 3; J, Image R376, a close up of

585 ultrastructure in Layer 4. Scale bar = 54 μm (A) 32 μm (B) 17.6 μm (C) 3.4 μm (D) 2.1

586 μm (E) 1.0 μm (F) 0.9 μm (G) 1.4 μm (H) 0.7 μm (I) 2.9 μm (J).

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EXPLANATION OF PLATE 5

590 Figs A-B. TEM images of an individually picked specimen of the dispersed spore
591 *Acinosporites macrospinosus* Richardson 1965 from the Millbuie Sandstone Group
592 (Eifelian: Mid Devonian) from Coal Heugh, Miller's Bay, Cromarty, the Black Isle,
593 Scotland. All images are from the same spore (one of three specimens embedded in
594 Block CW023). A, Image R381, a close up of the ultrastructure of Layers 1-2
595 surrounding the lumen (L) (the junctions between Layers 1-3 are marked with black
596 arrows); B, Image R383, a close up of (A) showing the ultrastructure of Layers 1-2
597 surrounding the lumen (L) (the junctions between Layers 1-3 are marked with black
598 arrows). White arrows indicate examples of White Line Centred Lamellae. Scale bar =
599 1000 nm (A) 560 nm (B).
600