| 1  | Title   |
|----|---|
| 2  | Running after Two Hares in Visual Working Memory: Exploring                               |
| 3  | Retrospective Attention to Multiple Items Using Simulation, Behavioral                    |
| 4  | Outcomes, and Eye-tracking.   |
| 5  |   |
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# Acknowledgement

- 17 This work was supported by JSPS KAKENHI Grant Numbers JP20H04494, JP22H01073,
- 18 and JP23H01053.

# Abstract

| 21 | Multi-item retro-cueing effects refer to better working memory performance for multiple       |
|----|---|
| 22 | items when they are cued after their offset, compared to a neutral condition in which all     |
| 23 | items are cued. However, several studies have reported boundary conditions, and findings      |
| 24 | have also sometimes failed to replicate. We hypothesized that a strategy to focus on only     |
| 25 | one of the cued items could possibly yield these inconsistent patterns. In Study 1, a Monte-  |
| 26 | Carlo simulation showed that randomly selecting one of the cued items as the focus in each    |
| 27 | trial increased the chance of obtaining significant 'multi-item retro-cueing effects' on the  |
| 28 | mean accuracy over the trials, providing an incorrect conclusion if interpreted as evidence   |
| 29 | for attending all the cued items. These high rates to obtain such data fit with inconsistent  |
| 30 | patterns in the literature. To try and circumvent this situation, we conducted two new        |
| 31 | experiments (Studies 2A and 2B) where participants were explicitly instructed to fixate their |
| 32 | gaze on all the cued positions, verified through eye-tracking (Study 2B). These produced      |
| 33 | robust multi-item retro-cueing effects regardless of previously identified boundary           |
| 34 | conditions. Notably, gazes were clearly fixated to multiple cued positions within each trial. |
| 35 | Nevertheless, simulation revealed that our accuracy patterns could also in principle be       |
| 36 | produced by single item enhancement on each trial. The present study forms the first step to  |
| 37 | disentangle overt gaze-based allocation of attention from single-item focusing strategies,    |

38 while also highlighting the need for improved methodologies to probe genuine multiplicity

- 41 *Keywords:* visual working memory, retrospective attention, retro-cue, monte-carlo
- 42 simulation, eye-tracking

<sup>39</sup> in working memory.

# Public Significance Statement

| 44 | This study explores how attention can be directed to improve memory performance. We show      |
|----|---|
| 45 | via simulation that previous studies may only provide weak evidence for the ability to focus  |
| 46 | attention on more than one item in working memory when they are no longer present in the      |
| 47 | environment. We further show that people do look towards the locations of all cued items and  |
| 48 | can benefit their memory, when instructed to do so. However, questions remain about           |
| 49 | whether this really does show a genuine ability to enhance multiple items at the same time in |
| 50 | working memory.   |
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# Introduction

| 56 | Visual working memory (VWM) retains objects and operates on them over time                            |
|----|---|
| 57 | courses of a few seconds. VWM is closely related to attention. For example, studies have              |
| 58 | demonstrated that both <i>pre-cueing</i> one of the to-be-tested items before the onset of the target |
| 59 | array and <i>retro-cueing</i> after the offset enhance accuracy for the cued items more than a        |
| 60 | neutral condition where all items are cued (Makovski & Jiang, 2007). As Souza and Oberauer            |
| 61 | (2016) reviewed, research on retro-cueing effects allows us to investigate the nature and             |
| 62 | mechanisms involved in focusing attention to internal information in working memory                   |
| 63 | (Oberauer & Hein, 2012). For example, one can investigate how information in working                  |
| 64 | memory is selected, and whether capacity limitations in working memory can be mitigated               |
| 65 | against via application of retrospective attention. By increasing the number of retro-cues, one       |
| 66 | can further examine whether such functions of the focus of attention can be expanded to               |
| 67 | multiple items in working memory. Furthermore, if the focus of attention can be                       |
| 68 | retrospectively allocated to multiple representations in working memory, then one can next            |
| 69 | ask whether multiple representations are focused simultaneously or sequentially (see, Ort &           |
| 70 | Olivers, 2020, for consideration of this issue in the visual search domain). In the current           |
| 71 | work, our main question examined whether retrospectively attending to multiple items                  |
| 72 | enhances accuracy. As outcomes in the literature are mixed, we first explored a possible              |
| 73 | reason for these inconsistencies, and then aimed to collect potentially stronger evidence for         |

the effect through task instructions and by tracking eye movement. As part of this approach,
we inspected fixation data to clarify whether multiple items were attended simultaneously or
sequentially.

77 Does multi-item retro-cueing reliably enhance accuracy for cued items? Whilst multi-78 item pre-cueing robustly enhances memory performance for cued items, there is still dispute 79 regarding the effects of multi-item retro-cueing. An initial study did not find a significant 80 multi-item retro-cueing effect (Makovski & Jiang, 2007). Subsequent studies investigated this 81 more extensively and proposed boundary conditions for multi-item retro-cueing effects. 82 These include (a) contextual matching between the number of cued items and the number of 83 the probed items (Matsukura & Vecera, 2015); (b) dividing multiple cues in different 84 hemifields (Delvenne & Holt, 2012); (c) sequentially presenting multiple retro-cues (Li & 85 Saiki, 2014); and (d) using a feature-based cue (i.e., non-spatial cue) rather than a 86 spatial/symbolic cue when the cues items are spatially distant from each other (Heuer & 87 Schubö, 2016). Revealing the boundary conditions of a phenomenon is a typical and useful 88 way to advance science because it means theories are refined. However, from a different 89 viewpoint, boundary conditions also add complexity to theories. Thus, this is a balancing 90 issue in that more complex theories are welcome only when these are necessary to explain the 91 phenomenon.

However, these boundary conditions do not consistently explain the existing data. For
example, there are studies that found the multi-item retro-cueing effect even without

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| 94  | contextual matching (e.g., Heuer & Schubö, 2016), without splitting cued items into different     |
|-----|---|
| 95  | hemifields (e.g., Heuer & Schubö, 2016; Matsukura & Vecera, 2015); and regardless of the          |
| 96  | spatial distance between cued positions (e.g., Matsukura & Vecera, 2015). Studies have also       |
| 97  | failed to find the effects of sequentially presented multi-cues on the second cued item (e.g.,    |
| 98  | Van Moorselaar et al., 2015), although Souza et al (2015) replicated the effects of               |
| 99  | sequentially presented multiple cues on both the first and the second (subsequent) items.         |
| 100 | Thus, there is still disagreement on whether multi-item retro-cues enhance memory                 |
| 101 | performance, particularly when using simultaneous presentation of cues (Souza & Oberauer,         |
| 102 | 2016).  |
| 103 | It is unclear why such inconsistencies are observed regarding multi-item retro-cueing             |
| 104 | effects. Without a systematic reason that affects the presence/absence of effects in a            |
| 105 | probabilistic manner, it is difficult to explain the existing patterns in the literature. We      |
| 106 | hypothesized that one of the candidates for such a reason might be a single-item focusing         |
| 107 | strategy. Specifically, if the cued items are probed more frequently than other items (i.e., high |
| 108 | cue validity), then focusing on only one of the multiple cued items inevitably increases the      |
| 109 | probability to achieve higher mean accuracy over trials than randomly focusing on one of all      |
| 110 | studied items (i.e., the neutral cue condition where no items are preferentially cued). Since it  |
| 111 | is probabilistic whether the focused single item is probed, it is also probabilistic whether each |
| 112 | participant exhibits higher mean accuracy over trials in the multiple-cue condition than the      |
| 113 | neural cue condition (see, Ort & Olivers, 2020, for consideration of this issue in the visual     |
|     |   |

114 search domain). As such, it is not surprising that there are mixed outcomes regarding the 115 multi-item retro-cueing effect, as it would be inappropriate to argue for attention towards 116 multiple items if only one of the cued items is strategically focused on in each trial. 117 It is therefore important to estimate the actual chance rates to obtain a statistically significant difference between mean accuracies when a single-item focusing strategy is 118 119 employed. If such rates are low, then this strategy-based account cannot explain the 120 inconsistencies. A promising approach to estimate this chance rate under a hypothetical 121 scenario (i.e., only single item is focused) is via statistical simulation, such as the Monte-122 Carlo method. This was the aim of Study 1. This approach involves repeated sampling of 123 correct/incorrect responses from binomial distributions, whose parameters are derived from 124 published studies. The rates of detecting a significantly higher mean accuracy in the multi-125 item retro-cuing condition can then be calculated in scenarios where only one item was 126 focused on rather than attending multiple items. To foreshadow the outcomes, we found that 127 such chance rates were high when the simulation parameters were taken from the existing 128 literature that found multi-item retro cueing effects. In other words, evidence for 129 'retrospectively attending multiple items' may be weak even if the statistically significant 130 multi-item retro-cueing effect was obtained. In addition, it is important to note that the estimated chance rates in Study 1 were not 100%. This means that when only a single-item 131 132 was strategically focused, there is also a chance to fail to obtain a statistically significant

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multi-item retro-cueing effect even if a genuine multi-item retro-cueing effect exists inhuman visual working memory.

135 To circumvent these situations, it is important to investigate multi-item retro-cueing 136 under a situation where participants are actively discouraged from using a single-item 137 focusing strategy. For this aim, we conducted two new experiments (Study 2A and Study 2B) 138 in which participants were instructed to fixate their gazes to all the spatial positions of the 139 cued items during the retention interval. Moreover, to collect stronger evidence, gaze was 140 monitored in Study 2B by using an eye-tracker. Fixating gazes across all the cued positions 141 would be a clear indicator that participants are not simply adopting a single-item focusing 142 strategy. The rationale behind this approach is based on an active and facilitatory role of gaze 143 position in memory after the offset of the studied items (Ferreira et al., 2008; Johansson & 144 Johansson, 2014; Laeng et al., 2014; van Ede et al., 2019). Although Loaiza and Souza 145 (2022) did not find a relationship between participants' spontaneous fixation duration during 146 maintenance and recall accuracy in the fixated position, they did find a positive relationship 147 when eye gaze to the sequentially-presented cued positions was explicitly instructed (see 148 their Study 1B). Thus, this study justifies using instructed gaze fixation as an approach to 149 attend to the multiple cued positions. We apply this technique to the simultaneously-150 presented multi-item reto-cueing paradigm. If retrospectively attending to multiple items is 151 possible, then memory performance should be improved in the multi-item retro-cueing 152 condition. Moreover, we may glean further insights on the mechanism of the multi-item

| 153 | retro-cueing effect (i.e., sequential vs. simultaneous processing) by comparing fixation    |
|-----|---|
| 154 | durations across the multiple cued positions.   |
| 155 |   |
| 156 | Study 1: Monte-Carlo Simulation   |
| 157 | Transparency and Openness   |
| 158 | In Study 1, we used statistical R (R Core Team, 2023) for the simulation. All the           |
| 159 | simulation codes to reproduce the simulation data are available online                      |
| 160 | (DOI 10.17605/OSF.IO/DRBXT). The detailed instruction for running the simulation is also    |
| 161 | provided online. The general overview of the simulation is provided in the next section.    |
| 162 |   |
| 163 | Aim and Overview of the Simulation  |
| 164 | A promising approach to estimate the chance rates of obtaining statistical significance     |
| 165 | under these hypothetical scenarios is via the Monte-Carlo method of statistical simulation. |
| 166 | This was implemented in Study 1. In each simulation trial, we can calculate the probability |
| 167 | for a focused item to be probed by chance under the assumption that only one of the cued-   |
| 168 | items was randomly selected as a to-be-focused item. This probability can be precisely      |

| 169 | calculated based on number of items, number of cues, and cue validity. Then, the correctness    |
|-----|---|
| 170 | (binary: correct or incorrect) in each trial can be simply simulated by sampling from a         |
| 171 | binomial distribution. The probability for sampling a correct case can be set to be higher      |
| 172 | when the randomly selected focused-item is probed by chance than when the focused-item is       |
| 173 | not probed by chance. The exact probabilities for these binomial distributions can be           |
| 174 | determined in an objective manner, such that the effect size of single-item retro-cueing (i.e., |
| 175 | single retro-cue condition vs. the neutral retro-cues condition) in the simulation matches with |
| 176 | the real human data (later in detail). Once these probabilities for the binomial distributions  |
| 177 | are determined, then the correctness of each trial can be sampled regardless of the number of   |
| 178 | cues (2 or larger). Then, after repeating this sampling procedure for the number of trials in   |
| 179 | each condition and for the number of participants, the resultant data matrix can be submitted   |
| 180 | to a conventional statistical test (e.g., t-test or ANOVA) to examine a multi-item retro-cueing |
| 181 | effect. Note that this is a simulation of a scenario where only one of the cued items is        |
| 182 | focused. Thus, even if significantly higher accuracy in the multi-item retro-cueing condition   |
| 183 | than the neutral-cues condition is detected, it does not provide evidence for successful        |
| 184 | retrospective attention to multiple items. By reiterating the same procedure many times (i.e.,  |
| 185 | Monte-Carlo method), we can estimate the probability of yielding statistical significance. The  |
| 186 | parameter values (i.e., number of items/cues/participants, cue validity, etc.) were taken from  |
| 187 | the existing literature that found a multi-item retro-cueing effect. We focused on the articles |
| 188 | that reported both single-item and multi-item retro-cueing effects, as these are both necessary |

| 189 | for our simulation (see below). Moreover, we focused on the cases where multiple cues were      |
|-----|---|
| 190 | presented simultaneously, because sequential presentation of multiple cues appears to have a    |
| 191 | reliable effect (see, Souza & Oberauer, 2016, for a review). In addition, we also simulated the |
| 192 | other scenarios, where cued-validity is not 100% (e.g., Li & Saiki, 2014), and where a multi-   |
| 193 | item retro-cueing effect is modulated by another factor (e.g., Heuer & Schubö, 2016). The       |
| 194 | details will be explained later.  |
| 195 |   |

#### 197 Methods

#### Table 1.

Parameters from Each Human Experiments and Simulation Parameters

|                                 |                               | 1                       | Articles                        |                             |
|---------------------------------|-------------------------------|-------------------------|---------------------------------|-----------------------------|
| Parameters and variables        | Makovski &<br>Jiang<br>(2007) | Li &<br>Saiki<br>(2014) | Matsukura &<br>Vecera<br>(2015) | Heuer &<br>Schubö<br>(2016) |
| Number of participants          | 20~23                         | 16~18                   | 16~32                           | 17~23                       |
| Number of studied items         | 6                             | 4                       | 6                               | $4^{*1}$                    |
| Number of retro-cues            | 1, 2, 3, 4, 5*2               | 1, 2                    | 1, 3                            | 2 <sup>*3</sup>             |
| Number of neutrally-cued items  | 6                             | 4                       | 6                               | 4                           |
| Number of probes                | 1                             | 1                       | 1, 3*4                          | 1                           |
| Number of trials                | 48~90                         | 48 <sup>*5</sup>        | 70                              | 192                         |
| Single-cueing effect size       |                               |                         |                                 |                             |
| Hedge's g                       | 0.611                         | 1.433*6                 | 2.067                           | $1.105^{*7}$                |
| Simulation $(p_{unfocus})^{*8}$ | 0.600                         | 0.600                   | 0.600                           | 0.600                       |
| Simulation $(p_{focus})^{*9}$   | 0.639                         | 0.726                   | 0.738                           | 0.649                       |

*Note.* The *N* of participants/trials varied depending on the experiments within each article. Thus, we adopted the largest value for our simulation; \*1 There were 8 items in the study array, but only half of them (either hemifield) was task-relevant; \*2 We adopted 2-item retro-cueing condition for our simulation; \*3 There was not a single-cueing condition; \*4 They employed a set-probe testing procedure; \*5 There were 144 trials in the double-cue condition, but there were 48 trials where each one of the cued positions were probed; \*6 The only statistics for the single-cueing effect reported in Li and Saiki (2014) was the contrast between the 'withdrawal condition ' and the neural cue condition. Thus, we used these statistics in order to estimate the single-cueing effect size; \*7 This value was conservatively taken from the double-cueing effect (see main text); \*8 The simulation parameter was set to be 0.6. This was set arbitrarily but this absolute value is not important. What matters is the relative difference from the p\_focus value; \*9 These values were determined by a grid search so that the single-cueing effect size matched with human data (see main text).

#### **198** *Parameters from the Target Articles*

| 199 | The target articles that we simulated are listed in Table 1. The parameters ( $N$ of                 |
|-----|--|
| 200 | participants, trials, cues, targets, and probes) were taken from each article. When multiple         |
| 201 | experiments were conducted in each article, the largest value was used. The effect size index        |
| 202 | (Hedges' $g$ ) for the single-item retro-cueing effect was converted from the paired $t$ -statistics |
| 203 | (single-cue condition vs. neutral-cue condition) reported in each paper.                             |
|     |  |

# 204

#### 205 Simulation Dataset and Parameters from the Human Experiments

206 First, we specified the model to be studied using the Monte Carlo simulation. In each trial, only one of the cued items were randomly selected as a to-be-focused item. Thus, the 207 probability to select the *i*-th item  $(p_{select})$  simply follows a discrete uniform distribution: 208

209

210 
$$p_{select} (X = i_th item) = \frac{1}{N_c} \quad (i = 1, 2, ..., N_c) ... Equation (1)$$

211

, where  $N_c$  is the number of cues. In the neutral cue condition (i.e., control condition),  $N_c$  was 212 213 equal to the number of the studied items.

214 Next, we considered the probability for each item to be probed in the test phase. In a single-probe change-detection paradigm, the probability for each item to be probed depends 215

216 on the number of cues  $(N_c)$  and the cue-validity level (i.e., the degree of predictiveness of the 217 cues). We first simulated the case of the maximum cue-validity level (i.e., 100%), and 218 consider lower validity cases later. When cue-validity is 100%, then the probability for one 219 (*j*-th item) of the cued items to be probed  $(p_{probe})$  follows a discrete uniform distribution as 220 follows:

221

222 
$$p_{probe} (X = j_th item) = \frac{1}{N_c} \quad (j = 1, 2, ..., N_c) ... Equation (2)$$

223

Next, when the probed item (or the lure probe in the multiple-probes situation) was coincidentally from the same position as the focused (single) item, then it is natural to expect a higher accuracy than when these were different. Then, the correctness in the *k*-th trial ( $C_k$ ) can be simply simulated by sampling from the binominal distribution as follows:

228  $C_k \sim Binomial (n$ 

229 
$$= 1, p) \begin{cases} p = p_{focus}, when a probed item is taken from the focused position \\ p = p_{unfocus}, when a probed item is not taken from the focused position \end{cases}$$

230

... Equation (3)

| 231 | , where the probability for the binomial distribution $(p_{focus})$ was set to be higher than            |
|-----|--|
| 232 | $(p_{unfocus})$ . The simplicity of this equation as a model of human recognition is discussed later     |
| 233 | in the results section. The specific values for the probability parameters were determined               |
| 234 | objectively as follows: First, it is entirely reasonable to assume that participants take this           |
| 235 | single-item focusing strategy when the number of cues is one (i.e., single-item retro-cueing             |
| 236 | condition). Then, the specific <i>difference</i> between $(p_{focus})$ and $(p_{unfocus})$ values can be |
| 237 | determined so that the effect size of the single-item retro-cueing effect in the Monte Carlo             |
| 238 | simulation matches that in the real human data. Taking Makovski and Jiang (2007) as an                   |
| 239 | example, the single-item retro-cueing effect sizes (i.e., single cue condition vs. neutral cues          |
| 240 | condition) in their experiments were Hedges' g of 0.655, 0.470, 0.530, and 0.930                         |
| 241 | (Experiments 1a, 1b, 2a, 2b, respectively). A random-effect meta-analysis (ESCII software:               |
| 242 | Cumming, 2012) can aggregate these effect sizes to estimate a single score, Hedges' $g =$                |
| 243 | 0.611. Then, bearing this integrated single-cueing effect size in mind, we conducted a grid              |
| 244 | search to determine the $(p_{focus})$ and $(p_{unfocus})$ parameter values for simulating Makovski       |
| 245 | and Jiang (2007)'s study. More specifically, we first fixed the $(p_{unfocus})$ parameter to 0.600       |
| 246 | whilst gradually increasing the $(p_{focus})$ parameter by 0.001. Then, the correctness in the k-th      |
| 247 | trial $(C_k)$ of the single-cue condition in the simulation was sampled by the Equation (3) above        |
| 248 | for the same number of trials/participants as Makovski and Jiang (2007). This allows to                  |
| 249 | compute the single-cueing effect size in the simulation under a given $(p_{focus})$ parameter            |
| 250 | value. Once the resultant effect size in the simulation reached Hedges' g of 0.611 (i.e., real           |

Carlo simulation for Makovski and Jiang (2007) study, the  $(p_{focus})$  was determined to 0.639. 252 The same procedure was taken in determining the  $(p_{focus})$  parameter value in simulating 253 254 each study listed in Table 1. 255 Next, the obtained  $(p_{focus})$  parameter values can be used in sampling the correctness 256 value in the multi-cue trials and in the neutral-cue trials of the simulation as well (Equation 257 3). This is a plausible procedure because the current Monte-Carlo approach assumes the 258 scenario where a single-item focusing strategy is taken in the multi-cue trials. By reiterating 259 the sampling of the correctness value in the k-th trial  $(C_k)$  for the same number of trials/participants as the existing literature (see Table 1), we can generate the simulation data 260 261 matrix under an assumed hypothetical scenario. Then, a paired *t*-test can be conducted to 262 investigate whether a statistically significant difference is detected between the multi-item cueing condition  $(1 \le N \text{ of cues} \le N \text{ of studies items})$  and the neutral condition (N of cues = N of cues)263 264 of studied items). If statistical significance is observed, it would be inappropriate to 265 confidently interpret this as evidence for attending all cued items. Instead, such a result 266 should be interpreted as supporting evidence for the argument that one can obtain significantly higher mean accuracy in the multi-cued condition than in the neutral-cues 267 268 condition even when just one of the cued items is strategically focused on. Finally, all the 269 procedures so far can be repeated multiple times in Monte-Carlo simulation (100 times in our 270 case) so that the chance rates to observe statistical significance can be calculated in each

human data), then we stopped increasing the  $(p_{focus})$  parameter value. In case of Monte-

| experimental situation of the existing literature. The number of the iterations was determined  |
|---|
| by conducting a self-replication. A higher number of iterations leads to more stable  |
| estimations (i.e., less affected by sampling variations) but requires more time to conduct. The   |
| effect size of sampling variances on the outcome of the model can be evaluated empirically  |
| by re-running the simulation. We re-conducted the simulation with the number of iterations  |
| as 500, and the outcomes were very similar (e.g., the difference in the estimated chance rates  |
| was less than 5%). Thus, for the sake of efficiency we report analyses using 100 iterations.  |
|   |
| We note here that Heuer and Schubö (2016) did not include the single-item retro-  |
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the chance rates to detect the multi-item retro-cueing effect in the current Monte-Carlo

simulation. In other words, underestimating the single-cueing effect size inevitably

underestimates the chance rates to obtain statistical significance in simulation. Then, if the

resultant chance rates are still found to be higher in such a conservative situation, then we can 

confidently argue that the rates of obtaining statistical significance in the experimental

situation are much higher than our conservative estimate.

| Finally, although Delvenne and Holt (2012) was one of the articles that argued to find             |
|--|
| the boundary condition for multi-item retro-cueing effect, we could not simulate their             |
| experimental situation because the detailed statistics for neither the single-item retro-cueing    |
| effect nor the multi-item retro-cueing effect were available (i.e., only $p$ value was reported as |
| <i>p</i> < .05).   |
|  |

# **Results and Discussion**

#### 299 Figure 1

Rates for Obtaining a Statistically Significant Difference in Accuracy between the Multi-item 300

301 Retro-cueing Condition and the Neutral-cueing Condition in the Monte-Carlo Simulation.



#### 302

303 *Note.* A dashed horizontal line indicates y = 0.05 (i.e., conventional alpha level)

304

305 Figure 1 shows the outcomes of the Monte-Carlo simulation. Specifically, the Y-axis is the number of the Monte-Carlo iterations that detected statistical significance between the 306 multi-item retro-cueing condition and the neutral-cues condition where all the items are cued, 307 308 divided by the total number of the simulation run (i.e., 100) in each scenario. Note that only 309 one of the cued items was strategically focused on in this simulation. Thus, the y-axis shows

the chance rates to draw an incorrect interpretation that participants were successfully

311 attending to multiple items.

312 First, when simulating the initial study by Makovski and Jiang (2007), who did not find a significant multi-cueing effect, the estimated chance rates for misinterpretation were 313 314 close to 5%. In contrast, when simulating the other studies, which found significant multi-315 cueing effects, the obtained chance rates for misinterpretation were much higher ( $30\% \sim$ 316 40%) than the conventional alpha level (5%). This means that in the latter cases, one can find 317 a statistically significant difference between the multi-cue and neutral-cue conditions at a chance rate of higher than 5% even if participants focused on only one of the cued items. Of 318 319 course, we cannot confidently assert whether each participant in these studies covertly 320 applied such a strategy. However, such higher chance rates are consistent with the fact that 321 some studies obtained a statistically significant effect whilst others did not. The crucial 322 difference between Makovski and Jiang (2007) and the other studies was the effect size of the 323 single-item retro-cueing effect (i.e., single cue vs. neutral cues). As Table 1 shows, this effect 324 size was much smaller in Makovski and Jiang (2007), meaning it was unlikely to generate a 325 statistically significant multi-item retro-cueing effect even if a single-item focusing strategy 326 was adopted in the multi-cues condition. The larger effect size in the remainder of the studies 327 means there is more scope for a single-item focusing strategy to explain the higher accuracy 328 observed in the multi-cue conditions.

| 329 | Before simulating other scenarios, it is worthy to discuss whether the simplification of                 |
|-----|--|
| 330 | our model is justified, particularly regarding Equation (3). Specifically, we sampled the                |
| 331 | correctness value in the k-th trial $(C_k)$ from the simple binomial distributions. One may              |
| 332 | justifiably criticize this approach in that various processes during the yes/no recognition              |
| 333 | judgment are assumed to be a black box in the current simulation. We acknowledge that our                |
| 334 | model did not specify the details of these processes in Equation (3) but argue that the output           |
| 335 | of the black box is correct. This is because we determined the probabilities of the binomial             |
| 336 | distribution (i.e., $p_{focus}$ , and $p_{unfocus}$ ) based on the single-cueing effect size of the real |
| 337 | human data in each study. In a single-cue trial, it is reasonable for a participant to use a             |
| 338 | single-item focusing strategy. Thus, as far as the outputs of the black box correctly simulate           |
| 339 | the single-cueing effect size of real participants, we can safely argue that the outcomes of the         |
| 340 | black box are close to that of the human outputs who employ a single-item focusing strategy.             |
| 341 | In a similar vein, we can justify our use of Equations 1-3 in simulating the set-probe testing           |
| 342 | method (i.e., number of probes > 1, see, Matsukura & Vecera, 2015). We acknowledge that                  |
| 343 | the detailed processes should be different between a single-probe test and a set-probe test.             |
| 344 | However, once again, it is reasonable to assume that participants would naturally use a                  |
| 345 | single-item focusing strategy in a single-cue trial even if a set-probe testing method is                |
| 346 | employed (Matsukura & Vecera, 2015). Then, as far as the probabilities of the binomial                   |
| 347 | distributions (i.e., $p_{focus}$ , and $p_{unfocus}$ ) are determined on the basis of the single-cueing  |
| 348 | effect of the participants from Matsukura and Vecera (2015), we can safely argue that the                |

outcomes of Equation (3) reflect the real underlying (hidden) processes of single-itemfocusing in a set-probe test.

351

#### 352 Lower Cue-Validity Level

353 Can this strategy-based account hold even if the cue-validity level is low? The 354 simulation above assumed the case of the maximum cue-validity (100%). Therefore, the 355 probability for one (*j*-th item) of the cued items to be probed  $(p_{probe})$  was equal to one divided by the number of cues (Equation 2). However, some studies have investigated cueing 356 effects when the cued items did not have a higher chance to be probed than the un-cued items 357 358 (e.g., Experiment 3 of Li & Saiki, 2014). When simulating such a situation, one may think 359 that Equation (2) should be changed to one divided by the number of studied items, rather 360 than the number of cues. As a result, the simulation outcome would also change. However, 361 we argue that the simulation outcome does not change. The reason lies in the accuracy 362 scoring method in the multi-cued condition from the human experiments. Specifically, mean 363 accuracy in the multi-cue condition per participant is calculated only from the cue-valid trials, 364 where the cued item(s) are probed. In contrast, when an un-cued item is probed, the response 365 in such a cue-invalid trial is not included when computing the mean accuracy of a participant 366 in the multi-cued condition. This is a standard scoring method especially when contrasting

| 367 | accuracy in the multi-cue and neutral-cue conditions (e.g., Li & Saiki, 2014). As far as this     |
|-----|---|
| 368 | standard scoring method is taken, Equation (2) holds without an amendment. This is because        |
| 369 | in the cue-valid trials, the chance for a single focused-item to be probed is equal to one        |
| 370 | divided by the number of cues. As we already showed in the simulation of Li and Saiki             |
| 371 | (2014) in Figure 1, the chance rate to obtain a statistically significant multi-cueing effect was |
| 372 | much higher than 5%. In other words, a single-item focusing strategy is still an effective        |
| 373 | strategy to increase the accuracy in the multi-cues condition than the neutral-cues condition     |
| 374 | even when cue validity is low.  |

### 376 Interaction as a Counterargument for a Single-Item Focusing Strategy?

377 Finally, one can argue that boundary conditions (i.e., interactions with another factor) 378 are incompatible with the single-item focusing strategy account. Specifically, if participants 379 focused on only one of the cued items, then the conditions of another factor such as spatial 380 positions/distances between cues should not have made any difference for the multi-item 381 cueing effect (see, Heuer & Schubö, 2016, for such an explanation). However, if this strategy 382 results in a statistically significant difference being obtained in a probabilistic manner, then it 383 is also probabilistic to obtain a significant difference in one condition whilst not to obtain it in 384 the other condition (i.e., the interaction). Thus, it is necessary to demonstrate actual

385 probabilities to obtain such a discrepancy under the scenario when a single-item focusing386 strategy is employed.

387 The Monte-Carlo simulation strategy is as follows: We reiterated the abovementioned 388 simulation of Heuer & Schubö (2016) once again, but this time the multiple-cue trials were 389 divided (i.e., into two 'conditions') simply in terms of whether each trial was odd-numbered 390 or even-numbered. Then, accuracy in each condition was compared to that in the neutral-cue 391 condition. If a significant difference from the neutral-cue condition is detected in one 392 condition whilst not in the other condition, then such a dissociation is regarded as an 393 interaction. After repeating the same procedure 100 times, we calculated the rates for such a 394 dissociation.

As a result, the rates for obtaining a dissociation was 0.3 (30%). We simulated the situation where a single-item focusing strategy was adopted, and the double-cue trials were randomly divided into two. As a result, there was a higher chance than 5% to obtain an interaction. Since both a focused item and a probed item were selected randomly from the cued items, it was also probabilistic either to obtain a significantly higher accuracy than the neutral-cues condition or not to obtain it.

401 Taken together, when interpreting a statistically significant multi-item retro-cueing
402 effect, it is important to consider the extent to which a single-item focusing strategy can
403 account for the outcome. The crucial factor is the effect size of the single-item retro-cueing

effect. If it is too high, one needs to be cautious. Of course, there is no evidence to conclude
that participants in past studies covertly applied such a strategy. It is also important to note
that we are not arguing that genuine multi-item cueing is not possible. Instead, stronger
support for a significant multi-item retro-cueing effect may be obtained by increasing the
likelihood for attentional allocation towards multiple cued items, and capturing evidence of
participants' efforts to do so.

410 Therefore, to collect such evidence, we conducted two new experiments with human 411 participants by attempting to control gaze positions during the maintenance phase. 412 Specifically, during the whole maintenance phase, participants were instructed to fixate their 413 gaze across all the spatial positions where the cues had appeared. We know that participants 414 can follow instructions to strategically adjust their attentional focus between items in working 415 memory tasks (e.g. Allen & Ueno, 2018; Atkinson et al., 2022; Allen et al., 2024 for a 416 review). The rationale behind applying such an approach in the present study is based on an 417 active and facilitatory role of gaze position in memory after the offset of the studied items 418 (Ferreira et al., 2008; Johansson & Johansson, 2014; Laeng et al., 2014; van Ede et al., 2019). 419 Relatedly, Loaiza and Souza (2022) found that instructed gaze fixation to the cued positions 420 led to higher recall accuracy of the cued (i.e., gazed) position. Evidence of direction of gaze 421 fixation across cued positions would be a clear indicator that participants followed the

422 instruction to attend all multi-cued items. We therefore further conducted Study 2B to

423 replicate outcomes from Study 2A, and also to collect direct evidence for gaze positions

424 through eyetracking. A significant multi-item retro-cueing effect in this context, along with 425 evidence of gaze direction to multiple cued locations, would offer a stronger indication of real multi-item cueing effects than have been observed to this point. 426 427 Three points should be made regarding our gaze-based approach. First, this cannot 428 firmly rule out the possibility that participants covertly focus attention on a single item, 429 independent of gaze fixation. However, with evidence for gaze position, we can safely reject 430 an account in which participants exclusively focused on only one of the multi-cued items. 431 Namely, we can argue that at least an overt form (i.e., gaze-based) of attention is allocated to

432 multiple representations. Second, gaze fixation at multiple positions does not necessarily

433 mean that the representations from those positions are activated simultaneously in each trial

434 (see, Orts & Olivers, 2020, for raising this issue in the visual search domain). Rather, the

representation for each item would be re-activated (or refreshed) sequentially as gaze is
fixated to each position. This sequentiality vs. simultaneity question will be discussed further

437 after analysis of the eyetracking data. Third, and relatedly, while gaze fixation at multiple

438 positions clearly rejects a deliberate single-item focusing strategy, it does not necessarily

439 mean that both cued items are activated and enhanced by gaze-based attention during the

440 retention interval. Instead, only one of the fixated items might actually benefit from gaze-

based attention. This issue will be further discussed by examining the relationship between

442 accuracy and fixation duration.

#### **Studies 2A and 2B: Human Experiments**

29

#### 445 **Aim and Rationale**

446 In Study 2A, we aimed to investigate the multi-item retro-cueing effect whilst explicitly instructing the participants to fixate their gaze across all the multiple cued-447 448 positions. In Study 2B, we aimed to replicate the findings of Study 2A as well as gleaning 449 further evidence for fixating gazes across all the multiple spatial positions using eye-tracking. 450 Our principal focus was on whether performance in the double-cue condition would be 451 superior to that observed in neutral cue trials when a single-item focusing strategy, at least in 452 the overt form (i.e., gazed-based attention), was explicitly discouraged. For the eye-tracking 453 outcomes in Study 2B, we also examined whether gaze was directed to cued locations for a 454 longer period than to uncued locations during double-cue trials.

455

456 Methods

#### 457 Transparency, Openness (Data Availability, Pre-registration), and Participants

459

We report the sampling plan, all manipulations, and all measures in the study. All the data, analysis code, and research materials are available online

460 (DOI 10.17605/OSF.IO/DRBXT). We used R (R Core Team, 2017) with power.t.test

- 461 function to perform the power analysis. We preregistered the sampling plan and the analytic
- 462 strategies of two studies beforehand (AsPredicted: <u>https://aspredicted.org/OIA\_IZA</u>).

463 However, for transparency, we note that the studies reported here were conducted in 2018,

464 with subsequent delays arising due to COVID19 complications. In other words, the effect

size used in the power analysis (i.e., within-group Cohen's *d* for the multi-cues vs. neutral-

466 cues conditions) was determined based on the available literature at the time of pre-

467 registration. Given the literature has developed since then (e.g., DiPuma et al., 2023), it is

468 informative to conduct a sensitivity power analysis (e.g., Perugini et al., 2018) with the

469 sample size that we collected. As a result, the minimum effect size that our study (N = 32)

470 was sensitive to detect was Cohen's d of 0.51 (power = 0.8, alpha = 0.05). This effect size is

471 common in the working memory literature. Thus, our original pre-registered sampling plan

472 was appropriately powered to detect effect sizes of interest in these studies.

- In each study, we continued data collection until 32 participants completed the experiment. There were 9 females and 23 males in Study 2A; 12 females and 20 males in Study 2B. The mean ages (and *SD*) were 19.15 (1.05) in Study 2A and 19.81 (1.06) in Study 2B. None of these participants were excluded due to the pre-registered criteria: i.e.,
- 477 participants whose mean response time (averaged over all the trials) was below 400ms; who

| 478 | did not complete the study; who encountered a PC problem; and whose overall mean               |
|-----|--|
| 479 | accuracy was 2.5 SD above/below the mean in each condition. We did not pre-register any        |
| 480 | trial-level exclusion criteria. Thus, all the data were submitted to the analysis. All the     |
| 481 | participants were from Takachiho University, Japan. They took part in the 45-minute            |
| 482 | experiment and were paid (1,000 Japanese Yen) for their participation. All had normal vision   |
| 483 | and discrimination ability for the colors. The experimental protocol had been submitted to the |
| 484 | dean of Takachiho University in advance, who was also the chair of the ethical committee       |
| 485 | and approved of the protocols. All the participants gave written informed consent.             |
| 486 |  |

487 Design, Materials, and Procedure

#### 489 Figure 2

### 490 Flow of a Trial in Study 2A and Study 2B





| 498 | Study 2A. This experiment followed a repeated-measures design, with the number of                                    |
|-----|--|
| 499 | retro-cues (one, two, or six) as the within-participant factor. Testing was controlled using a                       |
| 500 | HSP3 (Hot Soup Processor, ver.3) program (http://hsp.tv/). The materials and the flow of a                           |
| 501 | trials (Figure 2) were the same as Makovski and Jiang (2007), except for the longer duration                         |
| 502 | of the maintenance phase after the offset of the retro-cues, and the testing method (see later).                     |
| 503 | Each trial began with a warning cross (500ms), followed by a blank screen (300ms). Then, on                          |
| 504 | each memory display, six coloured circles (diameter = 1.31°) appeared for 1,000ms                                    |
| 505 | equidistantly on an invisible circle (diameter = 9.84°), centred around the screen center. The                       |
| 506 | background color was black. The colors of the six circles were randomly selected without                             |
| 507 | replacement from <i>green</i> (RGB= 0, 255, 0), <i>red</i> (255, 0, 0), blue (0, 0, 255), <i>light blue</i> (0, 255, |
| 508 | 255), purple (255, 0, 255), yellow (255, 255, 0), gray (127.5, 127.5, 127.5), brown (204, 102,                       |
| 509 | 0), and orange (255, 165, 0). Before the experiment, all the participants were shown the nine                        |
| 510 | coloured circles and confirmed that they were able to discriminate each color easily. After the                      |
| 511 | offset of the studied items, a blank screen (1000ms) was inserted. Then, one, two, or six                            |
| 512 | peripheral attentional cues appeared for 100ms in the form of open circles (diameter = 1.31°,                        |
| 513 | line-color = white, filled color = black). Each represented the single-cue condition, multiple-                      |
| 514 | cues condition, and neutral-cues condition, respectively. The number of trials for each of                           |
| 515 | three cueing conditions was 45 in Study 2A (135 trials in total). The spatial positions of the                       |
| 516 | cues were randomly selected from the six studied items' positions. The instruction was                               |
| 517 | crucial in this study: Participants were informed that only the cued locations would be                              |

| 518 | probed, and therefore they were instructed to focus on the items that had appeared at the             |
|-----|---|
| 519 | retro-cued locations and to ignore the items at the un-cued locations. Thus, overall probability      |
| 520 | that a cued item would be probed was 100% (though this was obviously reduced for each                 |
| 521 | individual item in the multi-cue conditions). Participants were also instructed that when two         |
| 522 | cues appeared, one of these cued positions was always probed, and therefore they should               |
| 523 | focus on both (this word was highlighted in red in the instruction screen) items that had             |
| 524 | appeared at the retro-cued locations. When all the six positions were cued (i.e., neutral-cues),      |
| 525 | the participants were instructed to focus on all the studied items as the cues did not predict        |
| 526 | the position of the probe. Moreover, the participants were instructed to fixate their gaze on all     |
| 527 | the cued positions during the maintenance phase (i.e., after the offset of cues and before the        |
| 528 | onset of a probe). To facilitate this gaze distribution, we made this duration longer (1,000ms)       |
| 529 | than Makovski and Jiang (2007), who set this duration at 400ms.                                       |
| 530 | At the end of each trial, a single probe appeared at one of the cued position(s). Whilst              |
| 531 | Makovski and Jiang (2007) used a single-probe recognition paradigm, we added a recall                 |
| 532 | probe as well, where a participant was required to recall the color in Study 2A. The response         |
| 533 | method involved selecting the color of the target item by a keypress. To aid this response,           |
| 534 | different colored patches were attached to each of 9 keys on the keyboard ("1" ~ "9"). This           |
| 535 | was done to address the issue of sensitivity. Compared to the 2-alternative choice in probe           |
| 536 | recognition, chance rate is much lower for recall from nine-possible colors. Therefore, there         |
| 537 | were three types of probes in the test phase: recall probe (i.e., a white, filled circle), a positive |

| 538 | recognition probe (i.e., a filled circle whose color was the same as the studied item in the      |
|-----|---|
| 539 | probed position), and a negative recognition probe (i.e., a filled circle whose color was         |
| 540 | randomly selected from one of the three, un-presented colors at that trial). These three types    |
| 541 | of probes appeared with equal probability (i.e., 33.33% for recall and 66.66% for                 |
| 542 | recognition), randomly intermixed within blocks. If a colored circle appeared as a probe, then    |
| 543 | participants were required to press "s" (same) or "d" (different). If a white, filled circle      |
| 544 | appeared as a probe, then the participants were required to select the color of the target item   |
| 545 | in that position by a keypress. The probe remained on screen until a keypress response was        |
| 546 | made. Speed was not required, and accuracy was emphasized. At every trial, participants           |
| 547 | were engaged in an articulatory suppression (saying 'da', 'da',) until a key press.               |
| 548 | Study 2B. In Study 2B, we focused on the recall testing method to optimise                        |
| 549 | sensitivity and avoid the higher chance rate of the recognition probe. Thus, all the probes       |
| 550 | were white, filled circles. The number of trials was 90 in total (i.e., 30 trials for each of the |
| 551 | single-cue, multi-cues, and neutral-cues conditions). Moreover, in Study 2B, we measured the      |
| 552 | gaze fixations by using an eye-tracker (Tobii Pro Glasses 2) during the whole experimental        |
| 553 | session. The sampling rate was 50Hz.  |
|     |   |

## 556 **Results and Discussion**

### 557 Accuracy

# 558 Figure 3

## 559 Mean Accuracy and Individual Plots in Studies 2A and 2B





represent standard error of mean. \* p < .05. \*\* p < .01. \*\*\* p < .001. \*\*\*\* p < .0001. n.s.</li>
non-significant.

565

| 566 | Figure 3 shows the mean accuracy rates in Studies 2A and 2B (left panel: recognition                                 |
|-----|--|
| 567 | trials; middle panel: recall trials in Study 2A; right panel: recall trials in Study 2B). A series                   |
| 568 | of one-way repeated-measures ANOVA on each accuracy rates revealed significant main                                  |
| 569 | effects of cue condition on recognition accuracy in Study 2A (Panel A), $F(2, 62) = 15.211$ , p                      |
| 570 | < .0001, on recall accuracy in Study 2A (Panel B), $F(2, 62) = 20.594$ , $p < .0001$ , and on                        |
| 571 | recall accuracy in Study 2B (Panel C), $F(2, 62) = 33.481, p < .0001.$   |
| 572 | A pre-registered planned comparison revealed that a single-item retro-cueing effect                                  |
| 573 | (single-cue vs. neutral-cues) was significant on recognition accuracy in Study 2A, $t(31) =$                         |
| 574 | 5.249, $p < .0001$ , Cohen's $d = 1.031$ , its 95% $CI = [0.495, 1.567]$ , on recall accuracy in Study               |
| 575 | 2A, <i>t</i> (31) = 6.722, <i>p</i> < .0001, Cohen's <i>d</i> = 1.258, 95% <i>CI</i> = [0.706, 1.810], and on recall |
| 576 | accuracy in Study 2B, $t(31) = 8.020$ , $p < .0001$ , Cohen's $d = 1.832$ , 95% $CI = [1.229, 2.435]$ .              |
| 577 | Thus, a robust single-item retro-cueing effect was replicated in our study, for both                                 |
| 578 | experiments.   |
| 579 | Regarding the multi-item retro-cueing effect (multi-cues vs. neutral-cues), a pre-                                   |
| 580 | registered planned comparison revealed that the accuracy difference was not significant on                           |
|     |  |

581 the recognition measurement in Study 2A, t(31) = 1.030, p = .311, *n.s.*, Cohen's d = 0.222,

| 582 | 95% $CI = [-0.281, 0.726]$ . However, its effect was significant on the recall measurement both                     |
|-----|---|
| 583 | in Study 2A, <i>t</i> (31) = 3.747, <i>p</i> = .0007, Cohen's <i>d</i> = 0.768, 95% <i>CI</i> = [0.247, 1.289] and  |
| 584 | Study 2B, <i>t</i> (31) = 3.186, <i>p</i> = .0032, Cohen's <i>d</i> = 0.772, 95% <i>CI</i> = [0.251, 1.294]. A pre- |
| 585 | registered internal meta-analysis (Ueno et al., 2016) across the two studies by a random-                           |
| 586 | effect model (Cumming, 2012) found that the integrated effect size was Hedges' g of 0.663,                          |
| 587 | 95% $CI = [0.397, 0.928]$ on recall accuracy. Thus, whilst single-probe recognition could not                       |
| 588 | detect a significant multi-item retro-cueing effect, probed recall robustly did. Our preferred                      |
| 589 | interpretations regarding the differences between testing methods focus on their differing                          |
| 590 | chance levels and the relative involvement of internal control. A 2-alternative (old/new)                           |
| 591 | probe recognition test would be relatively noisy as the chance level is 50%. In contrast, the 9-                    |
| 592 | alternative color recall has a much lower chance level (around 11%), making a guessing                              |
| 593 | strategy far less effective and potentially increasing task sensitivity. Indeed, in the recognition                 |
| 594 | trials, the mean accuracy minus 1SD was below 50% (chance level) both in the multi-cues                             |
| 595 | condition (49.62%) and in the neutral-cues condition (47.53%), indicating that performance                          |
| 596 | levels in the recognition trials were relatively close to floor. Moreover, relative to                              |
| 597 | recognition, recall is likely to involve a more effortful, demanding, and internally driven                         |
| 598 | retrieval process (e.g. Allen et al., 2018; Craik & McDowd, 1987; Craik et al., 1996), and so                       |
| 599 | could be more influenced by selective attentional effects, though retro-cue benefits are of                         |
| 600 | course observed on recognition measures (Souza & Oberauer, 2016, for a review). Related to                          |
| 601 | this, small effects may be easier to be detected using more difficult tasks, in general.                            |

602 Next, we should also note a possibility that a multi-item retro-cueing effect might be 603 obtained only when the two cues were presented in adjacent positions. If this is the case, a 604 more straightforward account for the effect would be chunking/grouping of the two cued 605 items into one rather than assuming successful attention to two items. Indeed, Heuer and 606 Schubö (2016) observed that spatial distance of the cued items can modulate the multi-item 607 retro-cueing effect. To address this concern, we divided the double-cue trials in terms of 608 whether the cued positions were adjacent or not. As a result, there was not a significant 609 difference on accuracy between the adjacent trials (M = 49.47%, SD = 15.97%) and the non-610 adjacent trials (M = 44.44%, SD = 13.75%), t(31) = 1.476, p = .150, n.s. Accuracy in each 611 case was significantly higher than that in the neutral-cue condition (ps < .05). Therefore, 612 although there might be a chunking/grouping mechanism for the cued items in case of the 613 adjacent positions, such an account cannot fully explain the higher accuracy in the double-cue 614 condition than the neutral-cue condition.

615

#### 616 Monte-Carlo Simulation on Our Accuracy Pattern

Finally, it is useful to establish whether the significant findings observed in our
Studies 2A and 2B may also be explained in terms of a single-item focusing strategy as was

| the experimental parameters derived from our Studies 2A and 2B. As a result, the chance<br>rates were 44% to obtain a significant multi-cueing effect when only one of the cued items<br>was strategically focused on, an estimated rate that was equivalent to those we established<br>existing literature. Thus, despite the instruction to attend multiple items in terms of eye<br>movements, the accuracy patterns could in principle be caused by single-item enhancemer<br>We return to this issue after analyzing the gaze data in the next section. | 619 | suggested in the Study 1 simulations. Thus, we conducted the Monte-Carlo simulation with        |
|--|-----|---|
| rates were 44% to obtain a significant multi-cueing effect when only one of the cued items<br>was strategically focused on, an estimated rate that was equivalent to those we established<br>existing literature. Thus, despite the instruction to attend multiple items in terms of eye<br>movements, the accuracy patterns could in principle be caused by single-item enhancemer<br>We return to this issue after analyzing the gaze data in the next section.  | 620 | the experimental parameters derived from our Studies 2A and 2B. As a result, the chance         |
| <ul> <li>was strategically focused on, an estimated rate that was equivalent to those we established</li> <li>existing literature. Thus, despite the instruction to attend multiple items in terms of eye</li> <li>movements, the accuracy patterns could in principle be caused by single-item enhancement</li> <li>We return to this issue after analyzing the gaze data in the next section.</li> </ul>   | 621 | rates were 44% to obtain a significant multi-cueing effect when only one of the cued items      |
| <ul> <li>existing literature. Thus, despite the instruction to attend multiple items in terms of eye</li> <li>movements, the accuracy patterns could in principle be caused by single-item enhancemer</li> <li>We return to this issue after analyzing the gaze data in the next section.</li> </ul>   | 622 | was strategically focused on, an estimated rate that was equivalent to those we established for |
| <ul><li>movements, the accuracy patterns could in principle be caused by single-item enhancement</li><li>We return to this issue after analyzing the gaze data in the next section.</li></ul>  | 623 | existing literature. Thus, despite the instruction to attend multiple items in terms of eye     |
| 625 We return to this issue after analyzing the gaze data in the next section.   | 624 | movements, the accuracy patterns could in principle be caused by single-item enhancement.       |
|  | 625 | We return to this issue after analyzing the gaze data in the next section.                      |

626

#### 627 **Fixation**

628 Gaze Analysis. We used Tobii Pro Lab Analyzer software (Tobii Pro AB, Stockholm, 629 Sweden) for eye-tracking analysis in Study 2B, following the method of Jongerius et al. 630 (2021). Tobii Pro Glasses 2 captured the visual environment in which each participant was 631 looking and Tobii Pro Lab Analyzer allows analysis of this gaze information. The manual 632 analysis on this software starts with taking a snapshot of the representative video frame that 633 captures the areas that one wants to analyze (i.e., PC screen in our case). Then, onto this 634 snapshot, we manually drew six regions of interests, using an area-of-interest tool. In our 635 case, we first drew an invisible hexagon on the PC screen within the snapshot, such that 636 tangents of the six circles (i.e., six targets or six cues) were the mid-points of the six sides of

637 the invisible hexagon. Then, this hexagon was divided into six isosceles triangles whose three 638 apexes were the center of the invisible hexagon (i.e., center screen) and the apexes of the 639 hexagon, respectively. The resultant six isosceles triangles were the six areas of interest and 640 are shown in the top-row of Figure 4. 641 Once the areas of interests are drawn on the snapshot, then one can map eye gaze data 642 during the time of interests (in our case, 1,000ms between the offset of the cues and the onset of a probe) onto the snapshot by using the Automatic Mapping Function. Then, various gaze 643 644 information can be estimated in each time window per an area of interest. Since we aimed to visualize the distribution of gazes across the cued positions, we estimated the duration of 645 fixations in each area of interest during the time of interest. The definition of a fixation varies 646 depending on studies, but we used the default I-VT (Velocity-Threshold identification 647 648 fixation filter) of Tobii Pro Lab Analyzer (Komogortsev et al., 2010; Salvucci & Goldberg, 649 2000). Specifically, the I-VT fixation classifier applies an angular velocity threshold (30 650 degrees/second) on each data point. Data points with angular velocity below the threshold 651 value were classified as being part of a fixation.

652

653

#### 654 Figure 4

- 655 Areas of Interests (top) and Fixation Durations in Each Area in the Double Retro-Cues
- 656 Condition.



| 659 | <b>Outcome.</b> Figure 4 shows the areas of interests where fixation durations were analyzed (top |
|-----|---|
| 660 | row), and the duration of fixations in each area after double-cues were presented. The figure     |
| 661 | for the single-cueing condition is available online (DOI 10.17605/OSF.IO/DRBXT). The              |
| 662 | fixation data were averaged over the trials per participant and then averaged over participants.  |
| 663 | The bars and the circles are shown in red when a cue had appeared at that spatial position.       |
| 664 | This clearly shows that the mean fixation values in the multi-cued positions (red) were higher    |
| 665 | than the un-cued positions. However, to echo the focus of the Monte Carlo simulation in           |
| 666 | Study 1, this averaged data pattern over the trials might emerge even if a participant focused    |
| 667 | on only one of the multiple cued positions within a trial. For example, suppose positions 1       |
| 668 | and 2 were cued, and then a participant's gaze was only fixated to position 1 in trial $n$ and    |
| 669 | position 2 in trial $n+1$ . Averaging these two trials would still result in longer fixation      |
| 670 | durations for positions 1 and 2, relative to other positions, again potentially producing         |
| 671 | artificial evidence for multi-cueing. It is important to show that each of the two cued           |
| 672 | positions received longer fixation durations than the uncued positions within a trial. Thus, we   |
| 673 | sorted the fixation data on the two cued positions within a multi-cued trial, and categorized     |
| 674 | the data as follows: (1) the longer fixation duration among the two cued positions, (2) the       |
| 675 | shorter fixation duration among the two cued positions, and (3) the fixation duration for the     |
| 676 | un-cued positions. Figure 5 shows the mean of these sorted and categorized fixation               |
| 677 | durations, averaged across trials and participants. Interestingly, the mean gaze fixation         |

| 678 | durations on the two cued positions were typically not equivalent (i.e., 450.74 ms for the              |
|-----|---|
| 679 | longer fixation and 110.51 ms for the shorter fixation). Nevertheless, even the shorter-fixated         |
| 680 | cued position received a longer duration of gaze fixations than the uncued positions                    |
| 681 | (48.83ms), $t(31) = 3.280$ , $p = .003$ , Cohen's $d = 1.065$ , $95\% CI = [0.526, 1.603]$ . Therefore, |
| 682 | each of the cued positions received longer fixation durations than the un-cued positions,               |
| 683 | indicating participants followed the instruction to attend both cued positions. In other words,         |
| 684 | in contrast to the prior literature, we can confidently argue that participants deliberately            |
| 685 | avoided a single-item focusing strategy, at least in terms of overt (i.e., gaze-based) attention.       |
| 686 | We discuss this issue further in the general discussion in conjunction with the simulation              |
| 687 | outcomes for our accuracy data.   |
|     |   |

#### 690 Figure 5

691 *Mean Fixation durations on the two cued positions (sorted by fixation length within a trial)* 



692 *and those on the uncued positions.* 

694 Note. Y-axis error bars represent standard errors of means

695

693

The imbalanced fixation durations for the cued items in Figure 5 indicate that although multiple cued items were being attended, rather than allocating equal attention to each cued item, a spontaneous form of prioritization may have been applied to one of the two cues. This might be part of the reason why the literature has difficulty in finding reliable multi-item retro-cueing effect, particularly when retro-cues were presented simultaneously (e.g., Makovski & Jiang, 2007; see also Delvenne & Holt, 2012; Heuer & Schubö, 2016;
Matsukura & Vecera, 2015, for a significant multi-item retro-cueing effect only when a
specific boundaty condition was satisfied).

704

### 705 Further Analyses on the Imbalanced Fixation Durations between the Cued Positions

706 The imbalanced fixation durations between the two cued positions motivated us to 707 further investigate the differences between the cued positions. Thus, although not preregistered, we conducted the following exploratory analyses. The first involves the order of 708 709 gaze visits. Specifically, we investigated whether the first-fixated cued item or the second 710 item tend to receive the longer fixation. The left panel of Figure 6 shows the total gaze 711 fixation durations for the multi-cued spatial positions, divided in terms of whether each cued 712 position was first-fixated or second-fixated. As a result, the first-fixated cued position 713 received approximately 410ms of fixation durations in total (averaged over the trials and over 714 the participants) whilst the second-fixated cued item received approximately 140ms of 715 fixation durations. Thus, participants spent longer looking at the first-fixated position. Related to this, the right panel of Figure 6 also shows the split data in terms of the order of 716 717 the gaze fixation visit, but this one shows only the fixation durations during the initial visit to 718 each position. Extracting the fixation durations only for the initial visit time is informative as

| 719 | some participants may visit the same position multiple times during the retention interval. As    |
|-----|---|
| 720 | a result, the gaze fixation duration in the initial visit was approximately 300ms on average in   |
| 721 | the first-fixated cued position whilst 135ms on average for the second-fixated cued position.     |
| 722 | In other words, the difference between the left and the right panels of Figure 6 indicates the    |
| 723 | gaze fixation duration at the second (and subsequent) visit to each position (i.e.,               |
| 724 | approximately 110ms on average in the first-fixated cued position whilst only 5ms in the          |
| 725 | second-fixated cued position). Taken together, we can characterize gaze patterns as follows;      |
| 726 | the first-fixated position received a longer duration of gaze fixations (300ms) at the initial    |
| 727 | visit, after which the second one received a relatively shorter duration of gaze fixations        |
| 728 | (135ms on average), after which gaze briefly returned to the first position (110ms on average     |
| 729 | - i.e., the difference between the left and the right halves of Figure 6). Thus, overt gaze-based |
| 730 | retrospective attention seems to be allocated to multiple positions sequentially.                 |

### 733 Figure 6

734 Mean fixation durations to the two cued positions (divided in terms of the order of fixation





Note. Panel A (left) shows the total fixation duration in each trial; Panel B (right) shows
fixation duration at the time of initial visit to each position. Y-axis error bars represent
standard error of mean.

740

A tempting idea from these outcomes is that the first-fixated item, whose position received longer fixation durations, may show higher accuracy when it is probed. We tested this in two ways. First, we split the accuracy data in the multi-cues condition in terms of

| 744 | whether the probed position was first-fixated ( <i>Mean</i> accuracy = $46.90\%$ , <i>SD</i> = $13.89\%$ ) or |
|-----|---|
| 745 | second-fixated (Mean = 45.47%, SD = 14.12%). A paired t-test did not detect a significant                     |
| 746 | difference, $t(31) = 0.514$ , $p = .611$ , $d = 0.102$ , 95% $CI = [-0.40, 0.60]$ . Secondly, Figure 7        |
| 747 | plots the relationship between accuracy in each trial (a binary measure) and the total fixation               |
| 748 | duration on the probed position, including only the cued and probed position. To examine the                  |
| 749 | effect of fixation duration, we conducted generalized linear mixed-effect modelling. The                      |
| 750 | fixed effect was the fixation duration; the response variable was accuracy; the random                        |
| 751 | variables were participants (random-intercept and random-slope) and the probed position                       |
| 752 | (random-intercept). As a result, fixation duration did not significantly predict the log-odds of              |
| 753 | accuracy: coefficient = -0.0003, z-value = -1.438, $p$ = .150. The black curve in Figure 7                    |
| 754 | represents the logistic curve (fixed-effect only) estimated by the GLMM, appearing as a                       |
| 755 | straight line due to the non-significant effect of the predictor. The non-significant effect of               |
| 756 | predictor was found even when the data were split and analyzed by probed spatial position                     |
| 757 | (available online: DOI 10.17605/OSF.IO/DRBXT). Taken together, our data suggests that                         |
| 758 | fixation duration did not predict accuracy for cued items, and relatedly, the mean accuracy in                |
| 759 | the first-fixated position, which received longer fixation durations, was not significantly                   |
| 760 | different from that in the second-fixated position.   |
| 761 | Importantly, the equivalent accuracy rates between the first-fixated position and the                         |
| 762 | second-fixated position despite their imbalanced fixation durations can help inform regarding                 |
|     |   |

| 764 | fixating gazes at both positions demonstrates that participants made effort to avoid a single- |
|-----|--|
| 765 | item focusing strategy, but this does not necessarily mean that both items benefited from      |
| 766 | gaze-based attention. Instead, only one of them might benefit. However, if this were the case, |
| 767 | it would be expected that the item in the longer-fixated position would be enhanced, which     |
| 768 | was not the case. Instead, our interpretation is that both items were enhanced by the          |
| 769 | sequentially allocation of gaze-based attention. Rather than gaze order or duration, gaze      |
| 770 | visitation towards each position determines the enhancement of memory accuracy.                |
| 771 |  |

## 773 **Figure 7**

774 Trial-by-trial scatterplots for the relationship between fixation duration on the probed



775 *position and its accuracy.* 

777 Note. Each point was adjusted with a small amount of random jitter (along the y-axis) to

reduce overlap. The line represents the logistic regression curve (see main text).

779

780

## **General Discussion**



783 have speculated on the reasons for the inconsistent effects that have observed, and some have 784 attributed them to methodological variations between studies. We hypothesized that a singleitem focusing strategy might be one reason for the inconsistencies and aimed to estimate the 785 786 chance rates to obtain a statistically significant multi-item retro-cueing effect despite the use 787 of a single-item strategy. Study 1 employed a Monte-Carlo simulation and revealed that the 788 estimated chance rates were much higher than the conventional alpha level (5%) under the 789 experimental settings of the existing literature. Such a high rate is consistent with the 790 presence of mixed outcomes in the literature. The estimated chance rates represent the rate of 791 possible misinterpretation if statistical significance is interpreted as evidence for 792 retrospectively attending multiple items. Studies 2A and 2B investigated the multi-item retro-793 cueing effect in human participants, but this time a single-item focusing strategy was 794 discouraged by instructing distribution of gaze fixations across all the cued positions. Eye-795 tracking in Study 2B confirmed that participants followed this instruction in each trial (see 796 Figure 5). Thus, we collected plausible evidence that participants at least overtly attended to 797 multiple items in each trial. Moreover, although a 2-alternative recognition test could not find 798 a significant multi-item retro-cueing effect (indicating a relative lack of sensitivity), this 799 effect was found in cued recall across the two studies. 800 Overall, our simulation indicates that prior evidence for multi-item cueing might often 801 reflect a single item strategy. Possibly stronger evidence for a significant multi-item retro-

802 cueing effect can be found using cued recall and the explicit direction to fixate on all cued

| 803 | locations. Eye-tracking confirms this, while also uncovering more complex patterns of gaze           |
|-----|--|
| 804 | behaviour that do not directly map onto memory accuracy. Our study therefore at least                |
| 805 | somewhat reduces the possibility for a single-item focusing strategy account to explain the          |
| 806 | multi-item retro-cueing effects. However, these findings come with the caveat that simulation        |
| 807 | of our own accuracy data also indicated a relatively high chance rate for the apparent multi-        |
| 808 | cue effect on accuracy to in fact reflect a covert single item attentional focus within working      |
| 809 | memory. We will return to this possibility at the end of the discussion.                             |
| 810 | Among counterarguments or concerns regarding the explanatory power of a single-                      |
| 811 | item focusing strategy, two to consider are cue validity level and possible interactions with        |
| 812 | other factors. First, is a single-item focusing strategy effective even when cue validity level is   |
| 813 | low? Our Monte-Carlo simulation demonstrated that as far as the cue-valid trials are scored,         |
| 814 | the chance rates to obtain a significant multi-item retro-cueing effect by this strategy was still   |
| 815 | high even in the lower cue validity level (e.g., Li & Saiki, 2014). Secondly, one may be             |
| 816 | tempted to exclude this strategy account if the multi-item retro-cueing effect is modulated by       |
| 817 | another factor (i.e., is involved in an interaction), such as the spatial positions/distances of the |
| 818 | cues (e.g., Heuer & Schubö, 2016). However, we demonstrated a high chance rate for a                 |
| 819 | single-item focusing strategy to result in a significant multi-item retro-cueing effect in one       |
| 820 | condition alongside a non-significant effect in the other condition (i.e., to produce an             |
| 821 | interaction). Therefore, one should be cautious about interpreting multi-item retro-cueing           |
| 822 | effects even if these effects appear to be modulated by another factor.                              |

| 823 | When developing optimal measures to detect, analyze, and interpret multi-item retro-              |
|-----|---|
| 824 | cueing effects, what factors do we need to consider? First, task instructions should discourage   |
| 825 | the use of a single-item focusing strategy as much as possible, and one should obtain as much     |
| 826 | information as possible regarding the observance of the instruction, including gaze fixations.    |
| 827 | Another way might be to use a value-based prioritization instruction (e.g., Allen & Ueno,         |
| 828 | 2018; Atkinson et al., 2018; Hu et al., 2014; Allen et al., 2024, for a review) rather than       |
| 829 | cueing. Value-based prioritization may represent a lenient form of selective attention            |
| 830 | (Oberauer, 2019), and participants are assumed not to completely neglect the unprioritized        |
| 831 | items (Allen et al., 2024). For example, Allen and Atkinson (2021) found some evidence for        |
| 832 | retrospectively applied prioritization of the most recently encountered item in a sequence,       |
| 833 | though note that retrospective value effects may be somewhat smaller in magnitude than            |
| 834 | those of predictive retro-cueing (Hautekiet et al., 2024). Investigating possible multi-item      |
| 835 | retro-prioritization effects (i.e., two items receiving a higher reward) could be a useful future |
| 836 | development.  |

A second factor to bear in mind is the size of the single-cueing effect (i.e., single-cue condition vs. neutral-cues condition). The larger this effect is, the stronger the explanatory power of a strategy-based account is (see Table 1 and Figure 1). Interestingly, the data from a recently published article (DiPuma et al., 2023) seems to be consistent with this relationship. Specifically, three experiments in DiPuma et al. (2023) could not find a significant multi-item retro-cueing effect on color/orientation memory accuracy (i.e., the absolute angular 843 difference). However, if we look at the precision measurement (i.e., how precisely one can 844 recall the feature of the probed stimulus at a given trial: Bays et al., 2009), then it seems to be higher in the multi-cue condition than the neutral-cue condition in Experiments 1 and 3, but 845 846 such a tendency was not observed in Experiment 2. Interestingly, the effect sizes of the single-cueing effect were large in their Experiments 1 and 3 but small in Experiment 2. 847 We observed that overt gaze-based attention was allocated to multiple cued positions. 848 849 Additionally, our exploratory analyses revealed that gaze fixation durations were imbalanced 850 between the two cued positions and that a first-fixated position received longer fixation durations at the time of initial visit than the second-fixated item. Moreover, eye gaze 851 appeared to return to the first-fixated position, after the second-fixating position. Thus, overt 852 853 gaze-based retrospective attention is not allocated simultaneously, and is instead allocated 854 sequentially to each of the cued items. Furthermore, fixation duration did not predict memory 855 accuracy. Our interpretation is that gaze visit determines the cue-based enhancement of 856 accuracy, rather than gaze duration. Once gaze is fixated to each position, refreshing 857 processing (Souza et al., 2015) for that item starts. We note here that the non-significant 858 fixation-accuracy relationship is inconsistent with Loaiza and Souza (2022, Study 1B), who 859 found a positive relationship between the fixation durations and the acccuracy when eye gaze 860 to the sequentially-presented cued positions was explicitly instructed, though they presented 861 multi-cues sequentially whilst our study presented them simultanenously. Moreover, Loaiza 862 and Souza used a continuous scale for reporting the color of a memorized item whilst we

used a 9-alternative recall measure. These methodological differences may help explain thediscrepancies in findings.

865 What other implications might we draw regarding possible mechanisms underlying 866 retro-cueing effects? First, Makovski and Jiang (2007) discussed that a central bottleneck 867 operates in consolidation of visual working memory, such that only one item at a time is processed and the consolidation process interferes with the maintenance of other items 868 869 (Griffin & Nobre, 2003). We found a clear difference in accuracy between the single-cue 870 condition and the double cue condition. Thus, there may indeed be some forms of mutual 871 interference (or cost) to retrospectively attend multiple items (though it should be noted that 872 this comparison is confounded by probe validity and was not a primary focus of the current 873 work). Secondly, other studies provide more complex theories regarding working memory 874 and attention by providing boundary conditions for the multi-item retro-cueing effects 875 (Delvenne & Holt, 2012; Heuer & Schubö, 2016; Matsukura & Vecera, 2015), but such 876 complexity appears to be unnecessary. Moreover, some studies have found positive effects of 877 sequentially presented multiple retro-cues (Li & Saiki, 2014; Souza et al., 2015, but see Van 878 Moorselaar et al., 2015). Therefore, one might be tempted to speculate that different 879 principles apply depending on the presentation format of the cues. However, once again, such 880 complexity is likely unnecessary. Thus, an account for single-item retro-cueing effects may 881 be extended to multi-cueing without additional complexity. For example, Souza and 882 Oberauer (2016) identified the following four accounts to explain a retro-cueing effect:

retrospectively attended representations are strengthened; un-attended items are removed from working memory; a head-start retrieval is provided in the accumulation of evidence for the attended items; and attended items are more protected from interference. Although our studies cannot differentiate between these accounts, we would argue that they can potentially be extended to explain multi-item retro-cueing effects without additional assumptions.

However, despite the trial-based eye-tracking evidence illustrating direction of spatial 888 889 attention toward the locations of multiple cued items, simulation indicates that the overall 890 accuracy patterns, which were aggregated across trials and participants, could in principle be 891 produced by single item enhancement on each trial. This is because we cannot argue with 892 100% confidence that overt eye-movement necessarily translates into genuine multi-item 893 effects in working memory in a way that has measurable benefits on recall performance (see 894 also Figure 7). Thus, other forms of single-item focusing strategy rather than gaze-fixation 895 might have contributed to aggregated accuracy pattern. For example, one could argue that 896 participants looked at both positions because the experimenter instructed them to do so, but 897 that directed gaze did not play much of a role in enhancing accuracy. Instead, enhancements 898 in mean accuracy over trials in the multi-cue condition were driven by a covert single item 899 focusing strategy. Under this account, although participants clearly observed the instruction 900 to allocate overt gaze-based attention to multiple items, covert attentional processing within 901 working memory did not follow on from this. Such an account is inconsistent with findings in 902 the literature that have demonstrated an active and facilitatory role of gaze position in

903 memory after offset of studied items (Ferreira et al., 2008; Johansson & Johansson, 2014; 904 Laeng et al., 2014; van Ede et al., 2019, see also Loaiza & Souza, 2022, for the effect of 905 instructional gaze), but we cannot completely reject it as a possibility. This shows that, even 906 after adjusting task context and measurement methods to increase the probability of multi-cue 907 implementation, it remains challenging to clearly adjudicate between single and multiple item 908 interpretations. Thus, we see this as a call for the field to develop more sophisticated and 909 appropriate methods to derive more confident conclusions regarding genuine multiplicity in 910 working memory. Though not providing a perfect solution, our explicit instruction and gaze-911 monitoring approach forms the first step to disentangle at least an overt gaze-based allocation 912 of attention from single-item focusing strategies. Future studies should aim to specify and de-913 confound other forms of single-focus strategies to isolate genuine multi-cueing effects.

914

#### 915 **Conclusions**

There is mixed evidence in the literature regarding whether multiple retro-cues can facilitate working memory for each of the cued items. Our Monte-Carlo simulation indicated that a potentially misleading multi-cueing effect might emerge using a single item focus strategy, which could go some way to accounting for the inconsistent evidence to date. Two experiments then demonstrated that a multi-item cueing effect in aggregated accuracy data could indeed be observed with explicit instruction to direct gaze to all cued locations. Eyetracking confirmed engagement with this instruction. However, simulation suggests that our
observed accuracy patterns could in principle be produced by single-item enhancement on
each trial. Thus, future studies should aim to develop improved methods to disentangle
possible multi-item cueing from other forms of single item focusing strategies. Nevertheless,
with explicit instruction and gaze monitoring, the current study forms the first step to isolate
genuine retro-cueing multiplicity in working memory.

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