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1	Quantifying the effects of Climate and Environmental changes on
2	Evapotranspiration Variability in the Sahel
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17	Highlights:
18	 Significant increasing trends in annual ET in most parts of the Sahelian belt.
19	 Precipitation, humidity, and vapour pressure (climatic factors), and soil moisture and
20	NDVI (environmental factors) are the most important variables controlling increase in ET.
21	Vapour pressure has the highest positive elasticity score (2.58) while net radiation has the
22	highest negative elasticity score (-9.58) on ET.
23	The study covers the whole Sahelian belt from west to east making it to stand out from
24	previous studies in the region that cover mostly the western Sahel.

26 Abstract

Whilst considerable research has been carried out to understand the effects of reforestation on 27 evapotranspiration (ET), such studies are generally absent in the Sahel even though the region is 28 29 currently undergoing extensive reforestation to halt desertification and land degradation. The 30 objective of this study is to identify and quantify the dominant climatic and environmental factors 31 influencing ET variability in the Sahel. However, achieving this goal in the Sahel is hindered by a 32 lack of in situ monitoring data. To overcome this challenge, this study adopts geospatial datasets 33 along with analytical methods to assess the climatic and environmental factors affecting ET in 45 watersheds in the Sahel over a period of four decades (1982-2021). Analyses show significant 34 35 increasing trends in annual ET in more than 90% of the watersheds. Precipitation and mean temperature show significant increasing trends in all watersheds while windspeed, vapour pressure, 36 37 solar radiation, specific humidity, and atmospheric evaporative demand show mixed results with both increasing and decreasing trends in different watersheds. Environmental variables including 38 soil moisture, and vegetation cover measured using the normalised difference vegetative index 39 (NDVI) also show consistent increasing trends in all watersheds. Statistical analyses further show 40 41 that climatic and environmental factors contribute about 80% of ET variance. The relative contribution of climatic variables on ET variance is 75.11% while that of environmental variables 42 43 is 24.71%. This suggests that climatic factors have a higher influence on ET variability than environmental factors. Analyses using the double logarithm elasticity model show that vapour 44 pressure has the highest positive elasticity coefficient (2.58) on ET while net radiation has the 45 highest negative elasticity coefficient (-9.58). This is the first study to cover the whole Sahelian belt 46 47 from west to east and the results may be crucial for adopting climate-smart reforestation policies, 48 enhance regional water management and improve our knowledge of vegetation-hydrology-climate interactions in the Sahel. 49

Keywords: analytical methods; elasticity concept; partial correlation coefficient, least square and
double logarithm elasticity models; great green wall initiative; NDVI.

52 **1. Introduction**

Due to increased vulnerability caused by climate change and population growth, many dryland areas 53 are exposed to desertification and land degradation risk and ensuing contradiction between humans 54 55 and land (Sun et al., 2023). To achieve land degradation neutrality -which is one of the sustainable 56 development goals (SDGs) and enhance carbon sequestration, many dryland areas are undergoing 57 extensive reforestation/afforestation (Arneth et al., 2021; Schulze et al., 2021). Considering the vulnerability of the Sahel to desertification and land degradation (Mbow et al., 2017), the region is 58 currently undergoing vast reforestation through the Great Green Wall Initiative (GGWI) (Mbow, 59 2017). The objective of the GGWI is to restore 100 million hectares of degraded land through 60 reforestation, sequester 250 million tons of carbon and create 10 million green jobs by 2030 (Mbow, 61 2017; Goffner et al., 2019). Whilst many studies have been conducted in other regions undergoing 62 63 reforestation to understand the factors influencing actual evapotranspiration (ET) variability (Teuling et al., 2019; Yang et al., 2022; Zheng et al., 2022), such studies are generally lacking in 64 the Sahel. ET is an important component of regional energy cycle representing the complex 65 66 interaction between vegetation, climate, and landscape characteristics (Zhang et al., 2019; Yang et 67 al., 2021). It is also an important component of the water cycle with a strong influence on runoff and soil moisture availability and groundwater recharge (Guo et al., 2017; Yinglan et al., 2019; 68 69 Condon et al., 2020). Therefore, to achieve consistent, evidence-based allocation and management of water resources in the context of the GGWI, it is crucial to identify and quantify the climatic 70 (abiotic) and environmental (biotic) factors influencing ET variability in the Sahel. Such information 71 may be crucial for adopting climate-smart reforestation policies to support the implementation of 72 73 the GGWI, enhance water management given the strong links between water scarcity and violent 74 conflicts in the Sahel (Nkiaka et al., 2024) and improve our knowledge of vegetation-hydrology-75 climate interactions in the Sahel.

Several studies have assessed the climatic and environmental factors influencing ET
variability at different scales. For example, Feng *et al.* (2020) showed that ET is strongly influenced

78 by precipitation at the global scale, while potential evapotranspiration, terrestrial water storage, and catchment characteristics influence ET variability in energy-limited environments, arid and humid 79 regions respectively. Yang et al. (2022) reported that precipitation, temperature, and vegetation 80 81 cover are the dominant factors influencing ET variability in northwest China. Li et al. (2022) found 82 that precipitation, net radiation, and vapour pressure deficit exert greater control on ET variability in dry climates, tropical regions, and boreal mid-latitude regions respectively. Land use change, 83 precipitation, and potential evapotranspiration were found to be the main factors influencing 84 85 evapotranspiration across Europe (Teuling et al., 2019). Changing precipitation patterns and land cover have been reported to be the dominant factors affecting the components of the hydrological 86 cycle in the Amazon (Heerspink et al., 2020). 87

Different approaches have been used to study the effects of climatic and environmental 88 factors influencing ET. For example, Zheng et al. (2022) used the partial least squares structural 89 equation model to explore the relationship between ET and climatic and environmental factors. 90 Other studies adopted the Budyko framework (Teuling et al., 2019; Feng et al., 2020; Li et al., 91 92 2022), the elasticity concept (Wang et al., 2020; Yang et al., 2022) and the complementary 93 relationship (Brutsaert, 2015; Li et al., 2021) to analyse the effects of climate and vegetation changes on ET. Adeyeri and Ishola (2021) applied the time-frequency wavelet decomposition method and 94 95 partial correlation analysis to reveal the factors influencing ET in West Africa. Ndehedehe et al. (2018) used the independent component analysis to explore ET dynamics in sub-Saharan Africa. 96 Overall, most of the methods used for studying the relationship between ET and climatic and 97 environmental factors are data-driven analytical methods. Analytical methods use mathematical 98 99 equations based on the assumption that the catchment water balance remains under steady-state over 100 a long period of time (Dey and Mishra, 2017; Zhang et al., 2023). Advantages of analytical methods include: (1) simple model structure and (2) require minimum input data to produce results that are 101 102 practically useful for most hydrological applications (Hasan et al., 2018).

103 Despite the high sensitivity of Sahelian vegetation to climate variability and environmental change (Leroux et al., 2017), very few studies have investigated the factors influencing ET 104 variability in the Sahel while existing studies have focused mostly on the western Sahel. Meanwhile, 105 106 the effects of vegetation on ET are conspicuously ignored in exiting studies. For example, Adeyeri and Ishola (2021) showed that precipitation and atmospheric evaporative demand (AED) had a 107 greater influence on ET in the western Sahel. Ndiaye et al. (2021) revealed that ET in the western 108 Sahel is more sensitive to relative humidity, maximum temperature, and solar radiation. Ndehedehe 109 et al. (2018) attributed ET variability in the western Sahel to changes in precipitation, soil moisture 110 and temperature. The limited number of studies addressing this issue in the Sahel suggests that there 111 112 is a dearth of knowledge on the vegetation-hydrology-climate interactions which hampers the development of climate-smart reforestation practices in the context of the GGWI and regional water 113 114 management. To overcome the challenge of widespread in situ data scarcity in the region, this study leverages on the availability of a wide range of satellite-derived and reanalysis data. The main 115 advantage of such data is that they can provide high spatial resolution and long-term homogeneous 116 data for previously unmonitored regions at scales that are suitable for studying changes in the 117 hydrological cycle (Sheffield et al., 2018). 118

From the above, the objectives of this study are to: (1) analyse trends in annual ET, climatic and environmental (soil moisture and vegetation cover) variables, (2) identify the main climatic and environmental factors influencing ET and, (3) quantify the impact of climate and vegetation changes on ET across the Sahel.

123 **2.** Materials and Methods

124 **2.1. Study area.**

The Sahel stretches from Senegal to Eritrea with a length of about 8,000 km. It is a transitional zone located between the Sahara Desert to the north and the Sudanian-savanna to the south covering ten countries with a population of about 150 million (Figure 1). It is one of the world's largest waterlimited environments and ranked amongst the most fragile ecosystems in the world (Ghins *et al.*, 129 2022). The Sahel is remarkable because of a mega drought that affected the region from the 1970s to mid-1990 attracting much media coverage because of the humanitarian crisis that ensued from 130 the drought (Nicholson et al., 1998; Dai et al., 2004). Precipitation estimates from Climate Hazards 131 132 Group InfraRed Precipitation with Station data (CHIRPS) over four decades (1982-2021) show that annual precipitation varies from 71-200 mm/year in the north to about 700-1000 mm/year in the 133 south. The present study covers 45 watersheds nested within six major hydrological basins including 134 Senegal, Volta, Niger, Lake Chad, Nile, and Red Sea (Figure 1). The total surface area covered by 135 all the watersheds is about 3,021,412 km² ranging in size from 4095 km² (Senegal 4) to 541,267 km² 136 (Dallol Bosso). Most of the watersheds lie between Latitudes 12°N and 20°N. A full list of the 137 watersheds and their characteristics is available in Appendix A. Shapefiles of the watersheds are 138 collected from HydroSHEDs which provides a seamless global coverage of consistently sized and 139 140 hierarchically nested sub-basins using the high-resolution Shuttle Radar Topographic Mission digital elevation model (Lehner and Grill, 2013). HydroSHEDs shapefiles have been used in several 141 142 studies e.g., (Gebrechorkos et al., 2022; Zhang et al., 2023; Nkiaka and Okafor, 2024).



Figure 1: Map of the study region showing the different countries, major hydrological basins, and nested sub-basins and the Sahelian belt. Sub-basins are numbered from left to right. A full list of the sub-basins and their characteristics is available in Appendix 1.

147 **2.2. Data and aggregation**

Table 1 summarises the characteristics of the different datasets used in the study. The ET data used in the study has been validated in previous studies e.g., (Nkiaka *et al.*, 2022) and also used in several studies in the Sahel e.g., (Gbohoui *et al.*, 2021; Hernández *et al.*, 2021). CHIRPS, AgERA5, GLEAM Soil moisture and NDVI data from MODIS have been validated and also used extensively in other studies in the region e.g., (Fensholt *et al.*, 2009; Wang *et al.*, 2023; Asante *et al.*, 2022; Dembélé *et <i>al.*, 2020). A complete description of the different datasets is available in their respective references

154 provided in Table 1.

Variable type	Product name and reference	Spatial resolution	Temporal resolution
Sub-basin (km ²⁾	HydroSHEDs, (Lehner and Grill, 2013)	-	-
Precipitation	CHIRPS (Funk et al., 2015)	0.05°	Daily
Evapotranspiration (ET)	TerraClimate (Abatzoglou <i>et al.</i> , 2018)	0.041°	Monthly
Atmospheric evaporative demand (AED)	TerraClimate (Abatzoglou <i>et al.</i> , 2018)	0.041°	Monthly
Mean temperature	AgERA5 (Boogaard, 2020)	0.1°	Daily
Solar radiation		0.1°	Daily
Windspeed		0.1°	Daily
Vapour pressure		0.1	Daily
Specific humidity	CFSR (Saha et al., 2014)	0.19°	Daily
Soil moisture (0- 100 cm)	GLEAM (Martens et al., 2017)	0.25°	Daily
Vegetation cover (NDVI)	MOD13A1 V6 (Didan, 2015)	500m	16 days

155 Table 1: Characteristics of products and data used in the study.

156

The climatic and environmental variables were downloaded at annual timescale at their respective native spatial resolutions using the Climate Engine research App [https://app.climateengine.com] (Huntington et al., 2017). Watersheds shapefiles can be uploaded to Climate Engine directly from a computer folder or using the Google Earth Engine interface through a custom user account. Soil moisture data are downloaded from GLEAM and processed using OriginPro software. All the data were downloaded as spatial average over each watershed.

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2.3. Trend analyses

The non-parametric Mann-Kendall test and Sen's slope estimator are used respectively for trend 166 analysis and to quantify trend magnitude and significance. The Mann-Kendall test and Sen slope 167 168 estimator are widely used for detecting the presence of statistically significant increasing or decreasing trends in hydroclimatic time series and have been used in several studies in the region 169 e.g., (Nkiaka et al., 2017). Although several different Mann-Kendall tests exist, e.g., (Güçlü, 2020), 170 the study adopted the classical Mann-Kendall test. The period 1982-2021 is adopted for climate 171 variables while 2000-2021 is used for vegetation change (NDVI). Trend analyses are conducted at 172 the 5% significance level. 173

174 **2.4.** Identifying the factors influencing evapotranspiration (ET) variability

The study uses three statistical methods to assess the factors influencing ET in the Sahel. Considering that collinearity and interactions among the different independent variables cannot be overlooked, partial correlation coefficient (PCC) is used to determine the relationship between each independent variable (climate and environmental variables) and the dependent variable (ET) while controlling for the effects of all the other independent variables. PCC is calculated using the following equation (Engström and Ekman, 2010) and has been used in several studies e.g., (Yang *et al.*, 2022; Adeyeri and Ishola, 2021).

182
$$\rho_{X,ET(Y)} = \frac{\rho_{X,ET} - \rho_{X,Y}\rho_{Y,ET}}{\sqrt{1 - \rho_{X,Y^2}}\sqrt{1 - \rho_{Y,ET^2}}}$$
(1)

183 Where $\rho_{X,ET(Y)}$ is the partial correlation coefficient between X & ET considering the effect of 184 variable Y; $\rho_{X,ET}$ and $\rho_{Y,ET}$ are the correlation coefficients between ET and X & Y, respectively, 185 and $\rho_{X,Y}$ is the correlation coefficient between X and Y. In the second step, Pearson correlation was 186 used to establish how change in the independent variable affects the dependent variable. In the third 187 step, multiple linear regression analysis is conducted between the rate of change of each independent 188 variable and the rate of change of the dependent variable to determine the relative contributions of 189 each independent variable on the dependent variable. This was achieved using multivariable regression at 5% significance level using equation (2). The relative contributions of the change in
each independent variable to the rate of change in ET was then estimated from the multivariable
regression coefficients using equation (3). Equations (2) and (3) have been used in several previous
studies to estimate the relative contribution of independent variables on the dependent variable e.g.,
(Chen *et al.*, 2022; Yang *et al.*, 2022).

195
$$Y = aX_1 + bX_2 + cX_3 + \cdots dX_n$$
(2)

196
$$r_{x} = \frac{|a|}{|a| + |b| + |c| + \dots + |d|} X \, 100\%$$
(3)

Where *Y* is the standardized dependent variable (ET), *X1*, *X2*, *X3*, and *Xn* are the standardized independent variables (precipitation, soil moisture, mean temperature, windspeed, net radiation, humidity, vapour pressure, AED and NDVI); r_x is the relative contribution of each independent variable to ET and *a*, *b*, *c*, & *d* are the regression coefficients of the independent variables.

201 2.5. Quantifying the effects of climate and vegetation changes on evapotranspiration.
202 Analytical method based on the Elasticity concept was used to quantify the effect of climate and
203 vegetation changes on ET.

204

2.5.1. Attribution of ET change

205 The elasticity concept is useful for quantifying how a relative change in one variable affects the 206 other variable (Ahiablame et al., 2017; Tan et al., 2020). The elasticity concept is widely used in hydrology due to its clear physical meaning and simple formulation (Sankarasubramanian et al., 207 2001). Due to its limited input data requirements, the elasticity concept has been extended to 208 investigate the sensitivity of runoff, baseflow and ET to climate and vegetation changes (Ahiablame 209 et al., 2017; Chen et al., 2022; Tan et al., 2020). Since its original formulation, several other 210 elasticity models have been proposed such as the least square elasticity model (Zheng et al., 2009), 211 the multivariable double logarithm, and multivariable transformation analyses models (Tsai, 2017). 212 213 This study adopts two elasticity models: (1) the least square elasticity (LSE) model because it can overcome the problem associated with small sample size and (2) the double logarithm elasticity 214

(DLE) model which has previously been used exclusively for quantifying the impact of climate and
vegetation changes on streamflow (Tsai, 2017; Khan *et al.*, 2022). The aim of using the two elasticity
models is to ensure that our results are robust.

218 The LSE model is expressed as:

219
$$\varepsilon = \frac{\overline{X}}{\overline{ET}} \cdot \frac{\sum (X_i - \overline{X})(ET_i - \overline{ET})}{\sum (X_i - \overline{X})^2} = \rho_{X,Q} \cdot \frac{C_Q}{C_X}$$
(4)

220 Where *ET* is evapotranspiration and *X* represent the annual climate or environment variable 221 (precipitation, soil moisture, mean temperature, solar radiation, windspeed, specific humidity, AED 222 and NDVI) and \overline{X} and \overline{ET} represent the multiyear annual mean climatic/environmental variable and 223 ET values respectively. $\rho_{X,Q}$ is the correlation coefficient between the climatic/environment variable 224 and ET and C_X and C_{ET} are coefficients of variation of climatic and environmental variables and ET, 225 respectively.

The DLE model is built on the premise that evapotranspiration (ET) is influenced by multiple factor elasticities in the form of regression coefficients of a multivariable regression model. The multivariable function describing the influence of climatic and environmental variables on ET is expressed as:

230

$$ET = f(P, SM, T, H, S, W, VP, AED, NDVI)$$
(5)

Where *P*, *SM*, *T*, *H*, *S*, *W*, *VP*, *AED*, *NDVI* represent precipitation, soil moisture, mean temperature,
solar radiation, windspeed, specific humidity, AED and NDVI. We then modify equation (5) using
the approach proposed by Tsai (2017) as follows:

234
$$ET = P^{\beta_1} S M^{\beta_2} T^{\beta_3} H^{\beta_4} S^{\beta_5} W^{\beta_6} V P^{\beta_7} A E D^{\beta_{87}} N D V I^{\beta_9}$$
(6)

235 Taking logarithm of both sides of equation (6) produces the following equation:

 $236 \qquad LogET = \beta 1 LogP + \beta 2 LogSM + \beta 3 LogT + \beta 4 LogH + \beta 5 LogS + \beta 6 LogW + \beta 7 LogVP + \beta 8 LogAED + \beta 9 LogNNDVI$ (7)

Where β_n is the elasticity coefficient of the different climatic and environmental variables. In our approach, we estimated the elasticity coefficient of each independent variable on ET separately. The elasticities are then estimated as coefficients of the ordinary least squares (OLS) regression analysis between ET and each of the independent variables. In running the regression analysis, the intercept term was left unadjusted (i.e., assumed to be zero).

After estimating the elasticity coefficients using the two methods, we used linear correlation 242 analysis to measure the strength of the relationship between the elasticity coefficients obtained using 243 the two models. To determine which elasticity model to be adopted for further analysis and 244 interpretation, we conducted a linear regression analysis between ET and the different independent 245 variables. We conducted the same analysis for their log-transformed counterparts (ET and climatic 246 and environmental variables). Lastly, we used the adjusted R² values obtained from both linear 247 regression models to assess the regression goodness of fit for the log transformed and least square 248 elasticity models (Tsai, 2017; Khan et al., 2022). We adopted the adjusted R² because it provides a 249 better overall performance of a regression model by considering the number of predictors in the 250 model. We estimated the mean of the adjusted R² obtained from both models and adopted the model 251 with highest mean adjusted R^2 . 252

253 **3. Results**

3.1. Trend analysis

Figure 2 shows the mean annual ET estimates and trends across Sahelian watersheds over a period 255 of 4 decades (1982 -2021). It can be observed that there is a strong spatial variability in mean annual 256 ET across the study area varying from 50-850 mm/year. High annual ET occur mostly in the 257 southern fringes of the Sahel while low annual ET occur in watersheds around the central and eastern 258 259 Sahel (Figure 2a). Trend analysis also shows a strong spatial heterogeneity with statistically significant increasing trends visible around watersheds in the western and central Sahel (0.5-260 261 4.5mm/year) and statistically significant decreasing trends observed in the far eastern Sahel (Figure 262 2b).



263 264

Figure 2: Mean annual ET (a) and trends in annual ET across the Sahelian belt.

Mean annual estimates of all the climatic and environmental variables are shown in Figure 3. There 265 266 is a strong spatial heterogeneity in precipitation and soil moisture across the study area (Figure 3a & b). Mean temperature is quasi uniform in the western and central Sahel but shows strong spatial 267 268 heterogeneity in the eastern Sahel (Figure 3c). Windspeed and net radiation are higher in the northern 269 Sahel compared to the south while relative humidity appears to be uniform across the region with a strong spatial heterogeneity observable around the far eastern Sahel (Figure 3d, e, & f). There is also 270 a strong spatial heterogeneity in vapour pressure and AED across the study area (Figure 3g & h). 271 272 NDVI scores are higher in watersheds located in the southern fringers of the Sahel compared to those in the north and eastern Sahel (Figure 3i) 273





Figure 3: Mean annual estimates of climate and environmental variables.

Figure 4 shows the results of trend analysis for all the other climatic variables over a period of four 276 decades (1981-2021) and NDVI over two decades (2000-2021). Trend analyses show statistically 277 significant increasing trends in annual precipitation, soil moisture and mean temperature across the 278 279 region (Figure 4a, b & c). Other climate variables show both statistically significant increasing and decreasing trends, however, there is a strong spatial heterogeneity in trend magnitudes. For example, 280 increasing and decreasing trends in windspeed are perceptible in the eastern Sahel and western Sahel 281 respectively (Figure 4d). Decreasing trends in net radiation are also perceptible around the central 282 Sahel (Figure 4e). Statistically significant increasing trends in specific humidity are visible in the 283 western and central Sahel while decreasing trends occur in the eastern Sahel (Figure 4f). Decreasing 284 trends in vapour pressure occur in the eastern Sahel while increasing trends are clearly visible in the 285 central and western Sahel. There is also a strong spatial heterogeneity in AED trends with 286 287 statistically significant increasing trends clearly visible in the eastern Sahel (6 mm/year) while statistically significant negative trends occur in the western Sahel. (Figure 4h). There is a consistent 288 289 increase in NDVI across all watersheds with statistically significant trends occurring in a few 290 watersheds (Figure 4i).



291

Figure 4: Trends in annual climatic variables and environmental variables across the Sahel 292

3.2. Factors influencing ET. 293

Table 2 shows the results of PCC between ET and each independent variable while controlling for 294 the effect of all the other variables. PCC scores show that precipitation, mean temperature, net 295 radiation, specific humidity, vapour pressure and NDVI have statistically significant correlations 296 with ET. Results of Pearson correlation between the rate of change in each independent variable and 297 298 the rate of change in the dependent variable (ET) are also available in Table 2 and in Figure 4.

Variable	Partial	Pearson	Multivariable Regression	
	correlation	Correlation	Relative weight	Relative contribution (%)
Precipitation	0.85**	0.38**	0.0367	4.61
Soil moisture	0.06	0.51**	0.1681	21.12
Mean temp	-0.35**	-0.72**	0.1225	15.39
Windspeed	-0.02	-0.74**	0.1629	20.46
Net radiation	-0.64**	0.13	0.5540	6.96
Humidity	0.71**	0.37**	0.0126	1.58
Vapour pressure	0.41**	0.67**	0.0994	12.39
AED	0.004	-0.72**	0.1093	13.72
NDVI	0.28*	0.25*	0.0292	3.67

299

** Indicates statistically significant at 5% significance; *indicates statistically significant at 1% 300 significance level. 301

Multivariable regression indicate that the independent variables contributed about 80% of the ET 302

variance ($R^2=0.80$ and adjusted $R^2=0.75$). The relative contributions of soil moisture, mean 303

temperature, windspeed, AED and vapour pressure were 21.12%, 20.46%, 15.39%, 13.72% and 304 12.49% respectively suggesting that these are the main variables influencing ET in the Sahel (Table 305 2). Pearson correlation analysis also indicate that a positive change in precipitation, soil moisture, 306 307 specific humidity, vapour pressure and NDVI lead to a positive change in ET (Figure 4 a, b, e, f, & i). In contrast, a positive change in mean temperature, windspeed, and AED leads to a decline in ET 308 (Figure 4 c, d, & h). Among the climatic variables, vapour pressure has the strongest positive Pearson 309 correlation coefficient (r=0.67, p<0.05) while mean temperature, windspeed and AED have the 310 strongest negative Pearson correlation coefficients (r > -0.70, p < 0.05) with ET. 311



312

Figure 5: Response of ET to climate and environmental factors: (a) precipitation, (b) soil moisture,
(c) mean temperature (d) windspeed, (d) net radiation, (f) specific humidity, (g) vapour pressure, (h)
AED and (i) NDVI. Each dot in the plot denotes a watershed.

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319 **3.3.** Quantifying the effects of climate and vegetation changes on ET

The LSE and DLE models were used to calculate the elasticity coefficients of the independent variables on ET. Results of the Pearson correlation between the elasticity coefficients from both models are shown in Figure 6.



323

Figure 6: Relationship between elasticity coefficients produced by both models. DLE: double
logarithm elasticity and LSE: least square elasticity.

Pearson correlation coefficients between the elasticity coefficients produced by both models are substantially high (r > 0.91, p < 0.05) for all variables suggesting that both models have similar performance (Figure 6). To determine which of the models to be adopted for further analyses, we used the adjusted R² values obtained from the linear regression analysis between ET and the different independent variables, and their log-transformed counterparts as explained in the methods section. Adjusted R² values obtained from LSE and DLE models are available in Figure 7 for all the watersheds.



333

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Figure 7: Comparison of adjusted R^2 values using the LSE and DLE models for all the watersheds in the Sahel. Precip: precipitation; SM: soil moisture; VP: vapour pressure, AED: atmospheric evaporative demand; NDVI: normalised difference vegetation index. The horizontal magentacoloured lines represent the mean adjusted R^2 obtained using each method while the values in red represent the mean adjusted R^2 for all watersheds.

Analyses indicate that the mean adjusted R^2 from the DLE model is (0.31) while that from the LSE is (0.22) (Figure 7). Since the adjusted R^2 from DLE is higher than that of LSE, the elasticity coefficients from DLE are presented and discussed throughout the rest of this paper.



Figure 8: Elasticity coefficients of the independent variables on ET in all the watersheds in the Sahel.
Each vertical line represents a watershed while the red horizontal line is the mean elasticity
coefficient value for all the watersheds. The values in red are mean elasticity coefficients.

Figure 8 shows the elasticity coefficients of climate and environmental factors on ET. Results from 346 the analyses show that precipitation, soil moisture, and vapour pressure produced positive elasticity 347 coefficients on ET across all the watersheds while mean temperature and NDVI produced positive 348 349 elasticity coefficients in about 80% of the watersheds (Figure 8a, b, g & i). Other independent variables produce mixed scores (positive and negative elasticity coefficients) across the watersheds. 350 Elasticity coefficients range from (0.17 to 165), (1.03 to 3.75), (8.55 to -5.65), (-5.25 to 5.35), (-351 26.92 to -0.61), (1.46 to 1.36), (0.19 to 5.49), (-9.92 to 1.13) and (-1.65 to 4.09) with corresponding 352 means of 0.78, 1.90, 0.08, -1.48, -9.58, -0.18, 2.52, -3.41, & 0.57 for precipitation, soil moisture, 353 mean temperature, windspeed, net radiation, specific humidity, vapour pressure, AED and NDVI, 354 respectively. Elasticity coefficients obtained suggest that climatic factors produced higher elasticity 355 coefficients on ET than environmental factors (Figure 8). Furthermore, vapour pressure has a greater 356 357 positive influence on ET than soil moisture and precipitation (Figure 8a, b & g). Conversely, net radiation shows a greater negative effect on ET compared to other climate variables (Figure 8e). 358

359 4. Discussion

360

4.1. Mean annual estimates.

Generally, higher AED values recorded around the central Sahel may be attributed to higher mean temperature, windspeed, net radiation and vapour pressure which all have different modulating effects on AED. On the other hand, higher NDVI values observed in watersheds around the southern fringes of the Sahel may be attributed to higher annual precipitation and soil moisture availability.

365 **4.2. Trend analysis**

The results of trend analysis are consistent with those from other studies around the Sahel. For example, Ndehedehe *et al.* (2018) and Adeyeri and Ishola (2021) found increasing trends in annual ET across the western and central Sahel using data derived from MODIS and GLEAM respectively. Using reanalysis data obtained from the Modern-Era Retrospective analysis for Research and Applications (MERRA), Ndiaye *et al.* (2020) also reported increasing trends in solar radiation, and specific humidity and decreasing trends in windspeed in the western Sahel. Cook *et al.* (2022) 372 reported increasing trends in mean temperature across the Sahel, reduced (increased) windspeed in the western (eastern) Sahel and increased specific humidity in the central Sahel and our analysis are 373 consistent with their results. Declining trends in ET in parts of the Sahel are also consistent with 374 results from a global study which attributed the decline to changes in specific humidity (Yang et al., 375 2019). Increasing trends in precipitation and mean temperature follow results reported in several 376 377 studies in the region e.g., (Nkiaka et al., 2017; Ilori and Ajayi, 2020; Dembélé et al., 2022). Increasing trends in annual precipitation in the Sahel have been attributed to changes in global 378 circulation patterns such as sea surface temperatures and increased moisture recycling in the region 379 (Yu et al., 2017; Biasutti, 2019). Increasing mean annual temperature follow a global pattern that 380 has been attributed to global warming (Hegerl et al., 2019). Decreasing trends in incoming solar 381 radiation around the central Sahel may be due to increasing precipitation which increases cloud 382 cover over the region which in-turn reduces incoming solar radiation at the surface (Giannini, 2010; 383 Cook et al., 2022). Increasing specific humidity in parts of the Sahel have been attributed to 384 increasing surface temperatures and stronger monsoon winds carrying more moisture from the Gulf 385 of Guinea (Cook et al., 2022). Increasing trends in AED parts of the Sahel reflect the increasing 386 trends in mean temperature in those areas which is also consistent with results from another study 387 in the region (Abiye et al., 2019). Many studies in the Sahel attributed increasing trends in NDVI to 388 precipitation increase (Yu et al., 2017; Ogutu et al., 2021; Jiang et al., 2022). However, there is a 389 strong spatial heterogeneity in the distribution of NDVI trends across the region. Overall, our 390 analyses suggest that increasing trends in annual ET across the Sahel may be attributed to different 391 climatic and environmental factors. This is the first study to assess the effects of vegetation cover 392 on ET in the Sahel and as the GGWI is still under implementation, it not yet known how increasing 393 tree cover in the Sahel will affect the vegetation-hydrology-climate interactions especially as the 394 trees become mature in the decades to come. As such, more studies are needed in the region to 395 396 understand vegetation-hydrology-climate interactions under different alternative scenarios.

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4.3. Factors influencing ET.

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4.3.1. Climatic (abiotic) factors

The study uses several climatic variables including precipitation, mean temperature, windspeed, net 400 401 radiation, specific humidity, vapour pressure and AED to assess the factors influencing ET 402 variability in the Sahel. The three statistical methods including PCC which considers the collinearity 403 and interactions among the different independent variables revealed that precipitation, vapour pressure and humidity are climatic variables with the strongest influence on ET. Multivariable 404 regression analyses show that the climatic factors contribute about 75.11% of the ET variance with 405 mean temperature, windspeed, vapour pressure and AED contributing the highest to ET variance. 406 407 Results from our analyses are consistent with those from previous studies in the western Sahel (Adeyeri and Ishola, 2021; Ndiaye et al., 2021). Multivariable regression analyses also indicate that 408 409 mean temperature and windspeed have a greater negative influence on ET than AED because of their higher relative contributions to ET variance. It is worth highlighting that AED reflects the 410 atmospheric drying power and is influenced by all the other climate variables except precipitation. 411 This implies that the different climate variables have varying modulating effects on AED which may 412 413 influence the relationship between ET and AED. Nevertheless, the strong negative Pearson correlation between ET and AED suggest that there may be a complimentary relationship between 414 415 them as suggested in a previous study (Brutsaert, 2015). This has been attributed to the fact that AED in dryland areas is higher than precipitation and ET combined, and as such, ET is limited by 416 water availability (Yang et al., 2019). 417

Positive Pearson correlations between ET and precipitation, net radiation and specific humidity and the negative relationship between ET and mean temperature and AED have also been observed in other dryland regions e.g., (Li *et al.*, 2022). Precipitation is the main supplier of water for ET consumption while net radiation provides the energy needed for ET to occur. The negative correlation between mean temperature and ET may be attributed to rising global temperatures which in-turn enhance soil moisture depletion leading to limited water for soil evaporation and vegetation
growth which both influence ET in water-limited environments (Jung *et al.*, 2010).

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4.3.2. Environmental (biotic) factors

426 Results of our analyses indicate that biotic factors (soil moisture and NDVI) have less influence on ET compared to abiotic factors (climatic variables) with a relative contribution of 24.79% to ET 427 428 variance. The PCC between ET and NDVI shows a weak score (r=0.28, p<0.01) even though statistically significant at 1% significance level (Table 2). This is consistent with results obtained in 429 430 other dryland regions (Chen et al., 2022; Zheng et al., 2022). The low relative contribution of vegetation cover to ET may be attributed to the fact that vegetation covers varies seasonally and also 431 depends on the characteristics of the vegetation structure such as leaf area index (LAI), root depth, 432 vegetation coverage, physiology, age and vegetation type e.g., forest, agriculture, or grassland 433 434 (Odongo et al., 2019). The present study was conducted at an annual timescale which may mask the strong seasonal correlations between ET and NDVI in the rainy season when ET is not limited by 435 water supply. Nevertheless, Pearson correlation between ET and NDVI shows that a positive change 436 in NDVI leads to a corresponding positive change in ET which is in-line with results from other 437 438 studies e.g., (Chen et al., 2022; Zheng et al., 2022). This suggest that as vegetation cover increases in the Sahel, this may likely increase the rate of ET in the region. The low PCC score between ET 439 440 and soil moisture may be attributed to increasing global temperatures which depletes the amount of soil moisture available for soil evaporation and transpiration. 441

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4.4. Quantitative attribution of ET change

The impact of climate variability and vegetation change on ET in the Sahel was quantified using the double logarithm elasticity model. Mean elasticity coefficients were 0.78, 1.90, 0.08, -1.48, -9.58, -0.18, 2.52, -3.41, & 0.57 for precipitation, soil moisture, mean temperature, windspeed, net radiation, specific humidity, vapour pressure, AED and NDVI, respectively. This implies that a 10% increase in precipitation, soil moisture, mean temperature, vapour pressure and NDVI will increase ET by approximately 8%, 19%, 0.8%, 25% & 6% respectively. Vapour pressure contributes 449 substantially to ET variance and also produced the highest positive elasticity coefficient on ET which is consistent with results obtained from other dryland regions (Ma et al., 2019). The greater positive 450 effect of vapour pressure on ET in water-limited environments may be attributed to the fact that this 451 452 variable can influence plant stomatal activity and canopy photosynthesis (Yang et al., 2022). In contrast, a 10% increase in windspeed, net radiation, and AED leads to a decline in ET by 453 454 approximately 15%, 95%, & 34%, respectively. This is consistent with results from another study in the region which showed that net radiation, and mean temperature had a negative impact on ET 455 (Yang et al., 2019). Vapour pressure, soil moisture, precipitation and NDVI produced the highest 456 positive elasticity coefficients while net radiation and AED had the highest negative elasticity 457 coefficients on ET. 458

NDVI also produced positive elasticity coefficients across most watersheds suggesting that increasing vegetation greenness in the Sahel may enhance ET. However, increasing vegetation greenness in the Sahel may lead to water scarcity as more water may be lost to the atmosphere through the ET. Additional research is needed to investigate vegetation-hydrology-climate interaction in the Sahel as suggested at the end of section 4.2 above. This is very crucial considering that enhance vegetation greenness has been shown to exacerbate water scarcity in some regions (Vicente-Serrano *et al.*, 2021).

Although results from previous studies reported that precipitation is the dominant climatic 466 factor controlling ET in many regions (Feng et al., 2020; Yang et al., 2022), results from the present 467 study show that soil moisture has a stronger positive effect on ET than precipitation with a higher 468 mean elasticity coefficient (1.91) compared to precipitation (0.78). Moreover, the Pearson 469 470 correlation coefficient between soil moisture and ET is stronger (0.51) than that between 471 precipitation and ET (0.38). Furthermore, soil moisture had a higher relative contribution (21.12%) to ET variance compared to precipitation (4.61%). This is in-line with results from a previous study 472 473 showing stronger positive correlations between soil moisture and ET compared to precipitation 474 (Yinglan et al., 2019). In contrast the PCC between soil moisture and ET is (0.06) when controlling 475 for precipitation and other variables. This suggests that although precipitation is the main source of water supply for ET, it would appear that across the Sahel, most of the precipitation is lost through 476 infiltration into the soil and therefore contributes indirectly to ET through transpiration (Yang et al., 477 478 2019; Wang et al., 2020). In fact, several studies have attributed the decline in ET in many areas to limited soil moisture, thereby highlighting the fact that soil moisture is an important variable driving 479 480 ET in many regions (Jung et al., 2010; Ndehedehe et al., 2018; Zhou et al., 2021). Furthermore, moisture recycling in the Sahel has been identified as an important factor influencing ET in the 481 region (Yu et al., 2017). Results of the different analyses conducted in this study suggest that the 482 mechanisms controlling ET variability in the Sahel are complex and could transcend natural climate 483 variability and vegetation change. 484

Whilst all the datasets used in the study have been validated and used extensively in previous 485 486 studies in the Sahel, we wish to acknowledge that satellite-derived and reanalysis data have inherent uncertainties which may influence the results of the analyses reported in this study. Therefore, we 487 wish to caution that results from this study should be regarded with a bit of caution. We also wish 488 to acknowledge that the number of climatic and environmental factors analysed in the study are non-489 490 exhaustive. However, the different factors are selected based on the results from previous studies conducted around the world and in other dryland regions as highlighted in the introduction section 491 492 of this article. We acknowledge that there may be other important factors that may have been inadvertently left out which could influence the results of the analyses reported herein which is 493 another weakness of the study. 494

495 **5.** Conclusions

The present study used analytical methods to assess the effects of climate and environmental changes on ET in the Sahel over the period (1981-2021) for climate variables and (2000-2021) for vegetation cover change. Results from our analyses show increasing trends in annual ET in the western, central and parts of the eastern Sahel. Precipitation, soil moisture, mean temperature, and NDVI also show increasing trends across the whole region while the other variables show both increasing and 501 decreasing trends in the different watersheds with a strong spatial heterogeneity in trend magnitudes. Multivariable regression analyses show that both climatic and environmental factors contribute 502 about 80% of the ET variance. However, the relative contribution of climatic variables is 75.11% 503 504 while that of environmental variables is 24.71%. Vapour pressure had the highest mean positive 505 elasticity coefficient (2.58) while net radiation had the highest mean negative elasticity coefficient 506 (-9.58) on ET. Taking together, results from the different analyses carried out in the present study 507 suggest that the mechanisms controlling ET variability in the Sahel are complex and may transcend 508 climate and environmental changes. The LSE and DLE models produced similar elasticity coefficients with strong Pearson correlations coefficients (>0.91) between the elasticity coefficients 509 510 from both models. This suggest that both models may be used to quantify the impact of climate variability and vegetation change on ET in other regions. Results from the present results may be 511 crucial for adopting climate-smart reforestation policies and enhance water resources management 512 in Sahel. This is the first study to assess the effects of vegetation cover on ET in the Sahel. As the 513 GGWI is still ongoing, it not yet fully known how increasing tree cover will affect the vegetation-514 hydrology-climate interactions especially as the trees become mature in the decades to come. 515 516 Therefore, more studies are needed in the region to disentangle the relationship between vegetationhydrology-climate under different alternative scenarios. 517

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- 527 Declaration of Competing Interest
- 528 The authors declare that they have no known competing financial interests or personal relationships
- 529 that could have appeared to influence the work reported in this paper.

530 Data availability statement:

- All data used to perform the analyses reported in this paper are freely available for download through
- the Climate Engine Research App [https://app.climateengine.com] through a custom user account.
- 533 Shapefiles for the major basins and their sub-basins are freely available at
- 534 www.hydrosheds.org/hydrosheds-v2. Soil moisture data from GLEAM are freely available for
- 535 download at <u>https://www.gleam.eu/</u>.

536 **CRediT authorship contribution statement:**

- 537 Elias Nkiaka: Conceptualization, Data Curation, Methodology, Investigation, Resources, Writing-
- 538 Review & Editing, Funding acquisition.
- 539 **Robert G. Bryant:** Methodology, Investigation, Writing-Review & Editing.
- 540 Moctar Dembélé: Investigation, Writing-Review & Editing.
- 541 **Roland Yonaba:** Investigation, Writing-Review & Editing.
- 542 Aigbedion Imuwahen Priscilla: Writing-Review & Editing
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Major	Sub-basin	Number	Area
Basin			(km ²)
Senegal	Bakoy	1	101,792
	Faleme	2	29,880
	Ferlo	3	45,131
	Karakoro	4	47,574
	Kolinbine	5	124,013
	Senegal 1	6	30,152
	Senegal 2	7	43,436
	Senegal 3	8	4,094
	Senegal 4	9	7,086
Volta	Nakambe	10	110,851
	Sourou	11	31,072
Niger	Bani 1	12	18,610
	Bunsuru	13	31,043
	Dallol		
	Maouri	14	71,197
	Dallol		
	Bosso	15	541,267
	Faga	16	39,113
	Gorouol	17	53,944
	Niger 10	18	31,167
	Niger 11	19	11,467
	Niger 12	20	12,352
	Niger 13	21	123,166
	Niger 9	22	60,907
	N' kaba	23	38,787
	Rima	24	6,585
	Tarka	25	48,140
	Tchegue	26	32,872
	Tilemsi	27	87,033

555 Appendix A: Characteristics of the watersheds in the Sahelian belt

Maior	Sub-basin	Number	Area
Basin	Sub busin	Tumber	(km^2)
Lake	Bahr Azum	28	77,960
Chad	Bouluo	29	31,407
	Dillia	30	162,385
	Fitri	31	143,576
	Komadugu Yobe	32	33,318
	Komadugu Yobe	33	97,568
	Koramas	34	43,751
Nile	Abu Hut	35	45,971
	Al Ghallah	36	17,948
	Al Malik	37	125,049
	Bandah	38	129,875
	Blue Nile 1	39	23,014
	Gelha	40	90,900
	Nahr Atbarah 1	41	25,088
	Mereb Wenz	42	73,113
	Wadi Atshan	43	33,722
	White Nile 1	44	17,690
Red Sea	Nahr al Qash	45	66,346

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771