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# **ASUMI:** Assuring the Safety of UAVs for Mine Inspection

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Taking humans out of harm's way: using unpiloted aerial vehicles (UAVs) for mine inspection.



Figure 1: Photo Credit: STFC Boulby Underground Lab

# Challenge

UAVs are ideal for inspecting infrastructure such as mines. Their use in real-world environments can cause harm to humans, damage the UAV, and damage infrastructure. We need to provide assurance [1] that their use will not cause harm.

# Use Case and Safety Assurance

An underground mine is a GPS-deprived environment where a UAV may suffer a failure, e.g., signal loss. The UAV needs to perform a failsafe action (i.e., respond to the failure) to ensure the continued safety of the mine operating domain, the mine workers, mine machinery and the UAV itself. ASUMI developed a testbed and safety case for safety assuring:

• an autonomous return-to-home module for a UAV that enables it to retrace its path and safely return home.

There are three fundamental hazards that the UAV may create during an autonomous return to home failsafe action:

- 1. Flying too close to an object or surface the UAV may collide with the object and damage it.
- 2. The UAV may collide with an object and damage itself (worst case will cause the UAV to crash).
- 3. The UAV may cause a fire or explosion.

The ASUMI safety case for the return-to-home comprises:

- 1. Hazard analysis and risk assessment.
- 2. Deriving and implementing safety requirements.
- 3. Analysing dependent failures.
- 4. Verification and validation activities.
- 5. Confirmation measures for functional safety.

ASUMI used a PX4 Vision Kit UAV in the lab and in simulation to develop the return-to-home system, to provide evidence to justify that it operates safely and that is meets its



safety requirements according to the SACE safety guidelines for complex environments (see https://shorturl.at/z7eEo).



Figure 2: The Aloft mine simulation (from a 3D point cloud).

To provide a mine simulation testbed, we developed Aloft [2] containing a UAV with a self-adaptive controller for a returnto-home scenario. It consists of a Gazebo simulation with two mine models, a modified PX4 Vision UAV model using ROS and PX4-Autopilot for control, and obstacles e.g., humans.

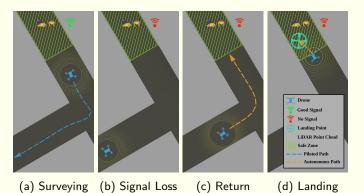


Figure 3: Illustration of the return-to-home from [2]. The UAV begins to inspect under pilot control (a) but loses signal (b). It must then autonomously return to home (c) and land safely (d).

### ASUMI project website:

https://shorturl.at/KpZBM

ALOFT GitHub: Thanks to Calum Imrie for leading on Aloft [2] https://github.com/uoy-research/Aloft

# References

- V.J. Hodge, R. Hawkins, and R. Alexander. "Deep reinforcement learning for drone navigation using sensor data". In: *Neural Comput Appl* 33.6 (2021).
- [2] C. Imrie et al. "Aloft: Self-Adaptive Drone Controller Testbed". In: SEAMS'24: Procs 19th Symposium on Software Engineering for Adaptive and Self-Managing Systems. ACM. 2024.

