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2 **Climatic controls on watermass chemistry in a Paleocene**  
3 **lacustrine setting, Subei Basin, Eastern China**  
4

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## 23 **ABSTRACT**

24 The Paleocene Epoch was characterized by global climate fluctuations and major carbon  
25 cycle perturbations. During the greenhouse climate that characterized the early Cenozoic,  
26 a short-lived late Paleocene global cooling event has been recognized from marine records.  
27 However, the response of the terrestrial system to this climate cooling event is poorly  
28 understood. Here, we present major and trace element analyses, iron speciation  
29 systematics, carbonate carbon isotope data, and mineralogical observations for lacustrine  
30 sediments from Member II of the Paleocene Funing Formation (E<sub>1</sub>f<sub>2</sub>), utilizing well-  
31 preserved drill core from the Subei Basin, Eastern China. Both chemical (chemical index  
32 of alteration, Al/K ratios) and mineralogical (mineralogical index of alteration, clay/feldspar  
33 ratios) proxies yield consistent weathering and paleoclimatic interpretations, suggesting a  
34 transition from cool and arid climatic conditions to warmer and more humid climatic  
35 conditions. Correlation of carbon isotopes between the Subei Basin and deep-sea records  
36 implies that this terrestrial setting records the short-lived Paleocene climate cooling event.  
37 The combination of climate records and paleosalinity proxies (B/Ga and S/TOC) indicates  
38 a relatively high salinity water column (brackish to saline) under cool and arid climatic  
39 conditions in the Subei Basin, suggesting that elevated salinity was likely produced via net-  
40 evaporative conditions, rather than marine incursions. A shift toward less saline brackish  
41 conditions up-section reflects an increase in precipitation and freshwater runoff under  
42 warmer and more humid climatic conditions. Iron speciation and redox-sensitive trace  
43 metal systematics reveal fluctuating redox conditions, from oxic through to anoxic  
44 ferruginous, but with the distinct development of better ventilated conditions as freshwater

45 inputs increased under more humid conditions. Our findings demonstrate the sensitivity of  
46 terrestrial climate to the late Paleocene climate cooling event, and further reveal the  
47 chemical response of a lacustrine setting to a cooling episode in a greenhouse world.

48

49 **Key words:** Paleoclimate; Salinity; Redox; Paleocene; Subei Basin.

50

## 51 INTRODUCTION

52 The Paleocene Epoch (66–56 Ma) was bookended by the extreme biological and  
53 climatic events associated with the Cretaceous–Paleogene (K–Pg) mass extinction and  
54 the Paleocene–Eocene Thermal Maximum (PETM) (Hollis et al., 2022). In addition, the  
55 Paleocene was characterized by a series of climatic oscillations superimposed on the long-  
56 term early Cenozoic warming trend (Zachos et al., 2001, 2008; Hollis et al., 2022). In the  
57 greenhouse world of the early Cenozoic, however, a short-lived cooling event has been  
58 identified in New Zealand and in the southwest Pacific during the late Paleocene (~60–58  
59 Ma; Hollis et al., 2014, 2022; Bijl et al., 2021). The TEX<sub>86</sub> sea surface temperature (SST)  
60 proxy at mid-Waipara River and ODP Sites 1172 and 1121 indicates a cooling of ~2–6°C  
61 (Hollis et al., 2014; Bijl et al., 2021), while the MBT'–CBT mean annual air temperature  
62 (MAAT) proxy records ~2°C of cooling (Hollis et al., 2014). The regional cooling inferred  
63 from the southwest Pacific SST and MAAT proxies is consistent with a positive excursion  
64 in deep-sea benthic foraminiferal oxygen isotope ( $\delta^{18}\text{O}$ ) records (Cramer et al., 2011;  
65 Barnet et al., 2019; Westerhold et al., 2020). In addition, physiognomic analysis of leaf  
66 fossils from Paleocene sites in western and eastern South Island (Kennedy, 2003), as well

67 as pollen assemblages from ODP Site 1172 (Contreras et al., 2014), provide further  
68 evidence for a pronounced cooling event. This late Paleocene cooling has been linked to  
69 drawdown of atmospheric CO<sub>2</sub> (Hollis et al., 2022), likely due to both reduced volcanic CO<sub>2</sub>  
70 emissions (Westerhold et al., 2011) and increased organic carbon burial due to tectonism  
71 (Kurtz et al., 2003).

72 Significantly, the late Paleocene climate cooling event correlates with the initial stages  
73 (~59.3–58.2 Ma) of the Paleocene carbon isotope maximum (PCIM) at ~59.3–57.4 Ma  
74 (Corfield and Cartlidge, 1992; Thompson and Schmitz, 1997; Hollis et al., 2005, 2014,  
75 2022). This positive carbon isotope excursion (CIE) is recorded in bulk marine carbonate  
76 and bulk organic carbon, and is generally considered to represent an episode of enhanced  
77 organic carbon burial, leading to regionally widespread deposition of organic-rich marine  
78 sediments (Hollis et al., 2014, 2022). Enhanced organic carbon burial during the late  
79 Paleocene climate cooling event may have been driven by either increased marine  
80 productivity as a result of enhanced ocean circulation (Corfield and Cartlidge, 1992;  
81 Thompson and Schmitz, 1997), or an increased input of terrestrial organic matter as a  
82 consequence of eustatic sea-level fall and elevated coastal erosion (Hilting et al., 2008;  
83 Schiøler et al., 2010; Hollis et al., 2014, 2022). However, the existing signals for this climate  
84 cooling event are largely derived from marine records at mid and high latitudes, while mid-  
85 low latitude terrestrial climate records are scarce. In addition, the response of watermass  
86 chemistry in terrestrial settings is unclear.

87 Here, we present high-resolution total organic carbon (TOC) data, carbonate carbon  
88 ( $\delta^{13}\text{C}_{\text{carb}}$ ) and bulk organic carbon ( $\delta^{13}\text{C}_{\text{org}}$ ) isotope data, iron speciation systematics, and

89 mineralogical and elemental data for lacustrine sediments from Member II of the Paleocene  
90 Funing Formation ( $E_{1f_2}$ ) in the mid-low latitude Subei Basin, Eastern China. These  
91 chemical and mineralogical proxies are utilized to reconstruct chemical weathering  
92 intensity and paleoclimatic conditions during deposition of  $E_{1f_2}$ , which together with the  
93 high-resolution  $\delta^{13}C$  data, allow the identification of a potential record of the late Paleocene  
94 climate cooling event in the terrestrial Subei Basin. In addition, a combination of both  
95 salinity and redox proxy data provides detailed constraints on watermass chemistry. Hence,  
96 our new geochemical data provide insight into the terrestrial response to an episode of  
97 global cooling during the late Paleocene.

98

## 99 **GEOLOGICAL BACKGROUND**

100 The Subei Basin is a large, lacustrine rift basin that was developed on the northern  
101 margin of the Lower Yangtze Block in eastern China (Fig. 1). Since the Late Cretaceous,  
102 this basin has been affected by two major rifting episodes that were accompanied by  
103 thermal subsidence (Liu et al., 2017). Paleomagnetic results reveal that the Subei Basin  
104 was at mid-low latitudes in the early Paleocene, with a paleolatitude of  $\sim 32\text{--}33^\circ\text{N}$  (Boucot  
105 et al., 2009), similar to today. During the Paleocene and earliest Eocene, thousands of  
106 meters of fluvio-lacustrine sediments were deposited, which comprise the four members of  
107 the Funing Formation (Fig. 1). In ascending order, Member I ( $E_{1f_1}$ ) mainly consists of  
108 continental red beds, comprising interbedded fine-grained sandstones, siltstones and  
109 mudstones. Member II ( $E_{1f_2}$ ) is composed mainly of dark gray mudstones. Member III ( $E_{1f_3}$ )  
110 consists mainly of interbedded fine-grained sandstones and mudstones, followed by basal

111 Eocene mudstones of Member IV ( $E_{1f4}$ ) (Qiu et al., 2006).

112 Our study utilizes samples from drill core QY 1, located in the Qintong Depression of the  
113 Subei Basin, which preserves a relatively continuous record of  $E_{1f2}$  deposited in a deep  
114 lacustrine setting (Fig. 1). Three main lithofacies are present, including laminated dolomitic  
115 mudstones, massive calcareous mudstones and mudstones, with wavy, laminated  
116 dolomitic mudstones dominating in the studied core. Thin sections show well-developed  
117 horizontal laminae and clear laminar boundaries (Fig. 2A). Lighter laminae are mainly  
118 composed of quartz and micritic dolomite and calcite, while darker counterparts are mainly  
119 composed of clay and organic matter (Fig. 2B). The massive calcareous mudstone is  
120 mostly homogeneous and contains scattered monocrystalline quartz grains (Fig. 2E).  
121 Carbonate occurs in the form of cryptocrystalline cement in mudstone. A grayish black or  
122 dark gray massive mudstone lithofacies is developed mainly towards the top of  $E_{1f2}$  in drill  
123 core QY 1. Fragments of ostracods are commonly observed in this lithofacies (Fig. 2F),  
124 and the lack of wavy laminae or bedding suggests a deeper water environment than the  
125 underlying dolomitic mudstones.

126

## 127 **MATERIALS AND METHODS**

128 Prior to geochemical analyses, bulk rock samples were carefully trimmed to avoid veins,  
129 possible weathered surfaces and visible pyrite nodules or bands. Approximately 100 g of  
130 each sample was crushed to powder (~200 mesh) using an agate mortar. All geochemical  
131 analyses were conducted at the State Key Laboratory of Biogeology and Environmental  
132 Geology, China University of Geosciences (Wuhan), or at SINOPEC East China Oil and

133 Gas Company Experimental Research Center.

134 Total organic carbon (TOC) and sulfur (TS) concentrations were measured using a 902  
135 T carbon-sulfur analyzer. For TOC analyses, ~2 g of sample powder was first treated with  
136 4 M HCl for 24 h to remove inorganic carbon. Analytical precision was better than 0.2 wt.%  
137 based on replicate analyses of Alpha Resources standard AR4007 (total carbon = 7.62  
138 wt.%). Bulk organic carbon isotopes ( $\delta^{13}\text{C}_{\text{org}}$ ) were analyzed using an elemental analyzer  
139 (EA) coupled to a Delta V Advantage isotope ratio mass spectrometer. Two international  
140 reference standards, USGS40 ( $\delta^{13}\text{C} = -26.39\text{‰}$ ) and IVA-Urea ( $\delta^{13}\text{C} = -37.32\text{‰}$ ), were  
141 used for calibration. Results are reported in per mil notation relative to Vienna Pee Dee  
142 Belemnite (VPDB), and analytical precision was better than 0.2‰ ( $1\sigma$ ) based on replicate  
143 analyses of standards.

144 For carbonate  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  analyses, about 50–300  $\mu\text{g}$  of dried sample powder was  
145 reacted with 100% phosphoric acid at 70°C. The resulting  $\text{CO}_2$  was introduced into a MAT  
146 253 isotope ratio mass spectrometer for isotopic measurements. The  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  data  
147 were calibrated relative to international reference standard NBS-19 ( $\delta^{13}\text{C} = +1.95\text{‰}$ ;  $\delta^{18}\text{O}$   
148 =  $-2.20\text{‰}$ ) and Chinese national standard GBW04416 ( $\delta^{13}\text{C} = +1.61\text{‰}$ ;  $\delta^{18}\text{O} = -11.59\text{‰}$ ).  
149 Results are reported in permil notation relative to the Vienna Pee Dee Belemnite (VPDB),  
150 with a precision of better than  $\pm 0.1\text{‰}$  ( $1\sigma$ ).

151 For major element analyses, approximately 1 g of dried sample powder was mixed with  
152 lithium borate and fused into glass disks, and then determined via X-ray fluorescence  
153 spectrometry (PANalytical Epsilon 3X). The analytical precision was better than 5% ( $1\sigma$ )  
154 based on replicate analyses of standards (BCR-1, COQ-1, GSR-5 and GSR-6). For trace



155 element analyses, approximately 50 mg of sample powder was digested with 1 ml HF and  
156 1 ml HNO<sub>3</sub> in Teflon bombs at 190°C for over 48 h. About 1–2 ml HClO<sub>4</sub> was added to the  
157 sample solutions to aid oxidation of organic matter. The final solutions were diluted with 2%  
158 HNO<sub>3</sub> and analyzed using an Agilent 7700x inductively coupled plasma mass spectrometer  
159 (ICP-MS). Analytical precision was better than 5% (1σ) based on replicate analyses of  
160 standards (AGV-2, BCR-2, GSR-1 and GSR-6). For B concentration analyses, ~100 mg of  
161 sample powder was weighed into a 15 ml crucible and mixed with ~100 mg of a buffering  
162 agent. The buffering agent was a mixture of K<sub>2</sub>S<sub>2</sub>O<sub>7</sub>, NaF, Al<sub>2</sub>O<sub>3</sub>, carbon powder, and GeO<sub>2</sub>  
163 in the ratio of 22:20:43:14:0.007 (Cheng et al., 2021). The concentrations of B were  
164 analyzed using an AES-8000 Emission Spectrometer (Beijing Beifen-Ruili Analytical  
165 Instrument Co. Ltd.), with an analytical precision of better than 1% based on replicate  
166 analyses of standards GBW 07304 and GBW 07307.

167 The chemical index of alteration (CIA) is expressed as:  $CIA = \text{molar} [(Al_2O_3)/(Al_2O_3 +$   
168  $CaO^* + Na_2O + K_2O)] \times 100$ , where CaO\* represents the CaO content of the silicate fraction  
169 only (Nesbitt and Young, 1982). CaO\* was corrected using available P<sub>2</sub>O<sub>5</sub> data (CaO\* =  
170 mole CaO – mole P<sub>2</sub>O<sub>5</sub> × 10/3). If the remaining number of moles is higher than that of  
171 Na<sub>2</sub>O, then CaO\* is assumed to be equivalent to Na<sub>2</sub>O. Otherwise, the CaO value was  
172 adopted as the CaO\* value (McLennan, 1993). The redox-sensitive trace metals (RSTMs)  
173 Mo, U, V and Mn are displayed as enrichment factors (EFs), which were calculated as:  $X_{EF}$   
174  $= (X/Al)_{\text{sample}}/(X/Al)_{\text{UCC}}$ , where X is the trace element of interest and the subscripts 'sample'  
175 and 'UCC' refer to the studied sample and upper continental crust (Rudnick and Gao, 2003),  
176 respectively.

177 All samples analyzed in this study have total Fe concentrations >0.5 wt.%, and are  
178 therefore considered suitable for palaeoredox interpretation via Fe speciation (Clarkson et  
179 al., 2014). Four pools that together comprise highly reactive Fe ( $Fe_{HR}$ ) were determined,  
180 including Fe bound in carbonates ( $Fe_{carb}$ ), ferric (oxyhydr)oxides ( $Fe_{ox}$ ), magnetite ( $Fe_{mag}$ )  
181 and pyrite ( $Fe_{py}$ ).  $Fe_{py}$  was calculated stoichiometrically by the content of pyrite sulfur  
182 extracted following the chromium reduction method (Canfield et al., 1986). The other three  
183 Fe species (i.e.,  $Fe_{carb}$ ,  $Fe_{ox}$  and  $Fe_{mag}$ ) were determined via the operationally-defined  
184 sequential extraction procedure of Poulton and Canfield (2005). First, approximately 100  
185 mg of sample powder was subjected to 10 ml of 1 mol/L sodium acetate solution (pH = 4.5  
186 with acetic acid) for 48 h at 50°C, for the extraction of  $Fe_{carb}$ . The sample residue was then  
187 leached in 10 ml of a 50 g/L sodium dithionite and 0.2 mol/L sodium citrate solution  
188 (adjusted to pH 4.8 with acetic acid) for 2 h at room temperature, in order to extract  $Fe_{ox}$ .  
189 Finally, the sample residue was treated with ammonium oxalate solution (10 ml of a 0.17  
190 mol/L oxalic acid and 0.2 mol/L ammonium oxalate solution) for 6 h at room temperature,  
191 to extract  $Fe_{mag}$ . All extraction solutions were diluted 100-fold with 2%  $HNO_3$  before  
192 analysis by atomic absorption spectroscopy (AAS). The analytical precision for each  
193 fraction was within 5%, based on replicate analyses of the international Fe speciation  
194 standard, WHIT (Alcott et al., 2020).

195 Mineral compositions were determined using X-ray diffraction (XRD) on a Bruker D8  
196 Advance X-ray diffractometer with Cu-K $\alpha$  radiation. The samples were analyzed at 40 kV  
197 and 30 mA, and the stepwise scanning measurements were performed at a rate of 4°/min  
198 in the range of 3° to 85° (2 $\theta$ ). The relative mineral proportions were estimated from the

199 areas of their major peaks with Lorentz polarization correction.

200

## 201 **RESULTS**

202 All geochemical data are provided in Supplementary Table S1. The  $\delta^{13}\text{C}_{\text{carb}}$  values  
203 exhibit an increasing trend up-section (Fig. 3E), with variable  $\delta^{13}\text{C}_{\text{carb}}$  values from  $-6.6\text{‰}$   
204 to  $+4.3\text{‰}$  (mean =  $+0.8 \pm 1.9\text{‰}$ ) below 3750 m, and less variable values from  $+0.4\text{‰}$  to  
205  $+4.1\text{‰}$  above 3750 m (mean =  $+2.0 \pm 0.8\text{‰}$ ). The CIA data, Al/K ratios, mineralogical index  
206 of alteration (MIA) values (calculated as  $\text{MIA} = [\text{quartz}/(\text{quartz}+\text{plagioclase}+\text{K-feldspar})] \times$   
207  $100$ ; Rieu et al., 2007), and clay/feldspar proxies all exhibit a similar first-order trend, with  
208 lower values below 3750 m and elevated values above (Fig. 4). CIA values below 3750 m  
209 range from 42 to 64 (mean =  $52 \pm 5$ ), Al/K values range from 2.3 to 4.3 (mean =  $2.9 \pm 0.3$ ),  
210 MIA values range from 32 to 87 (mean =  $63 \pm 9$ ), and clay/feldspar ratios range from 0.2  
211 to 8.8 (mean =  $2.5 \pm 1.5$ ). Samples above 3750 m have CIA values ranging from 62 to 72  
212 (mean =  $67 \pm 3$ ), Al/K values ranging from 3.5 to 4.6 (mean =  $4.2 \pm 0.3$ ), MIA values ranging  
213 from 72 to 92 (mean =  $87 \pm 4$ ), and clay/feldspar ratios ranging from 2.0 to 13.4 (mean =  
214  $8.0 \pm 2.9$ ). The  $\delta^{13}\text{C}_{\text{org}}$  values exhibit an overall decreasing trend with a significant negative  
215 shift at  $\sim 3750$  m (Fig. 4F). Below 3750 m,  $\delta^{13}\text{C}_{\text{org}}$  values range from  $-28.2\text{‰}$  to  $-25.3\text{‰}$   
216 (mean =  $-27.2 \pm 0.6\text{‰}$ ). Above 3750 m,  $\delta^{13}\text{C}_{\text{org}}$  values decrease to a range of  $-29.3\text{‰}$  to  
217  $-27.9\text{‰}$  (mean =  $-28.4 \pm 0.4\text{‰}$ ).

218 Samples below 3750 m in drill core QY 1 generally have relatively lower TOC  
219 concentrations, ranging from 0.17 to 3.27 wt.% (mean =  $1.05 \pm 0.58$  wt.%; Fig. 5). Total  
220 sulfur in this interval shows some variability, ranging from 0.02 to 2.70 wt.% (mean = 0.86

221  $\pm 0.42$  wt.%; Fig. 5). Above 3750 m, TOC is more enriched, with values varying between  
222 0.70 to 4.06 wt.% (mean =  $2.24 \pm 0.59$  wt.%), while TS ranges from 0.29 to 1.21 wt.%  
223 (mean =  $0.62 \pm 0.27$  wt.%). B/Ga and S/TOC ratios show a shift to lower values at ~3750  
224 m (Fig. 5). Below 3750 m, B/Ga ratios range from 3.6 to 8.6 (mean =  $6.2 \pm 1.4$ ) and S/TOC  
225 ratios range from 0.02 to 3.75 (mean =  $1.07 \pm 0.76$ ). Above 3750 m, B/Ga ratios decrease  
226 to a range of 3.3 to 5.5 (mean =  $4.3 \pm 0.7$ ) and S/TOC ratios decrease to a range of 0.12  
227 to 0.67 (mean =  $0.30 \pm 0.16$ ). Iron speciation reveals little significant change up-section,  
228 with  $Fe_{HR}/Fe_T$  and  $Fe_{Py}/Fe_{HR}$  values ranging from 0.28 to 0.91 (mean =  $0.54 \pm 0.10$ ) and  
229 from 0.01 to 0.62 ( $0.37 \pm 0.13$ ), respectively (Fig. 6). The exception to this concerns two  
230 samples towards the top of the succession, which have lower  $Fe_{HR}/Fe_T$  ratios.

231 We identify three general zones based on a combined evaluation of RTSM enrichment  
232 factors (Fig. 6). From the base of  $E_{1f_2}$  to ~3890 m,  $Mo_{EF}$  values are commonly  $>1$  and show  
233 considerable scatter ( $1.89 \pm 1.08$ ), while  $U_{EF}$  ( $1.05 \pm 0.20$ ),  $V_{EF}$  ( $1.14 \pm 0.24$ ) and  $Mn_{EF}$   
234 ( $1.06 \pm 0.27$ ) show less scatter, with average values close to 1. From ~3890 to ~3750 m,  
235  $Mo_{EF}$  ( $1.69 \pm 0.81$ ) and  $U_{EF}$  ( $0.99 \pm 0.22$ ) values remain similar to lower in the succession,  
236 but  $V_{EF}$  values show more scatter, with an overall increase to  $1.41 \pm 0.30$ . In this zone,  
237  $Mn_{EF}$  values are also generally similar to lower in the section ( $1.09 \pm 0.76$ ), but with some  
238 elevated values (Fig. 6). Above ~3750 m,  $Mo_{EF}$  ( $1.83 \pm 0.55$ ) and  $V_{EF}$  ( $1.43 \pm 0.35$ ) values  
239 are similar to the preceding zone. By contrast,  $U_{EF}$  values transition to levels significantly  
240 below 1, while  $Mn_{EF}$  values initially transition to high levels (up to ~6), followed by a  
241 decrease to values close to 1 (Fig. 6).

242

## 243 **DISCUSSION**

### 244 **Evaluation of diagenetic alteration**

245 Burial diagenesis may potentially alter the carbon isotope signature of authigenic  
246 carbonate (e.g., Garzione et al., 2004). Here, we evaluate the extent of diagenetic  
247 alteration using sedimentary and geochemical approaches. Petrographic analyses of  
248 typical samples reveal that these rocks are mudstones with a homogeneous fine-grained  
249 micritic matrix. Furthermore, the carbonate crystals are generally less than 2  $\mu\text{m}$  in size  
250 and show little evidence for more coarsely crystalline phases associated with  
251 recrystallization (Fig. 2D). These petrographic features suggest that carbonates in drill core  
252 QY 1 have undergone limited diagenetic alteration.

253 Strontium is rapidly lost during post-depositional diagenesis, and hence Sr  
254 concentrations and Mn/Sr ratios have been widely used to evaluate the degree of  
255 carbonate diagenesis (Brand and Veizer, 1980, Banner and Hanson, 1990). The oxygen  
256 isotope composition of carbonate rocks is also considered a sensitive indicator for  
257 diagenetic alteration (Banner and Hanson, 1990). Generally, carbonates that experienced  
258 a high degree of diagenetic alteration tend to yield elevated Mn/Sr ratios, low Sr  
259 concentrations and low  $\delta^{18}\text{O}$  values (e.g., Marshall, 1992; Kaufman et al., 1993). In drill  
260 core QY 1, all samples generally exhibit elevated Sr concentrations (>300 ppm) and low  
261 Mn/Sr ratios (<4; the majority of samples (94%) have Mn/Sr ratios <2), while  $\delta^{18}\text{O}$  values  
262 are higher than  $-10\text{‰}$  (Table S1). Samples from the massive mudstone lithofacies have  
263 relatively low Sr concentrations and elevated Mn/Sr ratios due to their low carbonate  
264 content. In addition, no apparent correlation exists between  $\delta^{13}\text{C}_{\text{carb}}$  values and Sr

265 concentrations ( $R^2 = 0.08$ ), Mn/Sr ratios ( $R^2 = 0.10$ ), Mg/Ca ratios ( $R^2 = 0.17$ ) or  $\delta^{18}\text{O}$   
266 values ( $R^2 = 0.05$ ) (Fig. S1). These characteristics are consistent with well-preserved  
267 carbonate rocks that have undergone minimal diagenetic alteration (Banner and Hanson,  
268 1990), suggesting that the  $\delta^{13}\text{C}_{\text{carb}}$  values obtained in this study likely track the isotopic  
269 signal of dissolved inorganic carbon (DIC) in lake water.

270

### 271 **Recognition of the PCIM and terrestrial climate cooling in the Subei Basin**

272 Given the absence of volcanic horizons for isotopic dating, we employed biostratigraphic  
273 dating and carbon isotope stratigraphy to document the PCIM in drill core QY 1. Studies  
274 on fossil charophytes, ostracods and spore-pollen suggest that the Funing Formation in  
275 the Subei Basin is Paleocene in age (Wang et al., 2019). The *Homoecypris bucerusa-*  
276 *Parailocypris chngzhouensis-Candona (Lineocypris) acclina* ostracod assemblage found  
277 in E<sub>1f</sub><sub>2</sub> in the Gaoyou Depression of the Subei Basin assigns this member to the late  
278 Paleocene (Zhu et al., 2004; Wang et al., 2019). Furthermore, the *Pentapollenites-*  
279 *Rhoipites-Ephedripites-Cedripites* pollen assemblage found in the same member in the  
280 Gaoyou Depression is consistent with early paleontological investigations in the Subei  
281 Basin, indicating a mid-late Paleocene age (Qian et al., 1993; Zhu et al., 2004).

282 Carbon isotope excursions have proven to be a useful tool for global chronostratigraphic  
283 correlation (Cramer and Jarvis, 2020). The carbon isotope composition of lake water is  
284 controlled by a variety of factors, including inputs from rivers and rainfall, evaporation, CO<sub>2</sub>  
285 exchange between lake water and the atmosphere, and the balance between primary  
286 production and respiration within the lake (e.g., Carroll and Bohacs, 1999; Leng and

287 Marshall, 2004). As a result, the  $\delta^{13}\text{C}$  values of lacustrine carbonate reflect the average  
288 isotope composition of DIC in the lake system with varying degrees of equilibrium with  
289 atmospheric  $\text{CO}_2$  (Bade et al., 2004). Since the overall inflow/outflow balance of lakes is  
290 closely related to global and regional climate change, and most of the processes are  
291 associated with atmospheric  $\text{CO}_2$ , carbon isotope values for lacustrine carbonate have  
292 been used as a tool for reconstructing paleoenvironments and paleoclimates (e.g., Leng  
293 and Marshall, 2004). Carbon isotope excursions in lacustrine carbonates are often  
294 comparable to those in marine carbonates because both are associated with global  
295 changes to the carbon cycle, and hence lacustrine carbon isotope values have been used  
296 to track global carbon cycle perturbations (e.g., Chen et al., 2014).

297 The PCIM is an ~2 Ma episode that has been well documented in deep-sea benthic  
298 foraminifera and bulk marine carbonates (ODP Site 1209 and ODP Site 1262), with  $\delta^{13}\text{C}$   
299 values reaching a Cenozoic maximum (Barnet et al., 2019; Westerhold et al., 2020; Hollis  
300 et al., 2022). Hence, we compared the carbon isotope excursions observed in the Subei  
301 Basin with marine records to identify the PCIM in the late Paleocene based on the following  
302 criteria. First, our diagenetic evaluation suggests that the  $\delta^{13}\text{C}_{\text{carb}}$  results from the Subei  
303 Basin have not been significantly affected by diagenetic alteration. Second, the  $\delta^{13}\text{C}_{\text{carb}}$   
304 excursions in the Subei Basin were assigned to those in marine records if they occurred at  
305 approximately the same time period and appeared in the same trend.

306 Five positive  $\delta^{13}\text{C}_{\text{carb}}$  excursions (P1 to P5) and three negative  $\delta^{13}\text{C}_{\text{carb}}$  excursions (N1  
307 to N3) are identified in the study profile, and the carbon isotope variations observed in the  
308 Subei Basin are strikingly consistent with those in the marine realm (Fig. 3). The first and

309 second positive  $\delta^{13}\text{C}_{\text{carb}}$  excursions (P1 and P2) in core QY 1, as well as the negative  
310  $\delta^{13}\text{C}_{\text{carb}}$  excursion (N1) between them (Fig. 3E), are comparable with  $\delta^{13}\text{C}$  excursions in  
311 marine records between ~59–58.7 Ma (Fig. 3D). The third and fourth positive  $\delta^{13}\text{C}_{\text{carb}}$   
312 excursions (P3 and P4), as well as the negative  $\delta^{13}\text{C}_{\text{carb}}$  excursion (N2), then correspond  
313 to the  $\delta^{13}\text{C}$  excursions between ~58.5 and 58.3 Ma. The third negative  $\delta^{13}\text{C}_{\text{carb}}$  excursion  
314 (N3) consists of two small negative  $\delta^{13}\text{C}_{\text{carb}}$  shifts, followed by a positive  $\delta^{13}\text{C}_{\text{carb}}$  excursion  
315 (P5) in the study core, which is consistent with marine records between ~58.2 and 58.0  
316 Ma. The unnamed “\*” event (58.15 Ma) in marine records (Barnet et al., 2019) most likely  
317 corresponds to the onset of the P5 at ~3750 m in core QY 1. Thus, the isotopic trends we  
318 observe in the Subei Basin appear to mirror marine records between ~59 and 58 Ma.  
319 Although the lack of a detailed age control prevents a fully conclusive interpretation of the  
320 terrestrial carbon isotope record, it appears highly likely that the Subei Basin records the  
321 PCIM.

322 Having established that the PCIM is likely documented in core QY 1, we next explore  
323 the regional terrestrial response to this climate cooling event. The CIA is a widely used  
324 proxy for reconstructing paleoclimatic conditions via changes in chemical weathering  
325 intensity (Nesbitt and Young, 1982). High CIA values reflect the enhanced removal of  
326 mobile cations during chemical weathering under humid and warm climate conditions. By  
327 contrast, low CIA values indicate the near absence of chemical weathering and  
328 consequently reflect cool and/or arid conditions (Nesbitt and Young, 1982). Generally, CIA  
329 values of between 50 and 65 represent an arid climate with low chemical weathering  
330 intensity, values of 65–85 indicate a temperate climate with moderate chemical weathering,



331 and values >85 reflect a hot and humid climate with strong chemical weathering (Nesbitt  
332 and Young, 1982; Bahlburg and Dobrzinski, 2011). However, diagenetic K-metasomatism  
333 (conversion of kaolinite to illite) should be taken into consideration when calculating CIA  
334 values for fine-grained sediments (Fedo et al., 1995). The extent of K-metasomatism can  
335 be identified using an  $\text{Al}_2\text{O}_3\text{-CaO}^* + \text{Na}_2\text{O-K}_2\text{O}$  (A-CN-K) ternary diagram (Fedo et al.,  
336 1995). Our samples exhibit a simple weathering trend roughly parallel to the A-CN  
337 boundary (Fig. S2), suggesting limited K-metasomatism. Furthermore, studies have shown  
338 that the influence of K-metasomatism on first-order CIA trends is not apparent, even in  
339 Neoproterozoic and early Cambrian sedimentary rocks (Rieu et al., 2007; Zhai et al., 2018).  
340 Additionally, CIA values may be influenced by the provenance composition and lithological  
341 changes. However, there is no significant correlation between CIA values and provenance  
342 indicators (e.g., Al/Ti, Th/Sc; Fig. S3) (Taylor and McLennan, 1985; McLennan, 1993), and  
343 the trends in CIA do not coincide with strong lithological or facies changes (Fig. 4), which  
344 together suggest that the first-order trends in CIA values most parsimoniously represent  
345 changes in the intensity of chemical weathering.

346 Chemical weathering intensity can also be inferred from the ratio of non-mobile elements  
347 to mobile elements (e.g., Al/K) during weathering (Gaillardet et al., 1999; Garzanti et al.,  
348 2014), with higher values indicating greater depletion of mobile elements and thus stronger  
349 chemical weathering intensity. The mineralogical index of alteration (MIA) is sensitive to  
350 the intensity of chemical weathering, since it reflects the relative concentrations of stable  
351 quartz versus unstable feldspars, and is largely unaffected by sorting or abrasion (Nesbitt  
352 et al., 1996; Rieu et al., 2007). Higher MIA values reflect substantial loss of feldspar relative

353 to quartz due to intense chemical weathering under warm and humid conditions, while  
354 lower values indicate minimal weathering under cool and/or arid conditions. In addition, an  
355 increase in the ratio of clay minerals to feldspar can be a direct indication of enhanced  
356 chemical weathering, as some clay minerals are formed by the decomposition of feldspar  
357 (Chamley, 1989).

358 The similar first-order trends observed between these independent chemical and  
359 mineralogical proxies (Fig. 4) supports a robust paleoclimate signal. Samples below 3750  
360 m are characterized by relatively low CIA ( $52 \pm 5$ ), Al/K ( $2.9 \pm 0.3$ , clustering around 3),  
361 MIA ( $63 \pm 9$ , clustering around 60) and clay/feldspar ( $2.5 \pm 1.5$ , mostly  $<5$ ) values, indicating  
362 low chemical weathering intensity in the source region, under a relatively cold and arid  
363 climate. By contrast, the concurrent increase in CIA ( $67 \pm 3$ ), Al/K ( $4.2 \pm 0.3$ ), MIA ( $87 \pm 4$ )  
364 and clay/feldspar ( $8.0 \pm 2.9$ ) values above 3750 m suggests enhanced chemical  
365 weathering intensity under temperate conditions. This terrestrial climate reconstruction  
366 (below 3750 m) is therefore consistent with marine records, where both the TEX<sub>86</sub> and  
367 MBT'-CBT proxies suggest  $\sim 2\text{--}6^\circ\text{C}$  of cooling (Hollis et al., 2014, 2022; Fig. 3C).  
368 Furthermore, the climate cooling recorded in drill core QY 1 also occurs within the  
369 Paleocene oxygen isotope maximum (POIM) at  $\sim 59.6\text{--}58.2$  Ma, and the positive shift in  
370 benthic foraminiferal  $\delta^{18}\text{O}$  suggests global cooling of deep waters (Hollis et al., 2022; Fig.  
371 3B). Notably, the climatic transition at  $\sim 3750$  m in drill core QY 1 appears to represent the  
372 termination of this short-lived cooling event, consistent with that observed in marine  
373 records. Indeed, the "\*" event coincides with the termination of the POIM and climate  
374 cooling, followed by a warming trend in the late Paleocene (Hollis et al., 2022; Fig. 3B),

375 suggesting that the “\*” event marks a significant turning point in Paleocene climate. This  
376 climate transition, in turn, provides additional constraints on carbon isotope correlations  
377 between the Subei Basin and the marine realm. Specifically, the carbon isotope curves  
378 and climate records below 3750 m in drill core QY 1 correspond to the lower part of the  
379 PCIM associated with the POIM and climate cooling, while those above 3750 m correspond  
380 to the upper part of the PCIM associated with climate warming (Hollis et al., 2022; Fig. 3).

381 The late Paleocene cooling event has been linked to a short-lived drawdown in  
382 atmospheric CO<sub>2</sub> levels (Hollis et al., 2022). This hypothesis can be tested by the  $\delta^{13}\text{C}_{\text{org}}$   
383 values in drill core QY 1, because a decline in atmospheric CO<sub>2</sub> would result in <sup>13</sup>C  
384 enrichment in the biomass of algae (Freeman and Hayes, 1992), as well as in C<sub>3</sub> plants  
385 (Schubert and Jahren, 2012). Previous organic geochemical and organic petrological  
386 investigations on mudstones in drill core QY 1 indicate that organic matter mainly  
387 comprises type II kerogen and derives from algae (Zan et al., 2023). Thus, relatively higher  
388  $\delta^{13}\text{C}_{\text{org}}$  values ( $-27.2 \pm 0.6\text{‰}$ ) below 3750 m in drill core QY 1 may suggest lower  
389 atmospheric CO<sub>2</sub> levels, while a shift towards lower  $\delta^{13}\text{C}_{\text{org}}$  values ( $-28.4 \pm 0.4\text{‰}$ ) above  
390 3750 m represents an increase in CO<sub>2</sub> levels (Fig. 4F). A significant negative  $\delta^{13}\text{C}_{\text{org}}$   
391 excursion at ~3750 m is consistent with the climate transition suggested by paleoclimate  
392 proxies. Although not quantitative, the trends in atmospheric CO<sub>2</sub> levels inferred from our  
393  $\delta^{13}\text{C}_{\text{org}}$  data are broadly consistent with those revealed by quantitative estimates of  
394 atmospheric CO<sub>2</sub> concentrations in the late Paleocene (Hollis et al., 2022). Overall, the  
395 observed similarity between the paleoenvironmental records of the Subei Basin and marine  
396 settings demonstrates the sensitivity of mid-low latitude terrestrial regions to global climate

397 fluctuations during the late Paleocene.

398

### 399 **Watermass salinity**

400 Paleo-watermass salinity is evaluated using B/Ga and S/TOC ratios (Berner and  
401 Raiswell, 1984; Wei and Algeo, 2020). B/Ga ratios are a potential proxy for paleosalinity  
402 due to the much higher concentrations of B in seawater relative to freshwater, in addition  
403 to the strong potential for adsorption of B by clay minerals (Dominik and Stanley, 1993). By  
404 contrast, Ga mainly exists in detrital silicate minerals and is generally depleted in seawater  
405 compared to freshwater (Chen et al., 1997). Although not highly quantitative, recent work  
406 on modern sediments has suggested that B/Ga ratios of <3, 3–6 and >6 are indicative of  
407 freshwater, brackish and marine settings, respectively (Wei and Algeo, 2020). Sediment  
408 S/TOC ratios can also provide useful paleosalinity information (Berner and Raiswell, 1984).  
409 Although S/TOC ratios may also be influenced by redox conditions, productivity levels,  
410 sulfate concentrations and diagenesis, modern sediment studies have suggested that  
411 S/TOC ratios of <0.1 and >0.1 are indicative of freshwater and brackish to marine settings,  
412 respectively (Wei and Algeo, 2020).

413 In drill core QY 1, samples below 3750 m generally exhibit elevated B/Ga ratios ( $6.2 \pm$   
414  $1.4$ ), with samples dominantly plotting in the upper brackish or marine regions. By contrast,  
415 all B/Ga ratios above 3750 m occur within the range of 3–6 ( $4.3 \pm 0.7$ ), consistent with  
416 persistent brackish conditions (Fig. 5). The S/TOC profile is broadly consistent with this  
417 salinity interpretation, whereby the majority of samples below 3750 m have S/TOC values  
418 significantly greater than 0.1 ( $1.1 \pm 0.8$ ), indicating brackish to marine conditions. Above

419 this depth, the decline in S/TOC ratios to  $0.3 \pm 0.2$  supports a reduction in watermass  
420 salinity (Fig. 5). In this analysis, we excluded the use of Sr/Ba ratios for paleosalinity  
421 because the presence of carbonate-hosted Sr commonly results in elevated bulk-rock  
422 Sr/Ba ratios (Wei and Algeo, 2020). Indeed, there is a positive correlation ( $R^2 = 0.60$ )  
423 between Sr and CaO contents in our samples. Furthermore, Sr/Ba ratios are generally low  
424 and show no correlation with CaO ( $R^2 = 0.07$ ) in the  $<4$  wt.% CaO interval, but Sr/Ba ratios  
425 rise significantly and show a positive correlation with CaO when CaO concentrations are  $>4$   
426 wt.% (Fig. S4).

427

## 428 **Redox reconstruction**

429 We next use independent paleo-redox proxies to constrain bottom-water redox  
430 conditions in the Subei Basin. However, we first note the potential complications when  
431 using these proxies in lacustrine systems. With regard to Fe speciation, boundaries for  
432 distinguishing oxic ( $Fe_{HR}/Fe_T < 0.22$ ) and anoxic ( $Fe_{HR}/Fe_T > 0.38$ ) water column redox  
433 conditions were devised for marine settings (Raiswell and Canfield, 1998; Poulton and  
434 Raiswell, 2002; Raiswell et al., 2001; Raiswell et al., 2018). Indeed, it is well established  
435 that  $Fe_{HR}/Fe_T$  ratios in riverine particulates reflect the intensity of chemical weathering in  
436 the source region (Canfield, 1997; Poulton and Raiswell, 2002; Poulton and Canfield, 2005),  
437 with a global average, discharge-weighted  $Fe_{HR}/Fe_T$  ratio of  $0.43 \pm 0.03$  (Poulton and  
438 Raiswell, 2002). The global river particulate flux has an elevated  $Fe_{HR}/Fe_T$  ratio relative to  
439 marine sediments due to the preferential trapping of riverine  $Fe_{HR}$  in proximal environments  
440 (e.g., flood plains, estuaries, fjords, salt marshes; Poulton and Raiswell, 2002). As a result,

441 care is required when applying marine sediment Fe speciation thresholds to lacustrine  
442 sediments. However, this approach can be successfully used, particularly in larger  
443 lacustrine settings (such as the Subei Basin) where the Fe shuttle that leads to Fe<sub>HR</sub>  
444 enrichments under anoxic water conditions (Raiswell and Canfield, 1998; Anderson and  
445 Raiswell, 2004; Severmann et al., 2008) may still operate (e.g., Cumming et al., 2013).

446 In the case of the Subei Basin, the low intensity chemical weathering indicated by our  
447 data also supports the applicability of Fe speciation systematics, since under such  
448 conditions it is unlikely that Fe<sub>HR</sub>/Fe<sub>T</sub> ratios were high in the sediment supplied to the basin  
449 (Canfield, 1997; Poulton and Raiswell, 2002), suggesting that a false anoxic signal is  
450 unlikely. Indeed, Fe<sub>HR</sub>/Fe<sub>T</sub> ratios are dominantly considerably elevated in core QY 1,  
451 relative to the average composition of riverine particulates (Fig. 6), which in such a low  
452 chemical weathering setting implies water column mobilization and deposition of Fe<sub>HR</sub>  
453 under anoxic conditions (Raiswell and Canfield, 1998; Poulton and Raiswell, 2002). Low  
454 Fe<sub>Py</sub>/Fe<sub>HR</sub> ratios (<0.6; Fig. 6) then suggest that when anoxic, the water column was  
455 ferruginous rather than euxinic (Poulton et al., 2004; Poulton and Canfield, 2011; Poulton,  
456 2021).

457 To provide further support and insight into the evolution of water column redox conditions,  
458 we also utilize RSTM systematics. Vanadium is soluble under oxic conditions and is  
459 commonly transported to sediments as the vanadate ion (H<sub>2</sub>V(VI)O<sub>4</sub><sup>-</sup>) adsorbed onto Mn  
460 oxides. However, during the reduction of Mn oxides under dysoxic conditions, V may be  
461 released from sediments, whereas under anoxic conditions, the vanadate released during  
462 Mn oxide reduction is reduced to the vanadyl ion (V(IV)O<sub>2</sub><sup>+</sup>), which is highly surface-

463 reactive and tends to be retained in the sediment (Emerson and Husted, 1991). Thus, the  
464 behaviour of Mn and V are linked, but in addition, significant enrichments in Mn can occur  
465 as a result of precipitation of Mn oxides during intervals of water column oxygenation (Tebo  
466 et al., 2004), although direct precipitation of Mn carbonates may also occur under anoxic  
467 conditions (Wittkop et al., 2020).

468 Molybdenum is transported to the ocean as the molybdate anion, and moderate  
469 sediment enrichments can occur during uptake by Fe (oxyhydr)oxide minerals formed in  
470 ferruginous settings (e.g., Algeo and Tribovillard, 2009; Tribovillard et al., 2012; Li et al.,  
471 2023). By contrast, under high concentrations of H<sub>2</sub>S, particle-reactive thiomolybdate forms  
472 (Helz et al., 1996), leading to increased Mo enrichments in the sediments (Emerson and  
473 Husted, 1991; Helz et al., 1996; Erickson and Helz, 2000). Uranium is preferentially buried  
474 in sediments deposited beneath anoxic bottom waters regardless of whether euxinic or  
475 ferruginous conditions dominate, as U reduction primarily occurs in the sediments  
476 (Anderson et al., 1989; Klinkhammer and Palmer, 1991).

477 As with Fe speciation, however, care needs to be taken when utilizing RSTMs in a closed  
478 lacustrine system such as the Subei Basin, due to the potential for low sediment  
479 enrichments under anoxic conditions as a result of limited resupply of RSTMs (e.g.,  
480 Tribovillard et al., 2012). An additional issue concerns defining baseline regional RSTM  
481 concentrations in sediments deposited under oxic conditions, such that anoxia can be  
482 identified by relative sediment enrichments (e.g., Algeo and Li, 2020; Li et al., 2023). Here,  
483 we note that two samples towards the top of the succession (at ~3686 m) display robust  
484 evidence for deposition under oxic conditions, with low Fe<sub>HR</sub>/Fe<sub>T</sub> ratios occurring coincident

485 with low RSTM values (Fig. 6). For these samples, while  $V_{EF}$  values remain elevated  
486 (potentially due to anoxic conditions during diagenesis, rather than anoxia in the water  
487 column),  $Mo_{EF}$  and  $Mn_{EF}$  values fall close to 1, suggesting that this value suitably reflects  
488 the composition of the background sediment influx. However,  $U_{EF}$  values are considerably  
489 lower than 1 (average = 0.47), suggesting that the source material was low in U (e.g., Li et  
490 al., 2023). Hence, a  $U_{EF}$  value of 0.47 appears to provide a more robust reflection of the  
491 sediment supplied to the basin, and hence values significantly above this likely reflect  
492 deposition under anoxic conditions.

493 Based on a combined evaluation of the RSTM and Fe speciation profiles, we identify  
494 three distinct intervals characterized by differing redox conditions. From the base of  $E_{1f2}$  to  
495 ~3890 m,  $Fe_{HR}/Fe_T$  enrichments occur alongside moderate (i.e., typical of a restricted  
496 basinal setting; see above), but fluctuating enrichments in Mo and U, while  $V_{EF}$  and  $Mn_{EF}$   
497 values are dominantly close to 1 (Fig. 6). We interpret this combined signal to reflect an  
498 unstable water column, with fluctuations between dysoxic and anoxic ferruginous  
499 conditions, whereby the former would limit enrichments in V and Mn, while the latter would  
500 result in enrichments in  $Fe_{HR}/Fe_T$ , Mo (via uptake to Fe (oxyhydr)oxide minerals) and U. A  
501 similar signal is apparent from ~3890 to 3750 m (Fig. 6), but V enrichments are significantly  
502 enhanced, suggesting that anoxia was more prevalent through this interval. Above 3750  
503 m, as the water column freshened as climate warmed (Figs. 4 and 5), high  $Mn_{EF}$  values  
504 initially occur in the calcareous mudstone, before a decrease in the overlying massive  
505 mudstone, coincident with an overall decrease in  $Fe_{HR}/Fe_T$  ratios and decreased  $U_{EF}$  and  
506  $Mo_{EF}$  values (Fig. 6). We interpret this to reflect a transition to better oxygenated conditions



507 (although redox conditions may still have fluctuated), which initially resulted in drawdown  
508 of mobilized Mn from the water column. Subsequently, since the sedimentological features  
509 suggest that the massive mudstone reflects deeper water deposition relative to the  
510 underlying dolomitic mudstone (see above), enhanced ventilation of deeper waters is  
511 indicated.

512

### 513 **Climate driven variations in watermass chemistry**

514 Over longer timescales, watermass salinity largely depends on overall water balance  
515 (i.e., meteoric precipitation and runoff versus evaporation) and the degree of watermass  
516 exchange with the open ocean, both of which are typically related to climatic changes  
517 (Matthias and Godfrey, 1994; Michener et al., 1997). The brackish to near-fully marine  
518 salinities recorded below 3750 m could potentially have resulted from marine incursions,  
519 which have been linked to the development of many salinized lacustrine systems, such as  
520 the Eocene Bohai Bay Basin (e.g., Wei et al., 2018). Indeed, it has been proposed that the  
521 lacustrine Subei Basin may have experienced marine incursions during deposition of the  
522 Funing Formation, based on the fossil record (e.g., fossil tubes) and mineralogical  
523 evidence (Yu and Wang, 1981; Qiu et al., 2006). However, a recent detailed investigation  
524 of tube-dominated bioherms in E<sub>1</sub>f<sub>2</sub> in the Subei Basin has led to their reinterpretation as  
525 fully lacustrine caddisfly larval cases, which occur together with abundant non-marine  
526 algae as well as freshwater ostracods, gastropods and conchostracans, suggesting that  
527 E<sub>1</sub>f<sub>2</sub> was most likely deposited in a lacustrine setting that was not subject to significant  
528 marine incursions (Zhou et al., 2020). Furthermore, the late Paleocene climate cooling

529 event corresponds to a global fall in sea level (Kominz et al., 2008; Harris et al., 2010;  
530 Cramer et al., 2011; Hollis et al., 2014), which would have been expected to limit the  
531 seawater influx into the Subei Basin.

532 The degree of watermass restriction can be further assessed based on Mo-TOC  
533 relationships and Co x Mn values. In modern marine environments, Mo/TOC ratios are  
534 generally high under unrestricted conditions (e.g.,  $\sim 45 \pm 5$  for Saanich Inlet), while Mo/TOC  
535 ratios are low under restricted watermass conditions (e.g.,  $4.5 \pm 1$  for the Black Sea) (Algeo  
536 and Lyons, 2006). In addition, modern upwelling environments typically have sedimentary  
537 Co x Mn values below 0.4, with values exceeding 0.4 in restricted environments (Sweere  
538 et al., 2016). Deposition of E<sub>1f2</sub> in a highly restricted basin is also supported by consistently  
539 low Mo/TOC ratios ( $1.74 \pm 1.46$ ) and Co x Mn values ( $0.91 \pm 0.65$ ) in excess of 0.4 (Fig.  
540 7).

541 Alternatively, hypersaline lakes can potentially develop under arid climatic conditions, in  
542 which evaporation exceeds precipitation (Hardie et al., 2009). This scenario is more in line  
543 with the climate records we observe in the Subei Basin. Below 3750 m, the overall low  
544 paleoclimate proxy values are correlated with elevated paleosalinity proxy values,  
545 suggesting that limited precipitation and freshwater runoff under a cool and arid climate  
546 may have led to a net-evaporative condition, and thus increased watermass salinity (Figs.  
547 8 and 9A). A shift towards less saline conditions above 3750 m was likely the result of  
548 enhanced precipitation and freshwater runoff under warmer and more humid climatic  
549 conditions, as indicated by elevated paleoclimate proxy values and decreased paleosalinity  
550 proxy values (Figs. 8 and 9B). We note that below 3750 m in drill core QY 1, an increase

551 in CIA values is associated with an increase in B/Ga ratios (Fig. 8). One possible  
552 explanation is that lacustrine systems may be particularly sensitive to climate fluctuations,  
553 and thus intermittent warming in a predominantly arid climate (all samples below 3750 m  
554 have CIA values <65) may have led to intensified evaporation, resulting in an increase in  
555 salinity.

556 Under cool and arid climatic conditions, a reduction in freshwater runoff would have  
557 promoted a salinity-stratified watermass, which would have restricted water column mixing,  
558 thereby promoting the development of oxygen-depleted deeper waters (Figs. 8 and 9A).  
559 This would have occurred beneath oxic surface waters, which is supported by the presence  
560 of fishbone fossils (Fig. 2C). Under warmer and more humid climatic conditions (i.e., above  
561 3750 m in drill core QY 1), the increased freshwater runoff and lower overall salinity  
562 appears to have promoted enhanced ventilation of deeper waters (Figs. 8 and 9B),  
563 although redox conditions may have continued to fluctuate, and our data cannot confirm  
564 whether the deepest basinal waters also became oxygenated. In summary, our data  
565 suggest that the observed variability in watermass salinity and redox conditions in the  
566 Subei Basin were closely linked to climate fluctuations.

567

## 568 **CONCLUSIONS**

569 This study reports a new geochemical dataset for lacustrine mudstones from Member II  
570 of the Paleocene Funing Formation ( $E_{1f_2}$ ) in the Subei Basin, Eastern China. Chemical  
571 (CIA, Al/K) and mineralogical (MIA, clay/feldspar) paleoclimate proxies suggest a shift in  
572 climatic conditions during deposition of  $E_{1f_2}$ , with predominantly cool and arid climatic

573 conditions below 3750 m giving way to warmer and more humid climatic conditions above.  
574 This climate change record, along with a compelling carbon isotope correlation between  
575 the Subei Basin and deep-sea records, suggests that the sediments document a terrestrial  
576 system response to the short-lived late Paleocene climate cooling event. Paleosalinity  
577 proxies (B/Ga and S/TOC) demonstrate a major change in salinity coincident with the  
578 change in paleoclimate, from brackish/near-fully marine salinity conditions under cool and  
579 arid conditions, to less saline conditions under a warmer and more humid climate. This  
580 suggests that elevated salinity was likely due to net-evaporative conditions, rather than  
581 marine incursions, whereas the reduced salinity up-section reflects enhanced precipitation  
582 and freshwater runoff. Redox proxies (iron speciation and redox-sensitive trace metal  
583 systematics) reveal dynamic redox conditions, from dysoxic to anoxic ferruginous during  
584 the cooling event, to better ventilated conditions as the water column freshened. These  
585 findings suggest that terrestrial water column settings were sensitive to the global climate  
586 fluctuations of the late Paleocene, and provide an example of the response of lacustrine  
587 watermass chemistry to a cooling episode in a greenhouse world.

588

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594

595 **DATA ACCESSIBILITY STATEMENT**

596 The original data generated in this study are provided in the Supplemental Material (Table  
597 S1).

598

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## 830 FIGURE CAPTIONS

831 Figure 1. Location map and stratigraphy of drill core QY 1. (A) Tectonic location of the Subei  
832 Basin on the Yangtze Block (Zhou et al., 2020) and the location of the investigated drill  
833 core. The grey box represents the location of the Lower Yangtze Block. (B) Stratigraphy of  
834 the Subei basin ( Zhou et al., 2020) showing the stratigraphic column for drill core QY 1.

835

836 Figure 2. Photographs of Member II of the Paleocene Funing Formation ( $E_{1f_2}$ ) in the Subei

837 Basin from drill core QY 1. (A) Core photograph at 3924.3 m showing laminated dolomitic  
838 mudstone with well-developed laminae and clear laminae boundaries. (B) Laminated  
839 dolomitic mudstone (4031.6 m) with well-preserved light quartz-dolomite-calcite mixed  
840 laminae and dark organic-rich laminae. (C) Sample of laminated dolomitic mudstone with  
841 well-preserved fishbone fossils (3859.4 m). (D) Scanning electron microscope (SEM)  
842 image showing fine-grained dolomite (Dol) crystals in laminated dolomitic mudstone (3853  
843 m). (E) Sample of massive calcareous mudstone containing scattered monocrystalline  
844 quartz grains (3730 m). (F) Sample of massive mudstone with well-preserved ostracod  
845 fragments (3698 m).

846

847 Figure 3. Paleocene carbon and oxygen isotope, and temperature records. (A) Trends in  
848 benthic foraminiferal and bulk carbonate carbon isotopes (Barnet et al., 2019; Westerhold  
849 et al., 2020; Hollis et al., 2022). (B) Trends in benthic foraminiferal oxygen isotopes (Barnet  
850 et al., 2019; Westerhold et al., 2020; Hollis et al., 2022). (C) Temperatures estimated from  
851 the  $\text{TEX}_{86}^{\text{H}}$  sea surface temperature (SST) proxy and the MBT'–CBT mean annual air  
852 temperature (MAAT) proxy, adapted from the compilation of Hollis et al. (2014, 2022).  
853 Carbon isotope correlation between marine records (D) and the Subei Basin (E) during the  
854 Paleocene carbon isotope maximum (PCIM).

855

856 Figure 4. Variations in chemical (A–B) and mineralogical (C–D) paleoclimate proxies,  
857  $\delta^{13}\text{C}_{\text{carb}}$  and  $\delta^{13}\text{C}_{\text{org}}$  values (E–F) in drill core QY 1. CIA = chemical index of alteration, and  
858 MIA = mineralogical index of alteration. The dashed line at 65 on the CIA plot represents



859 the approximate boundary between low intensity chemical weathering under an arid  
860 climate (<65), and moderate chemical weathering under a temperate climate (65-85;  
861 Nesbitt and Young, 1982; Bahlburg and Dobrzinski, 2011). The horizontal dashed line  
862 represents a depth of ~3750 m in drill core QY 1.

863

864 Figure 5. Stratigraphic distribution of TOC (A) and TS (B) concentrations, and the  
865 paleosalinity proxies B/Ga (C) and S/TOC (D) in drill core QY 1. B/Ga and S/TOC salinity  
866 facies thresholds from Wei and Algeo (2020). Horizontal dashed line represents a depth of  
867 ~3750 m in drill core QY 1.

868

869 Figure 6. Stratigraphic distribution of redox proxy data for drill core QY 1, including Fe  
870 speciation systematics (A–B), Mo (C), U (D), V (E) and Mn (F) enrichment factors. Vertical  
871 dashed lines on the  $Fe_{HR}/Fe_T$  plot represent the boundaries for distinguishing oxic,  
872 equivocal and anoxic water column conditions in marine settings (Poulton and Canfield,  
873 2011), while the grey bar represents the global average for riverine particles (Poulton and  
874 Raiswell, 2002). Dashed lines on the  $Fe_{Py}/Fe_{HR}$  plot represent the calibrated boundaries  
875 for distinguishing ferruginous, equivocal and euxinic conditions for anoxic samples  
876 (Poulton, 2021). Dashed lines at a value of 1 on the enrichment factor plots (C-F) represent  
877 the crustal average. Additional dashed line at 0.47 on the  $U_{EF}$  plot (D) represents the  
878 estimated oxic baseline value for this core (see text for details). Horizontal dashed line  
879 represents a depth of ~3750 m in drill core QY 1.

880

881 Figure 7. Total organic carbon (TOC) versus Mo (A) and TOC versus Co × Mn (B) for the  
882 samples from drill core QY 1. Dashed lines on A represent different modern marine systems  
883 (Cariaco Basin, Framvaren Fjord, and Black Sea) with variable hydrographic restriction  
884 conditions (Algeo and Lyons, 2006). Dashed line on B represents a cut-off value of 0.4 for  
885 the distinction between open/upwelling (<0.4) and restricted settings (>0.4) (Sweere et al.,  
886 2016).

887

888 Figure 8. Variation in  $\delta^{13}\text{C}_{\text{carb}}$ ,  $\delta^{13}\text{C}_{\text{org}}$ , TOC, CIA, B/Ga and  $\text{Mn}_{\text{EF}}$  values plotted against age  
889 for drill core QY 1. The age model used here is based on carbon isotope correlation  
890 between drill core QY 1 and marine records. Horizontal dashed line represents a depth of  
891 ~3750 m in drill core QY 1, which corresponds to the “\*” event (Horizontal purple solid line)  
892 identified in marine records.

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894 Figure 9. Schematic showing the evolution of watermass chemistry in the lacustrine Subei  
895 Basin under arid (A) and humid (B) climatic conditions.

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898 Figure S1. Crossplots of Sr versus  $\delta^{13}\text{C}_{\text{carb}}$  (A), Mn/Sr versus  $\delta^{13}\text{C}_{\text{carb}}$  (B), Mg/Ca versus  
899  $\delta^{13}\text{C}_{\text{carb}}$  (C), and  $\delta^{18}\text{O}$  versus  $\delta^{13}\text{C}_{\text{carb}}$  (D).

900

901 Figure S2. A–CN–K ( $\text{Al}_2\text{O}_3\text{--CaO}^* + \text{Na}_2\text{O--K}_2\text{O}$ ) ternary diagram of the samples from drill  
902 core QY 1. CIA–Chemical Index of Alteration, Pl–plagioclase, Kfs–K-feldspar, Sm–

903 smectite, Kln–kaolinite, Chl–chlorite, Ms–muscovite.

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905 Figure S3. Crossplots of Al/Ti versus CIA (A) and Th/Sc versus CIA (B).

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907 Figure S4. Crossplots of CaO versus Sr (A) and CaO versus Sr/Ba (B).

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910 <sup>1</sup>Supplemental Material. [\[Table S1 and Figures S1–S4\]](#) Please visit

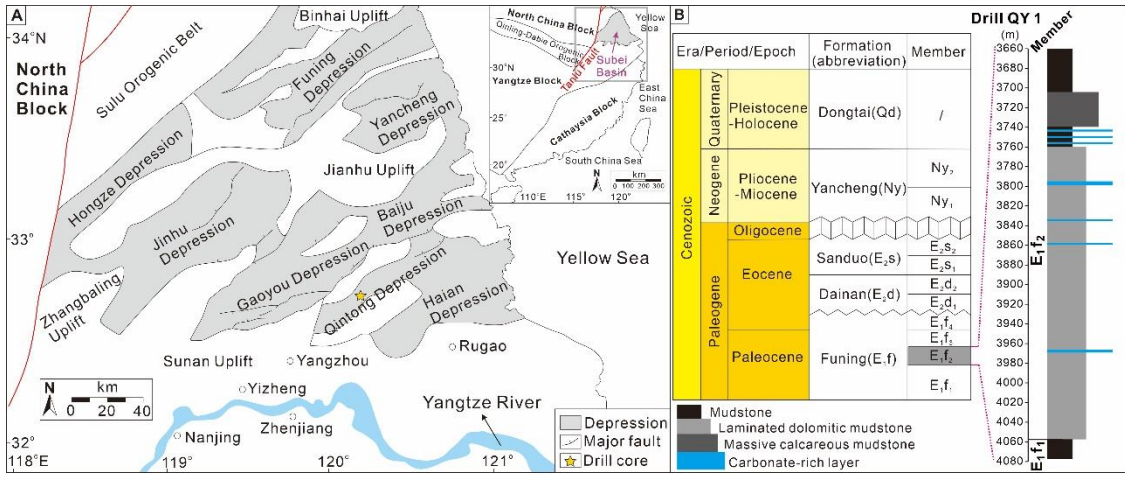
911 <https://doi.org/10.1130/XXXX> to access the supplemental material, and contact

912 [editing@geosociety.org](mailto:editing@geosociety.org) with any questions.

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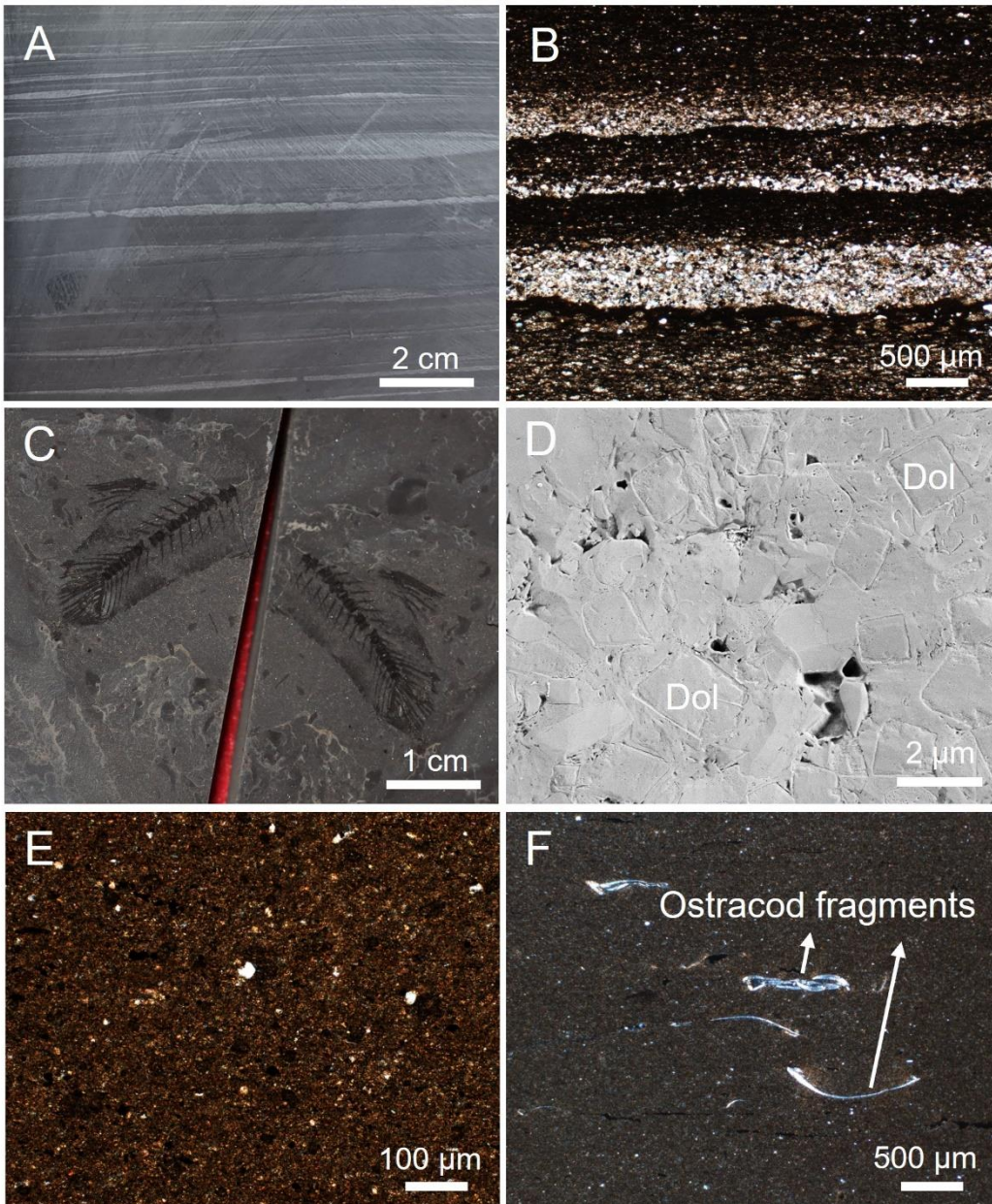


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Fig. 1



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920 Fig. 2  
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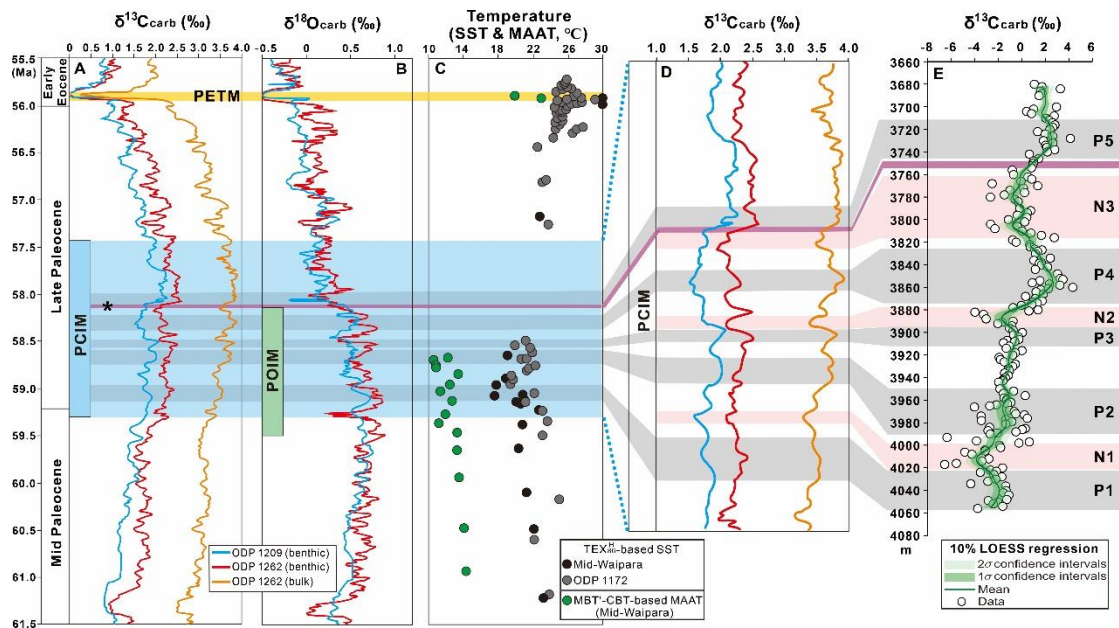


Fig. 3

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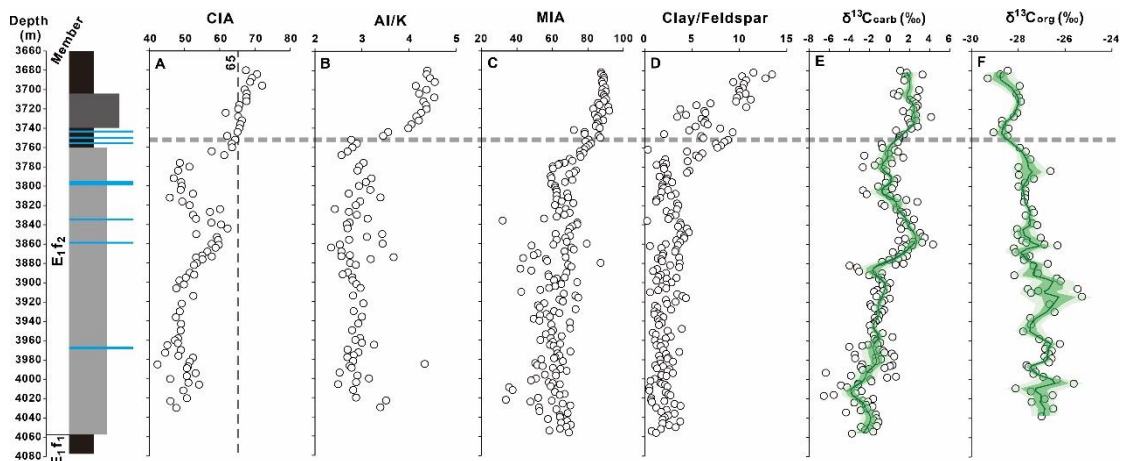
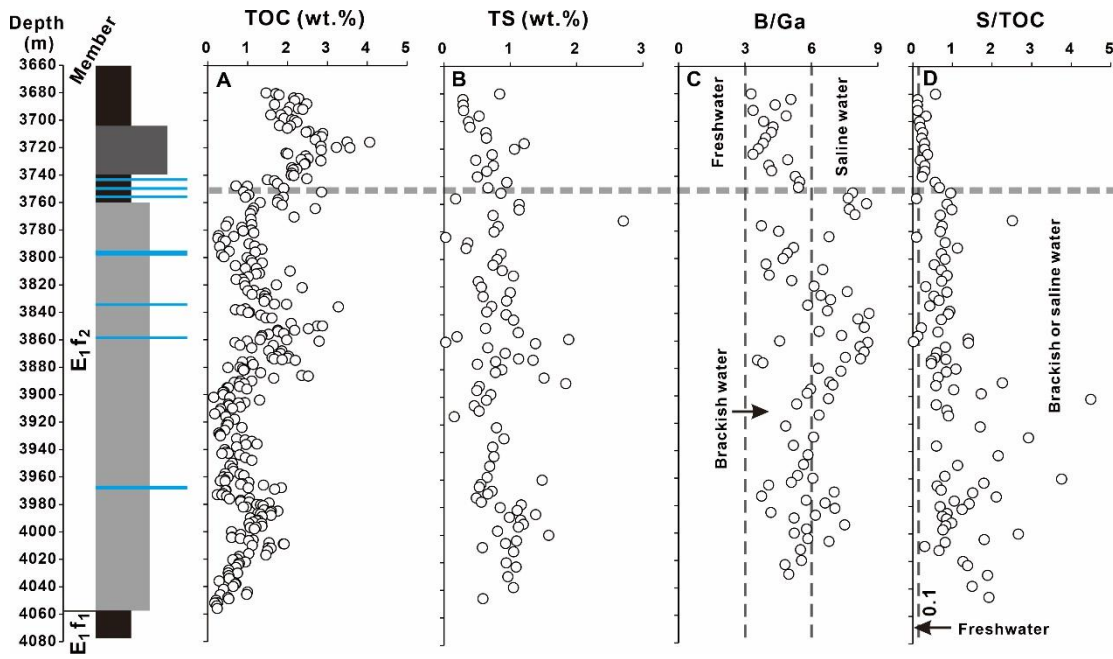


Fig. 4

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Fig. 5

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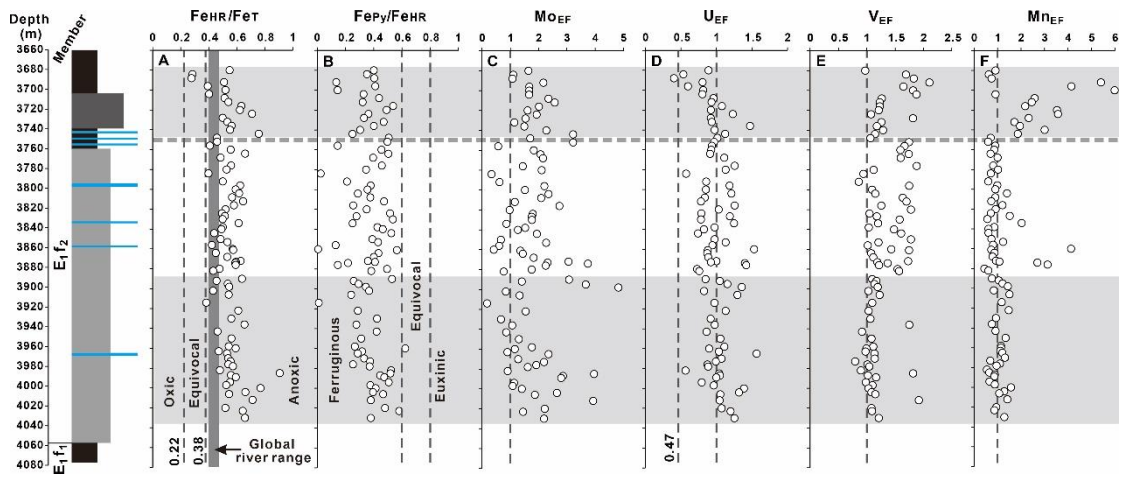
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Fig. 6

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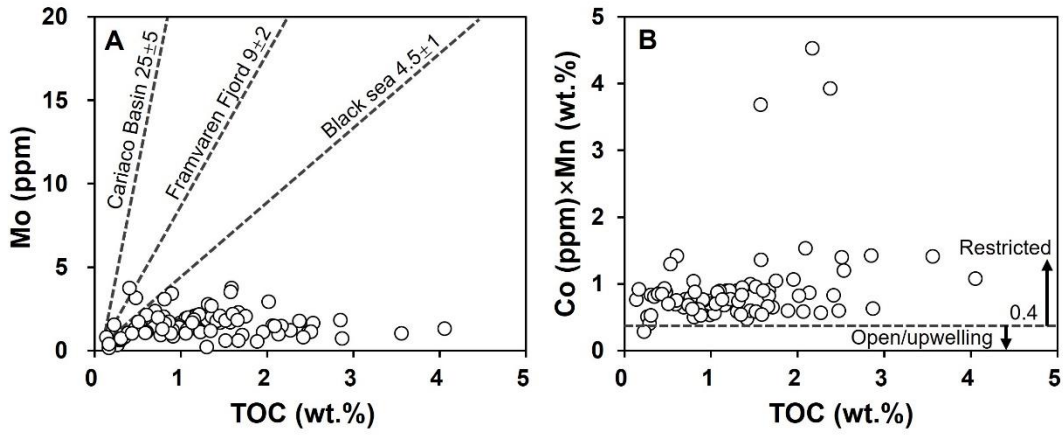
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1017 Fig. 7

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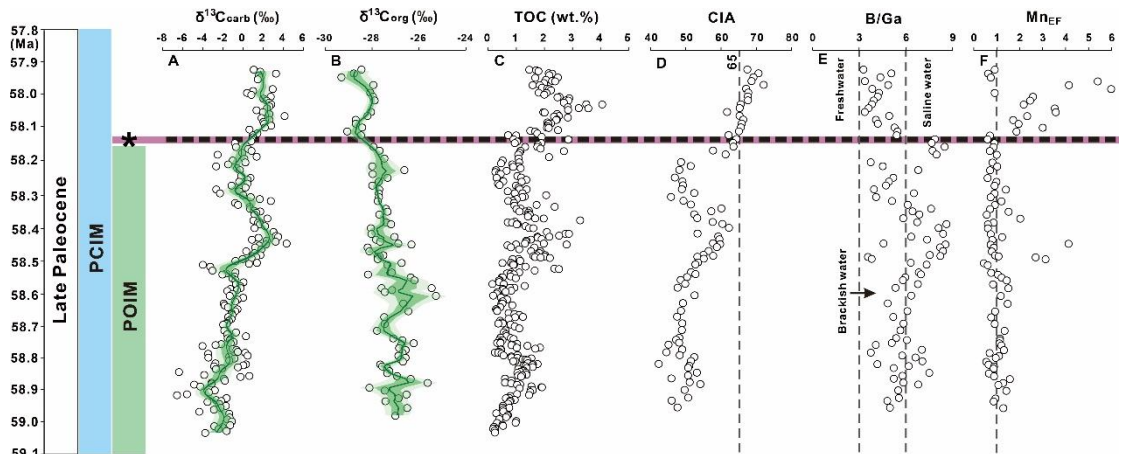
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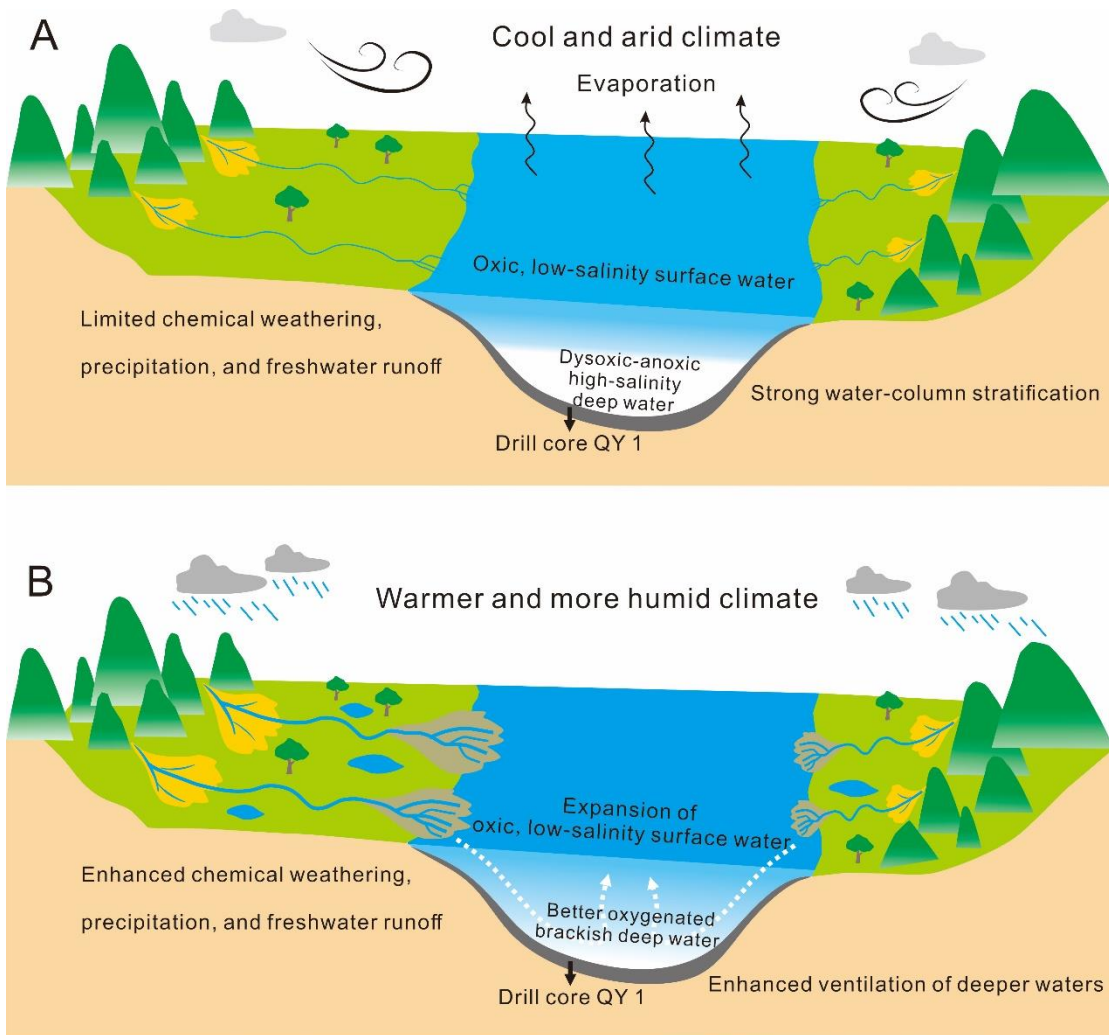
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Fig. 8

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Fig. 9