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Investigation on magnetic properties, martensitic transformation, and kinetics of Ni-Mn-Sn alloys with excess Mn: first-principles calculations and experiments

Yu Zhang^{1,2}, Jing Bai^{1,2,4} *, Keliang Guo¹, Jiaxin Xu^{1,2}, Jianglong Gu³, Nicola Morley⁴, Qiuzhi Gao², Yudong Zhang⁵, Claude Esling⁵, Xiang Zhao¹, Liang Zuo¹

¹Key Laboratory for Anisotropy and Texture of Materials (Ministry of Education), School of Material Science and Engineering, Northeastern University, Shenyang 110819, China

²Key Laboratory of Dielectric and Electrolyte Functional Material Hebei Province, School of Resources and Materials, Northeastern University at Qinhuangdao, Qinhuangdao 066004, PR China

³State Key Laboratory of Metastable Materials Science and Technology, Yanshan University, Qinhuangdao 066004, China

⁴Department of Material Science and Engineering, University of Sheffield, Sheffield, S1 3JD, UK

⁵Laboratoire d'Étude des Microstructures et de Mécanique des Matériaux, UMR 7239, LEM3, CNRS, University of Lorraine, 57045 Metz, France

*Corresponding author: baijing@neuq.edu.cn

ABSTRACT

We have investigated the phase stability, magnetic properties, martensitic transformation, and kinetics of the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x, y=0, 1, 2$) system by combining the first-principles calculations and experiments. The calculation results show that the optimized lattice parameters are consistent with the experimental data. Respectively obtain the relation equation of austenite formation energy ($E_{\text{form-A}}$) and Mn content (X_{Mn}): $E_{\text{form-A}}=507.358X_{\text{Mn}}-274.126$, and the relation equation of six-layer modulated (6M) martensite formation energy ($E_{\text{form-6M}}$) and Ni content (X_{Ni}): $E_{\text{form-6M}}=-728.484X_{\text{Ni}}+264.374$. The stable magnetic state of each phase is determined by the lowest formation energy (E_{form}), and the ternary phase diagram of total magnetic moment is established. Excessive Mn will reduce the total magnetic moment of 6M ($\text{Mag}_{6\text{M}}$) and non-modulated (NM) (Mag_{NM}) martensites, with the following equations relating the total magnetic moment and Mn content: $\text{Mag}_{6\text{M}}=-15.905X_{\text{Mn}}+7.902$ and $\text{Mag}_{\text{NM}}=-14.781X_{\text{Mn}}+7.411$, while the effect on austenite is complex. The Mn atomic magnetic moment is not only the primary contributor to the total magnetic moment, but also

the dominant driver of the trend in the total magnetic moment. Based on the thermodynamics of martensitic transformation, the alloys will likely undergo Austenite \leftrightarrow 6M \leftrightarrow NM transformation sequence. Combining the thermodynamic and kinetic results, the martensitic transformation temperature decreases with increasing x and increases with increasing y . The 3d electrons of Mn_{Sn} (Mn at Sn sublattice) play an important role in magnetic properties as revealed by the electronic density of states. These results are expected to provide reference for predicting the phase stability and magnetic properties of Ni-Mn-Sn alloys.

Keywords: Ni-Mn-Sn; First-principles calculations; Martensitic transformation; Magnetic property; Kinetics

1. Introduction

Heusler-type Ni-Mn-X (X=In, Sn, and Sb) metamagnetic shape memory alloys (MSMAs) have attracted significant attention owing to their multifunctional properties, such as magnetic shape memory effect (MSME)[1,2], magnetocaloric effect (MCE)[3,4], elastocaloric effect (eCE)[5,6], and magnetoresistance (MR)[7,8]. Essentially, all these excellent functional behaviors originate from the martensitic transformation (MT) involving coupled structural and magnetic transition. In particular, Ni-Mn-Sn alloys have the advantages of rare-earth-free, low-cost, and environmental protection. These excellent comprehensive performances have great application potential in aerospace, communication, information, room-temperature refrigeration, and other fields[9].

The high-temperature austenitic structure of Ni-Mn-Sn alloys has been proven to be the cubic $L2_1$ structure, while the low-temperature martensitic structure can exist in multiple possibilities [10,11]. The martensite can be divided into modulated martensite and NM martensite. The modulated martensite includes four-layered orthorhombic (4O), five-layer modulated (5M), 6M, and seven-layer modulated (7M) types mainly depending on the chemical composition.

It has been widely recognized that the excellent properties of MSMAs are due to the existence of modulated martensite. Zhang *et al.*[12] demonstrated giant elastocaloric and magnetocaloric effects in the textured $Ni_{43}Mn_{47}Sn_{10}$ alloy, which undergo the MT from a $L2_1$ structure at 298K to a 6M martensite structure at 253K measured by powder X-ray diffraction (XRD). Huang *et al.*[13] reported that the polycrystalline $Ni_{41}Co_9Mn_{40}Sn_{10}$ alloy exhibits a large magnetic entropy change (ΔS_M) of $31.9 \text{ J}\cdot\text{kg}^{-1}\cdot\text{K}^{-1}$ and a significant MR of 53.8% under a 5 T magnetic field. Subsequently, the

existence of 6M martensite was found at 100K by in-situ synchrotron high-energy XRD, and the selected area electron diffraction pattern of 6M martensite was confirmed using transmission electron microscopy (TEM) at room temperature. Li *et al.*[14] proved that a giant recoverable strain of about 20% along $\langle 001 \rangle_A$ can be obtained in $\text{Ni}_{50}\text{Mn}_{31.4}\text{Sn}_{9.6}\text{Fe}_9$ magnetic microwire, which is related to the stress-induced $L2_1 \rightarrow 6M \rightarrow \text{NM}$ two-step MT. Overall, these large ΔS_M , MR, and recoverable strain are also attributed to the presence of 6M martensite in Ni-Mn-Sn-based alloys. Despite the common occurrence of 6M martensite in Ni-Mn-Sn alloy, current research has mostly focused on specific compositions in experiments[15-17]. Due to the complexity of its long-period amplitude modulation structure and lack of accurate atomic positions, the simulation calculation of the modulated structure is challenging, so current theoretical studies have primarily focused on austenite and NM martensite. Since the austenite in the Ni-Mn-Sn and Ni-Mn-In systems is completely ordered and the MT is non-diffusive[18], the phase stability and magnetic properties of 6M martensite in the Ni-Mn-Sn system can be calculated with the help of crystal structure and atomic occupation of 6M martensite which has been accurately analyzed in the Ni-Mn-In system[19]. The metastable modulated martensite eventually tends to transform into the stable NM martensite with decreasing temperature[14,20], thus, we aim to explore the MT sequence including 6M martensite by using the first-principles calculations.

At present, the martensitic transformation temperature (T_M) can be adjusted over a wide range by changing the non-stoichiometric concentration or by doping other elements, providing a large design space. It is crucial to find a predictor to accurately predict the relationship between composition and T_M . The number of valence electrons per atom (e/a) has been commonly used as a predictor[21], but it is not always applicable[22-24]. Furthermore, Li *et al.*[25] proposed that shear elastic modulus (C') is more accurate in predicting T_M than e/a , but Luo *et al.*[26] found that the $C'-T_M$ relation breaks down for the $\text{Ni}_2(\text{Mn}_{1-x}\text{Fe}_x)\text{Ga}$ alloys. The above-mentioned parameters e/a and C' are only related to the properties of the austenite while ignoring the properties of the martensite, although both the austenite and martensite participate in the MT. Therefore, considering the energy difference (ΔE) between austenite and martensite can determine T_M qualitatively by taking into account the properties of both phases. It is well known that there are two decisive factors for the occurrence of MT. On the one hand, it is necessary to provide the free energy difference between the austenite and martensite, that is, the phase transition driving force. Another aspect is that it is essential

to overcome the phase transformation barrier during the lattice deformation of the phase transition, that is, the phase transition activation energy (Q)[27]. The greater the ΔE , the larger the driving force for phase transformation, resulting in an increase in phase transition temperature. Additionally, the phase transition barrier is getting larger with increasing Q , making the phase transformation more difficult and increasing the corresponding phase transition temperature[28]. Therefore, this paper combines phase transformation thermodynamics (first-principles calculations) and non-isothermal kinetics (experiments) to investigate the root cause of T_M variation with composition, providing guidance for the design of Ni-Mn-Sn alloy.

As known, the first-principles calculations can serve as an efficient and accurate tool for predicting the phase stability and magnetic properties of different compositions, and experiments can verify the accuracy of theoretical calculations. Based on these preconditions, we addressed the following issues: first, changes in formation energy and magnetic moment are projected onto the ternary phase diagrams, and the relations between these properties and composition in each phase are obtained respectively. Second, the MT path of the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ system is determined. Third, the relation between the martensitic transformation temperature and composition was revealed through a combination of thermodynamics and kinetics. These findings provide valuable information for the further design and development of Ni-Mn-based alloys.

2. Computational and experimental details

All calculations were based on the spin-polarized density functional theory (DFT) as implemented in the Vienna ab initio Simulation Package (VASP)[29,30]. The projector-augmented wave (PAW) pseudopotential approach was used to describe the interaction between ions and electrons[31,32]. The Perdew-Burke-Ernzerhof implementation of generalized gradient approximation (PBE-GGA) approach was employed to treat the exchange-correlation functional[33]. Valence electron configurations used for Ni, Mn, and Sn were $3d^84s^2$, $3d^64s^1$, and $4d^{10}5s^25p^2$, respectively. Fig. 1 shows the crystal structures of $L2_1$ austenite (Fig. 1(a)), NM (Fig. 1(b)), 4O (Fig. 1(c)), and 6M (Fig. 1(d)) martensites with 16, 8, 16, and 24 atoms per unit cell, respectively. To facilitate the comparison, a 48-atoms supercell was constructed. The austenite, NM, 4O, and 6M martensites used Monkhorst-Pack $12 \times 12 \times 4$, $7 \times 10 \times 11$, $3 \times 13 \times 17$, and $8 \times 13 \times 6$ k -points for the first Brillouin zone sampling, respectively[34]. The structural relaxation was performed with a plane-wave

cutoff energy of 351eV, and the convergence criteria for total energy, force, and external pressure were set to be 10^{-4} eV, 0.02 eV/Å, and ± 3 kBar, respectively. Detailed crystallographic information on 6M and 4O martensites is available from Ref. [19] and Ref. [35], respectively.

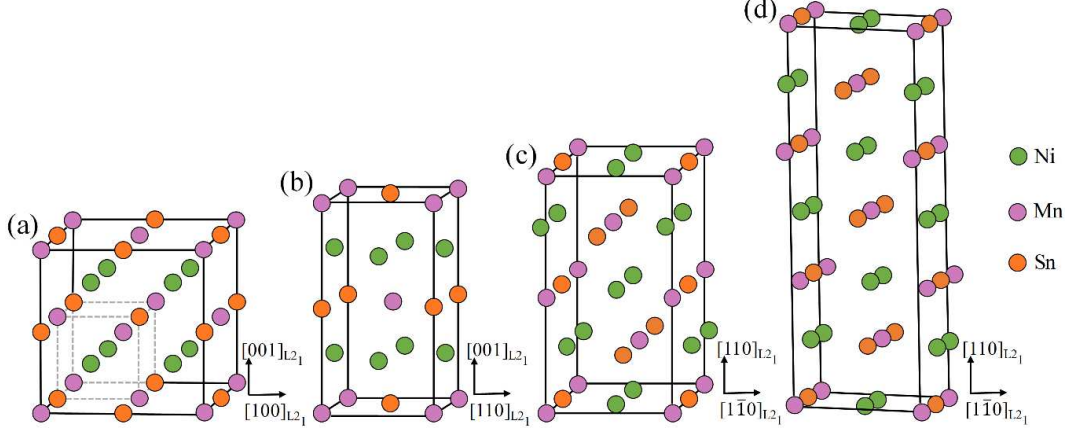


Fig. 1. Crystal structures of (a) L₂₁ austenite, (b) NM, (c) 4O, and (d) 6M martensites for Ni₂MnSn per unit cell.

In this work, we investigated the optimal magnetic configuration and phase stability of each phase in the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} system, the formation energy (E_{form}) was calculated using Eq. (2-1)[36].

$$E_{\text{form}} = \frac{E_{\text{total}}(\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}) - (24-x)E_{\text{Ni}} - (18+x+y)E_{\text{Mn}} - (6-y)E_{\text{Sn}}}{48} \times 1000 \quad (2-1)$$

where E_{total} is the total ground-state energy of the compound; E_{Ni} , E_{Mn} , and E_{Sn} represent the ground-state energy per atom of Ni (face-centered cubic structure, ferromagnetic), Mn (complex body-centered cubic structure, ferromagnetic), and Sn (diamond cubic structure, paramagnetic) pure elements in their reference bulk states, and $24-x$, $18+x+y$, and $6-y$ are the numbers of Ni, Mn, and Sn in the 48-atom supercell, respectively. The lower E_{form} corresponds to the higher phase stability. To verify the calculation results, a series of non-textured polycrystalline Ni_{24-x}Mn_{18+x+y}Sn_{6-y} ($x, y=0, 1, 2$) alloys were prepared by arc melting of high purity (99.99%) elements under the argon atmosphere protection. To eliminate compositional segregation, the as-cast ingots were further homogenized at 1173K for 48h in a vacuum condition and quenched in cold water. The actual composition was verified by energy dispersive spectrometry (EDS, JSM-7001F). The room-temperature crystal structure was analyzed by XRD (Rigaku SMARTLAB 9) with Cu K α radiation after annealing the powder samples at 873 K for 5 h. The start and finish temperatures for the forward and reverse martensitic transformations (M_f , M_s , A_f , and A_s) were analyzed by differential scanning calorimetry (DSC, TA-Q100) measurements with heating and cooling rates of 10 K/min. DSC with different

heating rates from 5 to 20 K/min was used to explain the root cause of the T_M change with composition from the perspective of non-isothermal kinetics. Selected area electron diffraction pattern was observed by TEM (JEM-2100F) to further determine the room-temperature crystal structure.

3. Results and discussion

3.1. Magnetic configuration and phase stability

To analyze the magnetic configuration in detail, both ferromagnetic (FM) and ferrimagnetic (FIM) states were considered. Especially in the bivariate $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ ($x \neq 0$) system, three ferrimagnetic states were investigated, as demonstrated by Fig. 2. The magnetic moments of normal Mn (Mn_{Mn}) atoms and excess Mn atoms are parallel, denoted as FM, as shown in Fig. 2(a), (c) and (e). In the univariate $Ni_{24}Mn_{18+y}Sn_{6-y}$ ($x=0$) system, the magnetic moment of Mn_{Mn} atoms is antiparallel to that of Mn_{Sn} , denoted as FIM, depicted in Fig. 2(d). When excess Mn atoms occupy both Sn and Ni sites (Mn_{Ni}), “FIM1” represents that the magnetic moment directions for all excess Mn atoms are antiparallel to that of the Mn_{Mn} atoms, as displayed in Fig. 2(f). If the magnetic moment direction of Mn_{Ni} or Mn_{Sn} atoms arranged anti-parallel to that of Mn_{Mn} atoms, they are named “FIM2” or “FIM3”, respectively, as seen in Fig. 2(g) and (h).

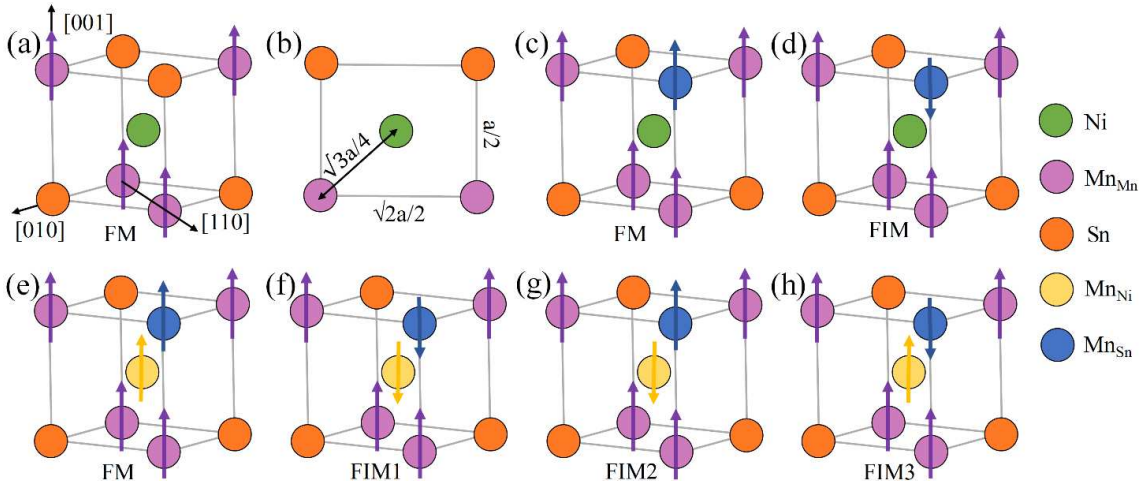


Fig. 2. Unit cell of L_{21} structure: (a) Magnetic moment distribution diagram and (b) atomic distribution of (110) plane of $Ni_{24}Mn_{12}Sn_{12}$ alloy; (c) FM and (d) FIM coupling between Mn_{Mn} and Mn_{Sn} in $Ni_{24}Mn_{18+y}Sn_{6-y}$ ($x=0$) alloys; (e) FM and (f) FIM1 coupling between Mn_{Mn} and excess Mn, (g) FIM2 and (h) FIM3 indicate magnetic moment direction of Mn_{Ni} or Mn_{Sn} arranges anti-parallel to that of Mn_{Mn} in $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ ($x \neq 0$) alloys.

Fig. 3 shows the E_{form} of the austenite, 6M, and NM martensites for the $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ ($x, y=0, 1, 2$) alloys in both ferromagnetic and ferrimagnetic states. The austenite's magnetic configuration dependence on the composition shows complexity within the studied range. The E_{form}

of the austenite in the FIM state is lower than that in the FM state for $x=0$, indicating that the austenite exists stably in the FIM state for the $\text{Ni}_{24}\text{Mn}_{18+y}\text{Sn}_{6-y}$ alloys. For the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1$ and 2) alloys, the E_{form} of austenite in the FIM2 state is the lowest. Remarkably, the FM state is extremely unstable, transforming spontaneously into the most stable FIM2 state during the structural optimization. Exchange interactions between Mn atoms strongly depend on the nearest Mn-Mn distance. In the Sn-deficient alloys, excess Mn atoms couple antiferromagnetically to Mn_{Mn} atoms, as the $\text{Mn}_{\text{Sn}}\text{-Mn}_{\text{Mn}}$ distance ($(1/2)a$) is closer than that between normal Mn atoms ($(\sqrt{2}/2)a$) (see Fig. 2(b) and (d)). However, for the alloys deficient in both Ni and Sn, the $\text{Mn}_{\text{Mn}}\text{-Mn}_{\text{Ni}}$ distance ($(\sqrt{3}/4)a$) is closer than $\text{Mn}_{\text{Mn}}\text{-Mn}_{\text{Sn}}$ distance ($(1/2)a$). Therefore, the antiferromagnetic coupling between Mn_{Mn} and Mn_{Ni} atoms is stronger than that between Mn_{Mn} and Mn_{Sn} atoms. Additionally, both Mn_{Mn} and Mn_{Sn} atoms have the same distance from Mn_{Ni} atom and couple antiferromagnetically to the Mn_{Ni} atom. Hence, the ferromagnetic coupling between Mn_{Mn} and Mn_{Sn} atoms established (see Fig.2 (g)). Consequently, the FIM2 state of austenite is the most thermodynamically stable in the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1$ and 2) system.

Nevertheless, the magnetic configuration of martensite is not affected by composition. For the 6M and NM martensites, the E_{form} of the FIM and FIM1 states is the lowest in $\text{Ni}_{24}\text{Mn}_{18+y}\text{Sn}_{6-y}$ ($x=0$) and $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1, 2$) alloys, where the spin directions of the magnetic moments for the excess Mn atoms are aligned antiparallel to that of the normal Mn atoms. Previous study shows that reducing the Mn-Mn distance below the critical value of about 3\AA changes the magnetic interaction from ferromagnetic to antiferromagnetic[37]. In the optimized structures of 6M and NM martensites, the distances between the nearest neighboring $\text{Mn}_{\text{Mn}}\text{-Mn}_{\text{Ni}}$ and $\text{Mn}_{\text{Mn}}\text{-Mn}_{\text{Sn}}$ are less than 3\AA , favoring the stabilization of the antiferromagnetic state. Therefore, the FIM and FIM1 states are the most thermodynamically stable states of the 6M and NM martensites for the $\text{Ni}_{24}\text{Mn}_{18+y}\text{Sn}_{6-y}$ ($x=0$) and $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1, 2$) alloys, respectively.

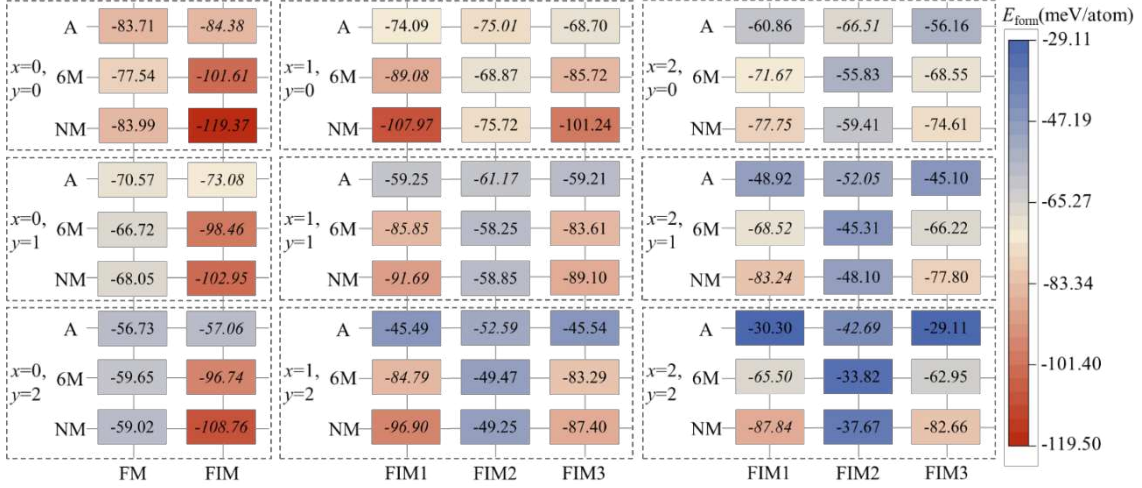


Fig. 3. E_{form} of A, 6M, and NM phases in ferromagnetic and ferrimagnetic states for $\text{Ni}_{24-x}\text{Mn}_{18+x-y}\text{Sn}_{6-y}$ ($x, y=0, 1, 2$) alloys. Italic indicates the lowest E_{form} for each phase of alloys.

Subsequently, we project the formation energies of the austenite, 6M, and NM phases with the most stable magnetic configuration onto the ternary phase diagram, as illustrated in Fig. 4. The contour lines in Fig. 4(a) reveal that the E_{form} of austenite increases gradually with the Mn content increases, leading to a decrease in the stability of austenite. As displayed by the black scattered points and line in Fig. 4(d), based on the current calculation results, the $E_{\text{form-A}}$ and the X_{Mn} in the alloy are linearly fitted, and the fitting equation is obtained and shown in Eq. 3-1. Furthermore, Fig. 4(b) displays that the stability of 6M increases with increasing Ni content, as the bright region with higher E_{form} gradually transfers to the blue region with lower E_{form} . Thus, we obtained a fitting equation (Eq. 3-2) between the X_{Ni} and the $E_{\text{form-6M}}$ martensite, as shown by the red fitted line in Fig. 4(d). From Fig. 4(c), with the same Sn content, the E_{form} of NM martensite is higher as more Ni sites are substituted by Mn.

$$E_{\text{form-A}} = 507.358X_{\text{Mn}} - 274.126 \quad (3-1)$$

$$E_{\text{form-6M}} = -728.484X_{\text{Ni}} + 264.374 \quad (3-2)$$

Where $E_{\text{form-A}}$ and $E_{\text{form-6M}}$ are the formation energies of austenite and 6M martensite, respectively. X_{Mn} and X_{Ni} represent the Mn and Ni proportions in the alloy, respectively. The ternary phase stability diagrams and the fitting equations can conveniently predict the E_{form} of each phase for various compositions, thereby enabling the prediction of phase stability without the need for numerous complicated experiments to screen compositions. It provides a more simple and direct approach for designing the alloy composition.

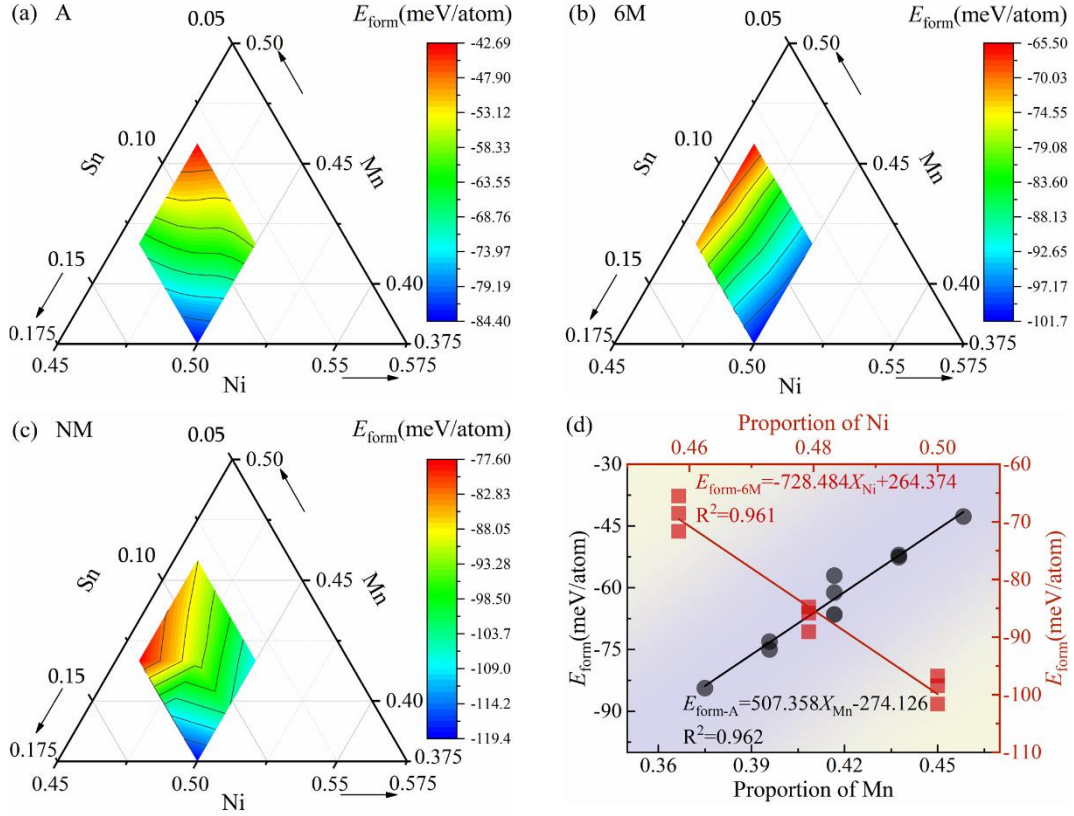


Fig. 4. Mapping of E_{form} on ternary phase diagram of Ni_{24-x}Mn_{18+x+y}Sn_{6-y} alloys: (a) A, (b) 6M, and (c) NM phases, (d) A diagram of relationship between E_{form} and composition.

3.2. Optimized structural parameters and magnetic properties

The optimized lattice parameters and volume per formula unit of the austenite, 6M, and NM martensites for the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} system are depicted in Table 1. The lattice parameter a of austenite decreases and the unit cell volume (V) shrinks with Mn_{Sn} content y increases when Mn_{Ni} content x remains constant, and vice versa. The atomic radius difference is the primary factor affecting the change in lattice parameters (the atom radii are 1.25Å for Ni, 1.35Å for Mn, and 1.63Å for Sn, respectively). For the 6M martensite, there is no obvious rule between a and composition, while b , c , and V gradually decrease, and β increases as Mn_{Sn} content y increases. For the NM martensite, a decreases and c increases with the increase of y when x remains constant, resulting in a shrink in V . However, when Mn_{Sn} content y is constant, a decreases, c increases, and V expands with the increase in Mn_{Ni} content x . The change in lattice constant is the same, but the volume change is the opposite. Despite a slight deviation between the experimental and calculated values, they are generally in good agreement. This can be attributed to two factors: First, the experimental compositions are not precisely the same as the calculated compositions. Furthermore, the XRD test is carried out at room

temperature, and the calculation is based on 0 K.

Table 1 Optimized lattice parameters and volume per formula unit of $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ alloys (Bold italic represents the experimental value).

Phase	Lattice parameters	$x = 0$			$x = 1$			$x = 2$		
		$y = 0$	$y = 1$	$y = 2$	$y = 0$	$y = 1$	$y = 2$	$y = 0$	$y = 1$	$y = 2$
A	$a = b = c$ (Å)	5.926	5.901	5.890	5.950	5.932	5.915	5.953	5.936	5.915
		–	–	–	5.978	–	–	5.997	–	–
6M	V (Å ³)	52.038	51.375	51.088	52.669	52.186	51.726	52.751	52.279	51.730
	a (Å)	4.427	4.430	4.442	4.458	4.458	4.452	4.477	4.456	4.446
		–	4.421	4.420	–	4.421	4.414	–	4.415	4.435
	b (Å)	5.455	5.382	5.319	5.416	5.365	5.301	5.406	5.370	5.316
		–	5.537	5.480	–	5.557	5.484	–	5.574	5.488
	c (Å)	12.863	12.824	12.774	12.878	12.850	12.796	12.856	12.836	12.775
		–	12.998	12.955	–	12.997	12.950	–	12.996	12.990
	β (°)	94.431	94.847	95.163	94.636	95.021	95.362	94.259	94.032	95.017
		–	93.596	94.237	–	93.222	94.154	–	93.203	93.345
	V (Å ³)	51.606	50.776	50.097	51.518	51.032	50.115	51.718	51.066	50.133
NM	$a = b$ (Å)	3.770	3.767	3.720	3.812	3.750	3.713	3.806	3.751	3.708
	c (Å)	7.194	7.123	7.181	7.091	7.180	7.220	7.125	7.211	7.256
	V (Å ³)	51.122	50.514	49.687	51.518	50.514	49.764	51.616	50.729	49.883

To reveal the variation of the total magnetic moment and magnetization difference (ΔM) with composition in the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ alloys, we calculated the total magnetic moment of each phase, as shown in Fig. 5. The total magnetic moment of each phase in the FIM state decreases with the increase in Mn_{Sn} content y for the $\text{Ni}_{24}\text{Mn}_{18+y}\text{Sn}_{6-y}$ ($x=0$) alloys. Furthermore, the difference in magnetization between different phases is slight for the same composition, and the ΔM remains almost unchanged with the composition during (inter)martensitic transformation.

For the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x \neq 0$) system, the total magnetic moment of austenite increases with Mn_{Sn} content y and decreases with Mn_{Ni} content x . However, the magnetic moments of 6M and NM martensites have little difference, both decrease with the increase in x or y . It is worth mentioning that this series of alloys can produce a large ΔM when MT occurs. The ΔM exhibits a positive correlation with respect to y and remains relatively stable with changes in x . The larger ΔM is favorable to obtain a significant magnetic driving force (Zeeman Energy $E_{\text{Zeeman}} = \mu_0 \Delta M H$) during the MT, which is a prerequisite for the realization of magnetostrictive shape memory alloys[38]. This explains why most experiments were compositionally tuned by adjusting Mn to replace Ni and Sn[12,39].

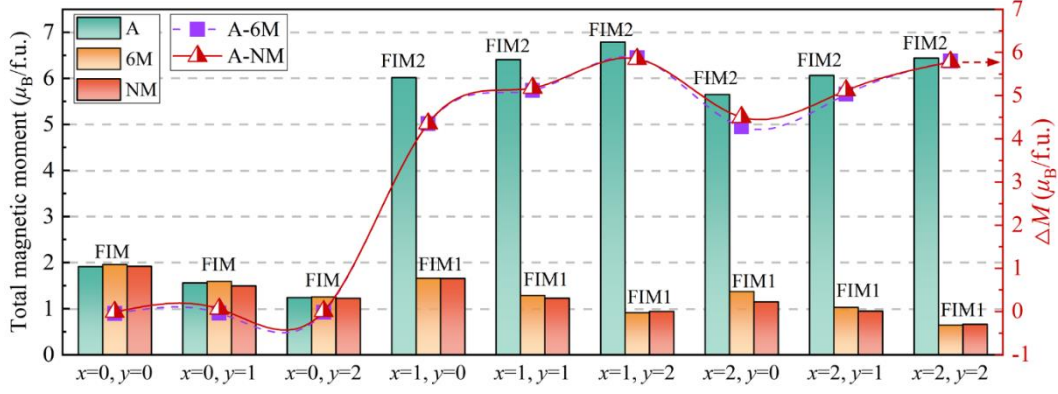


Fig. 5. Total magnetic moment per formula unit of austenite, 6M, and NM martensites as well as ΔM of A-6M and A-NM in $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x, y=0, 1, 2$) system.

Subsequently, we projected the total magnetic moment of each phase onto the ternary phase diagram in Fig. 6, to predict the total magnetic moment of alloys within a broader composition range. The trend of the total magnetic moment between austenite and martensite differs significantly, while that between 6M and NM martensites is very similar. For the austenite in Fig. 6(a), the maximum total magnetic moment occurs in the Mn-excess Ni and Sn-deficient alloys, and the minimum magnetic moment occurs in the alloy with 50% Ni content. As shown in Fig. 6(b) and (c), the maximum total magnetic moment of 6M and NM martensites occurs in alloys with lower Mn content. Additionally, as depicted in the upper right corner of the diamond projection in the phase diagram of Figure 6(a)-(c), the MT of the alloy in this composition region can produce a large ΔM . Furthermore, the total magnetic moment of 6M and NM martensites decreases with increasing Mn content. As shown in Fig. 6(d), we have fitted the relationship between the Mn content and the total magnetic moment of 6M and NM martensites and obtained their respective equations (Eq. 3-3 and Eq. 3-4).

$$\text{Mag}_{6M} = -15.905X_{\text{Mn}} + 7.902 \quad (3-3)$$

$$\text{Mag}_{\text{NM}} = -14.781X_{\text{Mn}} + 7.411 \quad (3-4)$$

Where Mag_{6M} and Mag_{NM} are the total magnetic moment of 6M and NM martensites, respectively. X_{Mn} represents the proportion of Mn in the alloy. The establishment of the ternary phase diagram of the total magnetic moment and the fitting of the relationship between the total magnetic moment and composition for martensites provide a theoretical foundation for composition design and selection.

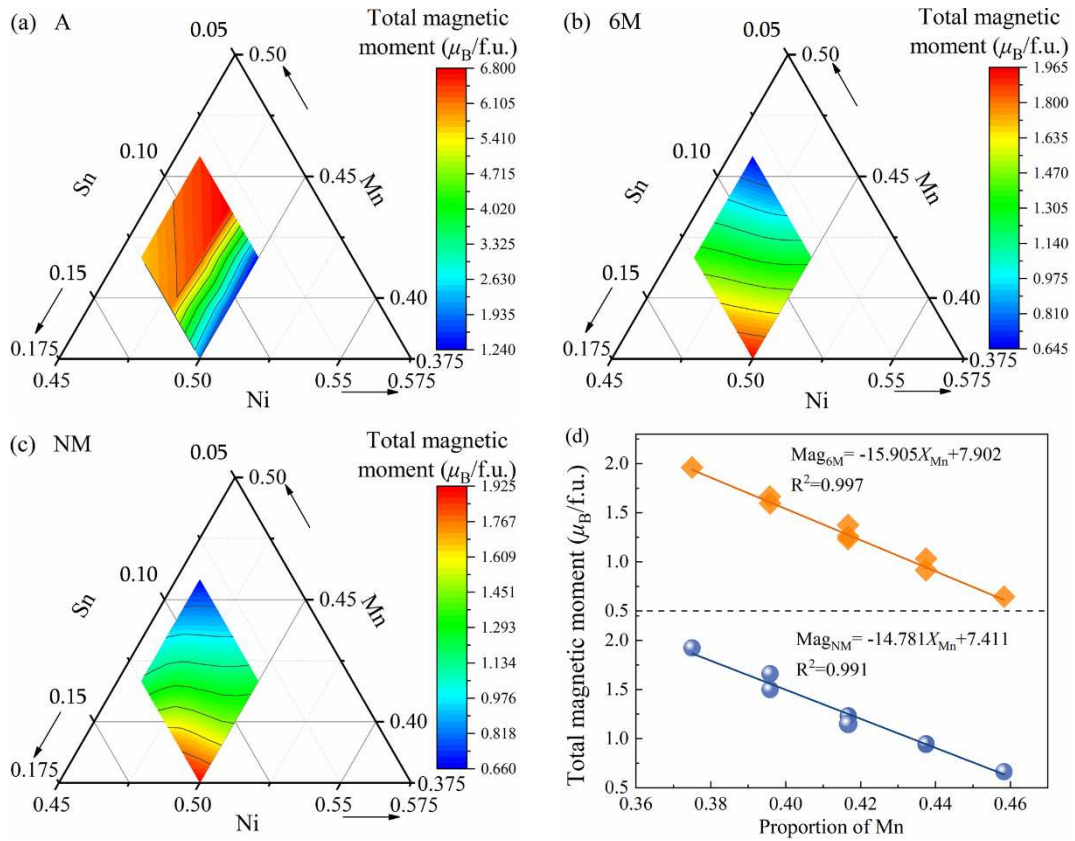


Fig. 6. Mapping of total magnetic moment (Mag) on ternary phase diagram of $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ alloys: (a) A, (b) 6M, and (c) NM phases, (d) A diagram of relationship between total magnetic moment and composition.

To further reveal the contribution of each atom to the total magnetic moment of the $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ alloys, the atomic magnetic moments of Ni and Mn are displayed in this section, as shown in Fig. 7. Since the Sn moment only varies between $-0.022 \sim -0.094 \mu_B$, the calculated result is consistent with previous study [40], the contribution of Sn moment to the total magnetic moment is ignored. The variation trends of the Ni moments are basically consistent with that of the total magnetic moments in the A and 6M phases. However, there are outliers in the NM martensite, the variation law of the Ni moments is not consistent with that of the total magnetic moment. The Mn is the main contributor to the total magnetic moment, and its moments in the austenite, 6M, and NM phases exhibit the same trend as the total magnetic moment. Thus, it can be concluded that the variation of total magnetic moment is mainly dominated by the average magnetic moment of Mn atoms.

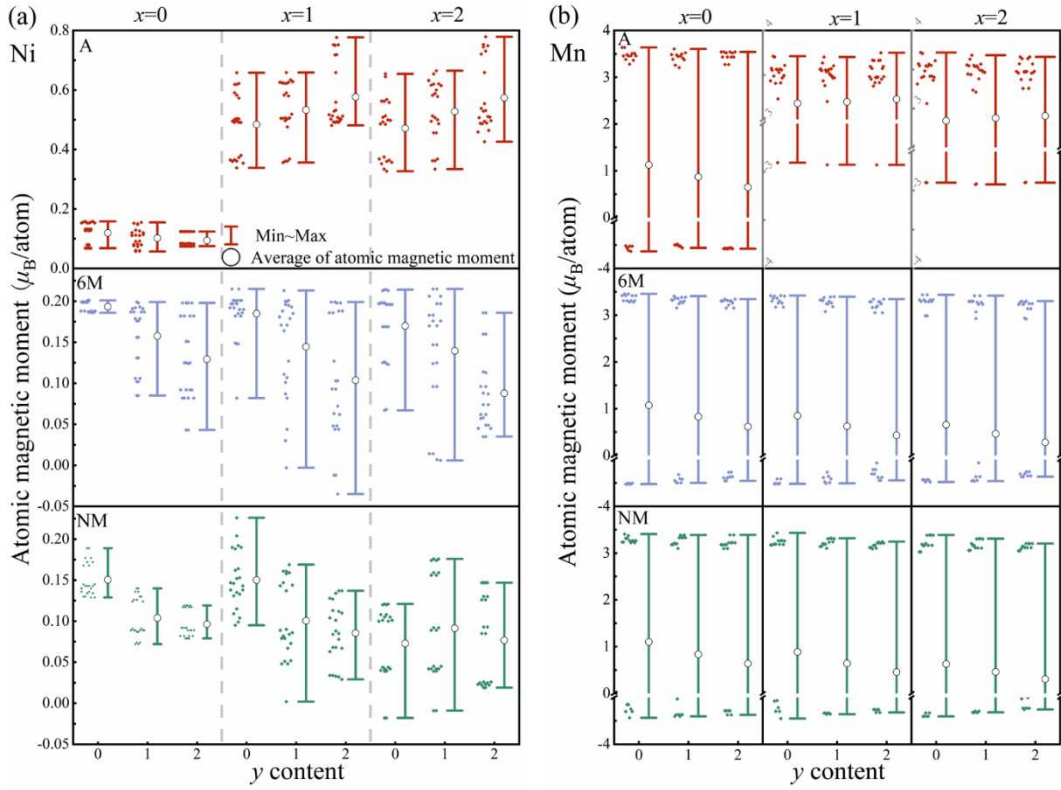


Fig. 7. Atomic magnetic moment of (a) Ni and (b) Mn atoms for $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ system.

3.3. Thermodynamic behavior of martensitic transformation

Fig. 8 shows the variation of Mn_{Ni} content x and Mn_{Sn} content y on the E_{form} and ΔE of each phase, as well as the effect of Mn content on MT. From Fig. 8(a), the E_{form} of the FIM state austenite in $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=0$) alloys and the FIM2 state austenite in $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1$ and 2) alloys both increases with the increase in Mn_{Ni} content x and Mn_{Sn} content y , implying that substituting Mn for Ni and Sn can destabilize austenite and potentially promote MT.

For the 6M martensite, the variation of E_{form} with Mn_{Ni} content x and Mn_{Sn} content y is similar to that for the austenite. For the NM martensite, the E_{form} changes with y without obvious regularity at $x=0$ and 1, but decreases with increasing y at $x=2$. When Mn_{Sn} content y remains constant, the E_{form} increases gradually with an increase in Mn_{Ni} content x . Interestingly, the E_{form} variation of the austenite and 6M martensite with Mn_{Ni} content x is consistent with that observed for the NM martensite, suggesting that increasing Mn_{Ni} content will render all three phases unstable. The E_{form} of different phases of any composition in Fig. 8(a) is from high to low: $\text{A} \rightarrow \text{6M} \rightarrow \text{NM}$, indicating that the alloy may undergo an intermartensitic transformation from high-temperature austenite to 6M martensite, with the latter then transforming into NM martensite as the temperature decreases. In summary, the possible martensitic transformation sequences are as follows:

Austenite^{FIM}→6M^{FIM}→NM^{FIM} (Ni_{24-x}Mn_{18+x+y}Sn_{6-y} (x=0) alloys) and Austenite^{FIM2}→6M^{FIM1}→NM^{FIM1} (Ni_{24-x}Mn_{18+x+y}Sn_{6-y} (x=1, 2) alloys).

From the perspective of phase transformation thermodynamics, MT requires a driving force, which arises from the ΔE between the new phase (martensite) and the parent phase (austenite). The likelihood of MT increases with a larger ΔE , resulting in a higher T_M . Fig. 8(b) shows that a positive ΔE_{A-6M} value predicts a transformation from austenite to 6M martensite throughout the studied composition range. The ΔE_{A-6M} ascends rapidly with an increase of Mn_{Sn} content y , indicating an enhanced driving force for MT. Therefore, as Mn_{Sn} content increases, T_M is proportional to Mn_{Sn} content y in the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} system. In addition, ΔE_{A-6M} decreases rapidly with an increase in Mn_{Ni} content x , indicating that the driving force of MT decreases gradually, so T_M is inversely proportional to Mn_{Ni} content x in the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} system. This result is consistent with the T_M (defined as $(M_s+M_f+A_s+A_f)/4$) trend observed in our experiment, indicating that ΔE_{A-6M} can serve as a predictor of T_M .

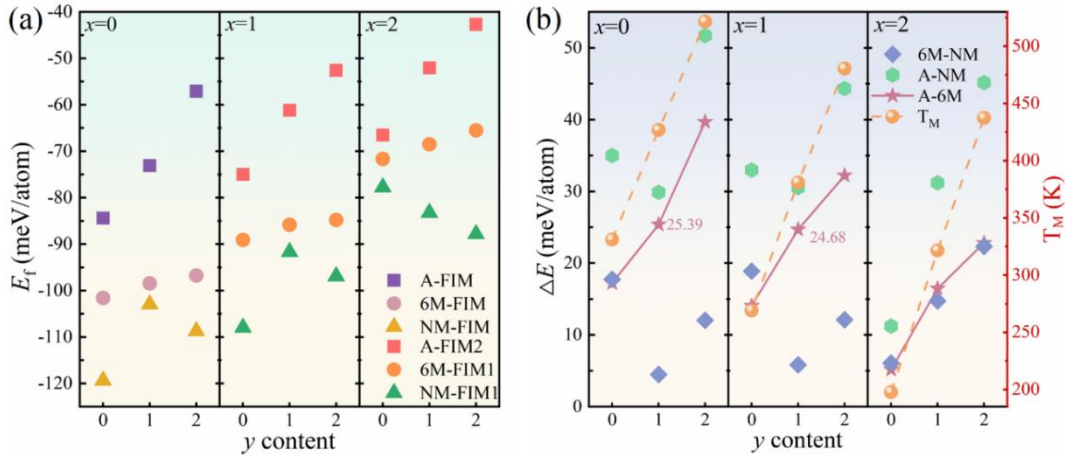


Fig. 8. (a) E_{form} of each phase and (b) ΔE between phases for Ni_{24-x}Mn_{18+x+y}Sn_{6-y} system.

To verify the accuracy of the current calculations, EDS, DSC, XRD, and TEM analyses were carried out for the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} ($x, y=0, 1, 2$) system. The EDS results show that the experimental compositions are close to the nominal composition, as presented in Fig. 9(b). The DSC curve of Fig. 9(a) shows that the studied alloys have undergone martensitic transformations, with the T_M increasing with an increase in Mn_{Sn} content y and decreasing with an increase in Mn_{Ni} content x . However, for the Ni₂₂Mn₂₀Sn₆ ($x=2, y=0$) alloy, the martensitic transformation temperature was not detected due to the limitation of the testing temperature range for the DSC equipment (The inverse MT displayed in the DSC curve may only be a partial MT). To accurately determine the martensitic

transformation temperature, we measured the magnetic-thermal (M-T) curve using a Quantum interference device (MPMS-3), as shown in the inset of Fig. 9(a). It can be seen from the M-T curve that the alloy undergoes an obvious magnetic-structure coupling phase transition, and the MT characteristic temperatures are shown in Fig. 9(b).

Then, the XRD patterns of the alloy powders were measured at room temperature, with results presented in Fig. 9(c). For $\text{Ni}_{23}\text{Mn}_{19}\text{Sn}_6$ ($x=1, y=0$) and $\text{Ni}_{22}\text{Mn}_{20}\text{Sn}_6$ ($x=2, y=0$) alloys, the diffraction patterns can be determined to be $L2_1$ austenite. It is found in the XRD pattern of $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($y \neq 0$) alloys exhibited a 6M martensitic structure. To further determine the crystal structure of the martensite, the $\text{Ni}_{24}\text{Mn}_{20}\text{Sn}_4$ ($x=0, y=2$) alloy was selected for TEM experiments. Fig. 9(d) shows the selected area electron diffraction (SAED) pattern for martensite, from which it can be seen that there are five additional state spots between the main reflection spots, characteristic of the 6M martensite structure[13,41]. Therefore, it is proved that 6M martensite does exist in the martensitic transformation sequence, which is consistent with our aforementioned result of the first-principles calculations. It is worth noting that the XRD calibration result of $\text{Ni}_{24}\text{Mn}_{18}\text{Sn}_6$ ($x, y=0$) alloy is 4O martensite, which is consistent with the references[42-44]. It proves that 4O martensite exists in the martensitic transformation sequence in the $\text{Ni}_{24}\text{Mn}_{18}\text{Sn}_6$ ($x, y=0$) alloy, therefore, the accuracy of the transformation sequence including 6M martensite for the $\text{Ni}_{24}\text{Mn}_{18}\text{Sn}_6$ alloy needs to be further confirmed.

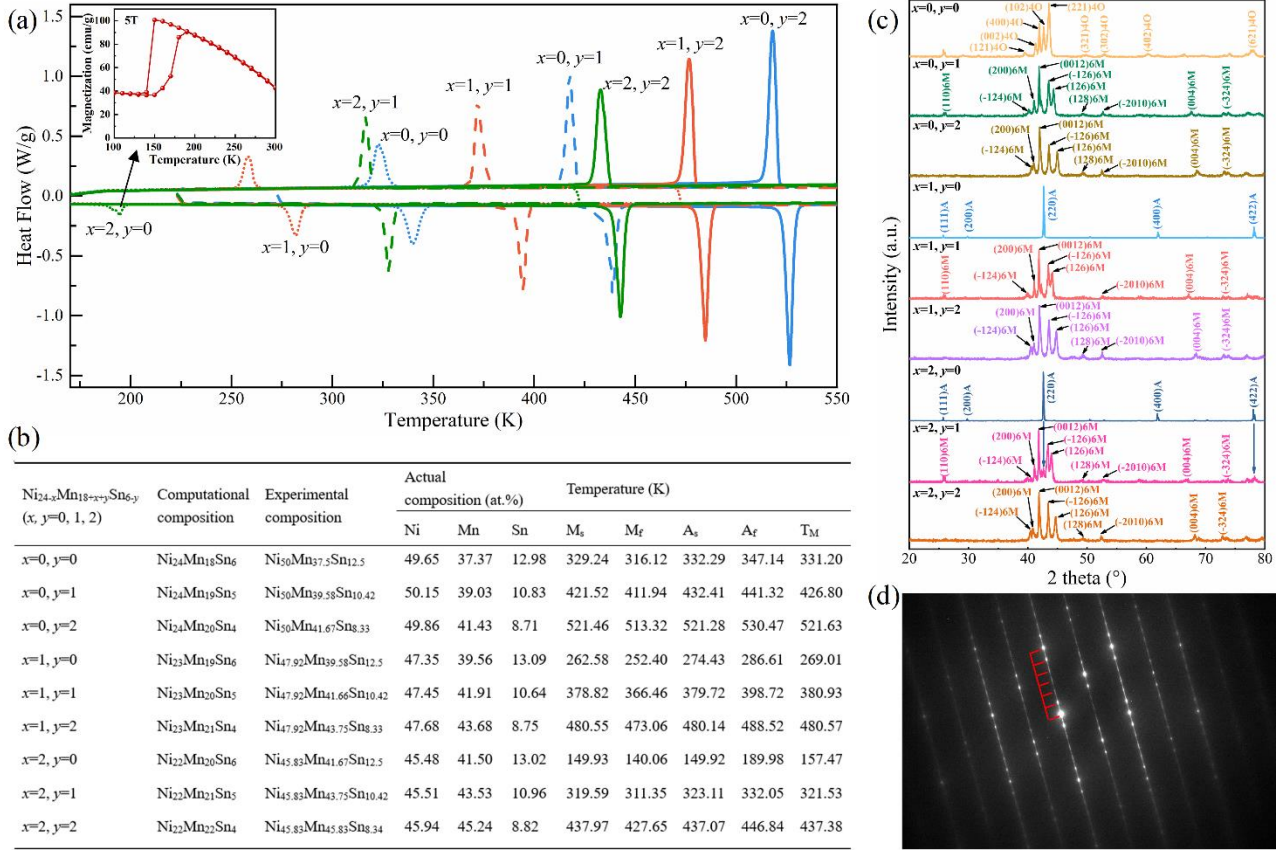


Fig. 9. (a) DSC curves of MT (Inset is M-T curve of $Ni_{22}Mn_{20}Sn_6$ alloy under magnetic field of 5T), (b) martensitic and austenitic transformation starting, finishing temperatures (M_s , M_f , A_s , and A_f), (c) room-temperature XRD patterns for $Ni_{24-x}Mn_{18+x}Sn_{6-y}$ ($x, y=0, 1, 2$) system, and (d) SAED pattern of 6M martensite for $Ni_{24}Mn_{20}Sn_4$ ($x=0, y=2$) alloy at room temperature.

The E_{form} of 4O martensite for the $Ni_{24}Mn_{18+y}Sn_{6-y}$ ($y=0, 1, 2$) alloys was calculated. According to Fig. 10, the E_{form} of 4O martensite in FIM is lower than that in FM state, indicating that 4O martensite also exists stably in FIM[45]. It is worth noting that both 4O and 6M martensites have lower E_{form} than austenite and higher than NM martensite, indicating that the alloy will undergo a phase transformation sequence: austenite–4O/6M martensite–NM martensite. However, the E_{form} of 4O and 6M martensite differs slightly. One possibility is the coexistence of 4O and 6M martensites, and some researchers have confirmed this situation in the $Ni_{45.1}Co_{6.2}Mn_{37.2}Sn_{11.5}$ [46] and $Ni_{46}Co_4Mn_{38}Sn_{12}$ [47] alloys through TEM analysis. The other possibility is that one type of martensite with higher E_{form} can be transformed into another more stable martensite with decrease in temperature before the occurrence of the most stable NM martensite[48]. For example, Sutou *et al.*[18] found that a three-step MT occurred in $Ni_{50}Mn_{37}Sn_{13}$ alloy during cooling through the thermomagnetization curve measured by the vibrating sample magnetometry experiment. Due to the

limitation of the current experimental conditions, it remains challenging to characterize the dynamic process of the inter-transformation of the modulated martensite. We observed a relatively stable modulated martensite in the experiment at room temperature. According to the calculation results of Fig. 10, the E_{form} of 4O martensite in the $\text{Ni}_{24}\text{Mn}_{18}\text{Sn}_6$ ($x, y=0$) alloy is slightly lower than that of 6M martensite, indicating that 4O martensite is relatively more stable. On the contrary, the E_{form} of 6M martensite in the $\text{Ni}_{24}\text{Mn}_{18+y}\text{Sn}_{6-y}$ ($x=0, y=1, 2$) alloy is slightly lower than that of 4O martensite, indicating that 6M martensite is relatively more stable. This well supports the results observed in the experiment, as shown in Fig. 9(c).

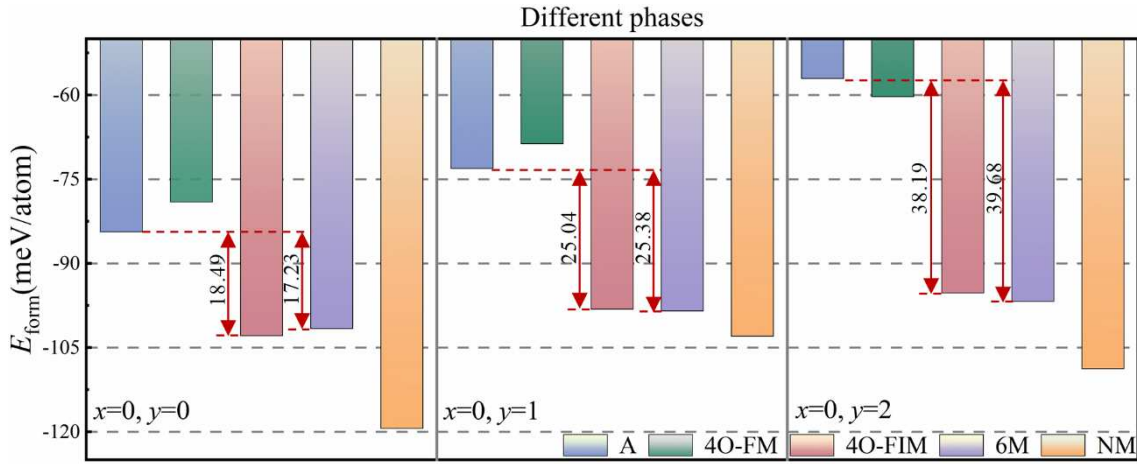


Fig. 10. E_{form} of A, 6M, and NM phases in the most stable state and 4O in ferromagnetic and ferrimagnetic states for $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=0, y=0, 1, 2$) alloys.

3.4. Kinetic behavior of martensitic transformation

The austenite to the martensite, on the one hand, needs a sufficient free energy difference between the new and parent phase, which acts as the driving force for the transformation; on the other hand, the phase transformation barrier must be overcome. The $\Delta E_{\text{A-6M}}$ obtained through first-principles calculations in section 3.3 increases with the increasing Mn_{Sn} content y and decreases with the increasing Mn_{Ni} content x , which is the thermodynamic reason why it is observed that the T_{M} increases with increasing y and decreases with increasing x . To give in-depth insight into the theoretical basis for the MT behavior, we will analyze why the T_{M} varies with the composition from the perspective of non-isothermal kinetics.

Fig. 11 presents the DSC curves and activation energy calculations at different rates from 5 to 20 K/min for the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=0, y=0, 1, 2$, and $x=1, 2, y=2$) alloys. As shown in Fig. 11(a), the reverse martensitic transformation temperature increases and the transformation temperature

range widens with increasing heating rate. This phenomenon is because a higher heating rate shortens the incubation period, reduces the nucleation degree of austenite, and promotes the phase transformation to a higher temperature, thereby prolonging the transformation time and broadening the transformation temperature range. According to the DSC curves of different rates, the Q value can be obtained by the Kissinger equation (Eq. 3-5) as follows:[49,50]

$$\frac{d\left(\ln\left(\frac{\beta}{T_p^2}\right)\right)}{d\left(\frac{1}{T_p}\right)} = -\frac{Q}{R} \quad (3-5)$$

Where β is the heating rate, T_p is the peak temperature of the heating curve, and R is the universal gas constant ($R = 8.314 \text{ J/mol}$). The slope curve obtained by fitting $\ln(\beta/T_p^2)$ and $1000/T_p$ is shown in Fig. 11(b). The slope increases with increasing y and decreases with increasing x . The results show that the Q for $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=0, y=0, 1, 2$) and $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1, 2, y=2$) alloys is 367.98, 536.25, 966.25, 858.75, and 548.23 kJ/mol, respectively.

The Q value is a critical parameter that describes the kinetic characteristics and can reflect the difficulty degree of phase transformation. A higher Q value indicates a higher energy barrier that must be overcome, requiring more energy and leading to a slower rate of transformation. This in turn results in a higher phase transition temperature, as a higher temperature is needed to overcome the higher energy barrier and promote the occurrence of phase transition. This is another factor that the martensitic transformation temperature observed in the experiments ascends with increasing Mn_{Sn} content and descends with increasing Mn_{Ni} content.

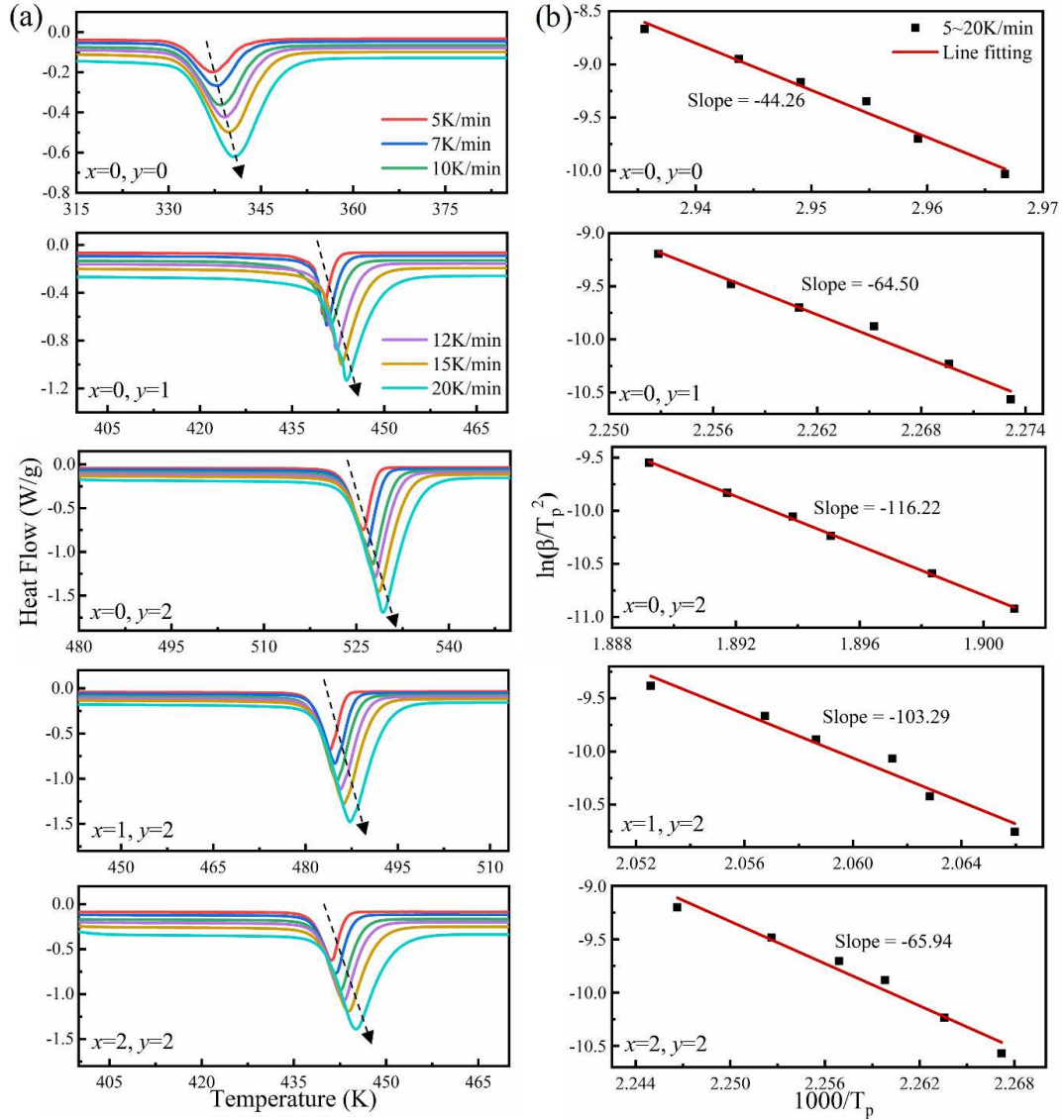


Fig. 11. (a) DSC curves and (b) variation of $\ln(\beta/T_p^2)$ with $1000/T_p$ at 5~20K/min heating rates of $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ ($x=0, y=0, 1, 2$, and $x=1, 2, y=2$) alloys.

3.5. Electronic structure

Fig. 12 illustrates the electronic total density of states (TDOS) of A, 6M, and NM phases for the $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ system to explore the origin of MT and magnetic properties. The spin-down TDOS of the austenite has a small peak near the Fermi level (E_F), which diminishes the phase's stability at low temperature[51], and is considered to be the key factor to trigger MT. During the transformation from austenite to martensite, the density peak for the spin-down TDOS near the E_F splits, causing the E_F shift to the pseudo-energy gap or even in the pseudopotential valley, such a change of the electronic structure makes the low-temperature martensites (6M and NM) more stable than austenite, known as the band Jahn-Teller effect[52]. In the $Ni_{22}Mn_{20}Sn_6$ ($x=2, y=0$) alloy, the difference in the total DOS

between the austenite and 6M or NM martensite shows little variation at the E_F , making the band Jahn-Teller effect less noticeable, which may be related to its lower T_M .

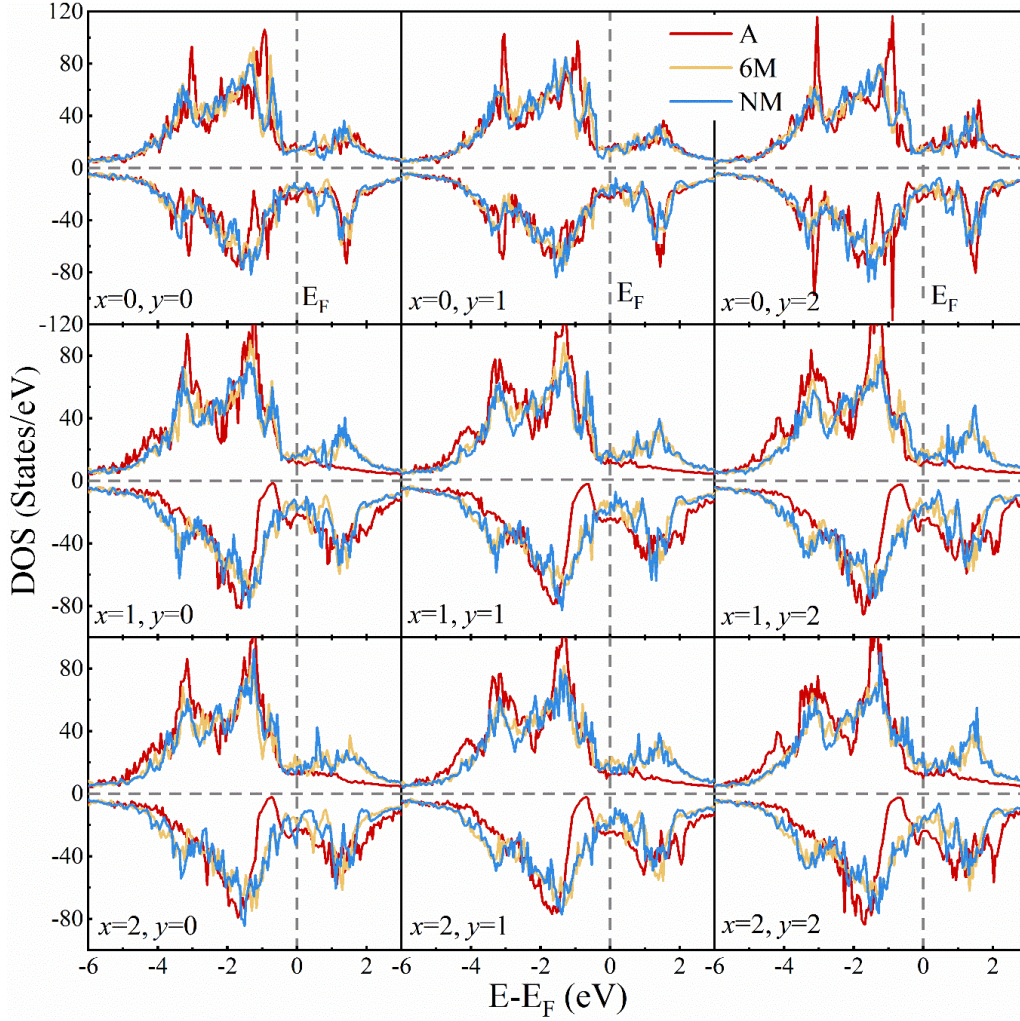


Fig. 12. Total density of states (TDOS) near Fermi energy (E_F) of $Ni_{24-x}Mn_{18+x+y}Sn_{6-y}$ alloys in austenite, 6M, and NM martensites. E_F stands for zero energy.

There are two prominent peaks around -3eV and -1eV in the spin-up TDOS, which result from the strong $3d$ electron interaction between Ni and Mn atoms. In the Mn-excess Ni and Sn-deficient alloys, there is a distinct pseudopotential valley at -0.4 eV to -1.3 eV of spin-down TDOS, indicating the presence of a strong covalent bond in austenite. To further explore the essential reason for covalent bonds formation, taking the $Ni_{23}Mn_{19}Sn_6$ ($x=1, y=0$) alloy as an example, the partial density of states (PDOS) was calculated, as depicted in Fig. 13. In comparison, the resonance between the minority electronic states of Ni and Mn_{Ni} near the pseudo gap is more obvious than that between Ni and other atoms, implying that the formation of covalent bonds primarily arises from this interaction.

The atomic magnetic moment is sensitive to the arrangement of valence electrons, and the

magnetism is mainly contributed by $3d$ electrons in NiMn-based Heusler alloys. As depicted in Fig. 13(a₁)-(c₁), the spin-up and spin-down $3d$ electron arrangements of Ni atoms are similar in the austenite, 6M, and NM phases, resulting in small magnetic moments of Ni atoms ($-0.035\sim 0.779 \mu_B$), consistent with the calculated results in Fig. 7(a). The spin-up electrons of the Mn_{Mn} in the three phases are mainly distributed below the E_F , and the spin-down electrons are mostly distributed above the E_F , as depicted in Fig. 13(a₂)-(c₂). This uneven distribution of valence electrons leads to a large magnetic moment of Mn_{Mn} ($-3.635\sim 3.750 \mu_B$). Differently, the spin-up electrons of Mn_{Ni} atoms are mainly distributed above the E_F , and the spin-down electrons are mainly distributed below the E_F , as illustrated in Fig. 13(a₃)-(c₃). It demonstrates that the magnetic moments of Mn_{Mn} and Mn_{Ni} in the three phases are antiparallel. From Fig. 13(a₂)-(a₄), the arrangement of $3d$ electrons of Mn_{Sn} and Mn_{Mn} atoms in austenite is the same, but totally different from that of Mn_{Ni}, which means that the magnetic moments of Mn_{Mn} and Mn_{Ni} are arranged in parallel and antiparallel with Mn_{Sn} atoms, respectively. It is further confirmed that the austenite for the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} ($x=1, 2$) alloys exists stably in the FIM2 state. Nevertheless, as shown in Fig. 13(b₄)-(c₄), the arrangement of $3d$ electrons of Mn_{Sn} and Mn_{Ni} atoms in the 6M and NM martensites is the same, but different from that of Mn_{Mn}, indicating that the magnetic moments of Mn_{Sn} and Mn_{Ni} are both arranged antiparallel to that of the Mn_{Mn} atoms. It proves that the 6M and NM martensites exist stably in the FIM1 state for Mn-excess Ni and Sn-deficient alloys. The above results show how the electron arrangement of Mn_{Sn} determines the magnetic state, leading to a large ΔM during MT, which is also the essential reason for the magnetic-structure coupling transition of the Ni_{24-x}Mn_{18+x+y}Sn_{6-y} ($x=1, 2$) alloys.

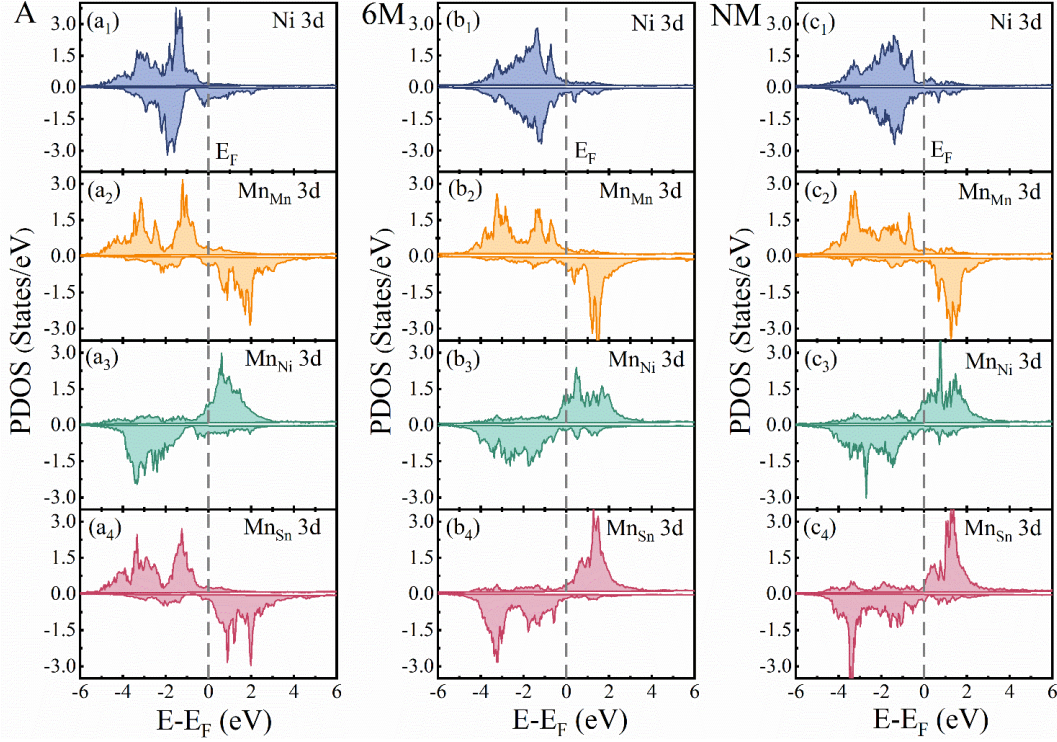


Fig. 13. Partial density of states (PDOS) of austenite, 6M, and NM martensites for $\text{Ni}_{23}\text{Mn}_{19}\text{Sn}_6$ ($x=1, y=0$).

4. Conclusions

In the present work, the first-principles calculations in combination with experiments method were used to systematically investigate the phase stability, martensitic transformation, kinetics, and magnetic properties of the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x, y=0, 1, 2$) system. The main conclusions are summarized as follows:

(1) The austenite, 6M, and NM martensites present the FIM state for the Mn-excess Sn-deficient system. For the Mn-excess Ni and Sn-deficient system, austenite is stable in the FIM2 state, whereas the FIM1 state is the most thermodynamically stable state for the 6M and NM martensites.

(2) The formation energy of each phase is obtained and mapped onto the ternary phase diagram. The formation energy of the austenite increases with the increasing Mn content, and the fitting equation is $E_{\text{form-A}}=507.358X_{\text{Mn}}-274.126$. And the formation energy of the 6M martensite shows a linear decrease with an increase in the Ni content, the fitting equation is $E_{\text{form-6M}}=-728.484X_{\text{Ni}}+264.374$.

(3) The changing trend of the lattice parameters for each phase is mainly affected by the atomic radius in the studied alloy system. The ternary phase diagram of the total magnetic moment of each phase is established. The total magnetic moment of austenite decreases with the increasing Mn_{Sn}

content y in the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=0$) alloys, while it changes oppositely with the increasing y in the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1, 2$) alloys. In addition, the total magnetic moment of austenite decreases with the increasing x in $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1, 2$) alloy. The total magnetic moments of 6M and NM martensites both decrease with the increase in Mn content, and the fitting formulas are $\text{Mag}_{6\text{M}}=-15.905X_{\text{Mn}}+7.902$ and $\text{Mag}_{\text{NM}}=-14.781X_{\text{Mn}}+7.411$, respectively. Mn atoms are the primary contributors to the total magnetic moment, and the trend of the total magnetic moment with composition also depends on the variation of Mn atomic magnetic moment.

(4) Combined with formation energy calculations and experimental results, the possible martensite transformation sequence including 6M in the process of cooling and heating as $\text{Austenite}^{\text{FIM}} \leftrightarrow 6\text{M}^{\text{FIM}} \leftrightarrow \text{NM}^{\text{FIM}}$ for the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=0$) alloys and the transformation path corresponds to $\text{Austenite}^{\text{FIM2}} \leftrightarrow 6\text{M}^{\text{FIM1}} \leftrightarrow \text{NM}^{\text{FIM1}}$ for the $\text{Ni}_{24-x}\text{Mn}_{18+x+y}\text{Sn}_{6-y}$ ($x=1, 2$) alloys.

(5) From the perspectives of formation energy difference ($\Delta E_{\text{A-6M}}$) and activation energy (Q), the reason for the change of martensite transformation temperature with composition is explained. A decrease in Mn_{Ni} content x or an increase in Mn_{Sn} content y corresponds to a rise in T_{M} .

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Author contributions

Bai J conceived and coordinated the project, and were responsible for the infrastructure and project direction. Zhang Y and Guo KL conducted the theoretical calculations. Xu JX, Gu JL, Morley N, Gao QZ, Zhang YD, Esling C, Zhao X and Zuo L contributed to data analysis and interpretation. Zhang Y wrote the manuscript. All authors contributed to the general discussion. (删)

Conflict of Interest The authors state that there are no conflicts of interest to disclose.

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