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1 A review of carbon isotope excursions, redox
2 changes and marine red beds of the Early
3 Triassic with insights from the Qinling Sea,
4 northwest China

5

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13

14 **Abstract**

15 The Early Triassic was an interval characterized by frequent, large global carbon
16 isotope excursions (CIEs), multiple and widespread anoxic phases, and generally high
17 but fluctuating temperatures. In order to comprehensively understand their
18 inter-relationship, we have investigated the Yiwagou section from the little-known
19 Qinling shelf sea, at the eastern corner of Paleo-Tethys, and evaluated the global
20 marine red bed (MRB) occurrences, redox conditions and CIEs. The anoxic episodes
21 show great variations between different regions, but only during the earliest
22 Griesbachian were they of almost global extent, whilst during other Early Triassic

23 intervals there is great regional variation of redox trends. Even during the early
24 Griesbachian anoxia was absent in South Tibet and Qinling shelf seas. Smithian
25 MRBs in the latter region are dominated by intraclasts or ooids and are likely to be
26 caused by ferruginous ocean waters advected from the adjacent Paleo-Tethys Ocean.
27 The more widespread Spathian MRBs, mostly developed in the middle Spathian, were
28 also likely a product of advection of anoxic ferruginous waters into shelf areas.
29 Vigorous upwelling at this time is unlikely because this would stimulate high
30 productivity and diagenetic conditions that would have reduced the iron
31 oxyhydroxides responsible for the MRBs. Compilation of 54 $\delta^{13}\text{C}_{\text{carb}}$ records reveals
32 that the negative excursion in the Smithian, from P2 to N3, ranges in magnitude from
33 -2.9‰ to -9.7‰, the positive excursion from N3 to P3 across the Smithian–Spathian
34 boundary ranges from 2.6‰ to 11.9‰ and its amplitude is greatest in the Northern
35 Yangtze Platform. The similarity of N3 values at Yiwagou with those from the
36 seamount carbonates of the Panthalassa Ocean indicates a good oceanic connectivity
37 at the time of Smithian MRBs formation. The global average of $\delta^{13}\text{C}$ values during
38 the P2 and N3 CIEs shows that values are 2.0‰ and 1.1‰ heavier in shallow settings
39 compared to deep settings respectively. In contrast, there is no consistent variation
40 with water depth of the subsequent P3 CIE. Analysis of $\Delta\delta^{13}\text{C}_{\text{vert}}$ values shows that
41 there are large differences between regions that likely reflects the different
42 stratification histories of epicontinental basins, but there is no global signal at this
43 time. Previous studies have suggested a collapse of the water column carbon isotope
44 gradient during P3 associated with vigorous upwelling, but this pattern is not

45 widespread and is likely a regional signal. The origins of the extreme light carbon
46 isotope values during the mid-Smithian N3 excursion, and the heavy early Spathian
47 P3 excursion, remains unclear and are not easily reconciled with global redox changes.
48 Changes in the proportions of carbonate carbon and organic carbon burial may be
49 important.

50

51 **1. Introduction**

52 Following the catastrophic environmental changes of the Permian–Triassic mass
53 extinction, the Early Triassic world experienced continuing stresses and perturbations
54 whose origins have been much debated (e.g., Wignall et al., 2016; Goudehand et al.,
55 2019; Song et al., 2019; Lyu et al., 2019). Oceanic redox conditions and seawater
56 temperatures all show high-amplitude changes during this period, along with a varied
57 pattern of originations and extinctions of marine organisms (e.g., Payne et al., 2004;
58 Stanley, 2009; Sun et al., 2012, 2015; Huang et al., 2017). Notable events include an
59 extinction crisis for nekto-pelagic organisms in the late Smithian, coincident with a
60 major positive $\delta^{13}\text{C}_{\text{carb}}$ shift (Stanley, 2009; Zhang et al., 2019a; Dai et al., 2021; Du
61 et al., 2022). Most ammonoid families became extinct around this time and nearly all
62 Smithian conodont genera also disappeared during the late Smithian–early Spathian,
63 to be replaced by new ammonoid and conodont taxa in the early Spathian (Orchard,
64 2007; Brayard et al., 2013; Zhang et al., 2019a; Dai et al., 2021).

65 Ocean temperatures rose rapidly across the Permian–Triassic boundary and
66 remained high throughout the Early Triassic, reaching a peak in middle–late Smithian,

67 before the onset of a gradual cooling trend from the late Smithian (Sun et al., 2012;
68 Joachimski et al., 2012; Chen et al., 2013; Goudemand et al., 2019). Either the
69 thermal maximum or subsequent cooling trend have been proposed as a cause of the
70 nekton-pelagic crisis noted above, although widespread anoxia may also have
71 contributed (e.g., Sun et al., 2012, 2021; Widmann et al., 2020; Song et al., 2021a).
72 Black shales are well known from the middle-late Smithian up to the
73 Smithian-Spathian boundary, but in many regions, there is a rapid transition to
74 marine red beds (MRBs) in the early Spathian (Sun et al., 2015; Song et al., 2017,
75 2019; Li et al., 2019a). MRBs have been documented from many locations, including
76 South China, Tibet, Japan and California, USA (Galfetti et al., 2008; Brühwiler et al.,
77 2009; Takahashi et al., 2009; Sun et al., 2015; Song et al., 2017; Li et al., 2019a) and
78 have been attributed to a variety of causes including oxidation caused by a cooling
79 climate (Song et al., 2017, 2019; Li et al., 2019a).

80 Coincident with the marine redox changes and biotic events, the Early Triassic
81 carbon isotope record also shows major changes (e.g., Payne et al., 2004; Song et al.,
82 2013; Zhang et al., 2019a), although the amplitude of the carbon isotope excursions
83 (CIEs) varies considerably from region to region and also with water depth (e.g.,
84 Payne et al., 2004; Song et al., 2013, 2019; Lyu et al., 2019; Zhao et al., 2020a; Sun et
85 al., 2021). The origin and significance of these temporal, environmental and spatial
86 variations has been much debated and several alternative and often conflicting models
87 have been proposed. The inter-relationship between these phenomena are far from
88 understood.

89 In this study, we review and discuss the Early Triassic literature and its debates in
90 the light of a newly examined, highly expanded record of continuous
91 Permian–Triassic deposition in a little studied Paleotethyan region, the Qinling Sea.
92 We provide new facies and palaeontological data in order to investigate the factors
93 responsible for the unusual aspects of the Early Triassic oceans, especially the MRBs.
94 Regional carbon isotope oscillations are compared with an in-depth review of records
95 from other regions in order to investigate and better understand the late Smithian
96 crisis in the context of the tumultuous history of the Early Triassic.

97

98 **2. Geological setting and stratigraphy**

99 During the late Permian, Laurasia and Gondwana merged to form the
100 supercontinent of Pangea which was embayed by the Tethyan Oceans: Neo-Tethys
101 and Paleo-Tethys (Metcalf, 2013; Xie and Tang, 2021). The migration of Cimmerian
102 terranes from Gondwana, in the early Permian, to subequatorial paleolatitudes by the
103 middle Permian–Early Triassic, led to the opening of Neo-Tethys and the subduction
104 of the Paleo-Tethys (Muttoni et al., 2009; Metcalfe, 2013; Fig. 1). At the
105 Permian–Triassic transition, the Qinling Sea was a seaway between the South China
106 and North China blocks that opened westwards into Paleo-Tethys (Lai et al., 1992,
107 1995; Feng et al., 1994; Yin and Peng, 1995; Li et al., 2019b). The Yiwagou and
108 Sai’erlangshan sections provide a record of deposition in a carbonate platform of the
109 Qinling Sea (Lai et al., 1992; Li et al., 2019b, 2022).

110 The Yiwagou section is located in Têwo County, Gansu Province, northwestern
111 China (Fig. 1). It yields a continuous succession of Upper Permian to Lower Triassic
112 strata and is composed of the Yangu Formation, Zhalishan Formation (565.5 m thick)
113 and Maresongduo Formation (833.8 m thick) (Figs. 2A–2D), which all have
114 conformable contacts (Lai et al., 1994). The nearby Sai’erlangshan section at Zoigê
115 also yields a continuous outcrop of the same three formations (Xiao et al., 1992). The
116 Upper Permian Yangu Formation is mainly composed of grey, thick-bedded
117 limestone, oolitic limestone and dolomite limestone. The Zhalishan Formation is
118 represented by grey or red, thin- to thick-bedded bioclastic and micritic limestones.
119 Red argillaceous limestone is common at Sai’erlangshan in the Zhalishan Formation
120 but this lithology is absent at Yiwagou. The Maresongduo Formation consists of
121 thick-bedded, crystalline dolostone, dolomitic limestone and dolomicrite, which are
122 mostly grey at Sai’erlangshan, but red at Yiwagou. The Yiwagou section has been
123 dated using a combination of conodont biostratigraphy and chemostratigraphy (Li et
124 al., 2022).

125

126 **3. Materials and methods**

127 A sedimentary log of over 800 m thickness was constructed at Yiwagou,
128 beginning within the Yangu Formation and extending up to the Maresongduo
129 Formation. Samples were collected every couple of metres and a total of 459
130 thin-section samples were produced. A polarizing microscope (Zeiss Axioscope A1)
131 was used for petrographic analysis and photography. Pyrite framboid analysis was

132 also undertaken on polished sections of limestone or micritic limestone after selection
133 of 28 representative samples. They were coated with platinum and, when present, the
134 size of pyrite framboid populations was measured using a scanning electron
135 microscope (SEM) under backscattered electron (BSE) mode at the State Key
136 Laboratory of Biogeology and Environmental Geology, China University of
137 Geosciences (Wuhan). In addition, micro-Raman imaging was performed on polished
138 sections using a WITec 300 Confocal Raman Imaging system. A 532 nm laser was
139 used and focused by a 50× objective (N.A. = 0.75) for image scans, with a spatial
140 resolution of 0.36 μm per pixel. Laser power was maintained at 8 mW. A 600 g/mm
141 grating was used to cover a wavenumber range of 4000 cm⁻¹ with a spectral resolution
142 of 4 cm⁻¹. The data were processed with the WITec Project Five 5.1 Plus software and
143 cosmic rays were removed under 2 cm⁻¹ filter. The peak intensity for different mineral
144 bonds was imaged as a colour-coded hyperspectral Raman map for the partly oxidized
145 pyrite grain (ZLS-19), the inner part of a red ooid (sample ZLS-72) and a red
146 intraclast (ZLS-83). The standard Raman spectrum of different minerals in this study
147 are from <http://rruff.info/>.

148 To analyze the variability of δ¹³C_{carb} values in the Early Triassic, we compiled
149 records from 54 sections (Appendix A) for comparison with the record at Yiwagou
150 (Li et al., 2022). The δ¹³C_{carb} negative and positive excursions of this interval have
151 been labelled N1–N4 and P1–P3 by Song et al. (2013), a terminology followed here.

152

153 4. Results and Interpretation

154

155 4.1. Facies Associations

156 Based on field features and petrographic analysis, eight microfacies were
157 identified and grouped into four facies associations that correspond to four different
158 environments (Table 1, Figs. 2–4): oolitic shoal, open-marine carbonate platform,
159 storm-dominated inner platform and restricted carbonate platform.

160 4.1.1. Oolitic shoal

161 **Description:** This facies association consists of the single facies type Mf1 which is
162 characterized by light grey, thick beds of oolitic grainstone in the Yangu Formation.
163 Other than ooids which constitute 50–80% of the rock, bioclasts fragments are < 5%,
164 and the remaining component is coarse calcite cement. The ooids (0.5–1 mm in
165 diameter) are well-sorted, mostly rounded, occasionally subrounded, with isopachous
166 fringe cement and well-developed concentric layers consisting of alternating micrite
167 and microspar laminae (Figs. 3A–3B). Locally dolomitization has occurred and a
168 coarse, subhedral dolomite crystals have replaced the original texture (Fig. 3C).

169 **Interpretation:** Mf1 is interpreted to represent a high-energy, ooid shoal. Isopachous
170 cements are common in marine-phreatic environments suggesting that the ooids
171 accumulated below the vadose zone, although the partial dolomitization could reflect
172 sabkha-like diagenesis after deposition. However, dolomitized carbonates are notably
173 widespread during the Permian–Triassic transition, an observation attributed to
174 extensive marine anoxia at the time (Li et al., 2021). Oolites are also widespread

175 (primarily in equatorial latitudes) during the Permian–Triassic transition interval, and
176 it has been suggested they show a rapid transition from aragonitic to mixed
177 aragonitic-calcitic (bimineralic) ooids during the crisis, with implications for rapid
178 changes of seawater composition at this time (Li et al., 2015). The Yiwagou ooids
179 recorded here formed during the initial phase of this purported ooid transition and yet
180 they show a bimineralic composition with alternations of recrystallised and dark
181 laminae (Figs. 3A–3B). These occurrences are below the Permian–Triassic transition
182 interval and it is noteworthy that they are also known from the late Early Triassic
183 (Woods, 2013). Thus, bimineralic ooids are a feature of the broad Permian–Triassic
184 interval but are not tightly linked to the time of mass extinction.

185

186 *4.1.2. Open carbonate platform*

187 **Description:** Facies association 2 consists of three microfacies Mf2–4 containing
188 bioclastic carbonates (Table 1). In the Yangu Formation, grey, medium to thick
189 bedded brachiopod-gastropod-peloid packstone/grainstone (Mf2) alternates with
190 oolite (Mf1). In the lower and middle parts of the overlying Zhalishan Formation,
191 grey or red, thin to medium bedded bivalve-gastropod packstone (Mf3), alternates
192 with thin to thick bedded wackstone/packstone (Mf4) with the same range of bioclasts
193 as Mf3. Fossils which were originally composed of aragonite are replaced by
194 isomorphous coarse calcite crystals (Figs. 3D, 3G), and the cements in the grainstone
195 or packstone are generally composed of coarse sparry calcite (Figs. 3D–3H). The

196 fossil fragments generally have micritic envelopes (Figs. 3F–3G). Cortoids, with
197 bioclasts at their core, are also common in Mf3 (Figs. 3H).

198 This association contains diverse shallow marine fossils, including brachiopods,
199 gastropods, bivalves, conodonts, fusulinids, ostracods, foraminifers and calcareous
200 algae in Permian strata and gastropods, thin-shelled bivalves (*Claraia*, *Eumorphotis*,
201 *Entolium*), conodonts, ostracodes, crinoids, and foraminifers (*Glomospira simplex*)
202 above the PTB. Gastropods and bivalves are extremely abundant in the early Induan.
203 Trace fossils are common in this association and include *Thalassinoides*,
204 *Palaeophycus* together with rarer *Chondrites* and *Planolites* (Fig. 2H) (Yang, 1992).

205 **Interpretation:** The diversity of benthic fossils and trace fossils in this facies
206 association indicates deposition in a well ventilated, shallow-water carbonate platform
207 environment with the common cortoids suggesting some agitation of the bottom
208 waters. The long-term shift from Mf2 to Mf4 across the PTB marks a gradual increase
209 of the micritic mud content that is likely recording a slow deepening from the
210 Changhsingian to the Griesbachian. This was followed by a prolonged interval of
211 stable water depth with Mf4 dominating until the early Smithian (Fig. 5).

212

213 4.1.3. Storm-dominated inner platform

214 **Description:** This facies association is dominated by red, pink or grey thin- to thick-
215 bedded calcarenites of Mf5 from the upper part of the Zhalishan Formation. Grains
216 are mainly irregular-shaped, angular intraclasts and bioclasts (mostly foraminifers),

217 occasionally small ooids (micritized but with cortex laminae still visible, 0.1–0.5 mm
218 in diameter). Ooids are cemented by thick, isopachous rim cement (Fig. 4C), some are
219 bimineralic (like those of Mf1) with alternating micritic and microspar laminae
220 although most are entirely micrite (Fig. 4B–4D). Occasionally fossils and intraclasts
221 are covered by acicular aragonitic (?) cements, which shows unequal thickness,
222 possibly due to abrasion (Figs. 4E).

223 Diverse and abundant conodonts (Li et al., 2022), including *Pachycladina*, and
224 foraminifers (*Agathammina austroalpina*, *Ammodiscus incertus*, *A. sp.*, *Glomospira*
225 *sinenses*, *Glomospirella spirillinoides*, *G. sp.*, *Turriglomina mesotriasica*), and a few
226 other bioclasts, including bivalves, gastropods and ostracods material occur in Mf5.
227 Hummocky cross laminated strata and horizontal bedding have been observed in the
228 field, they both have thin laminae (1–2 mm), and hummocks are 3–4 cm high (Figs.
229 2E–2F).

230 **Interpretation:** Thick-bedded calcarenite sheets with hummocky cross stratification
231 are a typical product of storm deposition and the dominance of such beds in the later
232 Smithian at Yiwagou indicates considerably storm activity at this time. The
233 calcarenites contain an unusual mix of angular intraclasts or ooids. The former may
234 have been generated by storm-wave loading and fragmentation of a lithified seabed
235 followed. Subsequent transport was probably of only brief duration allowing little
236 time for abrasion. The red colour of these strata is discussed further below.

237

238 *4.1.4. Restricted carbonate platform*

239 **Description:** Facies association 4 consists of three microfacies Mf6–8 that dominate
240 Spathian deposition at Yiwagou. The oldest levels occur in the uppermost Zhalishan
241 Formation which consists of grey or light red, thin to thick-bedded ostracod
242 wackestone/packstone (Mf6). The succeeding lower part of the Maresongduo
243 Foramation is mainly light grey (but the weathering surface is sometimes reddish or
244 pink), thick bedded or massive, crystalline dolostone, and thin to thick-bedded
245 dolomicrite, dolomite limestone (Mf7). This facies development dominates in the
246 Maresongduo Formation across the region, being known from the Têwo, Zoigê and
247 Dangchang sections of the South Qinling belt (Lai and Xu, 1992). Dolomite occurs as
248 small, subhedral crystals (0.02–0.05 mm in long axis, Fig. 4J). In the upper part of the
249 Maresongduo Formation, kidney-red, purple-red or grey, medium to thick-bedded
250 peloidal packstone/grainstone beds of Mf8 dominate (Figs. 4K–4L) (Mf8).

251 Fossils in Mf6 include many ostracods and some conodonts (*Pachycladina*
252 *qinlingensis*, *Parachirognathus semicircnelus*), at the top of the Zhalishan Formation
253 in Mf7 there are conodonts (*Triassospathodus hungaricus*, *T. qinlingensis*, *T. sp. indet.*,
254 *Icriospathodus zaksi*) and gastropods, and in Mf8 there are abundant foraminifers
255 (*Glomospira articulosa*, *Glomospirella vulgaris*) and a few conodonts (*T. clinatus*).
256 Trace fossils of this facies association are *Margaritichnus* and *Lockeia*, with a few
257 *Skolithos*, *Cylindricum* and *Gyrolithes* (Figs. 2G, 2I) (Yang, 1992).

258 **Interpretation:** This association is interpreted to have been deposited in a shallow,
259 restricted, low energy nearshore environment (Lai and Xu, 1992). Compared with
260 fossils in association 2, bivalves and gastropods are largely absent whilst ostracods
261 are common. The dominance of this group amongst the peloidal-rich sediment
262 suggests a more restricted environment because ostracods are a eurytopic group,

263 although information on their taxonomic composition would help identify if
264 euryhaline taxa are present. Potentially, the ongoing closure of the Qinling Sea may
265 have restricted marine connectedness (Lai et al., 1992), but see further discussion of
266 this below.

267

268 *4.2. Pyrite petrography and redox levels*

269 Pyrite framboid population sizes can be used to assess redox conditions with both
270 the mean diameter and size range decreasing with increasing intensity of oxygen
271 restriction (e.g., Wilkin et al., 1996, Wignall et al., 1998). The fossiliferous limestones
272 at Yiwagou are not typical oxygen-restricted facies and it is therefore perhaps not
273 surprising that the analysis of 28 polished sections found 13 samples lacking pyrite, 5
274 samples with only rare framboids (in Mf4), leaving only 10 samples with common
275 framboids (in Mf4 and Mf6, Fig. 5). These last samples come from beds that bracket
276 the red, storm-dominated inner platform beds in the middle–late Smithian. No pyrite
277 was found in the Griesbachian strata and only one sample from the Dienerian yielded
278 framboids (Fig. 5). Some pyrite framboids were partly oxidized, but their morphology
279 was still clearly preserved allowing their size to be measured.

280 Mean framboid diameters range from 6.6 to 7.5 μm , with a moderate standard
281 deviation (2.9–3.6 μm), in Mf4 beds from the late Dienerian–early Smithian (Fig. 5).
282 In the Wilkins (mean versus standard deviation) plot these samples are seen to
283 distribute around the anoxic-dysoxic boundary with only two samples from Bed 14
284 (ZLS-36) and the lower part of Bed 15 (ZLS-51) plotting clearly in the anoxic field

285 (Fig. 6). These beds are interbedded with MRBs that become increasingly common
286 from this level upwards. Framboids reappear towards the top of the Smithian and
287 range into the basal Spathian (Fig. 5). Size distribution at this level is clearly within
288 the dysoxic field (Fig. 6).

289 The redox history of the Yiwagou section recorded by pyrite petrography differs
290 substantially from that recorded in the epicontinental basin sections of South China
291 and elsewhere. Marine anoxia is widespread during the middle Smithian to earliest
292 Spathian interval in slope-to-basin sections in South China (e.g., Sun et al., 2015; Lyu
293 et al., 2019; Song et al., 2019), South Tibet (Li et al., 2019a), the Sverdrup Basin of
294 the Canadian Arctic (Grasby et al., 2012), clastic ramps in Spitsbergen, Norway
295 (Wignall et al., 2016) and the Neotethyan continental margin of Arabia (Clarkson et
296 al., 2016). Only the younger interval of dysoxic conditions at Yiwagou, in the latest
297 Smithian, overlaps in age with this widespread Smithian–Spathian anoxia interval
298 (Fig. 7).

299

300 *4.3. Marine Red Beds*

301 Red-coloured strata are developed at many levels in the Yiwagou section: thin
302 beds occur in the earliest Griesbachian and continue to be scattered throughout the
303 late Griesbachian–middle Smithian succession. Red beds then dominate the mid-late
304 Smithian strata and thick red bed units occur in the mid-Spathian (Fig. 7). Raman
305 images of oolites and bioclastic packstones from the red-coloured Smithian strata
306 show that the red pigmentation is due to micron to nanometre-size iron oxides grains
307 of which goethite is the main component although hematite is also present (Fig. 8).

308 Thin section analysis of the red, oolitic grainstones shows the red pigmentation occurs
309 within ooids and the angular intraclasts (Figs. 4B–4G), and sometimes within micritic
310 matrix but it is not found in the cement.

311 Authigenic iron oxides in MRBs are generally observed to consist of nanometer
312 -scale particles that contrast with iron oxides derived from terrigenous input which are
313 larger, angular particles (Cai et al., 2012; Hu et al., 2012; Gledhill and Buck, 2012;
314 Song et al., 2017, Li et al., 2019a). Based on this observation, we consider most of the
315 iron oxide content of the Yiwagou ooids and intraclasts to be authigenic (Figs. 4A–4C,
316 4E–4G), with only few grains in the matrix of possible detrital origin (Fig. 4F).
317 Matheson et al. (2022) have documented iron ooids, composed entirely of hematite,
318 with subsidiary apatite and chamosite, and interpreted them to have grown
319 diagenetically, immediately below the sediment surface as a type of small concretion.
320 However, in the case of the ooids documented here, the majority of the cortices are
321 carbonate with only a few iron oxide laminae, and we interpret them to have grown
322 primarily on the seafloor in agitated conditions like traditional ooids. Brief periods of
323 burial in the sediment may have allowed the formation of goethite laminae by
324 precipitation from iron (ferrous)-rich porewaters or such laminae may have grown on
325 the seabed whilst the ooids were bathed in ferrous-rich bottom waters. The source of
326 such waters is discussed below.

327

328 **5. Discussion**

329 *5.1. Early Triassic anoxic events and marine red beds*

330 The Permian–Triassic mass extinction has long been linked with the development

331 of widespread marine anoxia (e.g., Wignall and Twitchett, 2002; Clarkson et al., 2016;
332 Grasby et al., 2021), with such conditions persisting throughout the Early Triassic and
333 inhibiting rapid recovery (e.g., Hallam, 1992; Sun et al., 2015; Huang et al., 2017). It
334 is therefore interesting that the Yiwagou section records well oxygenated deposition
335 throughout much of this interval including the Permian–Triassic boundary level. The
336 Qinling Sea location is also unusual in showing a surprisingly long-ranging
337 *Hindeodus* fauna which persists from the PTB to around the end Dienerian (Li et al.,
338 2022). However, there may be a hiatus at the extinction level (cf., Li et al., 2022), and
339 it is possible that a short, albeit brief, phase of oxygen-poor conditions is unrecorded
340 at Yiwagou. The common occurrence of MRBs in the Qinling shelf seas offer some
341 clue to the unusual state of ocean redox in this region at this time, as we explore
342 further below.

343 A compilation of Early Triassic oceanic redox fluctuations shows substantial
344 regional and temporal variations (Fig. 7). Our meta-analysis is based on studies that
345 have used a combination of sedimentological and geochemical indices and confirms
346 the widespread nature of the oxygen-poor/anoxic deposition. Only in the basal
347 Griesbachian were these conditions almost global, with the exception that an anoxic
348 episode is not known from the Qinling Shelf Sea (Fig. 7B) or South Tibet (Fig. 7F)
349 during this time. However, there is a short hiatus at the Yiwagou section in the former
350 region and around the PTB in the latter region (Wignall and Newton, 2003), raising
351 the possibility that an anoxic episode in these regions is unrecorded. The only other
352 signal to emerge from the analysis is the observation that anoxic facies are less
353 widespread in the late Dienerian/early Smithian, notably disappearing from Boreal

354 shelf seas (Fig. 7).

355 The variable development of Early Triassic ocean anoxia could be attributed to a
356 variety of causes. It may reflect a true regional variability or it may reflect difficulties
357 of precisely dating and correlating sections from widespread regions, the differing
358 resolution of the individual studies and the different depositional settings of the study
359 sites. Highest resolution studies show that the anoxic interval can be resolved into
360 multiple anoxic events (e.g., Zhang et al., 2020a). Redox conditions also varied
361 between oceans. In particular, the Neo-Tethyan record from the Arabian margin
362 (Clarkson et al., 2016) and South Tibet (Wignall and Newton, 2003) differ
363 substantially from that seen in other regions, notably in their well-ventilated record
364 during the Griesbachian in the immediate aftermath of the mass extinction (Fig. 7).
365 The Qinling shelf sections were also comparably well ventilated at this time. The
366 Panthalassa Ocean was intensely anoxic/euxinic around the PTB but such conditions
367 persisted into the later Early Triassic in equatorial regions sampled in Japanese
368 sections (Fig. 7).

369 Whilst detailed regional redox variations can come from proxies such as trace
370 metal enrichments and iron speciation, the geochemical proxies $\delta^{238}\text{U}$ fluctuations
371 provide a broad overview of the global extent of marine anoxia that gives an
372 independent record of Early Triassic redox (e.g., Cui et al., 2021; Zhang et al., 2018,
373 2019b). Modelling of the $\delta^{238}\text{U}$ changes indicates that seafloor anoxia was extensive
374 around the PTB (Zhang et al., 2020; Cui et al., 2021) and even more so during the
375 mid-late Smithian (Zhang et al., 2019b; Zhao et al., 2020a), confirming some aspects
376 of the regional compilations. Thus, the improvement in oxygenation in the late
377 Dienerian–early Smithian seen in many regions is also manifest in the global proxy

378 records (Fig. 7). However, the increased area of anoxic seafloor in the earliest
379 Spathian, suggested by $\delta^{238}\text{U}$ records, is not reflected in the regional records.

380 MRBs are also common in the Early Triassic, especially in the mid Spathian (Fig.
381 7), and their occurrences have also been linked with ocean anoxia (Sun et al., 2015;
382 Song et al., 2017; Li et al., 2019a). However, comparing the global records of MRBs
383 and anoxia of the Yiwagou succession shows little in common with other regions
384 except for the presence of red beds in the early-mid Spathian which are widespread in
385 Tethyan regions and also seen in deep-ocean records of Panthalassa (Fig. 7). Two
386 distinct oceanographic models have been proposed for these Spathian MRB
387 occurrences. In South China, MRBs have been ascribed to the reoxygenation of
388 ferruginous ocean waters and precipitation of iron oxides following a prolonged mid
389 Smithian–early Spathian episode of ocean anoxia (Sun et al., 2015). A different
390 situation pertained on the Perigondwanan margin, where Spathian MRBs developed
391 up-dip of a contemporaneous anoxic basin in outer platform settings (Li et al 2019a).
392 In this region the ferruginous waters are therefore said to have upwelled onto the shelf.
393 However, it is unlikely that such upwelling will have supplied nutrients and elevated
394 primary productivity because the increased organic matter flux would have consumed
395 the highly reactive iron oxide nanoparticles forming in the sediment. Thus, the
396 ferruginous waters would need to have been advected onto the shelf without recourse
397 to vigorous upwelling (Matheson et al., 2022). The Li et al. (2019a) model therefore
398 requires there to be a subtle balance between supply of significant ferrous iron but not
399 nutrients. This may have been achieved by the sequestering of phosphorus by the flux
400 of iron oxide nanoparticles into the sediment.

401 So, what model can be invoked for MRBs at Yiwagou? Here the thickest and

402 most persistent development is in the Smithian strata where they are associated with
403 high-energy storm facies. More minor red beds are also developed in the relatively
404 proximal Sai'ertlangshan section (Zhu et al., 2012). At Yiwagou the MRBs are
405 bracketed by strata with abundant framboids indicating dysoxic (and briefly anoxic)
406 conditions. Productivity may have been somewhat higher both before and after the
407 MRB development leading to the development of organic matter remineralization
408 within the sediments and framboid (rather than iron oxide nanoparticle) formation
409 (Fig. 9C). During the prolonged interval of red bed formation ferruginous waters,
410 advecting from the adjacent anoxic Paleo-Tethys Ocean, may have supplied the levels
411 of iron (but not nutrients) required for red bed formation (Fig. 9B). It is unclear if the
412 ocean waters of the adjacent Paleo-Tethys Ocean were anoxic during the Smithian
413 because, of all the oceanic realms at this time, this one is the least known (Fig. 7).
414 Based on global redox proxies, the Smithian MRBs of the Qinling shelf sea coincide
415 with a globally intense/extensive phase of anoxia (Fig. 7). Therefore, the model of Li
416 et al. (2019a) may be appropriate in which ferruginous waters were advected (but not
417 vigorously upwelled) from the adjacent ocean (Figs. 9A–9B).

418 The situation for the Spathian MRBs at Yiwagou is somewhat different because
419 the fauna suggests a more restricted setting (see above) and the interval was marked
420 by a decline in the extent of ocean anoxia (Fig. 7). In this case the scenario of Sun et
421 al. (2015) may be appropriate: advection of ferruginous waters, flushed from a
422 re-oxygenating ocean, in a very low productivity seaway could have produced iron
423 oxide precipitation in the surficial sediments (Fig. 9). The implication for such a
424 model is that the Qinling shelf was reasonably well connected to the ocean waters in
425 the Spathian despite its restricted fauna. These two apparently contradictory features
426 could be due to different reasons: global ocean circulation enhanced during cooling

427 across SSB supplied ferruginous waters (Song et al., 2019), whilst the losses during
428 the Smithian–Spathian crisis resulting in an impoverished rather than restricted
429 assemblages in the Spathian.

430 Potentially, the iron source in the Qinling Sea could come from abundant
431 terrestrial runoff rather than oceanic advections. Matheson et al. (2022) refer to this as
432 the “traditional model” of red bed formation and it has been widely proposed for
433 many Phanerozoic MRBs. For the Qinling Sea MRBs this model is supported by their
434 preferential occurrence in the nearshore Sai’erlangshan location, close to the
435 Songpan-Ganzi hinterland and thus a potential source of terrestrial iron (Fig. 1) (Lai
436 et al., 1992). However, our Raman spectral analysis did not find any detrital iron
437 oxide grains and the Lower Triassic succession consists entirely of carbonates
438 indicating there was little/no runoff into the Qinling Sea. Therefore, advection of
439 ferruginous deeper waters into a generally low productivity, carbonate sea is more
440 likely to be the dominant process for the MRB formation in the Qinling shelf seas of
441 the Early Triassic.

442

443 *5.2. Carbon isotope fluctuations and Early Triassic ocean anoxia*

444 The substantial carbon isotope excursions of the Early Triassic also provide clues
445 to the evolving redox state of the oceans and may help in determining environmental
446 conditions during MRB formation. The Permian–Triassic boundary is marked by a
447 major negative CIE (N1) in many locations but this is only weakly developed at
448 Yiwagou (Fig. 10), possibly because it is mostly unrecorded at a boundary hiatus (Li

449 et al., 2022). The subsequent excursions of the Early Triassic include two large,
450 positive CIEs in the earliest Smithian and Spathian, separated by a major negative
451 perturbation in the middle–late Smithian, labelled P2, N3 and P3 respectively (Song
452 et al., 2013; Sun et al., 2021). These CIEs are clearly manifest in the Yiwagou section
453 (Fig. 10). The amplitudes of these C isotope shifts, particularly that from N3 to P3,
454 are amongst the largest of the Phanerozoic and they imply major changes in carbon
455 cycling (e.g., Du et al., 2022). The gradient between shallow and deep carbon isotope
456 values ($\Delta\delta^{13}\text{C}_{\text{vert}}$) has also been used to evaluate environmental factors such as the
457 degree of water column stratification (Song et al., 2013).

458 Several models have been proposed to explain the changing $\delta^{13}\text{C}_{\text{carb}}$, $\Delta\delta^{13}\text{C}_{\text{vert}}$,
459 and marine redox records of the Early Triassic including a major emission of volcanic
460 CO_2 from the Siberian Traps as a cause of both the N1 and N3 CIEs (Payne et al.,
461 2004; Payne and Kump, 2007; Galfetti et al., 2007; Song et al., 2019; Shen et al.,
462 2019; Du et al., 2022). There is indirect support for this proposition using mercury
463 concentrations in marine sediments as a proxy for the intensity of volcanism (Shen et
464 al., 2019). However, the mercury spike during N3 is not of the same magnitude to that
465 seen during N1 (Grasby et al., 2016) and yet the N3 CIE is of similar or substantially
466 greater magnitude to the N1 CIE (Song et al., 2013). Evidence for substantial
467 volcanism during the mid-Smithian is also missing from the Siberian region (Hammer
468 et al., 2019; Widmann et al., 2020). It thus appears that the very light $\delta^{13}\text{C}_{\text{carb}}$ values
469 of N3 cannot be unequivocally attributed to volcanism. Alternatively, N3 has been
470 linked with the purportedly extensive oceanic anoxia of the interval (Zhang et al.,

471 2021). Horacek et al. (2007) suggested overturning of a stratified ocean would upwell
472 deep ^{12}C -rich enriched waters producing light $\delta^{13}\text{C}_{\text{carb}}$ values into shallow waters. In a
473 distinct but similar model, Zhang et al. (2021) suggested that transgression and
474 upward expansion of stratified waters would introduce the deep ^{12}C -rich waters into
475 shelf areas. Redox implications differ between these alternatives: the vigorous
476 overturn of the Horacek model implies improved oxygenation during N3 whilst
477 Zhang and colleagues suggest the spread of anoxia. The former model is not
478 supported by the global redox record, because widespread re-oxygenation is not
479 observed during N3 (Fig. 7). In contrast, Zhang et al. (2021) note that the uranium
480 isotope record broadly suggests increased spread of anoxia during N3 (Zhang et al.,
481 2019b), although the details of the curve do not closely parallel the $\delta^{13}\text{C}_{\text{carb}}$ trends
482 (Zhao et al., 2020a). In indirect support of the Zhang et al. (2021) model, the earlier
483 N1 CIE at the start of the Triassic also coincides with a major transgression and
484 spread of anoxia in shelf seas.

485 The origin of the N3 CIE remains controversial and the same can also be said for
486 the subsequent major increase in C isotope values that lead to the P3 CIE. An increase
487 in $\delta^{13}\text{C}_{\text{carb}}$ can be driven by substantial burial of light organic matter and this process
488 has been regularly invoked to explain the shift from N3 to P3 (e.g., Payne and Kump,
489 2007; Widmann et al., 2020; Du et al., 2022) and also the earlier P2 CIE (Stebbins et
490 al., 2018). Given that organic C burial is typically associated with anoxic deposition,
491 it is therefore surprising that the N3 – P3 trend coincides with a rapid decline in
492 oceanic anoxia according to the U isotope proxy (Zhao et al., 2020a), and many

493 sedimentary records show a loss of black shales in the earliest Spathian (e.g. Sun et al.,
494 2021). The extent of anoxia during the Smithian–Spathian transition calculated from
495 $\delta^{238}\text{U}$ records varies considerably (Fig. 7), because the absolute values in the studies
496 of Zhao et al. (2020a) and Zhang et al. (2019b) differ slightly and there is also
497 uncertainty when applying a diagenetic correction factor. Nonetheless, both studies
498 clearly show a rapid decline in the extent of anoxia coincident with the P3 CIE.

499 A purported trend of increasing $\delta^{34}\text{S}_{\text{CAS}}$ has been offered as evidence for
500 increasing pyrite burial in the N3 – P3 interval (Stebbins et al. 2018), but the
501 supporting data are not nearly as clear-cut as the earlier positive S isotope
502 perturbation seen during P2 CIE. Furthermore, other $\delta^{34}\text{S}_{\text{CAS}}$ records show a steep
503 increase in values at the start of the Spathian that persist long after the P3 CIE (Du et
504 al., 2022). The lack of support for increased productivity during P3 is side-stepped in
505 many models by suggesting that the enhance burial of organic C was focused in
506 high-productivity upwelling zones of limited extent (Galfetti et al., 2007; Payne and
507 Kump, 2007; Lyu et al., 2019; Song et al., 2019), but the issue remains that such areas
508 have not been identified and their existence is not supported by the U isotope record.
509 In addition, physical evidence for high productivity during the Smithian–Spathian
510 transition, such as phosphorite deposition, is lacking (Sun et al., 2021). Potential,
511 indirect evidence for this mixing comes from the collapse of the $\Delta\delta^{13}\text{C}_{\text{vert}}$ gradient
512 between N3 and P3 (Song et al., 2013). During N3 surface water $\delta^{13}\text{C}_{\text{carb}}$ is reportedly
513 up to 10‰ heavier than values from basinal waters, suggesting a water column
514 gradient that is over three times steeper than seen in modern oceans (Song et al.,

515 2013). In contrast, the gradient declines during the transition to P3 and in some
516 locations disappears altogether suggesting a break down in ocean stratification that is
517 perhaps supportive of increased upwelling at this time.

518 We have re-examined the magnitudes and regional variability of $\delta^{13}\text{C}$ and
519 $\Delta\delta^{13}\text{C}_{\text{vert}}$ records between the P2 to P3 CIEs (late Dienerian–early Spathian) based on
520 a large literature compilation (Figs. 11, 12, Appendix A). This reveals that the
521 magnitude of P2, N3 and P3 varies considerably. The P2-N3 negative excursion
522 ranges from -2.9‰ to -9.7‰, whilst the N3-P3 positive excursion ranges from 2.6‰
523 to 11.9‰ with the amplitude being greatest in the Northern Yangtze Platform (Fig.
524 12). In detail, the N3 values show their largest ranges in the North Yangtze Platform
525 and Panthalassa Ocean. In South China, the P3 values in the North Yangtze Platform
526 are all higher than those in the Nanpanjiang Basin. The data from Yiwagou are the
527 only record available from the Qinling Sea and this shows that the $\delta^{13}\text{C}_{\text{carb}}$ values are
528 consistently amongst the heaviest values known for the P2, N3 and P3 CIEs (Fig. 12).

529 As previously observed, the CIE values also show variation with water depth: P2
530 and N3 on average have heavier isotopic values in shallow waters than in intermediate
531 and deep waters whilst P3 CIE values show no consistent variation with water depth
532 (Fig. 11). Compilation of data from 54 sections worldwide (Appendix A), shows that
533 the global average $\delta^{13}\text{C}$ values of P2 and N3 CIEs are 2.0‰ and 1.1‰ heavier in
534 shallow settings than deep settings respectively (Fig. 11). And the distribution
535 analyses show that these data commonly follow a normal distribution, with a few

536 exceptions (Appendices B, C). The P3 values show the greatest range in shallow
537 settings but show no consistent variation with water depth (Fig. 11). Because the
538 $\Delta\delta^{13}\text{C}_{\text{vert}}$ values should be estimated within one single basin or region (e.g., Song et
539 al., 2013, Meyer et al., 2011), three distinct regions have therefore been chosen to
540 evaluate this value: the Nanpanjiang Basin, the Lower Yangtze Basin, and the
541 Arabian Margin, the former two are in Eastern Tethys and the last one is in
542 Neo-Tethys (Figs. 1, 12). In the Nanpanjiang Basin, the $\Delta\delta^{13}\text{C}_{\text{vert}}$ value during P2 is
543 up to $\sim 5.5\%$, but decreases to $\sim 2.8\%$ during N3, and then reverses to $\sim -2.6\%$ during
544 P3. However, the lightest $\delta^{13}\text{C}$ value of P3 from the deep-water Lekang section of the
545 Nanpanjiang Basin is questionable, and requires further study (Tong et al., 2007). In
546 the Lower Yangtze Basin, six sections provide a shallow-to-deep gradient of carbon
547 isotope values: the shallow-water Yashan section, the moderate water depths of the
548 Meishan section and the deep-water Hushan, West Pingdingshan, North Pingdingshan
549 and South Majiashan sections (Appendix A). During P2 $\Delta\delta^{13}\text{C}_{\text{vert}}$ values are $\sim 4.9\%$,
550 N3 values are $\sim 4.8\%$, and P3 remains positive at $\sim 4.4\%$ (Fig. 12). In the Arabian
551 Margin, $\Delta\delta^{13}\text{C}_{\text{vert}}$ values for P2 is $\sim -3.2\%$, N3 is $\sim 2.2\%$, P3 is $\sim -5.4\%$. By examining
552 these three separate regions it can be seen the $\Delta\delta^{13}\text{C}_{\text{vert}}$ values in different regions
553 show major differences. Thus, the collapse of the carbon isotope gradient during P3,
554 as first observed by Song et al. (2013) in South China, is not seen in the Lower
555 Yangtze Basin, whilst the gradient reverse during both P2 and P3 in the Arabian
556 Margin records (Fig. 12). The shallow-water Yiwagou section is close to the Lower
557 Yangtze Basin in the Early Triassic (Fig. 1), and it also follows the same pattern (red

558 stars in Fig. 12). It is important to note that the carbon isotope values are obtained
559 from limestone within epicontinental basin and shelf sea settings and so they need not
560 necessarily reflect the gradient seen in the adjacent open ocean settings as often
561 inferred. The $\Delta\delta^{13}\text{C}_{\text{vert}}$ gradients within such basins may only record regional
562 stratification intensity. We suggest that the $\Delta\delta^{13}\text{C}_{\text{vert}}$ data suggest stratification was
563 widespread (and of variable intensity) in Early Triassic basins and gradually declined
564 from the Smithian onwards except in the Lower Yangtze Basin.

565 The value of $\delta^{13}\text{C}_{\text{carb}}$ is dependent on several factors including water column
566 productivity, carbonate composition and (especially important in carbonate platform
567 settings) connectivity with the world's oceans. The modern Bahamas Bank has lighter
568 values than adjacent oceanic waters due to remineralization of marine and terrestrial
569 organic matter in platform settings (Patterson and Walter, 1994). Thus, transgression
570 and deepening of a carbonate platform can enhance circulation in platform-top waters
571 and lead to more positive values of $\delta^{13}\text{C}$ as they tend toward oceanic values
572 (Immenhauser et al., 2003). In this scenario the $\Delta\delta^{13}\text{C}_{\text{vert}}$ is at least partially controlled
573 by sea-level change and water mass connectivity. This notion helps explain the
574 generally heavier $\delta^{13}\text{C}$ seen at Yiwagou compared to the more restricted regions such
575 as the North Yangtze Platform (Fig. 11). Yiwagou was located in a carbonate
576 platform on a continental margin facing the Paleo-Tethys Ocean with its presumed
577 heavier $\delta^{13}\text{C}$ surface water values. In comparison, the North Yangtze Platform was
578 located within the extensive epicontinental seas of this continent and would be
579 expected to have lighter values as is observed. Also, the generally greater variability

580 of North Yangtze Platform values could reflect the rather variable degree of
581 connectivity of the region. In contrast, during the N3 excursion the Yiwagou, carbon
582 isotope values overlap with those from the seamount carbonates of the Panthalassa
583 Ocean (Fig. 11). This suggests good oceanic connectivity at the time of Smithian
584 MRB formation in the Qinling Sea and supports the idea that advection of ferruginous
585 ocean waters was possible at this time. On the flip-side of the coin, the general lack of
586 MRBs in the Smithian (Fig. 7), other than the Qinling occurrences, suggests
587 connections between epicontinental seas and oceans was generally poor at this time.
588 This implies that the widespread mid-late Spathian MRBs formed at a time of greater
589 connectivity.

590 So, where does this leave the unusual reversal of vertical $\delta^{13}\text{C}_{\text{carb}}$ gradients during
591 the Smithian–Spathian transition? This reversal is widespread, of variable magnitude
592 and is not a global pattern. As noted, a re-invigoration of global-ocean circulation
593 could be linked to contemporaneous global climatic cooling (Sun et al., 2012;
594 Romano et al., 2013) and stimulated marine productivity through enhanced upwelling
595 of nutrients is a highly popular model (e.g., Song et al., 2013; Zhang et al., 2015;
596 Stebbins et al., 2018; Lyu et al., 2019; Zhao et al., 2020a; Du et al., 2022), but not one
597 receiving much support from geochemical and sedimentological records (Zhang et al.,
598 2019b; Zhao et al., 2020a; Sun et al., 2021). Whilst the $\Delta\delta^{13}\text{C}_{\text{vert}}$ transition could
599 reflect a loss of stratification within many epicontinental basins, invigorated oceanic
600 upwelling need not be a corollary.

601 The ultimate cause of the two principal positive excursions of the Early Triassic
602 (P2 and P3) also remains enigmatic. Neither episode coincides with clear evidence for
603 enhanced oceanic anoxia (Fig. 7), which ensures that scenarios invoking enhanced
604 organic C burial remain moot. A similar issue occurs with the Kamura Event: a
605 Middle Permian interval with heavy positive $\delta^{13}\text{C}_{\text{carb}}$ values (+5‰) that also does not
606 coincide with globally elevated organic C deposition (Bond et al., 2010; Zhang et al.,
607 2020b). Potentially, this positive CIE can be modelled as a proportional increase of
608 organic C burial relative to carbonate burial as suggested for the Kamura Event
609 (Zhang et al., 2020b). Unfortunately, this scenario does not translate easily to the
610 Spathian CIE because a positive Ca isotope trends suggests that there was a massive
611 increase of carbonate burial at this time (Zhao et al., 2020b). However, this trend is
612 not replicated in the data of Song et al. (2021b) which instead suggests that there was
613 a carbonate crisis around the Smithian–Spathian transition (cf. Galfetti et al. 2007).
614 More studies are clearly needed of these various isotope systems but it is possible that
615 the major CIEs of the Early Triassic are controlled by both fluctuations of organic
616 carbon burial and changes in the proportion of carbonate versus organic carbon
617 deposition. Biogeochemical box modelling could help to distinguish between these
618 factors controlling CIEs (e.g. Zhang et al 2020b), especially during the enigmatic
619 Smithian–Spathian transition. Further investigation is also merited of the relationship
620 between regional sea-level fluctuations and CIEs because the role ocean connectivity
621 (e.g. the Immenhauser et al. (2003) model) has rarely been explored in studies of the
622 Early Triassic.

623

624 **6. Conclusions**

625 The Qinling Sea region (Yiwagou and Sai' erlangshan sections) records a distinct
626 shallow-water history of deposition during the Permian–Triassic boundary interval. In
627 the Early Triassic that features a much better oxygenated depositional history than
628 seen in many other contemporaneous shelf sea regions. Four different environment
629 settings are recognized: oolitic shoals in the Changhsingian, an open-marine
630 carbonate platform from late Changhsingian to middle Smithian, a storm-dominated
631 inner platform in the middle–late Smithian and restricted carbonate platform from
632 latest Smithian to Spathian. A diverse marine community occurs in the
633 Griesbachian–Smithian interval but in the Spathian an ostracod-dominated fauna
634 suggests restricted marine conditions at this time.

635 The Qinling Sea also witnessed the prolonged deposition of marine red beds,
636 which dominate Smithian and younger Spathian strata. A review of MRB occurrences
637 globally finds that they are common in the latter of these two intervals, whilst
638 Smithian examples have not previously been recorded. The traditional model for red
639 bed formation – enhanced input of terrigenous iron – is not appropriate for the
640 Qinling Sea MRBs which were deposited in a carbonate-dominated setting receiving
641 little clastic input. An advection model is proposed to explain red bed formation with
642 ferruginous waters, flushed from adjacent Paleo-Tethys ocean waters. Productivity
643 within the Qinling seas is likely to have been very low in order to have facilitated the

644 preservation of the highly reactive iron oxide nanoparticles that impart the red colour
645 to sediments. Pyrite petrography study at Yiwagou shows the presence of abundant
646 framboids in strata straddling the Smithian MRBs. This suggests the development of
647 dysoxic conditions in presumably more productive waters in the early Smithian and
648 earliest Spathian.

649 Compilation of global redox history and MRBs shows multiple anoxic periods
650 from Griesbachian to Spathian with notable regional variations, especially in the
651 Qinling Shelf Sea. Only during the PTB and basal Griesbachian are anoxic conditions
652 near global whilst the Dienerian–Smithian boundary and the earliest Spathian were
653 better oxygenated intervals. The last two intervals correspond to major, positive
654 carbon isotope perturbations (P2 and P3) and they are separated by a major negative
655 excursion in the late Smithian (N3). Current explanations for all these isotope trends
656 are controversial. P3 is widely regarded to reflect increased productivity and organic
657 C burial as oceanic circulation and upwelling becomes invigorated in a cooling
658 climate. However, geochemical or sedimentological evidence for enhanced anoxia
659 and black shale accumulation slightly predates the peak of P3 suggesting a slight
660 mismatch with the enhanced productivity notion, or potentially the black shales
661 coincident with P3 have yet to be discovered. Alternatively, the P3 excursion may be
662 the result of a calcification crisis and a decline in the proportion of carbon being
663 buried as carbonate relative to organic carbon. Previous studies have noted a dramatic
664 shift from strong positive to weakly negative $\Delta\delta^{13}\text{C}_{\text{vert}}$ values on transition from N3 to
665 P3 (Song et al., 2013) which are used to invoke the loss of oceanic stratification as

666 upwelling becomes established. Reviewing the currently available data confirms the
667 presence of this change in some regions but its magnitude is substantially less than
668 previously reported.

669 Comparison of the Qinling Sea $\delta^{13}\text{C}_{\text{carb}}$ values with elsewhere reveals that,
670 although the usual Early Triassic perturbations are present, the absolute values are a
671 few permil heavier than seen, for example, in the epicontinental basins of South China
672 and are more akin to those of Panthalassan ocean seamounts. We interpret this to
673 reflect the generally more open connection of the Qinling Shelf with the isotopically
674 heavier surface waters of the open ocean. Such connectivity may account for the
675 unusual prevalence of MRBs in the Qinling sections, especially during the Smithian,
676 where advection of deep ferruginous ocean waters was able to supply the Fe needed
677 for their formation. The expansion of MRB formation in the Spathian over wide areas
678 may reflect an increase in overall basin and shelf sea connectivity during a highstand
679 and/or flushing of ferruginous waters from ocean basins during a long-term
680 re-oxygenation event.

681

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683

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691

692 **Figure and Table Captions**

693

694 Figure 1. The Early Triassic paleogeographic maps (modified from Li et al., 2022). A.
695 Global palaeogeography. B. Regional palaeogeography. Red star denotes Yiwagou
696 section. Abbreviations: YWG = Yiwagou section, SELS = Sai'erlangshan section,
697 SL=Southern Longmenxia section, GBG = Great Bank of Guizhou.

698

699 Figure 2. Field photos for Yiwagou section. A–D. Outcrops at the Yiwagou section
700 with bed numbers marked, Yangu Formation (bed -1), Zhalishan Formation (beds
701 1–19), Maresongduo Formation (beds 20–24). E. Hummocky cross bedding in Mf5,
702 with interpretative sketch. F. Planar lamination in Mf5, with interpretative sketch. G–I.
703 Bedding plane views of trace fossils, coin is 25.75 mm in diameter G. *Lockeia* from
704 Maresongduo Formation, H. *Planolites* from Zhalishan Formation, I. *Skolithos* from
705 Maresongduo Formation.

706

707 Figure 3. Thin section images. A–C. Mf1, light grey oolitic grainstone, concentric,
708 bimineralic ooids have alternations of calcite crystal laminae and micritic laminae, B.
709 ooids with isopachous acicular fringe cement and outer calcite crystals, the remaining
710 pores are filled by coarse calcite crystals, A–B from sample YG-029, bed -3, C.

711 subhedral dolomite crystals replacing ooids, YG-033, bed -3; D–E.
712 brachiopod-gastropod-peloid pack-grainstone of Mf2, sample YG-12, bed -2; F–H.
713 Mf3, F–G. red gastropod-bivalve packstone, fossil fragments have micritic envelopes,
714 sample ZLSO-25, bed 3, H. dark grey gastropod-bivalve packstone, cortoids with
715 bioclasts at the core, sample ZLSO-29, bed 4; I. Mf4, grey bioclastic wackestone,
716 shows a microgastropod, sample ZLS-51, bed 15.

717

718 Figure 4. Thin section images. A–G. Mf5, A, E–G. red calcarenites
719 packstone/grainstone with common angular intraclasts, some grains are covered by
720 unequal-thickness acicular aragonitic (?) cements (yellow arrows in E), while others
721 are directly cemented by blocky crystals, E. foraminifer *Agathammina australipina*, F.
722 foraminifer *Glomospirella spirillinoidea*, red arrows denote possible detrital iron
723 oxide grains, A from sample ZLS-70, bed 15, E from sample ZLS-82, bed 16, F from
724 sample ZLS-83, bed 16, G from sample ZLS-99, bed 17, B–C, red oolitic grainstone,
725 the matrix shows a thick, isopachous rim cement with spar occluding the central
726 cavities, sample ZLS-72, bed 15, D. grey oolitic grainstone, sample ZLS-44, bed 15;
727 H – I. Mf6, H. grey ostracode wackestone, sample 2ZLS-31, bed 18, I. red
728 ostracode-peloidal packstone, sample 2ZLS-29, bed 18; J. Mf7, light grey crystalline
729 dolostone, with subhedral crystals, sample M-20, bed 18; K – L. Mf8, red peloidal
730 packstone, K. foraminifer *Glomospirella vulgaris* from sample MRSD-1, bed 24, L
731 from sample MRSD-2, bed 24.

732

733 Figure 5. Yiwagou section showing lithologic log, conodont zones, number of
734 conodont elements per sample (each weighing 4–5 kg, data from Li et al., 2022),

735 occurrence of other taxa, microfacies, sample numbers, box-and-whisker plots of
736 framboid diameters, carbonate carbon isotope records. Abbreviations: *H.* = *Hindeodus*,
737 *E.* = *Eurygnathodus*, *Nv.* = *Novispathodus*, *Ns.* = *Neospathodus*, *T.* =
738 *Triassospathodus*, *Sc.* = *Scythogondolella*.

739

740 Figure 6. Wilkin Plot: mean diameter versus standard deviation plot of pyrite
741 framboid sizes from Yiwagou, see figure 4 for sample heights. The dashed line is
742 derived from (Bond and Wignall, 2010).

743

744 Figure 7. Latest Permian to Early Triassic carbon isotope records from Yiwagou,
745 anoxic global seafloor area, marine red beds and redox history chart derived from
746 different regions. Subdivisions of four substage are based on ammonoid
747 biostratigraphy (Dai et al., 2023 and its references). The numeric ages for the
748 Changhsingian–Griesbachian are from Burgess et al. (2014), the
749 Griesbachian–Dienerian boundary (Ovtcharova et al., 2015), the Dienerian–Smithian
750 boundary (Widmann et al., 2020; Dai et al., 2023b), the Smithian–Spathian boundary
751 (Widmann et al., 2020). Model estimates of fractional anoxic global seafloor area (*f*
752 anoxic) are based on the $\delta^{238}\text{U}$ records, 1 from (Zhang et al., 2020), 2 from (Cui et al.,
753 2021), 3 from (Zhao et al., 2020a), 4 from (Zhang et al., 2019b). Marine red beds are
754 carbonates (A–F), chert (I) and siliceous claystone (J). A–D. Eastern Tethys, A–B.
755 Qinling shelf sea, A. Sai’erlangshan (Chen, 2020; Xiao et al., 1992), B. Yiwagou (this
756 study), C. Northern Yangtze Platform, showing shallow (Xiejiaocao, Zhu et al., 2012),
757 intermediate/deep (Meishan, West Pingdingshan, South Majiashan, Huang et al.,

758 2017), D. Nanpanjiang Basin, intermediate (Bianyang, Mingtang, Tian et al., 2014;
759 Sun et al., 2015) and deep (Jiarong, Sun et al., 2015); E. Western Tethys, Italy
760 (Wignall and Hallam, 1992; Wignall and Twitchett, 2002; Foster et al., 2017); F–H.
761 Neo-Tethys, F. South Tibet (Wignall and Newton, 2003; Li et al., 2019a),
762 intermediate (Tulong, Selong) and deep (Xiukang), G. Spiti, India (Sun et al., 2021),
763 H. Arabian Margin (Clarkson et al., 2016); I–J. Panthalassa Ocean, I. New Zealand
764 (Grasby et al., 2021), J. Japan (Takahashi et al., 2009, 2014, 2015; Wignall et al.,
765 2010); K–L. Boreal, K. Spitsbergen shelf (Wignall et al., 2016), L. Sverdrup Basin,
766 Arctic Canada (Grasby et al., 2012). Abbreviations: S. = shallow, I. = intermediate, D.
767 = deep.

768

769 Figure 8. Raman images. A. Inner part of a red ooid showing iron oxide (purple)
770 organic matter (red), quartz (blue), cryolite (orange) and rutile (light grey), sample
771 ZLS-72; B. inner part of a red, angular intraclast, showing nanometer to
772 micrometer-sized iron oxides, with a few micrometer-sized cryolite grains, sample
773 ZLS-83; C. grey biocalstic packstone, showing pyrite areas (yellow) that have been
774 largely oxidized to iron oxides, also with organic matter, sample ZLS-19; D. raman
775 spectra of the minerals present in A–C. Iron oxides are commonly goethite, but with
776 occasional hematite.

777

778 Figure 9. Schematic model for evolution of Qinling Shelf Sea during the Smithian to
779 Spathian. A–B. Shelf carbonates experience substantial storm activity during warming
780 episode, increasing advection of ferruginous ocean waters from adjoining

781 Paleo-tethys Ocean promoted the deposition of MRBs; C. cooling trend is associated
782 with a cessation of MRB formation, increased productivity and organic matter
783 remineralization within the sediments producing framboids within the dysoxic
784 platform sediments; D. resumption of advection of ferruginous ocean waters into mid
785 shelf areas and development of MRBs.

786

787 Figure 10. Comparison of carbon isotope records. Data sources: Yiwagou, South
788 Qinling Platform (Li et al., 2022); Guandao, Nanpanjiang Basin (Payne et al., 2004);
789 Meishan (black from Shen et al., 2013; blue from Song et al., 2013) and West
790 Pingdingshan (black from Tong and Zhao, 2011; blue from Lyu et al., 2019),
791 Northern Yangtze Platform; Mud, India, (black from Sun et al., 2021; blue from
792 Krystyn et al., 2007).

793

794 Figure 11. Compilation of carbon isotope values during the P2, N3, P3 excursions,
795 and the magnitude of the P2–N3 negative and N3–P3 positive excursions derived
796 from $\delta^{13}\text{C}_{\text{carb}}$ records (54 records in total, Appendix A). Estimated water-depth of
797 sections are divided into shallow, intermediate and deep ranges; water depth
798 information is mainly from Song et al. (2013) and Li et al. (2018).

799

800 Figure 12. The $\delta^{13}\text{C}$ gradient from shallow- to deep-water sections in three settings at
801 the peak of the P2, N3 and P3 CIEs in the early Triassic (data sources are listed in
802 Appendix A). The P2 $\Delta\delta^{13}\text{C}_{\text{vert}}$ is positive in Nanpanjiang Basin and Lower Yangtze
803 Basin, but negative in Arabian Margin. The P3 $\Delta\delta^{13}\text{C}_{\text{vert}}$ is negative in Nanpanjiang
804 Basin and Arabian Margin, but positive in Lower Yangtze Basin. The red star denotes

805 Yiwagou values from the Qinling Shelf Sea. The P3 value denoted by “?” is from the
806 Lekang section where the $\delta^{13}\text{C}$ value is questionable (cf., Tong et al., 2007).

807

808 Table 1. Microfacies and depositional settings for the uppermost Permian and Lower
809 Triassic succession of Yiwagou section.

810

811 **Appendices. Supplementary data**

812 A. Summary of P2, N3, P3 values, P2–N3 negative and N3–P3 positive excursions
813 derived from $\delta^{13}\text{C}_{\text{carb}}$ records in the world.

814 B. Analysis of the peak values of the P2, N3 and P3 CIEs in different water depth.

815 C. Analysis of the peak values of the P2, N3 and P3 CIEs in different regions.

816 D. Size distributions of the pyrite framboids at Yiwagou section. Abbreviations: D =

817 mean diameter of the framboids (μm); SD = standard deviation of the measurements;

818 n = numbers.

819

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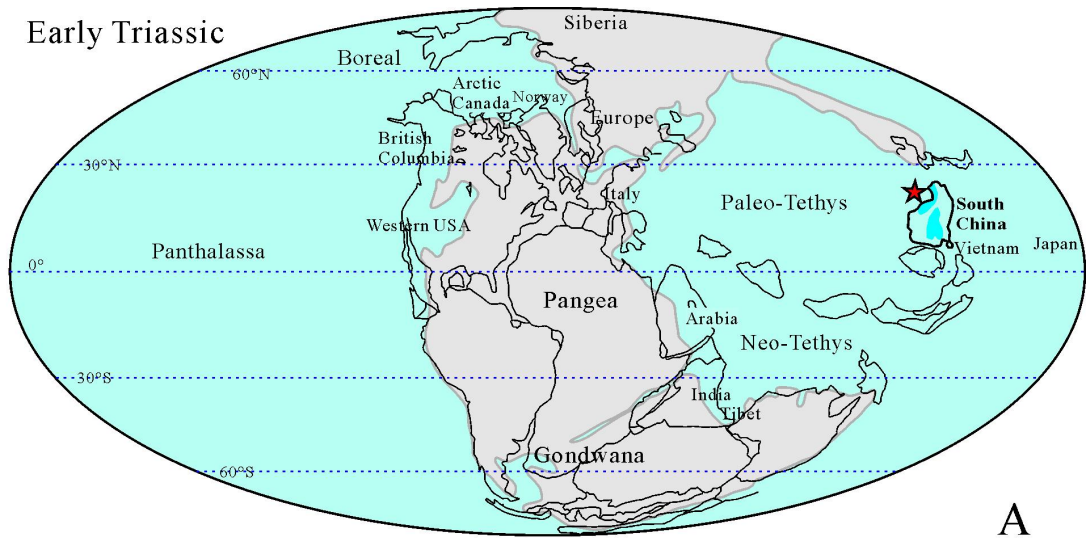
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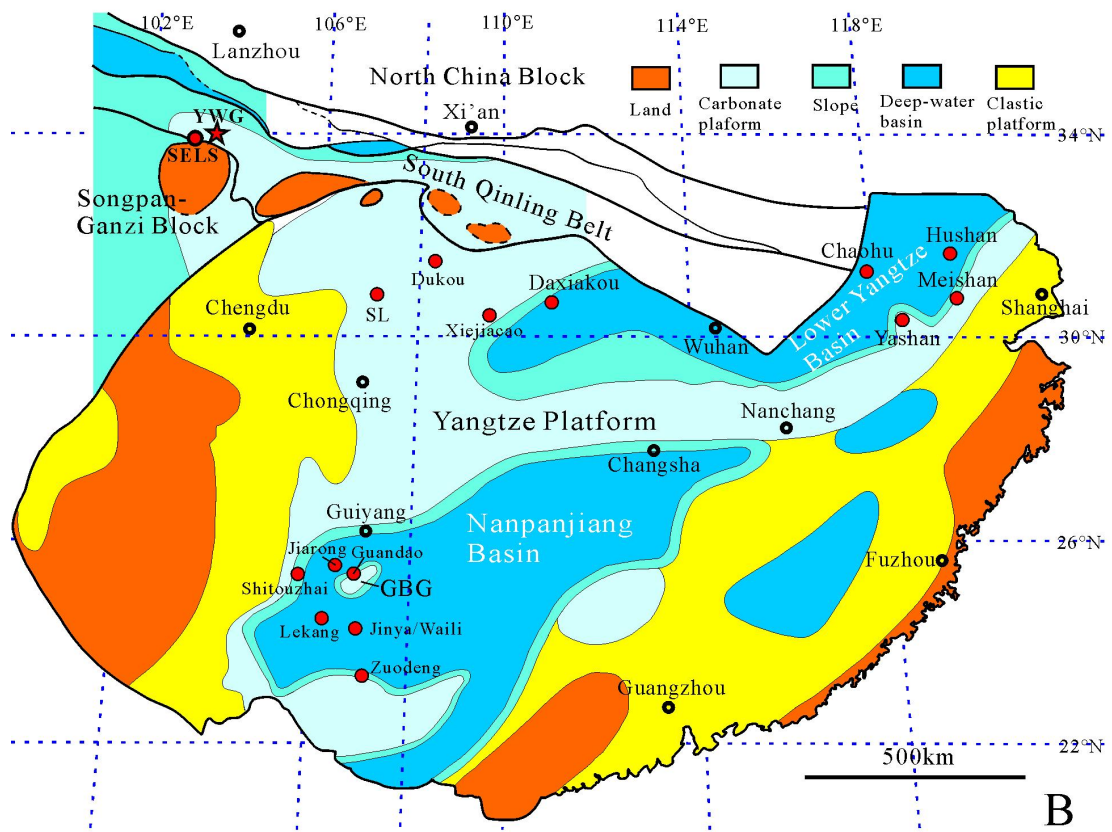
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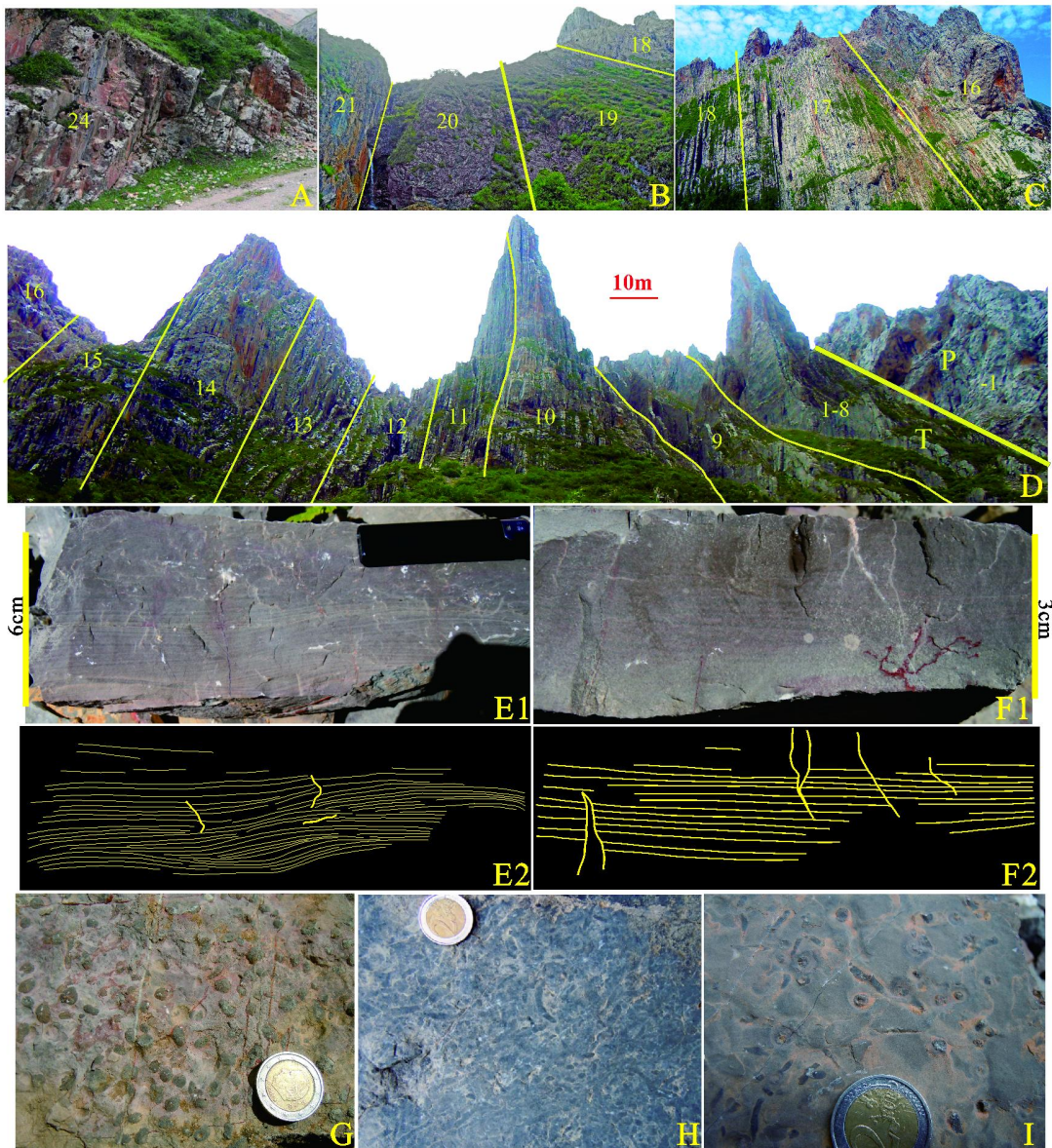


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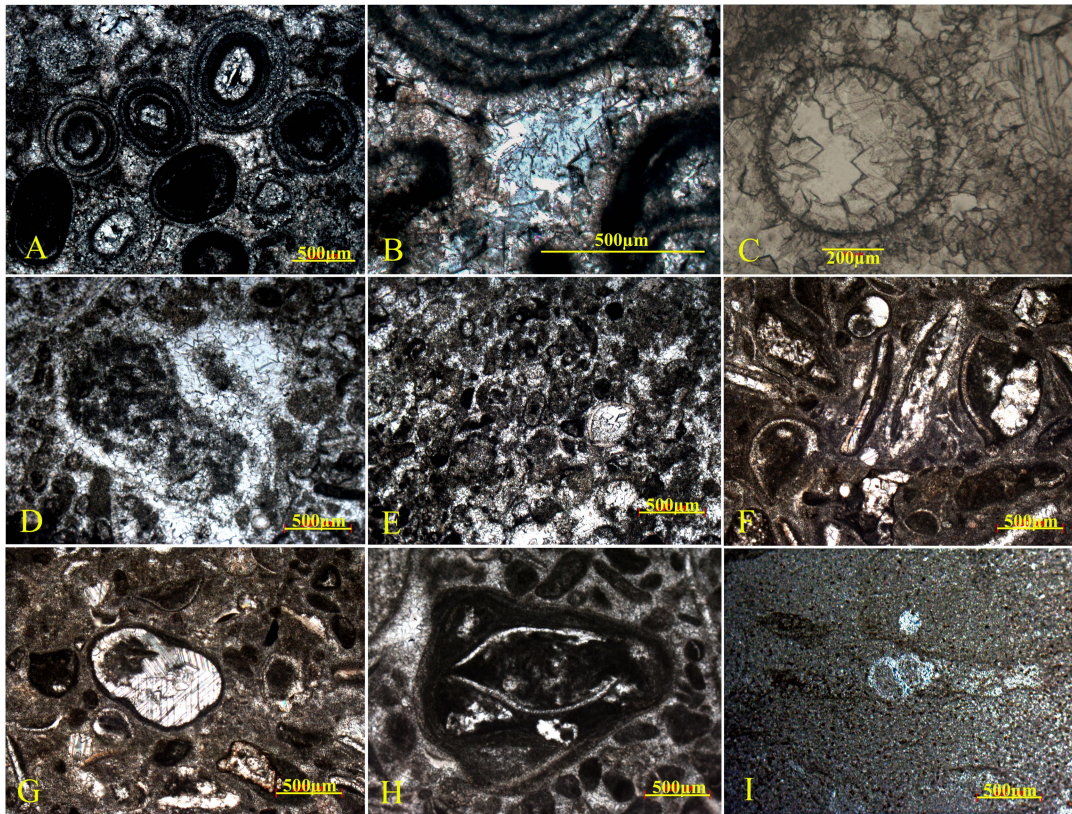


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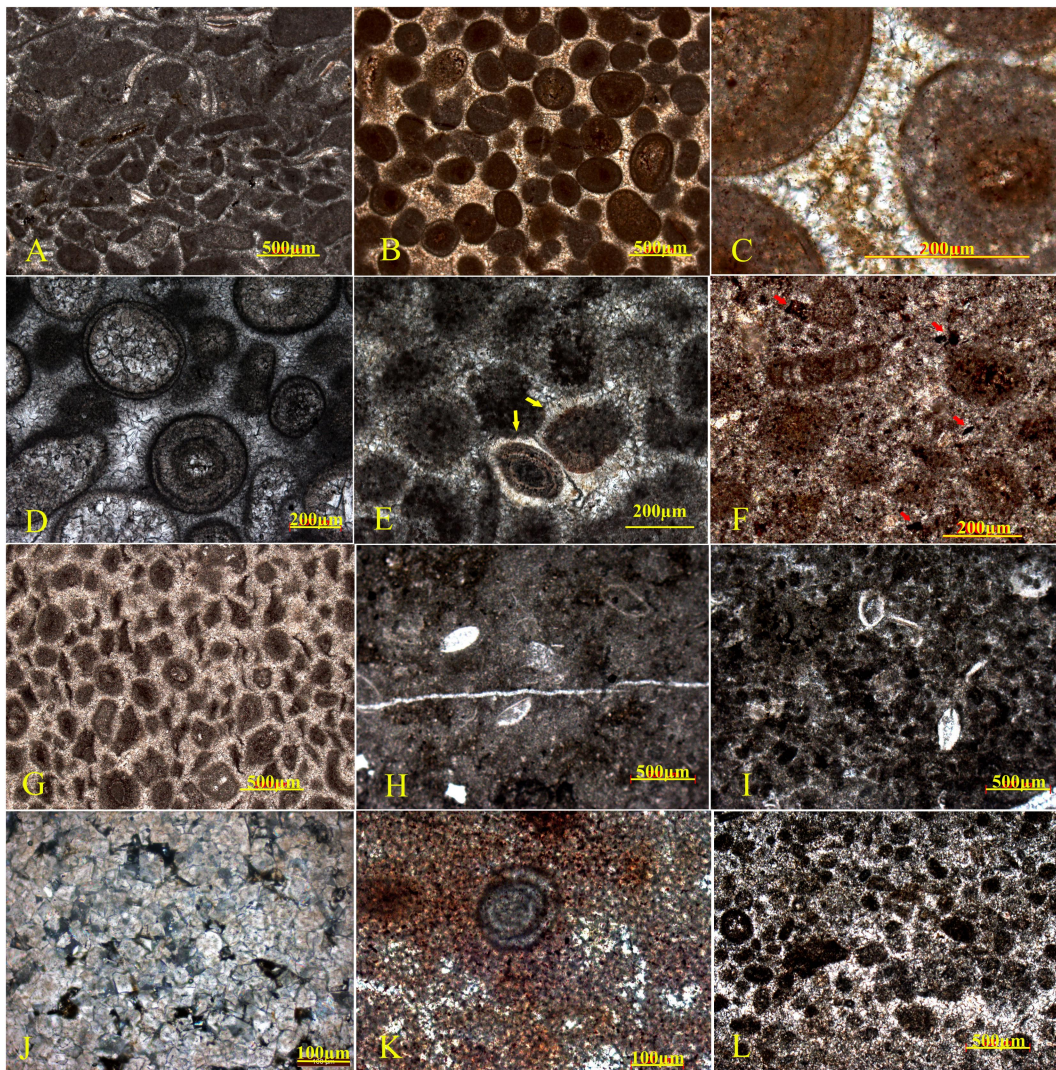
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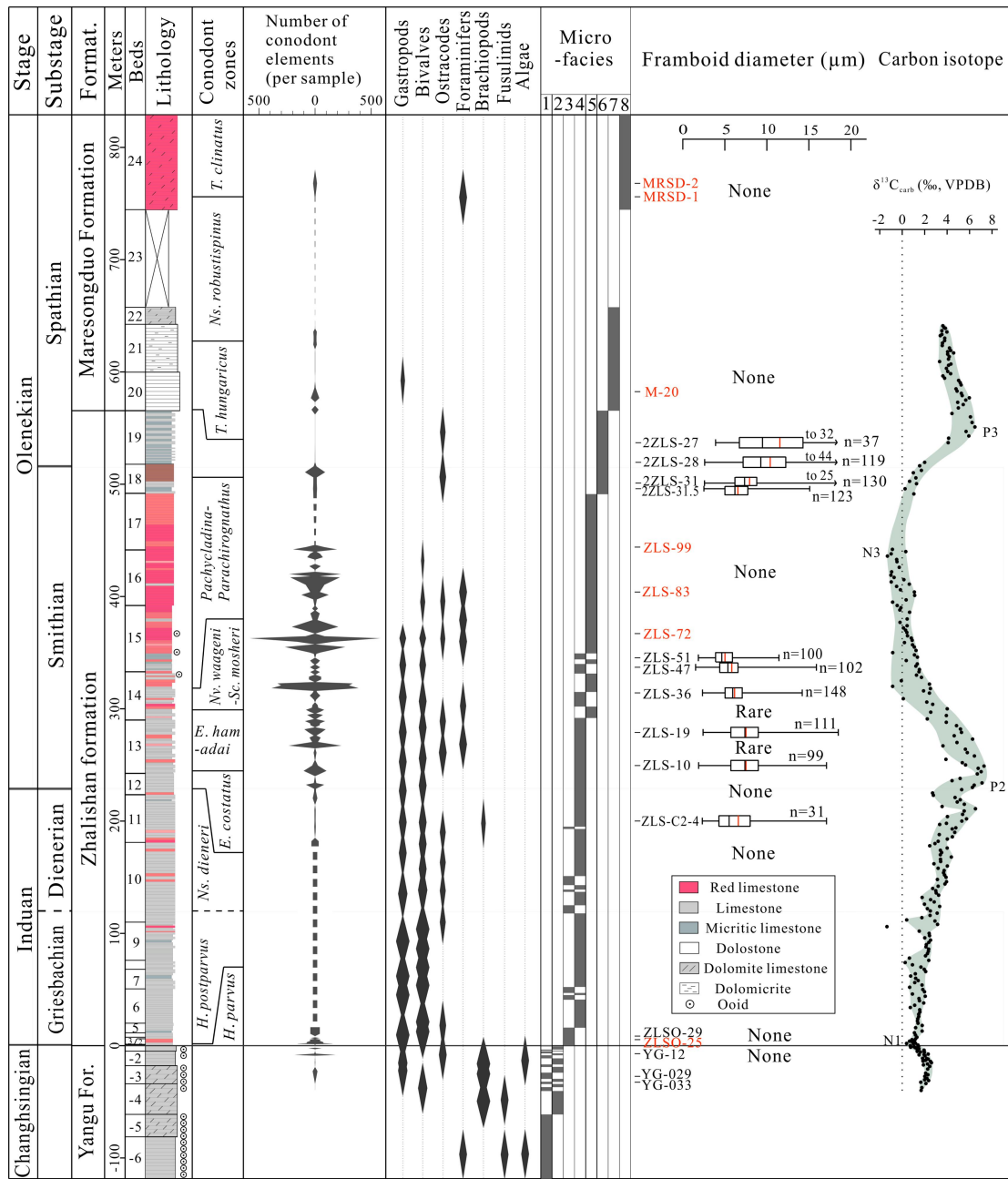
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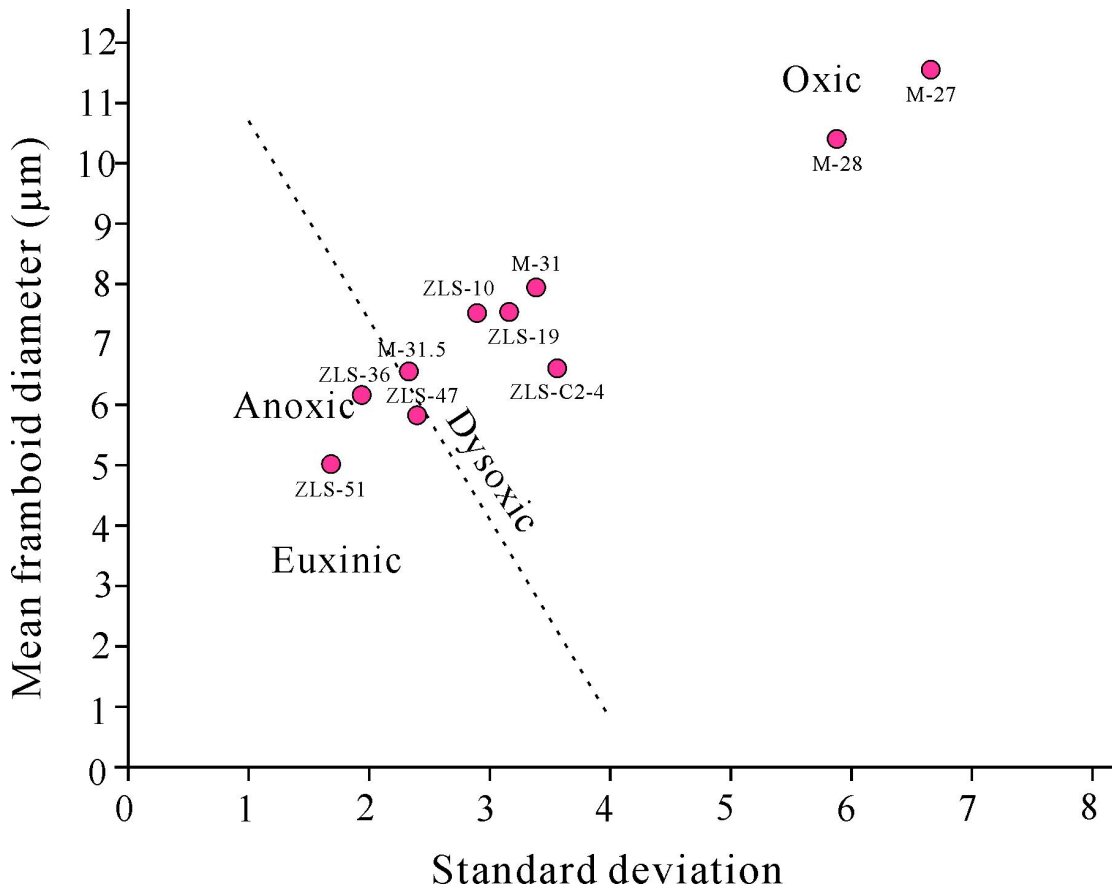
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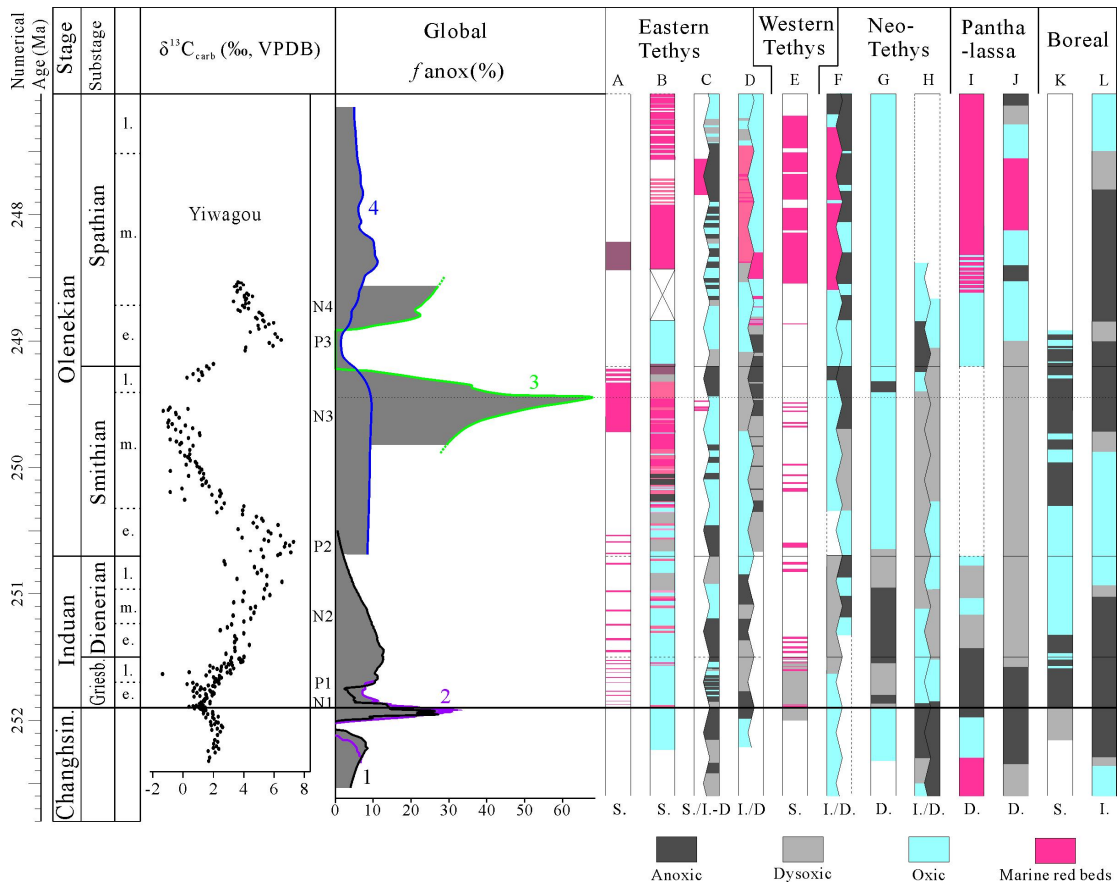
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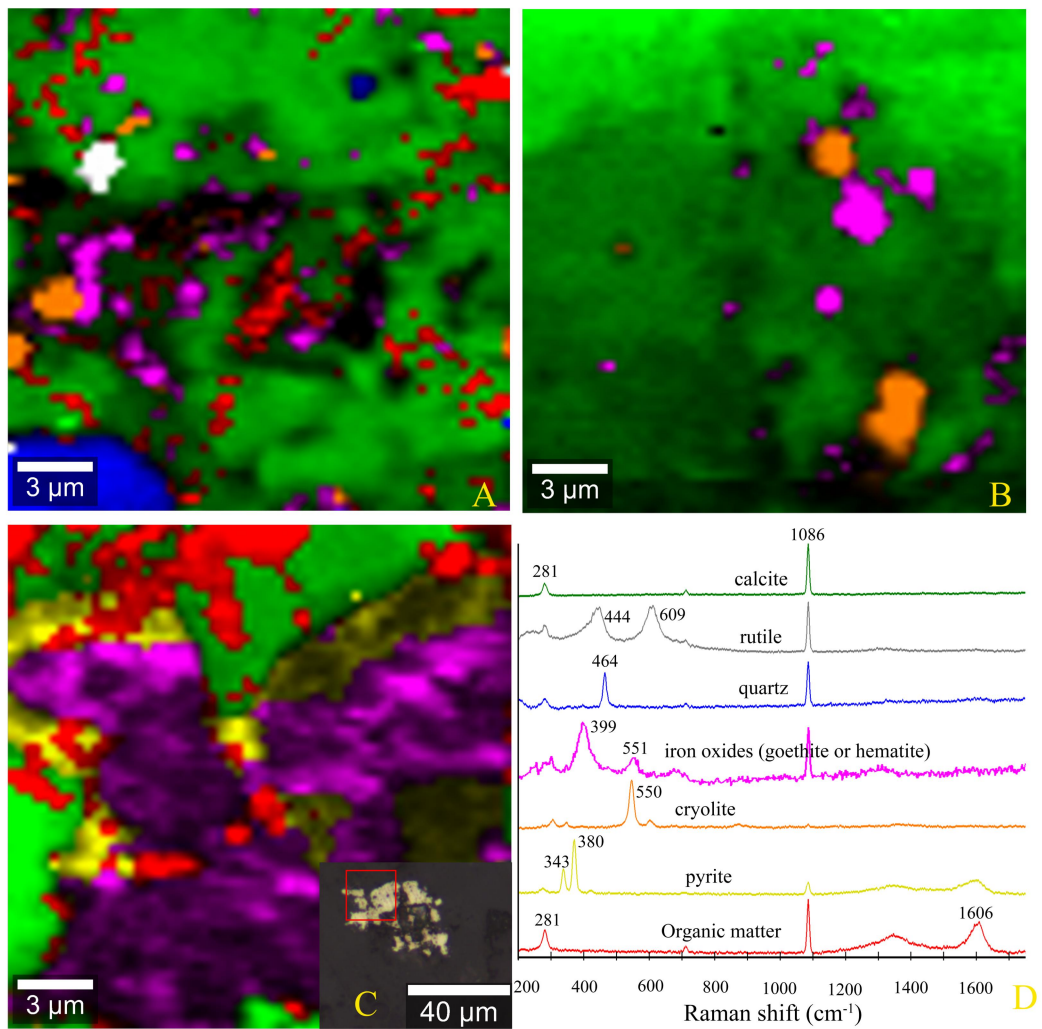
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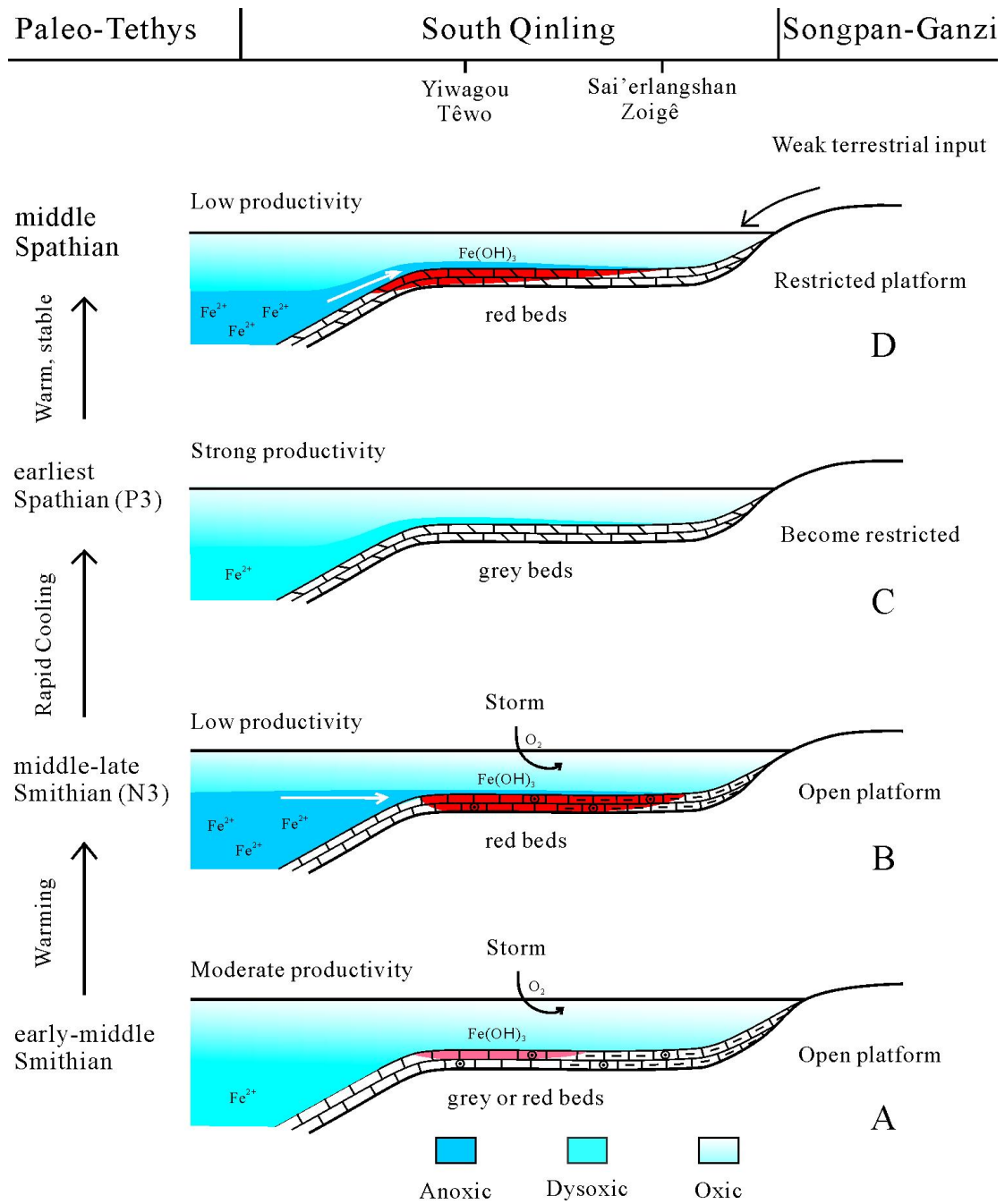
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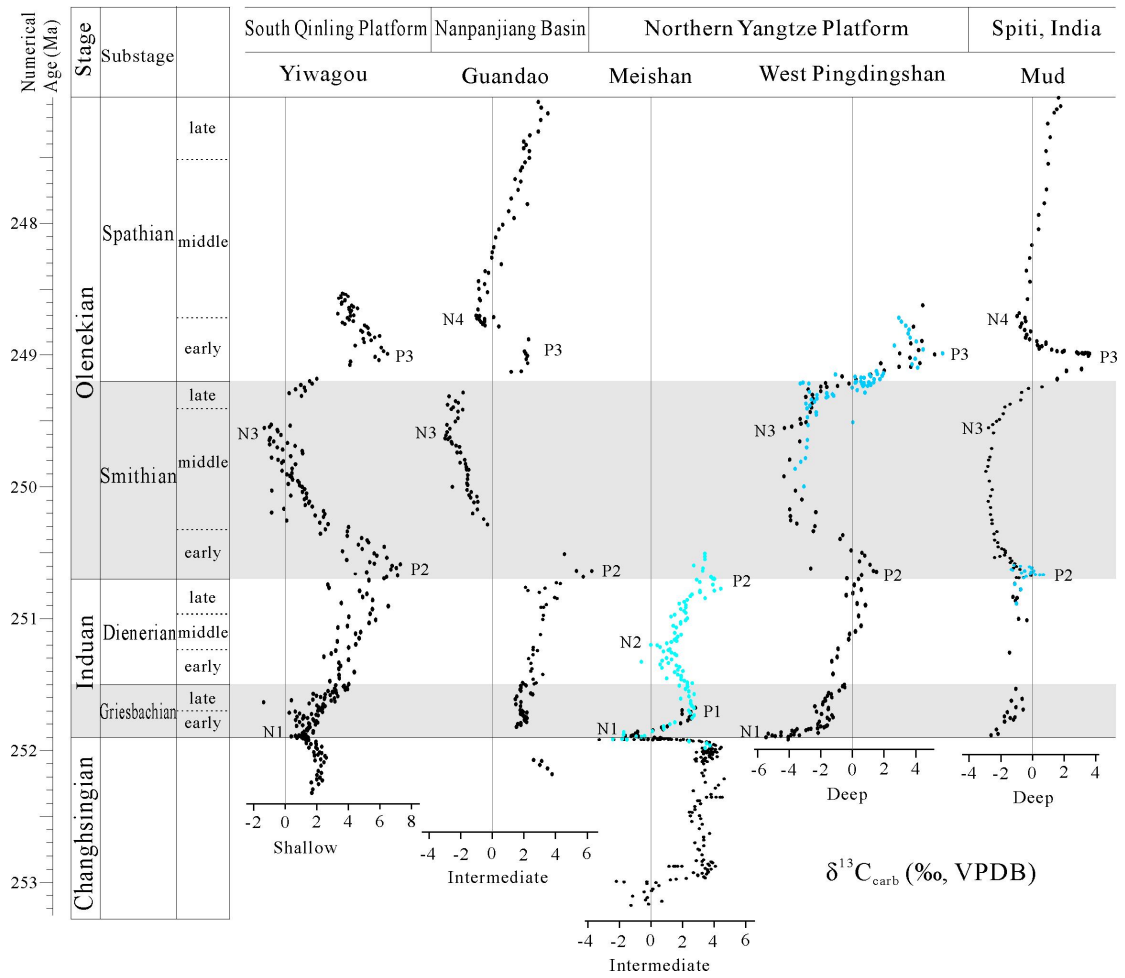
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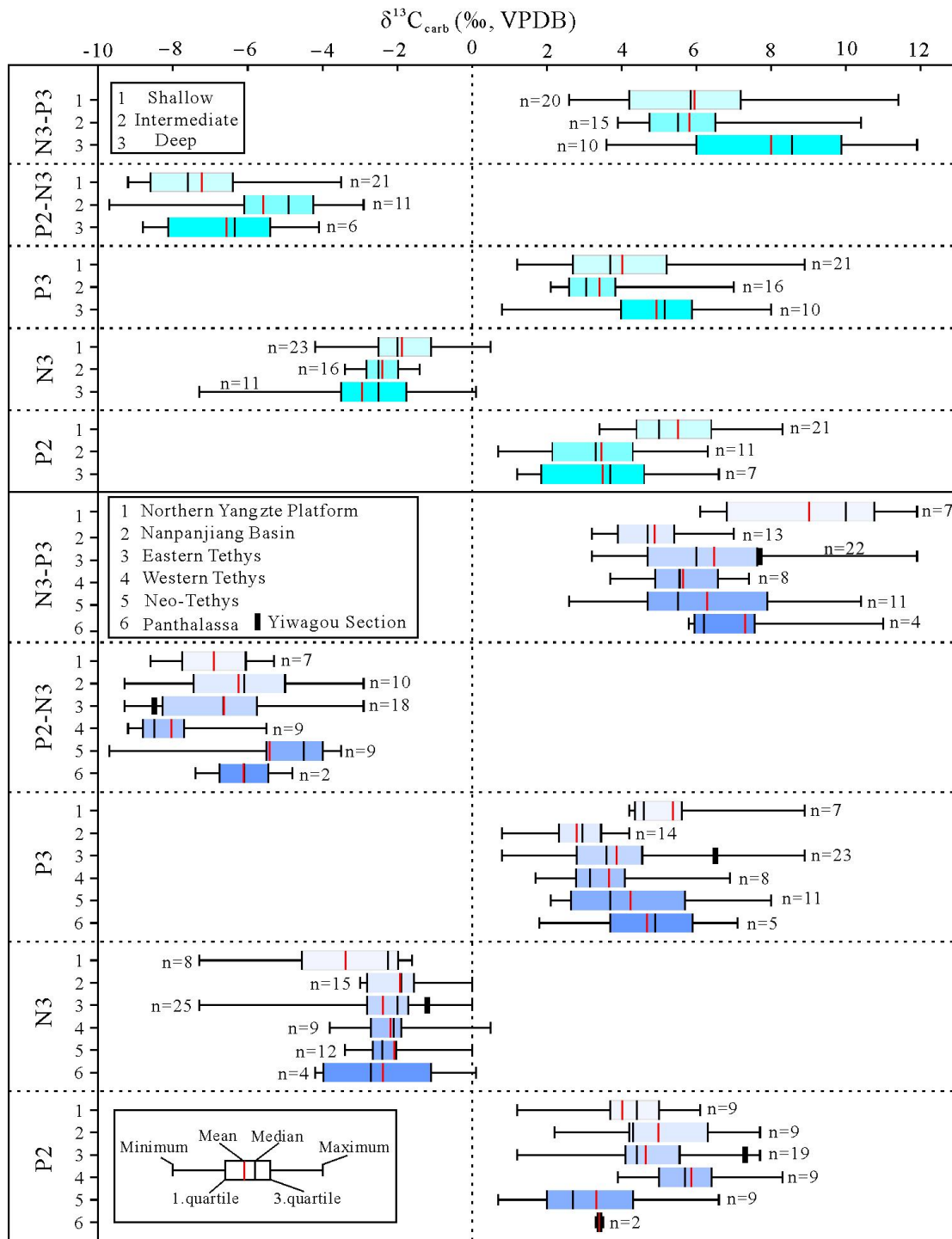
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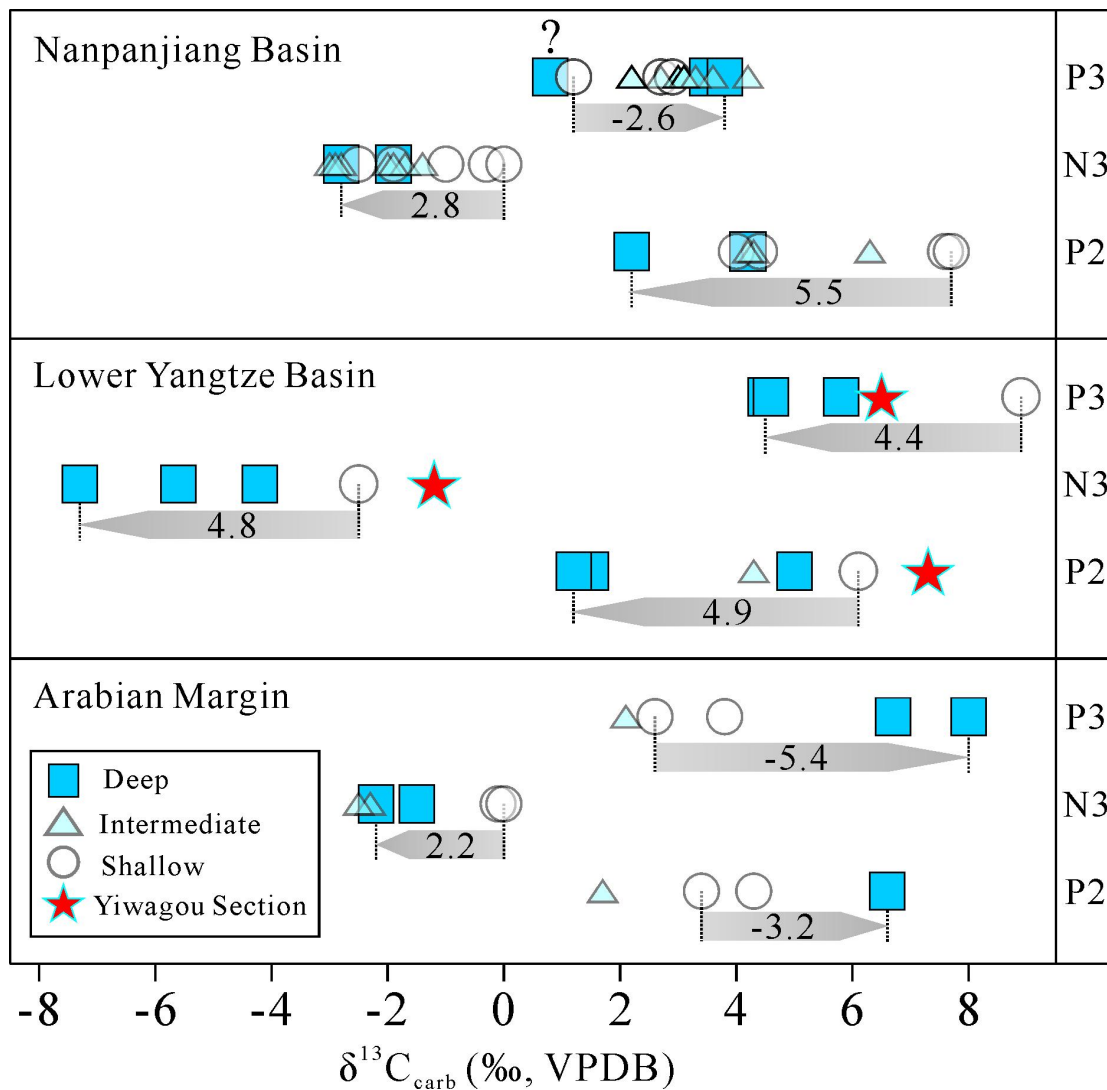
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Table1

Association	Microfacies	Lithology	Biogenic content and texture	Sedimentary structure	Depositional setting
1	Mf1	Light grey oolitic grainstone	Abundant concentric ooids, few bioclasts, grain-supported with sparry cement	Thick bedded, ooids and cements are partly dolomitized	Oolitic shoal
	Mf2	Grey brachiopod-gastropod-peloid packstone/grainstone	Some brachiopods, gastropods, algae fragments and peloids, grain-supported	Medium to thick bedded, slightly dolomitized in some parts	
2	Mf3	Grey or red bivalve-gastropod packstone	Abundant bivalves, gastropods and trace fossils, occasional other bioclasts, fossil fragments have micritic envelopes, common cortoids with bioclasts at the core, grain-supported	Thin to medium bedded	Open-marine carbonate platform
	Mf4	Grey or red bioelastic wackestone/packstone	Some bivalves, gastropods, foraminifers and trace fossils, occasional other bioclasts, matrix-supported or grain-supported	Thin to thick bedded	
3	Mf5	Red, pink or grey calcarenites packstone/grainstone, oolitic grainstone	Irregular-shaped, angular intraclasts, small round to subround ooids, bioclasts are mainly conodonts and foraminifers, some bivalve, gastropod and ostracode fragments, occasional sand-sized grains of quartz, grain-supported	Thin to thick bedded, hummocky cross bedding, horizontal bedding,	Storm-dominated inner platform
4	Mf6	Grey or reddish ostracode wackestone/packstone	Some ostracodes, conodonts, peloids and trace fossils, matrix-supported or grain-supported	Thin-bedded micritic limestone, interbedded with medium to thick-bedded limestone, horizontal bedding	Restricted carbonate platform
	Mf7	Light grey crystalline dolomite, dolomicrite, dolomite limestone	Some conodonts, abundant trace fossils, few bioclasts, fine-grained, grey or reddish weathering surface	Thick bedded or massive crystalline dolomite, thin to thick-bedded dolomicrite and dolomite limestone recrystallization filling, pressure-resolution structure	
	Mf8	Red or grey peloidal packstone/grainstone	Abundant peloids, foraminifers, conodonts occasional trace fossils, cements are calcite or dolomite crystals	Medium to thick-bedded	

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