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1 **Abstract**

2 *Lactobacillus* spp. are acidogenic and aciduric bacteria and are among the main
3 cariogenic microorganisms associated with the carious process. **Objective:** This study
4 aimed to identify genes involved in the acid-tolerance of *Lactobacillus* spp. and potential
5 functions attributed to these genes within the metatranscriptome of sound root surfaces and
6 carious root surfaces. **Design:** Genomic libraries were built from mRNA isolated from the
7 biofilm samples (10 from sound root and 9 from carious root using Illumina HiSeq 2500).
8 Reads generated by RNA-seq were mapped against 162 oral microbial genomes and genes
9 potentially related to acid tolerance were manually extracted from the *Lactobacillus* spp.
10 genomes using *L. paracasei* ATCC 344 as reference genome. The R package DESeq2 was
11 used to calculate the level of differential gene expression between those two clinical
12 conditions. **Results:** Fifteen *Lactobacillus* spp. genomes were identified and a total of 653
13 acid tolerance genes were overexpressed in carious root surfaces. Multiple functions, as
14 translation, ribosomal structure and biogenesis, transport of nucleotides and amino acids,
15 are involved in *Lactobacillus* spp. acid tolerance. Species-specific functions also seem to be
16 related to the fitness of *Lactobacillus* spp. in acidified environments such as that of the
17 cariogenic biofilm associated with carious root lesions. **Conclusions:** The response of
18 *Lactobacillus* spp. to an acidic environment is complex and multifaceted. This finding
19 suggests several possible avenues for further research into the adaptive mechanisms of
20 these bacteria.

21

22 **Keywords:** *Lactobacillus*, transcriptome, Illumina, dental caries, biofilm, acid tolerance

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2 **Introduction**

3 Root caries, which is defined as a carious lesion that affects the root surface of the
4 tooth after being exposed to the oral environment due to gingival recession (Ritter et al.,
5 2010; Tan et al., 2017) is increasingly prevalent worldwide (Hariyani et al., 2018) as a
6 consequence of the increase in life expectancy as well as of the reduction in edentulism at
7 all ages (Pentapati et al., 2019; Tan et al., 2017). A dysbiotic dental biofilm, often exposed
8 to fermentable carbohydrates, can contribute to the development of root carious lesions
9 through a two-stage process. The initial stage involves demineralization, while the
10 subsequent stage encompasses the degradation of the collagen matrix (Takahashi & Nyvad,
11 2016).

12 Dental biofilm is a highly diverse polymicrobial community (Bowen et al., 2018)
13 and some of its members have the ability to produce acids from the metabolism of
14 carbohydrates which decrease the pH at the tooth/biofilm interface predisposing tooth
15 surface to mineral loss (Takahashi & Nyvad, 2008). Additionally, low pH induces changes
16 in the biofilm's microbial composition leading to a dysbiotic state characterized by the
17 selection of and by the greater abundance of highly acidogenic and aciduric
18 microorganisms that in turn results in more acidic environments perpetuating the mineral
19 imbalance at the tooth surface (Takahashi & Nyvad, 2016).

20 *Lactobacillus* spp. is within the acidogenic and aciduric genera found in dental
21 biofilms (Caufield et al., 2015; Wen et al., 2022), comprising *L. fermentum*, *L. rhamnosus*,
22 *L. gasseri*, *L. casei/paracasei*, *L. salivarius*, *L. plantarum* species most frequently isolated
23 from saliva, biofilm or from carious dentine of individuals presenting dental caries
24 (Caufield et al., 2015; Piwat et al., 2010; Wen et al., 2022). *L. casei*, *L. crispatus*, *L.*
25 *paracasei*, and *L. rhamnosus* were also among the most frequent species identified in
26 individuals presenting root carious lesions (Chen et al., 2015; Preza et al., 2009). In this
27 context, salivary levels of *Lactobacillus* spp. seem to be higher in adults presenting active
28 coronal carious lesions (Sounah & Madfa, 2020) and a positive correlation between caries
29 experience and salivary levels of *Lactobacillus* spp. in mixed and in permanent dentitions
30 were also reported (Chokshi et al., 2016). In relation to root caries, a strong correlation
31 between salivary levels of *Lactobacillus* and the number of root carious lesions has been

1 reported (Beighton et al., 1991). Moreover, *Lactobacillus* spp. were the predominant
2 aciduric bacteria isolated from dentinal samples collected from carious root surfaces
3 (Brailsford et al., 2001). It seems that *L. casei*, *L. paracasei* and *L. rhamnosus* are notably
4 associated with carious root surfaces (Preza et al., 2009). Since they are not able to
5 efficiently adhere to smooth surfaces, cavitated and/or retentive dental sites are the
6 preferred ones for colonization of *Lactobacillus* spp. Many species of this genus are able to
7 actively adhere to dental type I collagen that is exposed to the oral cavity both under sound
8 root surface exposure through gingival recession and during the progression of dentinal
9 carious lesion (Caufield et al., 2015; Wen et al., 2022). Thus, there is an understanding that
10 these microorganisms are more related to the progression of an existing carious lesion than
11 involved in the development of initial and non-cavitated ones (Caufield et al., 2015; Wen et
12 al., 2022).

13 *Lactobacillus* spp. aciduricity is exerted by several constitutive and adaptive
14 mechanisms, such as the ATPase-dependent proton translocation pump (also referred as H⁺-
15 ATPase pump), the production of alkaline/neutralizing molecules via urease activity,
16 aspartate metabolism, arginine and agmatine deiminase systems, the action of two-
17 component system the production of acid shock proteins as well as the decarboxylation of
18 glutamate. The literature indicates the expression of such mechanisms differs among
19 different lactobacilli species (Broadbent et al., 2010; Guan & Liu, 2020; Papadimitriou et
20 al., 2016; Wu et al., 2012, 2013).

21 Although the individual importance of such mechanisms for *Lactobacilli* acid
22 tolerance has been clearly shown under well-controlled *in vitro* conditions, it is still unclear
23 how those mechanisms are expressed among distinct lactobacilli species under clinical
24 conditions compatible with dental caries development. In this context, comparative
25 metatranscriptome analysis of dental biofilm collected from sound root surfaces and from
26 carious root surfaces allow the identification of species-specific differentially expressed
27 genes and their associated functional patterns which could be indicative of functional
28 signatures associated with sound or with carious surfaces. Therefore, the aim of this study
29 was to identify genes and their putative functions related to *Lactobacillus* spp. aciduricity
30 within the metatranscriptome of biofilms from sound- and from carious root surfaces.

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4 **Materials and methods**

5 Patient selection, sample collection and sample preparation for this RNA-Seq study
6 were performed and detailed described elsewhere (Dame-Teixeira et al., 2016). In short,
7 adult individuals, men and women, mean age of 60.1 ± 11.6 years (40 – 90), not wearing
8 dentures, not undergoing/not underwent antibiotics, not undergoing/not underwent
9 radiotherapy to the head and neck, who had at least one exposed sound root surface (n =
10 10) or who had at least one primary active cavitated root lesion that needed restorative
11 treatment (n = 30) were recruited. All carious lesions showed clinical characteristics of
12 lesion activity (wet/soft dentine consistency with yellowish color) (Nyvad & Fejerskov,
13 1982). Dental biofilms (from sound surfaces) and biofilm/dentine (from the carious
14 surfaces) were collected from all available exposed root surfaces with sterile Gracey 3-4
15 curette. All samples from carious surfaces were collected from the participants during the
16 treatment of caries disease. All participants were asked to refrain from tooth brushing for at
17 least 12 hours prior to the sampling, to allow for dental biofilm accumulation, and were
18 also asked to refrain from eating and drinking for at least 1 hour prior to the sampling.
19 Participants of the study were patients who attended clinics in two centres for any dental
20 treatment: Faculty of Dentistry, Federal University of Rio Grande do Sul, Porto Alegre,
21 Brazil, and Leeds School of Dentistry, University of Leeds, Leeds, UK.

22 Biofilm and biofilm/dentine samples were immediately placed at RNAprotect
23 reagent (QIAGEN, Inc., Venlo, Netherlands). Total RNA was extracted from all samples
24 using an UltraClean Microbial RNA isolation kit (Mo-BIO Laboratories, Inc., SanDiego,
25 CA), in a column for DNase digestion (QIAGEN, Inc., Venlo, Netherlands). RNA was
26 quantified using the Quant-iT™ RiboGreen® RNA Assay Kit (Invitrogen, Inc., Waltham,
27 Massachusetts, USA). The yield of RNA from carious dentine samples is lower than from
28 samples containing only biofilm. Since a minimum of 30 ng/RNA is necessary for proper
29 sequencing of the samples, those with total RNA concentration <30 ng / RNA were pooled.
30 This process resulted in 10 samples from the sound root surfaces group and 9 samples from
31 the carious root surfaces. The Ribo-Zero™ Meta-Bacteria kit (Epicenter, Illumina) was

1 used to enrich mRNA and preparation protocols from the Illumina®TruSeq™ library
2 (Illumina, San Diego, CA) were used to prepare and sequence the library with Illumina
3 HiSeq2500. The FASTQ files were obtained for each sample and mapped against 162 oral
4 microbial genomes using the Qiagen CLC Genomics Workbench (Dame-Teixeira et al.,
5 2016). Complete data is available at the National Center for Biotechnological Information
6 (NCBI), under accession numbers SRS779973 and SRS796739. This study was conducted
7 ethically in accordance with the Code of Ethics of the World Medical Association
8 (Declaration of Helsinki). This study protocol was reviewed and approved by the Ethics
9 Committee of the Federal University of Rio Grande do Sul (protocol 8.427.168) and by the
10 National Research Ethics Service Committee Yorkshire & the Humber – Leeds West
11 (protocol 8 2012002DD). All participants consented to donate samples after reading and
12 signing an informed consent.

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15 *Genome and analysis of Lactobacillus spp.*

16 The genes of all *Lactobacillus* spp. were then manually extracted from the genomes
17 found in the in samples. The putative presence of *Lactobacillus* genomes in the samples
18 was determined by the ratio between the total number of mRNA reads of each sample and
19 the total number of identified genes in the genome of interest. Genomes were considered
20 present whether this ratio was above 1.0 (Dame-Teixeira et al., 2016).

21 Acid tolerance genes were identified on the assessed genomes using *L. casei* ATCC
22 344 as reference. This microorganism was chosen because a comprehensive analysis of its
23 transcriptional response to acid stress is available for comparison. In the study of
24 (Broadbent et al., 2010), one hundred-eighty *L. casei* genes were potentially associated with
25 response to acidic environments.

26

27 *Data analysis*

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29 All genes belonged to the 162 genomes found in the assessed samples were
30 submitted to the DESeq2 R package to obtain the differentially expressed genes (DEGs)
31 between sound root surfaces and carious root surfaces (Love et al., 2014). Subsequently,

1 genes potentially related to acid tolerance were manually extracted from all *Lactobacillus*
2 spp. genomes. The cutoff point for being considered as DEGs was a change in transcription
3 levels of at least 1 Log2fold change using the Benjamin and Hochberg method to multiple
4 testing correction (Love et al., 2014) and the adjusted p-value (padj) to be less than 0.001.
5 This high cut-off point was chosen to avoid false-positive results. Negative values indicated
6 genes overexpressed in sound root surfaces while positive values meant overexpressed gene
7 in the carious root surfaces. The biological processes related to the DEGs were obtained
8 through the KEGG platform (Kyoto encyclopedia of genes and genomes) and gene
9 information was obtained from the UniProt platform.

11 Results

12 In total, fifteen genomes of *Lactobacillus* spp. belonging to the following species
13 were identified in the samples: *L. acidophilus*, *L. brevis*, *L. buchneri*, *L. casei*, *L. crispatus*,
14 *L. curvatus*, *L. delbrueckii*, *L. fermentum*, *L. gasseri*, *L. jensenii*, *L. johnsonii*, *L. paracasei*,
15 *L. plantarum*, *L. rhamnosus* and *L. salivarius*. Putative presence of *Lactobacillus* spp. (i.e.,
16 *L. fermentum*, *L. gasseri* and *L. paracasei*) was found in sound root surfaces of only one
17 participant (SRS_12; mRNA read count ranged from 1.67 to 8.26) (**Table S1**). On the other
18 hand, *Lactobacillus* spp. were putatively present in all carious root surfaces except in those
19 from one participant (RC_8). mRNA read count on carious root surfaces ranged from 1.22
20 to 3,582.72 and the mean (\pm sd) read count per sample was 88.94 ± 362.32 . (**Table S2**). In
21 general, a mean of $2,137.46 \pm 457.85$ genes were found per genome.

22 From a total of 32,062 genes assigned to the identified *Lactobacillus* genomes,
23 7,868 were differentially expressed. A total of 1,737 genes (a mean of 115.8 ± 23.26 genes
24 per genome) were identified as potentially related to acid tolerance. All 653 acid tolerance
25 DEGs showed Log2fold change >1 indicating an overexpression in carious root surfaces.
26 The percentage of acid tolerance DEGs in relation to all DEGs ranged from 4.76 to 57.14%
27 being this estimative highly variable among the genomes (**Table 1**). *L. rhamnosus* and *L.*
28 *salivarius* presented the highest number of acid-tolerance DEGs whereas only one acid
29 tolerance DEG was found on *L. casei* genome (**Table 1**). All acid tolerance DEGs per each
30 genome, along with their Log2fold change values, p value, as well as the respective
31 biological process and the protein name encoded by those genes are shown in **Table S3**.

1 Overall, translation and biogenesis represented most of the processes attributed to
2 the acid tolerance DEGs, followed by nucleotide transport and protein repair, whereas
3 carbohydrate transport and unknown functions corresponded to less than 1% of the
4 biological processes attributed to the acid tolerance DEGs (**Figure 1**). The contribution of
5 the biological processes to the acid tolerance varied among the *Lactobacillus* spp. genomes
6 (**Figure 2**). Translation and biogenesis, nucleotide transport and energy production were
7 the most abundant processes identified in most of the genomes. Fifty percent of the acid
8 tolerance DEGs in *L. plantarum* corresponded to nucleotide transport and metabolism
9 process. Nucleotide transport and metabolism, DNA elements and signal transduction
10 process contributed equally for *L. curvatus* acid tolerance, whereas translation and
11 biogenesis, and nucleotide transport and metabolism seemed to be the most elicited ones in
12 *L. paracasei*. Nucleotide transport and metabolism processes was the second most abundant
13 one attributed to the DEGs in *L. crispatus*, *L. gasseri*, *L. rhamnosus* and *L. salivarius*
14 (corresponding from 9.89 to 18.1% of DEGs), whereas energy production and conversion
15 functions were the second most present functions in *L. acidophilus* and *L. brevis*
16 (corresponding from 18.1 to 21.2% of DEGs). The second most abundant functions in *L.*
17 *buchneri* and in *L. johnsonii* was proteins repair (from 14.6 to 25% of DEGs), but the
18 functions of amino acid transport and metabolism were the second most abundant ones in
19 *L. delbrueckii* and in *L. jensenii* (in both genomes corresponding to 25% of DEGs).
20 Functions of DNA elements and translation and ribosomal structure and biogenesis were
21 the second most abundant functions in *L. fermentum* and in *L. plantarum* the, respectively
22 (**Table S4**). **Figure 3** summarizes the main biological processes shared by *Lactobacillus*
23 spp. genomes.

24 Acid tolerance DEGs that presented the highest Log2fold change values in each
25 genome are described in **Table S4**. The highest value was found in *L. crispatus* (12.54;
26 gene *LCRIS_RS01510*) while the lowest one was found in *L. casei* (6.73; gene
27 *LBCZ_RS05780*). Among all these genes, 5 were associated with translation function,
28 ribosomal structure and biogenesis (*L. casei* *LBCZ_RS05780*, *L. crispatus*
29 *LCRIS_RS01510*, *L. johnsonii* *LJ_RS01875*, *L. plantarum* *JDM1_RS04365* and *L.*
30 *rhamnosus* *LGG_RS11910*), 3 genes were associated with DNA elements (*L. curvatus*
31 *OA78_RS05010*, *L. delbrueckii* *LDB_RS07740* and *L. fermentum* *LAF_RS01020*), 2 genes

1 were associated with repair proteins (*L. brevis* LVIS_RS14560 and *L. salivarius*
 2 HMPREF0545_RS03630), 1 gene was related to energy production and conversion (*L.*
 3 *acidophilus* LBA0774), to nucleotide transport and metabolism (*L. buchneri*
 4 LBUCD034_RS02605), 1 gene was related to cell envelope biogenesis (*L. gasseri*
 5 LGAS_RS05580), 1 gene was related to amino acid transport and metabolism (*L. jensenii*
 6 HMPREF0526_RS06110) and 1 gene was related to protein acyltransferase function (*L.*
 7 *paracasei* LSEI_1868). Three out the fifteen above mentioned genes had their metabolic
 8 pathways available on KEGG and on Uniprot plataforms: *atpF* (LBA0774) is related to the
 9 synthesis of the B subunit of the ATP synthase protein that is involved in the function of
 10 the F1F0 ATP synthase pump (energy production); *rplC* (LCRIS_RS01510) encodes an L3
 11 protein of the ribosomal structure and *rfbB* (LGAS_RS05580) is related to the transporter
 12 route of ABC membrane.

13

14 **Discussion**

15 Culture-independent-based data showed that caries-free individuals present higher
 16 levels/abundance of *Bacteroidetes*[G-2] sp., *Capnocytophaga* spp., *Delftia acidovorans*,
 17 *Fusobacterium nucleatum* subsp. polymorphum, *Kingella oralis*, *Lachnospiraceae*[G-3]
 18 sp., *Leptotrichia* spp., *Prevotella intermedia*, *Selenomonas noxia*, *Streptococcus* spp.,
 19 *Streptococcus cristatus*, and *Veillonella* spp. while individuals with root caries present
 20 higher levels/abundance of not only *Lactobacillus* spp., but also of *Atopobium* spp.
 21 *Bifidobacterium* spp., *Olsenella profusa*, *Prevotella multisaccharivorax*,
 22 *Propionibacterium* spp. *Pseudoramibacter alactolyticus* and *Streptococcus* spp., including
 23 *Streptococcus mutans* and *Streptococcus sobrinus* (Chen et al., 2015, 2023; Hashimoto et
 24 al., 2011; Preza et al., 2008). Regarding the functional profile, the transcriptome of some
 25 microorganisms within the metatranscriptome of root caries showed an enrichment of
 26 patterns related to sugar metabolism, cell-wall biosynthesis and to acid tolerance stress (for
 27 *S. mutans*), overexpression of genes related to mobile elements, ribosome, transcriptional
 28 regulators, polysaccharide biosynthesis, among others (for *Actinomyces* spp.) and to
 29 metabolic activity, sugar transport, stress tolerance, invasion and pH regulation (Dame-
 30 Teixeira et al., 2016; Ev et al., 2020; Santos et al., 2022). As previously reported,
 31 *Lactobacillus* spp. comprise from 0.4% to 50.3% of the metatranscriptome of carious root

1 surfaces, presenting a high and variable gene expression. Moreover, *Lactobacillus* spp.
2 proportion was positively correlated with *Scardovia* spp. and with Bifidobacteriaceae
3 members (Damé-Teixeira et al., 2020). Our data shows that biofilms/dentine collected from
4 carious root lesions presents high diversity of *Lactobacillus* spp. This study identified
5 fifteen genomes in carious root surfaces which agrees with previous studies showing a high
6 abundance of *Lactobacillus* spp. on root carious lesions samples (Brailsford et al., 2001; Li
7 et al., 2015; Preza et al., 2009; Dame-Teixeira, et al., 2020; Wattanarat et al., 2020; Wen et
8 al., 2022). Altogether, these data indicate that retentive site of carious root surfaces, along
9 with the *Lactobacillus* spp. high acid tolerance, facilitate and promote lactobacilli adhesion
10 to and colonization of carious root surfaces (Li et al., 2015; Wen et al., 2022), which might
11 explain the putative absence of those microorganisms on sound root surfaces. Moreover,
12 the higher levels of *Lactobacillus* spp. on individuals with root caries may also be the result
13 of an ecological shift due to dental caries development.

14 Acid-tolerance is an inherent protective trait both for microorganisms that are
15 thrilled by acidic environments as well as for acid-lactic producing ones. In this sense, the
16 acid-tolerance of *Pichia kudriavzevi*, a microorganism isolated from Chinese soy fermented
17 grains, is associated with the overexpression of genes related to ABC-transport proteins
18 (Du et al., 2022). An increased transcription of genes encoding proton-pumping enzymes,
19 as well as, the cell-wall fatty acid content rearrangement were observed in *Saccharomyces*
20 *cerevisiae*, a yeast commonly used for fermentation of food and beverages (Deng et al.,
21 2020; Peetermans et al., 2021). Cell-wall fatty acid remodeling is also responsible for
22 allowing the growth of probiotic *Bifidobacterium longum* and the vinegar contaminant
23 *Acetilactobacillus jinshanensis* subsp. *aerogenes* under acidic conditions (Li et al., 2023;
24 Liu et al., 2016). Acid tolerance of two pathogens associated with food-poisoning events
25 (*Bacillus cereus* and *Salmonella typhimurium*), of *S. cerevisiae* and of *A. jinshanensis*
26 subsp. *aerogenes*, is also dependent on the upregulation of genes responsible for
27 biosynthesis, metabolism and transport of amino acids (Álvarez-Ordóñez et al., 2010; Li et
28 al., 2023; Peetermans et al., 2021; Senouci-Rezkallah et al., 2011). Moreover, the acid
29 tolerance of *Listeria monocytogenes*, a human pathogen found in food-processing
30 environments, is also granted by the glutamate decarboxylase, arginine deiminase, as well
31 as H⁺-ATPase pump activities (Ryan et al., 2008; Smith et al., 2013).

1 The ability to thrive on acidic environments is also an evolutionary trait of oral
2 microorganism enabling them to colonize tooth surfaces (Badet & Thebaud, 2008;
3 Broadbent et al., 2010). Acid stress induces an increase not only in the activity of H⁺-
4 ATPase pump and in the arginine deiminase system, but also on the levels of stress-related
5 proteins, such as heat shock ones in non-mutans streptococci (*Streptococcus sanguinis*,
6 *Streptococcus gordonii*, *Streptococcus oralis* and *Streptococcus mitis* (Takahashi &
7 Yamada, 1999). Urea degradation seems also to be an important mechanism used by
8 *Streptococcus salivarius* to cope with acidic environments (Quivey et al., 2000). Acid
9 tolerance response has been also widely studied in *S. mutans*. It has been shown that several
10 mechanisms are responsible for its high aciduricity, as extrusion of protons by H⁺-ATPase
11 pump (Baker et al., 2015, 2017; Gong et al., 2009), shifts in cell wall fatty-acid
12 composition (Baker et al., 2015; Fozo et al., 2004; Gong et al., 2009), agmatine degradation
13 (Baker et al., 2017), degradation of low pH altered proteins, among others (Lemos et al.,
14 2005). Arginine biosynthesis and urea catabolism pathways were also upregulated in
15 *Actinomyces* spp. within the metatranscriptome of root caries (Dame-Teixeira et al., 2016).
16 By comparing with all DEGs, our metatranscriptome data show that only 8.29% of actively
17 transcribed genes are associated with lactobacilli acid tolerance functions. All those acid
18 tolerance DEGs were upregulated on carious root surfaces and they contribute to acid
19 tolerance through distinct biological processes.

20
21 Translation and biogenesis, nucleotide transport and energy production were the
22 main functions associated with most of the *Lactobacillus* spp. overexpressed genes in the
23 carious root surfaces. Together, these functions corresponded to 69.37% of all acid
24 tolerance related DEGs (**Figure 1**). Fifty-three DEGs were related to energy production and
25 to ATP synthase components, such as the *L. acidophilus atpF* gene and other genes of *L.*
26 *brevis*, *L. buchneri*, *L. crispatus*, *L. fermentum*, *L. gasseri*, *L. jensenii*, *L. johnsonii* and of
27 *L. paracasei* (**Tables S3 and S4**). The hydrogenionic gradient between intracellular and
28 extracellular compartments enables ATP molecules synthesis at the time these ions diffuse
29 into the intracellular compartment. Part of those ATP provides energy for proton extrusion
30 to the extracellular environment via H⁺ ATPase pump in order to control the intracellular
31 pH (Broadbent et al., 2010). This is an important mechanism of acid tolerance response
32 also observed in *S. mutans* (Quivey et al., 2016). Moreover, it has also been reported that

1 this acid tolerance mechanism may be favored by malolactic fermentation, in which malate
2 decarboxylation allows the generation of ATP also through H⁺ ATPase pump (Broadbent
3 et al., 2010). This is supposed to be one of the acid tolerance mechanisms of *L. fermentum*
4 which showed an overexpression of gene LAF_RS05590 that codes for malate
5 dehydrogenase (**Table S4**). Besides, ninety-two DEGs were associated with transport and
6 metabolism of nucleotides, such as *purB* gene of *L. buchneri* related to purine biosynthesis,
7 and other genes related to transcription elongation factor, transferases, reductases,
8 hydrolases, decarboxylases, lyases and kinases of *L. acidophilus*, *L. crispatus*, *L. curvatus*,
9 *L. delbrueckii*, *L. fermentum*, *L. gasseri*, *L. paracasei*, *L. plantarum*, *L. rhamnosus* and *L.*
10 *salivarius* (**Tables S3 and S4**). We hypothesized that the synthesis of adenine, a type of
11 purine, is also related to ATP generation that provides energy for H⁺ ATPase pump
12 activity, reinforcing the importance of these biological processes for eliciting microbial acid
13 tolerance. In fact, nucleotide transport and metabolism were one of the most abundant
14 functions found in seven of the analyzed genomes (*L. curvatus*, *L. plantarum*, *L. paracasei*,
15 *L. crispatus*, *L. gasseri*, *L. rhamnosus* and *L. salivarius*) (**Figure 3**) (Baker et al., 2017)
16 .Collectively, these results mean that the main basic cellular processes, as macromolecule
17 synthesis, especially proteins and nucleic acids, and energy production are needed for
18 microbial fitness to acid environment. These functions are shared by almost all evaluated
19 genomes (**Figure 3**).

20 An overexpression of genes related to amino acid transport and metabolism has
21 been previously described when *L. casei* is cultivated *in vitro* at pH 4.5 over a short period
22 of time (Broadbent et al., 2010). Synthesis of lysine promotes strong tolerance for *L.*
23 *delbrueckii* subsp *bulgaricus* under acidic conditions (Li et al., 2020). These findings agree
24 with our data which showed 59 DEGs on carious root surfaces that are associated with
25 amino acid transport and metabolism. It has been reported that glutamate decarboxylase
26 system plays an important role on *L. brevis* acid tolerance (Lyu et al., 2018). On possible
27 explanation for this increased gene expression is that amino acid uptake also contributes to
28 intracellular pH homeostasis, especially providing glutamate, arginine and lysine for
29 decarboxylation processes (Álvarez-Ordóñez et al., 2010; Peetermans et al., 2021; Senouci-
30 Rezkallah et al., 2011). ABC transporters, ABC permeases, amino acid- and oligopeptides-
31 transporters, and decarboxylase, transaminase, synthetase and aminopeptidase activities are

1 some encoded functions attributed to the DEGs of *L. acidophilus*, *L. buchneri*, *L. crispatus*,
2 *L. delbrueckii*, *L. fermentum*, *L. gasseri*, *L. jensenii*, *L. paracasei*, *L. rhamnosus* (**Table S3**).
3 Among all the assessed genomes, *L. jensenii* showed the gene *HMPREF0526_RS06110* as
4 the one with the highest Log2fold change value (Table S4). Besides, this increase on amino
5 acid transport may be directly related to the general increase on translation and biogenesis
6 processes described above.

7
8 Fatty acid biosynthesis seems also to be expressed under acidic condition,
9 considering that some of the DEGs were related with cell-wall biogenesis (**Figure 1**). A
10 rerouting of pyruvate metabolism to fatty acid metabolism has been shown for *L. casei*, *L.*
11 *delbrueckii* and *L. rhamnosus* under low pH environments (Broadbent et al., 2010;
12 Koponen et al., 2012; Zhai et al., 2014). This metabolic shift aims to reorganize the cell-
13 wall by decreasing both its fluidity and its permeability to protons, which helps to maintain
14 its integrity. In fact, increased levels of long-chained, mono-unsaturated fatty acids in
15 *Streptococcus gordonii*, *Streptococcus salivarius* and in *L. casei* was observed under acidic
16 environment (Fozo et al., 2004). Specifically, increased proportions of C18:1 and
17 cyclopropane C19:0, with concomitant decreases in C16:0 and cyclopropane C17:0 was
18 observed in *L. casei* stressed by low-pH conditions (Fozo et al., 2004). Our data showed
19 that cell-envelope encoded functions were found associated with DEGs in *L. delbrueckii*
20 (LDB_RS08585), in *L. fermentum* (LAF_RS08425), in *L. johnsonii* (LJ_RS04555 and
21 LJ_RS04550), and with some genes of *L. gasseri*, *L. rhamnosus* and of *L. salivarius* (**Table**
22 **S3**), clearly suggesting the importance of cell-wall remodeling for microbial acid tolerance.

23 Moreover, our data also suggest an important role of protein translation as an
24 adaptive response to acidified environments. Elongation factors, ribosomal proteins, tRNA
25 synthetase, translation initiation factors and transcription termination factors were some of
26 the encoded proteins found in *L. acidophilus*, *L. brevis*, *L. casei*, *L. crispatus*, *L.*
27 *delbrueckii*, *L. fermentum*, *L. gasseri*, *L. jensenii*, *L. johnsonii*, *L. paracasei*, *L. plantarum*,
28 *L. rhamnosus* and in *L. salivarius* (Table S3). An overexpression of genes encoding for the
29 SsrA-binding protein SmpB, such as *L. paracasei* (*smpB*), *L. fermentum* (LAF_RS02135),
30 *L. gasseri* (LGAS_RS06395), *L. johnsonii* (LJ_RS03700) and *L. rhamnosus*
31 (LGG_RS04515) was also observed (**Table S3**). This protein tags proteins whose
32 biosynthesis has stalled or has been interrupted, allowing incomplete-tagged proteins to be

1 degraded by intracellular proteases. This is an important system responsible for protein
2 quality control (Karzai et al., 2000). Several ribosomal proteins, both from the 30S and
3 from the 50S units, were encoded by *rpsD* gene from *L. casei*, *rplC* from *L. crispatus*, *rpsE*
4 from *L. johnsonii* and by *JDMI_RS04365* from *L. plantarum*, being those genes exhibiting
5 the highest Log2fold change values in those genomes (**Table S4**). Furthermore, an
6 upregulation of genes related to elongation factors that facilitate the translocation of
7 ribosomes on mRNA yielding protein synthesis, such as the *fusA* of *L. rhamnosus*, were
8 also found in this study. Interestingly, some evidences even suggest that in *Escherichia coli*
9 elongation factors act as folding templates for denaturated polypeptides, performing a
10 protein repair compatible-functions under low pH (Caldas et al., 2000), which seems also
11 be the case for *Lactobacilli*. Within protein translation, subunits of H⁺-ATP proton pump
12 protein and chaperones (DnaK, GroES) were also associated with overexpressed genes of
13 *L. buchneri* (**Table S4**).

14 In this sense, protein repair was also within the biological functions attributed to
15 some of the DEGs. It includes the heat-shock stress proteins, such as trigger factors,
16 chaperones, such as GroEL, GroES and DnaK, and accessory proteins GrpE and DnaJ, in *L.*
17 *acidophilus*, *L. brevis*, *L. buchneri*, *L. crispatus*, *L. fermentum*, *L. gasseri*, *L. johnsonii*, *L.*
18 *paracasei* and *L. rhamnosus* (Table S3). A biological function of Clp protease was also
19 attributed to some the DEGs in *L. crispatus*, *L. gasseri*, *L. paracasei* and in *L. salivarius*
20 (**Table S3**). Wrongly misfolded or damaged proteins due to acidic pH may be either
21 refolded by chaperones or irreversibly removed from the intracellular compartment by the
22 action of proteases (Frees et al., 2007; Papadimitriou et al., 2016). Therefore, both
23 mechanisms contribute to prevent protein structural alterations that could negatively impair
24 microbial metabolism. These findings agree with previous studies showing an
25 overexpression of GroEL and GroER proteins in *L. paracasei*, *L. delbrueckii*, *L.*
26 *acidophilus* and in *L. plantarum* during acid adaptation (De Angelis & Gobbetti, 2004;
27 Falentin et al., 2010; Wu et al., 2014; Zhang et al., 2020). It was also possible to observe an
28 overexpression of the gene *LSEI_1848* in *L. paracasei* (**Table S3**) that encodes a
29 superoxide dismutase. This finding indicates that distinct stress-related functions may be
30 recruited for the acid tolerance response.

1 Acid tolerance of *Lactobacillus* spp. seem also to rely on signal transduction
2 systems (**Figure 1**). In general, two-component signal transduction systems are essential for
3 bacterial survival and adaptation to environmental conditions (Parkinson, 1993). These
4 systems sense modifications and send intracellular signals which induce adaptive changes,
5 especially at gene expression level. Some transduction systems have been reported in
6 *Lactobacilli* spp, as in *L. acidophilus* and *L. delbrueckii*, being activated at low-pH under
7 *in vitro* conditions (Azcarate-Peril et al., 2005; Cui et al., 2012). Our data, though, showed
8 that signal transduction was upregulated only in *L. curvatus* and in *L. salivarius* (**Table S3**).
9 We hypothesize that the alternated acidic/neutral cycles frequently found under clinical
10 conditions, as those that the carious root surfaces are exposed to, are recruiting many other
11 genes for acid-tolerance than those associated with transduction systems.

12 DNA-associated functions, such as transposase-type proteins, were also attributed to
13 31 DEGs, as *L. curvatus* OA78_RS05010, *L. delbrueckii* LDB_RS07740 and *L. fermentum*
14 LAF_RS01020 (**Table S4**). This same mechanism was previously observed by (Broadbent
15 et al., 2010) who hypothesized that mobile DNA elements might be considered as an
16 important microbial evolutionary and adaptive mechanism. In this present study, the role
17 played by these mobile elements in the response to the acidic environment may be
18 suggested, but it needs further clarification. The scientific literature still lacks information
19 regarding the biological processes of many of the DEGs and of those genes that presented
20 the highest Log2fold values. Such invaluable information can infer on other potential
21 processes responsible for an acid tolerance response. Nevertheless, is its clear from our data
22 that the acid tolerance response in *Lactobacillus* spp. involves multiple functions.

23 We also observed the whole functional activity differs among the assessed genomes
24 (**Table 1**) which was also previously reported (Dame-Teixeira et al., 2020). *L. crispatus*, *L.*
25 *fermentum*, *L. gasseri*, *L. rhamnosus* and *L. salivarius* presented the highest percentage of
26 DEGs in relation to all genes, ranging from 60.58 to 80.47%, suggesting these genomes
27 were highly active in the carious root lesions samples. Interestingly, these species showed
28 the lowest percentage of acid tolerance DEGs in relation to all DEGs, which could be
29 attributed to the high number of total overexpressed genes found in those genomes. Except
30 for *L. brevis*, *L. delbrueckii* and *L. jensenii*, which presented a low total number of DEGs,
31 and consequently, a high percentage of acid tolerance DEGs in relation to all DEGs, our

1 data also indicate that acid tolerance, although an important feature for microbial fitness
2 under a cariogenic environment, might not require much from the *Lactobacillus*
3 physiological machinery. *L. salivarius*, *L. rhamnosus*, *L. fermentum*, *L. gasseri*, *L. crispatus*
4 and *L. acidophilus* stood out as those showing higher number of acid tolerance DEGs.
5 These differences on functionality, may help to explain the differences on the species-
6 specificity of biological functions attributed to the acid tolerance DEGs (Guan & Liu, 2020;
7 Papadimitriou et al., 2016; Wu et al., 2012, 2013) (**Figure 2**). Instead of exhibiting
8 translation and biogenesis functions, *L. plantarum* and *L. curvatus* exhibited nucleotide
9 transport and metabolism (*L. plantarum*) or nucleotide transport and metabolism, elements
10 of DNA and signal transduction (*L. curvatus*) as the most abundant functions attributed to
11 DEGs. Furthermore, the amino acid transport and metabolism function seemed to be also
12 related to acid tolerance in *L. delbrueckii* and in *L. jensenii* while protein repair seem to be
13 most expressed in *L. buchneri* and in *L. johnsonii*. Energy production function and
14 conversion appeared to be more related to acid tolerance in *L. acidophilus* and in *L. brevis*.
15 It is also important to consider that the variability on mRNA raw counts found in carious
16 root surfaces (**Table S2**) may also be attributed to inherent differences on microbial
17 composition among the participants.

18 One may be concerned about the lack of microbial abundance data in this study.
19 Although it might be considered as a limitation of the study, we reinforce that any
20 difference on genomes abundance seems irrelevant as samples were assessed at the
21 transcriptional level, and the data of gene expression was normalized accordingly. On
22 contrary, the advantage of this study is that it relied on metatranscriptome analysis, which
23 provides a broad overview of gene expression in clinical samples. To strengthen our
24 findings, though, future research should isolate and study individual strains of
25 *Lactobacillus* spp. from clinical samples under controlled low pH conditions. This
26 approach would offer a more focused and precise assessment of the genes associated with
27 acid tolerance within this specific bacterial group, confirming our results.

28 Based on the present metatranscriptome data, many biological pathways seem to
29 contribute to acid tolerance response in *Lactobacillus* spp. isolated from biofilm/dentine
30 samples from root carious lesions, being the aciduricity a species-specific trait. While these
31 data help us to understand the physiological and adaptive changes in *Lactobacillus*

1 resulting from the health-disease process, mechanistic studies must be conducted to validate
2 the genes identified here. The data from this study demonstrate that the response of
3 *Lactobacillus* spp. to an acidic environment is complex and multifaceted. This finding
4 suggests several possible avenues for further research into the adaptive mechanisms of
5 these bacteria.

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21 **Authors contribution**

22 **Heitor S. de B. Santos:** Investigation, Data curation; Formal analysis; Writting -Original
23 Draft; Visualization; **Nailê Damé-Teixeira:** Conceptualization, Investigation, Data
24 curation; **Martina Hitomi Nagano:** Investigation; Data curation; Visualization; **Thuy Do:**
25 Conceptualization, Investigation, Writing - Review & Editing; **Clarissa Cavalcanti**
26 **Faturi Parolo:** Conceptualization, Supervision, Writing - Review & Editing; **Marisa**
27 **Maltz** Conceptualization, Supervision, Writing - Review & Editing; **Rodrigo Alex**
28 **Arthur:** Conceptualization, Data Curation, Supervision, Visualization, Writting -Original
29 Draft; Writing - Review & Editing

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12 **Figure captions**

13 **Figure 1** – Number of acid tolerance DEGs associated with specific biological processes.
14 Numbers above bars represent the percentage of genes in relation to all acid tolerance
15 DEGs (653 genes)

16 **Figure 2** – Biological processes attributed to acid tolerance DEGs in each *Lactobacillus*
17 genome.

18 **Figure 3.** Biological processes most frequently attributed to DEGs. Biological processes
19 that are the most or the second most abundant ones in each genome are represented in black
20 and in underline, respectively. Bold followed by asterisk indicates the biological process
21 corresponds to the highest Log2fold change value in that genome.

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Tables

Table 1. Total number of *Lactobacillus* spp. genes, total number of all differently expressed genes (DEGs; % in relation to total number of genes), total number of genes potentially associated with acid tolerance (% in relation to total number of genes), number of acid tolerance-associated DEGs between sound root and carious root surfaces (% in relation to the number of acid tolerance genes) and the percentage of acid tolerance-associated DEGs in relation to all DEGs:

Genome	Total number of genes	Total number of all DEGs	Total number acid tolerance-associated genes	Number of acid tolerance-associated DEGs*	Percentage of acid tolerance-associated DEGs in relation to all DEGs
<i>L. acidophilus</i>	1,832	108 (5.89)	116 (6.33)	33 (28.44)	30.55
<i>L. brevis</i>	2,185	26 (1.18)	103 (4.71)	11 (10.69)	42.30
<i>L. buchneri</i>	2,383	62 (2.60)	117 (4.90)	16 (13.67)	25.80
<i>L. casei</i>	2,765	21 (0.75)	142 (5.13)	1 (0.70)	4.76
<i>L. crispatus</i>	1,934	1,294 (66.9)	96 (4.96)	91 (94.79)	7.03
<i>L. curvatus</i>	1,960	34 (1.73)	107 (5.45)	3 (2.80)	8.82
<i>L. delbrueckii</i>	1,808	23 (1.27)	110 (6.08)	12 (10.90)	52.17
<i>L. fermentum</i>	1,946	1,535 (78.87)	125 (6.42)	98 (78.4)	6.38
<i>L. gasseri</i>	1,772	1,426 (80.47)	108 (6.09)	92 (85.18)	6.45
<i>L. jensenii</i>	1,405	7 (0.49)	95 (6.76)	4 (4.21)	57.14
<i>L. johnsonii</i>	1,804	214 (11.86)	96 (5.32)	41 (42.70)	19.15
<i>L. paracasei</i>	2,764	71 (2.56)	180 (6.51)	17 (9.44)	23.94
<i>L. plantarum</i>	2,883	20 (0.69)	87 (3.01)	4 (9.4.69)	20.0
<i>L. rhamnosus</i>	2,745	1,663 (60.58)	131 (4.77)	114 (87.02)	6.85
<i>L. salivarius</i>	1,876	1,364 (72.70)	124 (6.60)	116 (93.54)	8.50

*All acid tolerance associated DEGs showed Log2fold change >1 indicating an overexpression in carious root surfaces

Supplementary Tables legend

Table S1 – mRNA raw read count attributed to *Lactobacillus* spp. genomes in sound root surfaces

Table S2 – mRNA raw read count attributed to *Lactobacillus* spp. genomes in carious root surfaces

Table S3. Acid tolerance DEGs and the respective biological processes in *Lactobacillus* spp. genome.

Table S4 – Biological processes attributed to acid tolerance DEGs (% of DEGs) and genes showing the highest Log2fold change together with their biological process in each genome