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# Epidemiology, Outcomes and Resource Utilisation in Patients with Carbapenem Non-susceptible Gram-Negative Bacteria in the UK: A Retrospective, Observational Study (CARBAR UK)

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## ABSTRACT

**Introduction:** Antimicrobial resistance is an urgent medical challenge. In this two-part study, we investigated the epidemiology and management of carbapenem non-susceptible

(Carb-NS) Gram-negative bacteria (GNB) in the UK.

**Methods:** We conducted a retrospective review of data from UK hospitals (ten in part 1, nine in part 2). In part 1, epidemiological data were collected from patients hospitalised between April 2017 and March 2018 with any laboratory detection of Carb-NS GNB, encompassing both colonisation and infection. In part 2, diagnosis and management pathways in a randomly selected population of adults from part 1 with confirmed Carb-NS GNB infection were assessed. Data were obtained from a detailed medical chart review for  $\geq 3$  months from index (col-

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lection date of first positive Carb-NS GNB sample).

**Results:** Of 42,340 GNB isolates from 36,098 patients colonised/infected with GNB in part 1, 7% were Carb-NS. In 157 patients included in part 2, 234 GNB index samples were collected, of which 197 (82%) were Carb-NS (median number of Carb-NS pathogens per patient, 1; range 1–3). The most frequent Carb-NS isolates were *Pseudomonas aeruginosa* (36%), *Stenotrophomonas maltophilia* (29%) and *Klebsiella pneumoniae* (10%). Median length of hospitalisation was 34 days. Median time from index to appropriate therapy was 3 days, with empirical therapy initiated a median of 1 day before index. Carb-NS infection was believed to contribute to 21 (28%) of 76 deaths during the study.

**Conclusions:** This study highlights the high incidence of Carb-NS GNB colonisation and infection in the UK and the need for improved management of patients with Carb-NS GNB infection.

**Keywords:** Gram-negative bacteria; Epidemiology; Carbapenem-resistant; Enterobacterales; Bacterial resistance; Extensive drug resistance

### Key Summary Points

#### Why carry out this study?

Despite an increasing burden of resistance to broad-spectrum antimicrobials, including carbapenems, high-quality data on the management of multidrug-resistant Gram-negative bacterial and carbapenem-resistant Gram-negative bacterial infections are lacking.

#### What did the study ask?/What was the hypothesis of the study?

This observational study aimed to investigate the epidemiology, diagnosis and management pathway for carbapenem-resistant Gram-negative bacterial infections in the UK, to help improve understanding of patient profiles, infection-related treatments, outcomes and resource use.

#### What were the study outcomes/conclusions?

Carbapenem resistance was present in 7% of Gram-negative isolates in this study, resulting in a substantial requirement for hospitalisation and a significant rate of mortality.

#### What has been learned from the study?

This study suggests a need to improve the management of carbapenem-resistant Gram-negative bacterial infections in the UK.

Specific improvements include reducing the time to availability of susceptibility tests to reduce the time to prescribing appropriate antibiotic therapy and improving the availability of antibiotics that are effective against these infections.

## INTRODUCTION

Globally, rates of antimicrobial resistance continue to rise [1, 2]. The significant and increasing burden of multidrug-resistant (MDR) and extensively drug-resistant (XDR) clones of Gram-negative bacteria (GNB) that are resistant to broad-spectrum antimicrobials, such as carbapenems, represents a particular challenge [3]. All pathogens classified as critical priority by the World Health Organization, due to the urgency of need for new antibiotics, are carbapenem-resistant (CR) GNB species, specifically CR *Pseudomonas aeruginosa*, CR *Acinetobacter baumannii* and CR/extended-spectrum beta-

lactamase (ESBL)-producing Enterobacterales [4, 5]. The last two also feature on the US Centers for Disease Control and Prevention Urgent Threats list [6].

Colonisation with CR GNB is a significant risk factor for subsequent infection [7, 8]. Furthermore, patients colonised with CR GNB or ESBL-producing GNB can transmit these organisms to other patients [9]. Other risk factors for CR GNB infection include prior exposure to broad-spectrum antimicrobials (including carbapenems) [10, 11]. Infections with CR GNB are associated with considerable morbidity [4] as well as substantial excess use of hospital resources, which have high attributable costs [12, 13]. Furthermore, there is uncertainty regarding the optimal therapeutic strategy for treatment of CR GNB infections [14].

The government body, UK Health Security Agency (formerly Public Health England), implemented the English Surveillance Programme for Antimicrobial Utilisation and Resistance in 2013 to monitor and report trends in antimicrobial resistance [15]. Later, in 2018, the British Society for Antimicrobial Chemotherapy/Healthcare Infection Society/British Infection Association Joint Working Party published guidance for the treatment of infections due to MDR GNB [16]. Despite these efforts, high-quality data on the management of MDR GNB and CR GNB infections are lacking, particularly with regard to the few effective treatment options available at the time of study, which have variable outcomes [16–18].

Fortunately, several new antibacterial agents have recently been approved or are in late-stage clinical development, including several  $\beta$ -lactam– $\beta$ -lactamase inhibitors (ceftazidime–avibactam, ceftolozane–tazobactam, meropenem–vaborbactam, imipenem–cilastatin–relebactam and aztreonam–avibactam) and agents of other classes, including eravacycline and cefiderocol [17]. However, resistance has already emerged against many of these agents [15], and additional novel agents with activity against CR GNB are likely to be required [18].

The CARBAR study aims to investigate the epidemiology of CR GNB infections and to

describe the diagnosis and management pathway for patients with such infections across Europe, to help improve understanding of patient profiles, infection-related treatments, outcomes and resource use. The study was conducted in four European countries: France, Italy, Spain and the UK. Here, we report data from the UK arm of the study (CARBAR UK), the first country for which data are available.

## METHODS

The CARBAR UK study was a retrospective observational study undertaken in 10 hospitals in the UK (Table 1). The study comprised two parts. Part 1 aimed to describe the epidemiology of GNB colonisation or infection in the UK, including carbapenem-non-susceptible (Carb-NS) strains. The aim of part 2 was to describe the diagnosis and management pathway for a randomly selected subset of patients with Carb-NS GNB infections identified in part 1. Pathogens of interest included *A. baumannii* (plus other *Acinetobacter* species [spp.]), *P. aeruginosa* (plus other *Pseudomonas* spp.), *Stenotrophomonas maltophilia*, and species within the Enterobacterales order [19]. GNB isolates were classified as Carb-NS if they were *Stenotrophomonas* spp. or if their susceptibility to meropenem, ertapenem, imipenem or doripenem was classified as ‘intermediate’ or ‘resistant’ by local laboratory methodology.

Part 1 of the study included data from patients admitted to hospital (defined as an overnight stay) between 1 April 2017 and 31 March 2018 who had a GNB infection or who underwent screening and were positive for colonisation with Carb-NS GNB, irrespective of age. Anonymised data (microbiology results, patient demographics and clinical data, where available) collected from patient health records were analysed. Part 2 of the study included data from adults ( $\geq 18$  years of age) with confirmed infection due to Carb-NS GNB regardless of the organism and site of infection. Infection was defined as the presence of relevant symptoms by detailed medical chart review, combined with laboratory evidence of infection in a clinical sample other than a screening swab.

**Table 1** Overall distribution of patients and Gram-negative bacterial isolates (including carbapenem-non-susceptible isolates) at each study site (part 1)

	Isolates			Patients		
	Total <i>n</i> = 42,340	Carb-NS, <i>n</i> (%)	Percentage of overall total ( <i>n</i> = 3094), %	Total <i>n</i> = 36,098	Carb-NS, <i>n</i> (%)	Percentage of overall total ( <i>n</i> = 2884), %
Heartlands Hospital, Birmingham	2771 (7)	1078 (39)	35	2762 (8)	1077 (39)	37
Manchester Royal Infirmary, Manchester	6979 (16)	621 (9)	20	5963 (17)	535 (9)	19
Addenbrookes Hospital, Cambridge	3234 (8)	278 (9)	9	2751 (8)	244 (9)	9
University College Hospital, London	3676 (9)	263 (7)	9	3099 (9)	242 (8)	8
Guy's and St Thomas' Hospitals, London	4908 (12)	252 (5)	8	3812 (11)	230 (6)	8
Hull University Teaching Hospitals	5589 (13)	161 (3)	5	4853 (13)	148 (3)	5
University Hospital of Wales, Cardiff	3881 (9)	159 (4)	5	3470 (10)	143 (4)	5
Glasgow Royal Infirmary, Glasgow	2875 (7)	110 (4)	4	2257 (6)	101 (4)	4
Southmead Hospital, Bristol	4055 (10)	101 (2)	3	3239 (9)	95 (3)	3
Ninewells Hospital, Dundee	4372 (7)	71 (2)	2	3892 (11)	69 (2)	2
Overall <i>n</i> (%)	42,340 (N/A)	3094 (7)		36,098 (N/A)	2884 (8)	

The denominator is the number of individual patients/isolates (overall and per centre)

Carb-NS carbapenem-non-susceptible, N/A not applicable

Patients included in part 2 were randomly selected by a data analyst using random number generation applied to a list of patients (identified by unique study code only) who had already been included in part 1 and met the part 2 eligibility criteria. If any of the randomly selected patients for inclusion in part 2 only had colonisation (rather than infection) then they were not included and the next randomly selected patient was chosen. The causal relationship between the organism identified at index and the infection was determined by the treating physician on the basis of chart review. In patients in which two or more carbapenem-

resistant organisms were identified, it was possible that the index organism was not the one causing infection (as the index date was the date of the first positive sample). Patients were followed from the date of their first positive Carb-NS GNB sample (index date) for up to 3 months or until death or discharge, whichever occurred first. Collected data included patient demographics and baseline characteristics, microbiology results, antibiotic treatment, outcomes and resource use. Appropriate antibiotic therapy was defined as treatment with an antibiotic to which the organism was susceptible. Empirical antibiotic therapy was defined as

the earliest relevant antibiotic that the patient took as part of their treatment during the study period (i.e., before microbiological analysis was completed and susceptibility results were available to guide therapy). XDR was defined as resistance to all non-colistin antibiotics tested.

For part 1 of the study, there was no fixed sample size (the intention was to include all patients meeting the inclusion criteria). For part 2, a sample size of approximately 200 was anticipated. It was calculated that, for 200 patients, the width of the 95% confidence interval (CI) for the proportion of patients remaining on a treatment would be between 8% and 14%, and that the width of the 95% CI for the length of time until changing to the most effective treatment would be 0.52 for a mean of 4 days (with a sample size of 150, the latter CI width remained acceptable at 0.6 days). Data were analysed using descriptive statistics. Where data were missing for a certain variable, the number of patients or samples for whom data were missing are reported, and these patients or samples are not included in the denominator for any descriptive statistics for that variable. Details of the numbers of patients or samples for which data were missing are summarised per variable in Supplementary Table 1. Post hoc analyses were performed to assess the effects of (1) prescription of inappropriate antibiotics and (2) delayed antibiogram availability (3-day delay) on the length of hospital stay and mortality. Patients were classified as ‘prescribed resistant’ if they were prescribed an inappropriate antibiotic to which their infection was resistant (based on the results of the antibiogram) or ‘prescribed susceptible’ if prescribed appropriate antibiotics. Outcomes for each group were then compared using Kaplan–Meier curves with hazard ratios (HRs) calculated via Cox proportional hazards models. Length of hospital stay was calculated from date of hospital admission rather than index date, as patients may have received empirical therapy prior to the index date (defined as the date on which the first positive sample was collected).

## RESULTS

### Part 1: Epidemiology of GNB Infection/Colonisation, Including Carb-NS GNB

In total, 36,098 patients colonised or infected with GNB were included from the 10 participating UK centres (Table 1). Patients were a mean of 59.9 years of age (standard deviation [SD] 24.0) and 60% were female (Supplementary Table 2). A total of 42,340 GNB isolates were cultured from these patients, of which 81% were Enterobacterales and 19% were non-fermenters. The most prevalent GNB species was *Escherichia coli*, representing 52% of all isolates, followed by *P. aeruginosa* (12%) and *Klebsiella pneumoniae* (9%).

Of the 42,340 GNB isolates, 3094 (7%) were Carb-NS GNB (Table 1). The proportions of GNB isolates that were Carb-NS differed widely across hospitals, from 2% in Dundee to 37% in Birmingham. Amongst Carb-NS isolates, non-fermenters represented just over 50%. The most frequent species were *P. aeruginosa* (26%), *E. coli* (21%) and *S. maltophilia* (18%). The distribution of Enterobacterales and non-fermenters among the Carb-NS isolates differed among hospitals, with Enterobacterales predominating in Birmingham and Manchester. Combined, the five species of interest (*E. coli*, *K. pneumoniae*, *P. aeruginosa*, *Acinetobacter* spp. and *S. maltophilia*) represented 75% of all pathogens identified and 78% of the Carb-NS isolates. In the Carb-NS subset, isolates tested were predominantly from patients located in general medicine wards (12%) and in the intensive care unit (ICU; 11%).

Overall, there were 32,859 antibiotic sensitivity tests performed on Carb-NS isolates ( $n = 3094$ ) across the 10 centres. Among the antibiotics tested most frequently (i.e., those for which > 1000 sensitivity tests were performed), susceptibility rates ranged from 30% for cefuroxime to 76% for amikacin and gentamicin (Supplementary Table 3). Among the carbapenems, meropenem had the highest susceptibility rate (55%).

## Part 2: Management of Carb-NS GNB Infections

### Patient Population

In part 2 of the study, 157 patients with Carb-NS GNB infection were selected from nine of the 10 hospitals that participated in part 1. Most of the participating UK centres contributed between 15 and 25 patients with Carb-NS infections. However, only four patients were included from Glasgow and 11 from Cardiff. Heartlands Hospital, Birmingham, was unable to provide data for part 2 of the study.

The mean age of patients included in part 2 of the study was 63.3 (SD 15) years (similar to the age of patients in part 1) and 38% were female (a lower percentage than in part 1). The most frequent primary diagnoses at hospital admission were respiratory disease (15%), cancer (10%), cardiovascular disease (10%), gastrointestinal disease (10%) and infectious diseases (10%). Impaired renal function was recorded for 47% of patients (68/144). For those with data available ( $n = 57$ ), the mean estimated glomerular filtration rate was 57.1 mL/min/1.73 m<sup>2</sup> (SD 90.0; median 38.0; range 7.0–697.0). At least one comorbidity was reported for 80% of patients (median 2; range 0–7) and the mean Charlson Comorbidity Index score was 3.1 (SD 2.7) (Table 2).

Very few patients (8%;  $n = 12$ ) were known to have travelled internationally in the previous 12 months, nine of whom were known to have been hospitalised abroad (information was missing for the other three patients). A substantial number of patients had been admitted to another hospital (18%;  $n = 28$ ) or a residential care/nursing home (2%;  $n = 3$ ) prior to entering the study.

### Treatment History

The 157 patients participating in part 2 of the study underwent 176 medical/surgical procedures in the 6 months prior to the index date, the most frequent of which were venous catheter placement (performed in 35 patients [22%]), abdominal surgery or grafts (20 patients [13%]) and urinary catheter placement (19 patients [12%]). The most frequently

**Table 2** Baseline demographics and characteristics in patients participating in part 2 of the CARBAR UK study

Parameter	<i>N</i> = 157
Age, years, mean (SD)	63.3 (15.3)
Median (range)	65.0 (19.0–95.0)
Sex, female, <i>n</i> (%)	60 (38)
Charlson Comorbidity Index score, mean (SD)	3.1 (2.7)
Charlson Comorbidity score, <i>n</i> (%)	
0	31 (20)
1	18 (11)
2	31 (20)
3	21 (13)
4	12 (8)
5	18 (11)
≥ 6	26 (17)
Comorbidities, <i>n</i> (%) <sup>a</sup>	
Any malignancy (including leukaemia or lymphoma)	57 (36)
Renal disease	33 (21)
Chronic pulmonary disease	30 (19)
Diabetes without chronic complications	25 (16)
Metastatic solid tumour	17 (11)
Diabetes with chronic complications	16 (10)
Peripheral vascular disease	16 (10)

SD standard deviation

<sup>a</sup>Comorbidities affecting ≥ 10% of patients are included in the table. The following comorbidities had incidence rates in the range 1–9%: cerebrovascular disease, congestive heart failure, rheumatologic disease, moderate/severe liver disease, myocardial infarction, mild liver disease, peptic ulcer disease, hemiplegia or paraplegia, human immunodeficiency virus/acquired immune deficiency syndrome and dementia. Comorbidities are not mutually exclusive

**Table 3** Microbiology of Gram-negative bacterial isolates collected on the index date (part 2)

Organism, <i>n</i> (%) <sup>a</sup>	Carbapenem		Overall ( <i>n</i> = 197)
	Non-susceptible ( <i>n</i> = 162)	Susceptible ( <i>n</i> = 34)	
<i>Acinetobacter baumannii</i>	6 (86)	1 (14)	7
<i>Citrobacter</i> spp.	0	2 (100)	2
<i>Enterobacter</i> spp.	13 (93)	1 (7)	14
<i>Escherichia coli</i>	9 (41)	13 (59)	22
<i>Klebsiella oxytoca</i>	0	1 (100)	1
<i>Klebsiella pneumoniae</i>	16 (73)	6 (27)	22
<i>Proteus</i> spp.	0	1 (100)	1
<i>Pseudomonas aeruginosa</i>	58 (94)	4 (6)	62
<i>Pseudomonas</i> spp.	7 (88)	0	8 <sup>b</sup>
<i>Serratia</i> spp.	2 (40)	3 (60)	5
<i>Stenotrophomonas maltophilia</i>	47 (100)	0	47
Other <sup>c</sup>	4 (67)	2 (33)	6

*spp.* species

<sup>a</sup>Of 157 patients included in part 2, 34 patients had more than one pathogen at index

<sup>b</sup>Data were missing for one isolate

<sup>c</sup>Other carbapenem-non-susceptible pathogens identified at index were *Acinetobacter* spp. (*n* = 2) and *Chryseobacterium*, *Coliform* spp., *Klebsiella variicola* and *Wautersiella falsenii* (*n* = 1 each)

administered antibiotics in the 6 months prior to the index date were co-amoxiclav (administered to 52 patients [33%]), piperacillin/tazobactam (51 patients [32%]) and meropenem (47 patients [30%]).

In the 6 months prior to index, 85 patients (57%) had a history of a culture positive for GNB, with the most frequently identified pathogens being *E. coli* (identified in 31 patients [36%]) and *P. aeruginosa* (30 patients [35%]) followed by *S. maltophilia* (16 patients [19%]), *K. pneumoniae* (14 patients [16%]) and *Citrobacter* spp. (8 patients [9%]).

### Microbiological Characteristics

The most frequent sample types obtained for microbiological analysis in patients included in part 2 were urine samples (52%; *n* = 81/157), followed by sputum (45%; *n* = 70/157), blood (43%; *n* = 67/157), rectal swabs (39%; *n* = 39/157) and wound swabs (36%; *n* = 57/157) (the total number of samples exceeded the

total number of patients as multiple samples were taken in some patients). During the 3-month observation period, microbiological samples for the 157 patients were requested by different hospital departments and wards, with requests from the ICU (34%) and haematology department (13%) being the most frequent. Pathogen identification methods are provided in Supplementary Table 4.

A total of 234 isolates were identified from the 293 samples collected on the index date, of which 197 (84%) were Gram-negative and 162 were Carb-NS (Table 3). The number of Gram-negative pathogens per patient ranged from 1 to 3 (mean 1.3 [SD 0.5]; median 1), and the number of Carb-NS GNB per patient ranged from 1 to 2 (mean 1.1 [SD 0.2]; median 1). The most frequently isolated Gram-negative pathogens at index were *P. aeruginosa* (62 isolates, of which 94% [*n* = 58/62] were Carb-NS), *S. maltophilia* (47 isolates, all Carb-NS), *E. coli* (22 isolates, of which 41% [*n* = 9/22] were Carb-NS)



and *K. pneumoniae* (22 isolates, of which 73% [ $n = 16/22$ ] were Carb-NS). The mean number of GNB pathogens per patient was 1.3 (SD 0.5; median 1; range 1–3) and the mean number of Carb-NS GNB per patient was 1.1 (SD 0.2; median 1; range 1–2).

Of the GNB isolates recovered, 128/197 (65%) were regarded as the causal pathogen at index (of which 112/128 [88%] were Carb-NS isolates), 27/197 (14%) were regarded as not causal and 42/197 (21%) were regarded as of indeterminate causality. Of the Carb-NS isolates regarded as causal pathogens, the most frequent were *P. aeruginosa* (42%) and *S. maltophilia* (27%).

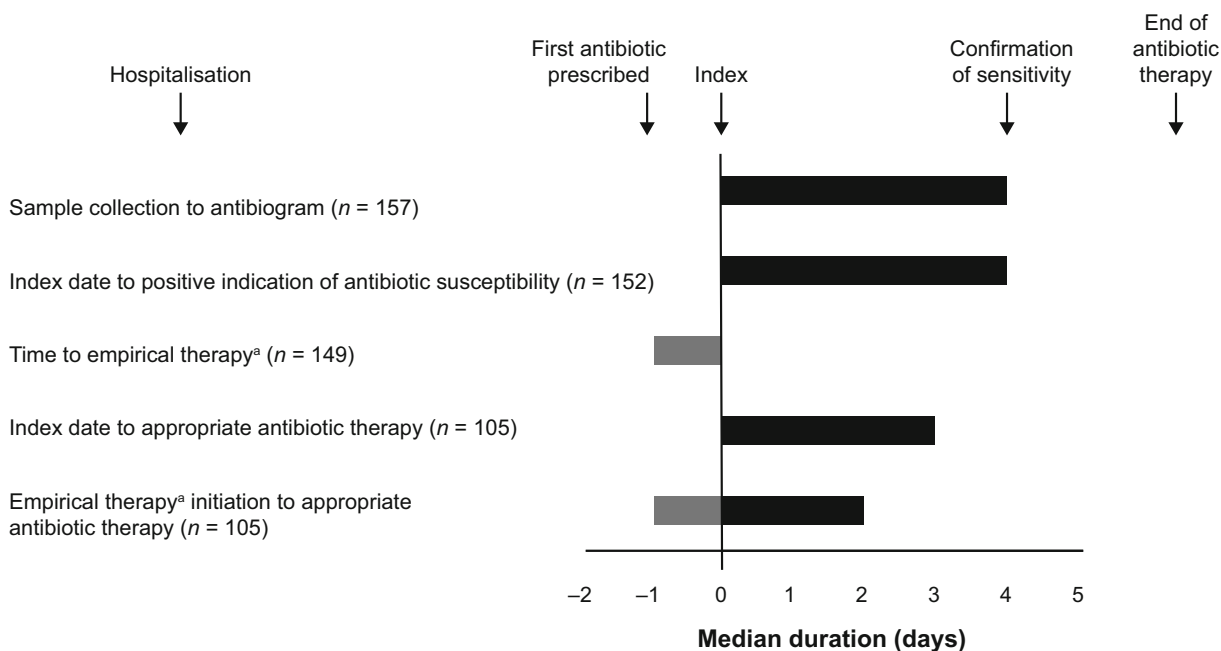
For the 157 patients in part 2, 3527 susceptibility tests were performed on Carb-NS GNB isolates during the study period. The most frequently tested antibiotics (> 5% of all sensitivity tests) were gentamicin (8%;  $n = 297/3527$ ), meropenem (8%;  $n = 295/3527$ ), ciprofloxacin (8%;  $n = 287/3527$ ) and ceftazidime (8%;  $n = 270/3527$ ). Susceptibility was highest with colistin (88% susceptible), followed by

tobramycin (73%), amikacin (68%), gentamicin (67%) and trimethoprim/sulfamethoxazole (62%). Six isolates were considered to be XDR (Supplementary Table 5) including one *A. baumannii* isolate, three *K. pneumoniae* isolates and two *S. maltophilia* isolates (assumed to be Carb-NS based on intrinsic resistance). Overall, the XDR rate (per isolate) was 3%. Four isolates (all *A. baumannii*) were susceptible only to colistin.

### Antibiotic Therapy

The median time from the index date until the availability of the first antimicrobial susceptibility results (antibiogram) was 4 days (interquartile range [IQR] 3–6; mean 4.8;  $n = 157$ ). Similarly, the median time from the index date to the first antibiogram confirming susceptibility to the prescribed antibiotic was 4 days (IQR 3–6; mean 5.4;  $n = 152$ ).

Empirical therapy (the first relevant antibiotic administered during the study period) was initiated a median of 1 day prior to the index date (IQR was from 5 days pre-index to 0 days



**Fig. 1** Median time to treatment milestones between the initiation of empirical therapy and the initiation of appropriate antibiotic therapy. <sup>a</sup>Empirical therapy is the earliest relevant antibiotic that the

patient was prescribed as part of their treatment during the study period. Some patients were administered an antibiotic before the index date (interquartile range 0–5 days prior to index date)

pre-index [i.e., on the index date]; mean 5.4 days before the index date;  $n = 149$ ). The antibiotics prescribed empirically are shown in Supplementary Table 6.

The median time from the index date to the initiation of appropriate antibiotic therapy was 3 days (IQR 0–7; mean 7.2;  $n = 105$ ; Fig. 1). The median time from initiation of empirical therapy to initiation of appropriate antibiotic therapy was 3 days (IQR 0–11; mean 13.7;  $n = 105$ ; Fig. 1).

The median total duration of antibiotic therapy, from first empirical therapy to last recorded therapy, was 20.5 days (IQR 10–60.2; mean 113.8;  $n = 150$ ). In total, 30% of patients received a combination of antibiotics as empirical treatment. A notable proportion of patients received a carbapenem (13%).

### Patient Outcomes

The median overall length of hospital stay was 34 days (range 2–237; mean 47). Of the 118 patients (75%) who were discharged from hospital during the study period, the majority (78%) returned to their own home, while 16% were transferred to another hospital, 3% were discharged to residential care/nursing home and 3% to hospice care. Median length of ICU stay (59 patients) was 23 days (range 1–137; mean 29). For species recovered in  $\geq 5$  patients, the longest ICU stays were observed with *Enterobacter* spp. (median 29 days), followed by *P. aeruginosa* (median 28 days).

Of 113 patients with data available, 56 (50%; 36% of total population) were barrier-nursed in an isolation facility (i.e., side room or cohort bay) for infection-control purposes during the study period. Some of these patients required more than one episode of care in isolation. The median overall number of days in isolation per patient was 29.0 (range 0–293; mean 43.9) and the median number of days of isolation per episode (76 episodes) was 22.5 (range 1–293; mean 32.3). Isolation care was most frequently delivered in ICU facilities (27%).

Respiratory support (invasive or non-invasive) was required by 55 patients (35%) for a total of 64 episodes. Of these episodes, 38 (59%) required invasive ventilation and 24 (38%) required non-invasive ventilation. The median

duration of ventilation per patient was 15 days (range 0–489).

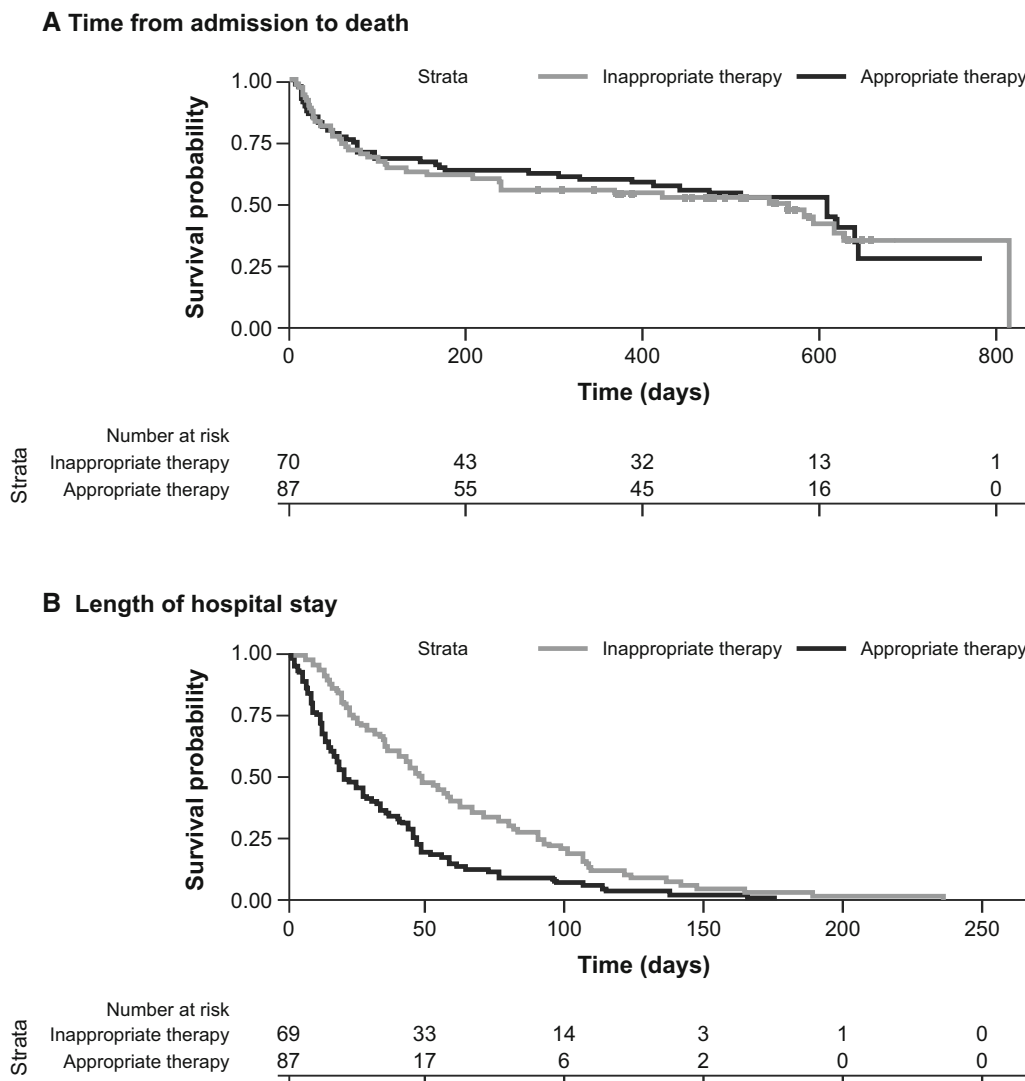
Microbiological cure, as indicated by a test showing resolution of infection, was achieved in 33/37 patients (89%) with data available and occurred a median of 9 days (range 1–89) from the index date. Recurrent infection with the same causative pathogen within 30 days of discharge was recorded for 4% of patients (5/112), all of whom were infected with Carb-NS *P. aeruginosa*. At the end of the observation period, 76 patients had died (51%;  $n = 148$ ; status unknown for the remaining nine patients). Of these, 54% ( $n = 41/76$ ) occurred while the patient was in hospital and 46% ( $n = 35/76$ ) occurred after discharge from hospital. Carb-NS GNB infection was considered to be at least a contributing factor in 21/76 (28%) deaths.

Post hoc analyses showed that administration of inappropriate antibiotics significantly increased the duration of hospital stay (HR 1.87; CI 1.35, 2.58), but had a non-significant effect on the time from hospital admission to death (HR 0.95; CI 0.62, 1.47), compared with administration of appropriate antibiotics (Fig. 2).

## DISCUSSION

These real-world data provide valuable insights into the prevalence of Carb-NS GNB and current standards of care in the UK for the diagnosis and management of Carb-NS GNB infections. GNB infections appear to be frequent across the UK, with significant variations between hospitals. Overall, 7% of isolates were Carb-NS, representing a considerable proportion of infections and colonisations. Previous studies have demonstrated that CR rates are  $> 60\%$  for non-fermenters and  $< 10\%$  for Enterobacterales globally [20].

Of all Carb-NS isolates recovered, 35% were from one centre (Heartlands Hospital, Birmingham). Carb-NS isolates represented 39% of all isolates at this hospital, a rate approaching those seen in CR hotspots in southern and south-eastern Europe [21]. Without the Birmingham data, the proportion of Carb-NS isolates



**Fig. 2** Kaplan–Meier plots of **A** time from admission to death and **B** length of hospital stay based on antibiotic susceptibility (post hoc analyses)

in our study was 5%. However, the overall impact of Heartlands Hospital data was limited by its lack of participation in part 2 of the study.

Approximately half of the pathogens that were Carb-NS were within the order Enterobacterales. The most frequent individual species overall were *P. aeruginosa* followed by *E. coli*; a significant proportion of isolates were *S. maltophilia*. In comparison, a US study found that the most prominent species associated with CR GNB infection (292,742 infections in total) are *P. aeruginosa* (60%) and *A. baumannii* (22%) [22].

The median time from index to confirmatory antibiotic susceptibility in this study was 4 days, which is similar to that reported previously (2–5 days) [23]. Culture-based techniques generally require 2–3 days to isolate and identify pathogens and perform phenotypic antimicrobial susceptibility tests. However, various factors may result in a delay in the availability of susceptibility test results, including delays in transportation of samples and laboratory inefficiencies. In addition, given the significant implications of a Carb-NS test result, additional confirmatory testing is often performed prior to

result authorisation and communication to clinical staff. Novel, more rapid diagnostics have the potential to reduce delays, but such methods are expensive [24] and have not been widely adopted.

The time to availability of a susceptibility test (4 days) was longer than time to appropriate antibiotic therapy. In some institutions, a preliminary indication of a resistant organism may trigger a switch to a more appropriate agent before official availability of a susceptibility test. Poor clinical response may also lead to a switch of antibiotic therapy. The present results indicate that inappropriate antibiotic treatment had no significant effect on the time from admission to death, but that it increased the length of hospital stay versus appropriate therapy.

The most frequently used empirical antibiotics to treat the index infection were meropenem (11%), piperacillin/tazobactam (9%) and co-amoxiclav (9%), with 30% of patients receiving multiple agents. This pattern of prescribing reflects the desire for routine widespread coverage of potential MDR GNB, e.g., ESBL, while also taking account of other factors such as the severity of illness and the presence of risk factors for resistant infection. Notably, the susceptibility rate for individual carbapenems was moderately high (meropenem, 55%; imipenem, 40%), highlighting that cross-resistance amongst carbapenems is not complete.

Six isolates (3%) were considered to be XDR, including one *A. baumannii* isolate. This finding is encouraging, given the strong association between XDR and excess mortality [25]. However, the overall resistance rates documented here and elsewhere, coupled with the challenges posed when XDR is encountered, highlight the need for new antibiotics, ideally with new mechanisms of action.

CR GNB infections are associated with excess morbidity and mortality, as well as significantly increased resource use. Half of the patients died over the course of the study, and in 28% of deaths, Carb-NS GNB infection was considered a potential contributing factor. The healthcare costs associated with asymptomatic colonisation with CR GNB during a hospital outbreak have been shown to be up to six times higher

than in patients without colonisation and are even higher in infected patients [26].

We consider the real-world setting as a strength of the current study, as the results are likely to be applicable to real-world clinical practice at hospitals beyond those included in the study. However, the study was not well controlled (there were many disparate variables), there was no comparator group and a larger number of patients would have been required to demonstrate a survival advantage. The retrospective observational design of the study is associated with risk of bias (e.g., due to lack of blinding in the collection, analysis or interpretation of the data). Part 2 of the study was based on a chart review, meaning that some data were incomplete and some were recorded inconsistently. In particular, the index organism was not always the causal organism, and as such, the data from part 2 represent overall trends and should be interpreted with appropriate caution. The study was conducted prior to the January 2020 European Committee on Antimicrobial Susceptibility Testing update replacing the ‘intermediate’ susceptibility category with ‘susceptible with increased exposure’ [27]. Under the revised guidance, a proportion of ‘carbapenem-intermediate’ isolates would have been classified as ‘carbapenem-susceptible’. A further limitation was that methods relevant to the study (e.g., criteria for choosing empirical antibiotic therapy, laboratory analyses for susceptibility testing) may have varied between the study centres.

## CONCLUSIONS

Antimicrobial resistance, and particularly resistance to carbapenems, is an urgent challenge in the healthcare setting. This study provides an up-to-date view of Carb-NS GNB infections across the UK and suggests a need to improve the management of these infections. Specific improvements include reducing the time to availability of susceptibility tests to reduce the time to prescribing appropriate antibiotic therapy and improving the availability of antibiotics that are effective against these infections. These improvements may reduce durations of hospitalisation and improve other clinical outcomes.

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**Informed Consent.** Part 1: as the data were collected by a member of the direct care team and anonymised prior to release to pH Associates (trading as OPEN VIE), no patient consent was required for this part of the study as patient confidentiality was maintained within the direct care team throughout, and no direct patient participation was required. Part 2: for living patients eligible for participation in part 2, patient informed consent was required where data were to be collected by an external researcher rather than the patient's direct care team. Living patients were asked to provide their consent for access to their clinical records at one centre (Guy's & St Thomas' NHS Foundation Trust). In all cases, data for deceased patients were collected by the direct care team, to preserve patient confidentiality. No consent was sought from relatives of the deceased, to avoid causing a burden or distress to the recently bereaved.

**Data Availability.** The datasets generated during and/or analysed during the current study are not publicly available due to the need to protect patient anonymity. However, data analyses may be available from the corresponding author on reasonable request.

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