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The Responsibility to Protect: a Bibliography

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Abstract

This open-access bibliography provides an extensive compilation of research conducted on the Responsibility to Protect (R2P) and relevant themes.

Keywords

Responsibility to Protect (R2P) - bibliography

This open-access bibliography provides an extensive compilation of research conducted on the Responsibility to Protect (R2P) and relevant themes. The list has been compiled by Professor Adrian Gallagher, Dr. Blake Lawrinson, Gillian McKay, and Dr. Richard Illingworth of the European Centre for the Responsibility to Protect at the University of Leeds. The sources are grouped into different thematic areas, with both a theoretical and an empirical focus, attempting to capture the broad and interdisciplinary nature of the R2P and mass atrocities research. Compiling any such list faces a series of challenges, from how the sources can and should be grouped, to the problem that some sources will undoubtedly be missed. References are listed in chronological order under each subheading from oldest to most recent. We think this more useful than alphabetising the sources, as it helps chart the direction of R2P research agendas over time. Naturally, some sources cut across multiple thematic areas and so appear under different subheadings. We hope that the bibliography will provide a useful resource for researchers working broadly in the field of the R2P and mass atrocities, providing a clear and fairly comprehensive list of published works to help direct their own research agendas. Please bear with us if you have identified a certain publication that is missing from the list. Sources are included up to 02/05/2023. You can assist us by getting in touch and indicating anything that should be added, and can do so by sending an email to either a.gallagher@leeds.ac.uk or Richard.Illingworth@glasgow.ac.uk.

Contents

	The late of December 2 to 1 to	7	
1	Introducing the Responsibility to Protect		
2	The Responsibility to Protect: Key Landmarks		
3	UN Secretary-General Reports on R2P		
4	The Responsibility to Protect and Mass Atrocities		
5	Norms and the Responsibility to Protect		
6	Theoretical Debates on the Responsibility to Protect		
7	Prevention and the Responsibility to Protect		
8	Implementing the Responsibility to Protect		
	a Pillar Two and International Assistance	34	
	b Pillar Three and International Response	34	
	c The Responsibility to Rebuild	36	
9	The United Nations and the Responsibility to Protect	37	
10	International Law and the Responsibility to Protect		
11	Sovereignty and the Responsibility to Protect		
12	Humanitarian Intervention and the Responsibility to Protect		
13	Human Rights and the Responsibility to Protect		
14	Ethics and the Responsibility to Protect		

15	Rig	hts, Obligations, Duties and the Responsibility to Protect	57	
16	Just	t War and the Responsibility to Protect	59	
17	Thematic Issues and the Responsibility to Protect			
	a	The Protection of Civilians and Peacekeeping	59 59	
	b	Conflict Prevention	62	
	c	Peacebuilding	62	
	d	International Order	63	
	e	Humanity and the Responsibility to Protect	64	
	f	Global Justice and Cosmopolitanism	64	
	g	Humanitarianism	65	
	h	Children	66	
	i	Gender	67	
	j	The Global Arms Trade	69	
	k	Displacement and Refugees	69	
	l	Civic Responsibility	71	
	m	Sanctions	73	
	n	Social and Popular Media	73	
	O	Climate	73	
	p	Terrorism	74	
	q	Transnational Crime	75	
	r	Cultural Destruction	75	
	S	Safe Areas	75	
	t	COVID-19	75	
	u	Cyber Issues and Artificial Intelligence	76	
	v	UN Security Council Veto Reform	76	
	W	Rising/Emerging Powers	77	
	X	Colonialism and Decolonising the Responsibility to Protect	78	
	y	Global Governance	79	
18	States and the Responsibility to Protect			
	a	Australia	79	
	b	Brazil	79	
	c	Canada	80	
	d	China	81	
	e	France	83	
	f	Germany	83	
	g	India	84	
	h	Indonesia	85	
	i	Japan	85	
	j	Mexico	85	
	k	Russia	86	

	l	South Africa	86
	m	Thailand	87
	n	Turkey	87
	O	United Kingdom	87
	p	United States	88
19	Reg	gional Responsibility	89
	a	Africa/African Union	90
	b	Asia/ASEAN	93
	c	Europe	96
	d	Latin America and the Caribbean	97
	e	Middle East and North Africa	98
20	Cris	ses: Africa	98
	a	Burundi	98
	b	Central African Republic	99
	c	Chad	99
	d	Congo	99
	e	Cote d'Ivoire	100
	f	Ethiopia	100
	g	Guinea	101
	h	Kenya	101
	i	Mali	102
	j	Nigeria	102
	k	Rwanda	102
	l	Somalia	103
	m	Sudan	103
	n	South Sudan	103
	0	Uganda	106
	p	Zimbabwe	106
21	Crises: Asia		106
	a	Cambodia	106
	b	China	106
	c	Myanmar	107
	d	North Korea	110
	e	Sri Lanka	111
	f	Philippines	112
22	Crises: Europe		112
	a	Bosnia	112
	b	Kosovo	112
	c	Ukraine	113

23	Crises: Middle East and North Africa		113
	a	Afghanistan	113
	b	Bahrain	113
	c	Israel/Palestine	113
	d	Libya	114
	e	Iraq	119
	f	Lebanon	119
	g	Syria	120
	h	Yemen	123

1 Introducing the Responsibility to Protect

The following sources provide an introduction to the Responsibility to Protect by tracing its development from the 2001 International Commission on Intervention and State Sovereignty to its endorsement by states in paragraphs 138–139 of the World Summit Outcome Document, and its evolutions since.

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- Grover, Sonja (2018). *The Responsibility to Protect: Perspectives on the Concept's Meaning, Proper Application and Value* (London: Routledge).
- Bellamy, Alex J. and Edward C. Luck (2019). *The Responsibility to Protect: From Promise to Practice* (Cambridge: Polity).

2 The Responsibility to Protect: Key Landmarks

Following the introduction to the R2P, the following articles address some of its key landmarks, including 5, 10, 15, and 20 years since the 2005 World Summit. These materials capture both the progress and challenges facing the R2P during its development as an international norm.

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- Thakur, Ramesh (2016). 'The Responsibility to Protect at 15', *International Affairs*, 92(2): 415–434.
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Stefan, Cristina G. and Edward Newman (2020). 'Europe's Progress and the Road Ahead at R2P's 15th Anniversary', *Global Responsibility to Protect*, 12(4): 369–371.

Ercan, Pinar G. (ed.) (2022). *The Responsibility to Protect Twenty Years On: Rhetoric and Implementation* (London: Palgrave Macmillan).

3 UN Secretary-General Reports on R2P

Since 2009, successive United Nations Secretaries-General have written annual reports on the R2P from an introduction to the three-pillar framework in 2009 to a focus on R2P's implementation to fulfil paragraphs 138–139 of the 2005 World Summit Outcome Document.

- United Nations General Assembly (2009). *Implementing the Responsibility to Protect: Report of the Secretary-General* (New York: United Nations).
- United Nations General Assembly (2010). *Early Warning, Assessment, and the Responsibility to Protect: Report of the Secretary-General* (New York: United Nations).
- United Nations General Assembly (2011). The Role of Regional and Subregional Arrangements in Implementing the Responsibility to Protect: Report of the Secretary-General (New York: United Nations).
- United Nations General Assembly (2012). *The Responsibility to Protect: Timely and Decisive Response: Report of the Secretary-General* (New York: United Nations).
- United Nations General Assembly (2013). Report of the Secretary-General on the Responsibility to Protect: State Responsibility and Prevention (New York: United Nations).
- United Nations General Assembly (2014). Fulfilling Our Collective Responsibility: International Assistance and the Responsibility to Protect: Report of the Secretary-General (New York: United Nations).
- United Nations General Assembly (2015). A Vital and Enduring Commitment: Implementing the Responsibility to Protect: Report of the Secretary-General (New York: United Nations).
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- United Nations General Assembly (2023). *Development and the Responsibility to Protect: Recognizing and Addressing Embedded Risks and Drivers of Atrocity Crimes: Report of the Secretary-General* (New York: United Nations).

4 The Responsibility to Protect and Mass Atrocities

The research in this section is concerned primarily with the nature of the four specific mass atrocity crimes listed under the Responsibility to Protect (genocide, war crimes, crimes against humanity, and ethnic cleansing).

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Mills, Kurt (2013). 'R2P: Protecting, Prosecuting, or Palliating in Mass Atrocity Situations?', *Journal of Human Rights*, 12(3): 333–356.

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- Bachman, Jeffrey S. (2022). *The Politics of Genocide: From the Genocide Convention to the Responsibility to Protect* (New Jersey: Rutgers University Press).
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- Simon, David J. and Leora Kahn (eds.) (2023). *Handbook of Genocide Studies* (Cheltenham: Edward Elgar Publishing).

5 Norms and the Responsibility to Protect

This section focuses on the wealth of research on norms and the Responsibility to Protect, which has been a central theme of R2P research since its emergence, particularly on the power and influence of human protection norms in preventing mass atrocity crimes.

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8 Implementing the Responsibility to Protect

Following the R2P's emergence and endorsement by states at the 2005 World Summit, there has been a wealth of research on how to implement the R2P, as well as an evaluation of the successes, limitations, and effectiveness of the R2P's implementation. The R2P is an idea that depends on effective implementation by various actors.

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c The Responsibility to Rebuild

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10 International Law and the Responsibility to Protect

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11 Sovereignty and the Responsibility to Protect

Another significant debate is the relationship between the Responsibility to Protect and the protection of state sovereignty. While the R₂P is premised on sovereignty as responsibility (see ICISS report in section 1), there remains considerable debate on its relationship with sovereignty, particularly in relation to the use of non-consensual force under Pillar Three. It is equally important to see the sovereignty–Responsibility to Protect debate in the context of history, both in terms of the emphasis on the protection of state sovereignty in the UN charter and the NATO-led intervention in Kosovo in 1999.

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12 Humanitarian Intervention and the Responsibility to Protect

The themes of sovereignty and international law are also applicable to the debates on humanitarian intervention and the Responsibility to Protect. The R₂P emerged in the context of debates on the legality and legitimacy of humanitarian intervention as one framework allowing for the provision of the non-consensual coercive use of force in response to mass atrocities. The emergence of the R₂P has not brought an end to debates on humanitarian intervention, and as the research shows below, there remains considerable debate on this theme.

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