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1 **Rooting depth and xylem vulnerability are independent woody plant traits jointly selected**
2 **by aridity, seasonality, and water table depth**

3
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86

87 **Summary**

- 88 • Evolutionary radiations of woody taxa within arid environments were made possible by
89 multiple trait innovations including deep roots and embolism-resistant xylem, but little is
90 known about how these traits have coevolved across the phylogeny of woody plants or
91 how they jointly influence the distribution of species.
- 92 • We synthesized global trait [and vegetation plot](#) datasets to examine how rooting depth
93 and xylem vulnerability across 188 woody plant species interact with aridity,
94 [precipitation seasonality](#), and water table depth to influence species occurrence
95 probabilities across all biomes.
- 96 • Here we show that xylem resistance to embolism and rooting depth are independent
97 woody plant traits that do not exhibit a trade-off. Resistant xylem and deep roots increase
98 occurrence probabilities in arid, seasonal climates over deep water tables. Resistant xylem
99 and shallow roots increase occurrence probabilities in arid, non-seasonal climates over
100 deep water tables. Vulnerable xylem and deep roots increase occurrence probabilities in
101 arid, non-seasonal climates over shallow water tables. Vulnerable xylem and shallow
102 roots increase occurrence probabilities in humid climates.
- 103 • Traits related to water uptake and transport explain biogeographic-scale species
104 occurrences, suggesting that responses [of deeply rooted vegetation](#) may be buffered if
105 evaporative demand changes faster than water table depth under climate change.

106

107 **Keywords:** cavitation, [drought avoider](#), drought [resistant](#), embolism, species distribution
108 modeling, water availability

109

110 ‘Species that show deviations from statistical correlations (and there are always many such
111 species) may tell us more about the adaptive value of particular characteristics than species that
112 fit the correlation.’ (Sherwin Carlquist, 1975, p. 1)

113

114 **Introduction**

115 Hotter droughts are expected to become more frequent and severe under most climate change
116 scenarios (Spinoni *et al.*, 2018; Hammond *et al.*, 2022) and they have already resulted in
117 widespread tree mortality (Bauman *et al.*, 2022; Hartmann *et al.*, 2022; McDowell *et al.*, 2022).
118 Understanding which plant species are winners and losers under scenarios of decreasing water
119 availability is critical for improving predictions of vegetation dynamics (Hammond *et al.*, 2019)
120 and ecosystem restoration success (Laughlin *et al.*, 2017). Rooting depth and xylem vulnerability
121 to drought-induced embolism are strongly linked to how woody plant species respond to
122 moisture availability (Aubin *et al.*, 2016; Volaire, 2018) because the physiological link between
123 water uptake and xylem conductance is vital to maintaining photosynthesis in arid climates and
124 during dry seasons. However, little is known about how these traits have coevolved within
125 woody taxa, nor do we know the joint effects of these traits on species distributions and potential
126 responses to global change.

127 Survival in water-limited landscapes can be achieved by resisting, avoiding, or escaping
128 dehydration caused by low soil water potentials (May & Milthorpe, 1962; Fischer & Maurer,
129 1978; Levitt, 1980; Volaire, 2018). First, drought resistance (also called drought tolerance) is
130 achieved by constructing xylem that can resist embolism formation and maintain water
131 conductance to enable CO₂ uptake even under extreme negative xylem pressure. Woody plants
132 vary widely in their vulnerability to embolism (Hacke *et al.*, 2001; Maherali *et al.*, 2004; Choat

133 *et al.*, 2012), which can be quantified by P_{50} , the xylem pressure (MPa) at which xylem
134 conductance is reduced to 50% of its maximum. Species with lower P_{50} values (i.e., more
135 negative values) are more resistant to embolism. Second, drought avoidance can be achieved by
136 constructing root systems that access deeper soil water in the unsaturated vadose zone or directly
137 from the water table in the saturated zone (Loheide *et al.*, 2005; Ryel *et al.*, 2008). Maximum
138 rooting depth varies widely among woody plants (Canadell *et al.*, 1996; Jackson *et al.*, 1996).
139 Deep root systems evolved for a variety of reasons, including anchorage, support, and increasing
140 the available soil volume, but here we explore its vital role in water uptake. Shallow-rooted
141 species rely on rainfall directly, whereas deep-rooted species can access water from deeper and
142 older sources of water (Miguez-Macho & Fan, 2021). Both phreatophytic species that tap into
143 water tables and deeply-rooted xerophytic plants that access vadose zone soil water can avoid the
144 drought-induced reductions in soil water potential near the soil surface (Ryel *et al.*, 2008;
145 Miguez-Macho & Fan, 2021). In arid climates, species that develop only shallow roots confront
146 drought directly and must be able to resist embolism formation. If "roots grow only as deeply as
147 needed" (Schenk & Jackson, 2002, p. 481), then in humid climates plants should grow shallow
148 roots, and may even need to restrict their roots to the shallow oxygenated zone to prevent anoxia
149 (Jackson *et al.*, 1996; Fan *et al.*, 2017; Tumber-Dávila *et al.*, 2022). Third, drought escape can be
150 achieved by completing a short life cycle during the wet growing season or by deciduous leaf
151 senescence in the dry season.

152 The large carbon investment into growth and maintenance of deep roots must be offset by
153 carbon gains made possible by having such deep roots, otherwise the strategy would be unlikely
154 to have evolved (Seyfried *et al.*, 2005). The construction costs of deep roots could possibly be
155 offset by lower stem xylem construction costs, and evidence for a trade-off between rooting

156 depth and xylem resistance to embolism was demonstrated across 12 species in a humid tropical
157 forest, where shallow-rooted species were more tolerant of low water potentials (Brum *et al.*,
158 2019). However, the relationship between rooting depth and xylem vulnerability across global-
159 scale climatic gradients has never been rigorously quantified. There are well-known examples of
160 deep-rooted species in arid climates that also exhibit remarkably resistant stem xylem (Jackson *et*
161 *al.*, 2000; Seyfried *et al.*, 2005), suggesting that the carbon costs of constructing both deep roots
162 and resistant xylem are offset by the carbon gains that are achieved by simultaneously avoiding
163 and resisting dehydration.

164 Rooting depth and xylem vulnerability may jointly influence species distributions along
165 gradients of water availability in complex ways (Fig. 1A). Water limitation in plants is affected
166 by the regional macroclimate, precipitation seasonality, and local topography and drainage
167 gradients (Schwinning & Ehleringer, 2001; Fan *et al.*, 2017; Grossiord *et al.*, 2020). Climatic
168 aridity is defined by both the total precipitation relative to potential evapotranspiration, as well as
169 the occurrence of dry seasons during the year. From a hydrological perspective, water from
170 ‘uplands’ (defined as well-drained topographic positions independent of elevation above sea
171 level) flows into local topographic depressions creating shallow water tables in ‘lowlands’
172 (defined as poorly drained topographic depressions independent of elevation above sea level)
173 (Fig. 1A) (Nobre *et al.*, 2011; Fan *et al.*, 2017). Water table depth is largely independent of
174 regional climate because it is primarily under hydrologic control (Sousa *et al.*, 2022), and plant
175 species responses to regional drought may depend on water table depth (Sousa *et al.*, 2020). For
176 example, **phreatophytic** plants growing in arid riparian areas experience severe atmospheric
177 vapor pressure deficits yet have ample access to soil water. Conversely, plants growing in coarse,
178 shallow, or excessively drained soils within humid regions will experience local soil water

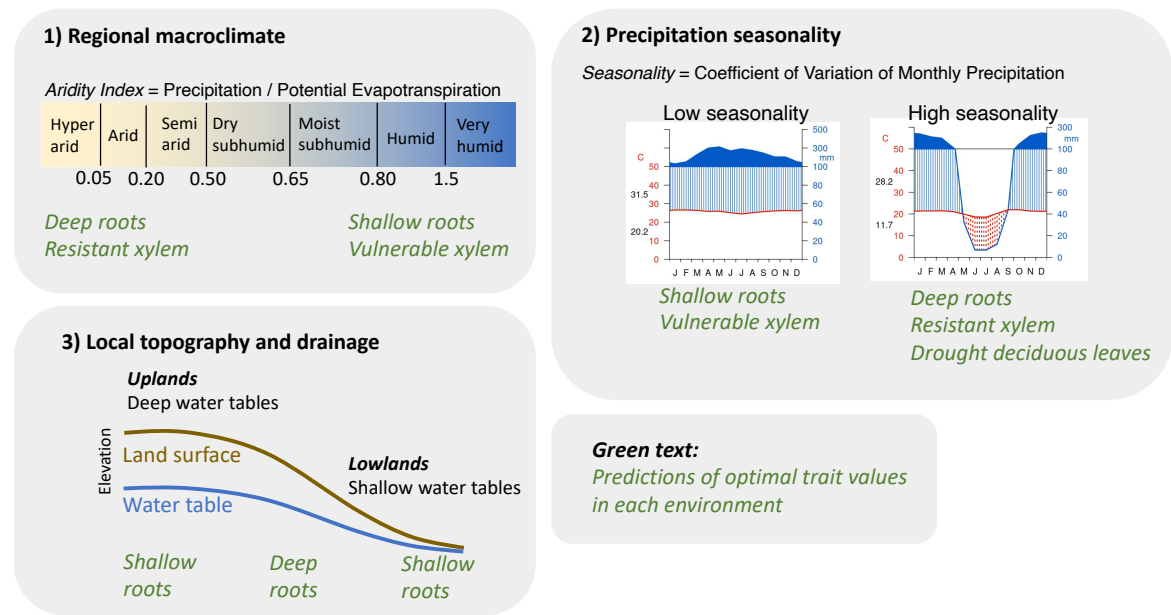
179 deficits despite receiving high amounts of precipitation. In previous studies, species with low P_{50}
180 were associated with arid regional climates (Larter *et al.*, 2017; Laughlin *et al.*, 2020a) and
181 upland topographical positions (Oliveira *et al.*, 2019). Plants with shallow roots tend to occur in
182 humid climates and waterlogged soil, whereas both shallow and deep-rooted species occur in
183 well-drained soil in arid climates (Schenk & Jackson, 2005; Fan *et al.*, 2017). Determining the
184 joint response of both traits to gradients of regional aridity, *seasonality*, and local water table
185 depth will improve our understanding of vegetation response to drought.

186 In this paper, we combined species-level trait data with two macroclimatic gradients and
187 one local gradient in water availability and asked the following two research questions: (1) Are
188 P_{50} and maximum rooting depth correlated across the phylogeny of woody plants? and (2) Are
189 species occurrences along regional aridity, *seasonality*, and local water table depth gradients
190 jointly explained by species P_{50} and maximum rooting depth? We demonstrate that there is no
191 evidence for a trade-off between drought resistance and avoidance and that each combination of
192 xylem vulnerability and rooting depth values differentially optimizes occurrence probabilities
193 along multiple gradients of water availability.

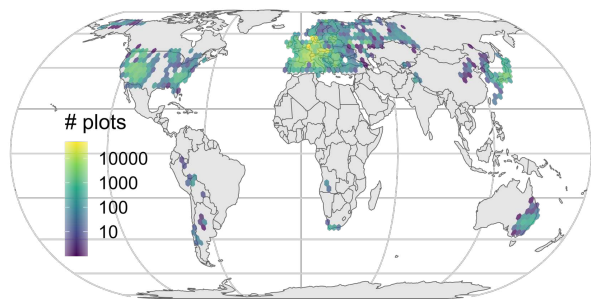
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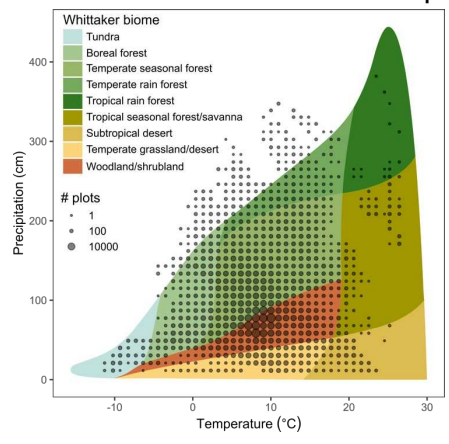
(A) Three drivers of water limitation



(B) Geographic distribution of plots



(C) Whittaker biome distribution of plots



196

197 **Figure 1.** (A) Gradients of regional aridity (macroclimate), precipitation seasonality, and local
 198 water table depth drive variation of water limitation in plants. Green text reports predictions of
 199 optimal trait values along each environmental gradient. Note rooting depth is predicted to be
 200 highest at intermediate water table depth (Fan et al. 2017) (B) Biogeographic distribution of
 201 vegetation plots from the sPlot 3.0 database around the planet illustrated as density of plots per
 202 hexagonal grid cell. (C) Bioclimatic distribution of vegetation plots within Whittaker biome
 203 defined by mean annual temperature and total annual precipitation.

204

205

206 **Material and Methods**

207 *Dataset compilation*

208 We combined the Xylem Functional Traits (XFT) database (Choat *et al.*, 2012) with recently
209 published data to quantify average P₅₀ trait data at the species level (Table S1). We discarded
210 vulnerability curves that were non-sigmoidal in shape or were generated using the air-injection
211 method (Cochard *et al.*, 2013). We merged this P₅₀ dataset with the Root Systems of Individual
212 Plants (RSIP) database based on species (Tumber-Dávila *et al.*, 2022). We calculated maximum
213 rooting depth for each species as the absolute maximum rooting depth recorded for that species
214 in the database. This estimates the potential rooting depth of a species and is analogous to
215 maximum height, another size-dependent trait and key indicator of plant ecological strategies
216 (Díaz *et al.*, 2022). Maximum rooting depth is meaningful because it captures the potential for
217 individuals of a species to construct deep roots. This potential may be more informative than
218 individual trait values, which are highly plastic and noisy, for explaining species occurrence
219 across environmental gradients. Maximum rooting depth was strongly correlated with the median
220 of individual plant rooting depths across species ($r = 0.85$), and models that used median rooting
221 depth were qualitatively indistinguishable from models that used maximum rooting depth. After
222 standardizing species names according to the World Flora Online taxonomic backbone (Miller &
223 Ulate, 2017) and filtering out two outlying measurements of maximum rooting depth that were <
224 0.3 m, the database contained 903 species (2458 observations) with average P₅₀ and 1089 species
225 (2716 observations) with maximum rooting depth. We merged the datasets based on species
226 names and found that both traits have been measured on 207 woody species (Table S1). For
227 these 207 species, there were 871 observations of P₅₀ and 950 observations of rooting depth
228 (Table S1).

229 We extracted vegetation plots from the sPlot 3.0 database (Bruehlheide *et al.*, 2019) that
230 contained at least one of the 207 species with P₅₀ and rooting depth data and had a location

231 uncertainty of 1 km or less (508,443 plots). Of the 207 species, 188 were present in at least one
232 plot (1,733,795 occurrences). The selected plots were broadly distributed worldwide and
233 throughout Whittaker's bioclimatic space (Fig. 1B-C). Vegetation plots were assigned to
234 ecoregions using Olson's updated ecoregion map (Olson *et al.*, 2001; Dinerstein *et al.*, 2017).
235 We defined regional species pools as all of our species that were detected within an ecoregion,
236 and we defined species absences as species that were present in the regional species pool but not
237 detected in the plot itself (Laughlin *et al.*, 2021). For each plot, we extracted the aridity index
238 (the ratio of precipitation-to-potential evapotranspiration) from the Global Aridity Index and
239 Potential Evapo-Transpiration Climate Database (Zomer *et al.*, 2008; Trabucco & Zomer, 2018),
240 water table depth (WTD) from the 'wtd' database (Döll & Fiedler, 2008; Fan *et al.*, 2013), and
241 precipitation seasonality (the CV of monthly precipitation) from WorldClim (Fick & Hijmans,
242 2017). The aridity index estimates macroclimatic water availability, where ratios <0.65 indicate
243 arid climates and >0.65 indicate humid climates (Fig 1A). Water table depth varies
244 independently of climate (in this dataset, the correlation coefficient between aridity and WTD is
245 $r = 0.13$) and is thought to be a major driver of rooting depth distributions (Fan *et al.*, 2017).

246 Of the 207 species for which rooting depth and P_{50} were available, 105 were evergreen
247 and 102 were deciduous (Table S1). We focus on drought resistance and avoidance in this paper
248 because only a few deciduous species in our dataset were drought-deciduous escapers; the vast
249 majority were cold-deciduous species. Nevertheless, we evaluate deciduous and evergreen
250 species to understand their effects on the overall model results.

251

252 *Data Analysis*

253 To answer question 1, we extracted a fully resolved phylogeny based on the PhytoPhylo
254 megaphylogeny (Qian & Jin, 2016) using the R package V.PhyloMaker version 0.1.0 (Jin &
255 Qian, 2019). We calculated Pagel’s λ under a Brownian motion model of evolution to quantify
256 the phylogenetic signal for each trait (Pagel, 1999) using the phylosig function in the R package
257 phytools version 1.2.0 (Revell 2012). We quantified the phylogenetic covariance between the
258 two traits by fitting phylogenetic generalized least squares (PGLS) regression and a phylogenetic
259 correlation structure to account for the non-independence of species across the phylogeny
260 (Revell & Harmon, 2022). Ancestral state reconstruction used maximum likelihood estimates
261 under a Brownian motion model of evolution. The phylogeny was plotted using the R package
262 phytools (Revell, 2012).

263 To answer question 2, we compared two generalized linear mixed effects models of
264 species presence–absence data using the binomial family and logit link. Both models included
265 species random intercepts to account for the fact that trait data were species-level averages and
266 not measured at the intraspecific level at each location, and ecoregion random intercepts to
267 account for the different numbers of observations in each ecoregion. Model 1 was an
268 environment-only model that included linear and quadratic fixed effects terms for aridity,
269 [seasonality](#), and WTD, and interactions among their linear terms. Model 2 included both the
270 environment and traits, where P_{50} , rooting depth, aridity, [seasonality](#), and WTD, their squared
271 terms, and interactions among linear terms up to a [five](#)-way interaction, were included as fixed
272 effects. Aridity index and the absolute value of P_{50} were square root transformed, [seasonality](#),
273 WTD and rooting depth were log transformed, and all predictors were mean-centered and scaled
274 to a standard deviation of 1 prior to fitting the models.

275 [For example, in Model 1:](#)

$$\begin{aligned}
276 \quad & y_i \sim \text{Bernoulli}(p_i) \\
277 \quad & p_i = \text{logit}^{-1}(\beta_0 + \beta_1 \text{arid}_i + \beta_2 \text{arid}_i^2 + \beta_3 \text{WTD}_i + \beta_4 \text{WTD}_i^2 + \beta_5 \text{seas}_i + \beta_6 \text{seas}_i^2 \\
278 \quad & \quad + \beta_7 \text{arid}_i \text{WTD}_i + \beta_8 \text{arid}_i \text{seas}_i + \beta_9 \text{WTD}_i \text{seas}_i + \beta_{10} \text{arid}_i \text{WTD}_i \text{seas}_i \\
279 \quad & \quad + \eta_{j[i]} + \gamma_{k[i]})
\end{aligned}$$

280 where y_i is the i th presence-absence record, p_i is the expected occurrence probability, the β 's are
281 regression coefficients, η_j is a random intercept for species j drawn from a normal distribution,
282 $N(0, \sigma_\eta)$, and γ_k is a random intercept for ecoregion k drawn from a normal distribution, $N(0, \sigma_\gamma)$.
283 This models a two-dimensional surface of P₅₀ and rooting depth and its interaction with a [three-](#)
284 [dimensional surface of aridity, seasonality, and WTD](#). The shape of the trait surface changes in
285 relation to aridity, [seasonality](#), and WTD, accounting for trait-by-environment interactions that
286 cause different trait combinations to be selected in different environments. We compared models
287 1 and 2 using a likelihood ratio test to determine the importance of traits for explaining species
288 occurrences when environmental variables were already in the model. The R syntax of Model 2
289 using the bam function in the R package mgcv 1.8.41 (Wood, 2011) was as follows: `pres ~ arid *
290 wtd * ps * p50 * rd + arid2 + wtd2 + ps2 + p502 + rd2 + s(spp, bs = "re") + s(eco, bs = "re")`. We
291 plotted 90% confidence intervals using posterior simulations from the fitted model.

292 We plotted model predictions of occurrence probabilities at different combinations of
293 environment and trait values. We plotted conditional effects of each trait by illustrating the
294 effects of one trait, while holding the other trait at its mean value, at [different combinations of
295 high \(95th percentile\) or low \(5th percentile\) aridity, seasonality, and water table depth](#). To
296 [illustrate trait-by-trait interactions, we plotted landscapes of occurrence probabilities across a
297 two-dimensional surface of P₅₀ and rooting depth at different combinations of aridity,
298 \[seasonality, and water table depth\]\(#\)](#). To test the four hypotheses directly, we fixed the two traits at

299 their 5th and 95th percentiles to represent four plant strategies and plotted landscapes of
300 occurrence probabilities along a two-dimensional surface of aridity and WTD at two levels of
301 seasonality. The average occurrence probability across this large dataset is approximately 5%, so
302 predicted probabilities vary above and below this mean value. All analyses used R version 4.2.2.

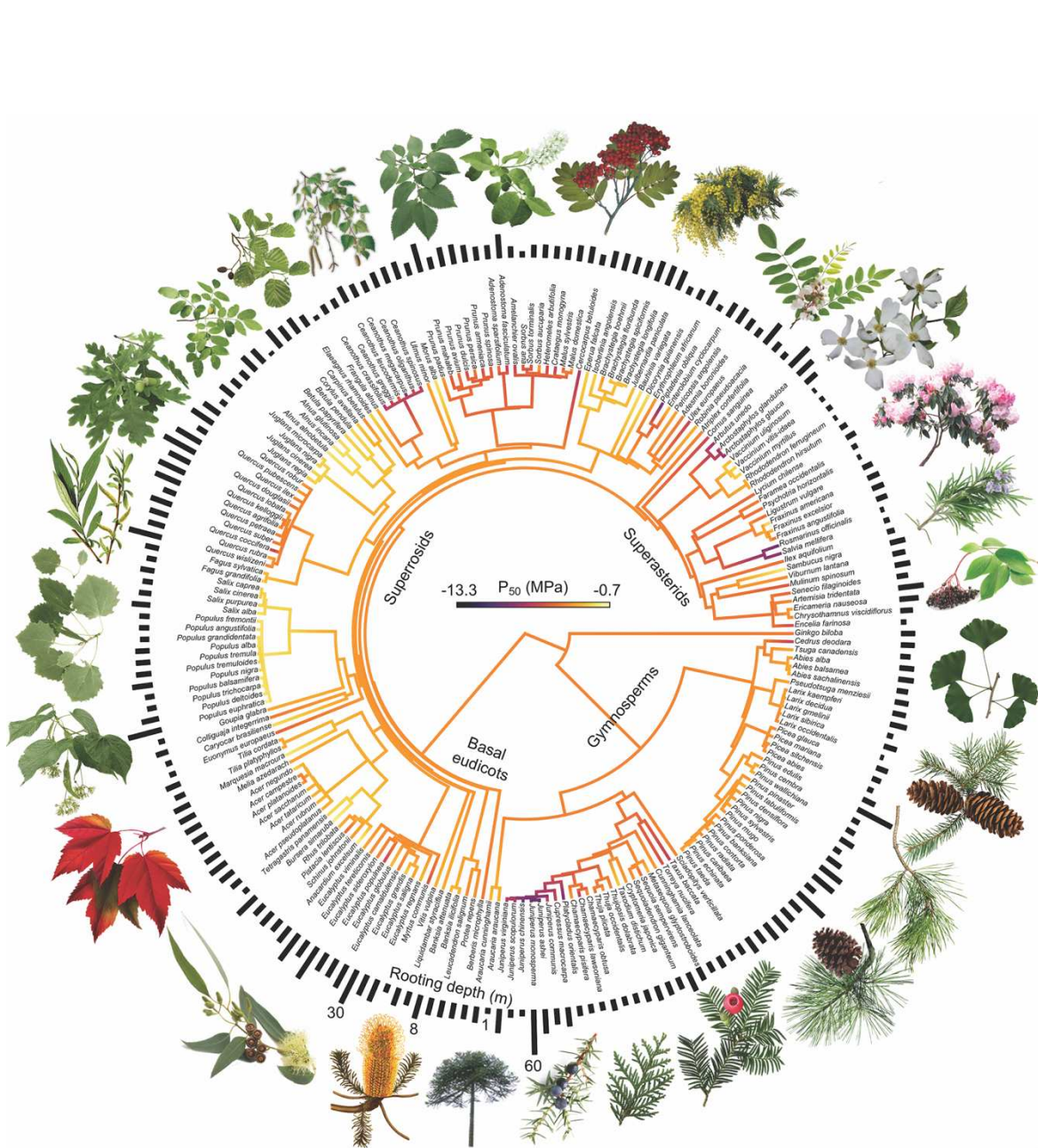
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304 **Results**

305 *Phylogenetic correlation*

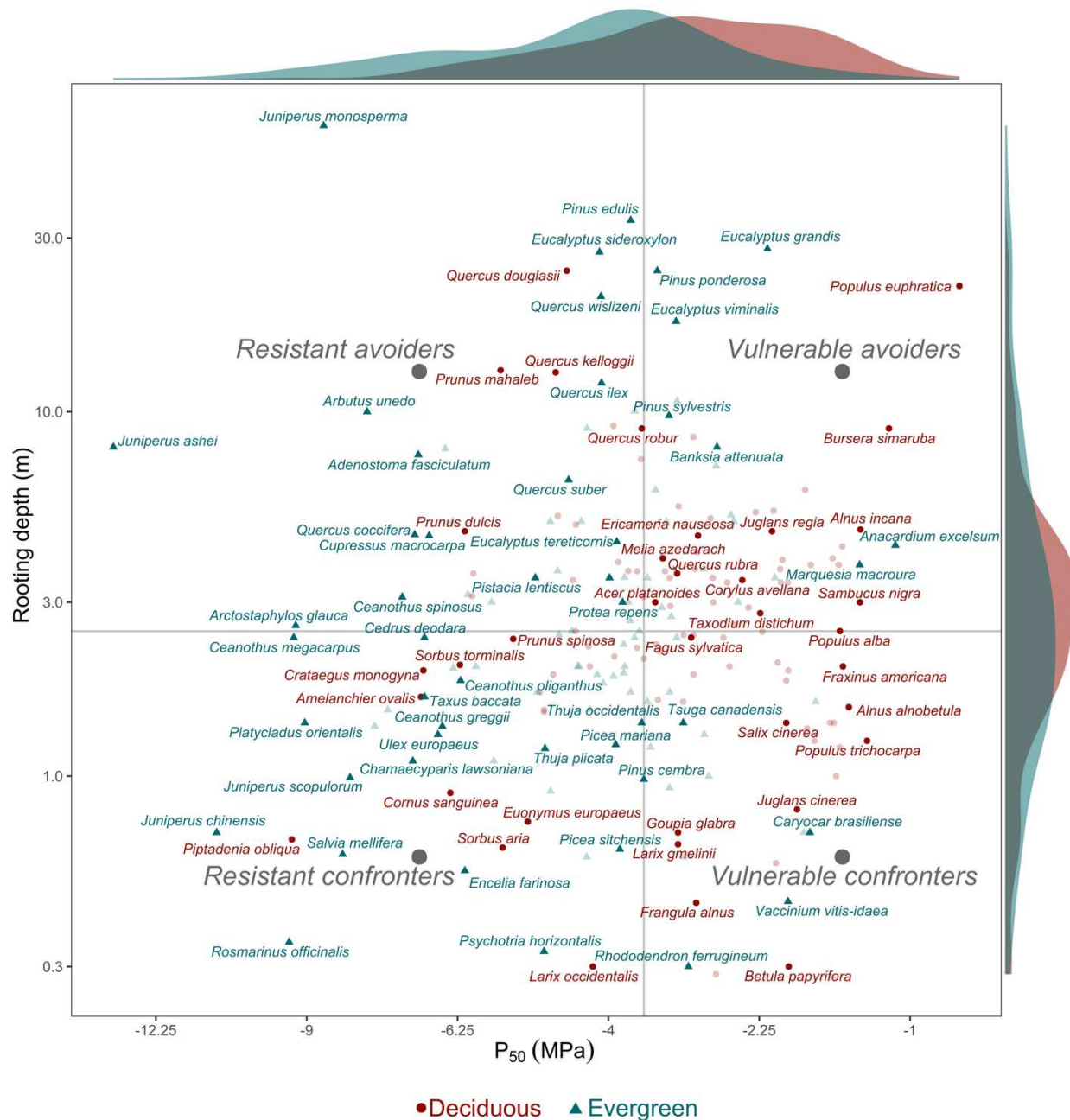
306 Mean P₅₀ and maximum rooting depth exhibited significant phylogenetic signal (Fig. 2),
307 although the signal was weaker for rooting depth (P₅₀: Pagel's $\lambda = 0.91$, $P < 0.001$; rooting depth:
308 Pagel's $\lambda = 0.58$, $P < 0.01$). These two traits were uncorrelated (Fig. 3) across the phylogeny of
309 woody plants (PGLS slope = 0.06, SE = 0.07, $t = 0.95$, $P = 0.35$), and inspection of intraspecific
310 trait ranges provided no indication that these traits were correlated (Figure S1). Deciduous and
311 evergreen species were well distributed throughout this two-dimensional trait space, but
312 deciduous species had on average more vulnerable xylem than evergreen species (Fig. 3).

313



314

315 **Figure 2.** Xylem vulnerability to embolism (P₅₀) mapped onto the phylogeny of woody plants,
 316 where the color of the internal tree branches show vulnerability based on maximum likelihood-
 317 based ancestral state reconstruction. Dark colors indicate more resistant xylem and light colors
 318 indicate more vulnerable xylem (see legend in the center). The outer bars represent maximum
 319 rooting depth (log-scale) for each species, where longer bars represent deeper roots (see lower
 320 left for rooting depth scale legend). Pictures of representative species of major clades are
 321 illustrated around the phylogeny.



322

323 **Figure 3.** Distribution of species in the bivariate trait space defined by maximum observed
 324 rooting depth and mean P_{50} . Deciduous species are red and evergreen species are blue. Vertical
 325 and horizontal lines denote the 50th percentiles of the traits. Species names associated with bold
 326 symbols were selected by an algorithm to represent as much as the occupied trait space as
 327 possible because not all names could easily fit. The four grey points represent the 5th and 95th
 328 percentiles of the trait distributions (-7.0 and -1.5 MPa for P_{50} ; 12.9 and 0.6 m for rooting depth)
 329 to represent each of the four plant strategies described in Figure 1B (see Methods). The curves
 330 depict the distribution of values across each of the two trait axes for each leaf type.

331

332

333 *Models of occurrence probabilities*

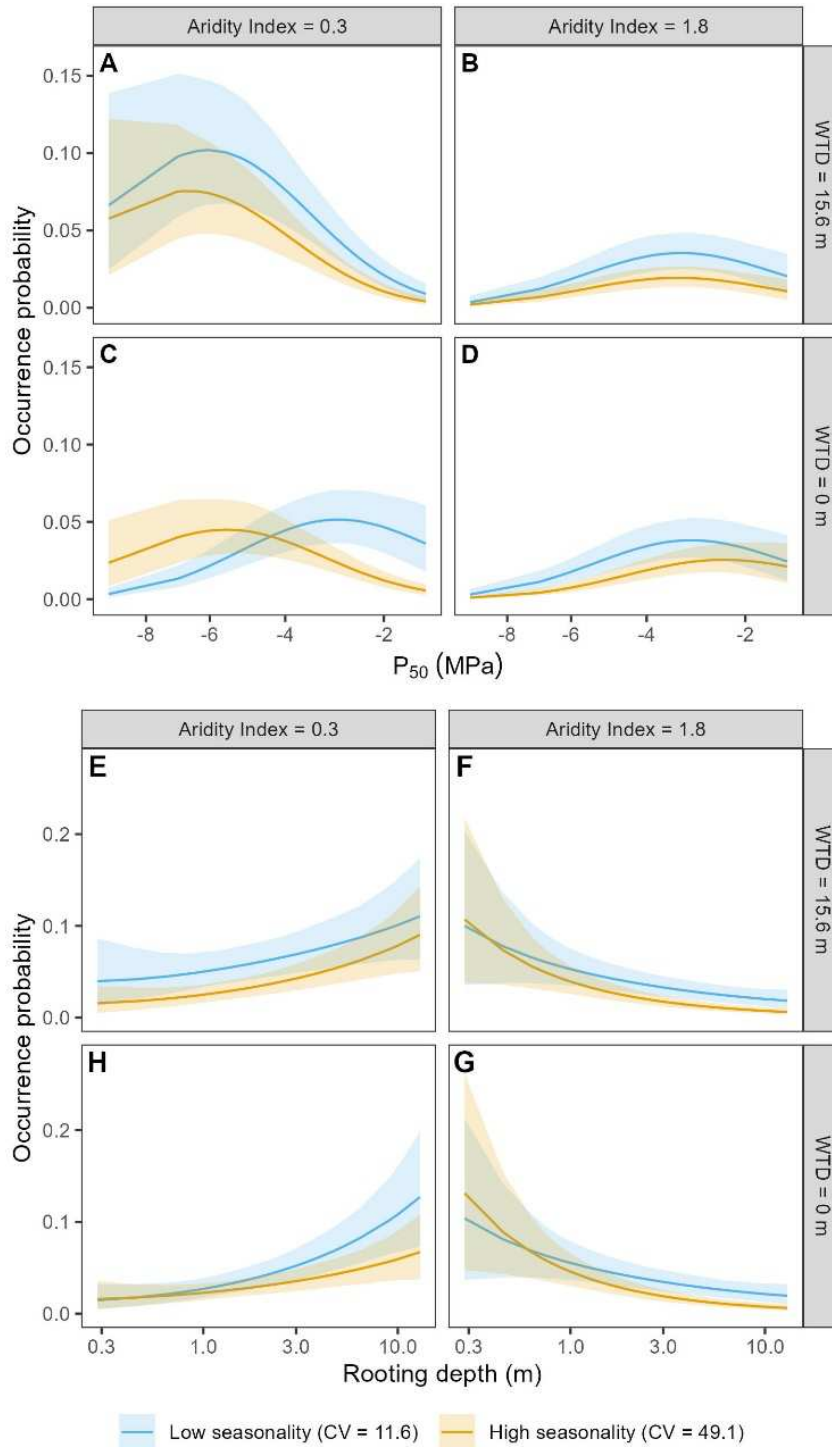
334 The generalized linear mixed effects model that included traits (see Model 2 results in Table S2)
335 explained 16.7% of the deviance (marginal $R^2 = 0.24$; conditional $R^2 = 0.70$). A likelihood ratio
336 test (LRT) determined that traits were a significant improvement to the model that only included
337 environmental data as predictors (LRT $\chi^2_{21} = 188,143$; $P < 0.0001$) (see Model 1 results in Table
338 S3). Traits exhibited no significant main effects (i.e., the trait effects depended on the
339 environment), and the quadratic term for rooting depth was not significant. Nearly all trait-by-
340 environment interactions were significant (Table S2).

341 P_{50} interacted with aridity, *seasonality*, and water table depth (Fig. 4, Table S2). The
342 effect of P_{50} on probability of occurrence switched from negative to positive from arid to humid
343 climates, where the probability of occurrence of species resistant to embolism increased in more
344 arid climates (Fig 4A,B). *In arid climates with shallow water tables, low P_{50} values increased*
345 *occurrence probability in seasonal environments, whereas high P_{50} values increased occurrence*
346 *probabilities in non-seasonal environments* (Fig 4C).

347 Rooting depth interacted weakly with aridity, *seasonality*, and water table depth (Fig. 4,
348 Table S2). The effect of rooting depth on probability of occurrence switched from positive to
349 negative in arid to humid climates, where the probability of occurrence of species that avoid
350 drought by constructing deep roots increased in more arid climates (Fig. 4E,F). The interaction
351 was weak because the importance of shallow roots in humid climates increased slightly in sites
352 with shallower water tables (Fig 4F,H).

353

354



355

356 **Figure 4.** (A-D) Effects of P_{50} on occurrence probability (with rooting depth held at its average
 357 value, 2.6 m) at the 5th and 95th percentiles of the aridity index, **seasonality**, and water table depth
 358 (WTD). (E-H) Effects of rooting depth on occurrence probability (with P_{50} held at its average
 359 value, -3.6 MPa) at the 5th and 95th percentiles of the aridity index, **seasonality**, and water table
 360 depth (WTD). Note that the 5th percentile of WTD was close to zero meters.

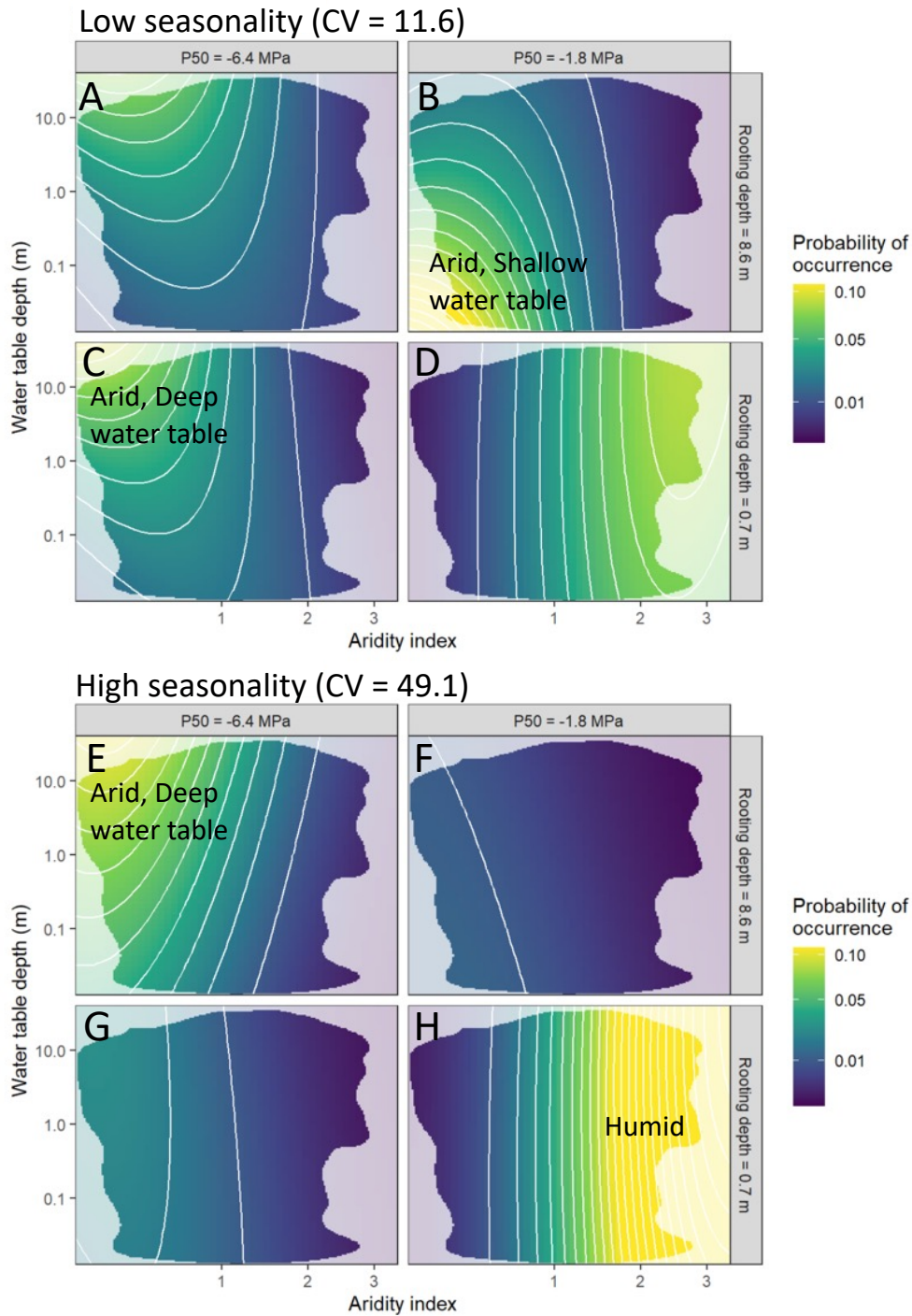
361

362 Trait-by-environment interactions generated highly contingent occurrence landscapes.
363 Landscapes of probabilities illustrate the trait values and environments that optimize species
364 occurrence probabilities and can be viewed through two perspectives: first, as functions of
365 aridity, seasonality, and water table depth for each of the four plant strategies (Figure 5); and
366 second, as functions of P_{50} and rooting depth in different combinations of aridity, seasonality,
367 and water table depth (Figure 6).

368 Each strategy was most likely to occur in different environments. First, resistant avoiders
369 (low P_{50} and deep roots) were most likely to occur in arid and seasonal climates in sites with
370 deep water tables (Figures 5A, 6A). Second, vulnerable confronters (high P_{50} and shallow roots)
371 were most likely to occur in humid climates at any water table depth (Figures 5D, 6B, 6D).
372 Third, resistant confronters (low P_{50} and shallow roots) were most likely to occur in arid and
373 non-seasonal environments in sites with deep water tables (Figure 5C). Fourth, vulnerable
374 avoiders (high P_{50} and deep roots) were most likely to occur in arid and non-seasonal climates in
375 sites with shallow water tables (Figure 5B, 6C).

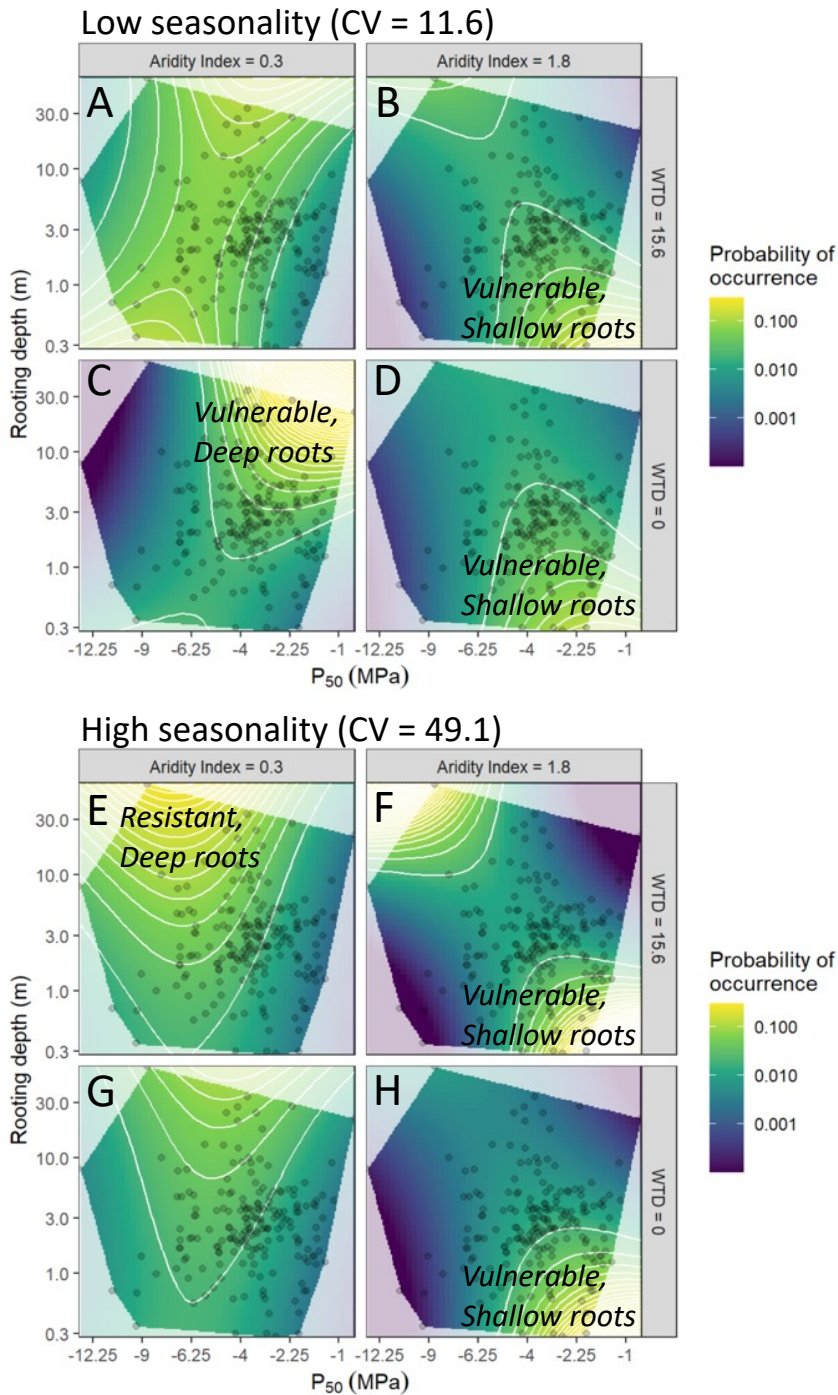
376 Trends in occurrence probabilities for deciduous species were qualitatively similar to full
377 model results (Figure S2). However, resistant confronters and vulnerable avoiders with
378 evergreen leaves exhibited relatively flat occurrence landscapes, suggesting that the full model
379 results for these two strategies were driven by deciduous species.

380



381

382 **Figure 5.** Occurrence probability landscapes illustrating changes in the probability of woody
 383 species occurrence for each of the four plant strategies (i.e., set as the 5th and 95th percentiles of
 384 P₅₀ and maximum rooting depth) along continuous gradients of the aridity index and water table
 385 depth at the 5th and 95th percentiles of precipitation seasonality. Warm colors indicate higher
 386 probability of occurrence and cool colors indicate lower probability of occurrence. The
 387 environmental space is masked to emphasize the 99th percentile of observed environmental
 388 variation. Contour interval = 0.01.



389

390 **Figure 6.** Occurrence probability landscapes illustrating changes in the probability of woody
 391 species occurrence in different environments (i.e., set as the 5th and 95th percentiles of the aridity
 392 index and water table depth (WTD) at the 5th and 95th percentiles of precipitation seasonality
 393 along continuous gradients of P_{50} and maximum rooting depth. Warm colors indicate higher
 394 probability of occurrence and cool colors indicate lower probability of occurrence. The trait
 395 space is masked to illustrate the convex hull of observed trait values. Contour interval = 0.01.
 396

397 **Discussion**

398 Xylem vulnerability to drought-induced embolism and maximum rooting depth have each been
399 separately investigated as drivers of drought resistance and avoidance in woody species
400 (Canadell *et al.*, 1996; Choat *et al.*, 2012), yet their phylogenetic correlation and the joint effect
401 of these traits on species distributions were unknown until now. Here we have shown that 1)
402 drought resistance and avoidance are orthogonal woody plant strategies, and 2) P_{50} and rooting
403 depth **jointly** improve predictions of species occurrence probabilities along gradients of aridity,
404 **seasonality**, and water table depth.

405 First, it has been suggested that drought resistance trades-off with drought avoidance
406 because species in a tropical forest were either shallow-rooted trees that built resistant xylem or
407 were deep-rooted trees that built vulnerable xylem (Brum *et al.*, 2019). **This proposed trade-off**
408 **assumed that investment in deep root systems comes at a cost of constructing vulnerable xylem.**
409 **However, our large sample across the phylogeny of woody plants provides strong evidence that**
410 **P_{50} and rooting depth are phylogenetically uncorrelated. The many species that "show deviations**
411 **from statistical correlations" (Carlquist, 1975, p. 1) provide insight into the adaptive value of**
412 **these traits. The large carbon investment into growth and maintenance of deep roots and resistant**
413 **xylem must be offset by the large carbon gains that are made possible by deep roots and resistant**
414 **xylem in dry environments, otherwise these phenotypes could not persist in these environments.**
415 **Moreover, many plant species construct shallow root systems and vulnerable xylem to maximize**
416 **carbon gain in wetter environments. Drought resistance and avoidance do not exhibit a trade-off**
417 **and species representing most major clades of woody seed plants have been able to explore the**
418 **full range of combinations of these two traits to occupy a broad range of environments.**

419 Second, P_{50} and rooting depth jointly explain species occurrence probabilities, thereby
420 advancing our conceptual understanding of how species may respond to changing water
421 availability under climate change. Our modeling results suggest that forecasting woody species
422 range shifts in response to changing climate must also consider topographically mediated
423 hydrologic regimes. While both xylem vulnerability and rooting depth were more strongly
424 related to aridity, they interacted with water table depth to determine species occurrence
425 probabilities. Given that water table depth is relatively independent of regional climate (Fan *et*
426 *al.*, 2017), if evaporative demand changes faster than water table depth under climate change,
427 then **deeply-rooted** vegetation responses may be buffered in the near-term by stable water table
428 depths.

429 To facilitate the interpretation of model results based on a **complex five-way** interaction
430 of continuous traits and environments, we discuss each of the four strategies **that represent low**
431 **and high values of each trait in turn** (Fig. 1B, Fig. 3, Fig. 5). First, resistant avoiders (**low P_{50} and**
432 **deep roots**) exhibit, in theory, the most drought-tolerant strategy because they can withstand
433 substantial negative pressures in their xylem and at the same time are also able to avoid these
434 strongly negative pressures when accessing deep soil water reservoirs (Hammond *et al.*, 2019;
435 Tumber-Dávila *et al.*, 2022). **This strategy is most likely to occur in arid, seasonal climates with**
436 **deep water tables (Figs. 5E and 6E)**. This result was consistent for deciduous and evergreen
437 species, but most resistant avoiders were evergreen. For example, the evergreen angiosperm
438 *Arbutus unedo*, a small tree that grows in Mediterranean chaparral on dry slopes and ridges, and
439 the evergreen gymnosperm *Juniperus monosperma*, a small tree that grows in arid deserts,
440 illustrate how resistant xylem and deep roots optimize growth and survival in arid uplands. **In**

441 order to survive in some of the driest environments on earth, constructing deep roots and
442 resistant xylem is the optimal strategy for maintaining a positive carbon balance.

443 Second, resistant confronters (low P_{50} and shallow roots) are most likely to occur in arid,
444 non-seasonal climates over deep water tables. This agrees with previous empirical work that arid
445 environments contain both deep and shallow-rooted species (Fan *et al.*, 2017) yet clarifies that
446 shallow roots are more adaptive in non-seasonal arid climates where more consistent
447 precipitation (albeit still modest amounts) wets the top soil layers throughout the year. This result
448 appeared to be driven by deciduous species, such as *Amelanchier ovalis*, which grows in open
449 sites in dry climates, but evergreen species, such as *Encelia farinosa* and *Juniperus scopulorum*,
450 also grow in dry habitats with well-drained soil (Ehleringer, 1993).

451 Third, vulnerable avoiders (high P_{50} and deep roots) are most likely to occur in arid, non-
452 seasonal climates over shallow water tables. This result was also most evident in deciduous
453 species. For example, the deciduous *Populus euphratica* grows in central Asian river flood plains
454 in arid regions (Bruehlheide *et al.*, 2010). This strategy may be emblematic of deep-rooted,
455 phraetophytic species that occur in desert riparian zones (Loheide *et al.*, 2005).

456 Fourth, vulnerable confronters (high P_{50} and shallow roots) present, in theory, the least
457 drought-tolerant strategy because root-to-shoot conductance can decline under even weak
458 negative xylem pressure that cannot be overcome by access to deep soil water (Hammond *et al.*,
459 2019; Tumber-Dávila *et al.*, 2022). This strategy is most likely to occur in humid climates,
460 regardless of water table depth. This result was consistent for deciduous and evergreen species.
461 For example, the deciduous angiosperm *Salix cinerea* is a medium-sized shrub that grows in
462 wetlands in humid climates. *Juglans cinerea*, on the other hand, is a deciduous angiosperm tree
463 that grows in humid climates ranging from streambanks to well-drained slopes. Other drought-

464 related traits that were not measured here, such as leaf turgor loss point, could further explain
465 how species that are vulnerable confronters such as *Juglans cinerea* can survive in well-drained
466 dry soil, yet turgor loss point was not available for most of the 188 species in this dataset.

467 Our work represents the first systematic analysis of the combined effect of drought
468 resistance and avoidance on the global distribution of woody species. Yet, some limitations of
469 the study deserve attention. First, maximum rooting depth data is underestimated due to the
470 logistical difficulty of measuring this trait, and rooting depth within species responds plastically
471 to variation in soil water depth (Schenk & Jackson, 2005; Fan *et al.*, 2017). Given the low
472 number of replicated trait observations within species (Table S1, [Figure S1](#)), our analysis was
473 unable to evaluate the phenotypic plasticity of each trait and whether individual species could
474 acclimate to changing vapor pressure deficits and soil water availability. [We urge researchers to](#)
475 [develop methods to simultaneously measure xylem vulnerability and rooting depth on individual](#)
476 [plants in their environments](#). Second, our analysis does not predict the growth, survival, and
477 reproduction of different ontogenetic stages of trees, and these are the fitness components that
478 are important to population dynamics that lead to range shifts (Merow *et al.*, 2017). Our analysis
479 is an important first step to determine the joint effect of these critical traits on species responses
480 to changing aridity, [seasonality](#), and water table depth, but future work should link these trait-by-
481 environment interactions to demographic rates and population growth rates to improve
482 forecasting generality (Laughlin *et al.*, 2020b). Third, the limited data availability for drought-
483 deciduous species prevented us from understanding how the drought escape strategy relates to
484 drought resistance and avoidance. Future work should address these limitations.

485 The earliest plants to colonize the land emerged in humid tropical environments and
486 likely did not stray too far from shorelines and streambanks where evaporative demand was

487 moderated by humid atmospheres and shallow water tables (Willis & McElwain, 2014; Bouda *et*
488 *al.*, 2022). The evolution of increasing resistance to embolism and extension of roots deep into
489 the soil profile permitted species to occupy increasingly drier environments, and these traits have
490 retained their adaptive advantages to this day. Simultaneous understanding of drought resistance
491 and avoidance strategies that have evolved across the phylogeny of woody plants will enhance
492 our predictions of vegetation response to changing water availability and guide species selection
493 in ecological restoration projects (Laughlin *et al.*, 2017; McDowell *et al.*, 2022).

494

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504

505 **Author Contributions**

506 DL designed the study. AS, JF, and DL conducted the data analyses. DL and AS wrote the first
507 draft, and all authors contributed substantially to revisions.

508

509 **Competing Interests**

510 None declared.

511

512 **Data Availability**

513 All code and data will be archived on Dryad upon acceptance and the data DOI will be included
514 at the end of the article. Code is available for review at Andrew Siefert's GitHub repository
515 https://github.com/andrewsiefert/xylem_root3.

516

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688 **Supporting Information**

689 Additional supporting information can be found online in the Supporting Information section at
690 the end of this article.