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2 pinned aluminium alloy shear connections. *Thin-Walled Structures*, 2023(0263-8231)

3
4 **Numerical study and design of swage-locking pinned aluminium**
5 **alloy shear connections**

6
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17 **Abstract**

18 Swage-locking pinned connections are becoming increasingly popular in aluminium alloy
19 structures. This paper presents a comprehensive numerical study into the structural performance
20 and design of swage-locking pinned aluminium alloy shear connections. Finite element (FE)
21 models, taking account of the influence of stress triaxiality on the fractural behaviour of
22 swage-locking pinned aluminium alloy shear connections, were first established and validated
23 against existing test data from the authors. Complementary measurements on the preload of
24 fasteners and the friction coefficient between aluminium plates were also performed for FE
25 model input and verification. Upon validation of the developed FE models for swage-locking
26 pinned aluminium alloy shear connections, parametric studies were carried out, aiming at

27 expanding the structural performance data over a wider range of aluminium alloy grades and
28 geometric configurations, including end distances, inner-plate thicknesses, pin diameters and
29 edge distances. Based on the obtained results, the influence of the friction coefficient between
30 aluminium plates, as well the key material and geometrical parameters, on the resistances of
31 aluminium alloy connections was discussed. Finally, revised design methods for determining the
32 ultimate resistances of swage-locking pinned aluminium alloy shear connections were proposed.
33 It was shown that the design proposals in the present study provide more accurate and less
34 scattered resistance predictions than existing codified design approaches for aluminium alloy
35 shear connections.

36

37 **Keywords**

38 Aluminium alloy; Design method; Friction coefficient; Numerical study; Shear connections;

39 Swage-locking pin

40

41 **1. Introduction**

42 Recent decades have witnessed an increasing use of aluminium alloys in structural applications
43 [1], owing to their superior corrosion resistance, high strength-to-weight ratio, ease of extrusion
44 and fabrication and excellent recyclability [2]. In spite of these favourable features, the poor
45 weldability of the structural aluminium alloys poses a challenge to effectively join aluminium
46 elements. Fastener connections are therefore extensively used in aluminium alloy structures to
47 avoid strength reduction resulted from the welding. The structural behaviour of aluminium alloy
48 fastener connections has been investigated in a number of studies. As early as 1937, Miller [3]

49 conducted a series of tests on both bolted and riveted aluminium alloy shear connections, finding
50 that the bearing resistances of these connection were influenced by mainly four parameters:
51 material strength, fastener diameter, plate thickness and edge distance. Menzemer et al. [4-6]
52 performed thorough experimental and numerical studies on the bearing and block shear
53 behaviour of aluminium alloy bolted connections, the results of which were utilised to assess the
54 accuracy of the design rules specified in the Aluminum Design Manual (ADM) [7]. Wang et al.
55 [8] conducted experiments on a total of 20 aluminium alloy bolted connections and proposed a
56 new design method for accurately predicting the bolt shear force in long connections. Kim and
57 co-workers [9-11] conducted systematic investigations on 6061-T6 and 7075-T6 aluminium alloy
58 single shear connections and proposed new design equations for connections that are susceptible
59 to out-of-plane deformations (i.e. curling).

60
61 As indicated in the above literature review, the majority of research to date has been focused on
62 the structural performance of aluminium alloy bolted connections. With the ongoing advances in
63 fastener manufacturing, novel fasteners (e.g. Hollo bolt [12], Molabolt [13] and swage-locking
64 pin) that feature more favourable mechanical properties are becoming increasingly popular in
65 structural engineering. The swage-locking pin is a new category of fasteners, which exhibits
66 good resistance to vibration and loosening and can be rapidly installed by using a hydraulic rivet
67 gun [14]. In addition, the use of swage-locking pins can avoid the thread galling failure which is
68 commonly seen in conventional stainless steel bolts [15]. These above advantages greatly
69 increased the application of swage-locking pins in aluminium alloy structures, typical examples
70 of which are the Rafel Gallery in Shanghai [16] and the Usnisa Palace in Nanjing [17]. The

71 experimental investigation in the companion paper [16] revealed that the existing codified
72 methods [18-20] fail to explicitly consider the influence of material characteristics and friction on
73 the resistances of swage-locking pinned aluminium alloy shear connections, resulting in
74 somewhat inaccurate resistance predictions. The structural performance and design of
75 swage-locking pinned aluminium alloy shear connections, which has not been systematically
76 studied to date, is therefore the focus of the present study.

77
78 A comprehensive numerical study into the structural behaviour of swage-locking pinned
79 aluminium alloy shear connections is presented herein. Prior to the establishment of finite
80 element (FE) models, complementary measurements on the preload of swage-locking pins and
81 the friction coefficient between aluminium alloy plates were carried out for FE model input and
82 verification. FE models, taking account of the influence of stress triaxiality on the fractural
83 behaviour of swage-locking pinned aluminium alloy shear connections, were then developed and
84 validated against the test results reported in the companion paper [16]. The validated models
85 were subsequently employed to perform parametric studies considering a wider coverage of key
86 parameters that affect the behaviour and resistance of swage-locking pinned aluminium alloy
87 shear connections; these include the aluminium alloy grade, the friction coefficient between
88 aluminium alloy plates and the geometric configurations of shear connections (e.g. end distance,
89 inner-plate thickness, pin diameter and edge distance). Finally, based on the obtained results,
90 revised design equations for determining the ultimate resistance of swage-locking pinned
91 aluminium alloy shear connections failing in different failure modes are proposed.

92

93 **2. Review of previous experimental studies and complementary measurements**

94 In this section, a summary of previous experimental studies on swage-locking pinned aluminium
95 alloy shear connections carried out by Wang et al. [16] is presented. In addition, complementary
96 measurements of the preload of fasteners and the friction coefficient between aluminium alloy
97 plates were performed, providing essential input parameters for model input and validation, and
98 are also presented in this section.

99

100 **2.1. Shear connection tests [16]**

101 A total of 23 swage-locking pinned aluminium alloy shear connections of four different
102 aluminium alloy grades (i.e. 6061-T6, 6063-T5, 6082-T6 and 7A04-T6) and three different
103 configurations, as shown in Fig. 1, were tested. Prior to the shear connection tests, the material
104 properties of the four investigated aluminium alloys were determined by tensile symmetric tests,
105 as summarised in Table 1 [16]. Four different failure modes, including shear-out, bearing, block
106 shear and net section tension fracture (typical examples of which are illustrated in Fig. 2) were
107 observed and analysed in [16]. The test ultimate resistances (P_{Test}) and failure modes of the tested
108 specimens are summarised in Table 2, along with the material and geometric properties, where e_1
109 is the end distance, e_2 is the edge distance, and p_1 and p_2 are the pitch and gauge distances,
110 respectively, as shown in Fig. 1. More details regarding the test setup and specimen
111 configurations can be found in [16].

112

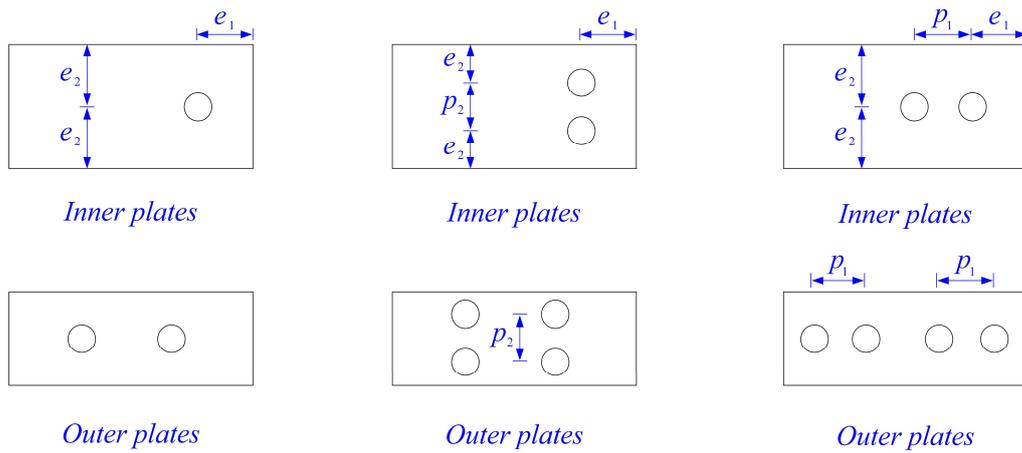
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114

115 **Table 1.** Measured material properties of different aluminium alloy inner plates of the shear
 116 connection test specimens [16]

Material	E (MPa)	$f_{0.2}$ (MPa)	f_u (MPa)	n	$f_u/f_{0.2}$	ϵ_u (%)
6061-T6	68100	275	320	23.4	1.17	8.7
6063-T5	68300	170	225	12.8	1.33	8.2
6082-T6	69200	335	375	36.8	1.11	10.2
7A04-T6	70900	545	595	42.3	1.09	8.4

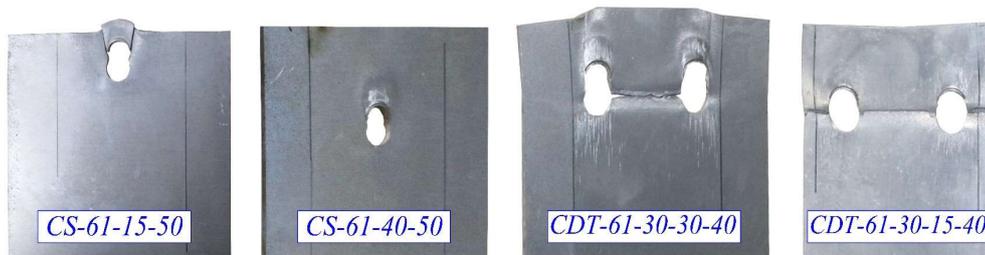
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118 **Type1:** Connection with single swage-locking pin (CS) **Type2:** Connection with double swage-
 119 locking pins transversely (CDT) **Type3:** Connection with double swage-
 120 locking pins longitudinally (CDL)

Fig. 1. Configurations of tested specimens [16]

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 119
 120



(a) Shear-out (b) Bearing (c) Block-shear (d) Net section

Fig. 2. Typical failure modes of tested specimens [16]

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Table 2. Summary of material and geometric properties and experimental results of tested specimens [16]

Type of connection	Specimen label	Alloy	e_1 (mm)	e_2 (mm)	p_1 (mm)	p_2 (mm)	P_{Test} (kN)	Failure modes
Type 1	CS-61-10-50	6061-T6	10	50	–	–	20.6	SO ^a
Type 1	CS-61-15-50	6061-T6	15	50	–	–	25.3	SO ^a
Type 1	CS-61-20-50	6061-T6	20	50	–	–	31.2	SO ^a
Type 1	CS-61-30-50	6061-T6	30	50	–	–	41.6	SO ^a
Type 1	CS-61-40-50	6061-T6	40	50	–	–	50.2	B ^b
Type 1	CS-63-15-50	6063-T5	15	50	–	–	19.6	SO ^a
Type 1	CS-63-40-50	6063-T5	40	50	–	–	38.6	B ^b
Type 1	CS-82-15-50	6082-T6	15	50	–	–	26.8	SO ^a
Type 1	CS-82-40-50	6082-T6	40	50	–	–	52.5	B ^b
Type 1	CS-04-15-50	7A04-T6	15	50	–	–	34.5	SO ^a
Type 1	CS-04-40-50	7A04-T6	40	50	–	–	66.7	B ^b
Type 2	CDT-61-30-10-40	6061-T6	30	10	–	40	54.1	NS ^c
Type 2	CDT-61-30-15-40	6061-T6	30	15	–	40	67.5	NS ^c
Type 2	CDT-61-30-20-40	6061-T6	30	20	–	40	80.4	NS ^c
Type 2	CDT-61-30-30-40	6061-T6	30	30	–	40	81.8	BS ^d
Type 2	CDT-61-30-40-20	6061-T6	30	40	–	20	60.7	BS ^d
Type 2	CDT-61-30-40-25	6061-T6	30	40	–	25	64.4	BS ^d
Type 2	CDT-61-30-40-30	6061-T6	30	40	–	30	73.2	BS ^d
Type 2	CDT-61-30-40-40	6061-T6	30	40	–	40	85.7	BS ^d
Type 3	CDL-61-30-50-20	6061-T6	30	50	20	–	63.4	SO ^e /SO ^f
Type 3	CDL-61-30-50-25	6061-T6	30	50	25	–	67.5	SO ^e /SO ^f
Type 3	CDL-61-30-50-30	6061-T6	30	50	30	–	75.5	SO ^e /SO ^f
Type 3	CDL-61-30-50-40	6061-T6	30	50	40	–	84.5	SO ^e /B ^f

135
136
137

Note: ^aSO: shear-out; ^bB: bearing failure; ^cNS: net section failure; ^dBS: block shear.
^eFailure mode of downstream pin hole; ^ffailure mode of upstream pin hole.

138 2.2. Complementary measurements of preload and friction coefficient

139 As a complement to the tests on the swage-locking pinned aluminium alloy shear connections,
140 the preload of swage-locking pins and the friction coefficient between aluminium alloy plates
141 were carefully measured to provide essential input parameters for numerical modelling and
142 validation.

143

144 Two aluminium alloy plates were connected together by a swage-locking pin, in which a preload
145 was applied by using a hydraulic rivet gun [16]. The preload in the swage-locking pin was
146 measured using a specially devised load cell with a maximum capacity of 30 kN, as shown in Fig.
147 3. During the fastening process, readings from the load cell fluctuated significantly at the
148 beginning then became constant at the end; the stable value was taken as the measured preload of
149 the swage-locking pin. Six repeated measurements were carried out to assess the variability of
150 the results. The measured preloads were 22.3, 24.5, 24.4, 23.8, 23.5 and 23.8 kN, showing a high
151 level of consistency. The mean value of 23.7 kN was taken as the applied preload ($F_{p,C}$) of
152 swage-locking pins and employed in the numerical simulations described in Section 3.

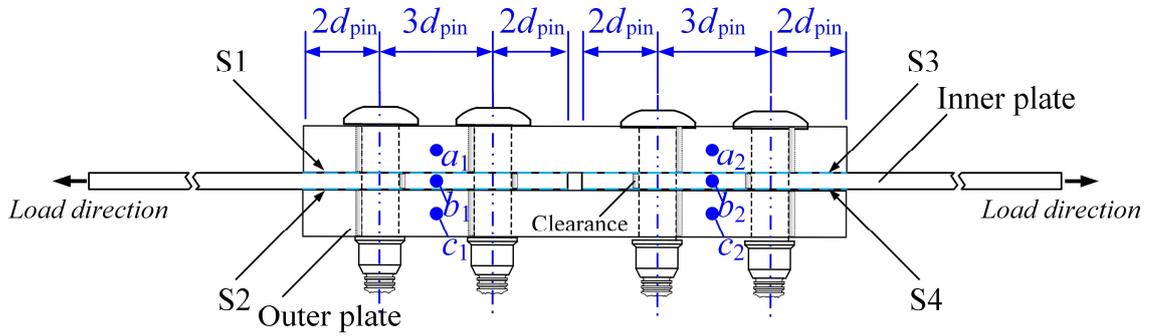
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154 The preload loss of fasteners can have a significant influence on the behaviour of aluminium
155 alloy connections. To quantitatively characterise the preload loss in swage-locking pins, the time
156 histories of preload relaxation of three swage-locking pins were monitored over a period of 12
157 hours using the same measuring instrument as shown in Fig. 3. Note that it has been found that
158 most of the preload relaxation of fasteners takes place within 12 hours after tightening [21-23].
159 The time histories of the relative residual preload (i.e. the ratio of the residual preload $F_{p,r}$ at time
160 after tightening T in hours to the initial preload $F_{p,C}$) of the three investigated swage-locking pins
161 are shown in Fig. 4. It can be observed that the majority of preload relaxation took place within
162 the first 6 hours of tightening, and the preload loss at the 12-hour period is within 2% of the
163 initial preload $F_{p,C}$, which is substantially less than that of the conventional stainless steel and
164 carbon steel bolts [21-23].

181 testing machine – the same as that used in the shear connection tests [16]. The tests were
182 conducted under load control at a constant rate of 1 kN/min, satisfying the requirement (i.e.
183 duration of test approximately 10 min to 15 min) specified in EN 1090-2: 2018 [25]. The relative
184 displacements between the inner and outer plates were measured using a video gauge, via which
185 the displacements at six selected positions, marked onto the side surface of the inner (i.e. Points
186 b_1 and b_2 see Fig. 5) and outer (i.e. Points a_1 , a_2 , c_1 and c_2 see Fig. 5) plates were carefully
187 captured. Two slip tests were performed for each of the four investigated aluminium alloys, with
188 a repeat specimen tested for each aluminium alloy enabling the variability in response between
189 specimens to be evaluated.

190

191 A total of four load-slip curves (i.e. representing the four slip planes S1 and S2 or S3 and S4) can
192 be obtained from each test, while the obtained curves were found to almost coincide with each
193 other. According to EN 1090-2: 2018 [25], the slip load F_{Si} is defined as the load corresponding
194 to a slip of 0.15 mm or the peak load prior to the attainment of a slip of 0.15 mm. The friction
195 coefficient μ can be determined according to Eq. (1), where 4 represents the number of slip
196 planes in the slip tests. Note that the measured average initial preload $F_{p,C}$ was utilised to
197 determine the friction coefficient μ in Eq. (1) as it gives the most conservative friction coefficient
198 value compared to the use of the residual preload at the time when the slip tests were conducted.
199 The obtained friction coefficients for different aluminium alloy plates are summarised in Table 3.
200 It can be observed that the friction coefficients of aluminium alloys are generally lower than
201 those of carbon steels [26].

202
$$\mu = \frac{F_s}{4F_{p,C}} \quad (1)$$



203
204 **Fig. 5.** Geometry of specimens for slip coefficient tests

205
206 **Table 3.** Results of slip coefficient tests

Material	Slip load (kN)					Friction coefficient
	F_{S12}^a	F_{S34}^a	F_{S12}^b	F_{S34}^b	Mean F_s	
6061-T6	16.45	16.45	17.90	14.84	16.41	0.173
6063-T5	12.82	12.82	-	14.96	13.53	0.143
6082-T6	22.27	21.43	18.22	19.64	20.39	0.215
7A04-T6	-	13.22	12.29	12.29	12.60	0.133

207 Note: ^a and ^b represent the value was obtained from the first and repeated test group, respectively.

208

209 **3. Finite element (FE) modelling**

210 Refined three-dimensional finite element (FE) models were developed using the FE package

211 ABAQUS [27] to simulate the behaviour of swage-locking pinned aluminium alloy shear

212 connections under tensile loads. The established FE models were firstly validated against

213 available test results reported in the companion paper [16] and summarised in Section 2, and

214 subsequently adopted for parametric analyses, as described in Section 4. In this section, the details

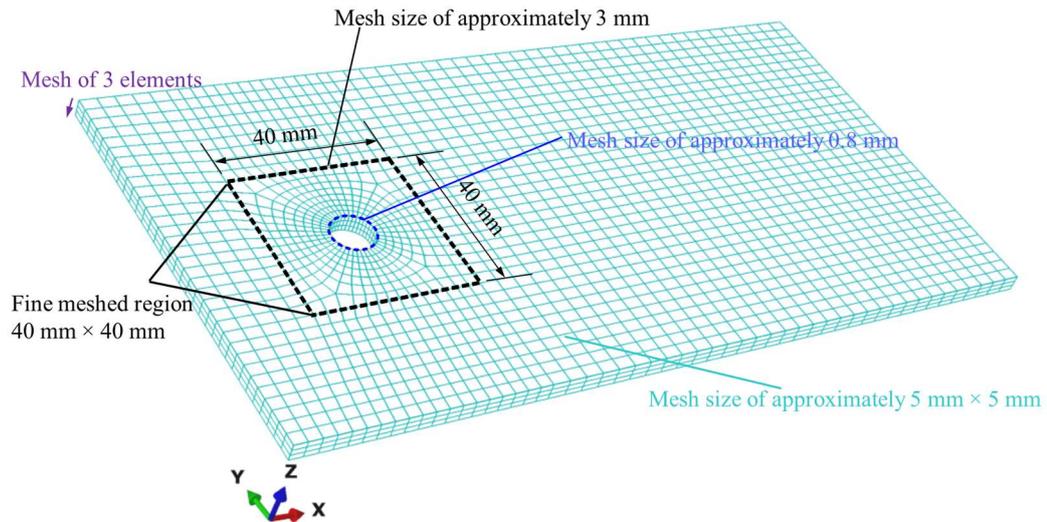
215 of the FE modelling assumptions are presented and the key validation results are summarised.

216

217

218 *3.1 Modelling assumptions*

219 The eight-noded solid element with reduced integration and hourglass control, referred to as
220 C3D8R in the ABAQUS element library [27], was adopted to model both the aluminium alloy
221 plates and the swage-locking pins. The element has been proved to be suitable for modelling
222 shear connections in a number of previous similar studies [28,29], showing advantages in
223 simulating large deformations and material plasticity and in avoiding the shear-locking problem
224 [30]. As the focus of the present study lies on the failure of the aluminium alloy plates, the
225 threaded region of each swage-locking pin was simply modelled as a stainless steel cylinder
226 without the consideration of the complex interaction between the pin and the collar; the
227 load-carrying capacities of the swage-locking pins under various loading scenarios have been
228 experimentally studied in [31] and the explicit modelling of the load-slip behaviour of
229 swage-locking pins in T-stubs has been described in [32]. A preliminary mesh sensitivity analysis
230 was carried out to determine an appropriate discretisation on the aluminium alloy plates which
231 would be both computational efficiency and sufficiently fine to accurately replicate the structural
232 behaviour of swage-locking pinned shear connections. As shown in Fig. 6, finer meshes were
233 employed to a square region (40 mm × 40 mm) located around the bolt hole where deformation is
234 concentrated due to the contact pressure between the bolt shank and the surface of the bolt hole,
235 while a relatively coarse mesh of approximately 5 mm × 5 mm was used in the remaining region
236 of the aluminium alloy plate. A mesh of three elements was used through the thickness of all the
237 modelled aluminium alloy plates, as illustrated in Fig. 6.



238
239 **Fig. 6.** Selected mesh sizes for aluminium alloy plates

240

241 The measured material properties of the four different aluminium alloy grades (i.e. 6061-T6,
242 6063-T5, 6082-T6 and 7A04-T6 see Table 1) and stainless steel swage-locking pins (see Table 4
243 in Reference [31]) were adopted in the developed FE models. The single-stage and two-stage
244 Ramberg-Osgood models developed in [33] were utilised to represent the stress-strain
245 relationship of the aluminium alloys and stainless steels, respectively. Note that the measured
246 engineering stress-strain curves were converted into true stress-logarithmic plastic strain curves
247 before inputting into the numerical models, which take into account the change in geometry of
248 shear connections under static loading. The Von Mises yield criterion with the associated
249 Prandtl-Reuss flow rule [34] were employed for all materials in the FE models.

250

251 In order to accurately replicate the fracture behaviour of the inner plate under large deformation,
252 the in-built ABAQUS damage model for ductile metals was employed to predict the fracture
253 initiation and evolution. It has been found by Bao and Wierzbicki [35] that apart from the stress
254 intensity, the influence of the stress triaxiality should also be considered for a more accurate

255 prediction of the fracture propagation. The stress triaxiality ($\sigma_H/\bar{\sigma}$) is expressed as the ratio of
 256 the hydrostatic stress (σ_H) to the equivalent stress ($\bar{\sigma}$), as given by Eq. (2),

257

$$258 \quad \frac{\sigma_H}{\bar{\sigma}} = \frac{(\sigma_1 + \sigma_2 + \sigma_3)/3}{\sqrt{1/2[(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2]}} \quad (2)$$

259

260 where σ_1 , σ_2 and σ_3 are principal stresses. The fracture strains (ϵ_f) of the four investigated
 261 aluminium alloys under different range of stress triaxialities have been obtained from material
 262 tests carried out by [36-39], as summarised in Table 4; these values were incorporated into the
 263 FE models. The ABAQUS features of element deletion was utilised to simulate the fracture
 264 within the inner plate of the shear connections.

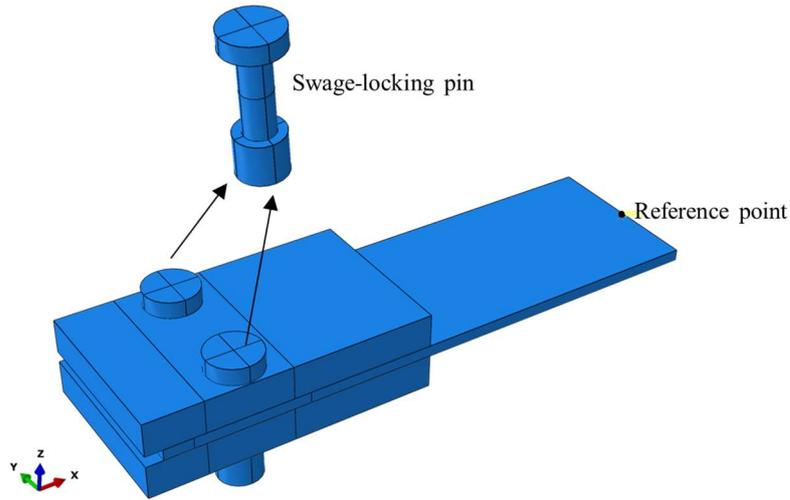
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266 **Table 4.** Fracture strains of the four investigated aluminium alloys under different stress
 267 triaxialities

6061-T6 [36]		6063-T5 [37]		6082-T6 [38]		7A04-T6 [39]	
$\sigma_H/\bar{\sigma}$	ϵ_f	$\sigma_H/\bar{\sigma}$	ϵ_f	$\sigma_H/\bar{\sigma}$	ϵ_f	$\sigma_H/\bar{\sigma}$	ϵ_f
-0.5	1.06	0.1	1.79	0.3	1.16	-0.5	0.88
0.0	0.68	0.15	1.62	0.35	0.96	0	0.31
0.1	0.61	0.2	1.47	0.4	0.80	0.1	0.25
0.2	0.55	0.3	1.21	0.45	0.68	0.2	0.21
0.3	0.49	0.4	0.99	0.5	0.58	0.3	0.18
0.4	0.43	0.5	0.81	0.55	0.50	0.4	0.15
0.5	0.38	0.6	0.67	0.6	0.44	0.5	0.13
0.6	0.32	0.7	0.55	0.65	0.39	0.6	0.12
0.7	0.27	0.8	0.45	0.7	0.35	0.7	0.10
0.8	0.23	0.9	0.37	0.75	0.32	0.8	0.09
1.0	0.14	1.0	0.30	0.8	0.30	1.0	0.08

268

269 The symmetry of the investigated shear connections with respect to geometries, loading and
270 boundary conditions and failure modes was exploited in the FE models by modelling only half of
271 the shear connection with appropriate boundary conditions employed on the surface of symmetry,
272 as shown in [Fig. 7](#); this modelling assumption helps to decrease the computational time. All
273 degrees of freedom of the loading surface of the inner plate were coupled to a concentric
274 reference point, only allowing translation in X-direction (see [Fig. 7](#)), in order to mimic the fixed
275 end boundary condition. The axial load was applied to the reference point by utilising a
276 displacement boundary condition. The swage-locking pins were located eccentrically into the
277 holes in order to eliminate the clearance between the hole and the pin shank [\[16\]](#), enabling direct
278 bearing to be the primary means of load transfer when displacement boundary condition is
279 imposed at the reference point. The preload in the swage-locking pins were simulated using the
280 “Bolt load” option in ABAQUS and the measured average preload as summarised in [Section 2.2](#)
281 were adopted. The ABAQUS “Hard contact” was employed to mimic the interaction at the
282 interfaces between aluminium alloy plates in the normal direction as well as between the surfaces
283 of the plate hole and the pin shank. The interaction at the interfaces between aluminium alloy
284 plates in the tangential direction was simulated by employing a Coulomb friction model, with
285 friction coefficients taken as those measured from the present study, as summarised in [Table 3](#).



286
287 **Fig. 7.** FE model for swage-locking pinned aluminium alloy shear connection
288

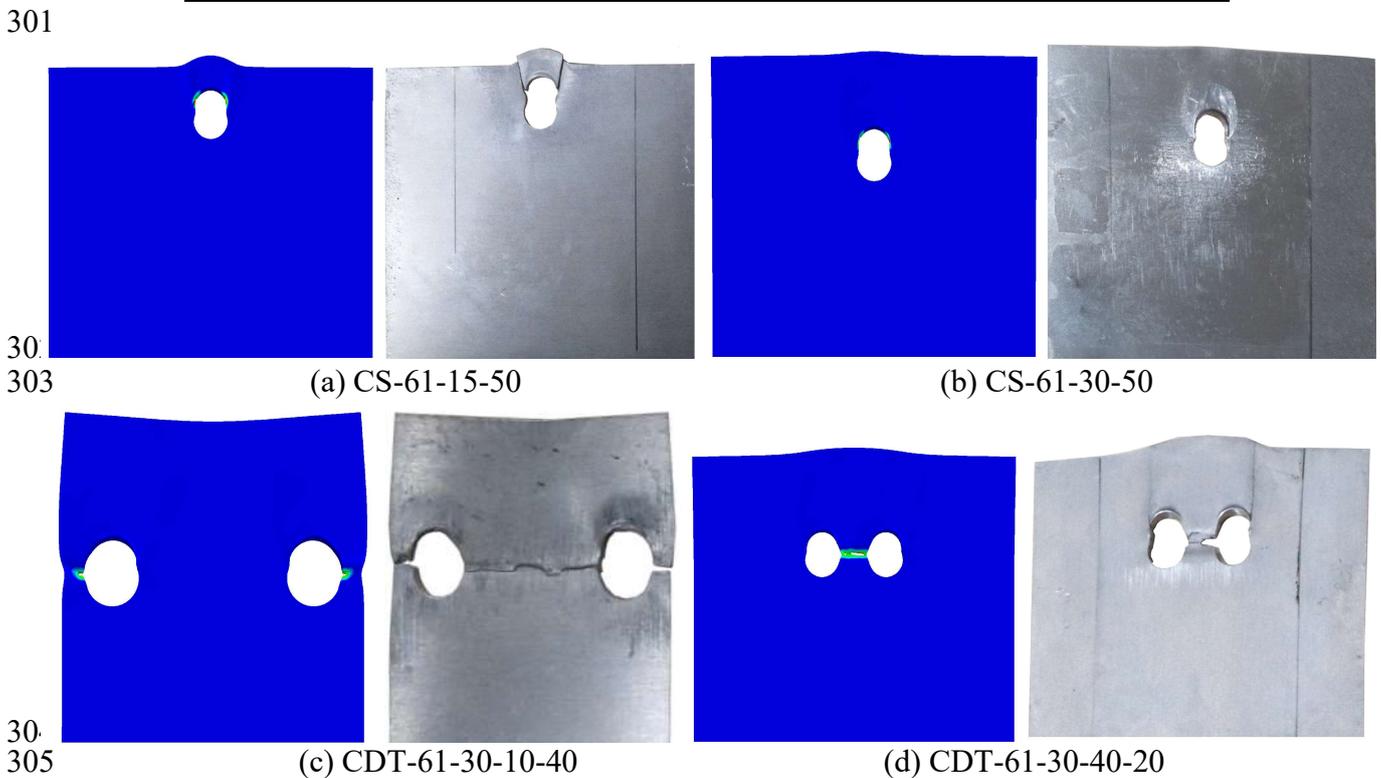
289 3.2 Validation

290 The accuracy of the developed FE models was evaluated by comparing the numerical results
291 including the ultimate resistances, the load-deformation curves and the failure modes with those
292 obtained from the experiments [16]. The ratios of the numerical ultimate resistances (P_{FE}) to the
293 test ultimate resistances (P_{Test}) are reported in Table 5. It can be concluded from Table 5 that the
294 developed FE model can accurately predict the ultimate resistances of swage-locking pinned
295 aluminium alloy shear connections, with the mean value of F_{FE}/F_{Test} for all tested specimens
296 being 0.97 and the corresponding COV (coefficient of variation) being 0.039. The numerical and
297 experimental failure modes and load-deformation curves are also compared and illustrated in
298 Figs. 8 and 9 for typical examples, showing good agreement.

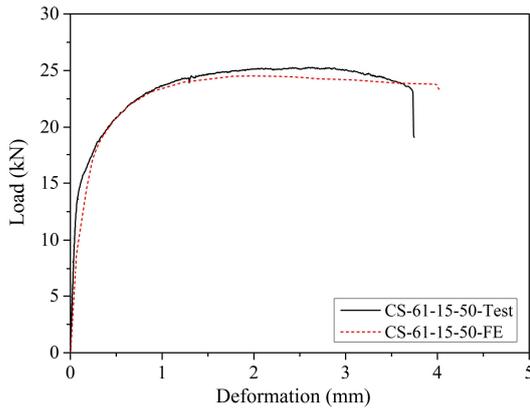
299 **Table 5.** Comparisons of numerical and experimental [16] ultimate resistances for
300 swage-locking pinned aluminium alloy shear connections

Specimen label	F_{Test} (kN)	F_{FE} (kN)	F_{FE}/F_{Test}
CS-61-10-50	20.62	19.13	0.93
CS-61-15-50	25.28	24.43	0.97
CS-61-20-50	31.16	29.39	0.94
CS-61-30-50	41.56	40.02	0.96

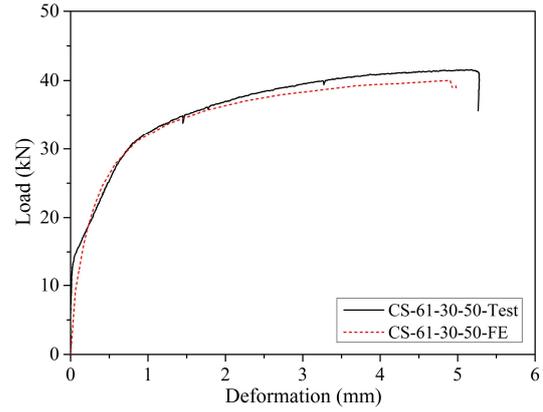
Specimen label	F_{Test} (kN)	F_{FE} (kN)	F_{FE}/F_{Test}
CS-61-40-50	50.17	48.63	0.97
CS-63-15-50	19.63	18.52	0.94
CS-63-40-50	38.59	38.41	1.00
CS-82-15-50	26.83	28.77	1.07
CS-82-40-50	52.53	52.51	1.00
CS-04-15-50	34.52	36.48	1.06
CS-04-40-50	66.69	62.66	0.94
CDT-61-30-10-40	54.11	52.80	0.98
CDT-61-30-15-40	67.54	65.66	0.97
CDT-61-30-20-40	80.36	79.62	0.99
CDT-61-30-30-40	81.77	79.26	0.97
CDT-61-30-40-20	60.68	56.87	0.94
CDT-61-30-40-25	64.37	63.10	0.98
CDT-61-30-40-30	73.19	69.17	0.95
CDT-61-30-40-40	85.69	80.27	0.94
CDL-61-30-50-20	63.36	60.43	0.95
CDL-61-30-50-25	67.50	65.31	0.97
CDL-61-30-50-30	75.45	69.11	0.92
CDL-61-30-50-40	84.49	76.57	0.91
Mean			0.97
COV			0.039



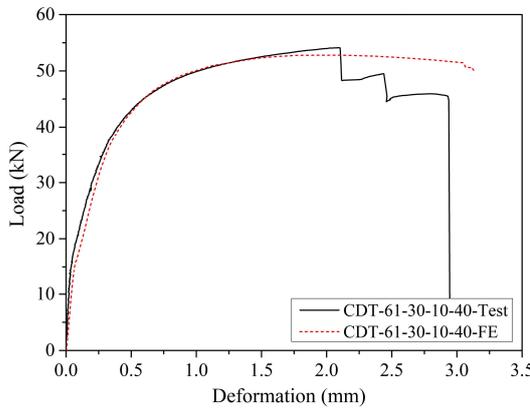
306 **Fig. 8.** Comparisons of numerical and experimental failure modes for typical swage-locking
307 pinned aluminium alloy shear connections



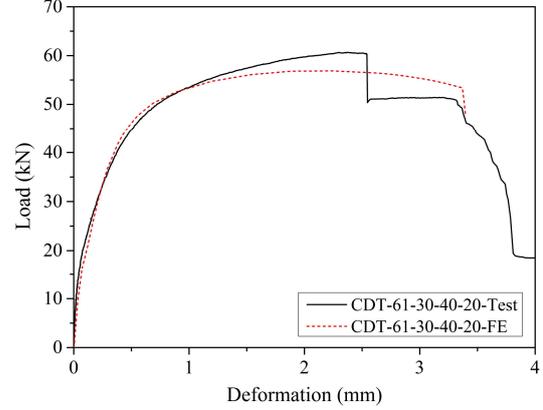
(a) CS-61-15-50



(b) CS-61-30-50



(c) CDT-61-30-10-40



(d) CDT-61-30-40-20

Fig. 9. Comparisons of numerical and experimental load-deformation curves for typical swage-locking pinned aluminium alloy shear connections

4. Parametric studies

4.1 General

Upon validation of the developed FE models, extensive parametric studies were conducted to examine the influence of key parameters, including the friction force, the end distance, the thickness of the inner plate, the pin diameter and the edge distance, on the structural behaviour of swage-locking pinned aluminium alloy shear connections. Four different aluminium alloy grades (i.e. 6061-T6, 6063-T5, 6082-T6 and 7A04-T6) were examined; the stress-strain curves were derived from the Ramberg-Osgood model [40]. The input parameters of the predictive models, including the Young's modulus E , the yield strength (i.e. 0.2% proof stress) $f_{0.2}$ and the ultimate strength f_u , were taken as the measured values reported in Table 1. An efficient computational

324 approach was developed, exploiting ABAQUS interfacing with different programming languages
325 (e.g. Python and Matlab) to automate all the processes (i.e. numerical model creation, job
326 submission and termination and output processing) involved in the parametric studies; the
327 automation strategy helps to facilitate the efficient management of the large amount of numerical
328 analyses in the parametric studies. The results obtained from the parametric studies are discussed
329 in this section and are used as the basis for assessing, and where necessary modifying, the current
330 design equations for swage-locking pinned aluminium alloy shear connections, as presented in
331 [Section 5](#).

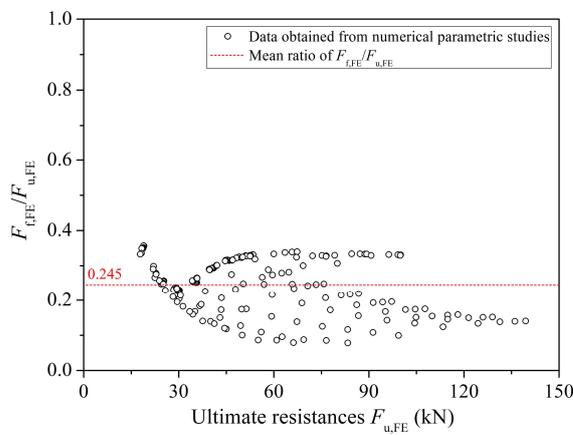
332

333 ***4.2 Influence of friction force***

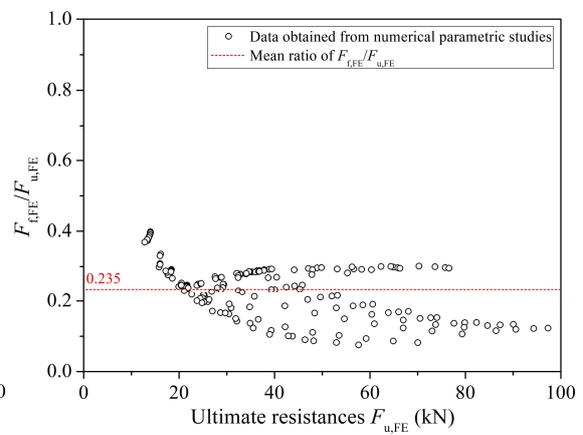
334 As stated in the companion paper [16], the friction between the inner and outer plates contributes
335 to the load-carrying capacity of shear connections. However, the friction force is often difficult to
336 quantify from the experiments, the influence of which is thus investigated numerically in this
337 subsection. The friction force between the inner and outer plates for each aluminium alloy shear
338 connection can be obtained by using the ABAQUS output parameter – the total force due to
339 frictional stress (CFSM). [Fig. 10](#) shows the ratios of the friction forces ($F_{f,FE}$) and the
340 load-carrying capacities ($F_{u,FE}$) obtained from ABAQUS models for all the investigated shear
341 connections. It can be seen from [Fig. 10](#) that the friction forces only account for a relatively small
342 percentage, ranging from 14.0% to 27.5%, of the load carrying capacities of aluminium alloy
343 shear connections.

344 The ratios of $F_{f,FE}/F_{u,FE}$ for the shear connections made of the three investigated normal strength
345 aluminium alloys (i.e. 6000 series alloys) are quite close, with the average value of $F_{f,FE}/F_{u,FE}$

346 being 0.25. With regards to the shear connections made of the high strength aluminium alloy (i.e.
 347 7A04-T6), the ratios of $F_{f,FE}/F_{u,FE}$ are generally smaller than those of normal strength aluminium
 348 alloys; this may be attributed to the following reasons: (1) the smaller friction coefficient (and
 349 thus the smaller friction forces per pin) for 7A04-T6 plates, as indicated in Table 3; and (2) the
 350 higher failure load $F_{u,FE}$ of shear connections made of the high strength aluminium alloy,
 351 resulting in the friction force being a lower proportion of the specimen failure load (i.e. a lower
 352 ratio of $F_{f,FE}/F_{u,FE}$). On the basis of the above findings, it may be concluded that the
 353 swage-locking pinned aluminium alloy shear connections may be designed as bearing-type
 354 connections provided that the surfaces of the aluminium plates are as-built (not specifically
 355 treated). A similar suggestion was also made by Deng et al. [41] based on the studies of single
 356 swage-locking pinned aluminium alloy shear connections.

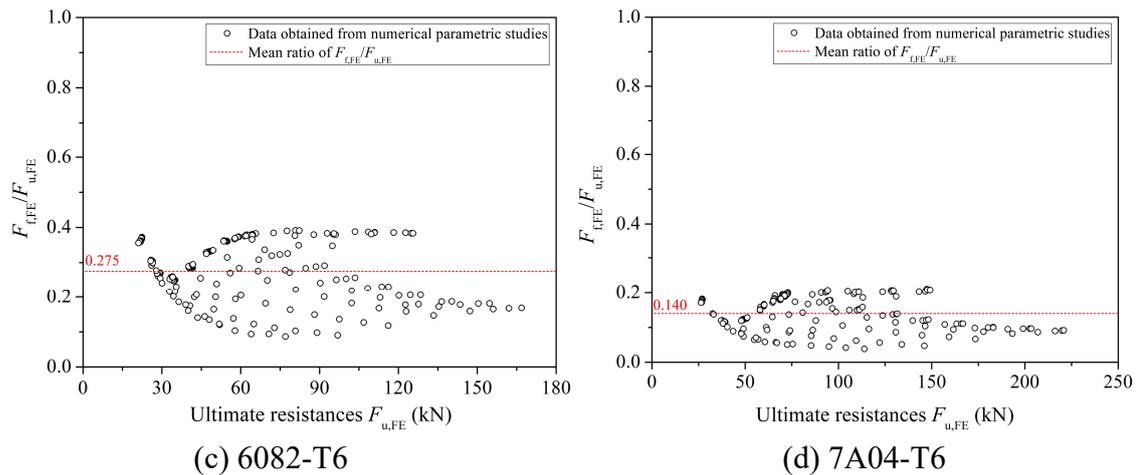


(a) 6061-T6



(b) 6063-T5

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 358
 359



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364

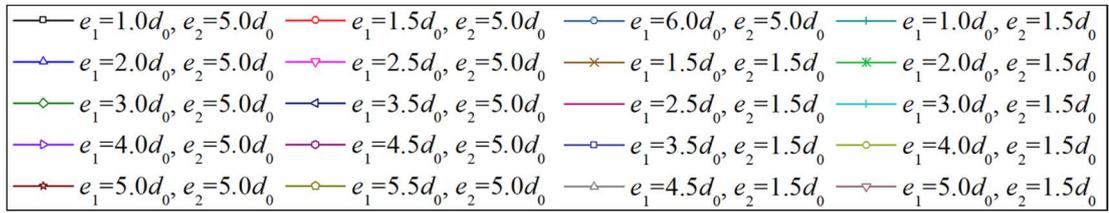
Fig. 10. The friction contribution (at failure load) of aluminium alloy shear connections made of different aluminium alloy grades

365 To improve the accuracy of the existing design approaches for aluminium alloy shear
366 connections, the friction contribution resulted from preloaded swage-locking pins should be
367 properly accounted for. The friction-deformation histories of typical aluminium alloy shear
368 connections are illustrated in Fig. 11. The specimens in Fig. 11 include shear connections with
369 edge distance of $5.0d_0$ and end distances ranging from $1.0d_0$ to $6.0d_0$ as well as shear connections
370 with edge distance of $1.5d_0$ and end distances ranging from $1.0d_0$ to $5.0d_0$. It can be seen from Fig.
371 11 that the friction force increases dramatically and almost linearly with the deformation to a
372 peak value where the slippage occurs. After the occurrence of slippage, direct bearing becomes
373 the primary means of the load transfer, leading to a decrease of the friction force for connections
374 with different geometric parameters. For shear connections with large end and edge distances (i.e.
375 $e_1 > 2.5d_0$ and $e_2 = 5.0d_0$), the friction load begins to increase again at a certain deformation (i.e.
376 the inflection point as indicated in Fig. 11) and continues to increase with increasing deformation;
377 the greater the end distance, the faster the friction increases. The increase of the friction force for
378 these shear connections may be attributed to the increase of the preload in swage-locking pins,

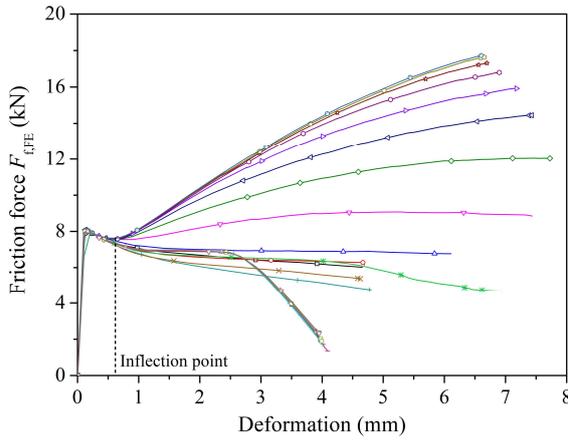
379 which results from the material protrusion downstream of the pin hole, as shown in Fig. 12.

380

381

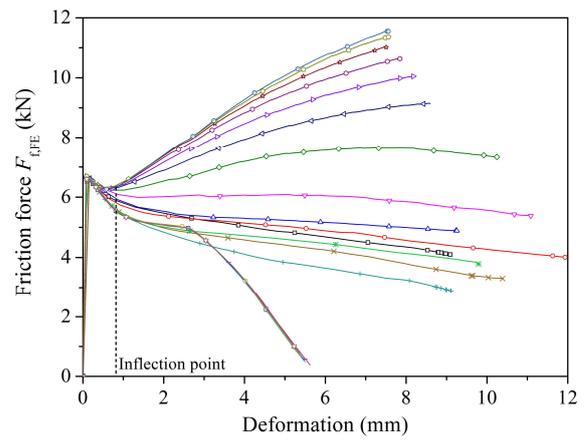


382



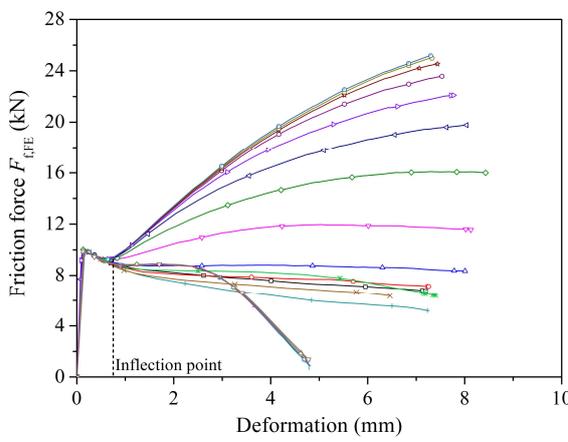
(a) 6061-T6

383



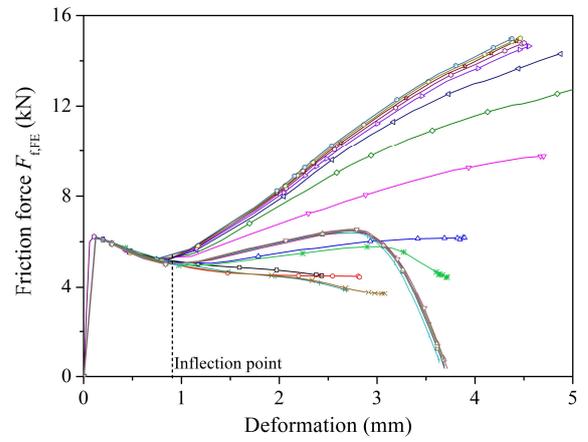
(b) 6063-T5

384



(c) 6082-T6

385



(d) 7A04-T6

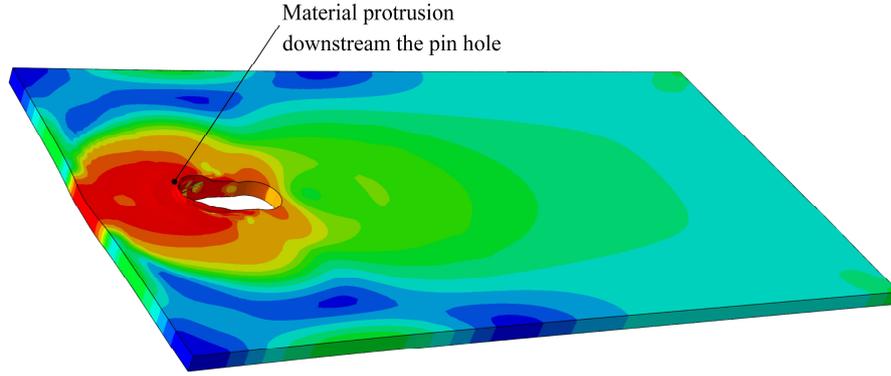
386

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388

389

Fig. 11. Friction-deformation histories of shear connections with varied end and edge distances made of different aluminium alloys



390
 391 **Fig. 12.** Material protrusion downstream of the pin hole during loading for connections with
 392 large end and edge distances
 393

394 Considering the above analysis, the resistance of the investigated aluminium alloy shear
 395 connections includes two components: the primary resistance from aluminium alloy plates F_p and
 396 the friction contribution generated by preloaded swage-locking pins F_{fc} , as expressed in Eq. (3).

$$397 \quad F_u = F_{fc} + F_p \quad (3)$$

398 The friction contribution generated by preloaded swage-locking pins F_{fc} can be calculated by Eq.
 399 (4) for connections with different geometric parameters,

$$400 \quad F_{fc} = \alpha_{fc} \cdot 2n_p \mu F_{p,c} \quad (4)$$

401
 402
 403 where n_p is the number of the swage-locking pins in the shear connection, $F_{p,c}$ is the preload of
 404 the swage-locking pin and α_{fc} is the coefficient of friction contribution. Note that the increased
 405 friction load due to the material protrusion downstream of the pin hole is not considered for
 406 connections with large end and edge distances, leading to somewhat conservative predictions of
 407 F_{fc} for those connections. The values of α_{fc} have been calibrated for swage-locking pinned shear
 408 connections made of 6061-T6, 6063-T5, 6082-T6 and 7A04-T6 aluminium alloys based on the

409 numerically obtained data of the friction forces. The frictional force values in this study were
410 determined by identifying the inflection point of the frictional force curve, as illustrated in Fig.
411 11. It is recommended that α_{fc} of 0.90 for normal strength aluminium alloys and 0.83 for high
412 strength aluminium alloys can be applied in Eq. (4) for the determination of friction contribution
413 in aluminium alloy shear connections.

414

415 **4.3 Influence of end distance ratio**

416 The experiments on aluminium alloy connections with single swage-locking pin [16] showed that
417 for connections made of the same material with the same width b and thickness t , increasing the
418 end distance e_1 led to a failure mode transition from shear-out to bearing. The influence of the
419 end distance e_1 on the response of swage-locking pinned aluminium alloy shear connections is
420 investigated numerically in this subsection. A set of FE models for the double shear
421 configuration with single swage-locking pin, as shown in Type 1 of Fig. 1, was developed with
422 the inner plate thickness of 4 mm and the outer plate thickness of 12 mm. The diameters of the
423 pin d_{pin} and the hole d_0 were set equal to 9.66 mm and 10.5 mm, respectively. The edge distance
424 ratio e_2/d_0 was kept constant at 5.0, while for the end distance ratio e_1/d_0 , a total of 11 values (i.e.
425 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, 5.0, 5.5 and 6.0) were used. The four different aluminium
426 alloy grades (i.e. 6061-T6, 6063-T5, 6082-T6 and 7A04-T6) were investigated, leading to a total
427 of 44 FE models being investigated.

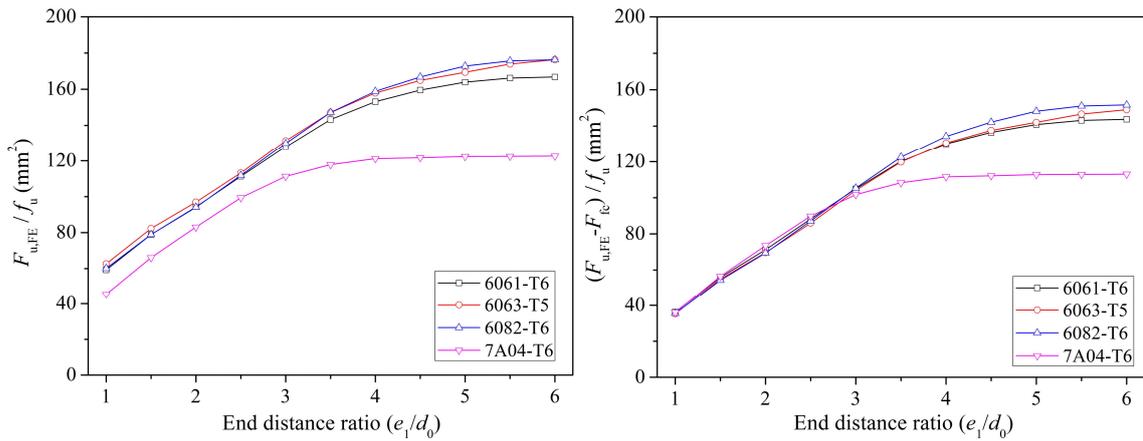
428

429 Fig. 13 shows comparisons between the results obtained for the above mentioned connections.

430 The numerically obtained resistances $F_{u,FE}$ are normalised by the ultimate strength of the material

431 f_u in Fig. 13(a) since both the ultimate shear-out and bearing resistances of a single-bolt shear
432 connection are found to be proportional to f_u . The normalised ultimate resistances $F_{u,FE}/f_u$ of the
433 44 numerical specimens are plotted against the end distance ratio e_1/d_0 in Fig. 13(a). It can be
434 seen from Fig. 13(a) that the normalised ultimate resistances of shear connections made of
435 normal strength aluminium alloys (i.e. 6061-T6, 6063-T5 and 6082-T6) increase linearly with the
436 end distance ratio e_1/d_0 until the threshold value of e_1/d_0 (about 3.0) is reached, after which the
437 increasing rate of the normalised ultimate resistances gradually decreases until reaching a
438 constant value at e_1/d_0 approximately equal to 5.0. While for connections made of the high
439 strength aluminium alloy, their normalised ultimate resistances are lower than those made of
440 normal strength aluminium alloys and become nearly constant (still lower than those made of
441 normal strength aluminium alloys) at $e_1/d_0 \approx 3.0$. The lower normalised ultimate resistances for
442 connections made of high strength aluminium alloy 7A04-T6 failing in bearing (i.e. $e_1/d_0 > 3.0$)
443 can be attributed to the lower fracture strain of the high strength aluminium alloy and hence the
444 earlier failure downstream the pin hole of 7A04-T6 plate in bearing, while the lower normalised
445 ultimate resistances for connections made of high strength aluminium alloy 7A04-T6 failing in
446 shear-out (i.e. $e_1/d_0 < 3.0$) may result from the lower friction contribution due to the smaller
447 friction coefficient between 7A04-T6 plates. This is evidenced by Fig. 13(b), where the friction
448 forces $F_{f,FE}$ are eliminated from the ultimate resistances $F_{u,FE}$ before normalising to f_u , and the
449 normalised term of $(F_{u,FE} - F_{f,FE})/f_u$ is plotted against the end distance ratio e_1/d_0 . It can be seen
450 from Fig. 13(b) that the results of $(F_{u,FE} - F_{f,FE})/f_u$ for connections made of high strength
451 aluminium alloy 7A04-T6 failing in shear-out (i.e. $e_1/d_0 < 3.0$) coincide with those made of
452 normal strength aluminium alloys, indicating that the material ductility has negligible influence

453 on the shear-out resistances of swage-locking pinned aluminium alloy shear connections. Based
 454 on the above discussion, it can thus be concluded that the friction contribution generated by
 455 preloaded swage-locking pins should be duly considered in predicting the shear-out resistances of
 456 such connections.
 457



458

(a) $F_{u,FE}/f_u$ vs. e_1/d_0

459

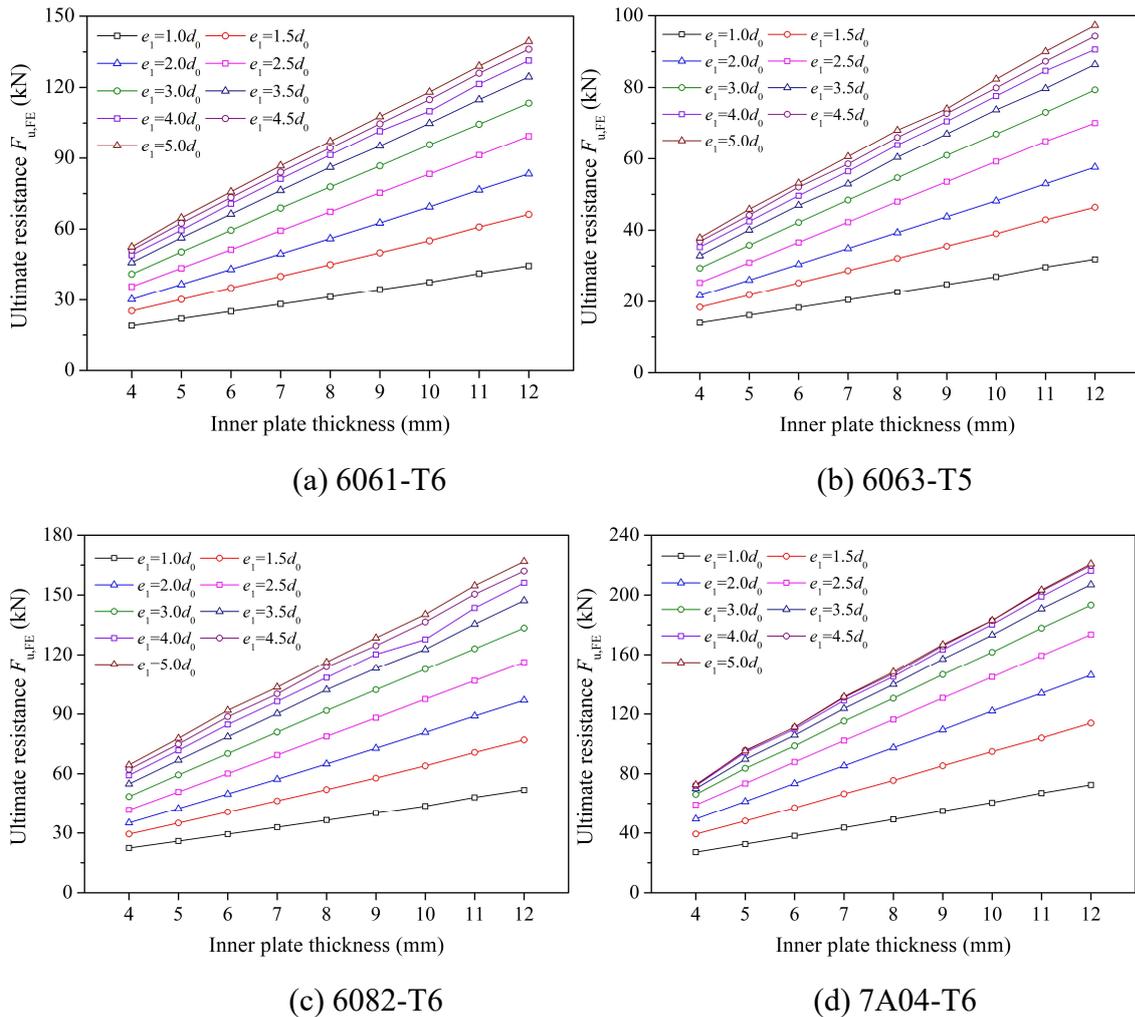
(b) $(F_{u,FE} - F_{f,FE})/f_u$ vs. e_1/d_0

460 **Fig. 13.** The influence of end distance on the resistances of swage-locking pinned aluminium
 461 alloy shear connections

462 4.4 Influence of inner-plate thickness

463 A series of parametric studies on connections with single swage-locking pin in double shear and
 464 inner plate thicknesses ranging from 4 mm to 12 mm with 1 mm interval were investigated. The
 465 arrangement of the FE models is shown in Type 1 of Fig. 1. The diameters of the pin d_{pin} and the
 466 hole d_0 were set equal to 9.66 mm and 10.5 mm, respectively. The edge distance ratio e_2/d_0 was
 467 kept constant at 5.0, while for the end distance ratio e_1/d_0 , a total of 9 values (i.e. 1.0, 1.5, 2.0, 2.5,
 468 3.0, 3.5, 4.0, 4.5 and 5.0) were used. The four different aluminium alloy grades (i.e. 6061-T6,
 469 6063-T5, 6082-T6 and 7A04-T6) were investigated, leading to a total of 324 FE models being
 470 investigated in this subsection. For connections with the inner plate thickness smaller than or
 471 equal to 10 mm, the outer plate thickness of 12 mm was used, while for connections with a

472 thicker inner plate thickness of 11 mm or 12 mm, the outer plate thickness was increased to 16
 473 mm to ensure that failure and the associated deformations were concentrated in the inner
 474 aluminium alloy plates. The results of the parametric studies are shown in Fig. 14, where the
 475 ultimate resistances of the investigated swage-locking pinned aluminium alloy shear connections
 476 are plotted against their corresponding inner plate thicknesses.



481 **Fig. 14.** The influence of inner plate thickness on the ultimate resistances of swage-locking
 482 pinned aluminium alloy shear connections

483

484 It can be seen from Fig. 14 that, for the investigated swage-locking pinned aluminium alloy shear
 485 connections with different aluminium alloy grades and end distance ratios, the ultimate
 486 resistances increase linearly with increasing value of the inner plate thicknesses. It can also be

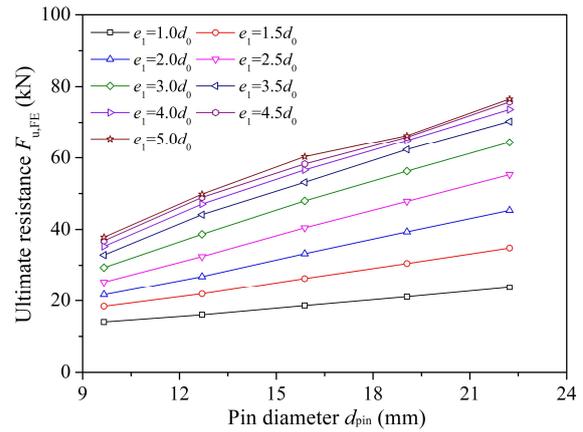
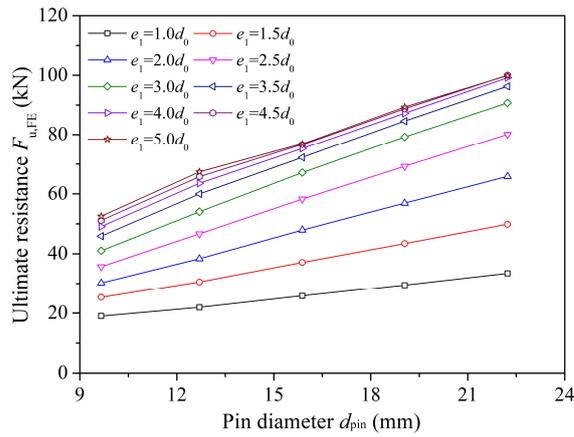
487 observed from Fig. 14 that for swage-locking pinned aluminium alloy shear connections made of
488 7A04-T6 and with the same inner plate thickness, their ultimate resistances barely increase when
489 the end distance ratio e_1/d_0 is greater than 3.0, which confirms the conclusion drawn in
490 Subsection 4.3.

491

492 *4.5 Influence of pin diameter*

493 The influence of the pin diameter d_{pin} on the ultimate resistances of swage-locking pinned
494 aluminium alloy shear connections is investigated in this subsection. Since the granularity of the
495 diameter of commonly used swage-locking pins is 1/16 inch, six pin diameters of 9.66 mm (6/16
496 inch), 12.70 mm (8/16 inch), 15.88 mm (10/16 inch), 19.05 mm (12/16 inch) and 22.23 mm
497 (14/16 inch) were selected in the parametric studies. The diameter of the pin hole was set as 1
498 mm larger than the nominal pin diameter d_{pin} and rounded to the nearest 0.5 mm. It has been
499 found in [43] that the ultimate resistances of shear connections are influenced by the pin diameter
500 d_{pin} , while the hole diameter is considered to have negligible influence; therefore the parameter of
501 the hole diameter was not considered in the parametric studies. Different end distances and alloy
502 types as those in Section 4.4 were also considered herein, while the thicknesses of the inner and
503 outer plates of the shear connections were set equal to 4 mm and 12 mm, respectively. The
504 influence of the pin diameter on the ultimate resistance of swage-locking pinned aluminium alloy
505 shear connections is illustrated in Fig. 15, where a linear relationship between the pin diameter
506 d_{pin} and the resistance of the connections with the same end distance ratio was identified.

507

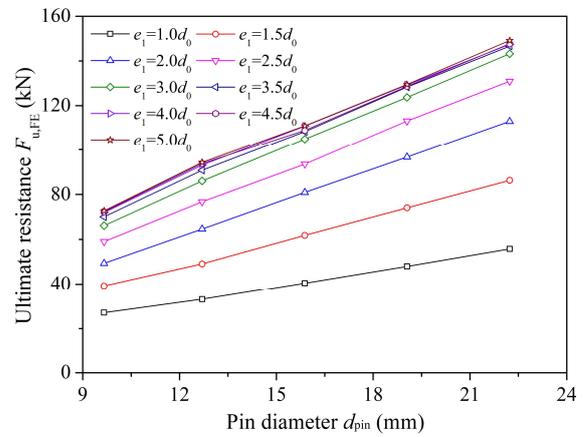
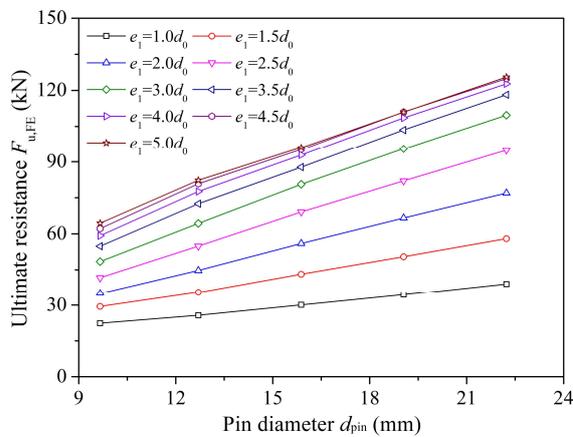


508

509

(a) 6061-T6

(b) 6063-T5



510

511

(c) 6082-T6

(d) 7A04-T6

Fig. 15. The influence of pin diameter on the ultimate resistances of swage-locking pinned aluminium alloy shear connections

512

513

514 515 4.6 Influence of edge distance ratio

516 A total of 360 numerical simulations were performed to investigate the influence of the edge

517 distance ratio e_2/d_0 on the ultimate resistances of aluminium alloy connections with single

518 swage-locking pin in double shear. The inner plate and outer plate thicknesses of the modelled

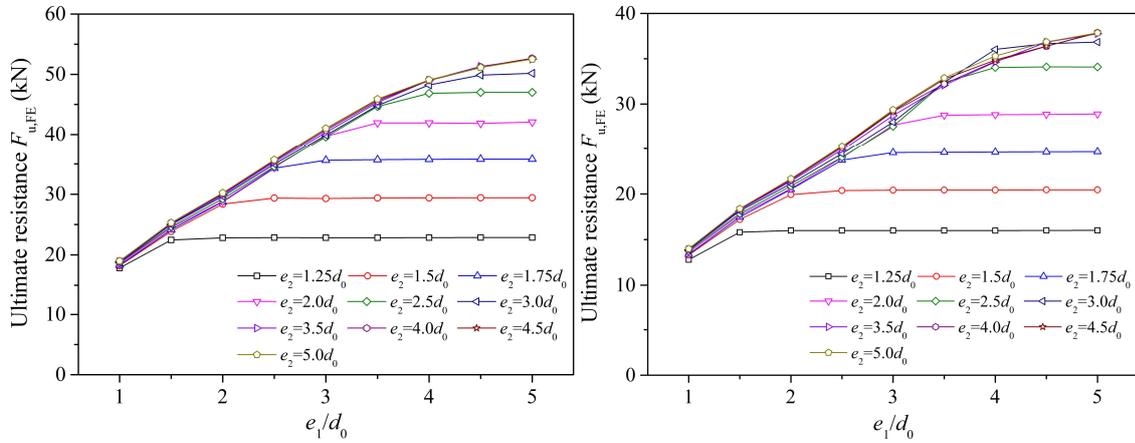
519 specimens were kept constant at 4 mm and 12 mm, respectively, while the diameters of the pin

520 d_{pin} and the hole d_0 were set equal to 9.66 mm and 10.5 mm, respectively. For FE models with

521 the same edge distance ratio e_2/d_0 , nine end distance ratios e_1/d_0 ranging from 1.0 to 5.0 with 0.5

522 intervals were considered. The ultimate resistances of swage-locking pinned aluminium alloy

523 shear connections obtained from the parametric studies are grouped by the edge distance ratio
 524 e_2/d_0 , and plotted against the end distance ratio e_1/d_0 in Fig. 16.

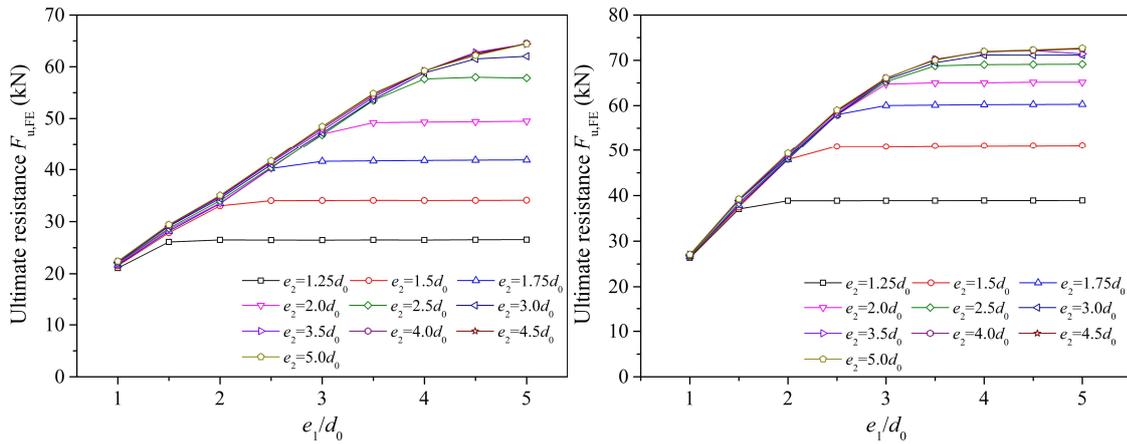


525

526

(a) 6061-T6

(b) 6063-T5



527

528

(c) 6082-T6

(d) 7A04-T6

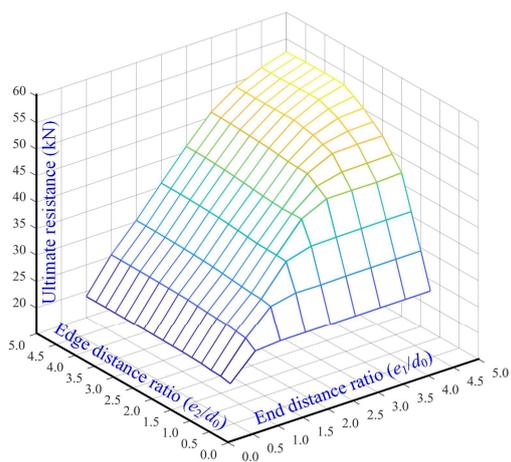
529 **Fig. 16.** The influence of edge distance ratio on the ultimate resistances of swage-locking pinned
 530 aluminium alloy shear connections

531

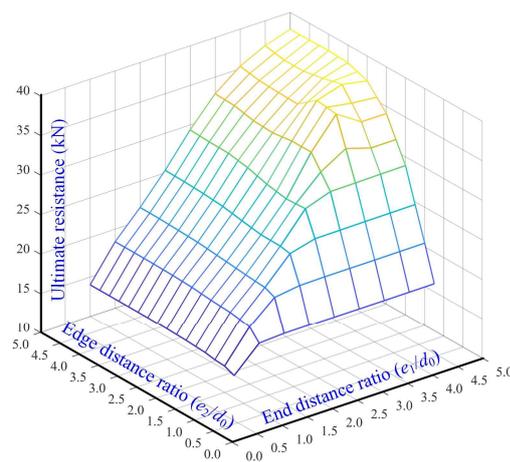
532 It can be seen from Fig. 16 that for connections made of the same aluminium alloy with the same
 533 edge distance ratio e_2/d_0 , their ultimate resistances trend to increase with increasing end distance
 534 ratio e_1/d_0 until reaching an almost constant peak value at a threshold end distance ratio e_1/d_0 .

535 The threshold end distance ratio e_1/d_0 is shown to decrease with reducing edge distance ratio
 536 e_2/d_0 . For connections with relatively large edge distance ratios e_2/d_0 (i.e. $e_2/d_0 \geq 3.0$), the
 537 increasing of the edge distance ratio e_2/d_0 fails to result in any improvement in their ultimate

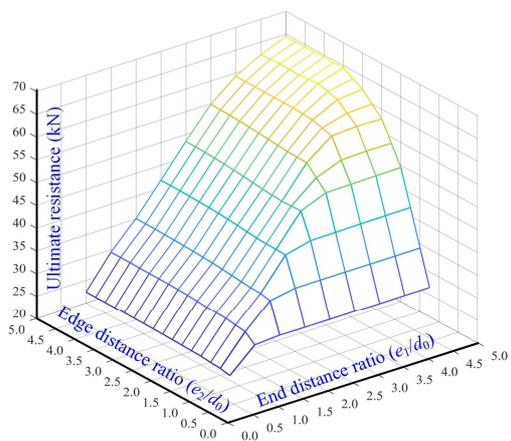
538 resistances, as shown in Fig. 16. Three-dimensional diagrams are shown in Fig. 17 to reveal the
539 coupled influence of the edge distance ratio e_2/d_0 and end distance ratio e_1/d_0 on the ultimate
540 resistances of swage-locking pinned aluminium alloy shear connections, reflecting the
541 observations made above.



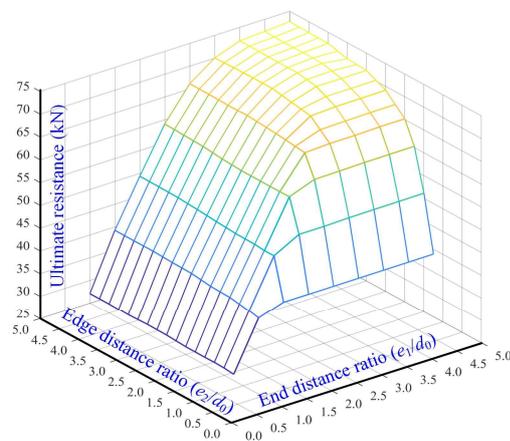
542
543 (a) 6061-T6



(b) 6063-T5



544
545 (c) 6082-T6



(d) 7A04-T6

546 **Fig. 17.** The coupled influence of edge distance and end distance ratios on the ultimate
547 resistances of swage-locking pinned aluminium alloy shear connections

548

549 5. Design recommendations

550 From the existing test data on swage-locking pinned aluminium alloy shear connections [16], it

551 has been observed that the current design methods can be rather conservative for predicting the
552 resistances of these shear connections failing in bearing or shear-out. In this section, new design
553 recommendations have been made for swage-locking pinned aluminium alloy shear connections
554 on the basis of the experimental and numerical results.

555

556 *5.1 Design equations for shear-out resistance*

557 When the shear-out failure mode governs the ultimate resistance of the connection, the material
558 downstream of the pin hole reaches the ultimate shear strength [44] and the shear length L_v is
559 critical in determining the shear-out resistances. Different definitions of the shear length L_v have
560 been made, namely (1) the gross shear length L_{gv} [45], (2) the net shear length L_{nv} [46] and (3) the
561 active shear length L_{av} , as graphically illustrated in Figs. 18 (a)-(c) respectively. The active shear
562 length L_{av} is assumed to be equal to the average value of the gross shear length L_{gv} and the net
563 shear length L_{nv} . This assumption was proposed by [44] and has been verified by a number of
564 studies on bolted steel shear connections [47-49], but the location of the active shear plane
565 requires modification for applicability to the particularly investigated swage-locking pinned
566 aluminium alloy shear connections.

567

568 The following equation is proposed for the prediction of the ultimate shear-out resistances of
569 swage-locking pinned aluminium alloy shear connections:

570

$$571 \quad F_{SO} = 1.2L_{pv}t f_u + F_{fc} \quad (5)$$

572

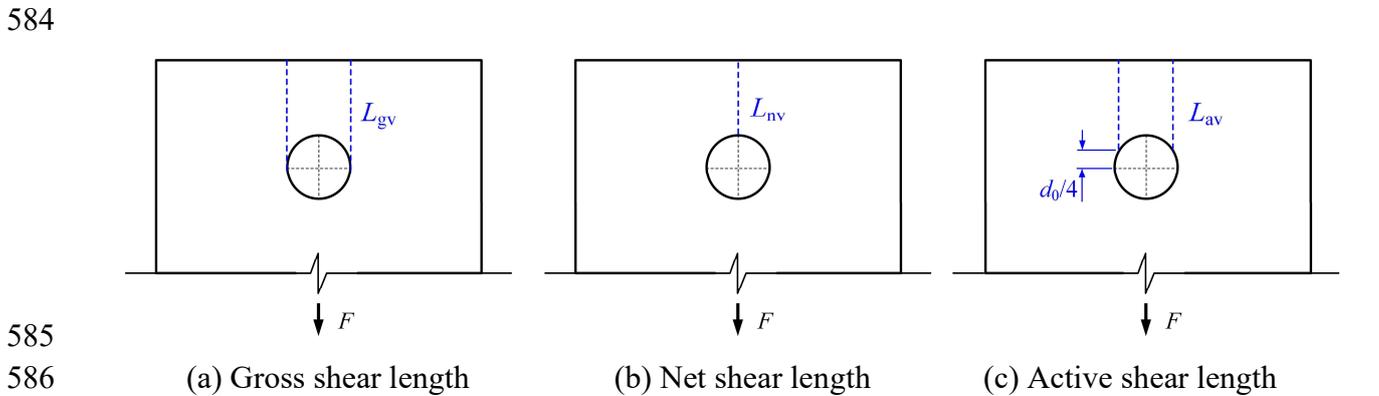
573 where F_{fc} is the friction contribution generated by preloaded swage-locking pins, and L_{pv} is the
 574 practical shear length which can be expressed in terms of a proportionality coefficient k_{pv} and the
 575 end distance e_1 , as given by Eq. (6). The proportionality coefficient k_{pv} was calibrated based on a
 576 large set of numerical data by means of regression analysis, as shown in Fig. 19. Good agreement
 577 can be seen between the numerical data points and the fitted line, with the parameter k_{pv} taken
 578 equal to 0.74. Substituting Eq. (6) into Eq. (5) leads to the proposed equation (i.e. Eq. (7)) for
 579 swage-locking pinned aluminium alloy shear connections failing in shear-out failure.

580

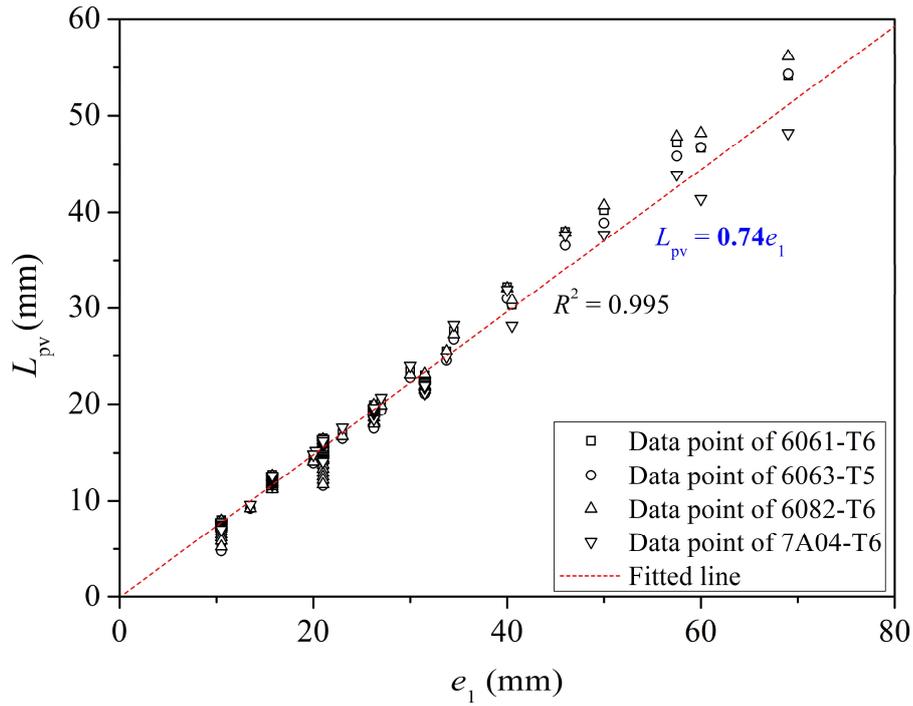
$$581 \quad L_{pv} = k_{pv} e_1 \quad (6)$$

582

$$583 \quad F_{SO,Rd} = 0.89 e_1 t f_u + F_{fc} \quad (7)$$



587 **Fig. 18.** Different definitions of shear lengths



588

589

Fig. 19. Calibration of k_{pv} based on a total of 379 numerical parametric data

590

591

The experimental and numerical results ($F_{SO, test/FE}$) were utilised to assess the accuracy of the

592

proposed design equation (Eq. (6)), as well as those specified in European (EC9) [18], American

593

(AA 2015) [7] and Australian/Zelanian (AS/NZS 1664.1:1997) [19]. Since the Chinese code [20]

594

does not allow the use of shear connections with end distance smaller than $2d_0$, it was not

595

assessed and compared with other design specifications herein. Detailed information regarding

596

the design equations for the bearing and shear-out resistances of aluminium alloy connections

597

specified in different design specifications can be found in Section 3.3 of Reference [16]. Note

598

that as the current codified design methods [18-19] do not explicitly account for the friction

599

contribution F_{fc} , the experimentally and numerically obtained resistances with the F_{fc} excluded

600

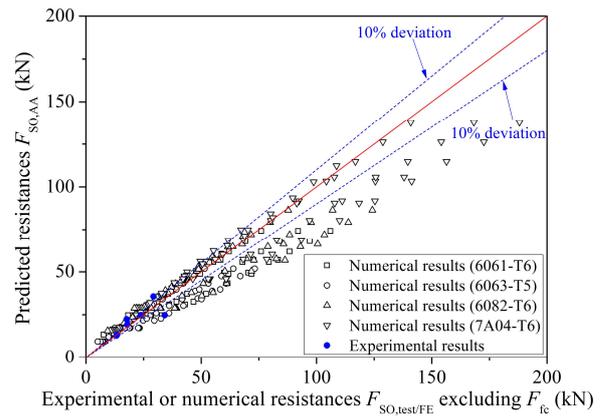
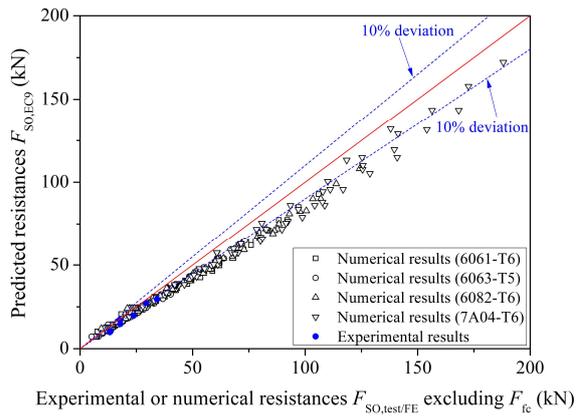
were employed and compared with the predicted resistances according to the three codified

601

design methods, as shown in Figs. 20 (a)-(c). The experimental and numerical resistances without

602 and with the F_{fc} were compared with the proposed design approach, as shown in Figs. 20 (d) and
603 (e), respectively. Key statistical values, including the mean and COV of the predicted-to-test/FE
604 results, determined using different design approaches, are summarised in Table 6.

605
606 It can be seen from Fig. 20 (a) and Table 6 that, despite a relatively low level of scatter, the EC9
607 [18] predictions are generally conservative due to the adoption of a smaller proportionality
608 coefficient for shear length (i.e. equivalent to 0.69, however this coefficient is not explicitly
609 expressed in EC9 [18]) than the calibrated value of k_{pv} in the proposed Eq. (6). The mean value
610 of $F_{SO,AA}/F_{SO,test/FE}$ is very close to unity, but the design provisions in AA 2015 [7] overestimate
611 the resistances of shear connections with small e_1 while underestimate those with large e_1 , hence
612 yielding a high degree of scatter in the prediction of shear-out resistances. The shear-out design
613 equation specified in AS/NZS 1664.1:1997 [19] is quite similar to that set out in AA 2015 [7],
614 except for a lower upper limit on the bearing stress [16], leading to more conservative predictions
615 $F_{SO,AS/NZS}$ compared to those of $F_{SO,AA}$. Compared to the current codified design equations, the
616 proposed design equations (i.e. Eq. (6) and Eq. (7) for shear out resistances with and without
617 consideration of the friction contribution) yield much more accurate and less scattered resistance
618 predictions, despite the friction contribution is considered or not.

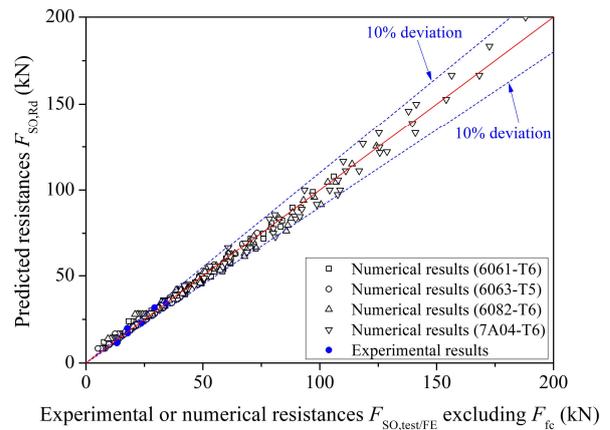
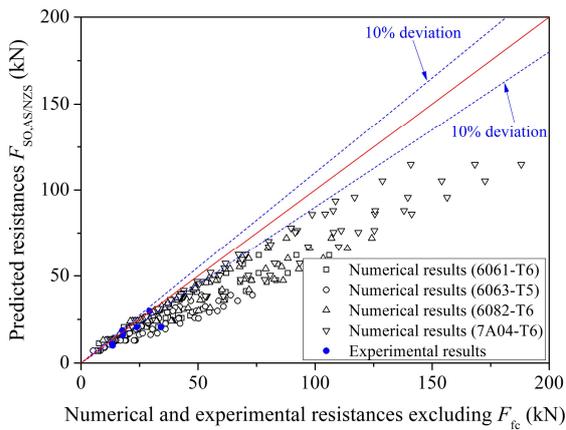


619

620

(a) EC9 [18]

(b) AA 2015 [7]

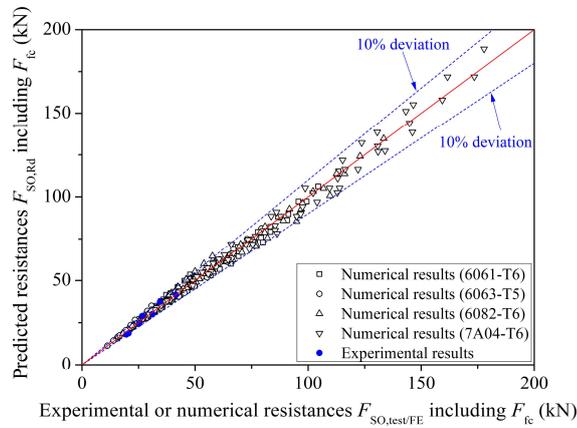


621

622

(c) AS/NZS 1664.1:1997 [19]

(d) Proposed method excluding friction



623

624

(e) Proposed method including friction

Fig. 20. Comparison of experimental and numerical results with resistance predictions from different design methods for shear-out failure mode

627

628

629

630

631

632 **Table 6.** Assessment of different design methods for aluminium shear connections failing by
 633 shear-out

	$F_{SO,pred}/(F_{SO,test/FE} - F_{fc})$				$F_{SO,pred}/F_{SO,test/FE}$
	EC9	AA 2015	AS/NZS	Proposed method	Proposed method
Mean	0.89	1.01	0.82	1.03	1.01
COV	0.083	0.19	0.20	0.085	0.045

634

635 **5.2 Design equations for bearing resistance**

636 Current codified equations for calculating the bearing resistances of shear connections follow the
 637 same basic format, as given by Eq. (8):

638

$$639 \quad F_B = C_B d_{pin} t f_u \quad (8)$$

640

641 where C_B is the bearing coefficient. For bolted connections made of less ductile metal materials,
 642 such as cold-reduced steel [50] and high strength aluminium alloy (i.e. 7A04-T6), their bearing
 643 resistances remain approximately constant with increasing end distance ratio (see Fig. 13), hence
 644 C_B can be taken as a constant value. However, for bolted connections made of ductile metal
 645 materials such as stainless steel [51] and the normal strength aluminium alloy (i.e. 6061-T6,
 646 6063-T5 and 6082-T6), their bearing resistances generally increase with increasing end distance
 647 ratio; C_B can therefore be expressed in terms of the end distance ratio to reflect this observation.

648 The current paper adopts the format of Eq. (8) for determining the bearing resistances of
 649 swage-locking pinned aluminium alloy shear connections, with the bearing coefficient C_B
 650 calibrated based on the experimentally and numerically obtained data on connections with $e_1 \geq$
 651 $3.0d_0$ that are governed by bearing failure. The proposed bearing resistance design expressions

652 are given by Eqs. (9a) and (9b) for swage-locking pinned shear connections made of normal
 653 strength aluminium alloy (i.e. 6061-T6, 6063-T5 and 6082-T6) and high-strength aluminium
 654 alloy (i.e. 7A04-T6), respectively,

655

$$656 \quad F_{B,Rd} = C_{B,NSA} d_{pin} t f_u + F_{fc} \quad \text{for normal strength aluminium alloy} \quad (9a)$$

657

$$658 \quad F_{B,Rd} = C_{B,HSA} d_{pin} t f_u + F_{fc} \quad \text{for high strength aluminium alloy} \quad (9b)$$

659

660 in which $C_{B,NSA}$ and $C_{B,HSA}$ are the proposed bearing coefficients for normal and high strength
 661 aluminium alloys, respectively:

662

$$663 \quad C_{B,NSA} = \min [0.52(e_1/d_0) + 1.28, 3.62] \quad \text{for } e_1/d_0 \geq 3.0, e_2/d_0 > 3.0 \quad (10a)$$

$$664 \quad C_{B,HSA} = 2.83 \quad \text{for } e_1/d_0 \geq 3.0, e_2/d_0 > 3.0 \quad (10b)$$

665

666 The accuracy of the bearing coefficients employed in different design standards and those
 667 adopted in proposed design approaches by Teh et al.[42], Zhang [43] and the authors is assessed
 668 by comparisons against experimental and numerical data, as shown in Fig. 21. A bearing
 669 coefficient C_B of 3.5 was employed in Teh's proposal [42] while Zhang [43] suggested the use of
 670 the following equation for determining C_B for aluminium alloy shear connections:

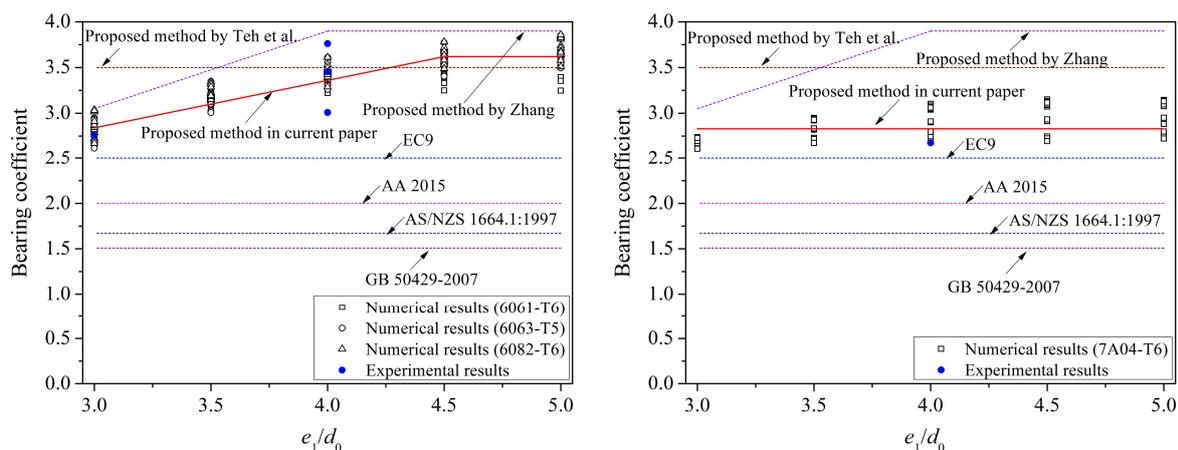
671

$$672 \quad C_B = 0.85(e_1/d_0) + 0.5 \text{ but } \leq 3.9 \quad (11)$$

673

674 It can be seen from Fig. 21 that all the codified design methods underestimate to different extents
675 the bearing resistances of the investigated swage-locking pinned aluminium alloy shear
676 connections. The Teh's proposal [42] provides more accurate predictions for normal strength
677 aluminium alloy shear connections than the codified design methods, however, yields predictions
678 on the unsafe side for high strength aluminium alloy specimens. The design approach proposed
679 by Zhang [43] generally overestimates the bearing coefficient of shear connections made of both
680 normal and high strength aluminium alloys. The proposed bearing coefficient in the current paper
681 provides better agreement with the experimental and numerical data in comparison to existing
682 design methods. The comparisons of test and FE bearing resistances with those predicted by
683 different design methods are summarised in Table 7, confirming the improved accuracy of the
684 proposed method. It should be noted that the proposed equations (Eqs. (9a) and (9b)) also ensure
685 a continuous transition from the shear-out and bearing resistance predictions for specimens with
686 a threshold end distance ratio of 3.0.

687



688

689 (a) Normal strength aluminium alloys

(b) High strength aluminium alloy

690 **Fig. 21.** Assessment of the accuracy of different bearing coefficients employed in codified and
691 proposed design methods

692

693 **Table 7.** Assessment of different design methods for aluminium shear connections failing by
694 bearing
695

Aluminium alloy		$F_{B,pred}/(F_{B,test/FE} - F_{fc})$						$F_{B,pred}/F_{B,test/F}$	
		EC9 [18]	AA 2015 [7]	AS/NZS [19]	GB [20]	Teh et al. [42]	Zhang [43]	Proposed method	Proposed method
Normal strength	Mean	0.76	0.61	0.49	0.46	1.07	1.17	1.00	1.00
	COV	0.076	0.061	0.054	0.046	0.110	0.091	0.035	0.031
High strength	Mean	0.87	0.69	0.58	0.52	1.21	1.35	0.98	0.98
	COV	0.050	0.040	0.033	0.030	0.070	0.170	0.056	0.054

696
697 **5.3 Shear out and bearing resistances considering the effect of edge distances**
698 As shown from Fig. 22, the shear-out and bearing resistances of swage-locking pinned
699 aluminium alloy shear connections are influenced by the edge distance ratio e_2/d_0 , especially for
700 connections with $e_2/d_0 \leq 3.0$.

701
702 To take due account of the influence of edge distance ratio e_2/d_0 on the shear out and bearing
703 resistances of swage-locking pinned aluminium alloy shear connections, an additional coefficient
704 C_E was proposed in the determination of the shear connection resistances $F_{SO/B,Rd}$, as given by Eq.
705 (12):

$$F_{SO/B,Rd} = \min(C_E d_{pin} t f_u + F_{fc}, F_{SO,Rd}, F_{B,Rd}) \quad (12)$$

706
707
708
709 The coefficient C_E was calibrated based on the experimentally and numerically obtained data on
710 shear connections with edge distance ratios $e_2/d_0 \leq 3.0$, as given in Eqs. (13a) and (13b) for

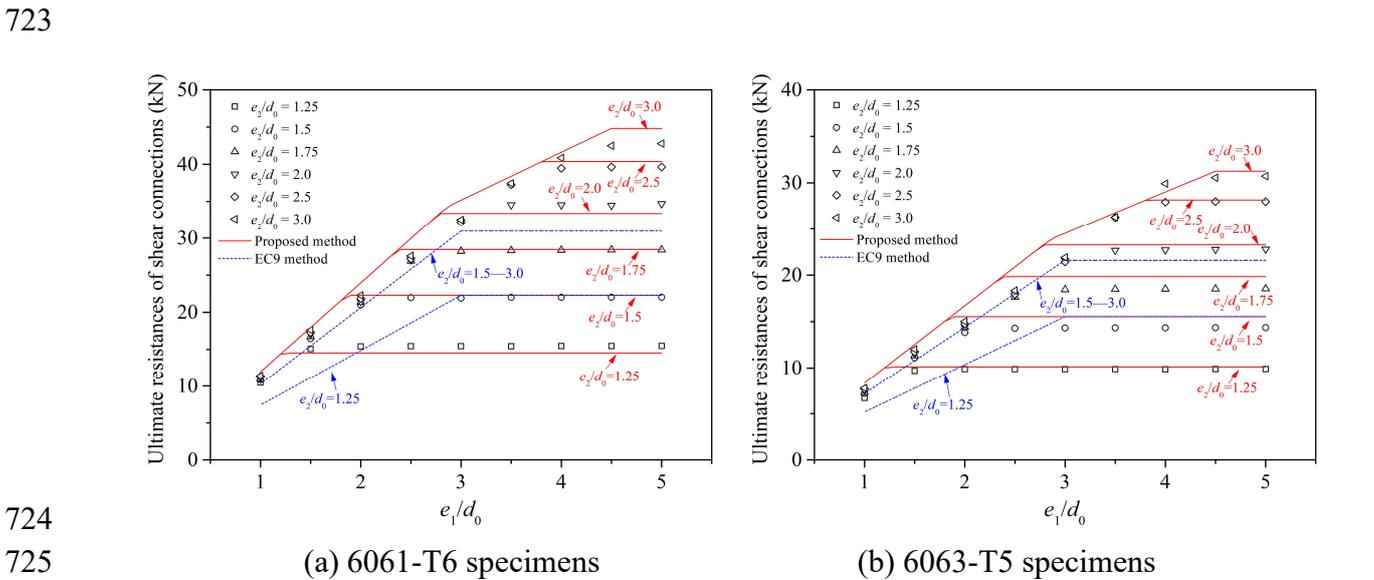
711 connections made of normal ($C_{E,NSA}$) and high ($C_{E,HSA}$) strength aluminium alloys, respectively.

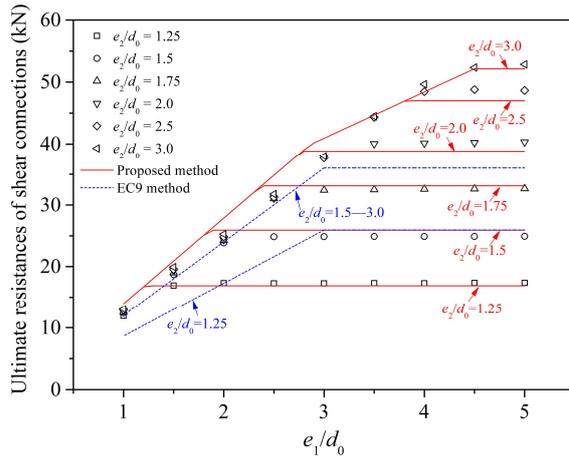
712
$$C_{E,NSA} = 4.24 - 9.63 \times 0.40^{(e_2/d_0)} \quad (13a)$$

713

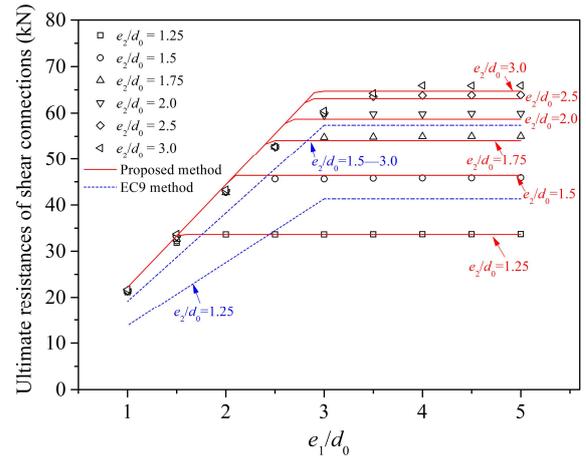
714
$$C_{E,HSA} = 2.86 - 17.86 \times 0.13^{(e_2/d_0)} \quad (13b)$$

715 The accuracy of the further improved method (i.e. Eq. (12)) that accounts for the influence of
 716 edge distance ratio e_2/d_0 is assessed by comparisons against test and FE data, as shown in Fig. 22.
 717 The accuracy of the design approach in EC9 [18], being the only codified method that considers
 718 the influence of edge distance ratio e_2/d_0 , has also been evaluated in Fig. 22. It can be seen from
 719 Fig. 22 that the EC9 method leads to an overestimation of the ultimate resistances of shear
 720 connections with $e_2/d_0 \leq 1.75$. The proposed design method (i.e. Eq. (12)) can provide more
 721 accurate and less scattered resistance predictions for swage-locking pinned aluminium alloy
 722 shear connections with edge distances $e_2/d_0 \leq 3.0$ than the EC9 method.





(c) 6082-T6 specimens



(d) 7A04-T6 specimens

Fig. 22. Assessment of the accuracy of Eq. (12) and EC9 for determining the ultimate resistances of swage-locking pinned aluminium alloy shear connection with $e_2/d_0 \leq 3.0$ considering the effect of edge distance ratio.

5.4 Design equations for net section and block shear resistances

It has been shown from the previous study [16] that the existing design provisions offer somewhat conservative predictions for net section and block shear resistances of the investigated aluminium alloy connections, primarily due to the lack of consideration of the friction contribution generated by preloaded swage-locking pins. The friction contribution is now properly considered by adding the predicted F_{fc} (Eq. (4)) to the EC9 equations for determining the net section ($F_{NS,Rd}$) and block shear ($F_{BS,Rd}$) resistances, as shown in Eqs. (14) and (15), respectively,

$$F_{NS,Rd} = 0.9A_n f_u + F_{fc} \quad (14)$$

$$F_{BS,Rd} = \frac{\sqrt{3}}{3} f_{0.2} A_{nv} + f_u A_{nt} + F_{fc} \quad (15)$$

in which A_n is the net section area, A_{nv} is the net area in shear and A_{nt} is the net area in tension. The experimental results F_{test} [16]

745 were utilised to assess the accuracy of the proposed equations (i.e. Eqs. (14) and (15)) in
 746 determining the net section ($F_{NS,Rd}$) and block shear ($F_{BS,Rd}$) resistances, as summarised in Table
 747 8, in which $F_{NS/BS,EC9}$ and $F_{NS/BS,Rd}$ are the predicted results by EC9 and the proposed equations
 748 (i.e. Eqs. (14) and (15)), respectively. It can be seen from Table 8 that the proposed equations
 749 considering the friction contribution can result in improved predictions on the net section and
 750 block shear resistances of swage-locking pinned aluminium alloy shear connections with the
 751 mean value of $F_{NS,BS/Rd}/F_{test}$ being 1.02 and the COV being 0.051.

752
 753 **Table 8.** Comparison of test results [16] with predicted resistances by Eqs. (14) and (15) for net
 754 section and block shear failure

Specimen	F_{test} (kN)	$F_{NS,BS/EC9}/F_{test}$	$F_{NS,BS/Rd}/F_{test}$
CDT-61-30-10-40 (NS)	54.1	0.42	1.11
CDT-61-30-15-40 (NS)	67.5	0.80	1.06
CDT-61-30-20-40 (NS)	80.4	0.73	1.03
CDT-61-30-30-40 (BS)	81.8	0.72	1.03
CDT-61-30-40-20 (BS)	60.7	0.72	0.96
CDT-61-30-40-25 (BS)	64.4	0.77	1.00
CDT-61-30-40-30 (BS)	73.2	0.77	0.97
CDT-61-30-40-40 (BS)	85.7	0.69	0.98
Mean		0.70	1.02
COV		0.170	0.051

755
 756 **6. Conclusions**
 757 Finite element models simulating the behaviour of swage-locking pinned aluminium alloy shear
 758 connections with various geometric configurations and aluminium alloy grades were developed
 759 and validated against experimental data reported in the literature [16]. Prior to the establishment
 760 of FE models, measurements on the preloads of swage-locking pins and slip coefficients between

761 aluminium alloy plates were conducted, and these measurements were carefully employed in the
762 developed FE models for validation purposes. Following successful validation, a series of
763 parametric studies, with over 900 additional numerical data being created, were carried out to
764 investigate the influence of several key parameters including the friction force, the end distance,
765 the thickness of the inner plate, the pin diameter and the edge distance on the failure mode and
766 resistance of the studied aluminium alloy shear connections. On the basis of the large amount of
767 structural performance data, the friction contribution generated by preloaded swage-locking pins
768 on the ultimate resistances of the investigated shear connections was quantified and the
769 prediction equations have been proposed. In addition, improved design equations for determining
770 the shear-out and bearing resistances of swage-locking pinned aluminium alloy shear connections,
771 considering the friction contribution and the effect of edge distance ratio, were proposed, which
772 have been shown to result in more accurate and less scattered resistance predictions than the
773 current codified design approaches. Lastly, the design equations adopted in EC9 for net section
774 and block shear resistances were modified by adding the friction contribution proposed in the
775 current paper, leading to more accurate resistance predictions. The proposed design equations in
776 the present study offer improved design accuracy for aluminium alloy shear connections
777 connected by the novel swage-locking pins.

778

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784

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